

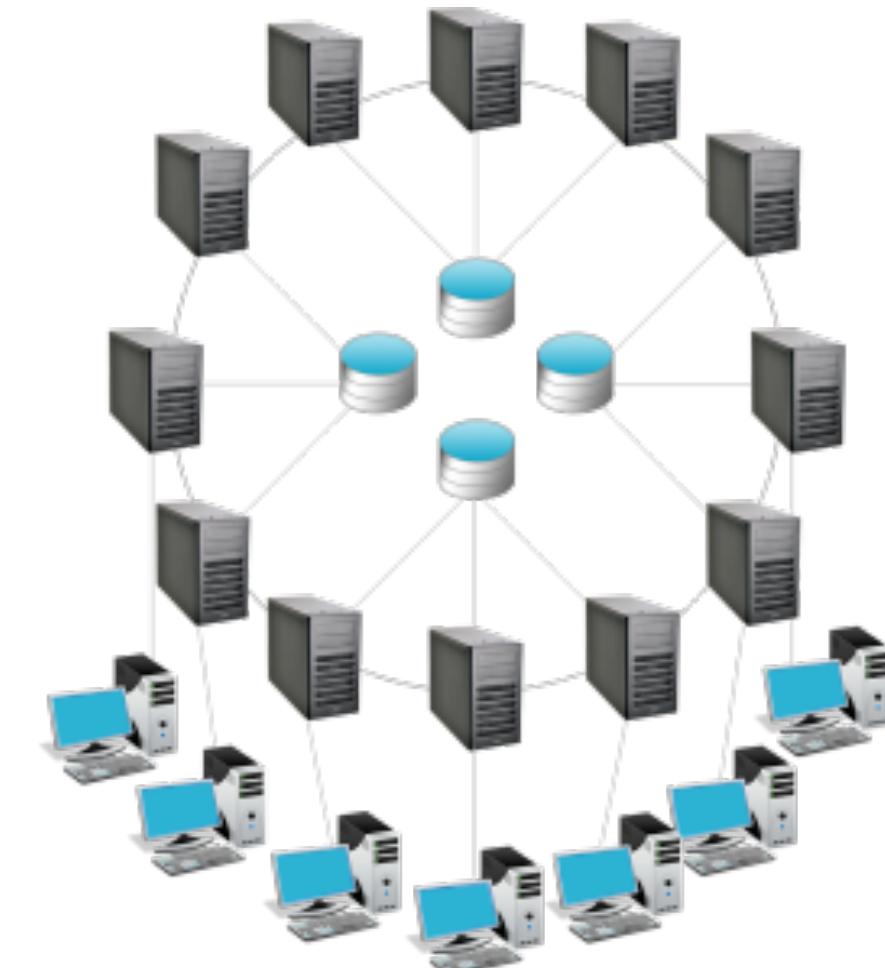
YSC3242: Parallel, Concurrent and Distributed Programming

Ilya Sergey

ilya.sergey@yale-nus.edu.sg

What this course is about?

- Writing *efficient* code by exploiting the *parallelism* offered by modern multiprocessors by means of writing *concurrent* programs
- Designing *concurrent* algorithms and data structures (executing on the same computer, possibly in parallel)
- Avoiding common mistakes when writing *concurrent* code and formally reason about its *correctness*.
- Basics of *distributed computing* (over multiple computers) in the presence of *communication faults*.



Programming Language

- A mix of functional and object-oriented programming
(suitable for both OCaml and Java/C++ hackers)
- Supports almost all styles of concurrency
(shared-memory, message-passing, transactional memory, etc.)
- Type-safe, garbage-collected.
- Interoperability with Java, compiling into JVM (Java Virtual Machine)
- Great IDE support (we'll be using IntelliJ IDEA with Scala plugin)



Grading

- 7 Written Theory Assignments: 35%
- 6 Programming Assignments: 30%
- Mid-Term Project: 15%
- Final Project: 15%
- In-class participation: 5%

Homework

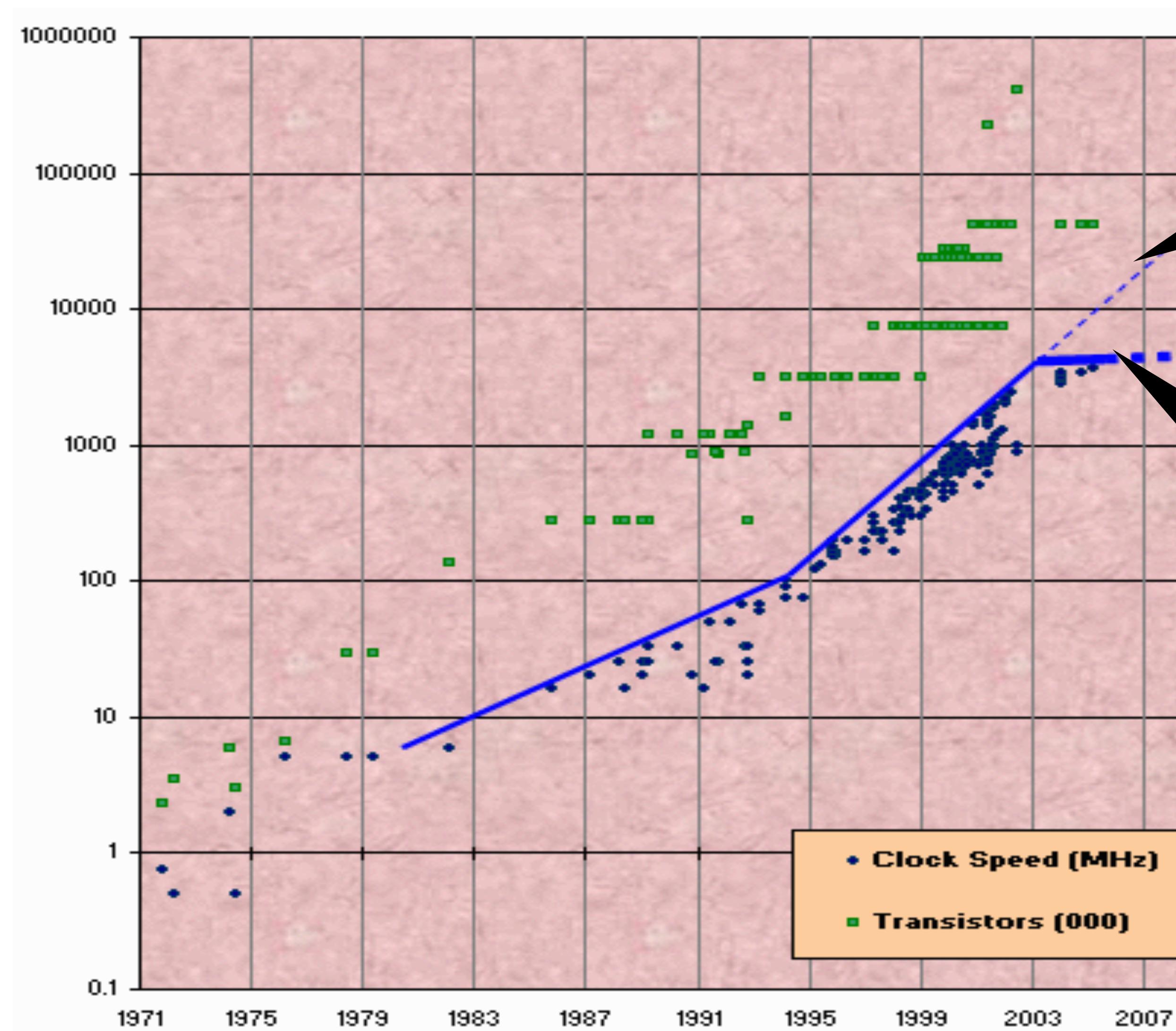
- Two types: theoretical and programming assignments
- Complete *individually*
- Deliverables:
 - a PDF with typeset answers (theory)
 - a .zip-archive with a Scala project (programming)
- Each assignment is graded out of 20 points

Collaboration

- Permitted:
Talking about the homework problems with other students; using other textbooks; using the Internet.
- Not permitted:
Obtaining the answer directly from anyone or anything else in any form.

Introduction

Moore's Law



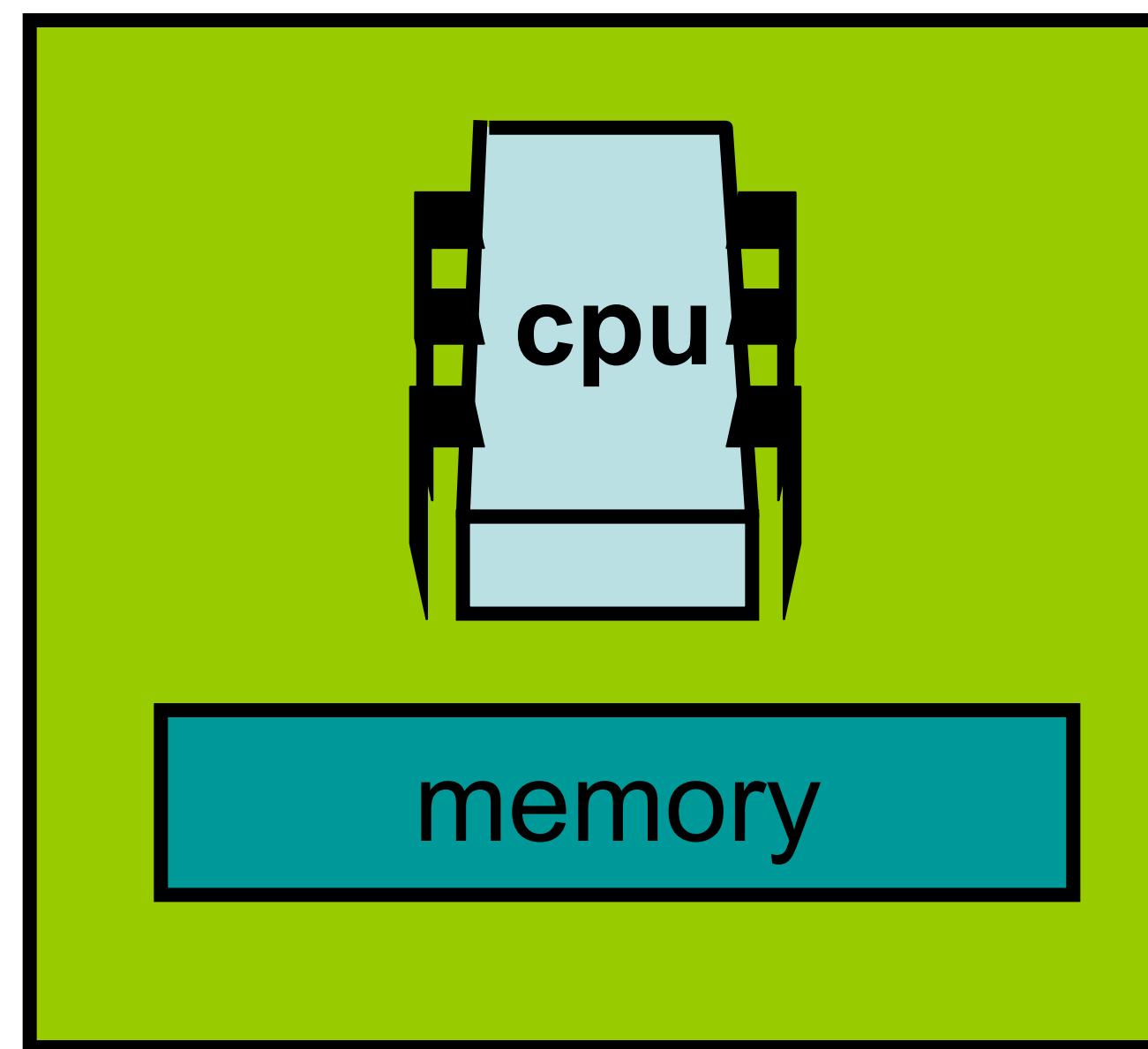
Transistor
count still
rising

Clock
speed
flattening
sharply

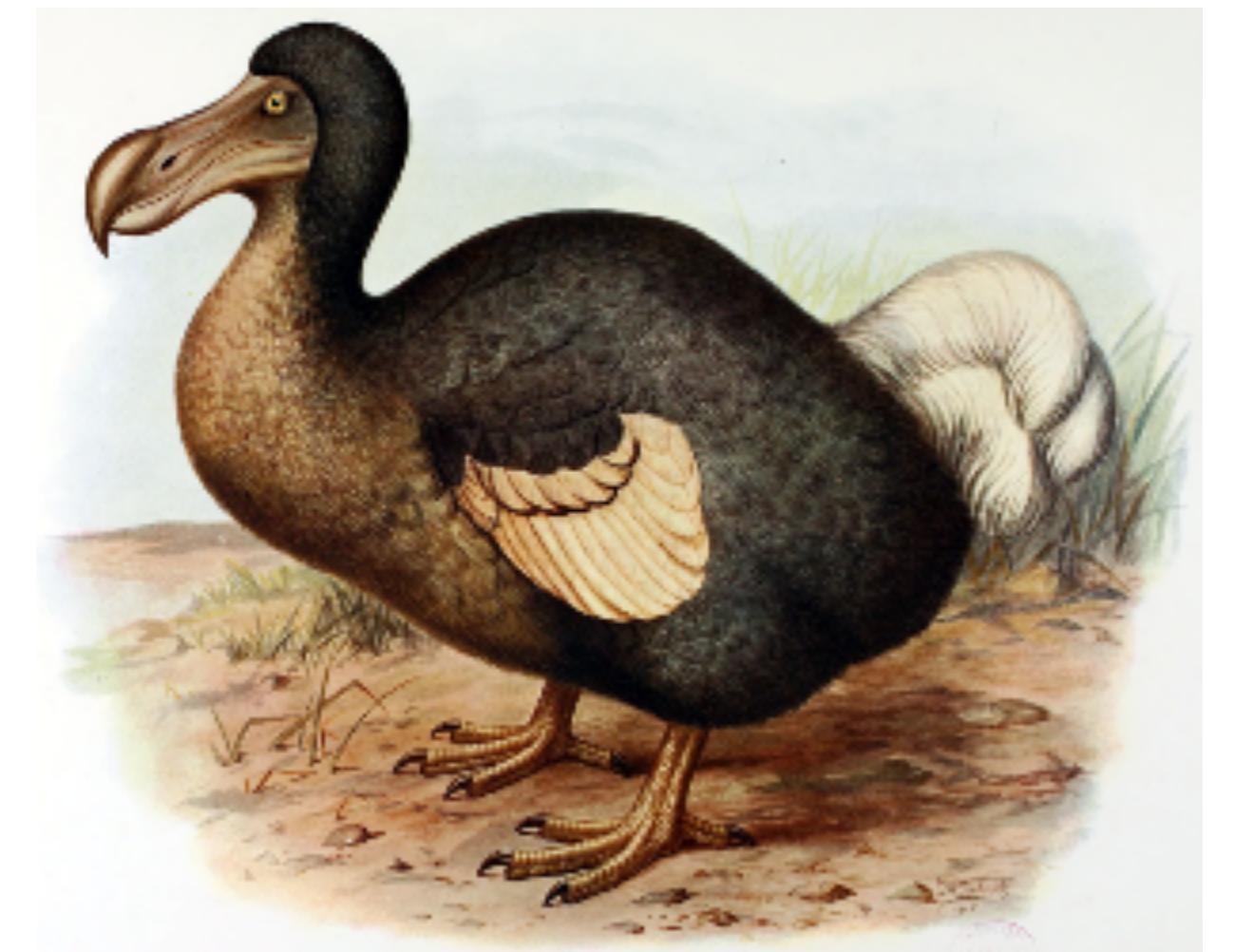
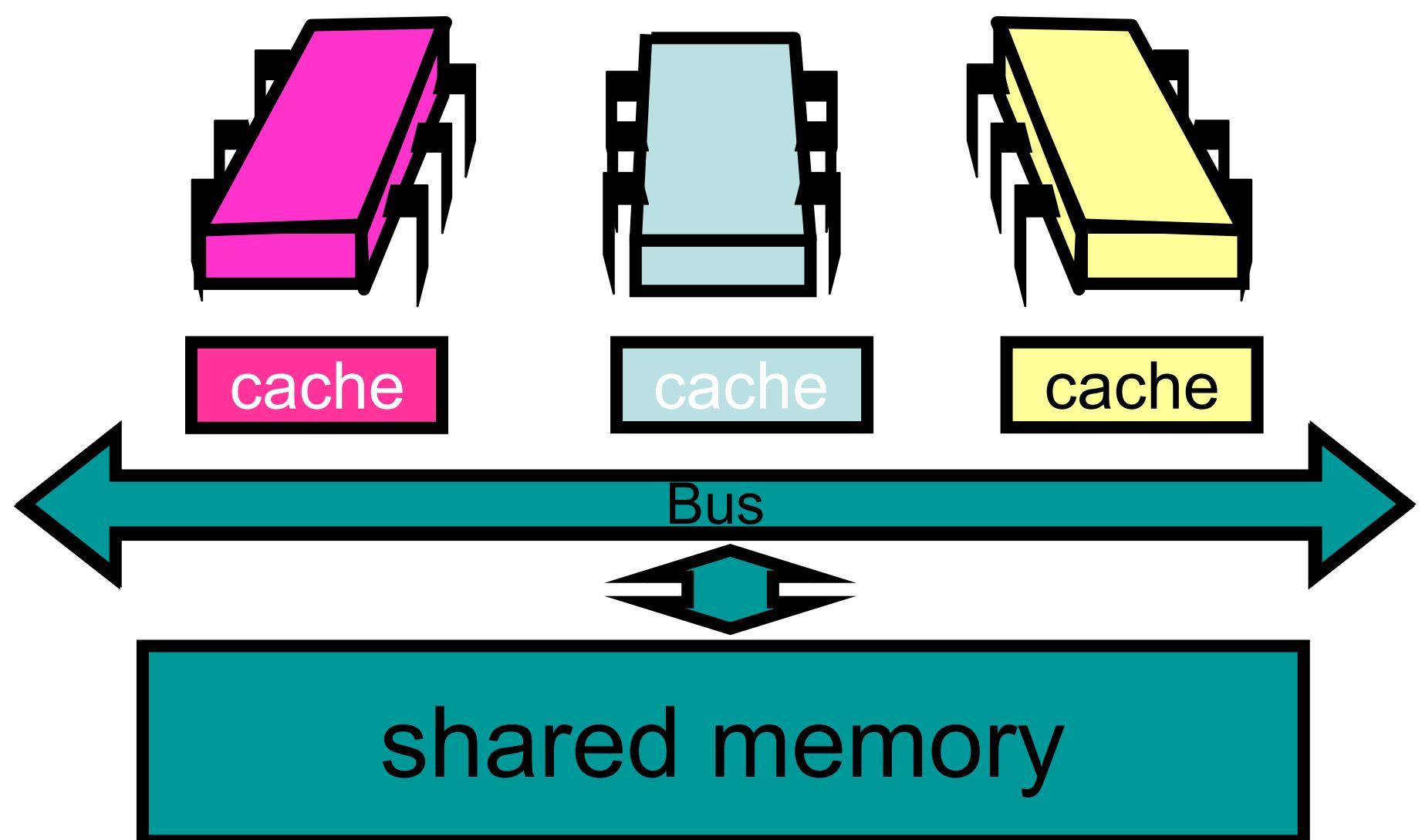
Moore's Law (in practice)



