YSC4231: Parallel, Concurrent and Distributed Programming

Concurrent Skip Lists

Set Object Interface

- Collection of elements
- No duplicates
- Methods
  - add() a new element
  - remove() an element
  - contains() if element is present

Many are Cold but Few are Frozen

- Typically high % of contains() calls
- Many fewer add() calls
- And even fewer remove() calls
  - 90% contains()
  - 9% add()
  - 1% remove()
- Folklore?
  - Yes but probably mostly true

Concurrent Sets

- Optimistic List, Lazy List
  - All have linear time (okay-ish)

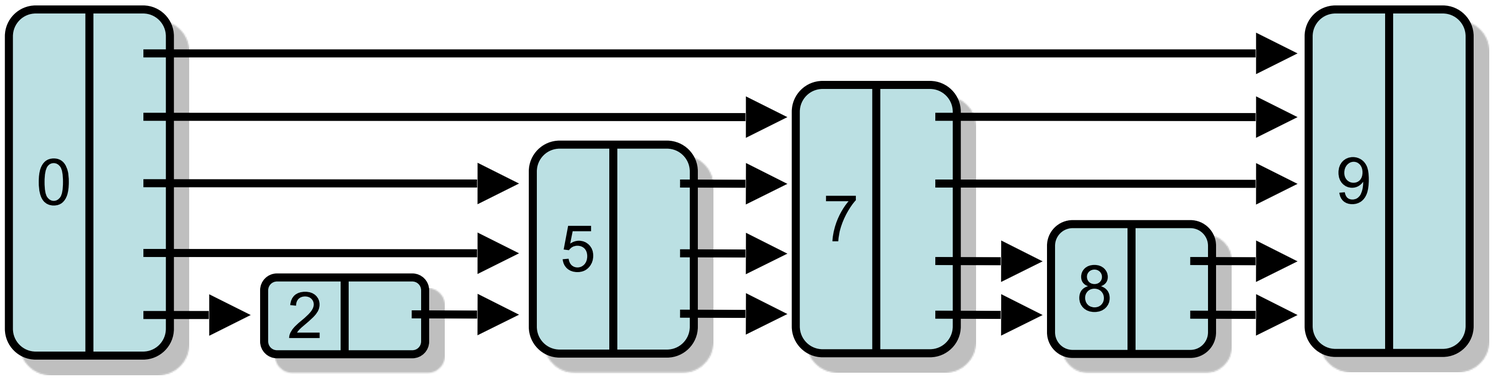
- Any ideas on how we can do better?

Concurrent Sets

- Balanced Trees?
  - Red-Black trees, AVL trees, …
- Problem: no one does this well …
- … because **rebalancing** after add() or remove() is a global operation

