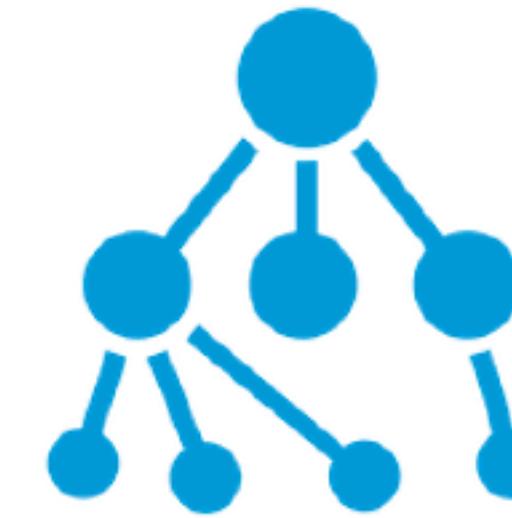


# YSC2229: Introductory Data Structures and Algorithms



Ilya Sergey

[ilya.sergey@yale-nus.edu.sg](mailto:ilya.sergey@yale-nus.edu.sg)

# Some Terminology

- *Data* represents *information*
- *Computations* represent *data processing*
- An *algorithm* is a sequence of computational steps that transform the *input* data (given) into the *output* data (wanted).
- A *data structure* is a *representation of data* that makes it suitable for algorithmic treatment.

# What this course is about?

# Algorithms in a Nutshell

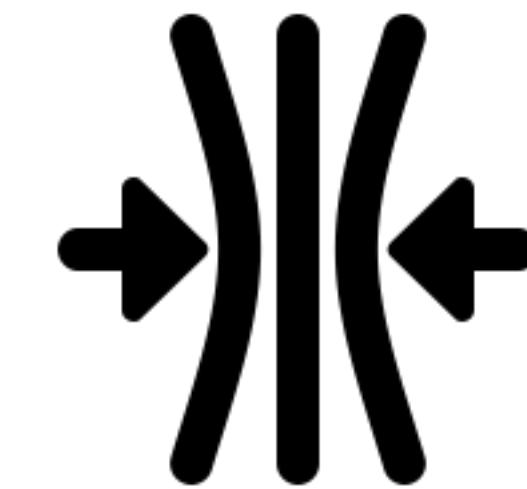


## Desired Guarantee:

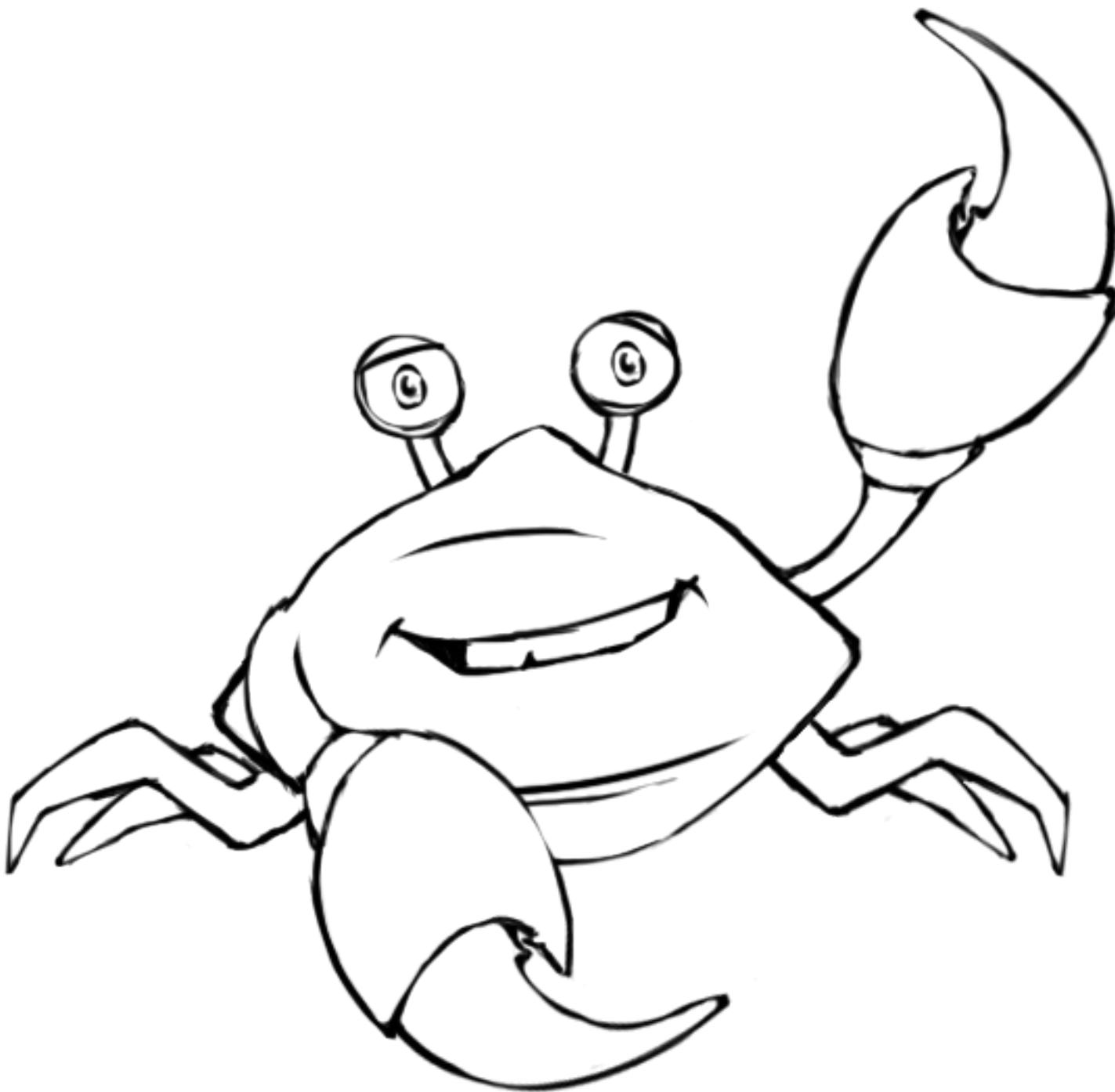
for every input, the algorithm must provide  
the *correct* output *quickly*.

# Solving computational problems

- **Searching:**  
finding a word in a text or an article to buy on Amazon
- **Storing and retrieving data:**  
representing files in you computer
- **Data compression/decompression:**  
transferring files on the internet
- **Path finding:**  
getting from a point A to point B in the most efficient way
- **Geometric problems:**  
finding the closes fuel station, shape intersection