Extinct: the Uniprocessor

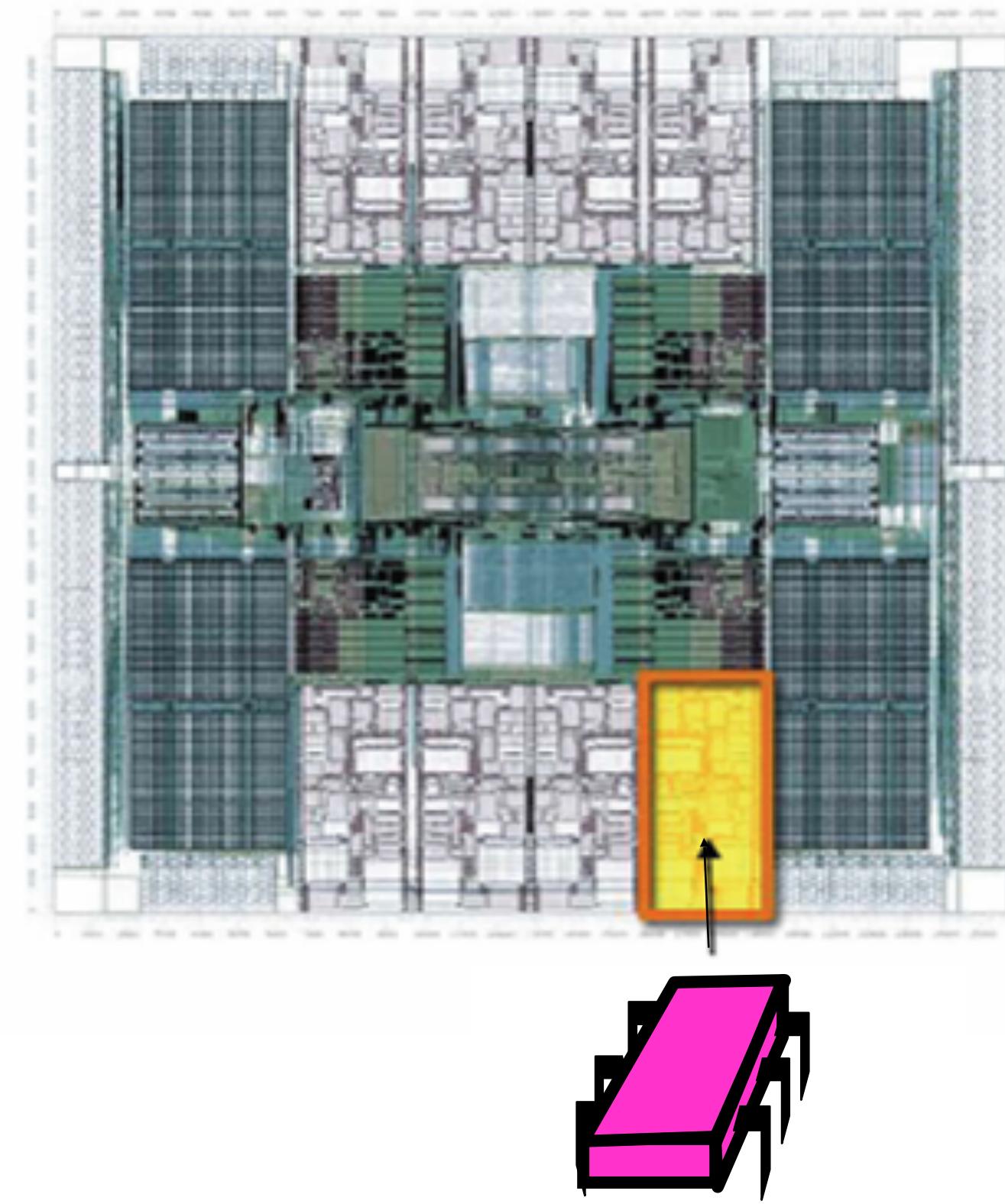


Extinct:
The Shared Memory Multiprocessor
(SMP)



The New Boss: The Multicore Processor (CMP)

All on the
same chip

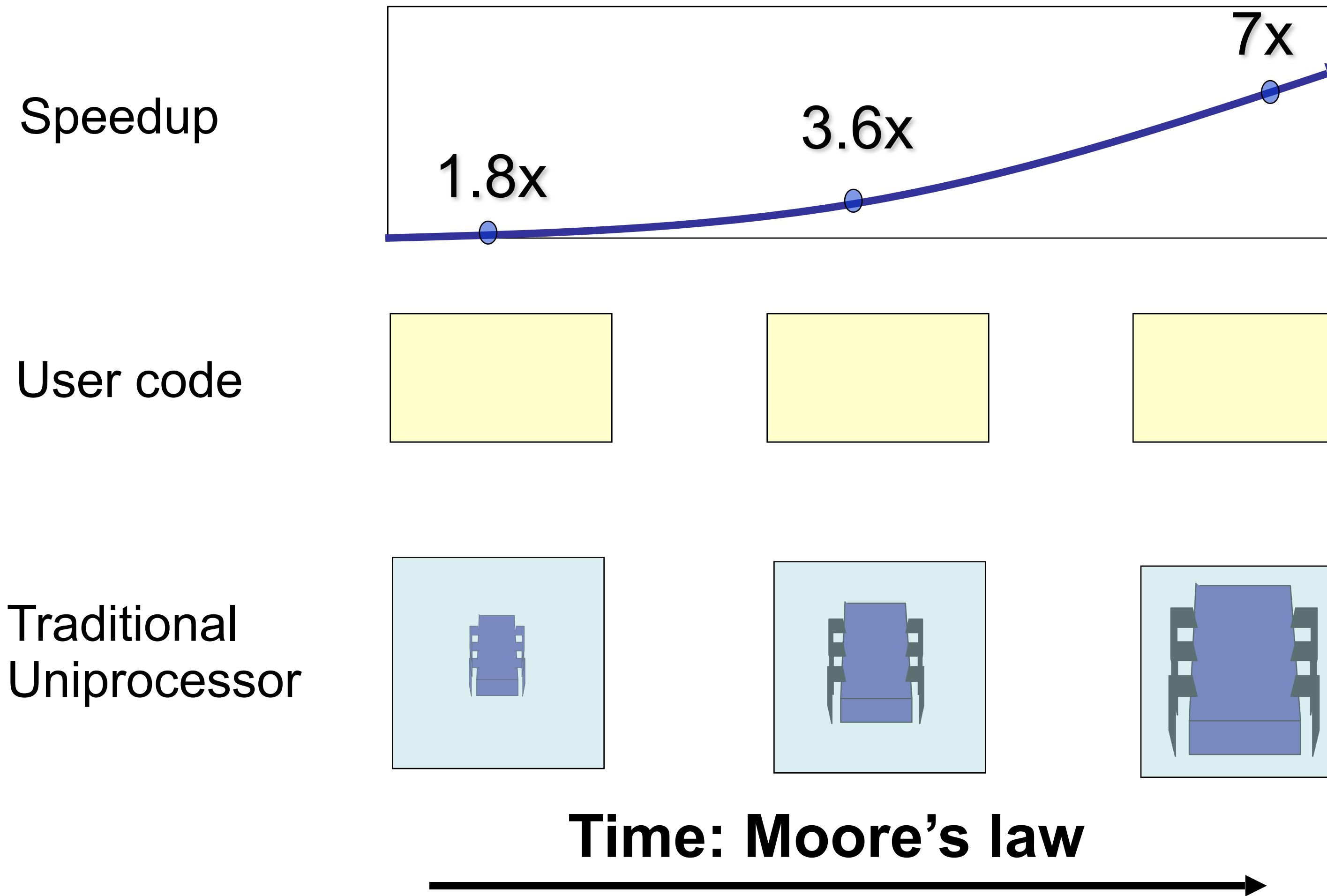


**Sun
T2000
Niagara**

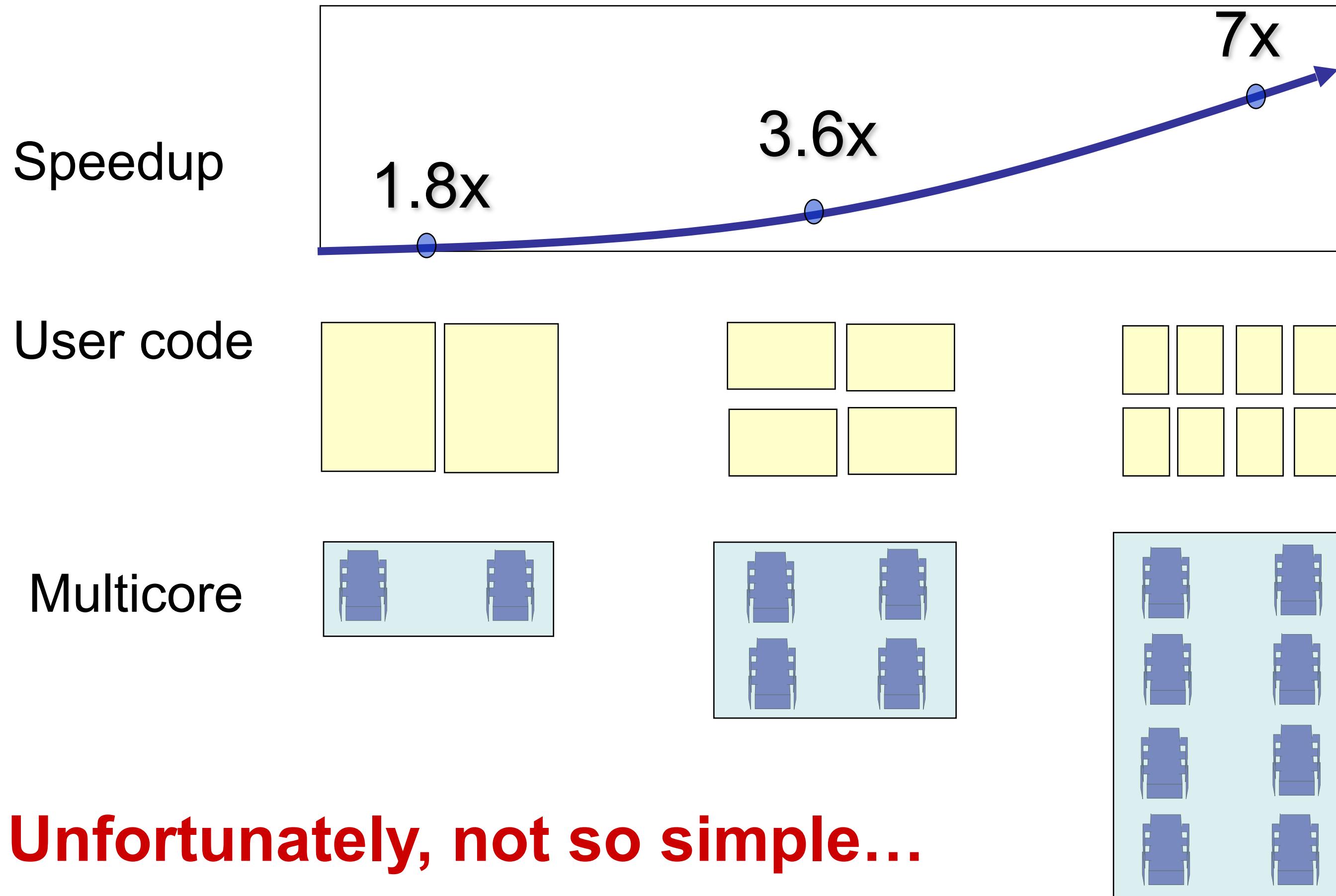
Why do we care?

- Time no longer cures software bloat
 - The “free ride” is over
- When you double your program’s path length
 - You can’t just wait 6 months
 - Your software must somehow exploit twice as much concurrency

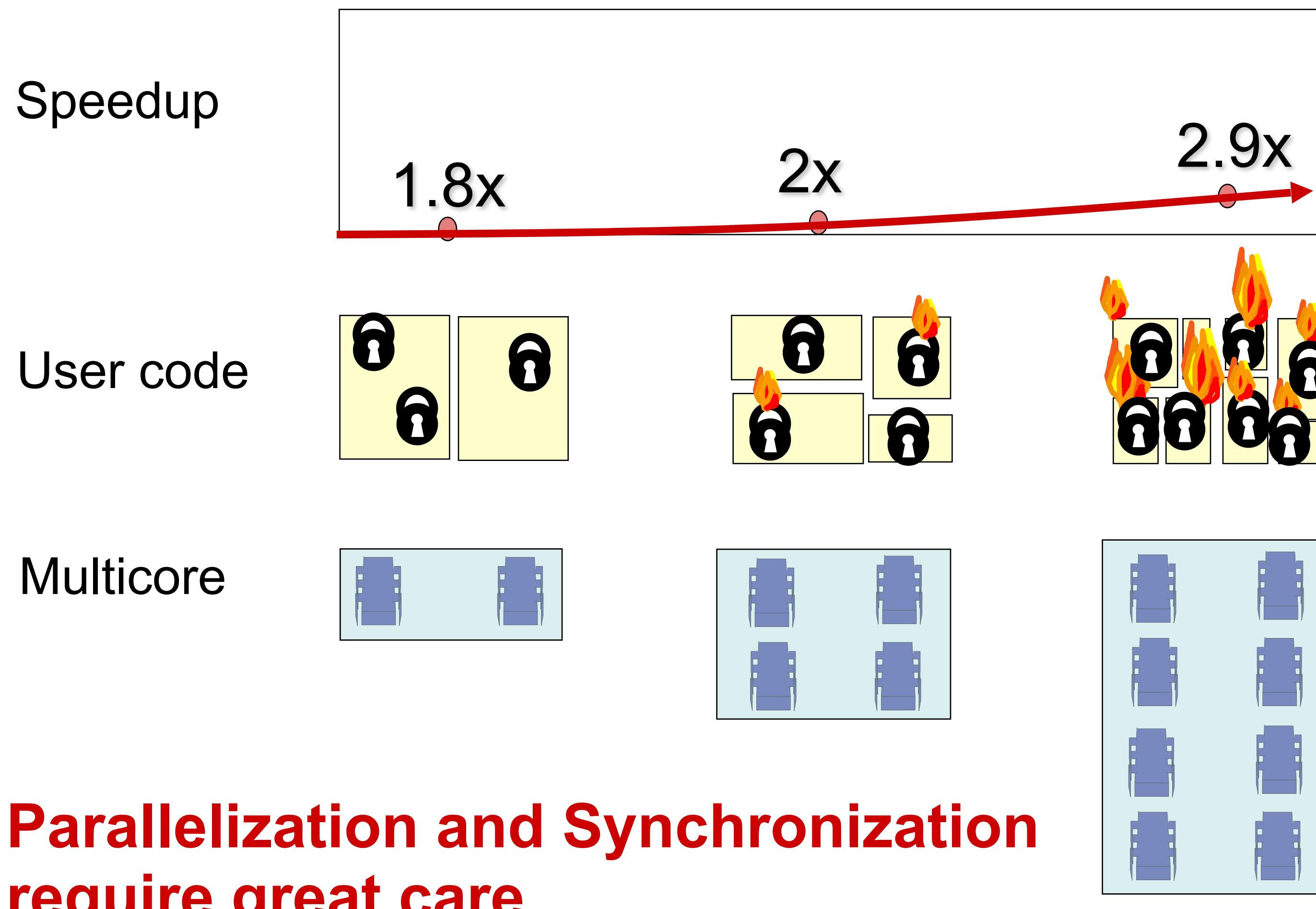
Traditional Scaling Process



Ideal Scaling Process



Actual Scaling Process

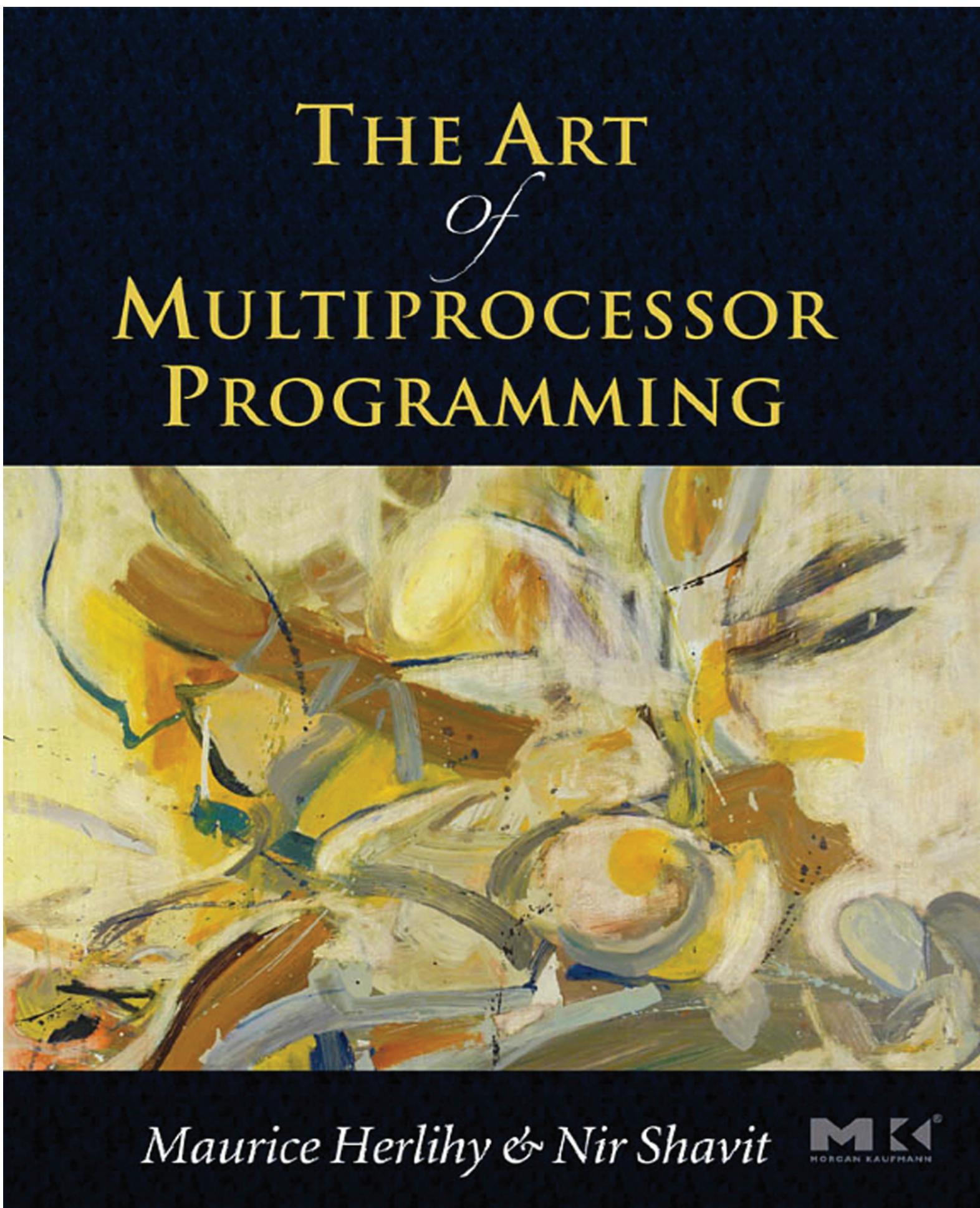


Most of this course: Multicore Programming

- Fundamentals
 - Models, algorithms, impossibility
- Real-World programming
 - Architectures
 - Techniques

