

# Discussion Session 2.

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## Example

设  $V_1$  为  $F$  上  $n$  维线性空间  $V$  的一个真子空间, 且  $\dim V_1 = r$ , 证明: 若存在  $k$  个  $n-1$  维子空间满足  $U_1, \dots, U_k$  使得

$$\bigcap_{i=1}^k U_i = V_1$$

则  $k \geq n-r$

Notes:

- $F^n$  上的真子空间一定可以看成某个线性方程组  $AX = 0$  的解空间, 其中  $A$  不可逆
- 如何用  $V \cong F^n$  给出一个更具体的构造?  $\rightsquigarrow$  将  $V_1$  看成线性方程组的解空间

另: 由  $V_1$  为真子空间, 记  $V \cong F^n$ ,  $\varphi: V \rightarrow F^n$ , 将  $\varphi$  映为基下的坐标, 只证  $\varphi(V)$  可以看作某个  $AX=0$  的解空间. 设  $\varphi(V)$  的一组基为  $\beta_1, \dots, \beta_r$ , 则令  $B = (\beta_1, \dots, \beta_r)$ ,  $r(B)=r$

$B^T X = 0$  的基础解中有  $n-r$  个向量, 记为  $\eta_1, \dots, \eta_{n-r}$ , 记  $A = (\eta_1, \dots, \eta_{n-r})$   $n \times r$

$\Rightarrow A^T X = 0$  的基础解中有  $r$  个向量, 且由  $B^T \eta_i = \begin{pmatrix} \beta_1^T \\ \vdots \\ \beta_r^T \end{pmatrix} \eta_i = 0 \Rightarrow \beta_j^T \eta_i = 0$

即  $\begin{pmatrix} \eta_1^T \\ \vdots \\ \eta_{n-r}^T \end{pmatrix} \beta_j = A^T \beta_j = 0 \Rightarrow \beta_1, \dots, \beta_r$  为  $A^T X = 0$  的一个基础解系  $\Rightarrow \eta_i^T \beta_j = 0$

构造  $\eta_i^T X = 0$  的解空间为  $U_i \Rightarrow V_1 = \bigcap_{i=1}^{n-r} U_i$

另: 将  $U_1, \dots, U_k$  对应到  $C_1 X = 0, \dots, C_k X = 0$  考虑  $\begin{pmatrix} C_1 \\ \vdots \\ C_k \end{pmatrix} X = 0$  的解空间  $\bigcap_{i=1}^k U_i$

$r(C_1) = \dots = r(C_k) = 1 \Rightarrow r \begin{pmatrix} C_1 \\ \vdots \\ C_k \end{pmatrix} \leq k$

$\Rightarrow \dim \left( \bigcup_{i=1}^k U_i \right) \geq n-k$  □

### Example

设数域  $F \subseteq K \subseteq L$ , 则在下面两个运算下  $K$  成为  $F$  上的线性空间:  $F$  中元素与  $K$  中元素的数量乘法,  $K$  中元素的加法。同理  $L$  也是  $K$  上的线性空间, 如果  $\dim_F K < \infty$ , 则记  $\dim_F K = [K : F]$ , 证明:

$$[L : K][K : F] = [L : F]$$

Notes:

- Revisit your homework:  $\dim_{\mathbb{Q}} \mathbb{Q}(\sqrt{2}) = 2$
- $\dim_{\mathbb{Q}} \mathbb{Q}(\sqrt{2}, \sqrt{3}) = ?$

pf: let  $[K : F] = m, [L : K] = n$

$F$  basis is  $\alpha_1, \dots, \alpha_m, K$  basis is  $\beta_1, \dots, \beta_n$

$$\begin{aligned} \forall x \in L, \quad x &= c_1 \beta_1 + \dots + c_n \beta_n \quad (c_i \in K) \\ &= (c_{11} \alpha_1 + \dots + c_{1m} \alpha_m) \beta_1 + \dots + (c_{n1} \alpha_1 + \dots + c_{nm} \alpha_m) \beta_n \\ &= \sum_{i,j} c_{ij} \alpha_i \beta_j \end{aligned}$$

$\{\alpha_i \beta_j\}$  are linearly independent.