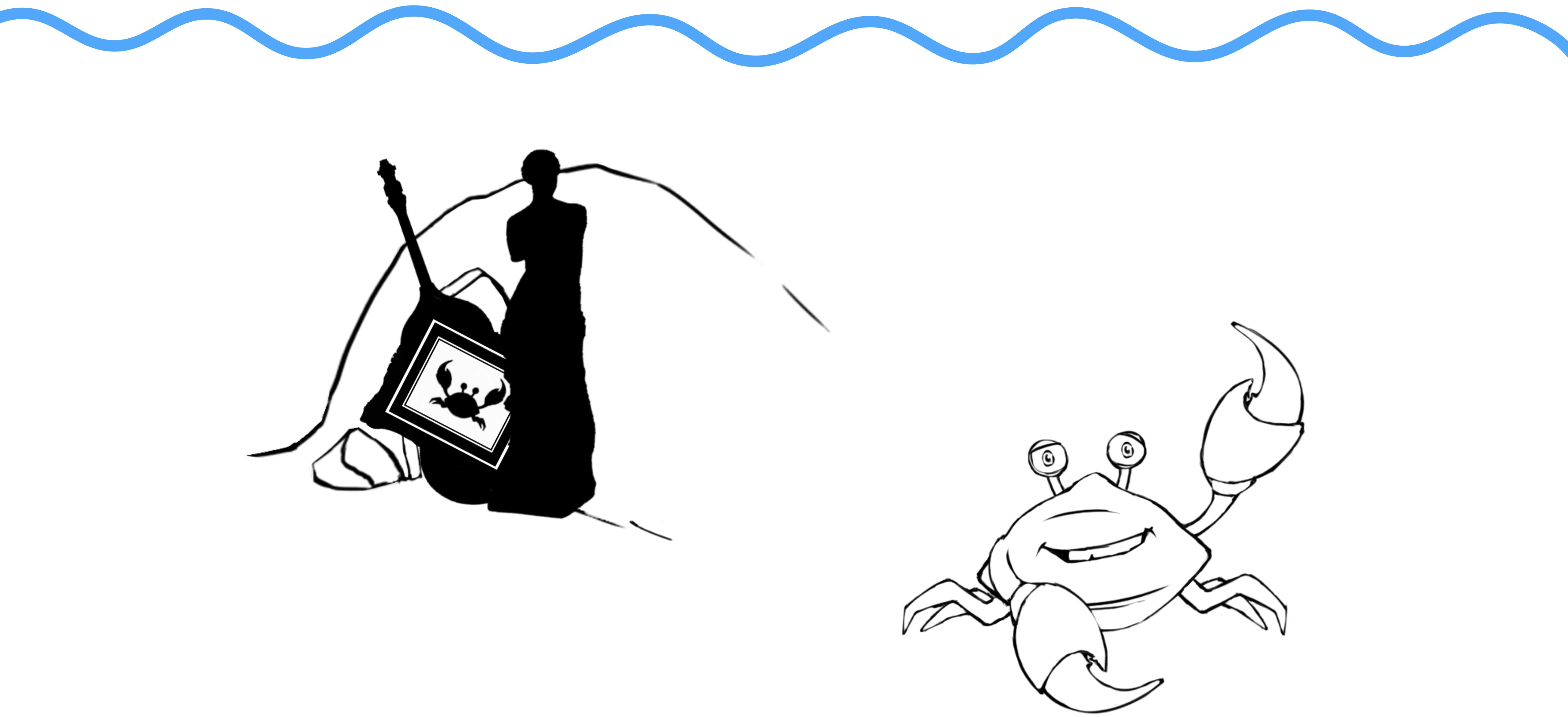


# Thinking Algorithmically is Fun

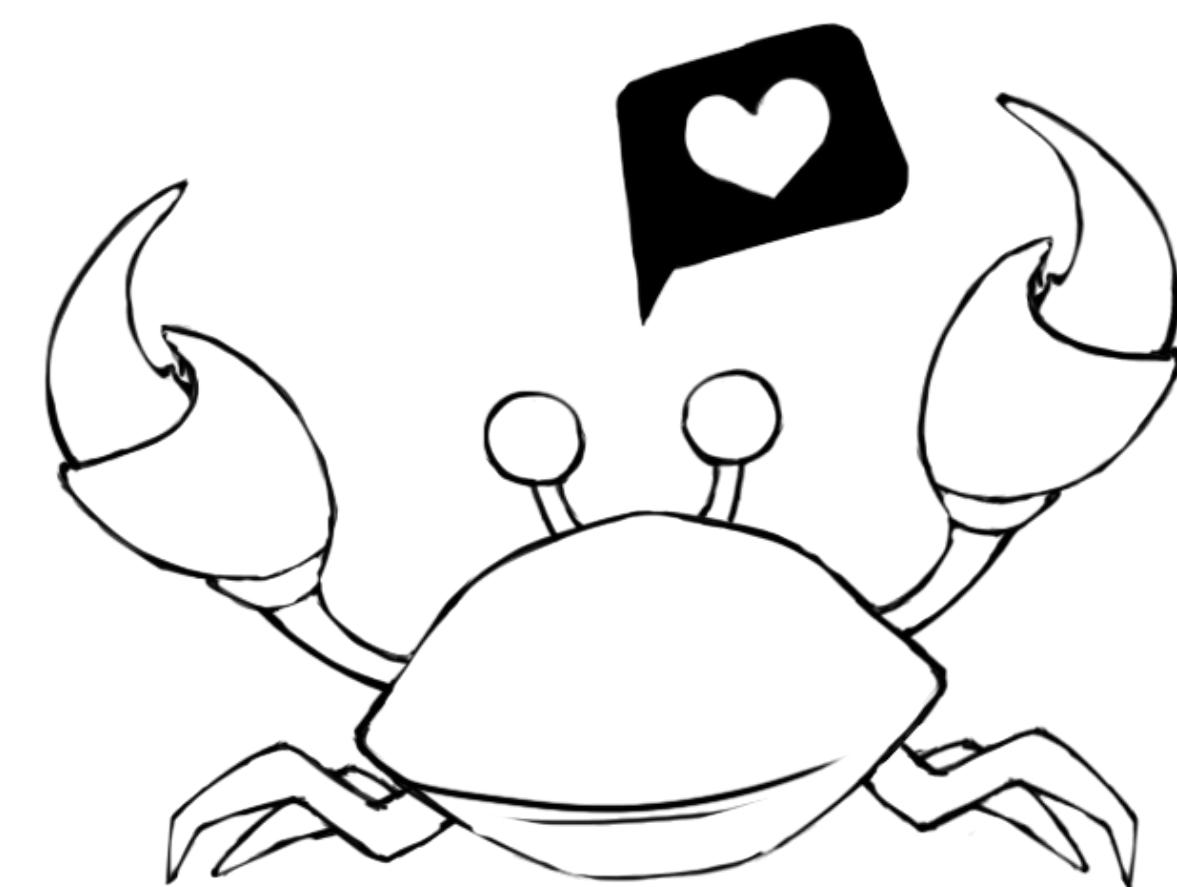


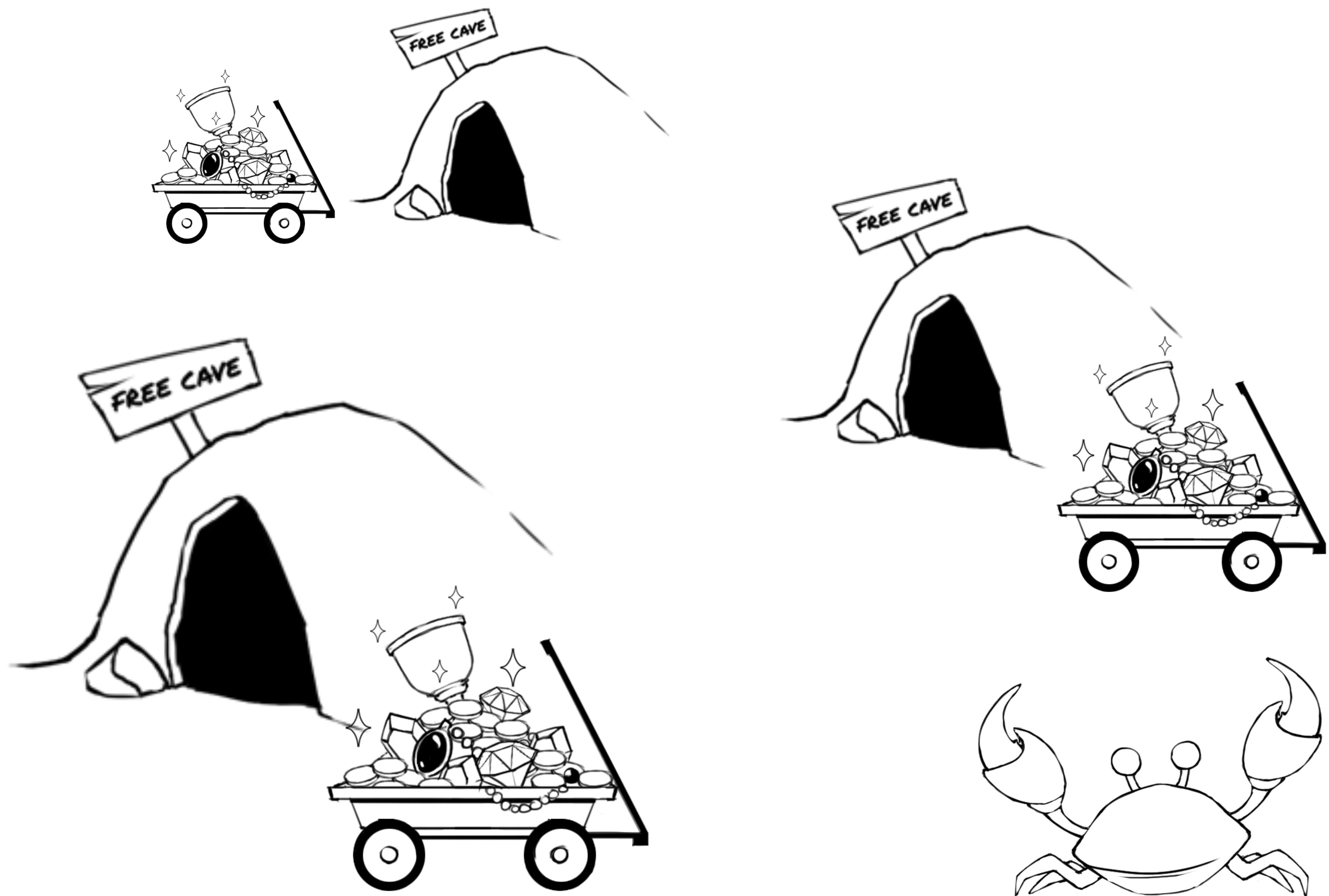
# Torpe

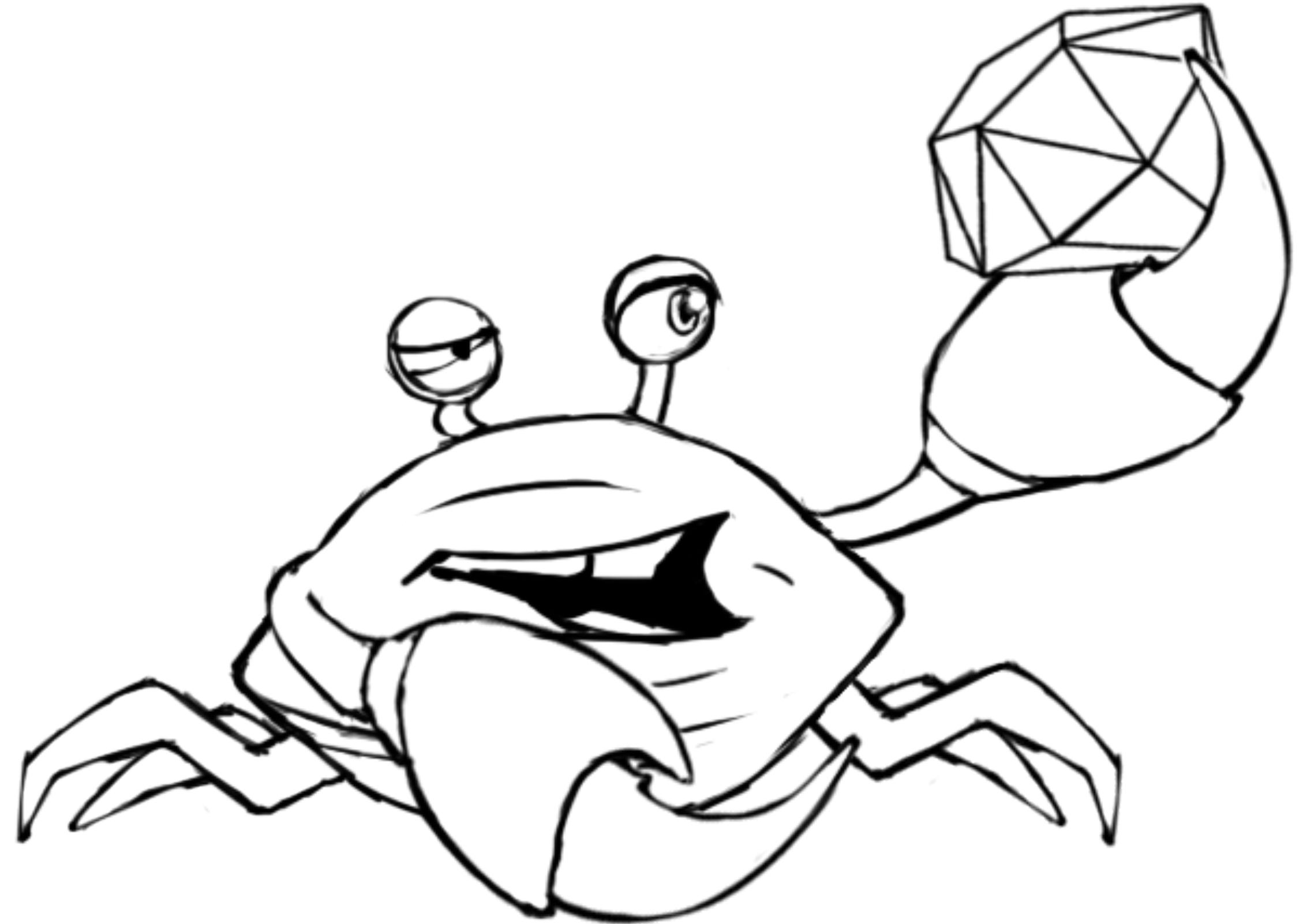
(the prosperous crab)





