

# Module 01

# Languages and Grammar

CS 332 Organization of Programming Languages  
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# Mo1 Outcomes

At the end of this module you should be able to ...

1. State the definition of a symbol, alphabet, string, and language.
2. Justify whether a given example is or is not a language.
3. State the definition of a grammar.
4. Given a description of a language, write its Backus-Naur Form (BNF) or Extended BNF (EBNF).
5. State the four levels of the Chomsky Hierarchy.
6. State the properties required of a grammar for its language to be in any Chomsky level.
7. Given a grammar, place it in the Chomsky hierarchy with justification
8. Given a BNF grammar and a string, provide a derivation for the string.
9. Use regular expressions to define a language.

# Mo1 Language Definition

- A symbol is a single, distinct mark or character.
  - Typically use 1 and 0, or a, b, and c in formal languages.
  - Any symbol applies:  $\lambda$ ,  $\beta$ ,  $\checkmark$ ,  $\textcircled{c}$ ,  $\gg$ , and so on ...
- Symbols have no inherent meaning. We supply meaning.
- We've define in terms of written symbols – others acceptable?
  - Spoken words and other sounds (clapping)
  - Gestures, facial expressions, winks, nods, etc
  - Rationale for acceptance: All of these can be transcribed to written symbols

# Mo1 Language Definition

- An alphabet,  $\Sigma$ , is a finite set of symbols.
  - $|\Sigma|$  represents the size of alphabet  $\Sigma$ .
  - If  $\Sigma = \{a, b, c\}$ , then  $|\Sigma| = 3$ .
- A string,  $u$ , is a sequence of symbols from some  $\Sigma$ .
  - Typically use  $u$ ,  $v$ , and  $w$ .
  - $\lambda$  represents the empty string: a string of length zero having no symbols.
  - The length of string  $u$ ,  $|u|$ , is the number of symbols that make it up.
  - If  $u = abbca$ , then  $|u| = 5$ ;  $|\lambda| = 0$ .
  - $\Sigma^*$  represents all possible strings that can be made from  $\Sigma$ .

Note the use of set notation. Not an accident! Sets underlie everything.

# Mo1 Language Definition

- A symbol is a single, distinct mark or character used as a representation.
- An alphabet,  $\Sigma$ , is a finite set of symbols.
- A string,  $u$ , is a sequence of symbols from some  $\Sigma$ .
- A language,  $L$ , is a (potentially infinite) set of strings.
  - Languages have no inherent meaning.
  - Languages are not about communication. Some are used for communication.
  - Languages are not about programming. Some are used for programming.

# Mo1 Grammar Definition

- A grammar,  $G$ , supplies the rules by which a language is constructed
  - We're assuming there is a pattern or structure to the language
  - A set of random strings is still a language
  - Therefore, grammars make sense only for non-random languages
- Backus-Naur Form (BNF) is widely used, universally in Comp Sci
  - BNF uses production rules composed of a left hand side (LHS), and a right hand side (RHS), each containing symbols.
  - The symbols on left hand side (LHS) can be replaced by those on the right hand side (RHS).
  - Production rules take the form  $LHS \rightarrow RHS$  (some authors use  $LHS := RHS$ ).
  - Example: If you have a rule that says  $A \rightarrow aCa$ , and the string  $abAca$ , you can use the rule to replace the "A" in the string with "aCa" – result is  $aba\underline{Ca}ca$  (underlined for visibility).

# Mo1 BNF Grammars

- Elements of a BNF grammar. BNF grammars contain ....
  - Terminals: Symbols in the alphabet,  $\Sigma$ , being used. Convention is lower case.
  - Non-Terminals: Intermediary symbols used in G. Convention is upper case.
  - Start symbol: A special non-terminal indicating the first rule that must be used. This course will use S (Note: Capital S because it's a non-terminal.)
- Sample grammar,  $G_o$ , with  $\Sigma = \{a, b\}$ 
  1.  $S \rightarrow AB$
  2.  $A \rightarrow aA$
  3.  $A \rightarrow a$
  4.  $B \rightarrow bB$
  5.  $B \rightarrow b$

What strings does  $G_o$  produce?  
Strings having at least one a,  
and at least one b,  
where all a's precede all b's.