$$a_{11} \alpha_1 \beta_1 + \dots + a_{1n} \alpha_1 \beta_n + \dots + a_{m1} \alpha_m \beta_1 + \dots + a_{mn} \alpha_m \beta_n = 0, \quad a_{ij} \in F$$

$$\Rightarrow \begin{cases} \underbrace{a_{11} \alpha_1 + \dots + a_{1n} \alpha_1}_{\in K} = 0 \\ \vdots \\ \underbrace{a_{m1} \alpha_m + \dots + a_{mn} \alpha_m}_{\in K} = 0 \end{cases} \Rightarrow a_{ij} = 0$$

Now:  $[Q(\sqrt{2}, \sqrt{3}) : Q(\sqrt{2})][Q(\sqrt{2}) : Q] = [Q(\sqrt{2}, \sqrt{3}) : Q] = 4$

or  $Q(\sqrt{2}, \sqrt{3}) = \{a_0 + a_1 \sqrt{2} + a_2 \sqrt{3} + a_3 \sqrt{6} \mid a_i \in Q\}$  ✓ Got it!

# Try

设  $V = \{z \in \mathbb{C} \mid |z| = 1, z \neq -1\}$ ,  $V$  中两个数  $z_1, z_2$  的运算定义为

$$z_1 \oplus z_2 = \frac{-1 + z_1 + z_2 + 3z_1z_2}{3 + z_1 + z_2 - z_1z_2} \quad k \odot z = \frac{(1-k) + (1+k)z}{(1+k) + (1-k)z}.$$

证明:  $(V, \oplus, \odot)$  是实数域  $\mathbb{R}$  上的线性空间

$$z = a+bi \quad \bar{z} = a-bi$$

(Hint:  $U = \{ai \mid a \in \mathbb{R}\}$ ,  $\varphi: V \rightarrow U, z \mapsto \frac{1-z}{1+z}$  给出一个双射)

$$\frac{1-z}{1+z} = \frac{(1-z)(1+\bar{z})}{|1+z|^2} = \frac{1-|z|^2 + \bar{z} - z}{|1+z|^2} = \frac{\bar{z} - z}{|1+z|^2} = \frac{-2b}{|1+z|^2} \quad i \in U$$

$$z_1 \oplus z_2 = \varphi^{-1}(\varphi(z_1) + \varphi(z_2)) = \frac{1 - \left( \frac{1-z_1}{1+z_1} + \frac{1-z_2}{1+z_2} \right)}{1 + \left( \frac{(1-z_1)(1+z_2) + (1-z_2)(1+z_1)}{(1+z_1)(1+z_2)} \right)}$$

$$z \mapsto \frac{1-z}{1+z}$$

$$\frac{1-t}{1+t} \longleftarrow t$$

$$= \frac{1 + z_1z_2 + z_1 + z_2 - (2 - 2z_1z_2)}{1 + z_1z_2 + z_1 + z_2 + (2 - 2z_1z_2)}$$

$$= \frac{-1 + z_1 + z_2 + z_1z_2}{-1 + z_1z_2 + z_1 + z_2}$$

$$\odot z_1 = \varphi^{-1}(\odot \varphi(z_1)) \quad \text{同法}$$

## Example

设  $\dim V = n$ ,  $\varphi \in \text{End}_F(V)$ , 且  $\varphi^2 = \mathcal{O}$ , 求证: 存在  $V$  的一组基  $\xi_1, \dots, \xi_n$  满足

$$\varphi(\xi_1, \dots, \xi_n) = (\xi_1, \dots, \xi_n) \begin{pmatrix} \mathcal{O} & E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} & \mathcal{O} \end{pmatrix}.$$

pf. 矩阵语言:  $A^2 = \mathcal{O}$ ,  $r(A) = r$ , 求证:  $A \sim \begin{pmatrix} \mathcal{O} & E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} & \mathcal{O} \end{pmatrix}$

$$\text{由 } A = P \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} Q, \quad P, Q \text{ 可逆}$$

$$= P \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} Q P P^{-1}$$

$$\text{Let } H = QP = \begin{pmatrix} \overbrace{H_1}^r & \overbrace{H_2}^{n-r} \\ H_3 & H_4 \end{pmatrix} \rightsquigarrow A \sim \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} H \quad (H \text{ 可逆})$$

$$A^2 = \mathcal{O} \Leftrightarrow \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} H \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} H = \mathcal{O} \Leftrightarrow \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} H \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} = \mathcal{O}$$

$$\text{即 } \begin{pmatrix} H_1 & H_2 \\ \mathcal{O} & \mathcal{O} \end{pmatrix} \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} = \begin{pmatrix} H_1 & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} = \mathcal{O} \Rightarrow H_1 = \mathcal{O}$$

$$\text{则 } A \sim \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} \begin{pmatrix} \mathcal{O} & H_2 \\ H_3 & H_4 \end{pmatrix} = \begin{pmatrix} \mathcal{O} & \overbrace{H_2}^{n-r} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} \Bigg\}_r \quad (r(A) = r(H_2) = r)$$