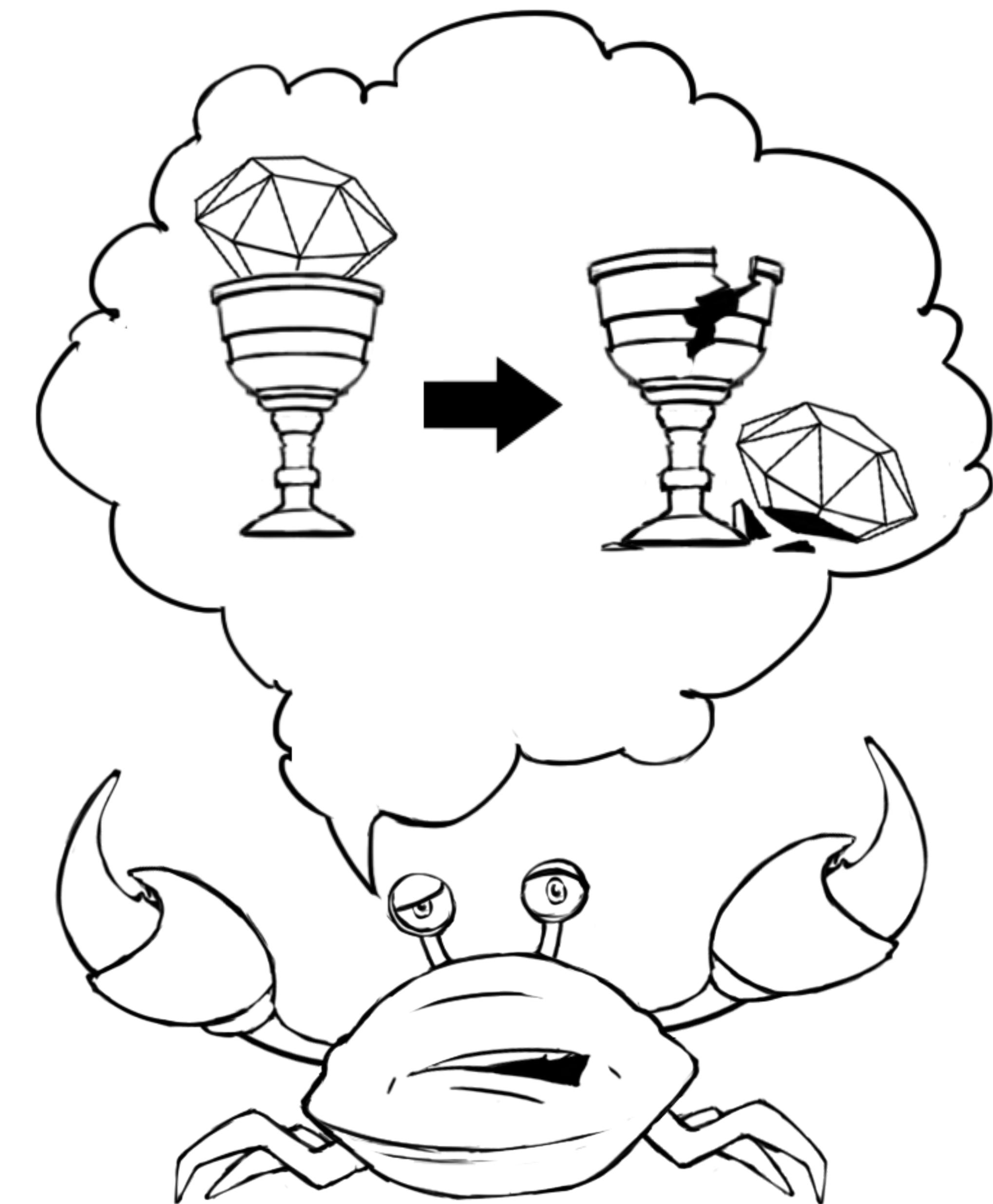


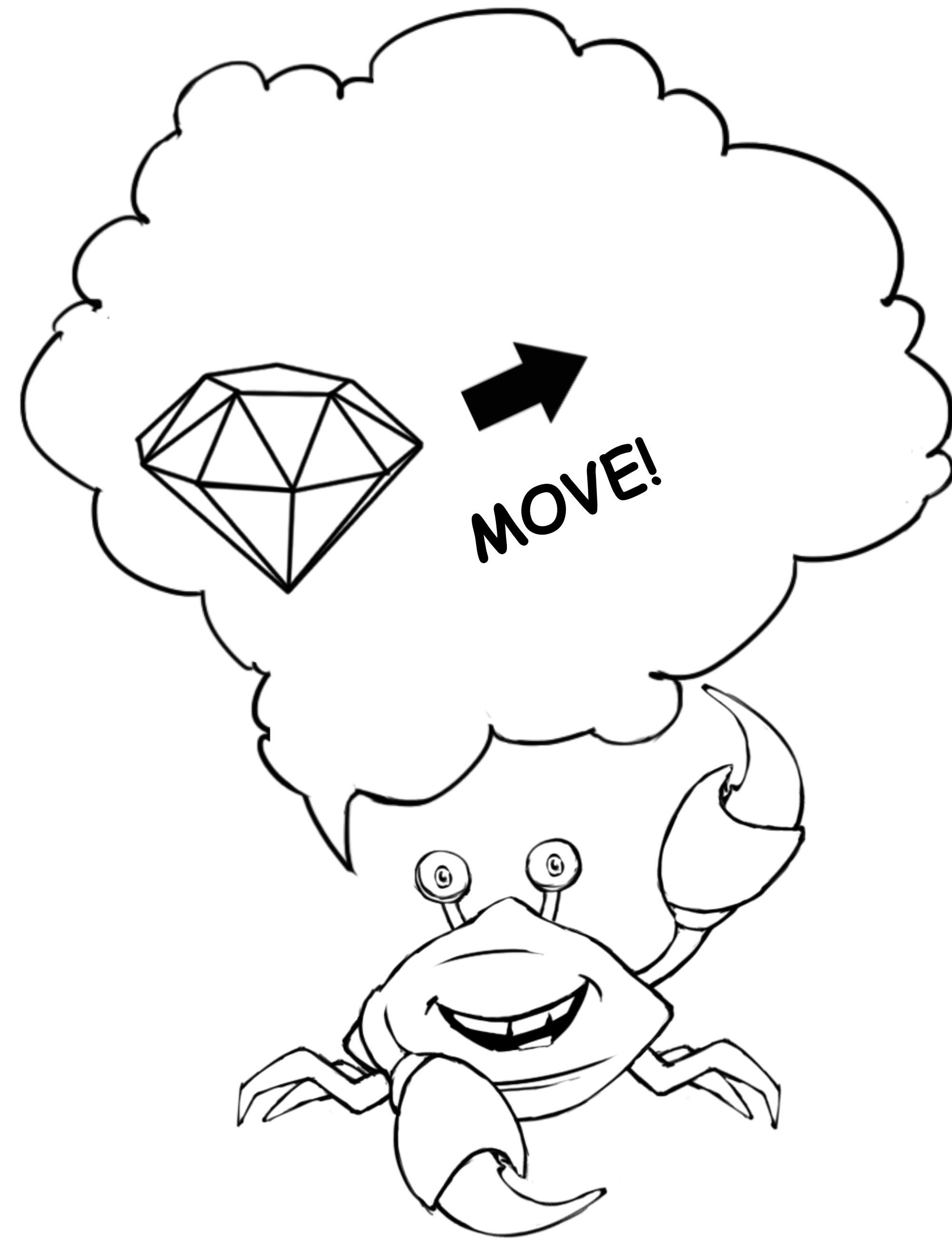


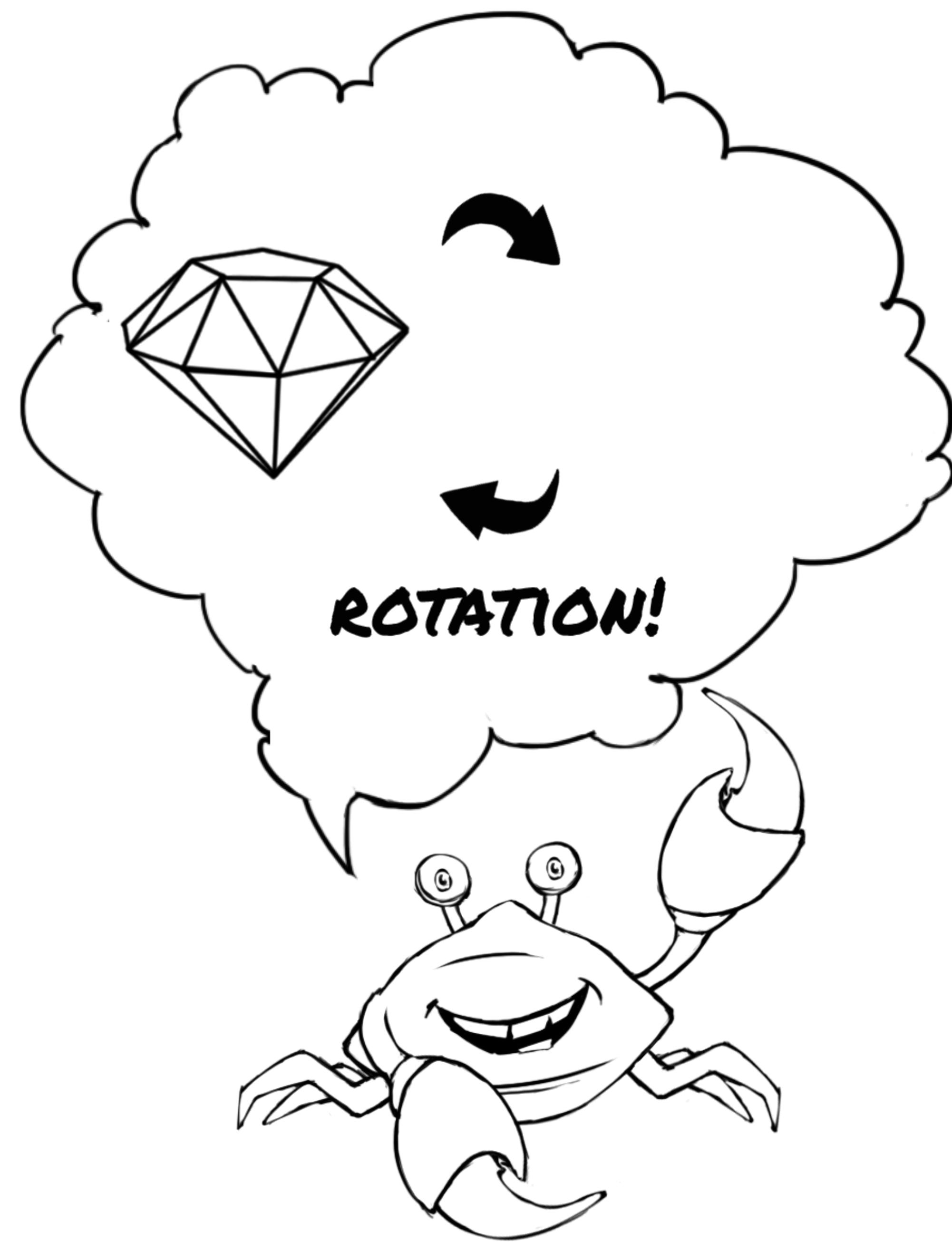


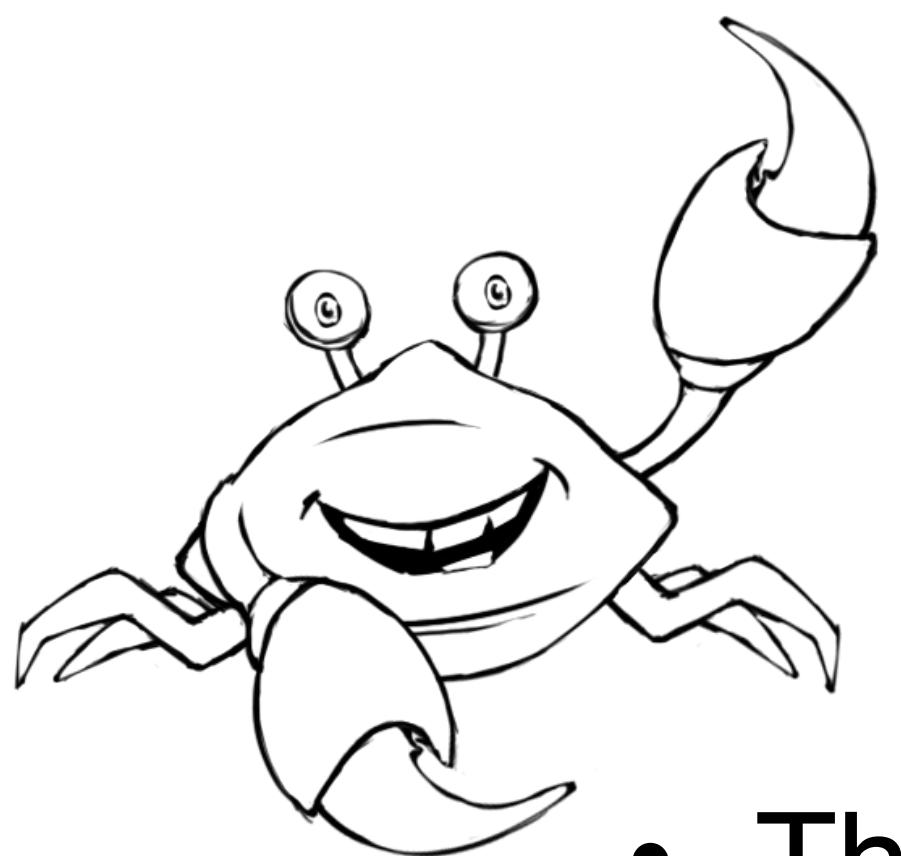










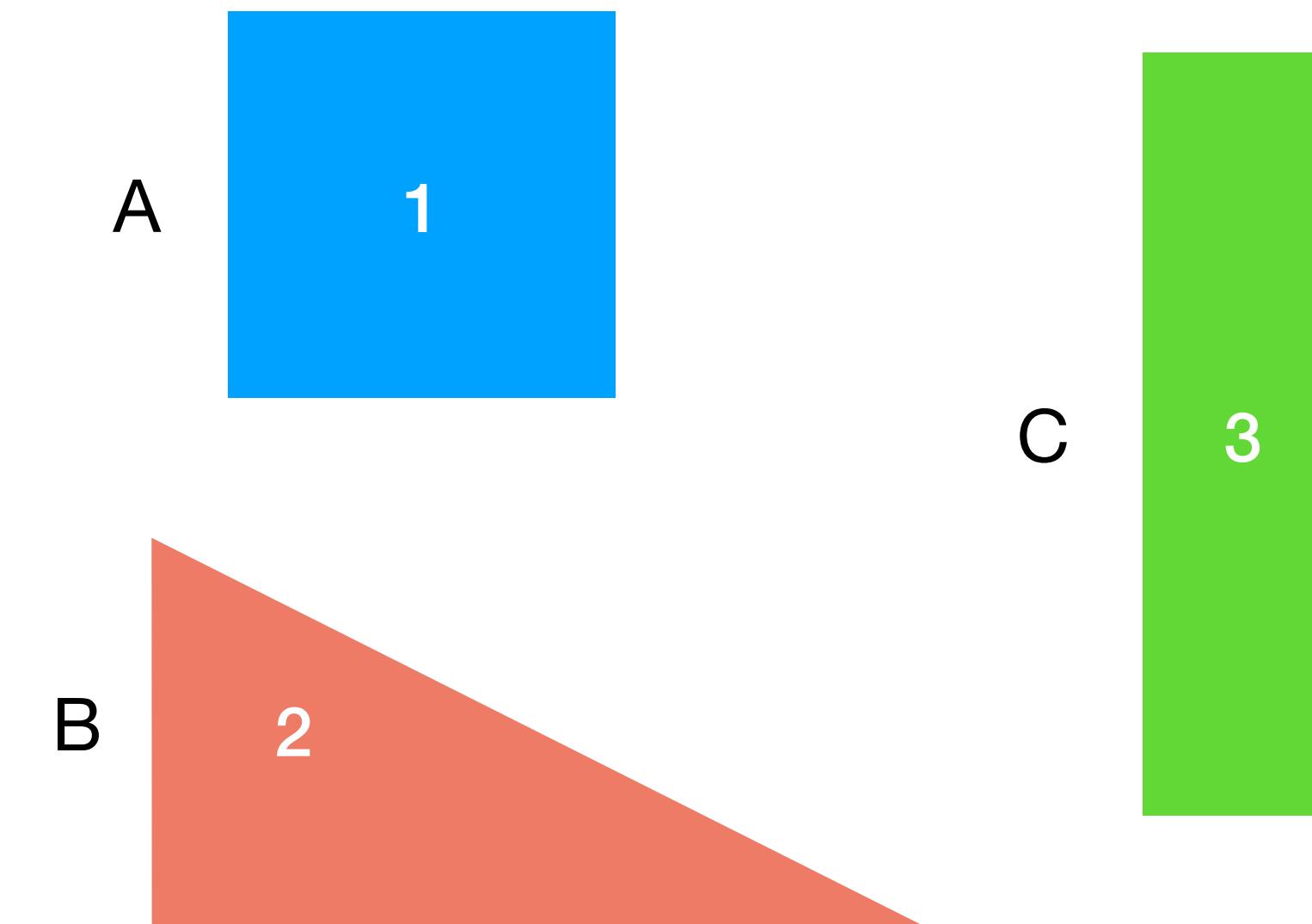
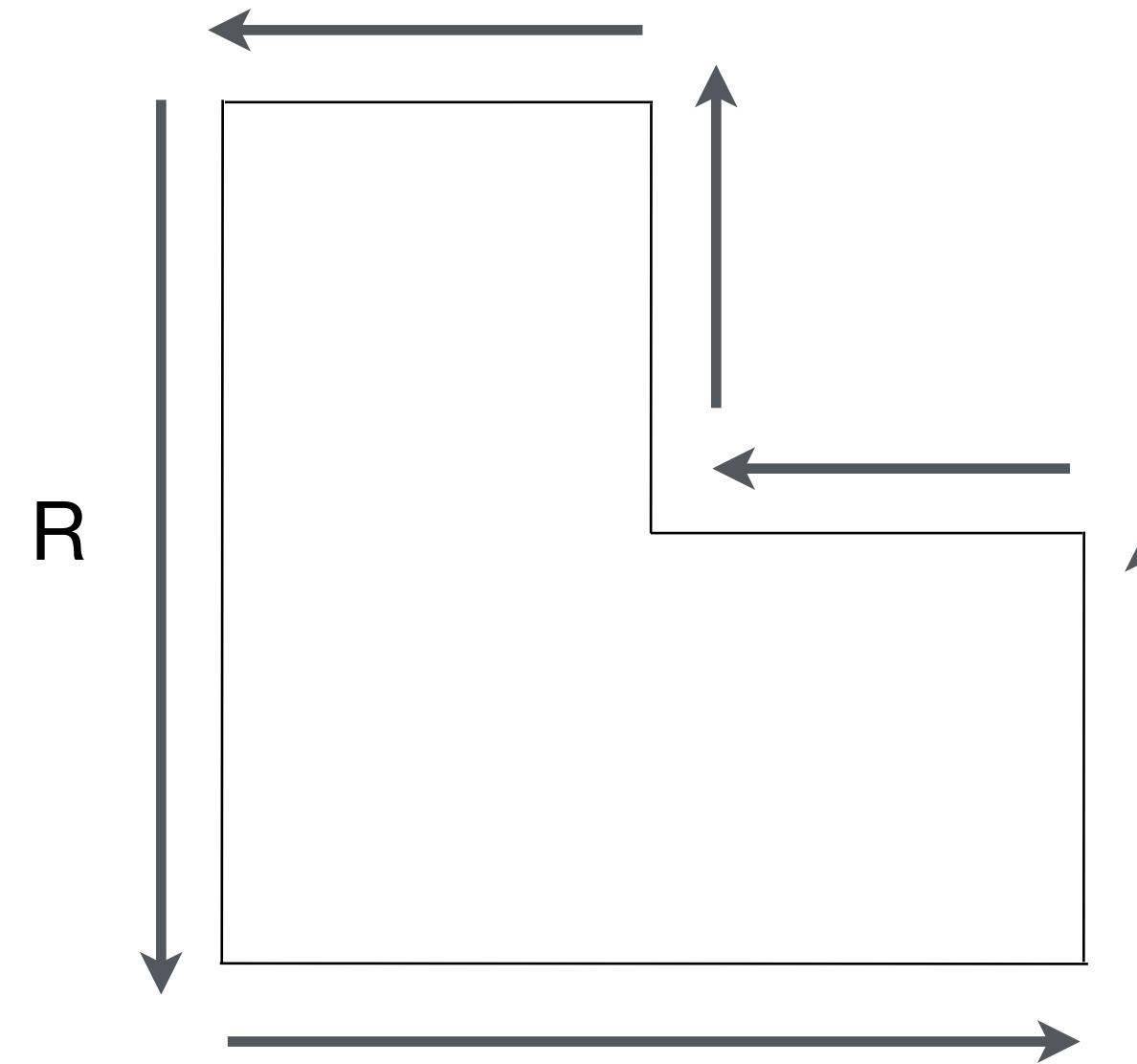


# Rules of the Game

- The problem: pack Torpe's belongings into a cave (2D)
- Requirements:
  - No overlapping, all within the room, at least 30% covered
