

§5.5–§5.7 Polynomial Functions and Polynomials Over \mathbb{C} , \mathbb{R} , \mathbb{Q} and \mathbb{Z}

illusion

Especially made for smy

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<http://illusion-hope.github.io/25-Spring-SMY-Discussion-Session/>

Quiz 1

例 1

设 $f(x), g(x) \in F[x]$, $a, b, c, d \in F$ 且满足 $ad - bc \neq 0$, 证明:

$$(af(x) + bg(x), cf(x) + dg(x)) = (f(x), g(x)).$$

Hint: $\det \begin{bmatrix} a & b \\ c & d \end{bmatrix} \neq 0$.

例 2

设 $f(x) = x^3 + 3x + 1$, 求满足同余方程 $v(x)f'(x) \equiv 1 \pmod{f(x)}$ 且次数最小的多项式 $v(x)$.

例 3

设 $(f(x), g(x)) = 1$, 证明: $f^2(x) + g^2(x)$ 的重根必是 $[f'(x)]^2 + [g'(x)]^2$ 的根.

例 4

设 $f(x)$ 为 $\mathbf{R}[x]$ 上的任一实系数多项式. 证明: 存在唯一实系数多项式 $g(x)$ 使得

$$((x^2 + 3x - 5)g(x))'' = f(x).$$

例 5

Assume that an irreducible polynomial $p(x)$ is a $(k - 1)$ -multiple factor of $f'(x)$, then the following statements are equivalent:

- (1) $p(x)$ is a $(k - 1)$ -multiple factor of (f, f') ;
- (2) $p(x) \mid f(x)$;
- (3) $p(x)$ is a k -multiple factor of $f(x)$.
- (3') $f(x) = p^k(x)h(x)$, $(p(x), h(x)) = 1$.

Note: When we talk about repeated factors, $p(x)$ should firstly be irreducible.

Lecture 4

Polynomial Functions:

- Remainder Theorem \rightsquigarrow Roots
- Relationship Between Numbers of Distinct Roots and Degree

Further Discussion Mainly Over Number Fields:

- $\mathbf{C}[x]$: Factorization, Viéta Theorem
- $\mathbf{R}[x]$: Factorization, Complex Roots Come in Pairs
- $\mathbf{Q}[x]$: Rational Root Theorem, Eisenstein's Criterion
- $\mathbf{Z}[x]$: Gauss's Lemma, Factorization

Multiple Roots

- If $f(x)$ has a multiple root a over F , then it must have repeated factors. But the converse is wrong.
- If a is a k -multiple root of $f(x)$, then it's a $(k - 1)$ -multiple root of $f'(x)$. But the converse is wrong.
- If a is a $(k - 1)$ -multiple root of (f', f) , then it's a k -multiple root of $f(x)$.

Examples

例 6

Assume $f(x) \in F[x]$ and $\deg f(x) = n$. If $f' \mid f$, prove that f has a n -multiple root over F .

Hint: $f/(f, f')$ has the same irreducible factors as f .

例 7

Assume $f(x) \in F[x]$, then $x - b$ is a k -multiple factor of $f(x)$ if and only if $f(b) = f'(b) = \dots = f^{(k-1)}(b) = 0$, $f^{(k)}(b) \neq 0$.

Hint: $p(x)$ is a k -multiple factor of $f(x) \Rightarrow p(x)$ is a $(k-1)$ -multiple factor of $f'(x)$.

Some Important Propositions

- (1) 设 $f(x) \in F[x]$, 若 $p(x) \in F[x]$ 在 F 上不可约, 且 $p(x), f(x)$ 在 \mathbb{C} 上有公共根, 那么必定有 $p(x) \mid f(x)$;
- (2) 设 $f(x), g(x) \in F[x]$, 若 $(f(x), g(x)) = 1$, 那么 $f(x), g(x)$ 在 \mathbb{C} 上必定没有公共根;
- (3) 若 $p(x) \in F[x]$ 在 F 上不可约, 给定数域扩张 $F \subseteq K$, 那么 $p(x)$ 在 K 上有可能可约, 设 $p(x)$ 在 $K[x]$ 中的标准分解式为

$$p(x) = q_1^{e_1}(x) \cdots q_s^{e_s}(x), \quad e_i > 0.$$

其中 $q_1(x), \dots, q_s(x)$ 为 K 上两两互素的首一不可约多项式, 那么我们必定有 $e_1 = \dots = e_s = 1$.

Some Important Propositions

- (3') 换言之, 若 $p(x) \in F[x]$ 在 F 上不可约, 那么 $p(x)$ 在 \mathbb{C} 上无重根。进一步地, 在给定任意一个数域扩张 $F \subseteq K$ 下, 都有 $p(x)$ 在 K 上无重因式;
- (4) 作为对比, 我们给出命题: 若 $p(x) \in F[x]$, 给定数域扩张 $F \subseteq K$, 且 $p(x)$ 在 K 上不可约, 那么 $p(x)$ 在 F 上必定不可约。

Examples

Slogan: $p(x)$ 在 F 上不可约且在 \mathbf{C} 上与 $f(x)$ 有公共根 $\Rightarrow p \mid f$.