★  $n-r \geq r \Rightarrow r \leq n/2$

$$\text{从而 } H_2 \text{ 可逆} \Rightarrow H_2 = \begin{pmatrix} E_r & \mathcal{O} \end{pmatrix} K_{n-r} \quad (K \text{ 可逆})$$

$$\begin{aligned} \text{即 } A &\sim \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & K_{n-r}^{-1} \end{pmatrix} \begin{pmatrix} \mathcal{O} & \overbrace{E_r}^{n-r} \\ \mathcal{O} & \mathcal{O} \end{pmatrix} \begin{pmatrix} E_r & \mathcal{O} \\ \mathcal{O} & K_{n-r} \end{pmatrix} \\ &\sim \begin{pmatrix} \mathcal{O} & E_r & \mathcal{O} \\ \mathcal{O} & \mathcal{O} & \mathcal{O} \end{pmatrix} \quad \square \end{aligned}$$

另1:

$$\text{Ker } \varphi \left\{ \begin{array}{l} \xi_1 \\ \vdots \\ \xi_r \\ \vdots \\ \xi_{n-r} \end{array} \right. \begin{array}{l} \longrightarrow 0 \\ \\ \\ \longrightarrow \varphi(\xi_1) \\ \vdots \\ \longrightarrow \varphi(\xi_r) \end{array}$$

• claim  $\langle \varphi(\xi_1), \dots, \varphi(\xi_r) \rangle \subseteq \text{Ker } \varphi$   
 若  $r \leq n-r \Rightarrow r \leq \frac{n}{2}$

若  $\varphi(\xi_1) = \dots = \varphi(\xi_r) = 0$  则  $\text{Ker } \varphi = \mathbb{R}^n$   
 $\varphi(\xi_1) = \dots = \varphi(\xi_r) \neq 0$

claim  $\xi_1, \dots, \xi_r, \varphi(\xi_1), \dots, \varphi(\xi_r), d_1, \dots, d_t$   
 线性无关

$\varphi$  左核  $\rightarrow$   $c_1 \xi_1 + \dots + c_r \xi_r + \tilde{c}_1 \varphi(\xi_1) + \dots + \tilde{c}_r \varphi(\xi_r) + d_1 d_1 + \dots + d_t d_t = 0$   
 $c_1 \varphi(\xi_1) + \dots + c_r \varphi(\xi_r) = 0 \quad c_1 = \dots = c_r = 0$

$\Rightarrow \tilde{c}_1 \varphi(\xi_1) + \dots + \tilde{c}_r \varphi(\xi_r) + d_1 d_1 + \dots + d_t d_t = 0$

$\Rightarrow \tilde{c}_1 = \dots = \tilde{c}_r = d_1 = \dots = d_t = 0$

从而  $\varphi(\varphi(\xi_1) = \dots = \varphi(\xi_r), \xi_1, \dots, \xi_r, d_1, \dots, d_t) =$

$(\varphi(\xi_1) = \dots = \varphi(\xi_r), \xi_1, \dots, \xi_r, d_1, \dots, d_t) \begin{pmatrix} 0 & \xi_r & 0 \\ 0 & 0 & 0 \end{pmatrix}$  (Chapter 7)

注: 调整顺序后得到

$N(J_{2^{(0)}}) = r(A)$   $\rightarrow r(A) + r(A^2) - 2r(A^2)$   $\star$   
 其中  $J_{2^{(0)}} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

$A \sim \text{diag} \left\{ \underbrace{J_{2^{(0)}}, \dots, J_{2^{(0)}}}_{r \uparrow}, \underbrace{J_{1^{(0)}}, \dots, J_{1^{(0)}}}_{n-2r \uparrow} \right\} \quad J_{1^{(0)}} = (0)$