  - Try to find the best (maximal cost)
- Available actions:
  - Moving the furniture
  - Rotating the furniture

# Q1: What Data Structures should We Use?

- Representing the cave
- Representing the furniture items
- Encoding the item costs
- Encoding the solutions



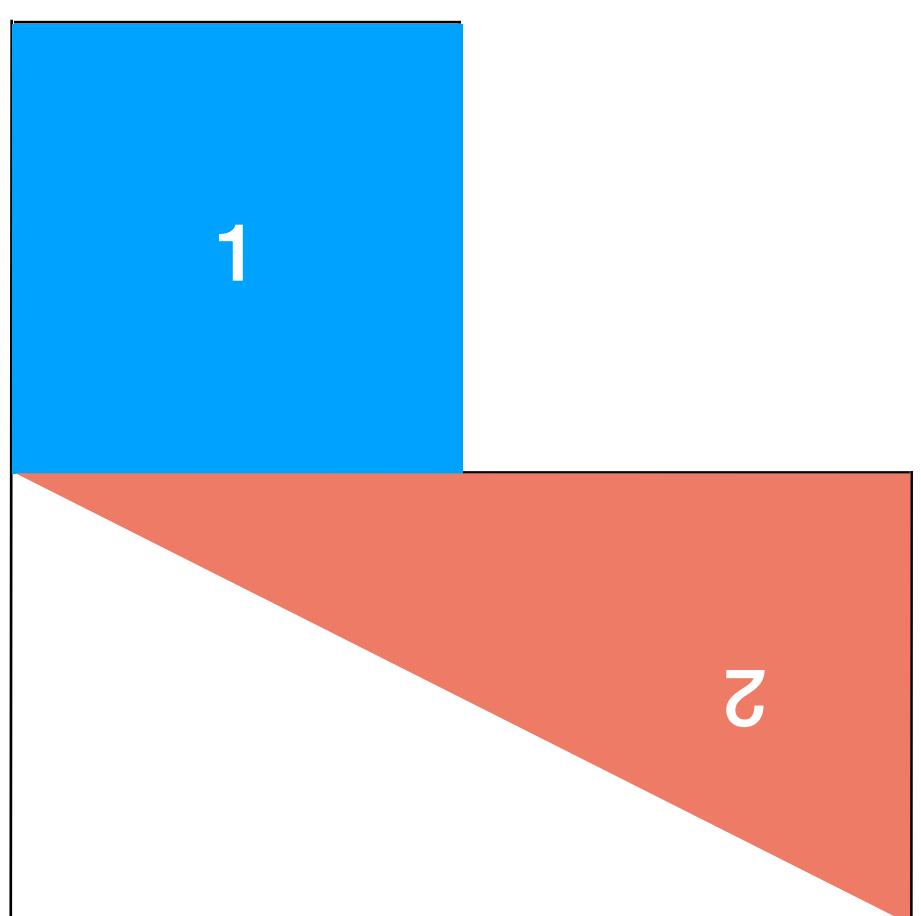
```
1: (0,0), (2,0), (2,1), (1,1), (1,2), (0,2) # 1:(0,0), (1,0), (1,1), (0,1); 2:(0,0), (2,0), (0,1); 3:(0,0), (0.5,0), (0.5,2), (0,2)
```

