YSC4231: Parallel, Concurrent and Distributed Programming

Theory Assignment 2

In this theory assignment, some of the problems refer to an accompanying repository. Feel free to fork it and play with the code. For your solution, though, you only have to submit a PDF with explanations and proofs — no code is required.

Problem 1. Consider a variant of Peterson's algorithm implemented by ModifiedPetersonLock in the accompanying repository. Does the modified algorithm satisfy deadlock-freedom? What about starvation-freedom? Sketch a proof showing why it satisfies both properties, or display an execution where it fails.

Problem 2. Programmers at the Flaky Computer Corporation designed the algorithm implemented in by FlakyLock class (see the repository) to achieve *n*-thread mutual exclusion. For each question, either sketch a proof, or display an execution where it fails:

- Does this protocol satisfy mutual exclusion?
- Is this protocol deadlock-free?
- Is this protocol starvation-free?

Problem 3. Lock contention occurs whenever one process or thread attempts to acquire a lock held by another process or thread, which might lead to deadlock or starvation. In practice, almost all attempts to acquire a lock are uncontended, so the most practical measure of a lock's performance is the number of steps needed for a thread to acquire a lock when *no other thread is concurrently trying to acquire the lock*.

Examine the "lock wrapper" implemented by the FastPath class in the accompanying repository. We claim that if the argument Lock instance provides mutual exclusion and is starvation-free, so does the FastPath lock, but it can be acquired in a constant number of steps in the absence of contention. Sketch an argument why we are right, or give a counterexample showing why this FastPath violates this claim or any other lock properties. Feel free to use the provided tests to play with the lock.

Problem 4. Examine the Bouncer class in the accompanying repository. Suppose *n* threads call its method visit() concurrently (as in test BouncerTest). Prove that:

- 1. By the end of the execution, at most one thread (of the class BouncerThread) gets the value Some (STOP) stored in its field result.
- 2. At most n-1 threads get the value Some (DOWN)
- 3. At most n-1 threads get the value Some (RIGHT)

Note that the proofs of the last two statements are *not* symmetric.

Hint: try to replicate the resaoning about ordering of events that we used to prove mutual exclusion for various locks in the recent lectures.

¹https://github.com/ysc4231/hw03-mutex-examples