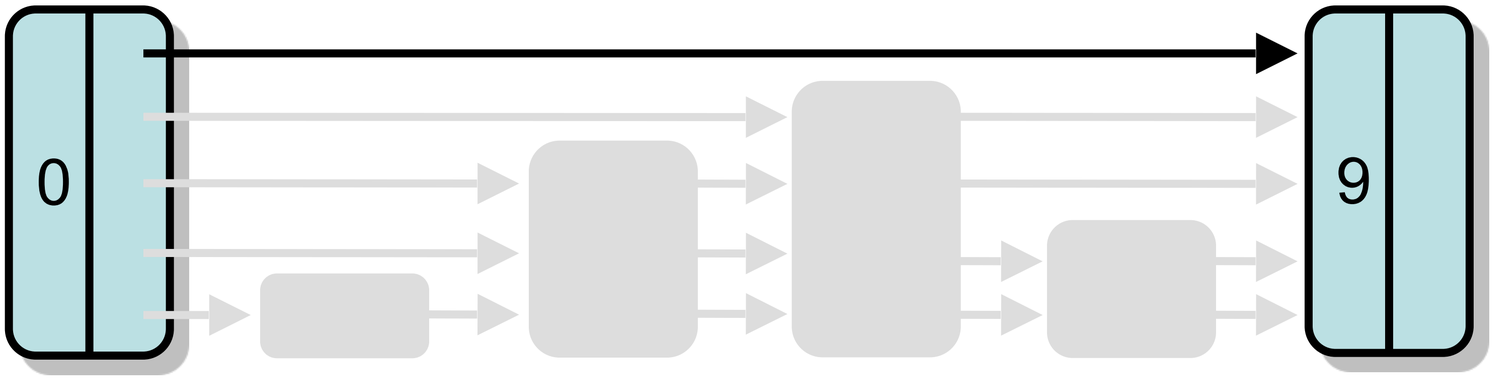
Skip Lists

- Probabilistic Data Structure
- No global rebalancing
- Logarithmic-time search



Skip List Property

- Each layer is sub-list of lower levels



Skip List Property

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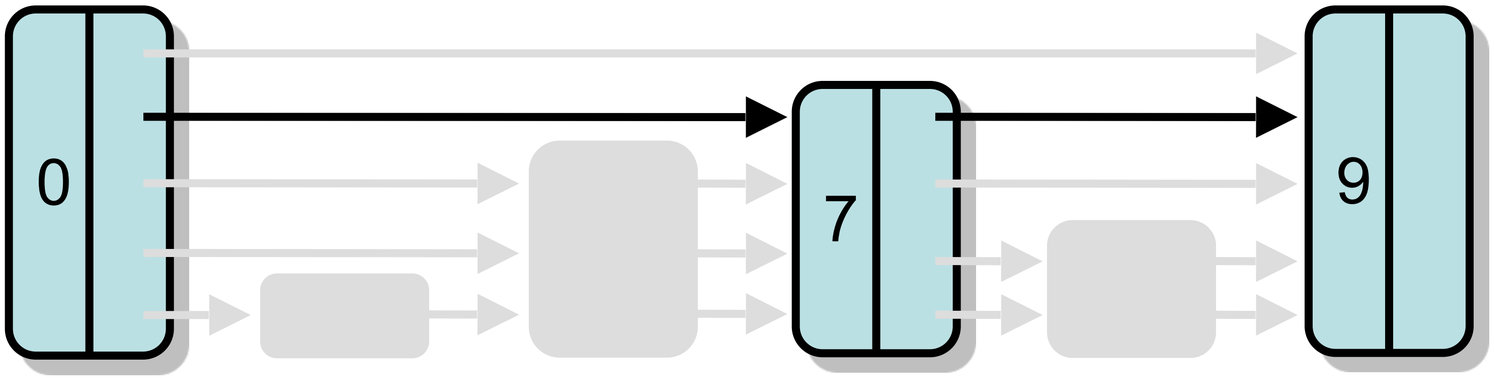

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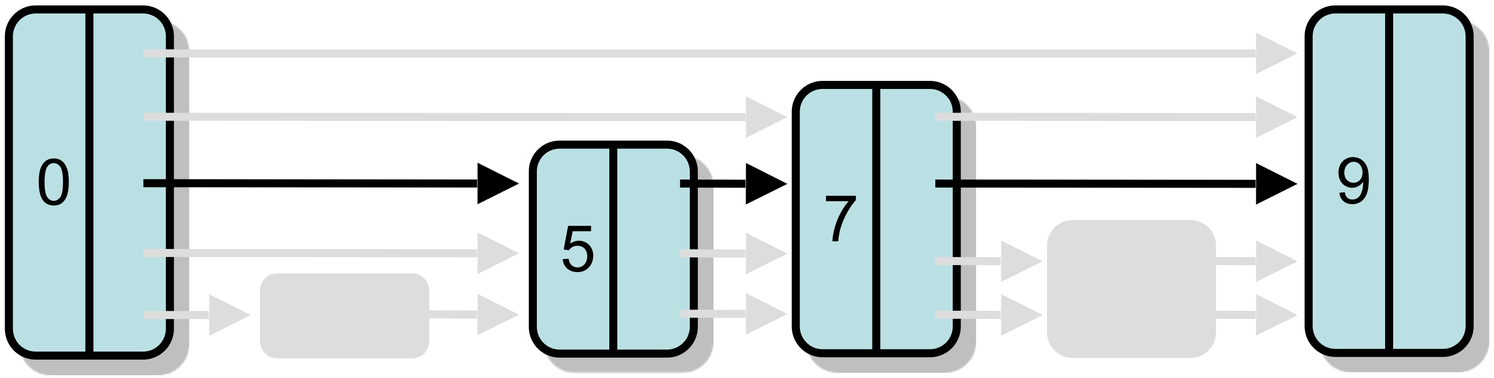