R

A

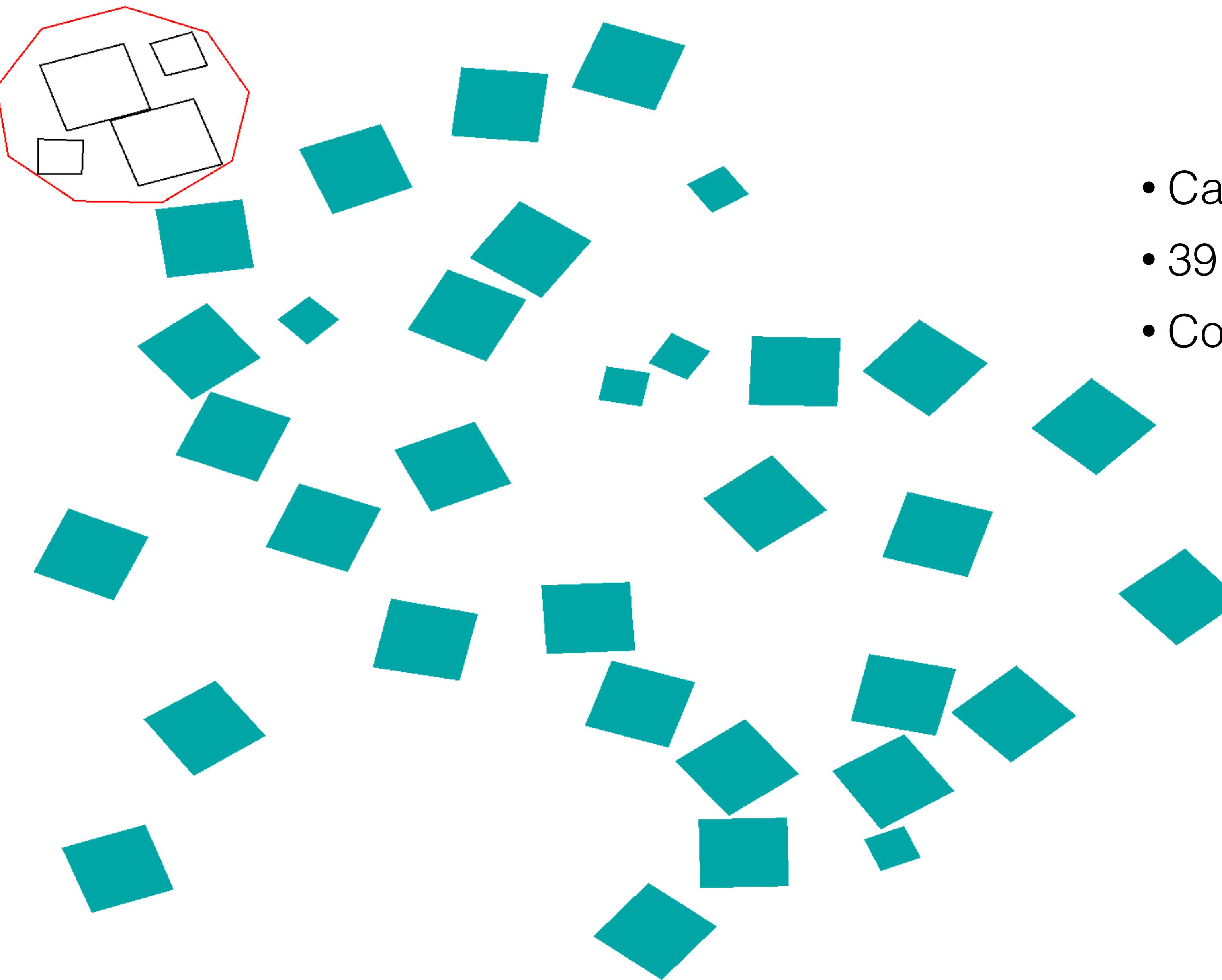
B

C

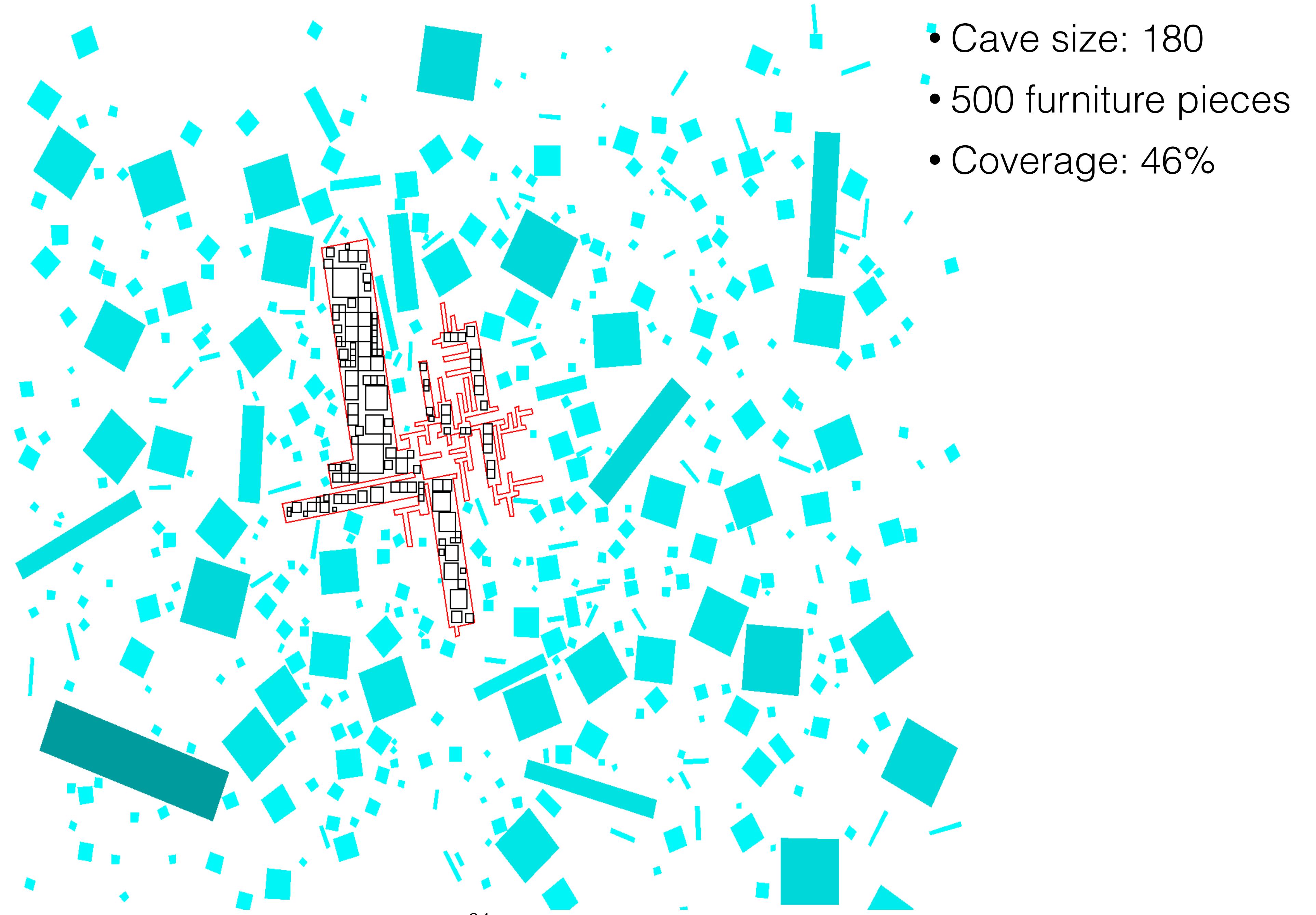


# Q2: Algorithm for Checking Solutions

- What is an acceptable solution?
- How to check it using the data types we already have?



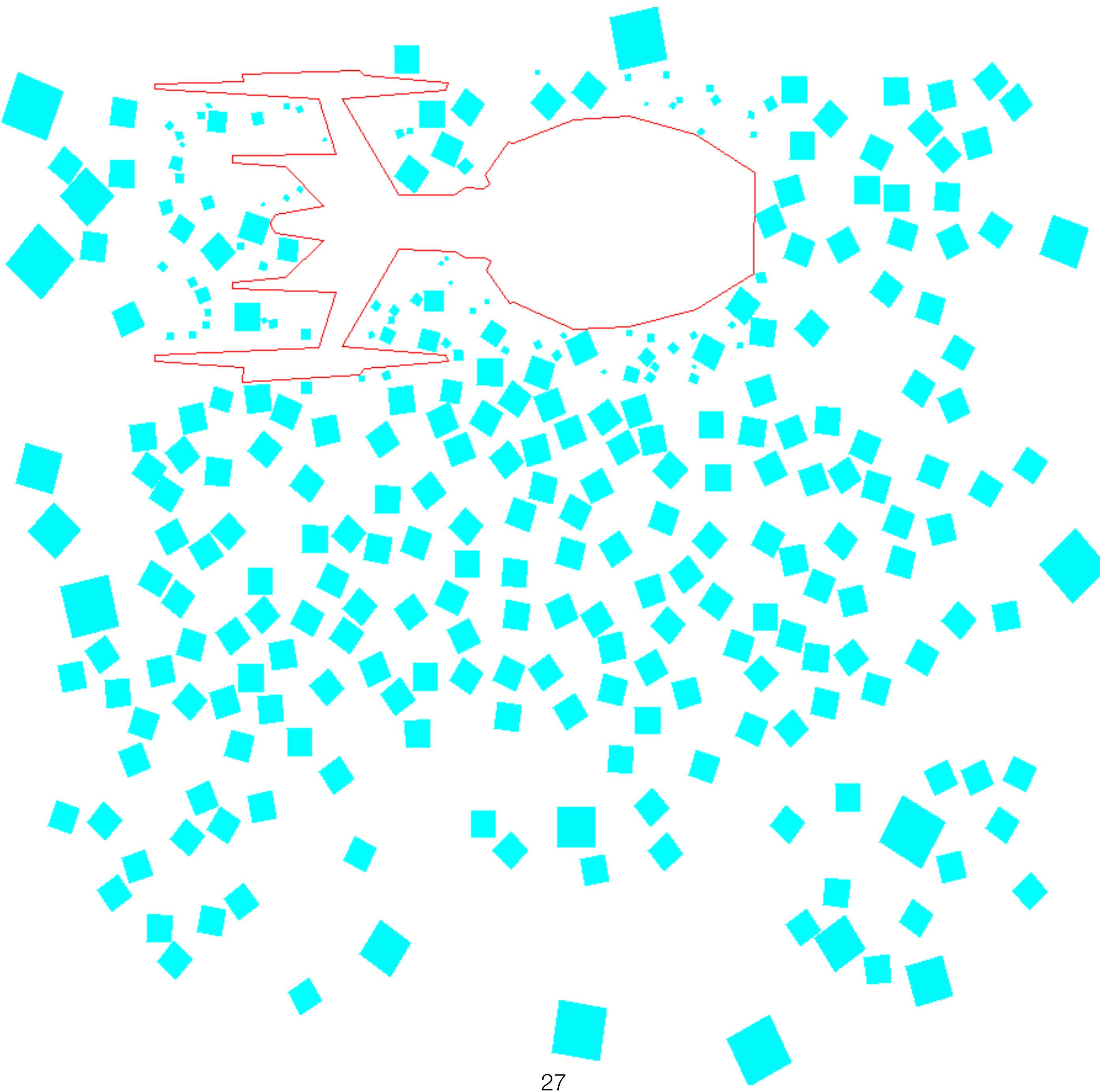
- Cave size: 9
- 39 furniture pieces
- Coverage: 40%