Resources

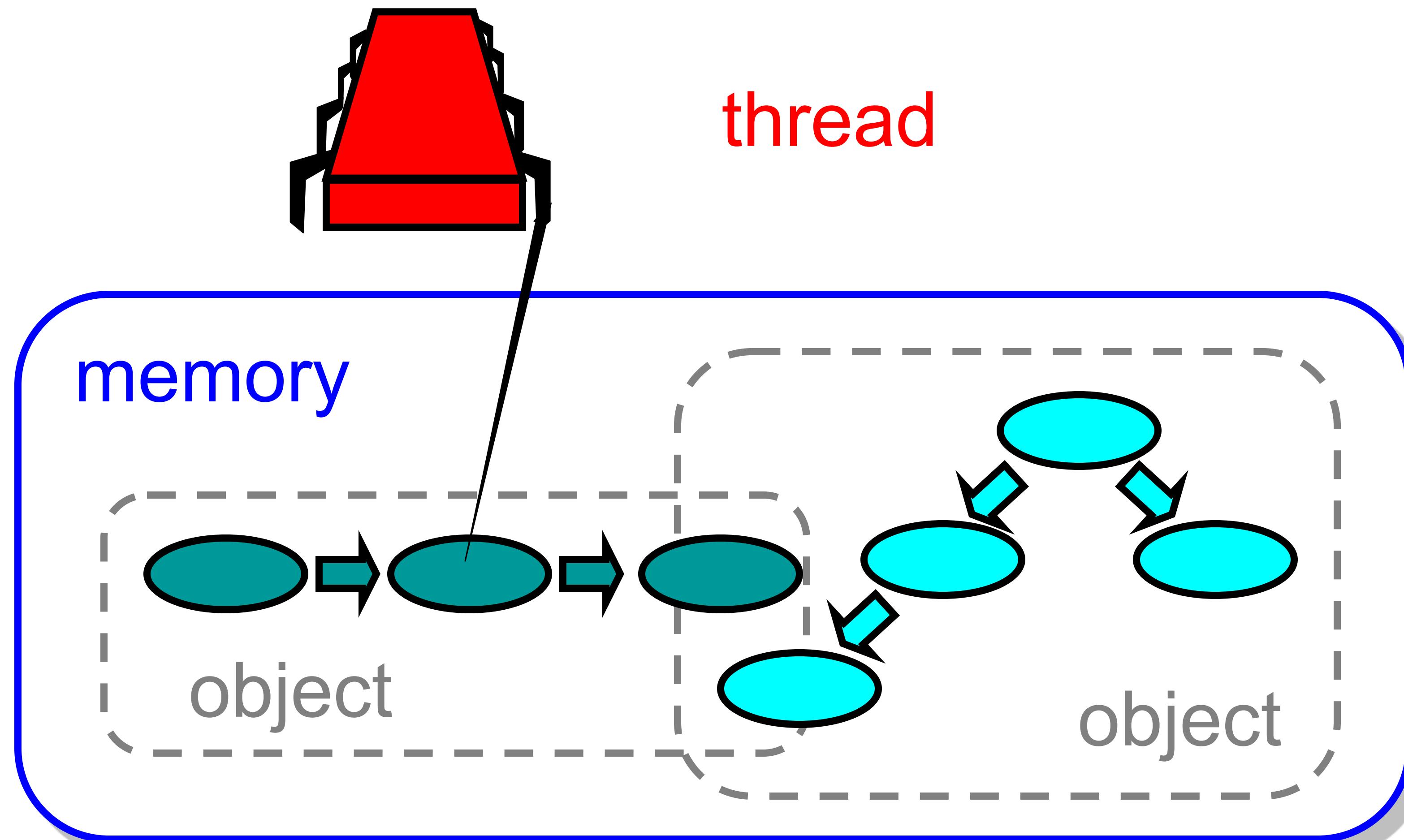
About 75% of the material



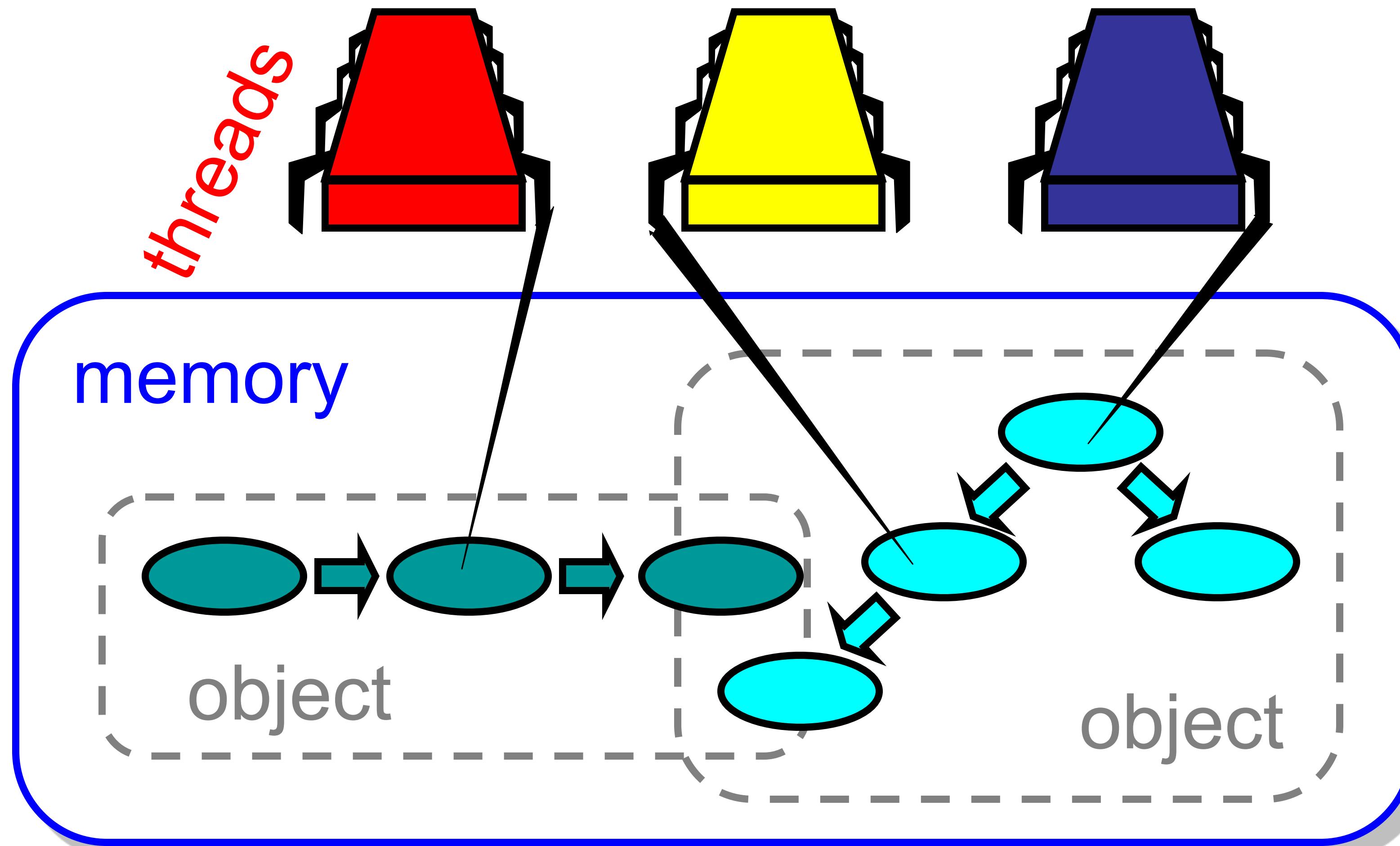
The rest

- Lecture slides
- Lecture notes
- The Internet

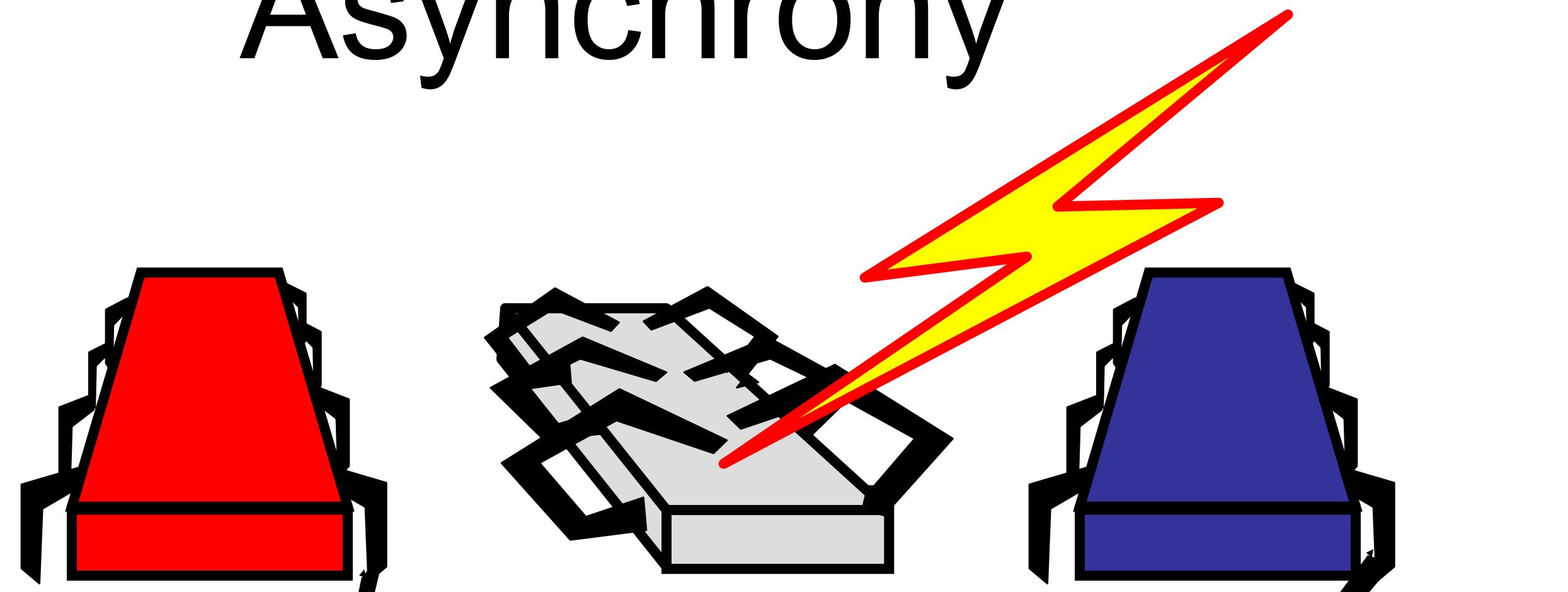
Sequential Computation



Concurrent Computation



Asynchrony



- Sudden unpredictable delays
 - | – Cache misses (*short*)
 - | – Page faults (*long*)
 - | – Scheduling quantum used up (*really long*)

Model Summary

- Multiple *threads*
 - Sometimes called *processes*
- Single shared *memory*
- *Objects* live in memory
- Unpredictable asynchronous delays

Road Map

- We are going to focus on principles first, then practice
 - Start with idealised models of concurrent computations
 - Look at simplistic problems
 - Emphasise correctness over pragmatism
 - “Correctness may be theoretical, but incorrectness has practical impact”

Concurrency Jargon

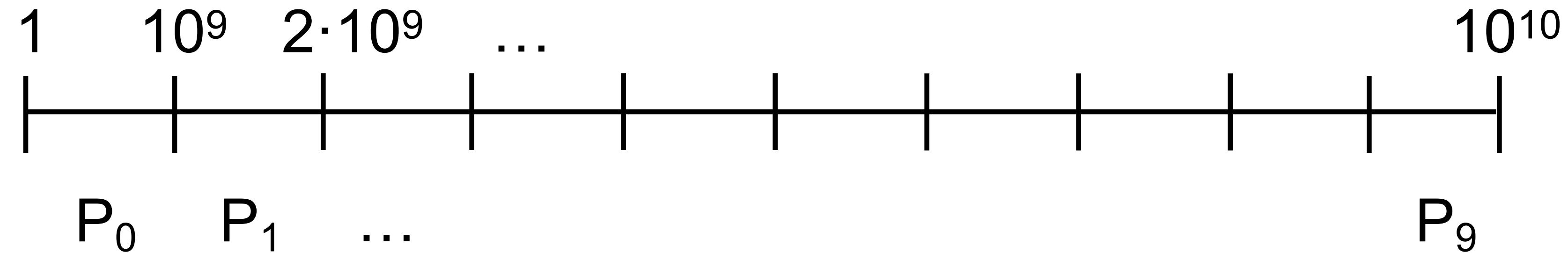
- Hardware
 - Processors
- Software
 - Threads, processes
(one process may have several threads)
- Sometimes OK to confuse them,
sometimes not.

Designing Concurrent Programs

Parallel Primality Testing

- Challenge
 - Print primes from 1 to 10^{10}
- Given
 - Ten-processor multiprocessor
 - One thread per processor
- Goal
 - Get ten-fold speedup (or close)

Load Balancing



- Split the work evenly
- Each thread tests range of 10^9

Procedure for Thread i

```
def primePrint(): Unit = {
    val i = ThreadID.get // Thread IDs in 0..9
    val block = math.pow(10, 109)
    for (j <- (i * block) + 1 to (i + 1) * block) {
        if (isPrime(j)) {
            println(j)
        }
    }
}
```

Issues

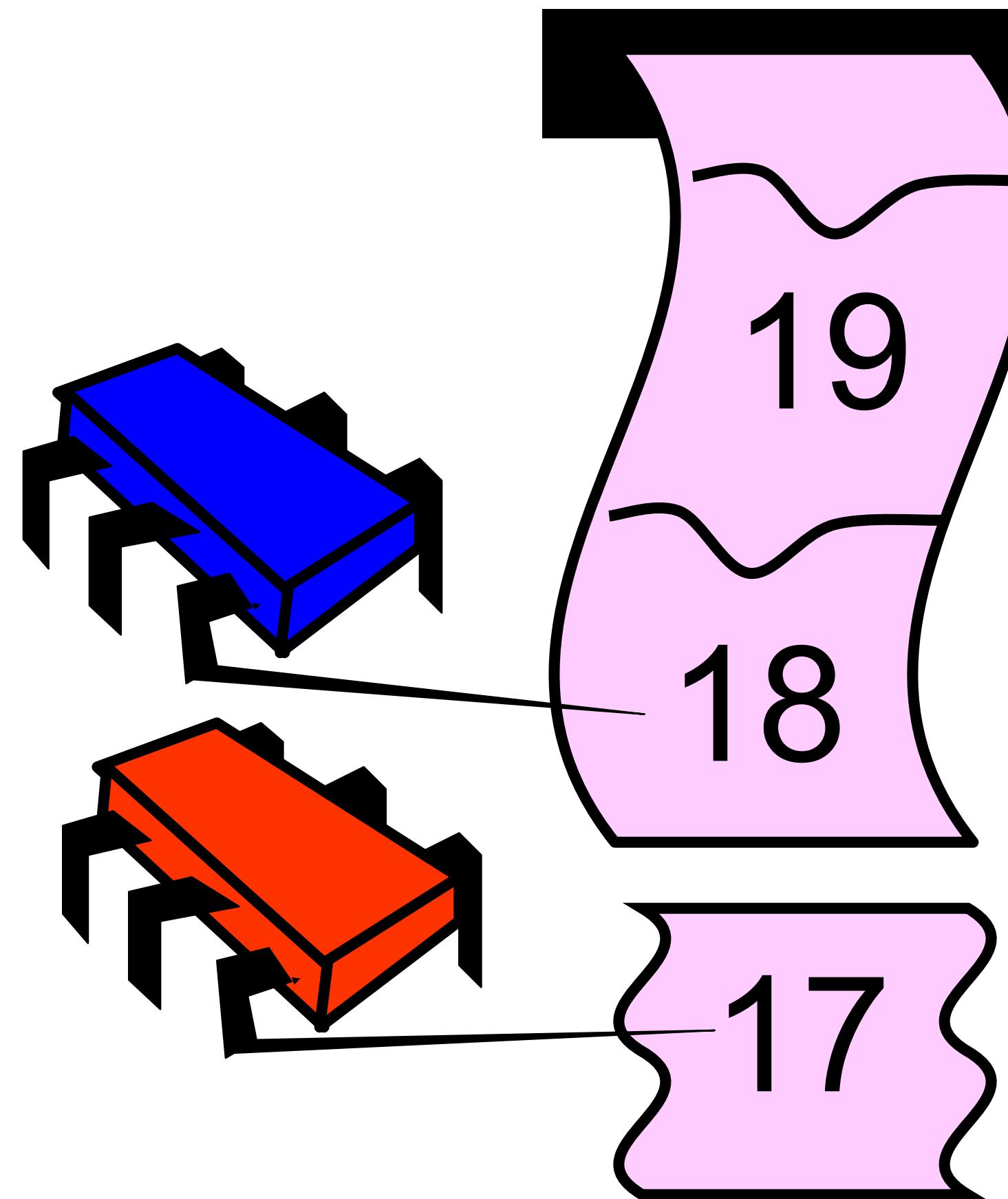
- Higher ranges have fewer primes
- Yet larger numbers harder to test
- Thread workloads
 - Uneven
 - Hard to predict

Issues

- Higher ranges have fewer primes
- Yet larger numbers harder to test
- Thread workloads
 - Uneven
 - Hard to predict

rejected

Shared Counter



each thread
takes a number

Procedure for Thread i

```
val counter = new Counter

def primePrint(): Unit = {
  var i: Int = 1
  val limit = math.pow(10, 9).intValue
  while (i < limit) {
    i = counter.getAndIncrement
    if (isPrime(i)) {
      println(i)
    }
  }
}
```

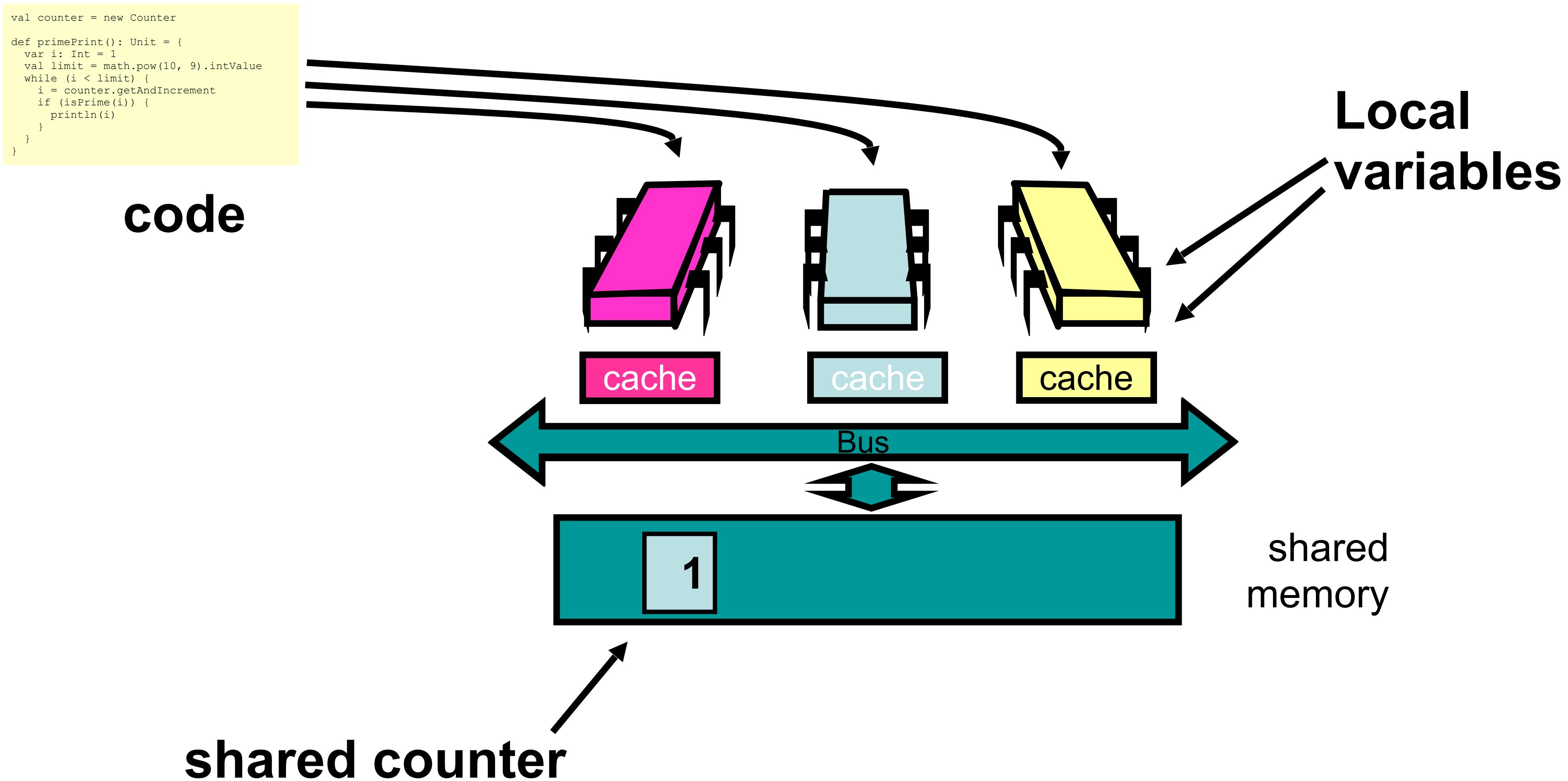
Procedure for Thread *i*

```
val counter = new Counter

def primePrint(): Unit = {
    var i: Int = 1
    val limit = math.pow(10, 9).intValue
    while (i < limit) {
        i = counter.getAndIncrement
        if (isPrime(i)) {
            println(i)
        }
    }
}
```

Shared counter
object

Where Things Reside



Procedure for Thread *i*

```
val counter = new Counter

def primePrint(): Unit = {
    var i: Int = 1
    val limit = math.pow(10, 9).intValue
    while (i < limit) {
        i = counter.getAndIncrement
        if (isPrime(i)) {
            println(i)
        }
    }
}
```

Stop when every
value taken

Procedure for Thread *i*

```
val counter = new Counter

def primePrint(): Unit = {
    var i: Int = 1
    val limit = math.pow(10, 9).intValue
    while (i < limit) {
        i = counter.getAndIncrement
        if (isPrime(i)) {
            println(i)
        }
    }
}
```