Skip List Property

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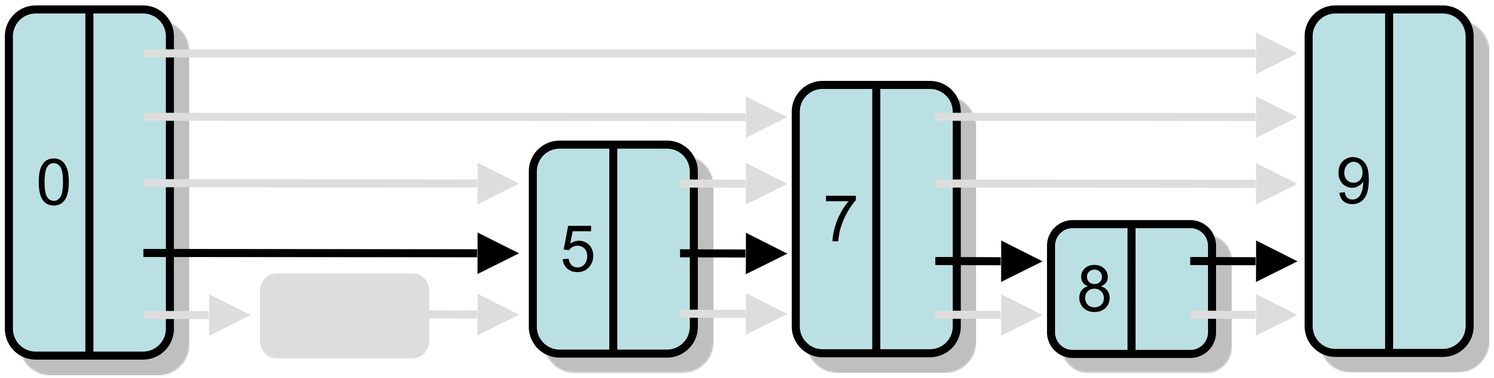
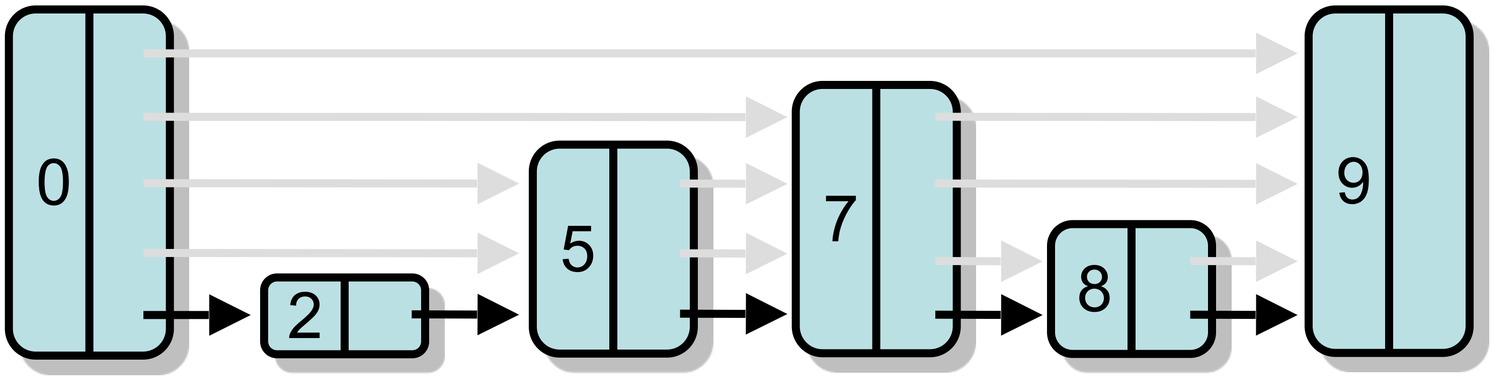