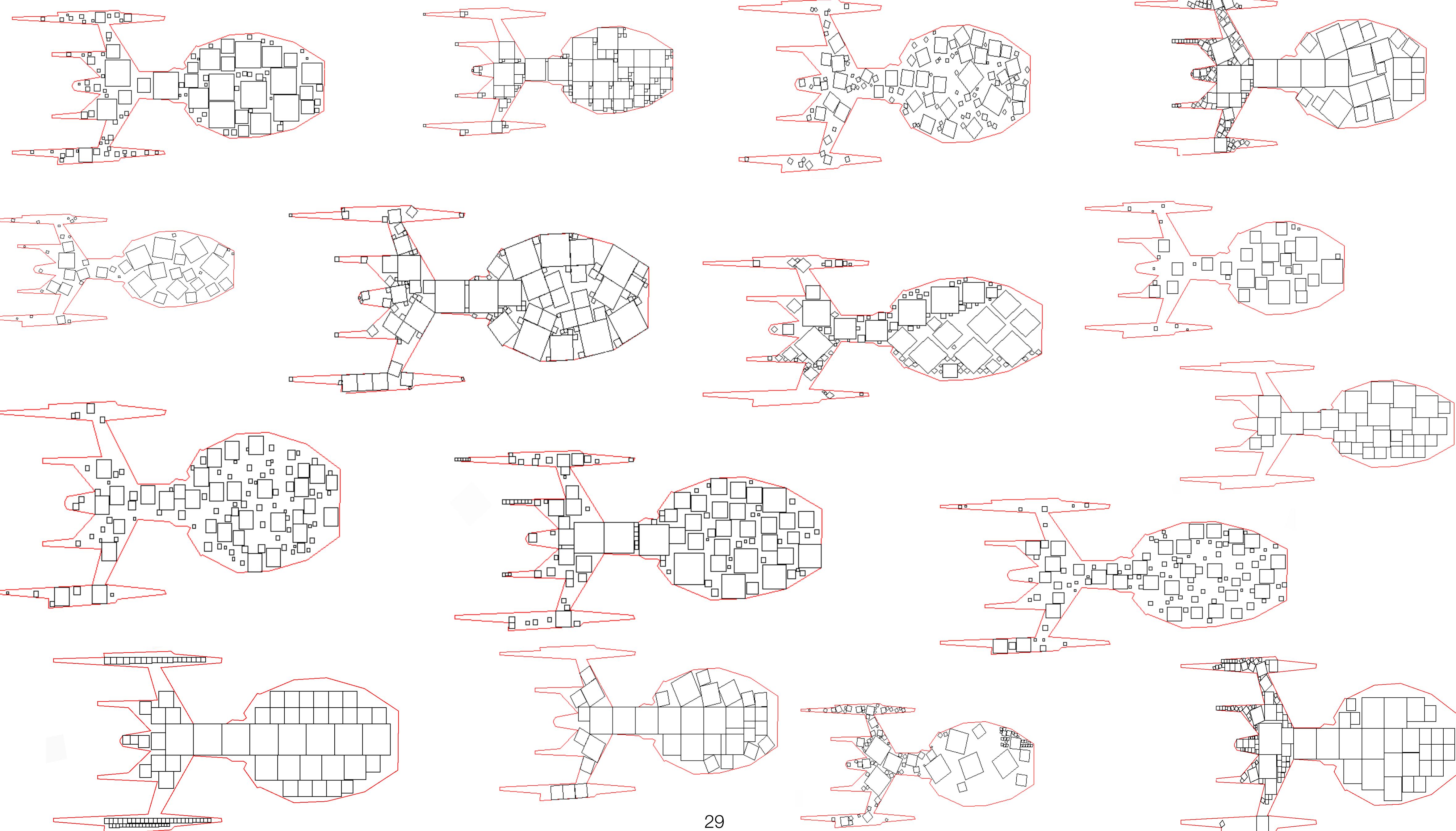
# Q3: Algorithm for Solving the Problem

- What are the main steps?
- How to produce an acceptable solution?
- When should we stop?

# Some solutions







# Why take this class?

- You will learn:
  - To *understand* and evaluate some classic algorithms
  - How to design algorithms that are *fast*
  - How to choose the right data structures for your problems
  - How to exhaustively *test* your code
  - A little bit about *compilers* and *memory management*
  - More functional and imperative programming in OCaml
  - How to be a better programmer (not just in OCaml, but any language)
- Expect this to be a very *challenging*, implementation-oriented course (duh!)
  - Programming assignments might take up *tens of hours* per week...

# Workload in 2020

(10 respondents)

**B2: Please select the exact number of hours you spent on this course in a typical week, not including scheduled seminar or lecture time.**

Name	1hr	2hr	3hr	4hr	5hr	6hr	7hr	8hr	9hr	10hr
YSC2229: Introductory Data Structures and Algorithms	0	0	0	0	1	0	0	0	0	3

Name	11hr	12hr	13hr	14hr	15hr	16hr	17hr	18hr	19hr	20hr	Mean
YSC2229: Introductory Data Structures and Algorithms	1	3	1	0	0	1	0	0	0	0	11.10

# What else this course is about

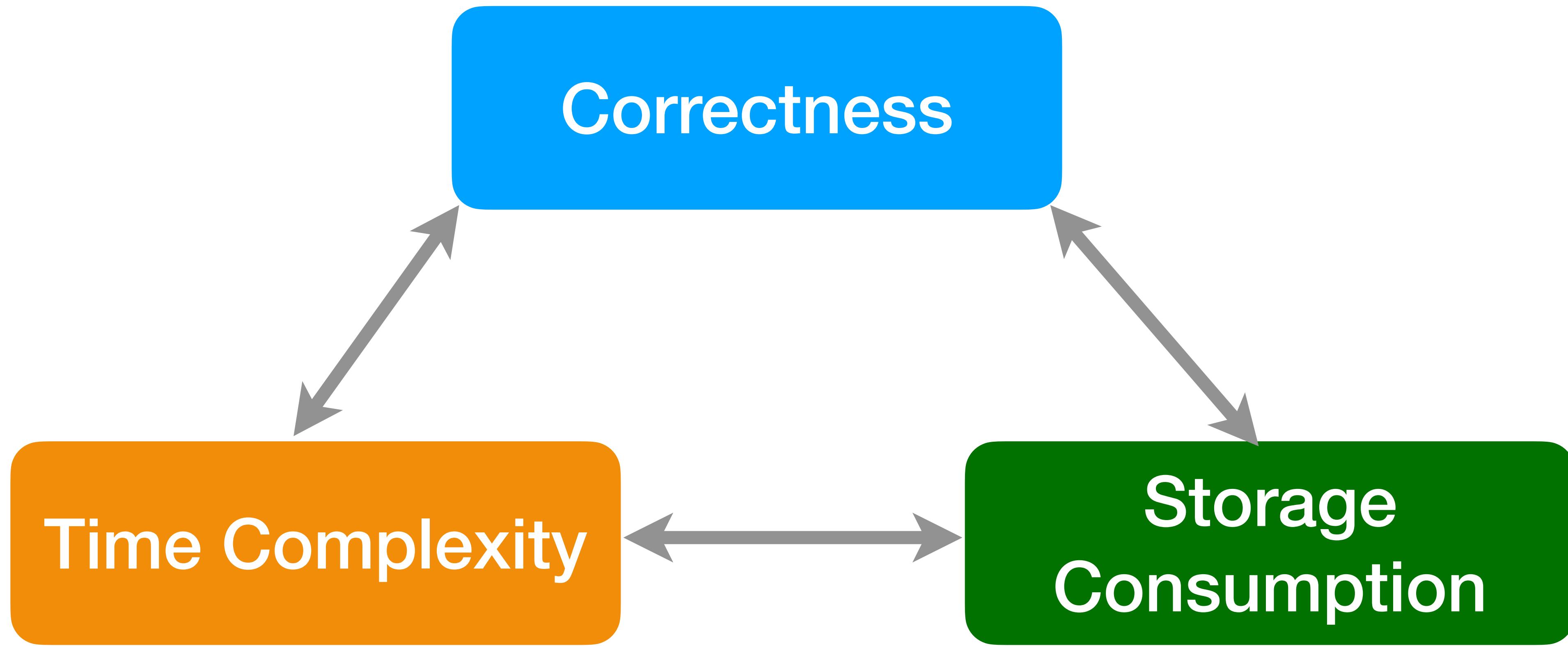
# Analysis of Algorithms

# Aspects that we will study

- Algorithm Correctness
- Algorithm Termination
- Time complexity
  - Worst case
  - Average case
  - Best/Worst case

# Aspects that we will study

- Algorithm Correctness — *Does my algorithm really do what it's supposed to do?*
- Algorithm Termination — *Does my algorithm always complete its work?*
- Time complexity — *How slow is my algorithm...*
  - Worst case — ... *in the worst possible case?*
  - Average case — ... *in an average case?*
  - Best/Worst case — ... *if I do my best to optimise it?*



# Algorithmic problems and Time Complexity

- **tractable problems** — admit solutions that run in “reasonable” time (e.g., sorting, searching, compression/decompression)
- **possibly intractable** — probably don’t have reasonable-time algorithmic solutions (e.g., SAT, graph isomorphism)
- **practically intractable** — definitely don’t have such solutions (e.g. the Towers of Hanoi)
- **non-computable** — can’t be solved algorithmically at all (e.g., the halting problem)

Why do we care about  
Time Complexity?