例 8

(1) $f(x) \in \mathbf{R}[x]$, $f(a + bi) = 0$ ($a, b \in \mathbf{R}$) $\rightsquigarrow f(a - bi) = 0$;

(2) $f(x) \in \mathbf{Q}[x]$, $f(\sqrt{2} + \sqrt{3}) = 0 \rightsquigarrow f(\sqrt{2} - \sqrt{3}) = f(-\sqrt{2} + \sqrt{3}) = f(-\sqrt{2} - \sqrt{3}) = 0$.

Hint: What is the standard factorization of $x^4 - 10x + 1$ in $\mathbf{R}[x]$?

例 9

$f(x) \in F[x]$ 在 F 上不可约, 若非零常数 a, a^{-1}, b 为 $f(x)$ 在 \mathbf{C} 上的根, 证明: $f(b^{-1}) = 0$.

Hint: Consider $f(x) = \sum_{k=0}^n a_k x^k$, $g(x) = \sum_{k=0}^n a_{n-k} x^k$, $a_i \in F$, $a_0 a_n \neq 0$.

Examples

Slogan: $p(x)$ 在 F 上不可约且在 \mathbb{C} 上与 $f(x)$ 有公共根 $\Rightarrow p \mid f$.

例 10

$f(x) \in F[x]$ 在 F 上不可约, 对 $g(x) \in F[x]$, 有 $\alpha \in \mathbb{C}$ 满足 $f(\alpha) = 0, g(\alpha) \neq 0$.

(1) 存在 $h(x) \in F[x]$ 满足 $h(\alpha)g(\alpha) = 1$;

(2) 求一个多项式 $h(x) \in \mathbb{Q}[x]$ 满足

$$h(\sqrt[3]{2}) = \frac{1}{3 + 2\sqrt[3]{2} + \sqrt[3]{4}}.$$

Hint: $p \mid f \Leftrightarrow$ All the roots of $p(x)$ are roots of $f(x)$ and **their multiplicities do not exceed those in $f(x)$** .

New View of Divisibility

Slogan: $p \mid f \Leftrightarrow$ All the roots of $p(x)$ are roots of $f(x)$ and **their multiplicities do not exceed those in $f(x)$.**

Revisit some examples:

- (1) $x^2 + x + 1 \mid \sum_{i \in I} x^{a_i} \Leftrightarrow a_i \text{ 除 } 3 \text{ 余数为 } 0, 1, 2 \text{ 的个数相等};$
- (2) $a \in F, x^d - a^d \mid x^n - a^n \Leftrightarrow d \mid n.$

例 11

设多项式 $f(x), g(x), h(x), k(x)$ 之间有关系式

$$\begin{cases} (x+1)f(x) + (x+2)g(x) + (x^2+1)h(x) = 0, \\ (x-1)f(x) + (x-2)g(x) + (x^2+1)k(x) = 0. \end{cases}$$

证明: $(x^2 + 1) \mid (f, g).$

Synthetic Division

$$f(x) = \sum_{k=0}^n a_k x^k = (x-b) \left\{ \sum_{s=0}^{n-1} b_s x^s \right\} + f(b) \rightsquigarrow a_k = -b \cdot b_k + b_{k-1}, 1 \leq k \leq n-1.$$

Try

$f(x) = x^5 - 2020x^4 - 2019x^3 - 4041x^2 - 2020x - 100$, figure out $f(2021)$.

- $f(x) = (x - 2021)(x^4 + b_3x^3 + \cdots + b_0) + f(2021)$;
- $-2020 = -2021b_3 + b_4 = -2021b_3 + 1 \rightsquigarrow b_3 = 1$;
- $-2019 = -2021b_3 + b_2 \rightsquigarrow b_2 = 2021 - 2019 = 2$;
- $-4041 = -2021b_2 + b_1 \rightsquigarrow b_1 = 1$;
- $-2020 = -2021b_1 + b_0 \rightsquigarrow b_0 = 1$;
- $-100 = -2021b_0 + f(2021) \rightsquigarrow f(2021) = 1921$.

Polynomial Functions

Lemma 12

Let $f(x)$ be a polynomial over a field F with degree $n > 0$. Then, $f(x)$ has at most n distinct roots in F .

Note: Any polynomial of finite degree has only a finite number of roots. **If a polynomial has infinitely many roots, it must be the zero polynomial.**

Thm 13

Set $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$, $g(x) = b_m x^m + b_{m-1} x^{m-1} + \cdots + b_1 x + b_0$, $a_i, b_j \in F$. Then, the following two statements are equivalent:

- (A) For all $c \in F$, we have $f(c) = g(c)$;
- (A') For $n + 1$ distinct numbers $c_1, \dots, c_{n+1} \in F$, we have $f(c) = g(c)$;
- (B) $n = m, a_i = b_i$ ($1 \leq i \leq n$).