That's the language defined by  $G_o$ .

# Mo1 Derivation (using the grammar)

- Sample grammar,  $G_o$ , with  $\Sigma = \{a, b\}$

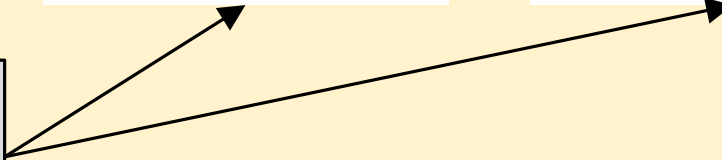
1.  $S \rightarrow AB$
2.  $A \rightarrow aA$
3.  $A \rightarrow a$
4.  $B \rightarrow bB$
5.  $B \rightarrow b$

Derive $u = abbb$	
String	Rule
S	
AB	1
AbB	4
AbbB	4
Abbb	5
abbb	3

Derive $u = abbb$	
String	Rule
S	
AB	1
aB	3
abB	4
abbB	4
abbb	5

Derive $u = aabb$	
String	Rule
S	
AB	1
aAB	2
aaB	3
aabB	4
aabb	5

Two derivations for the same string. That's typical.





# Mo1 Example #1

- Let  $\Sigma = \{a, b\}$
- Write a grammar for language  $L_1$ , composed of strings ending in  $bb$ .
- Provide a derivation for string  $bb$ .
- Provide a derivation for string  $ababbb$ .

# Mo1 Example Solution

- Let  $\Sigma = \{a, b\}$
- Write a grammar for language  $L_1$ , composed of strings ending in bb.
- Provide a derivation for string bb.
- Provide a derivation for string ababbb.

- Solution –  $G_1$

1.  $S \rightarrow A$
2.  $A \rightarrow aA$
3.  $A \rightarrow bA$
4.  $A \rightarrow bb$

Is this the only  
grammar for  $L_1$ ?

Derive u = bb	
String	Rule
S	
A	1
bb	4

Derive u = ababbb	
String	Rule
S	
A	1
aA	2
abA	3
abaA	2
ababA	3
ababbb	4

Are these the only derivations for these strings?

# Mo1 The Chomsky Hierarchy

- Noam Chomsky, a linguist [1], created a hierarchy of languages that became the foundation of formal language theory
  - This grouping of languages is directly tied to theoretical models of computation.
  - This grouping of languages is directly tied to theoretical limits of computation.
  - This grouping of languages is directly tied to programming languages and compilers
  - This grouping of languages is directly tied to data structures and algorithms

[1] Linguist, philosopher, historian, and more ... [https://en.wikipedia.org/wiki/Noam\\_Chomsky](https://en.wikipedia.org/wiki/Noam_Chomsky)

# Mo1 The Chomsky Hierarchy

- For now, define the Chomsky Hierarchy in terms of grammars
- Will describe restrictions on the Left Hand Side (LHS) and Right Hand Side (RHS)
- “Unrestricted” is sometimes called “recursively enumerable” but not covering that in this course – it would take several weeks.

Type	Name	Characteristics of Grammar
Type 3	Regular	LHS must contain exactly one non-terminal. Number of terminals in RHS cannot decrease. Strings derived from right to left, or left to right.
Type 2	Context Free	LHS must contain exactly one non-terminal. Number of terminals in RHS cannot decrease.
Type 1	Context Sensitive	LHS may have terminals and non-terminals. Number of terminals in RHS cannot decrease.
Type 0	Unrestricted	No restrictions

# Regular Expressions Introduced

- Our definitions for example languages have been informal so far.
- This is a course about formal systems and formal notation.
- Every regular language can be expressed by a *regular expression*.
  - We'll borrow similar notation for context free languages.
- Trivia: All languages of finite size are regular, because you can always brute force a FSM to recognize it. The FSM might be huge, but it's still finite!