# Example: Determinant of a matrix

Laplace expansion:  $|M| = \sum_{i=1}^n (-1)^{i-1} M_{1,i} |M^{1,i}|$

$M$ 's element  
at row 1,  
column i       $(1,i)$ -minor of  $M$

For a 3x3 matrix:

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix} =$$

$$a_{11} \begin{vmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{vmatrix} - a_{12} \begin{vmatrix} a_{21} & a_{23} \\ a_{31} & a_{33} \end{vmatrix} + a_{13} \begin{vmatrix} a_{21} & a_{22} \\ a_{31} & a_{32} \end{vmatrix} =$$

$$a_{11}(a_{22} \cdot a_{33} - a_{23} \cdot a_{32}) - a_{12}(a_{21} \cdot a_{33} - a_{23} \cdot a_{31}) + a_{13}(a_{21} \cdot a_{32} - a_{22} \cdot a_{31})$$

# Example: Determinant of a matrix

Laplace expansion:  $|M| = \sum_{i=1}^n (-1)^{i-1} M_{1,i} |M^{1,i}|$

(in Haskell)

```
detLaplace :: Num a => Matrix a -> a

detLaplace m
| size m == 1 = m ! (1,1)
| otherwise    =
  sum [ (-1)^(i-1) * m ! (1,i) * detLaplace (minorMatrix 1 i m) |
        i <- [1 .. ncols m] ]
```

(demo)

# Triangular matrices

$$L = \begin{pmatrix} a_{1,1} & 0 & \cdots & 0 \\ a_{2,1} & a_{2,2} & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots \\ a_{n,1} & a_{n,2} & \cdots & a_{n,n} \end{pmatrix} \quad U = \begin{pmatrix} a_{1,1} & a_{1,2} & \cdots & a_{1,n} \\ 0 & a_{2,2} & \cdots & a_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_{n,n} \end{pmatrix}$$

Determinant of a triangular matrix  
is a *product* of its diagonal elements.

For a 3x3 matrix:

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} \\ 0 & a_{22} & a_{23} \\ 0 & 0 & a_{33} \end{vmatrix} =$$

$$a_{11}(a_{22} \cdot a_{33} - \cancel{a_{23} \cdot 0}) - a_{12}(\cancel{0 \cdot a_{33}} - \cancel{a_{23} \cdot 0}) + a_{13}(\cancel{0 \cdot a_{32}} - \cancel{a_{22} \cdot 0}) = a_{11} \cdot a_{22} \cdot a_{33}$$

# Determinants via LU-decomposition

LU-decomposition: any square matrix  $M$ , such that its top-left element is non-zero can be represented in a form

$$M = LU$$

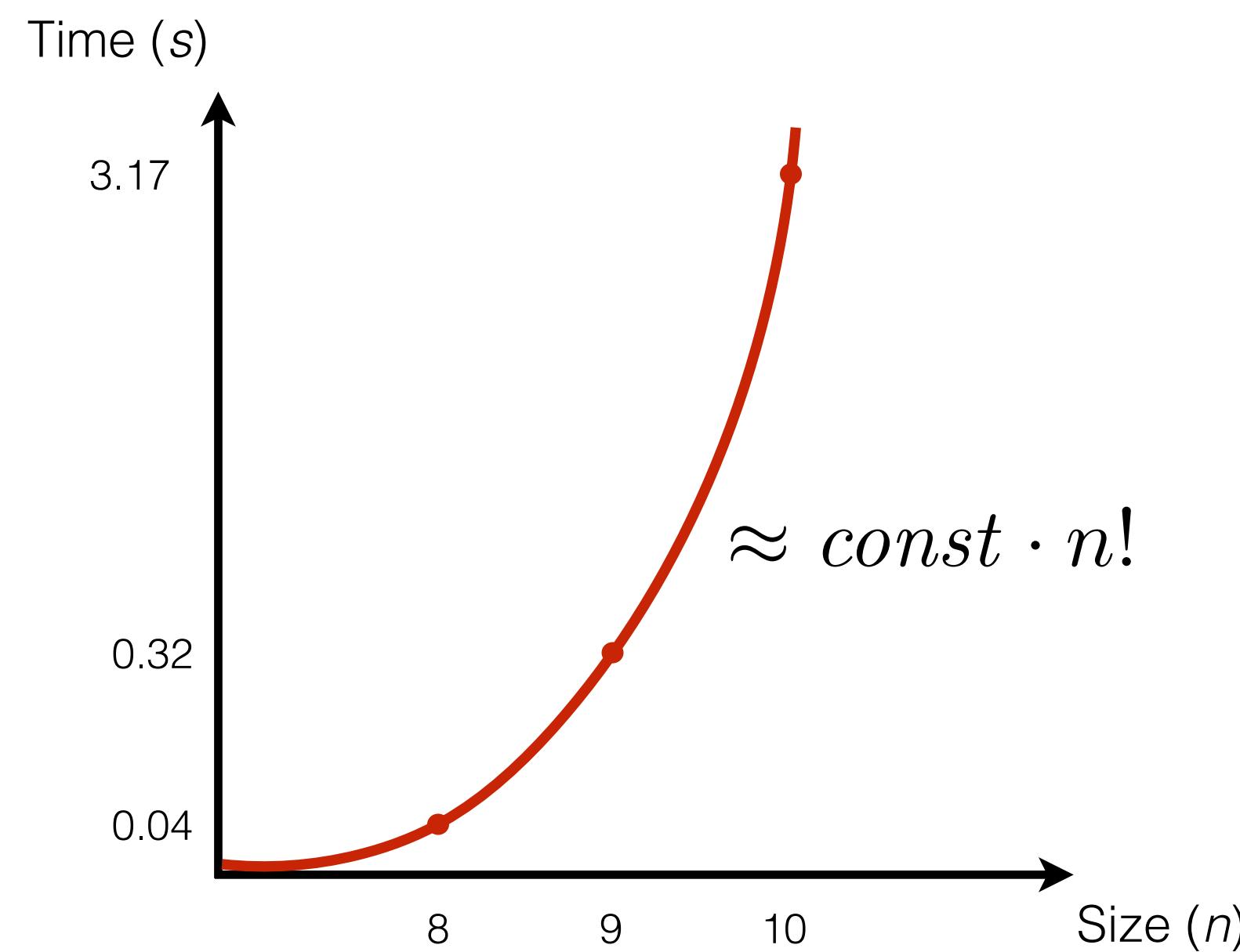
where  $L$  and  $U$  are lower- and upper-triangular matrices.

Therefore,  $|M| = |L| \cdot |U|$

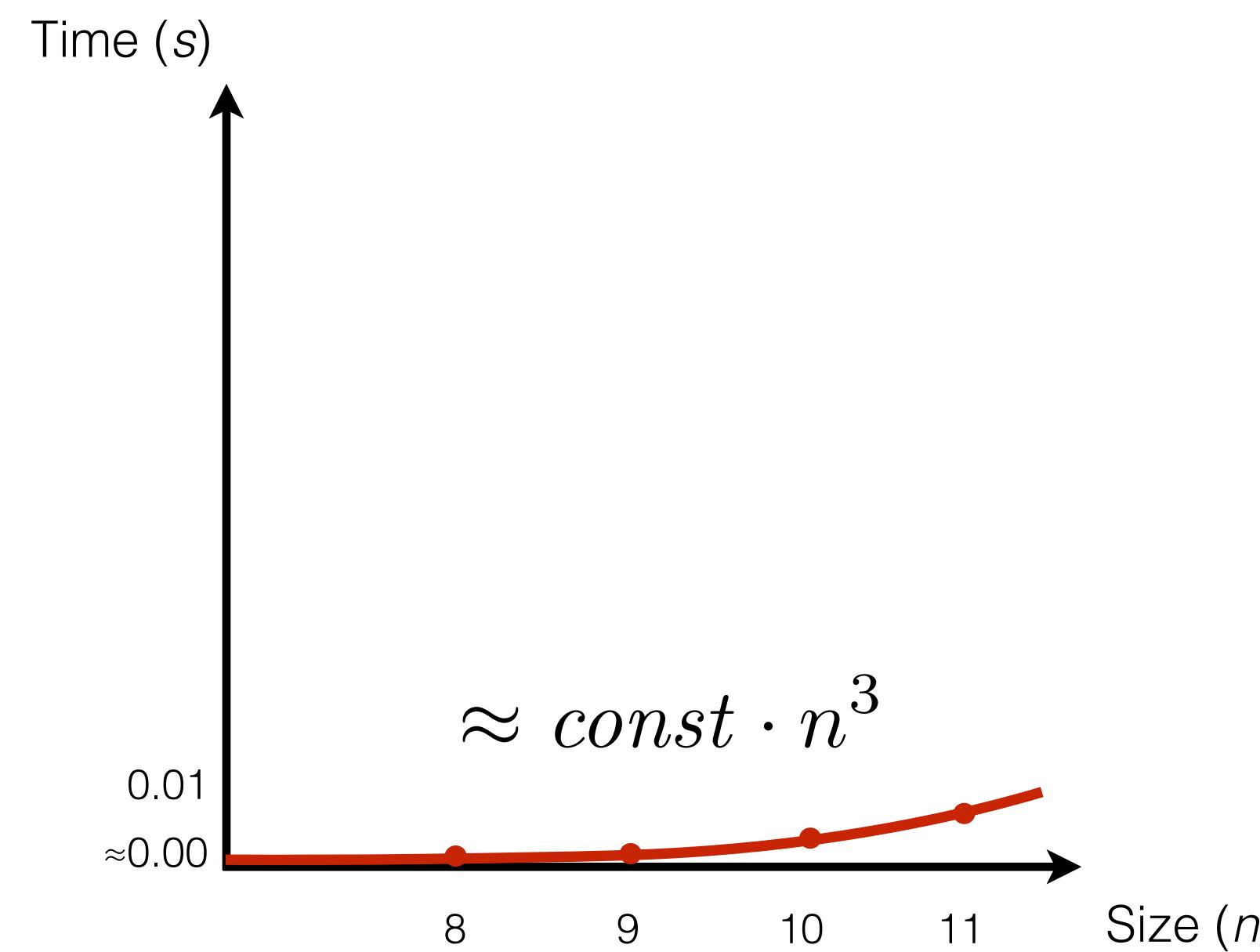
```
detLU :: Num a => Matrix a -> a
detLU m = case luDecomp m of
    (l, u) -> diagProd l * diagProd u
```

(demo)

# Running time as a function of size



Determinant via  
Laplace expansion



Determinant via  
LU-decomposition

# Time demand depends on problem size

Function	Problem size			
	10	$10^2$	$10^3$	$10^4$
$\log_2 n$	3.3	6.6	10	13.3
$n$	10	100	1000	$10^4$
$n \log_2 n$	33	700	$10^4$	$1.3 \times 10^5$
$n^2$	100	$10^4$	$10^6$	$10^8$
$n^3$	1000	$10^6$	$10^9$	$10^{12}$
$2^n$	1024	$1.3 \times 10^{30}$	$> 10^{100}$	$> 10^{100}$
$n!$	$3 \times 10^6$	$> 10^{100}$	$> 10^{100}$	$> 10^{100}$