Increment & return each
new value

Demo

Counter Implementation

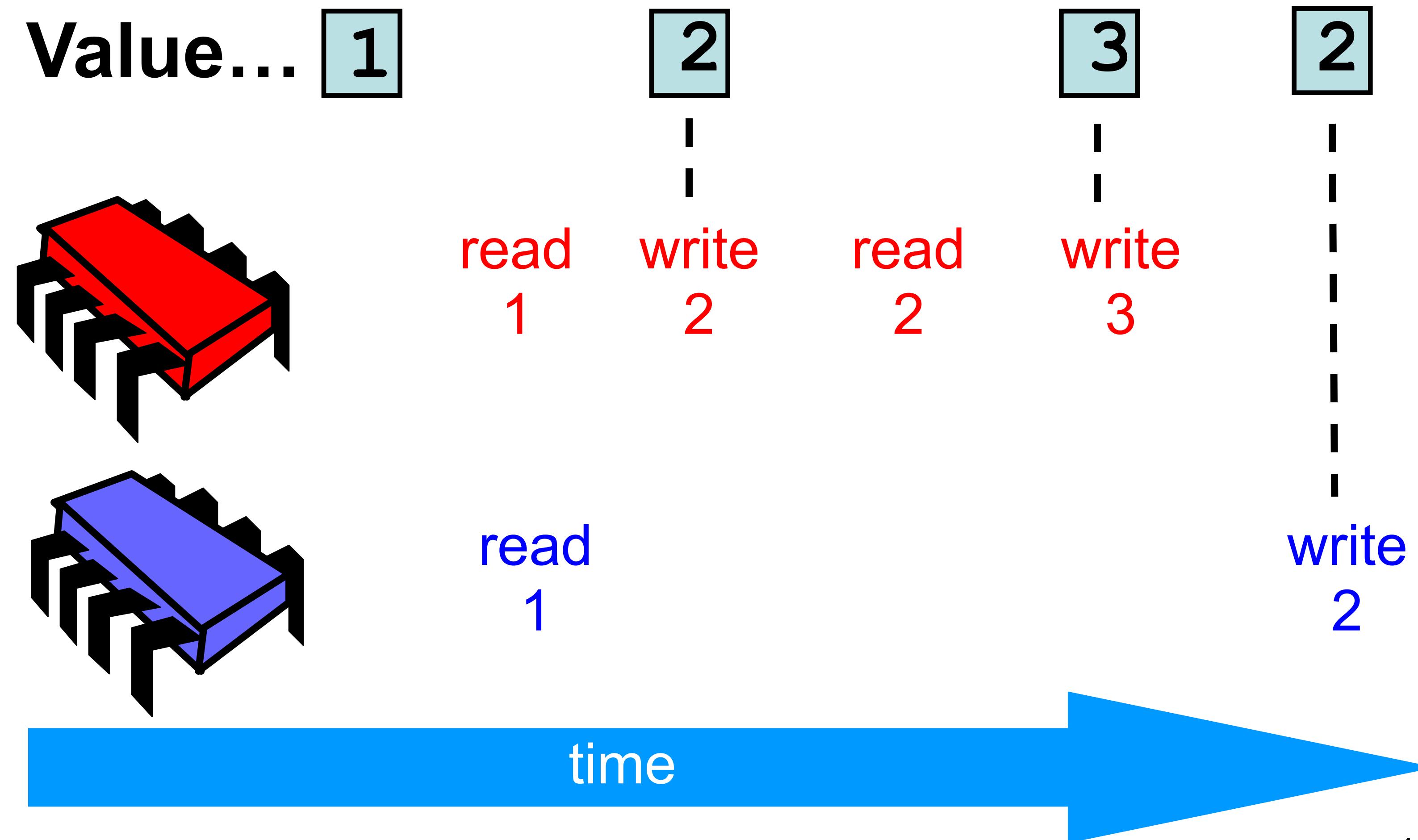
```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        val tmp = count  
        count = tmp + 1  
        tmp  
    }  
}
```

Counter Implementation

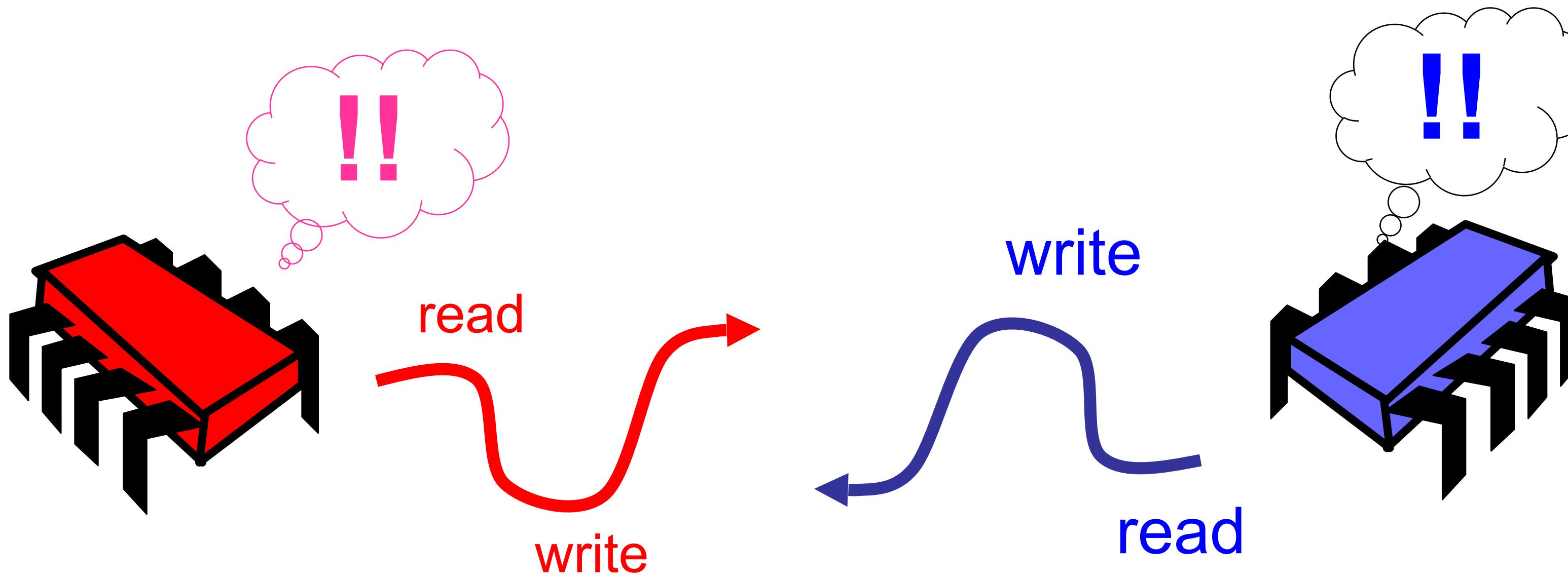
```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        val tmp = count  
        count = tmp + 1  
        tmp  
    }  
}
```

OK for single thread,
not for concurrent threads

Not so good...



Is this problem inherent?



If we could only glue reads and writes
together...

Challenge

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        val tmp = count  
        count = tmp + 1  
        tmp  
    }  
}
```

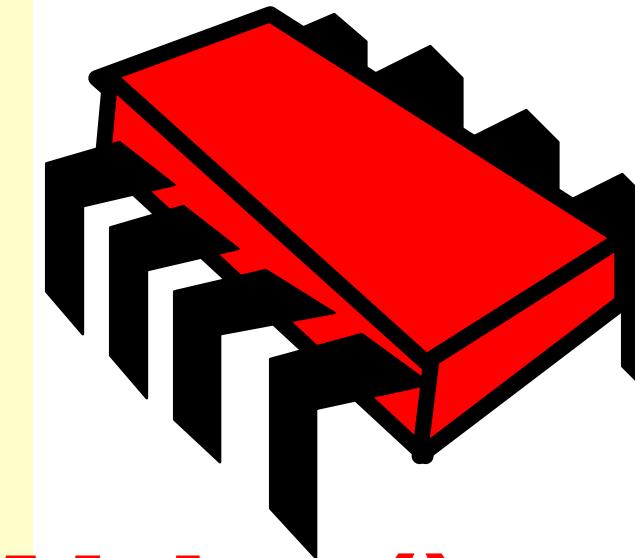
Challenge

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        val tmp = count  
        count = tmp + 1  
        tmp  
    }  
}
```

Make these steps
atomic (indivisible)

Hardware Solution

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        val tmp = count  
        count = tmp + 1  
        tmp  
    }  
}
```



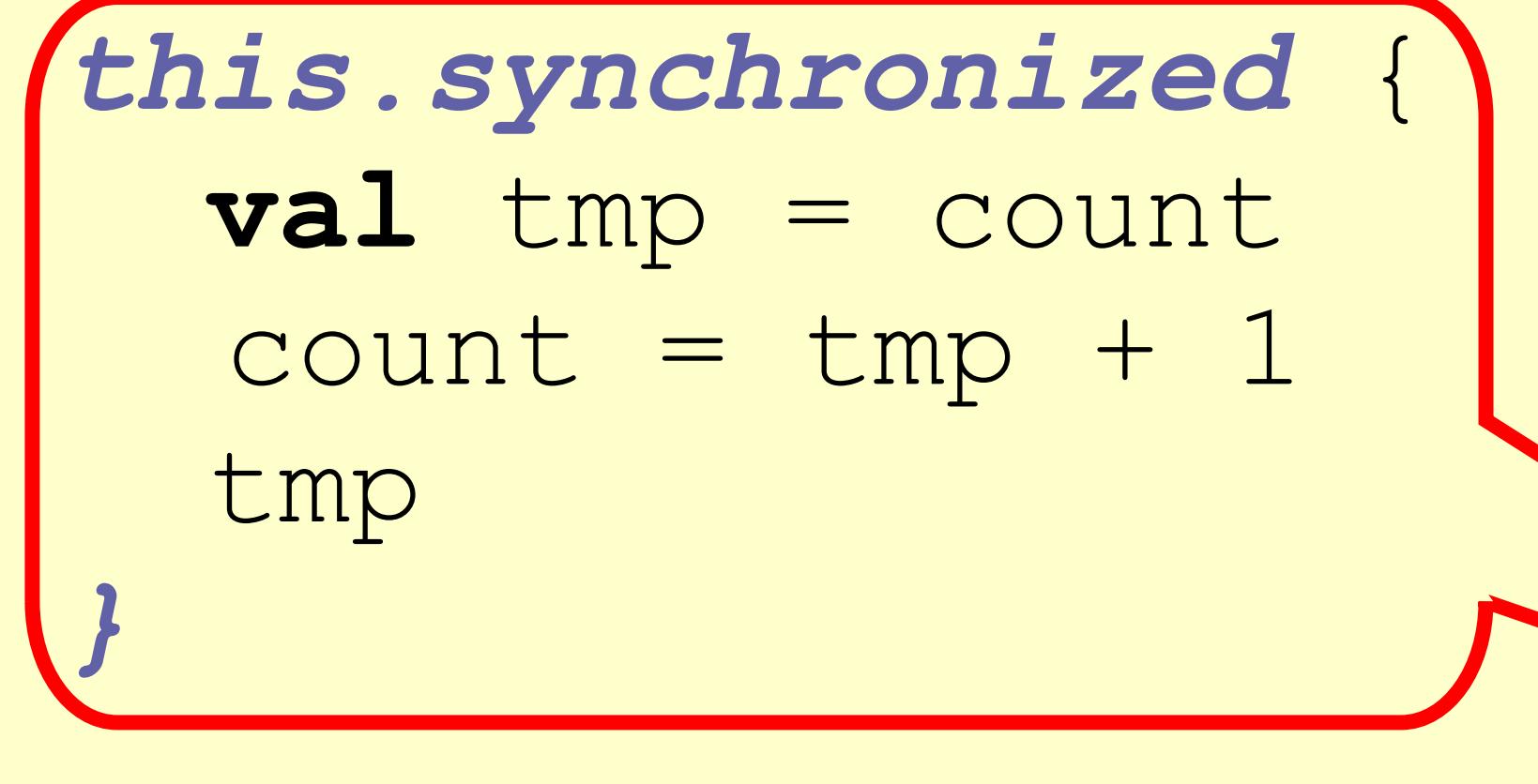
**ReadModifyWrite()
instruction**

Java / Scala solution

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        this.synchronized {  
            val tmp = count  
            count = tmp + 1  
            tmp  
        }  
    }  
}
```

Java / Scala solution

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        this.synchronized {  
            val tmp = count  
            count = tmp + 1  
            tmp  
        }  
    }  
}
```



A red L-shaped bracket highlights the `this.synchronized` block in the code, which is annotated with a red callout pointing to the text "Synchronized block".

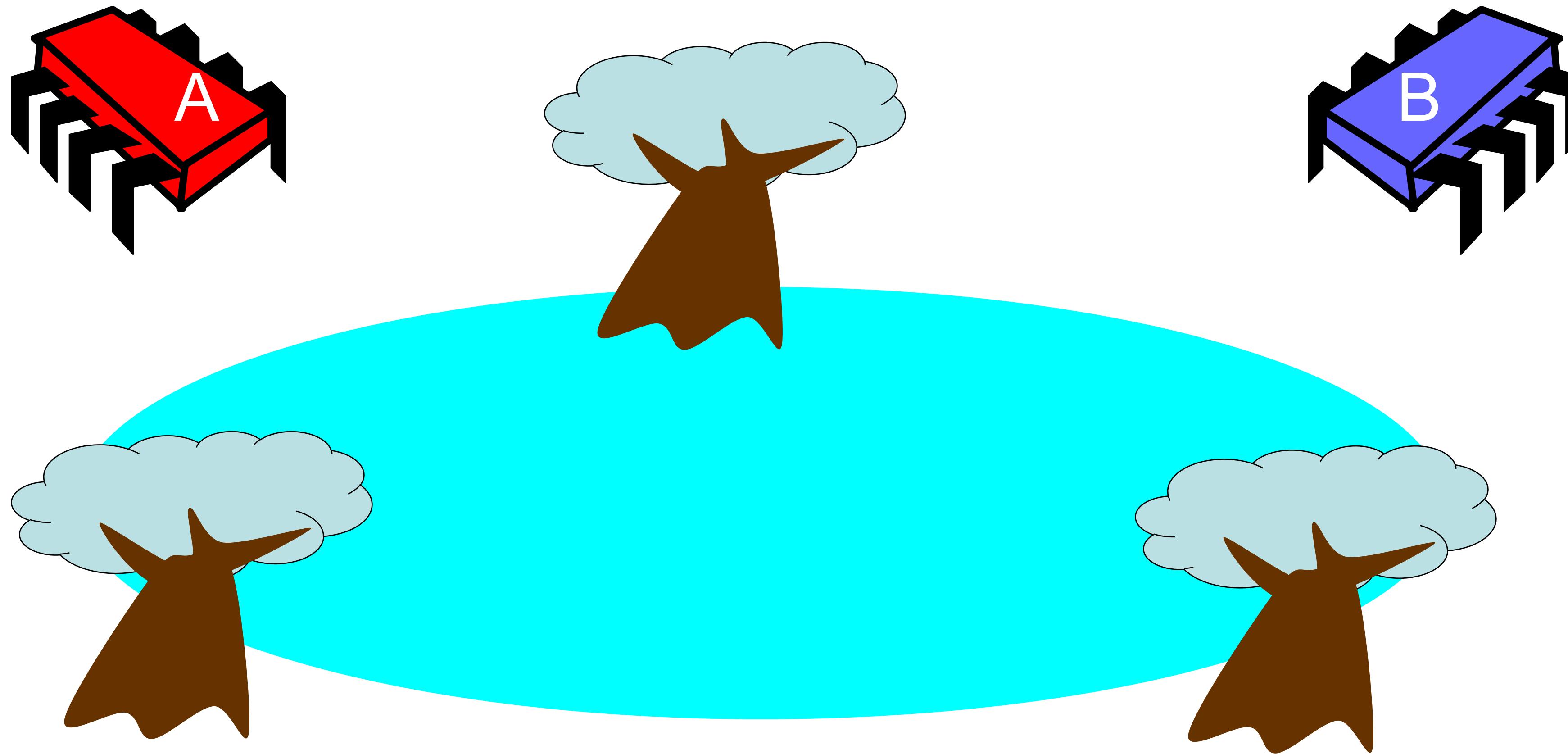
Synchronized block

Java / Scala solution