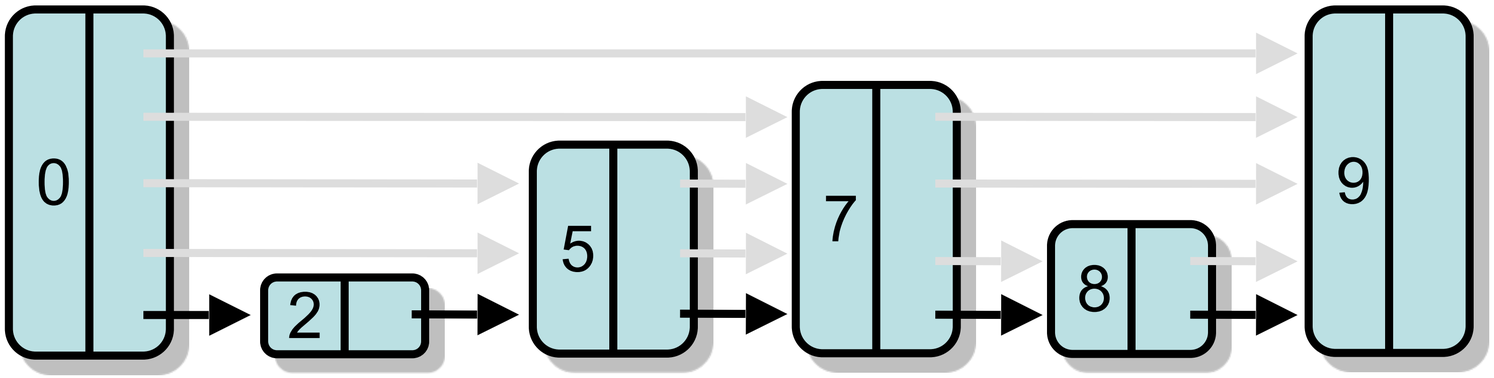
Skip List Property

- Each layer is sub-list of lower levels
- Lowest level is entire list

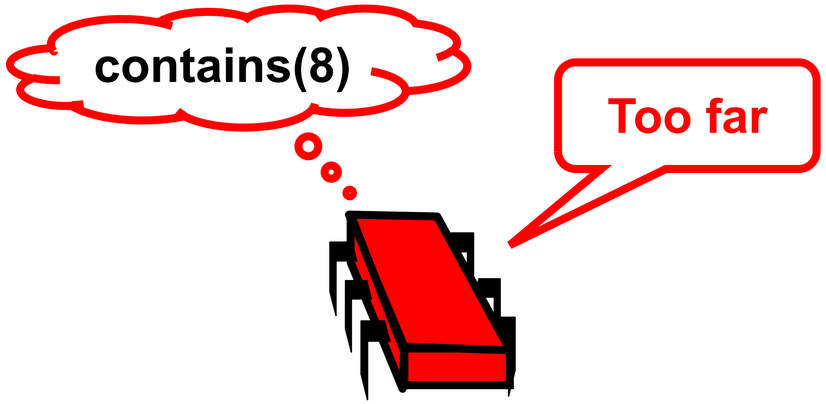


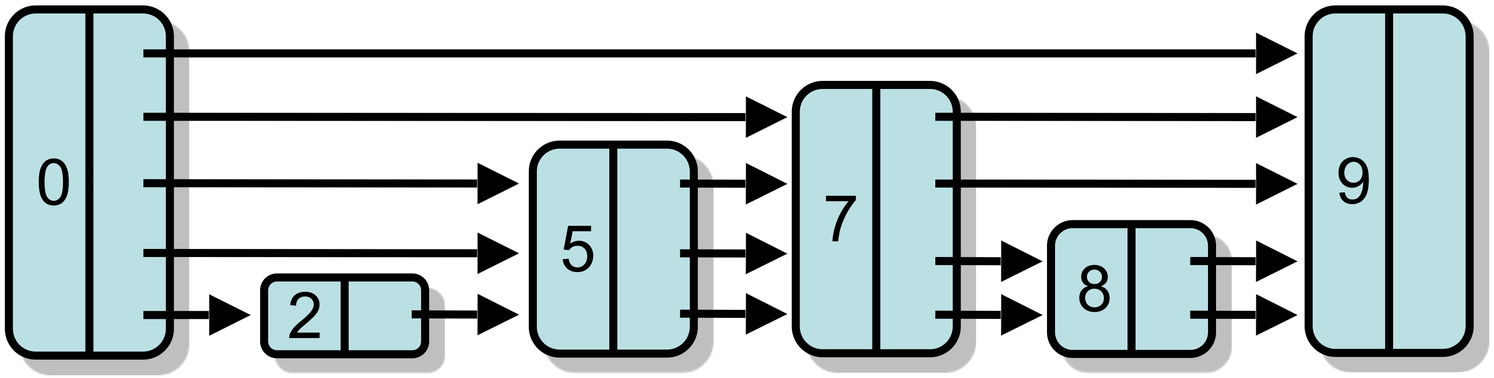
Skip List Property

- Each layer is sub-list of lower levels
- Not easy to preserve in concurrent implementations …