# “Sizes” of different problems

Problem	Input size, $n$
sorting	number of items to be sorted
searching	size of the set to query
determinant calculation	number of rows and columns in the matrix
finding a shortest path	number of “checkpoints” to choose from

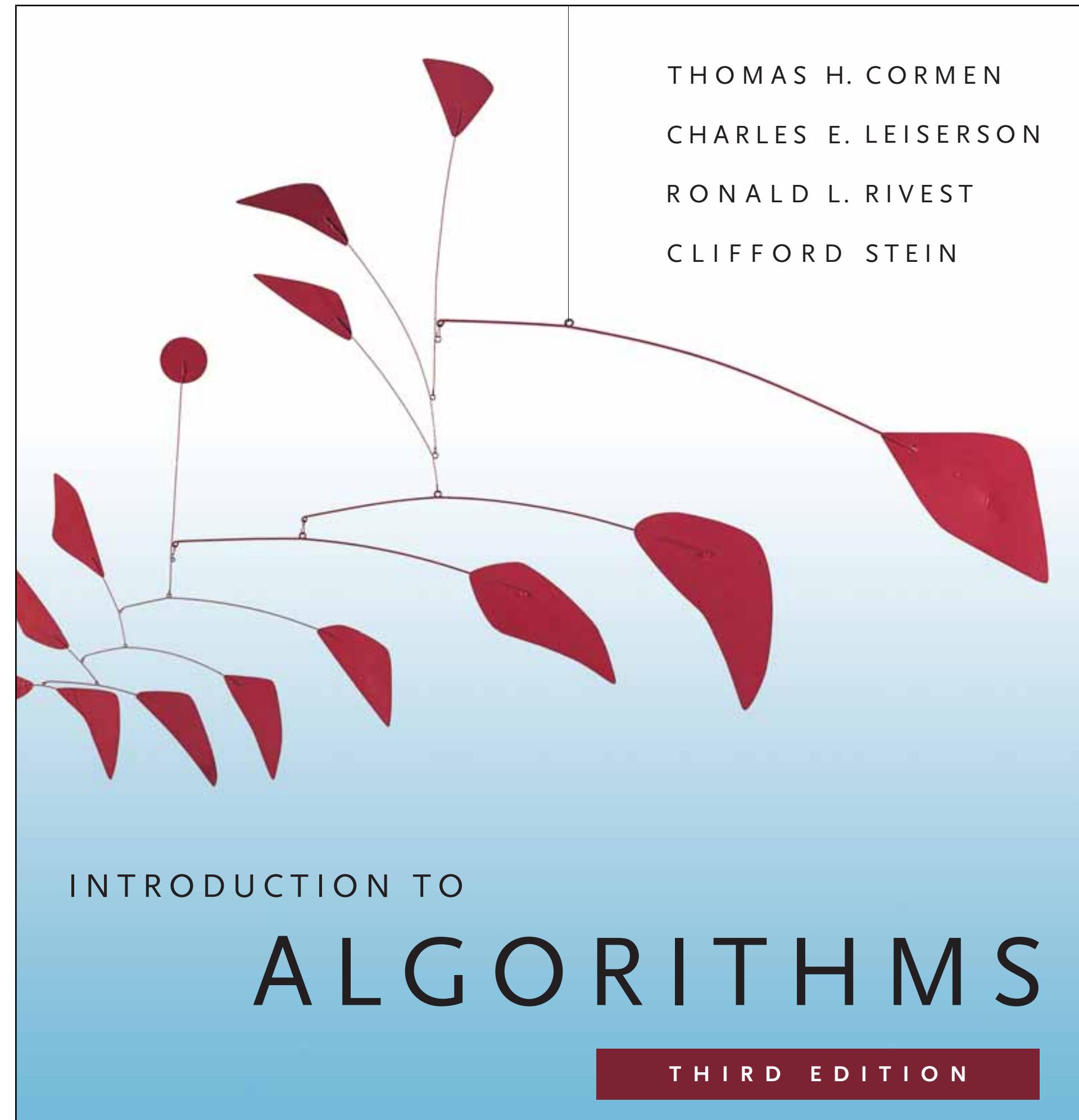
# Two ways to analyse algorithms

- **Empirical** — repeatedly run algorithm with different inputs to get some idea of behaviour on different inputs
  - was our selection of inputs representative?
  - this consumes the very resource (time) we are trying to conserve!
- **Theoretical** — analysis of a “paper” version of the algorithm
  - can deal with all cases (even impractically large input instances);
  - machine-independent.

# What we will learn about

- Correctness and Invariants
- Time Complexity and Order Notation
- Reasoning about Recursive Algorithms
- Searching Algorithms
- InsertSort, MergeSort, QuickSort
- Sorting in Linear Time
- Binary Heaps and HeapSort
- Abstract Data Types: Stacks, Queues
- Hash-Tables
- Memory Allocation
- Randomised Structures and False Positives
- Substring Search Algorithms
- Constraint Solving and Backtracking
- Optimisation and Dynamic Programming
- Input/Output and Binary Encodings
- Data Compression and Huffman Encoding
- Union-Find
- Representing Sets, Binary Search Trees
- Representing Graphs
- Shortest Paths, Spanning Trees
- Basics of Computational Geometry
- Convex Hulls

# The Textbook



# Lecture Notes

[ilyasergey.net/YSC2229](http://ilyasergey.net/YSC2229)

# Code from Lectures

[github.com/ysc2229/ysc2229-2021](https://github.com/ysc2229/ysc2229-2021)

every week is a new branch

# Working Tools



- OCaml
- Emacs/Aquamacs
  - <https://ilyasergey.net/YSC2229/prerequisites.html>
- GitHub for homework assignments
  - Make sure to make yourself an account (it's free)
  - Also, ask for students benefits (also free)

# Assessment

- 65% — homework exercises (10 assignments)
- 15% — mid-term project (12 code, 3 report)
- 15% — final project (12 code, 3 report)
- 5% class participation (attendance, questions)

# Homework

- Two types: theoretical and programming assignments
- To be completed *individually*
- Deliverables:
  - a GitHub release with an OCaml project (programming)
  - a PDF with typeset answers (theory)
- Each assignment is graded out of 20 points
- Coding assignments that **don't compile will get 0 points**

# Collaboration

- Permitted:  
Talking about the homework problems with other students; using other textbooks; using the Internet to improve understanding of the problems.
- Not permitted:  
Obtaining the answer directly from anyone or anything else in any form.

# Homework Policies

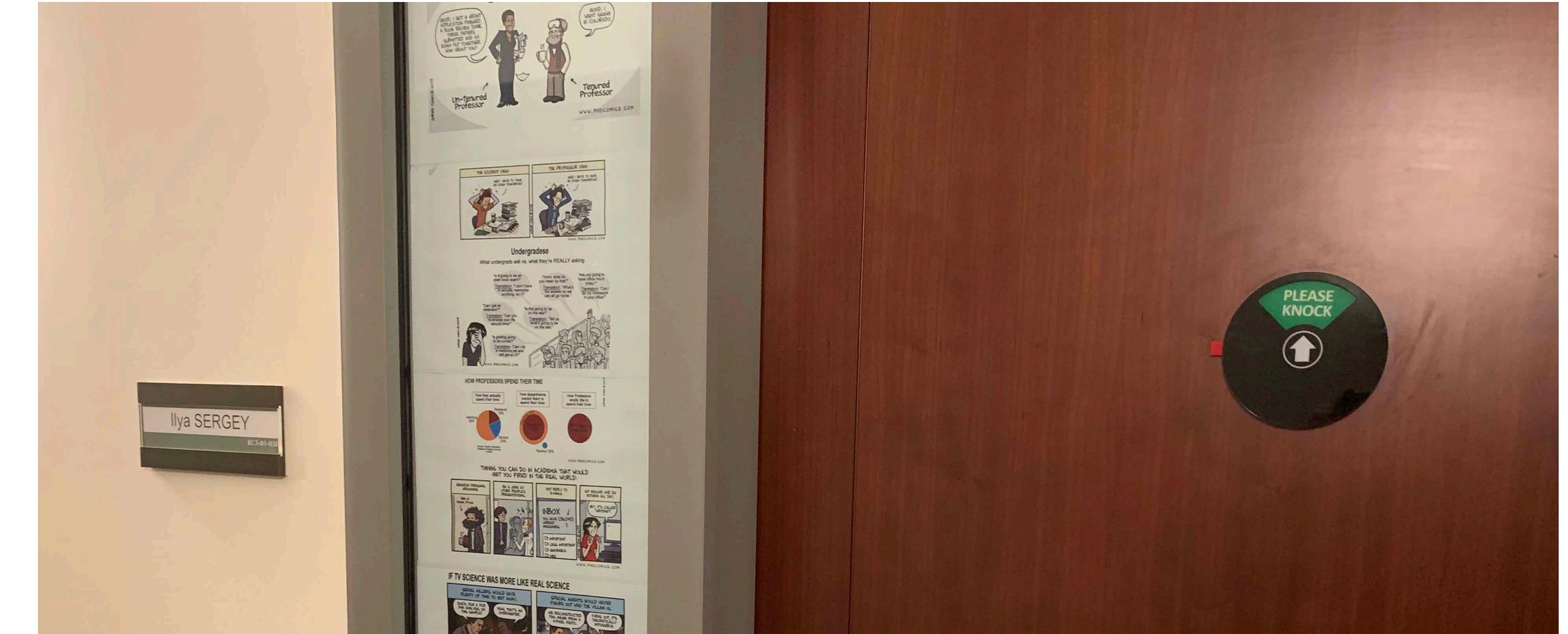
- Work submitted *before* the deadline and receiving less than 18 points can be resubmitted *within one week* after the grades are posted on Canvas.
- The amended grade will not be higher than 18
- Late submissions will be penalised by subtracting *(full days after deadline + 2)* points from the maximal score (20).
- Late submissions cannot be resubmitted.

# Mid-term and Final Projects

- Done in **teams of two**  
(possibly one team of three, with some extra tasks)
- Graded out of 15 points (each counts towards 15% of final score)
- Deliverables:
  - GitHub release
  - PDF report, submitted *individually* by each member of the team.

# Getting Help

- Office Hours (#RC3-01-03E, Cendana):  
**Wednesdays 17:00-19:00**  
**Please, email me upfront!**



- **E-mail policy:** questions about homework assignments sent less than 24 hours before submission deadline **won't be answered.**
- Exception: bug reports.

# Peer Tutors



**Tram Hoang**

[tram.hoang@u.yale-nus.edu.sg](mailto:tram.hoang@u.yale-nus.edu.sg)

Wednesdays, 7pm-9pm, Location: CR20



**Gabriel Petrov**

[gabrielphoenixpetrov@u.yale-nus.edu.sg](mailto:gabrielphoenixpetrov@u.yale-nus.edu.sg)

Thursdays, 6pm-8pm, Location: CR20

# General Advice



- Friday afternoon class, many of you will be tired. Try to make class livelier by asking questions and participating in discussions.
- Lecture notes will contain exercises. Please, please try these! No better practice than actually solving problems.

Time for a short break