```
class Counter {  
    private var count = 0  
  
    def getAndIncrement: Int = {  
        this.synchronized {  
            val tmp = count  
            count = tmp + 1  
            tmp  
        }  
    }  
}
```

Mutual Exclusion

Mutual Exclusion, or “Alice & Bob share a pond”



Alice has a pet



Bob has a pet



The Problem



Formalizing the Problem

- Two types of formal properties in asynchronous computation:
- Safety Properties
 - Nothing bad happens ever
- Liveness Properties
 - Something good happens eventually

Formalizing our Problem

- Mutual Exclusion
 - Both pets never in pond simultaneously
 - This is a **safety** property
- No Deadlock
 - if only one wants in, it gets in
 - if both want in, one gets in.
 - This is a **liveness** property

Simple Protocol

- Idea
 - Just look at the pond
- Gotcha
 - Not atomic
 - Trees obscure the view

Interpretation

- Threads can't "see" what other threads are doing
- Explicit communication required for coordination

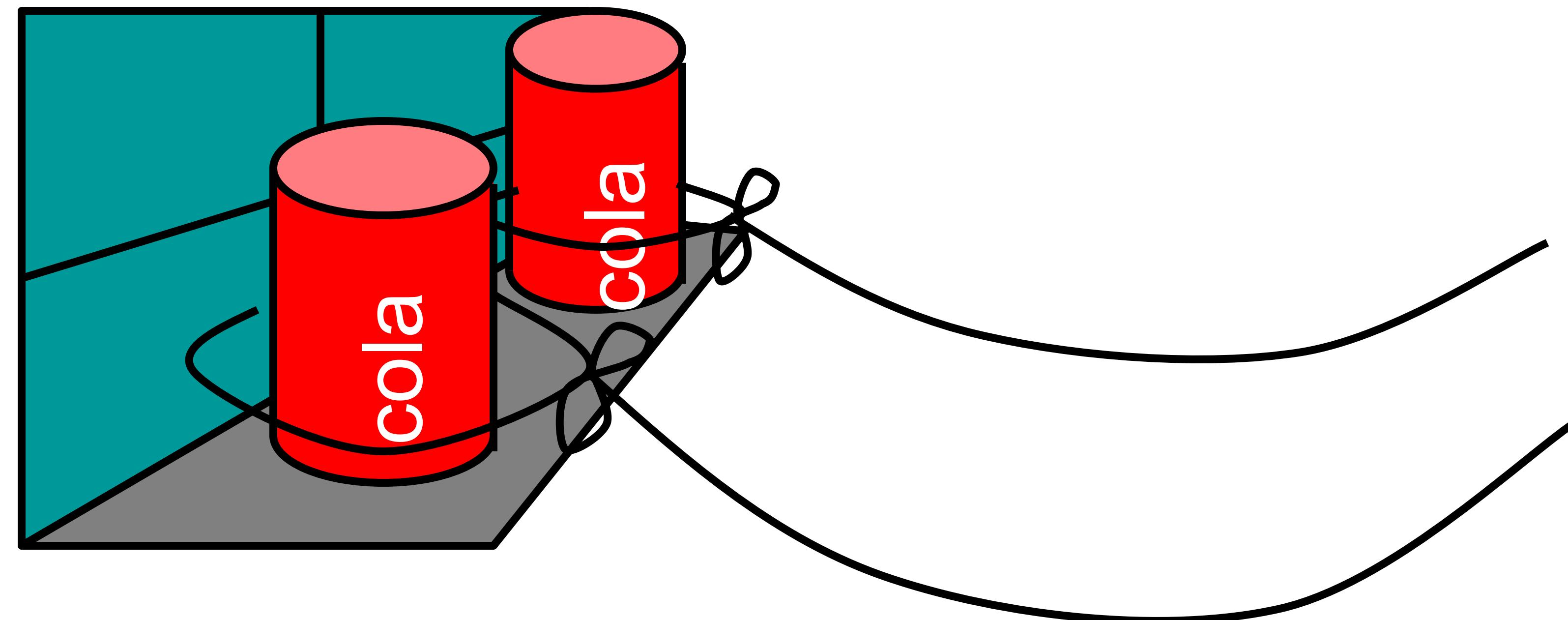
Cell Phone Protocol

- Idea
 - Bob calls Alice (or vice-versa)
- Gotcha
 - Bob takes shower
 - Alice recharges battery
 - Bob out shopping for pet food ...

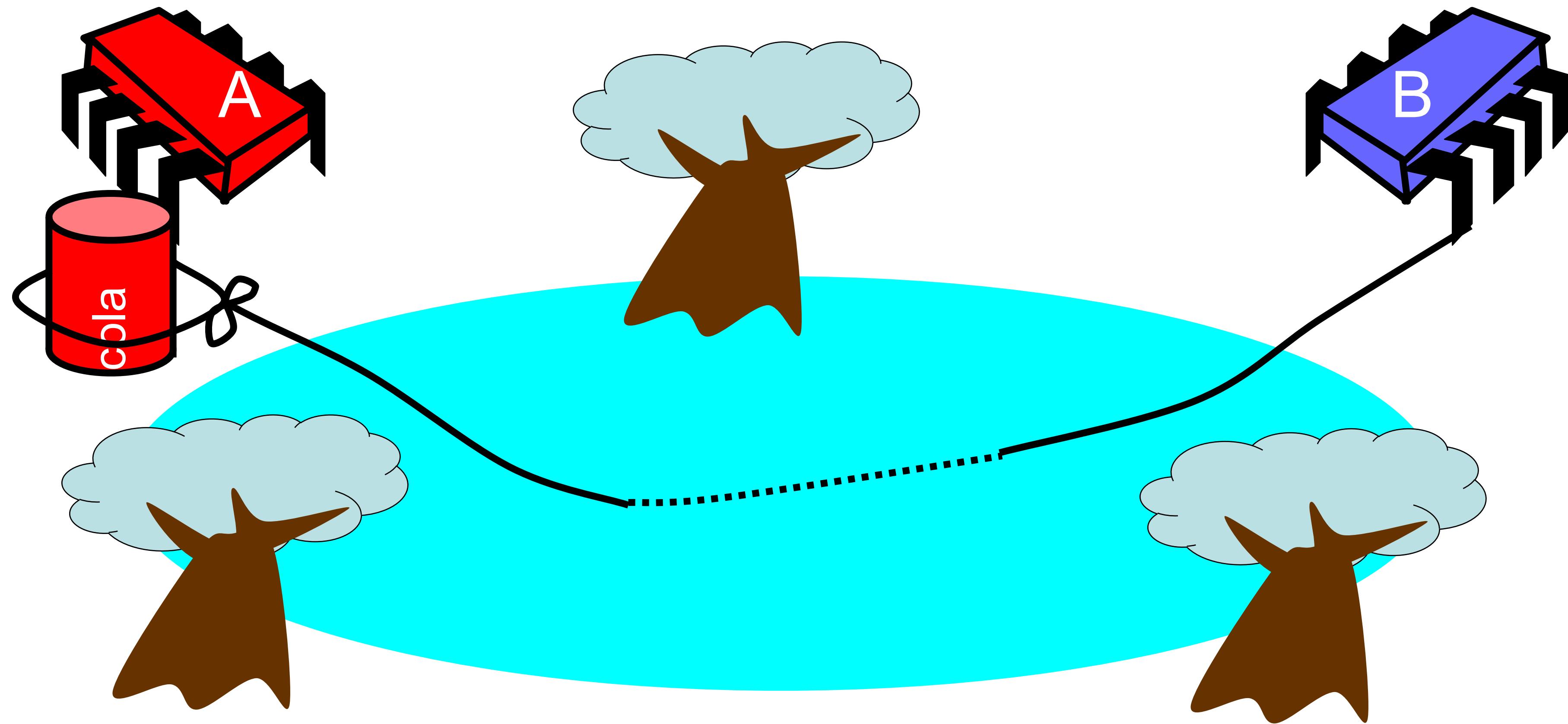
Interpretation

- Message-passing doesn't work
- Recipient might not be
 - Listening
 - There at all
- Communication must be
 - Persistent (like writing)
 - Not transient (like speaking)

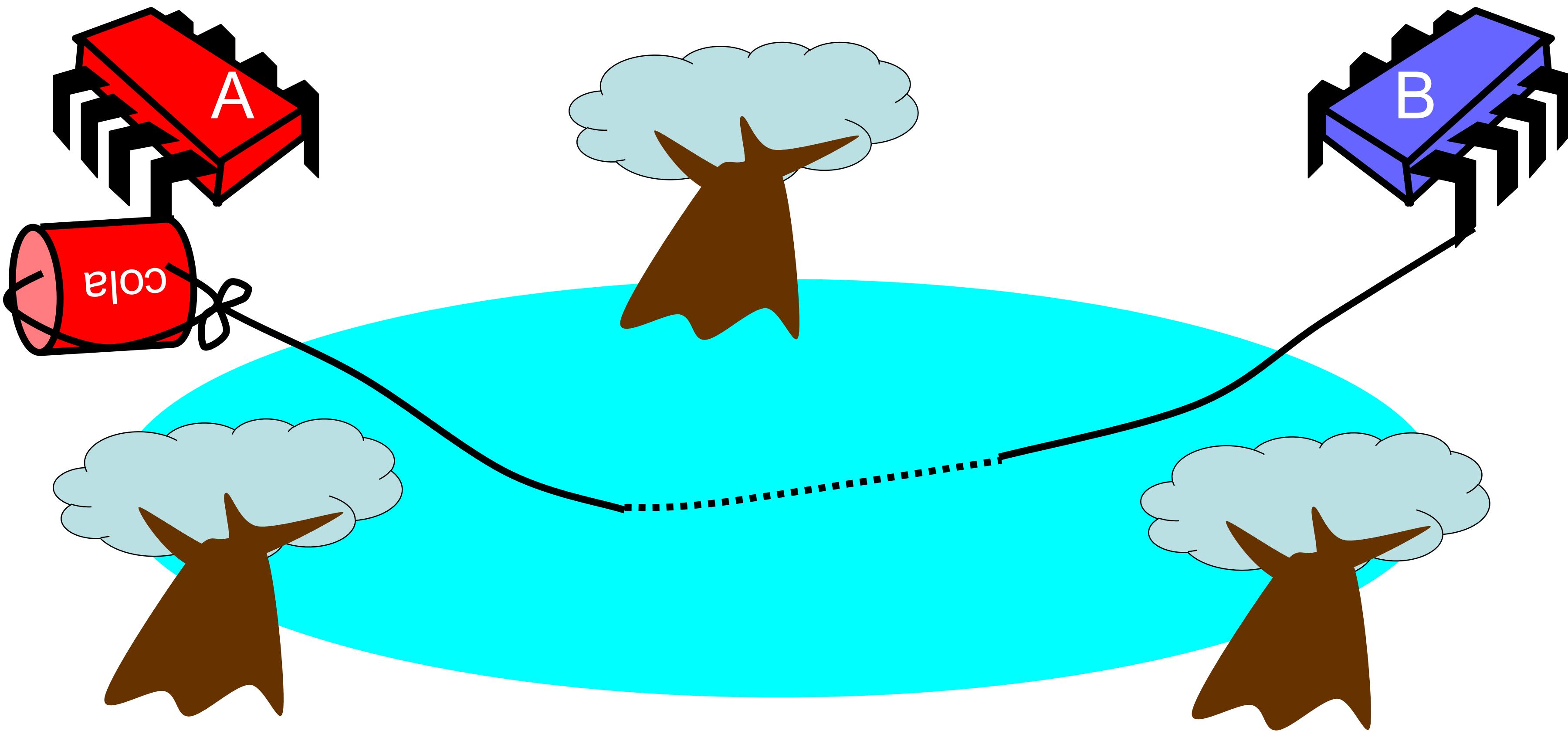
Can Protocol



Bob conveys a bit



Bob conveys a bit



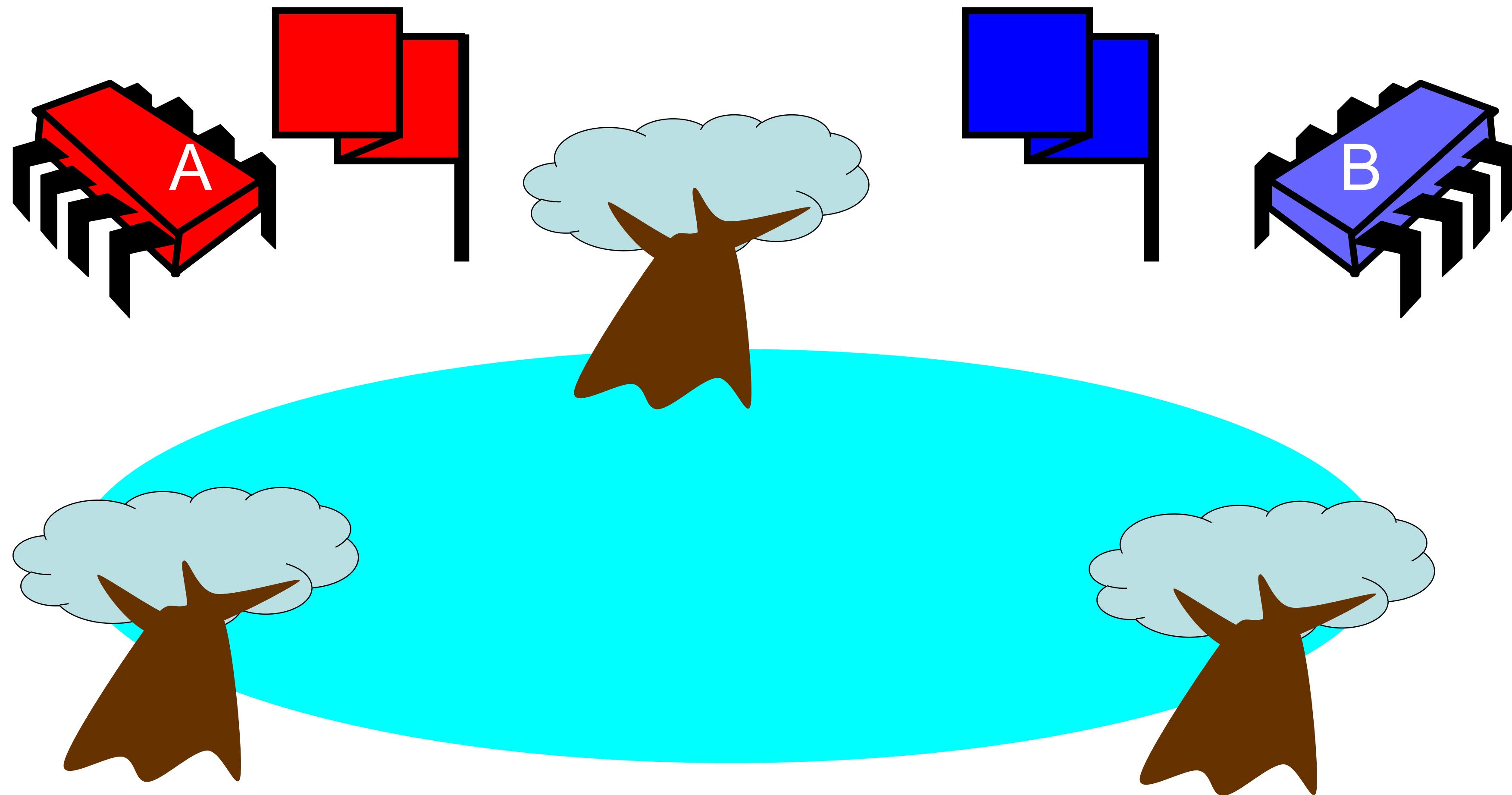
Can Protocol

- Idea
 - Cans on Alice's windowsill
 - Strings lead to Bob's house
 - Bob pulls strings, knocks over cans
- Gotcha
 - Cans cannot be reused
 - Bob runs out of cans

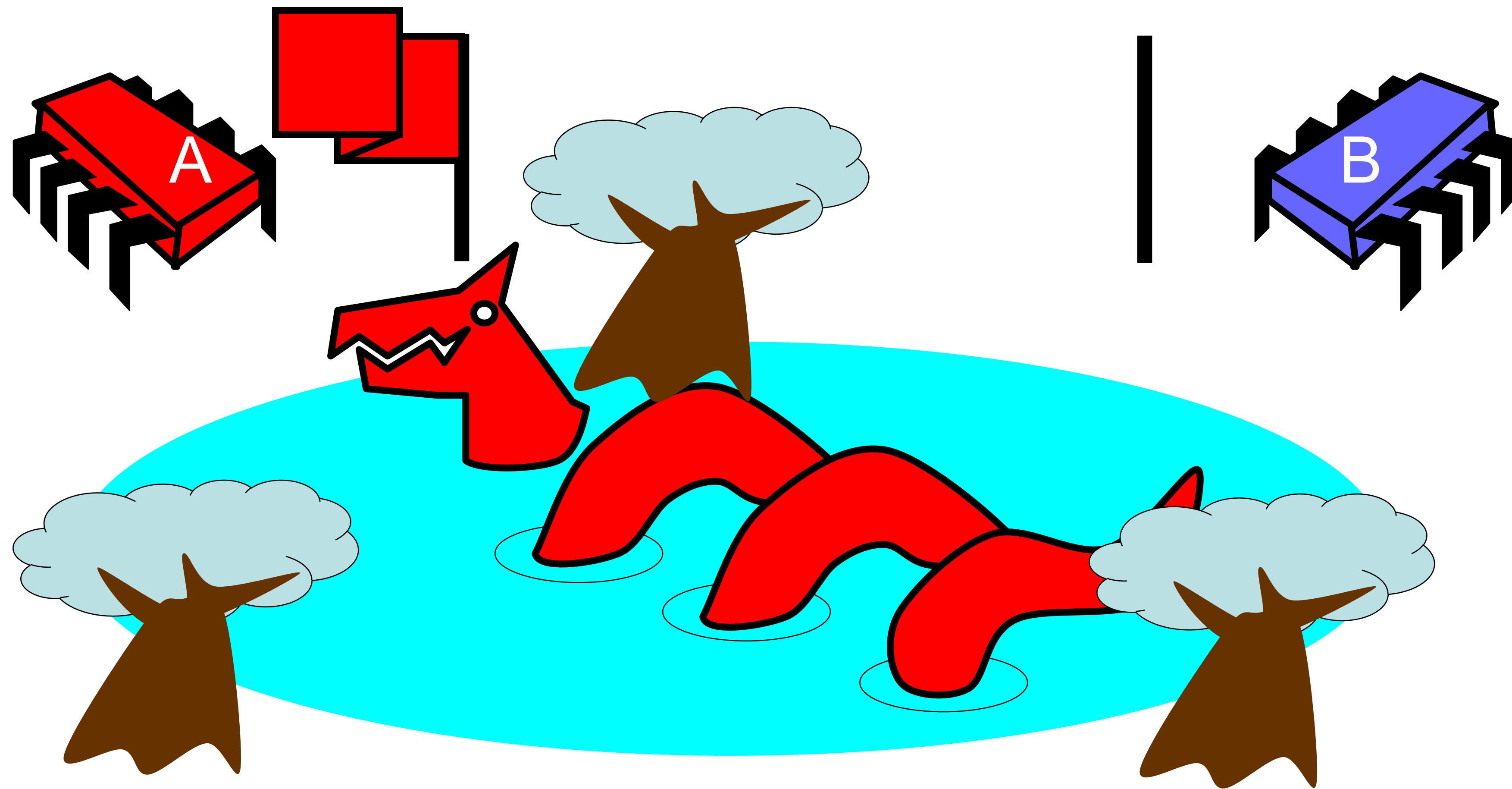