# Regular Expression (Informally) Defined

- The set of regular expressions, RE, is recursively defined as follows:
  - $\lambda$  is in RE.
  - Any single symbol is in RE. True for all symbols in the alphabet,  $\Sigma$ .
  - Concatenation: If  $u$  and  $v$  are in RE, the  $uv$  is in RE.
  - Repetition: If  $u$  is in RE, then zero or more copies of  $u$  is also in RE. This is written as  $u^*$ , where the  $*$  is known as the Kleene star.
  - Repetition: If  $u$  is in RE, then one or more copies of  $u$  is also in RE. This is written as  $u^+$ .
  - The “or” operator: if  $u$  and  $v$  are in RE, then the choice of  $u$  or  $v$  is in RE. This is written as  $(u + v)$

# Regular Expression (RE) (Informally) Defined

- What does the previous slide mean?
  - $\lambda$  is a regular expression.
  - Any single symbol in  $\Sigma$  is a RE.
    - if  $\Sigma = \{a, b\}$ , then  $a$  is a regular expression and so is  $b$ .
  - Concatenation: If  $u$  and  $v$  are in RE, the  $uv$  is in RE.
    - if  $abba$  and  $bbab$  are regular expressions (they are), then so is  $abbabbab$ .
  - Repetition: If  $u$  is in RE, then zero or more copies of  $u$  is also in RE.
    - This is written as  $u^*$ , where the  $*$  is known as the Kleene star.
    - $a^*$  means zero or more copies of  $a$ , and  $(abb)^*$  means zero or more copies of  $abb$ .
  - Repetition: If  $u$  is in RE, then one or more copies of  $u$  is also in RE.
    - This is written as  $u^+$ .
    - $a^+$  means one or more copies of  $a$ , and  $(abb)^+$  means one or more copies of  $abb$ .
  - The “or” operator: if  $u$  and  $v$  are in RE, then the choice of  $u$  or  $v$  is in RE.
    - This is written as  $(u + v)$  – an unfortunate overloading of the  $+$  operator.
    - $(a + b)$  means you get to choose  $a$  or  $b$ .
    - $(a + b)^*$  means multiple choices of  $a$  or  $b$  -- or any string you want.

# Regular Expression (Formally) Defined

- The set of regular expressions, RE, is recursively defined as follows:

$$RE = \left\{ \begin{array}{l} \lambda \\ a, \forall a \in \Sigma \\ uv, \forall u, v \in RE \\ u^*, \forall u \in RE \\ u^+, \forall u \in RE \\ u + v, \forall u, v \in RE \end{array} \right.$$



# Regular Expression Examples

- Let  $\Sigma = \{a, b\}$  (For all examples unless stated otherwise)
- The set of all possible strings for an alphabet is written as  $\Sigma^*$ .
  - A very boring language.
- Let  $L_1 =$  All strings having 1 or more ‘a.’
  - $RE_1 = b^*a(a+b)^*$
  - “Zero or more  $b$ ’s followed by at least one  $a$ , followed by any sequence of  $a$ ’s and  $b$ ’s.”
- Let  $L_2 =$  All strings that start with two ‘a’s.’
  - $RE_1 = aa(a+b)^*$
  - “Two  $a$ ’s followed by any sequence of  $a$ ’s and  $b$ ’s.”

# Regular Expression Examples

- Let  $\Sigma = \{a, b\}$  (For all examples unless stated otherwise)
- Let  $L_3 =$  Strings that have an even number of 'b's (including  $\lambda$ ).
  - $RE_3 = (a^*ba^*b)^*a^*$  -- the  $b$ 's always show up in groups of two.
- Let  $L_4 =$  All strings where no two 'a's are adjacent, including  $\lambda$ .
  - $RE_4 = b^*(ab^+ab^+)^*b^*$
- Let  $L_5 =$  All strings with at least three 'b's'.
  - $RE_5 = (a^*ba^*ba^*b)(a+b)^*$  -- the  $+$  ensures that at least three  $b$ 's are present.