Distinct Roots $>$ Degree \rightsquigarrow Zero!

Slogan: If a polynomial has infinitely many roots \Rightarrow zero polynomial.

例 14

Figure out each $f(x) \in F[x]$ satisfying the following conditions:

- (1) $f(x) = f(x + c)$, $0 \neq c \in F$;
- (2) $f(a + b) = f(a) + f(b)$, for all $a, b \in F$.

例 15

$\deg f(x) = n > 0$, $f(k) = k/(k + 1)$, $k = 0, 1, 2, \dots, n$. Find $f(n + 1)$.

Further Discussion: $\mathbf{C}[x]$

- $0 \neq f(x) \in \mathbf{C}[x], \deg f(x) = n > 1$, 则 $f(x)$ 在 \mathbf{C} 中恰有 n 个复根(计重数), 且

$$f(x) = c(x - a_1)^{e_1}(x - a_2)^{e_2} \cdots (x - a_m)^{e_m}, e_i \in \mathbf{N}^*, \sum_{i=1}^m e_i = n.$$

其中 $a_i \in \mathbf{C}$ 两两互异, $c \in \mathbf{C}$ 为 $f(x)$ 的首项系数;

- (Viéta) 记 $f(x) = (x - c_1)(x - c_2) \cdots (x - c_n) \in \mathbf{C}[x]$, 其中未必 c_i 两两不同, 则

$$\sum_{i=1}^n c_i = -a_{n-1}, \quad \sum_{1 \leq i < j \leq n} c_i c_j = a_{n-2}, \cdots, \prod_{i=1}^n c_i = (-1)^n a_0.$$

- $x^n - \rho e^{i\theta} = \prod_{k=1}^n \left(x - \rho^{\frac{1}{n}} e^{i\frac{\theta+2k\pi}{n}} \right)$, 其中 $\rho > 0$.

Examples

例 16

(Quiz 1) 已知 $f(x) = x^3 + px^2 + qx + r \in \mathbf{R}[x]$ 的三个根是 $x_1, x_2, x_3 \in \mathbf{R}$.

(1) 证明: $p^2 \geq 3q$;

(2) 求首一的三次实系数多项式 $g(x)$, 使得 x_1^2, x_2^2, x_3^2 为其所有根。(要求 $g(x)$ 的系数用 p, q, r 表示)

例 17

若 $x^2 + x + 1 \mid ((x+1)^n - x^n - 1)$, 求 $n \in \mathbf{N}^*$ 的所有可能取值。

Further Discussion: $\mathbf{R}[x]$

- $0 \neq f(x) \in \mathbf{R}[x], \deg f(x) = n > 1$, 则 $f(x)$ 在 \mathbf{R} 上的标准分解式为

$$f(x) = d(x - a_1)^{l_1} \cdots (x - a_m)^{l_m} (x + b_1x + c_1)^{h_1} \cdots (x + b_rx + c_r)^{h_r},$$

其中 $d, a_i, b_j, c_j \in \mathbf{R}, l_i, h_j \in \mathbf{N}^*, b_j^2 - 4c_j < 0, \sum_{i=1}^m l_i + 2 \sum_{j=1}^r h_j = \deg f(x)$,

a_i 两两互异, $x^2 + b_jx + c_j$ 两两互素。

- 设 $f(x) \in \mathbf{R}[x]$, 若 $a, b \in \mathbf{R}$ 且 $f(a + bi) = 0 \rightsquigarrow f(a - bi) = 0$.

Examples

例 18

设 $f(x) \in \mathbf{R}[x]$ 且满足对任意的实数 r 都有 $f(r) > 0$, 证明: 存在实系数多项式 $g(x), h(x)$ 满足 $f(x) = g^2(x) + h^2(x)$, 其中 $\deg g(x) > \deg h(x)$.

Hint: 考虑实根的重数

例 19

证明: $f(x) \in \mathbf{R}[x]$ 存在虚根的充分必要条件为存在实系数多项式 $g(x), h(x)$ 满足 $f^2(x) = g^2(x) + h^2(x)$, 其中 $\deg g(x) > \deg h(x) \geq 0$.

例 20

(Quiz 1) 设 $f(x) \in \mathbf{Z}[x]$, 证明:

- (1) 若 $f(x) - 1$ 在 \mathbf{Z} 上有至少四个互异整数根, 则 $f(x) + 1$ 在 \mathbf{Z} 上无根;
- (2) 若存在一个偶数 m 和奇数 n 使得 $f(m), f(n)$ 均为奇数, 则 $f(x)$ 在 \mathbf{Z} 上无根。