Interpretation

- Cannot solve mutual exclusion with interrupts
 - Sender sets fixed bit in receiver's space
 - Receiver resets bit when ready
 - Requires unbounded number of interrupt bits

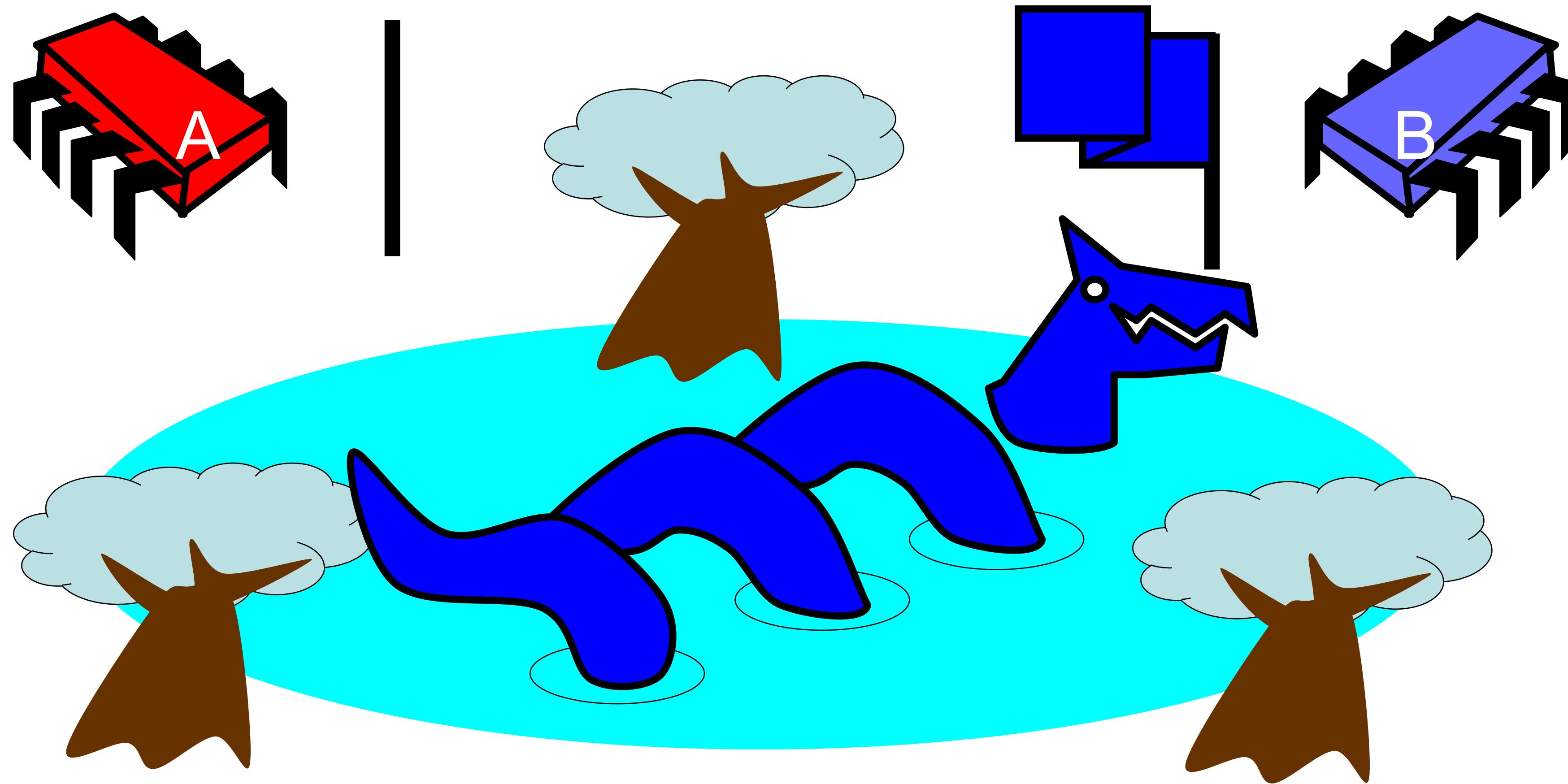
Flag Protocol



Alice's Protocol (sort of)



Bob's Protocol (sort of)



Alice's Protocol

- Raise flag
- Wait until Bob's flag is down
- Unleash pet
- Lower flag when pet returns

Bob's Protocol

- Raise flag
- Wait until Alice's flag is down
- Unleash pet
- Lower flag when pet returns

danger: deadlock!

Bob's Protocol (2nd try)

- Raise flag
- While Alice's flag is up
 - Lower flag
 - Wait for Alice's flag to go down
 - Raise flag
- Unleash pet
- Lower flag when pet returns

Bob's Protocol

- Raise flag
- While Alice's flag is up
 - Lower flag
 - Wait for Alice's flag to go down
 - Raise flag
- Unleash pet
- Lower flag when pet returns

**Bob defers
to Alice**

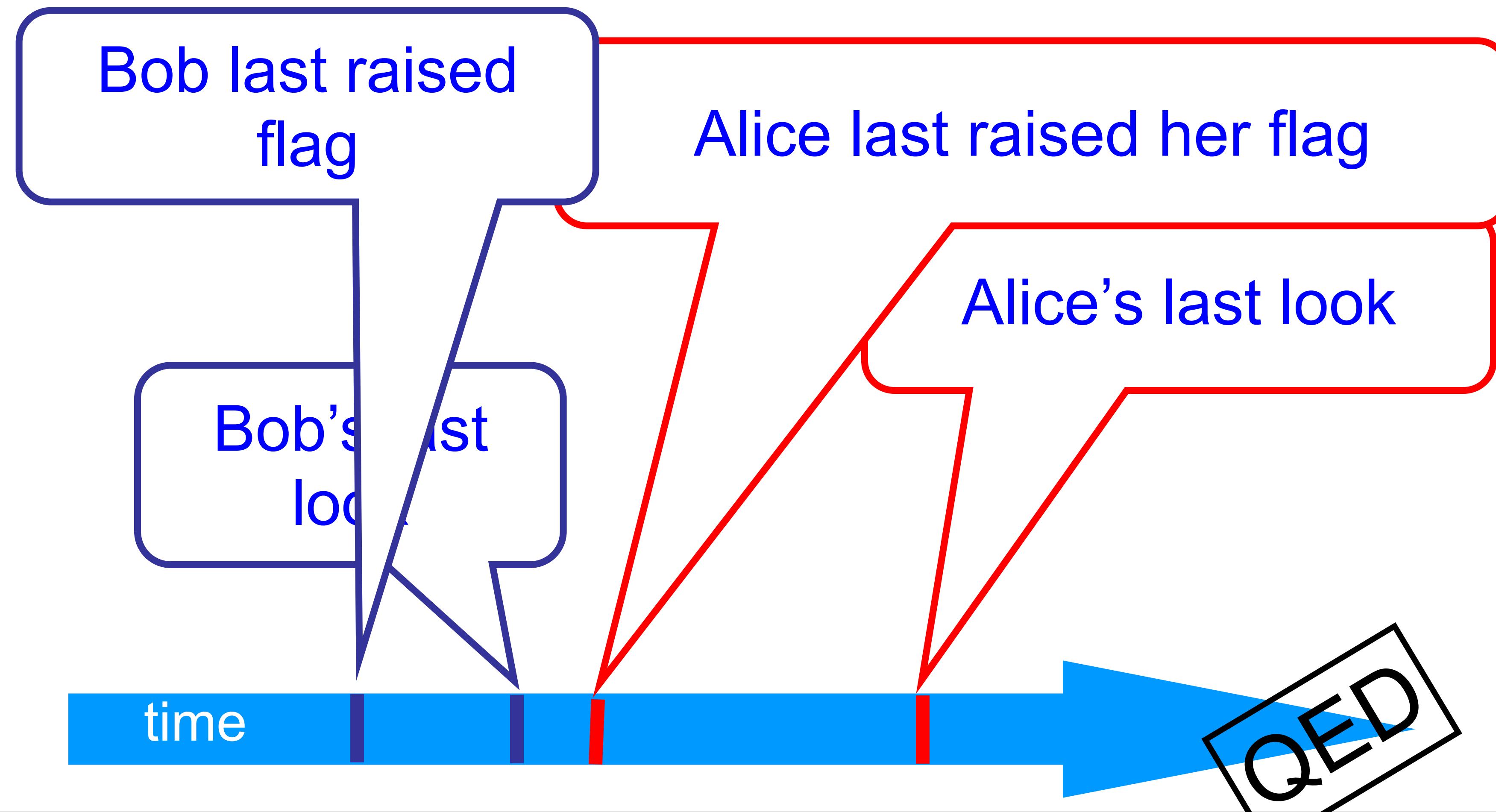
The Flag Principle

- Raise the flag
- Look at other's flag
- Flag Principle:
 - If each raises and looks, then
 - Last to look must see both flags up

Proof of Mutual Exclusion

- Assume both pets in pond
 - Derive a contradiction
 - By reasoning **backwards**
- Consider the last time Alice and Bob each looked before letting the pets in
- Without loss of generality assume Alice was the last to look...

Proof



Alice must have seen Bob's Flag. A Contradiction

Proof of No Deadlock

- If only one pet wants in, it gets in.

Proof of No Deadlock

- If only one pet wants in, it gets in.
- Deadlock requires both continually trying to get in.

Proof of No Deadlock

- If only one pet wants in, it gets in.
- Deadlock requires both continually trying to get in.
- If Bob sees Alice's flag, he backs off, gives her priority (Alice's lexicographic privilege)



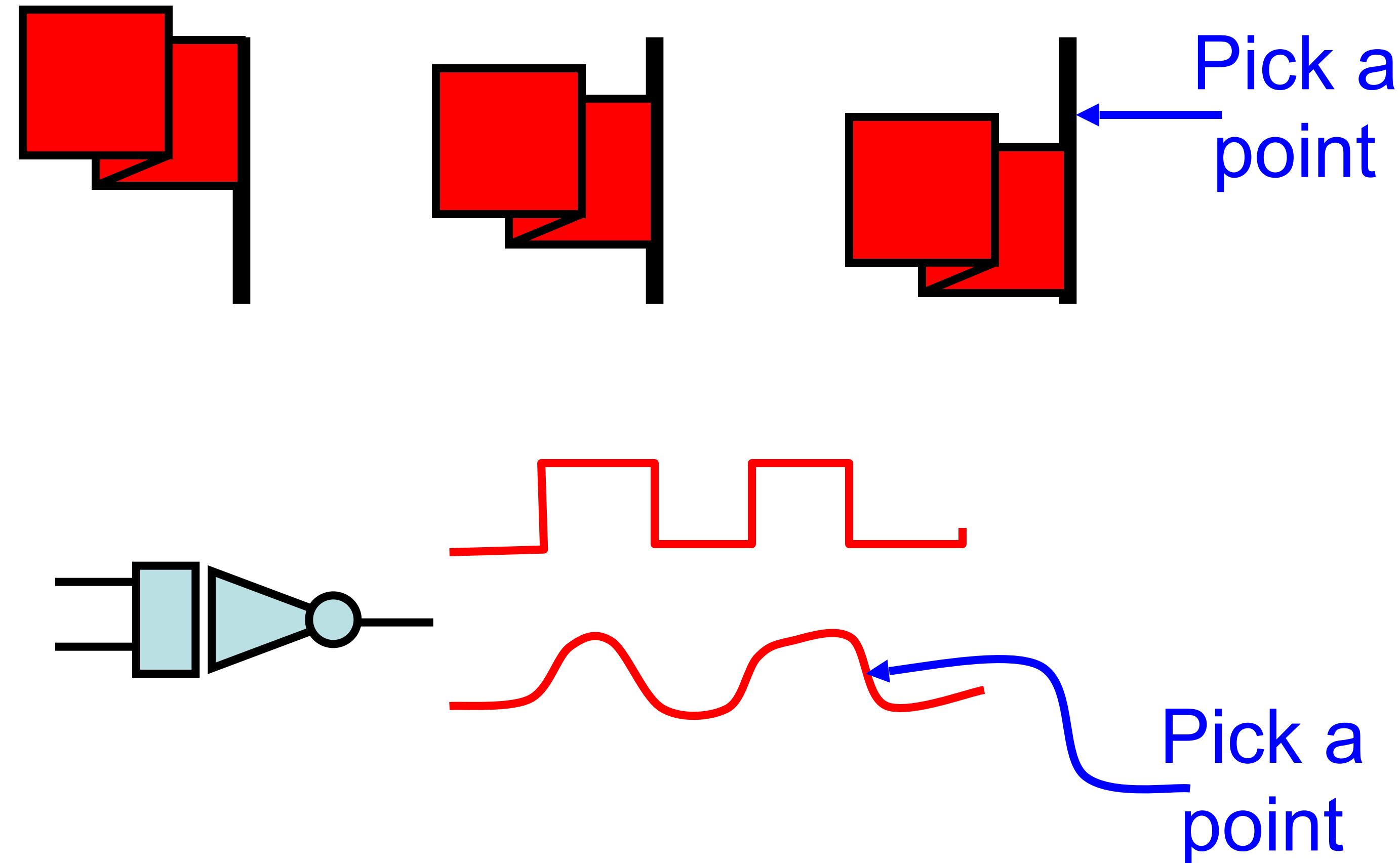
Remarks

- Protocol is *unfair*
 - Bob's pet might never get in
- Protocol uses *waiting*
 - If Bob is eaten by his pet, Alice's pet might never get in

Moral of Story

- Mutual Exclusion cannot be solved by
 - transient communication (cell phones)
 - interrupts (cans)
- It can be solved by
 - one-bit shared variables
 - that can be read or written

The Arbiter Problem (an aside)



The Fable Continues

- Alice and Bob fall in love & marry

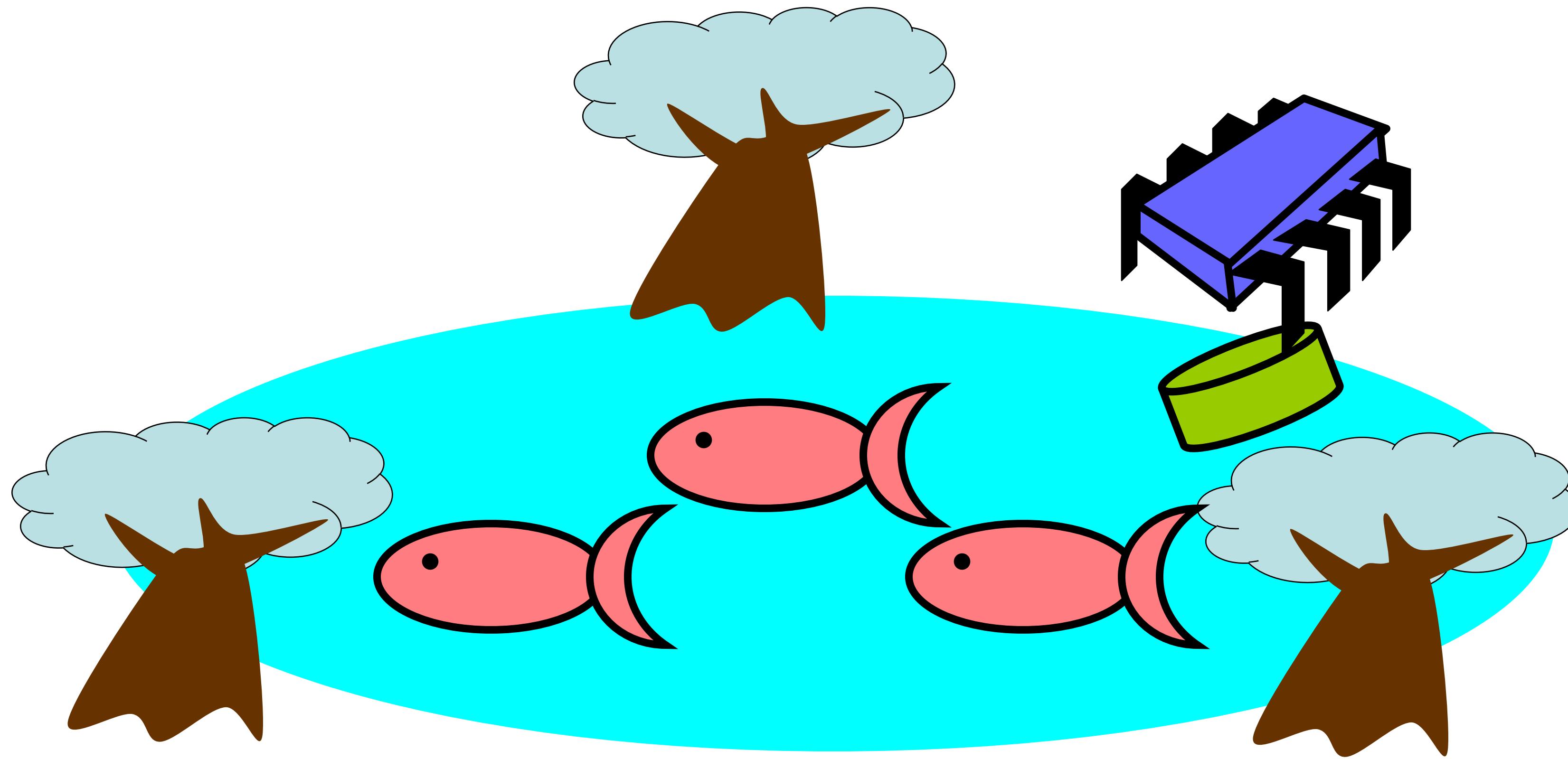
The Fable Continues

- Alice and Bob fall in love & marry
- Then they fall out of love & divorce
 - After a coin flip, she gets the pets
 - He has to feed them

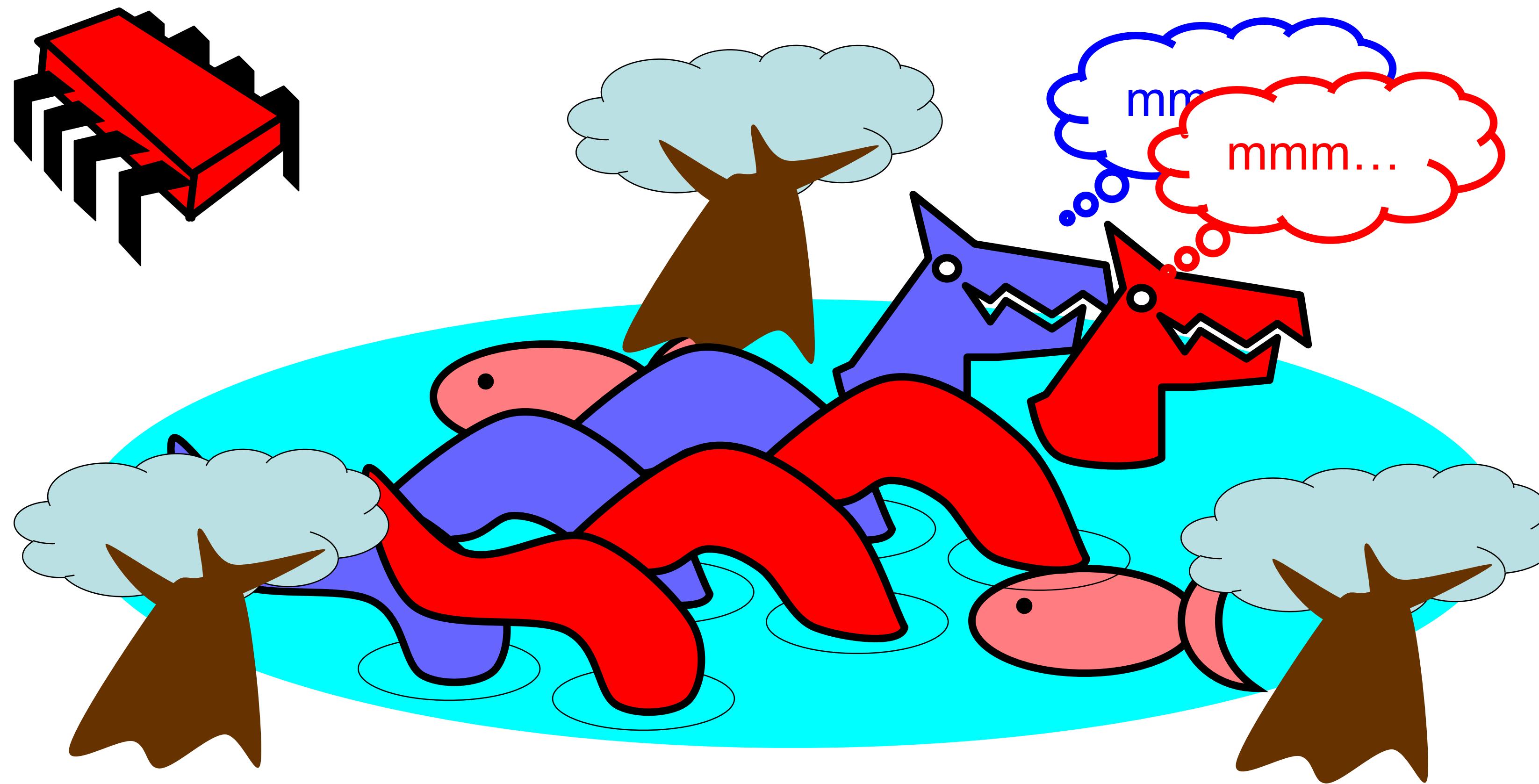
The Fable Continues

- Alice and Bob fall in love & marry
- Then they fall out of love & divorce
 - She gets the pets
 - He has to feed them
- Leading to a new coordination problem:
Producer-Consumer

Bob Puts Food in the Pond



Alice releases her pets to Feed



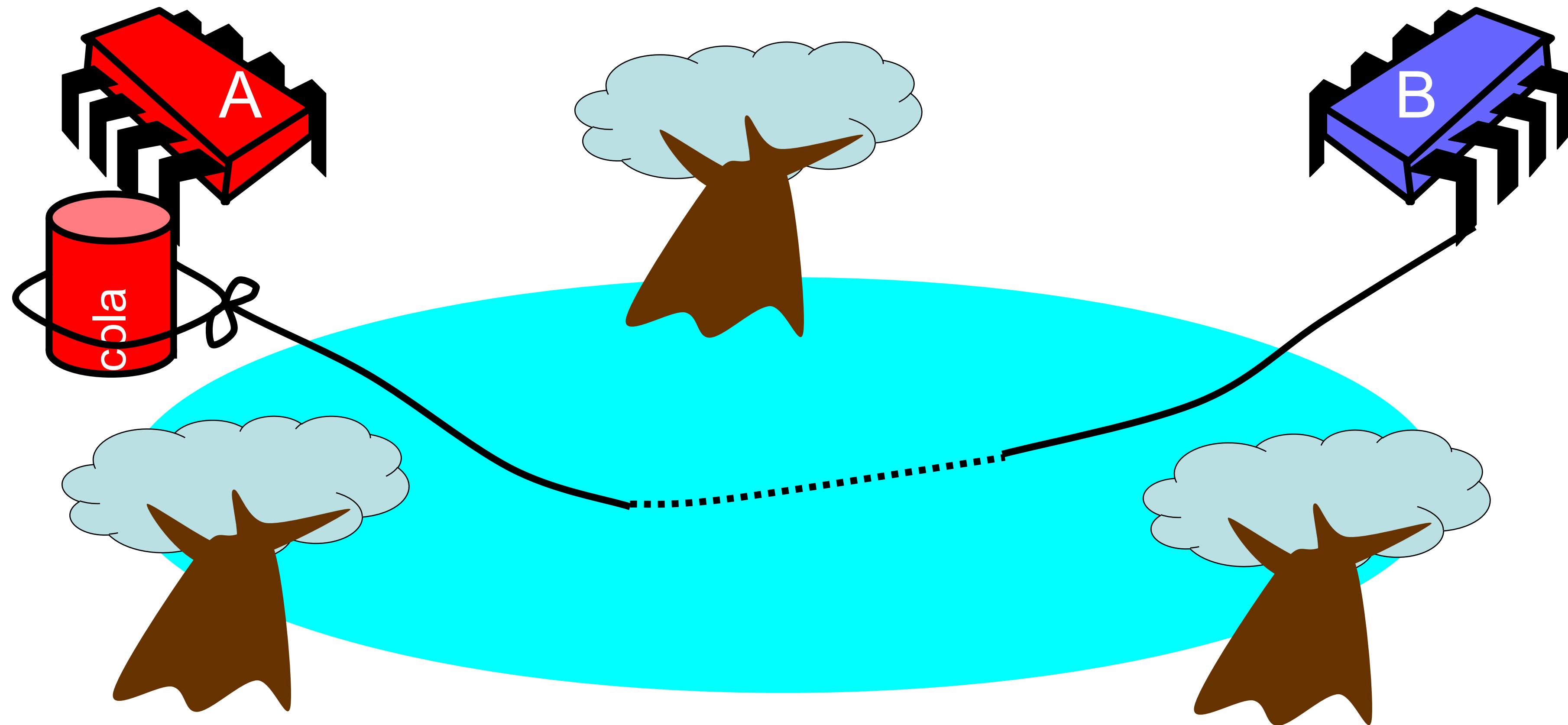
Producer/Consumer

- Alice and Bob can't meet
 - Each has restraining order on other
 - So he puts food in the pond
 - And later, she releases the pets
- Avoid
 - Releasing pets when there's no food
 - Putting out food if uneaten food remains

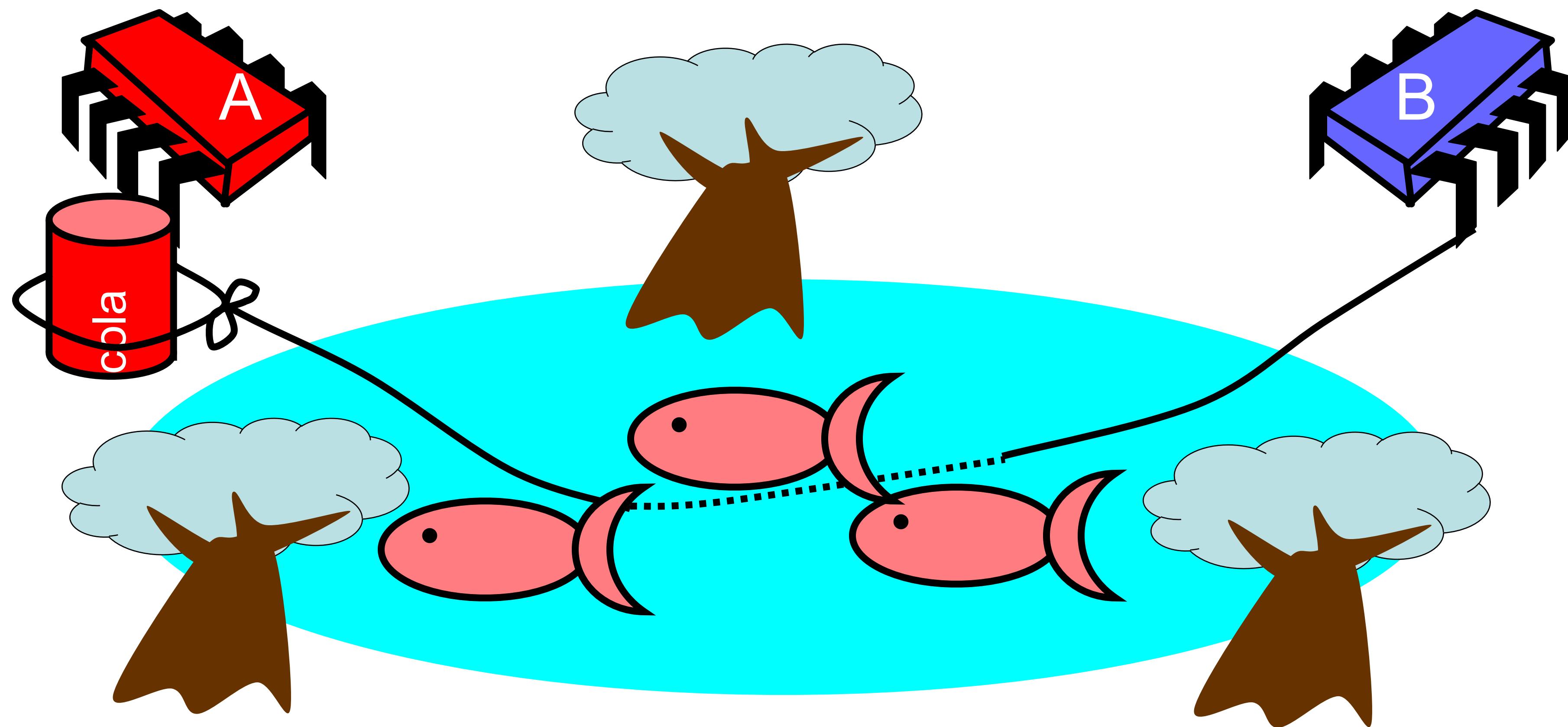
Producer/Consumer

- Need a mechanism so that
 - Bob lets Alice know when food has been put out
 - Alice lets Bob know when to put out more food

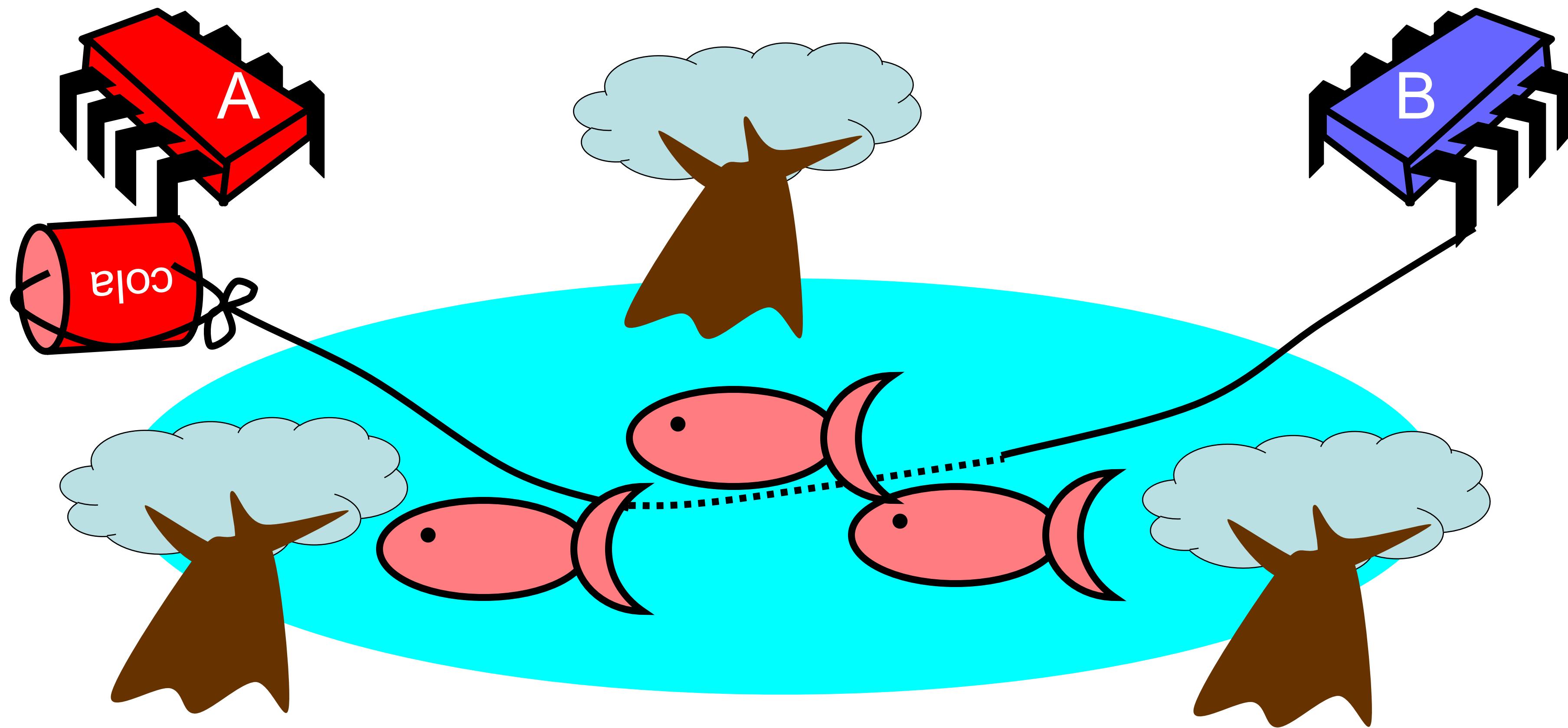
Surprise Solution



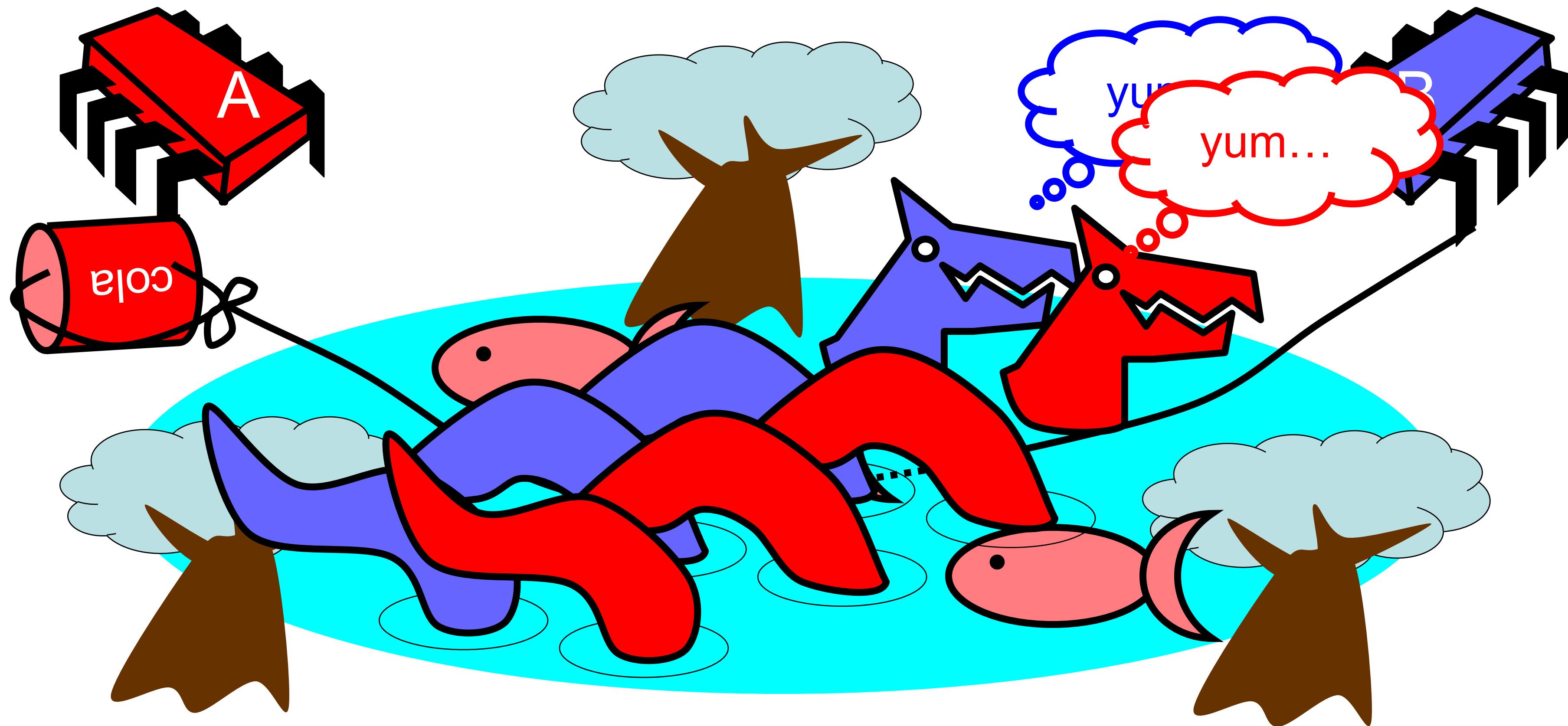
Bob puts food in Pond



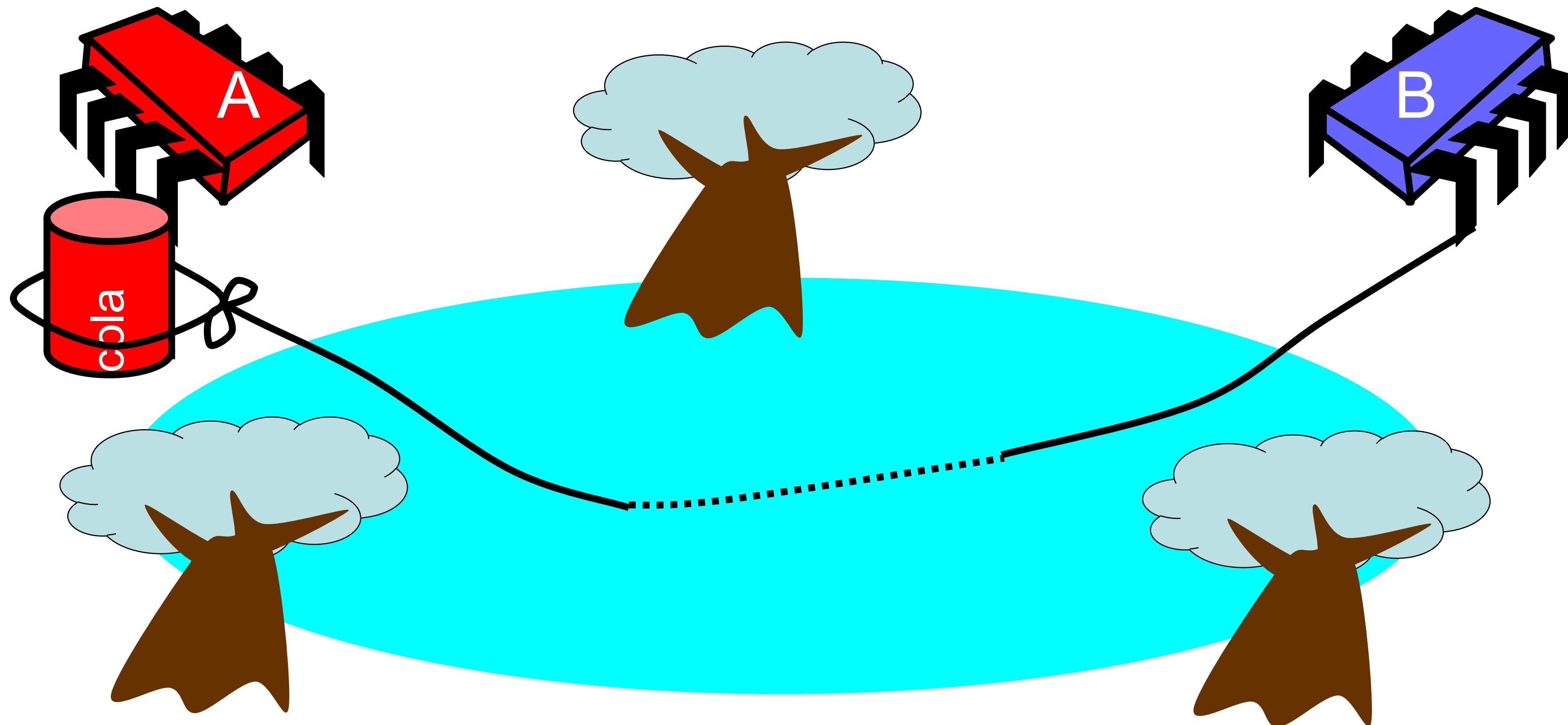
Bob knocks over Can



Alice Releases Pets



Alice Resets Can when Pets are Fed



Pseudocode

```
while (true) {  
    while (can.isUp()) {};  
    pet.release();  
    pet.recapture();  
    can.reset();  
}
```

Alice's code

Pseudocode

```
while (true) {  
    while (can.isUp()) {};  
    pet.release();  
    pet.recapture();  
    can.reset();  
}
```

Alice's code

Bob's code

```
while (true) {  
    while (can.isDown()) {};  
    pond.stockWithFood();  
    can.knockOver();  
}
```

Correctness

- Mutual Exclusion
 - Pets and Bob never together in pond

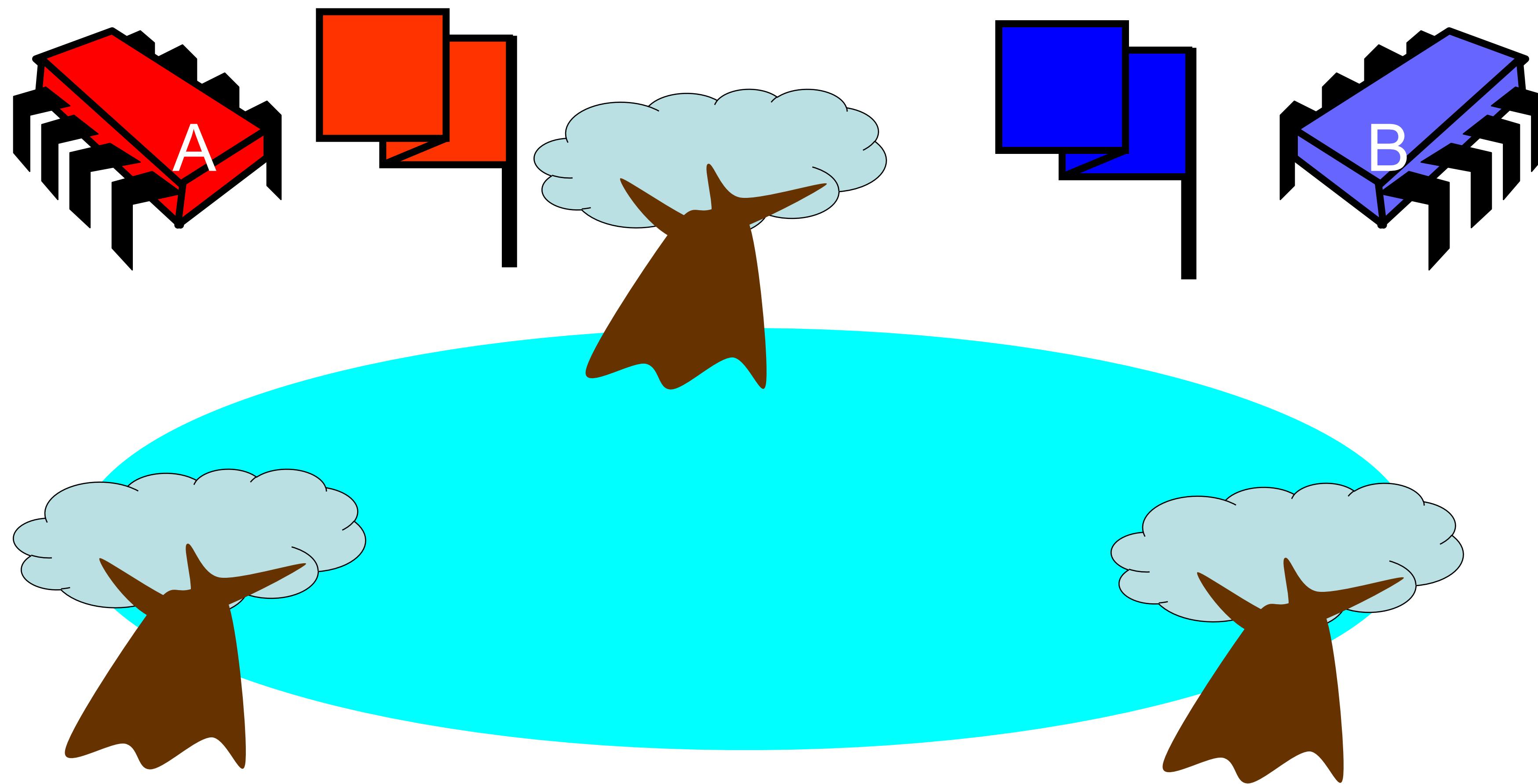
Correctness

- Mutual Exclusion
 - Pets and Bob never together in pond
- No Starvation
 - if Bob always willing to feed, and pets always famished, then pets eat infinitely often.

Correctness

- **Mutual Exclusion** safety
 - Pets and Bob never together in pond
- **No Starvation** liveness
 - if Bob always willing to feed, and pets always famished, then pets eat infinitely often.
- **Producer/Consumer** safety
 - The pets never enter pond unless there is food, and Bob never provides food if there is unconsumed food.

Could Also Solve Using Flags



Waiting

- Both solutions use waiting
 - `while (mumble) {}`
- In some cases waiting is ***problematic***
 - If one participant is delayed
 - So is everyone else
 - But delays are common & unpredictable

The Fable drags on ...

- Bob and Alice still have issues

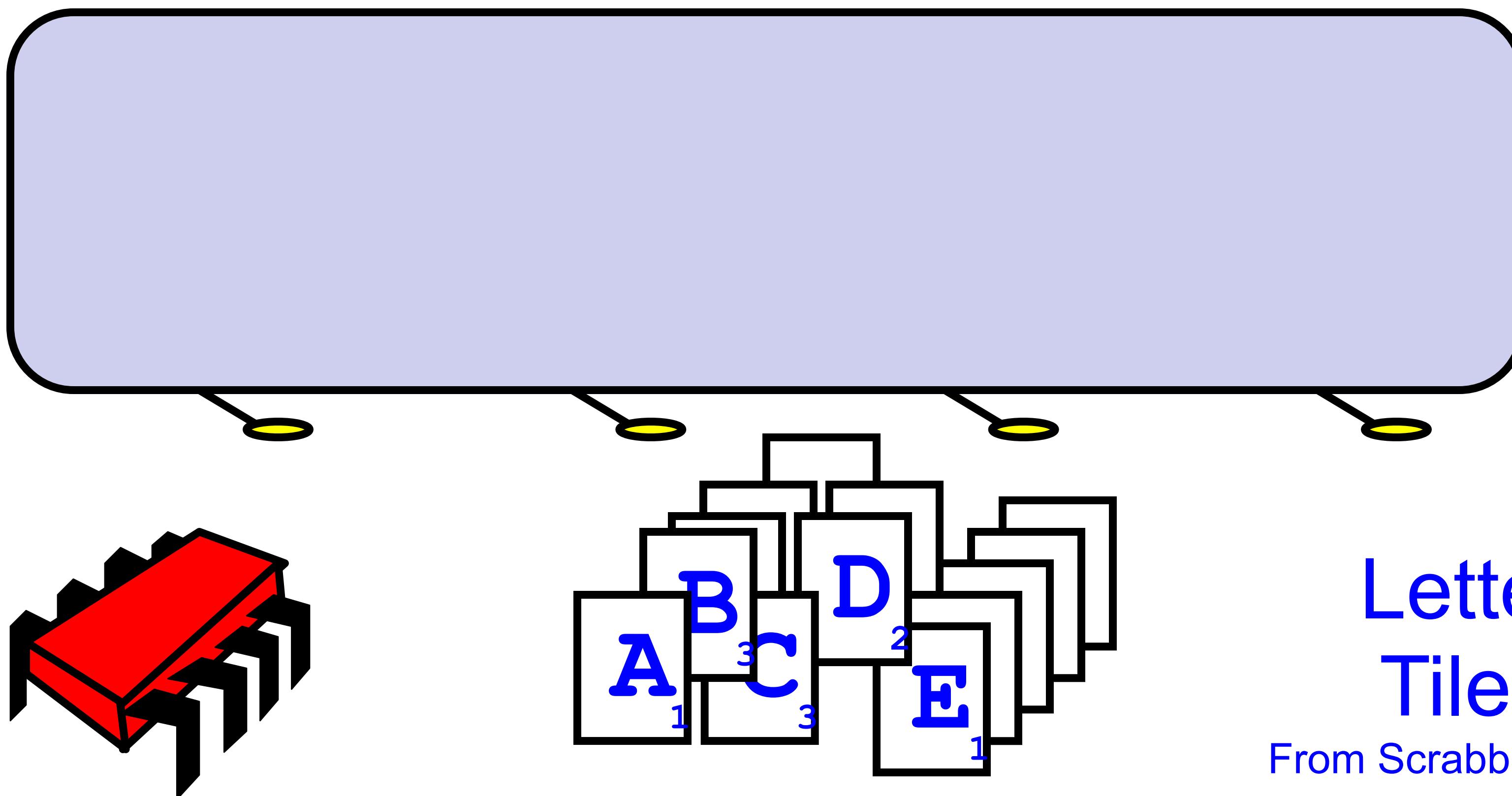
The Fable drags on ...

- Bob and Alice still have issues
- So they need to communicate

The Fable drags on ...

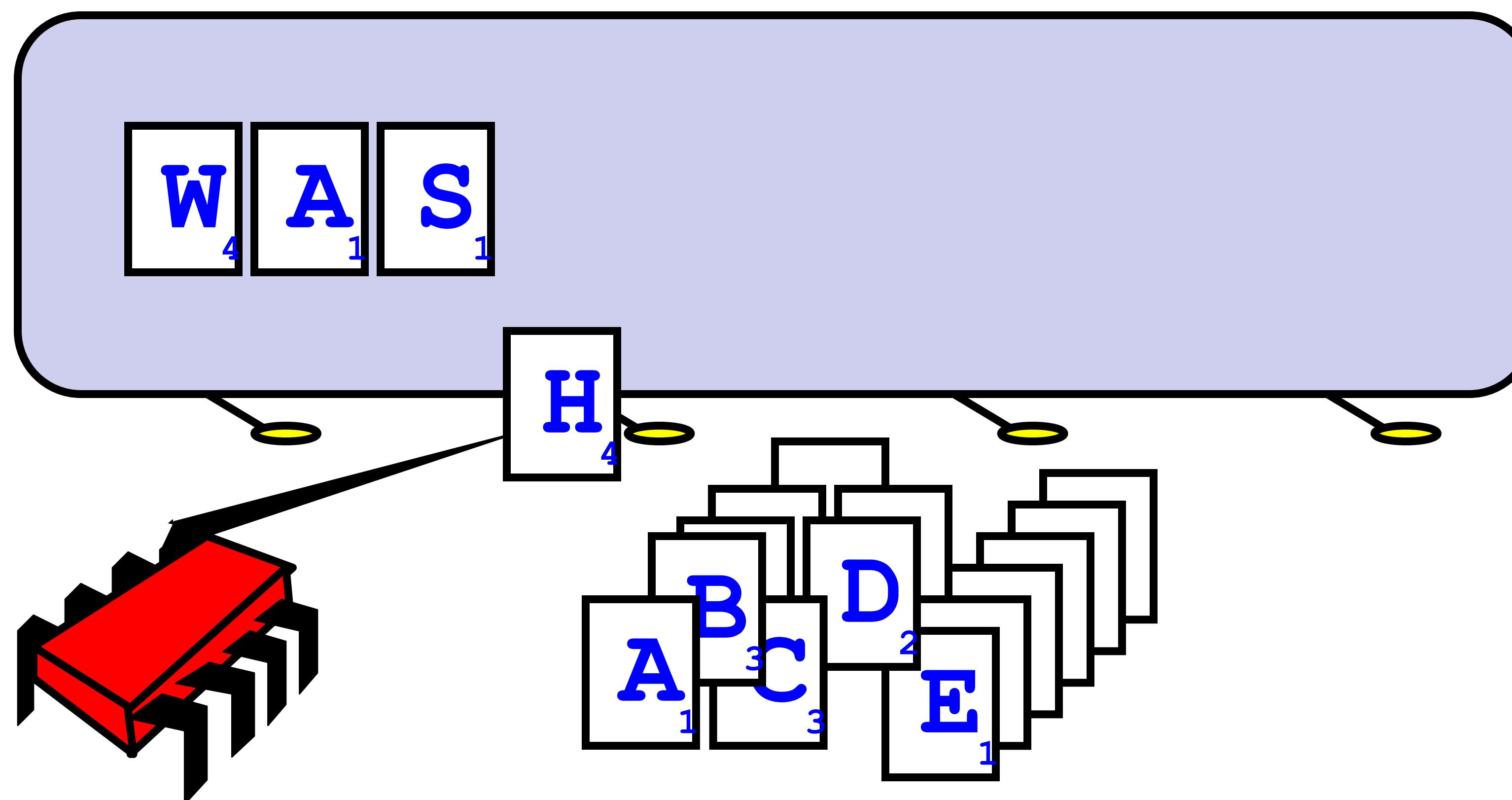
- Bob and Alice still have issues
- So they need to communicate
- They agree to use billboards ...

Billboards are Large

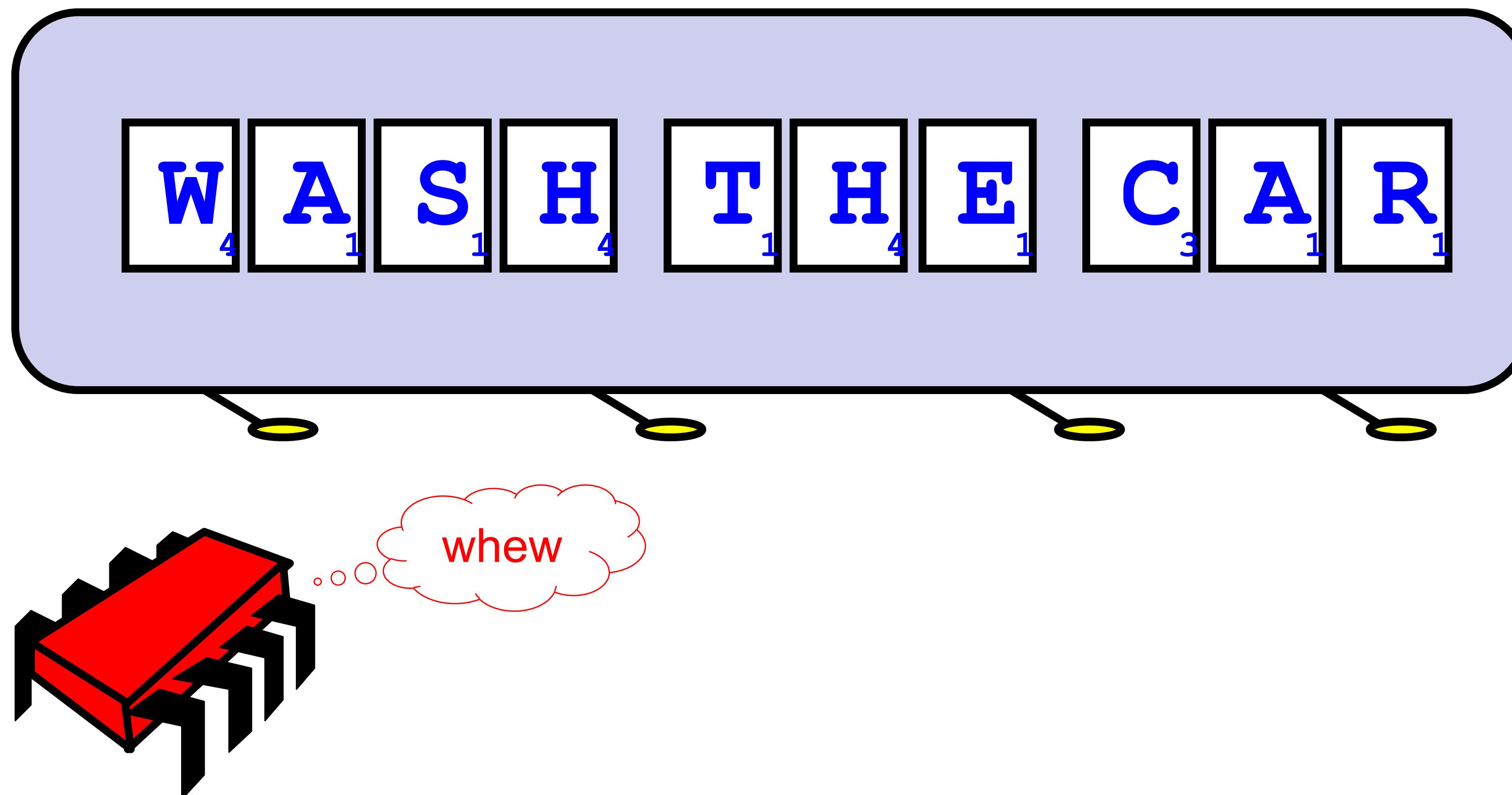


Letter
Tiles
From Scrabble™ box

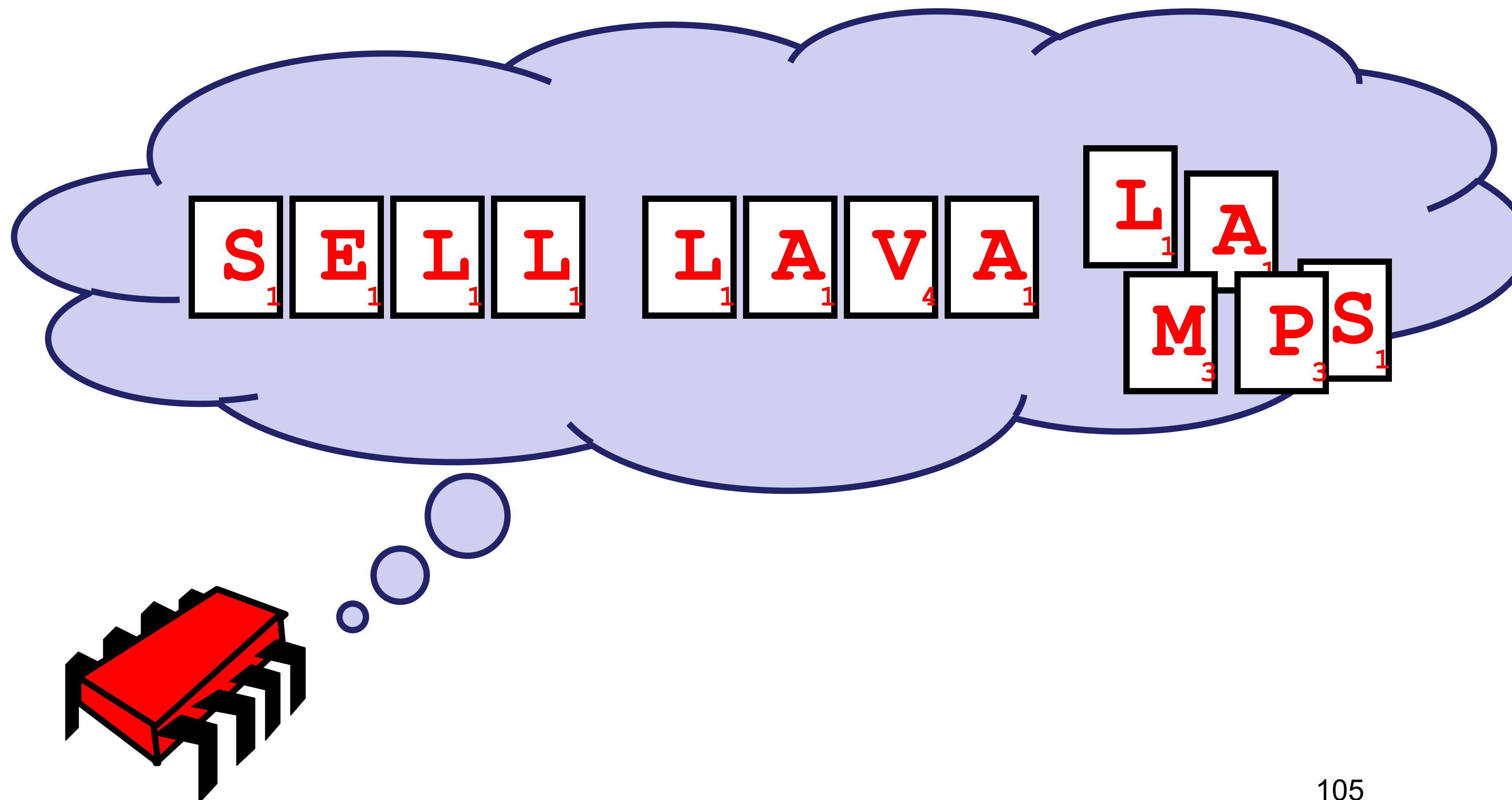
Write One Letter at a Time ...



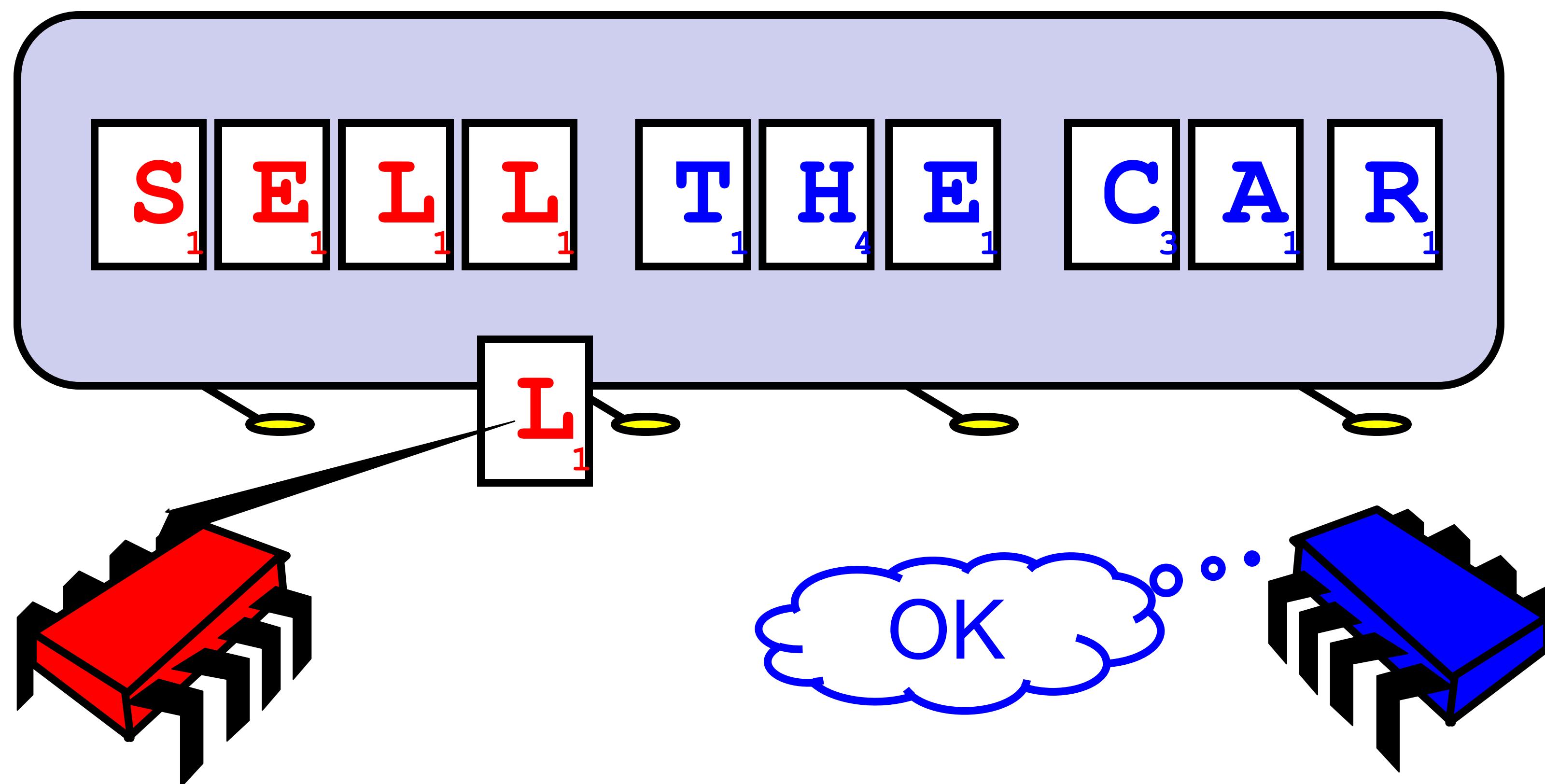
To post a message



Let's send another message



Uh-Oh



Readers/Writers

- Devise a protocol so that
 - Writer writes one letter at a time
 - Reader reads one letter at a time
 - Reader sees “snapshot”
 - Old message or new message
 - No mixed messages

Readers/Writers (continued)

- Easy with mutual exclusion
- But mutual exclusion requires waiting
 - One waits for the other
 - Everyone executes sequentially
- Remarkably
 - We can solve R/W without mutual exclusion

Esoteric?

- Java container **size()** method
- Single shared counter?
 - incremented with each **add()** and
 - decremented with each **remove()**
- Threads wait to exclusively access

performance
bottleneck

Readers/Writers Solution

- Each thread i has $\text{size}[i]$ counter
 - only it increments or decrements.
- To get object's size, a thread reads a “snapshot” of all counters
- This eliminates the bottleneck

Why do we care?

- We want as much of the code as possible to execute concurrently (in parallel)
- A larger sequential part implies reduced performance
- Amdahl's law: this relation is not linear...

Amdahl's Law

Speedup =

$$\frac{1\text{-thread execution time}}{n\text{-thread execution time}}$$

Amdahl's Law

Speedup =
$$\frac{1}{1 - p + \frac{p}{n}}$$

Amdahl's Law

Speedup =

$$\frac{1}{1 - p + \frac{p}{n}}$$

Parallel fraction

Amdahl's Law

Sequential fraction

Parallel fraction

Speedup =

$$\frac{1}{(1 - p) + \frac{p}{n}}$$

The diagram illustrates the formula for Speedup in Amdahl's Law. It features a horizontal black line representing the total task. A red bracket below the line is divided into two segments: one labeled $1 - p$ and another labeled $\frac{p}{n}$. The label "Speedup =" is positioned to the left of the line. Above the line, the text "Sequential fraction" points to the $1 - p$ segment, and "Parallel fraction" points to the $\frac{p}{n}$ segment.

Amdahl's Law

Speedup =

$$\frac{1}{(1 - p) + \frac{p}{n}}$$

Sequential fraction

Parallel fraction

Number of threads

The diagram illustrates the formula for Speedup in Amdahl's Law. It shows a horizontal line segment representing the total task. The segment is divided into two parts: a longer red segment labeled '1 - p' and a shorter black segment labeled 'p/n'. The red segment is labeled 'Sequential fraction' and the black segment is labeled 'Parallel fraction'. Red arrows point from the text labels to their corresponding segments in the formula. The formula is presented as a fraction with the red segment as the denominator and the black segment as the numerator.

Amdal's Law



Bad synchronization ruins everything

Example

- Ten processors
- 60% concurrent, 40% sequential
- How close to 10-fold speedup?

Example

- Ten processors
- 60% concurrent, 40% sequential
- How close to 10-fold speedup?

$$\text{Speedup} = 2.17 = \frac{1}{1 - 0.6 + \frac{0.6}{10}}$$

Example

- Ten processors
- 80% concurrent, 20% sequential
- How close to 10-fold speedup?

Example

- Ten processors
- 80% concurrent, 20% sequential
- How close to 10-fold speedup?

$$\text{Speedup} = 3.57 = \frac{1}{1 - 0.8 + \frac{0.8}{10}}$$

Example

- Ten processors
- 90% concurrent, 10% sequential
- How close to 10-fold speedup?

Example

- Ten processors
- 90% concurrent, 10% sequential
- How close to 10-fold speedup?

$$\text{Speedup} = 5.26 = \frac{1}{1 - 0.9 + \frac{0.9}{10}}$$

Example

- Ten processors
- 99% concurrent, 01% sequential
- How close to 10-fold speedup?

Example

- Ten processors
- 99% concurrent, 1% sequential
- How close to 10-fold speedup?

$$\text{Speedup} = 9.17 = \frac{1}{1 - 0.99 + \frac{0.99}{10}}$$

In the Next Lecture

- *Formal model* for thinking about concurrency
- Algorithms for *mutual exclusion*

This work is licensed under a [Creative Commons Attribution-ShareAlike 2.5 License](#).

- **You are free:**
 - **to Share** — to copy, distribute and transmit the work
 - **to Remix** — to adapt the work
- **Under the following conditions:**
 - **Attribution.** You must attribute the work to “The Art of Multiprocessor Programming” (but not in any way that suggests that the authors endorse you or your use of the work).
 - **Share Alike.** If you alter, transform, or build upon this work, you may distribute the resulting work only under the same, similar or a compatible license.
- For any reuse or distribution, you must make clear to others the license terms of this work. The best way to do this is with a link to
 - <http://creativecommons.org/licenses/by-sa/3.0/>.
- Any of the above conditions can be waived if you get permission from the copyright holder.
- Nothing in this license impairs or restricts the author's moral rights.