Search





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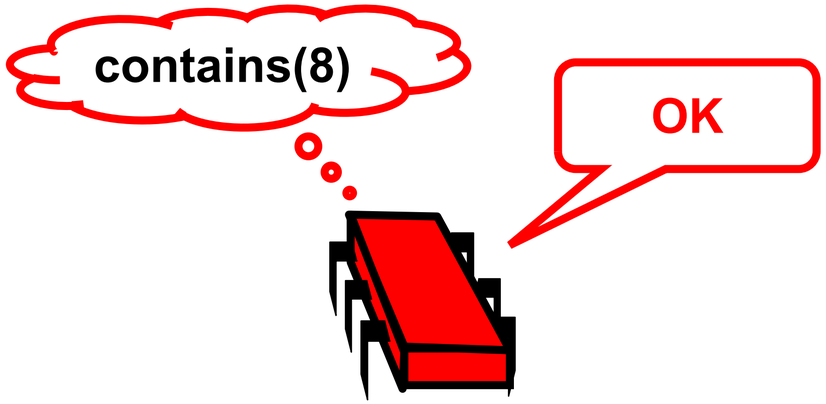

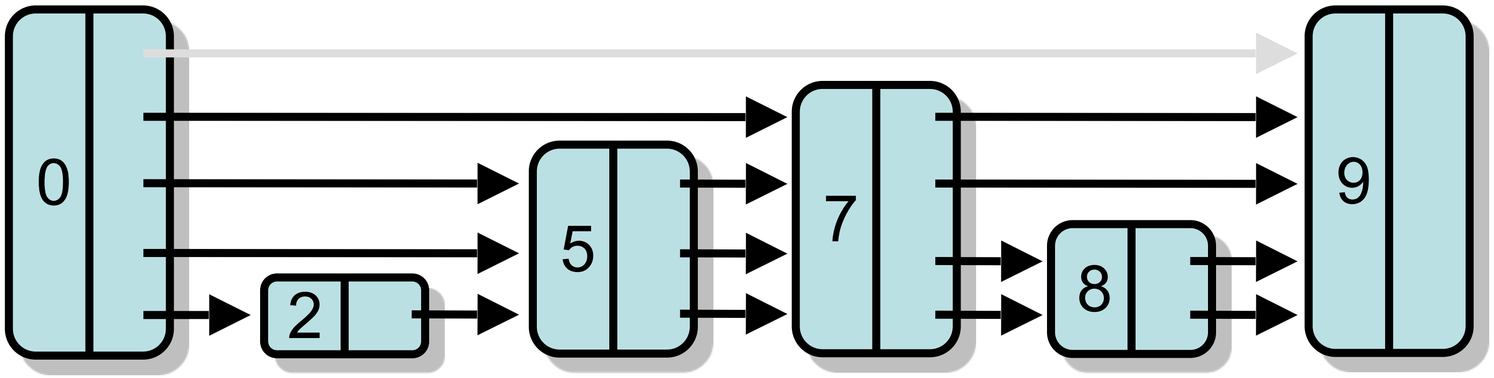

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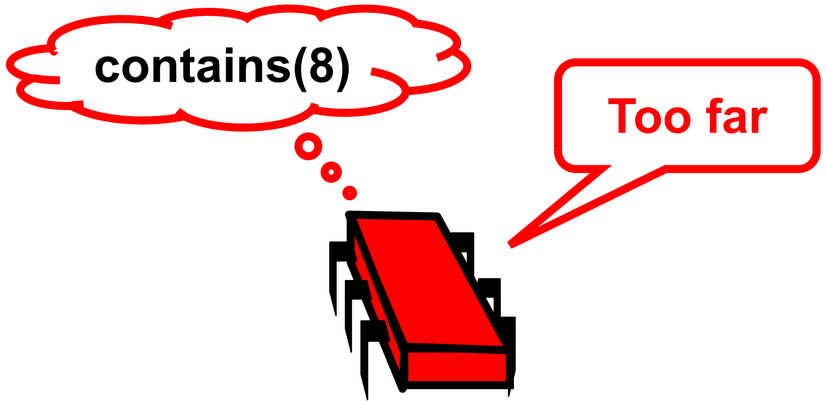

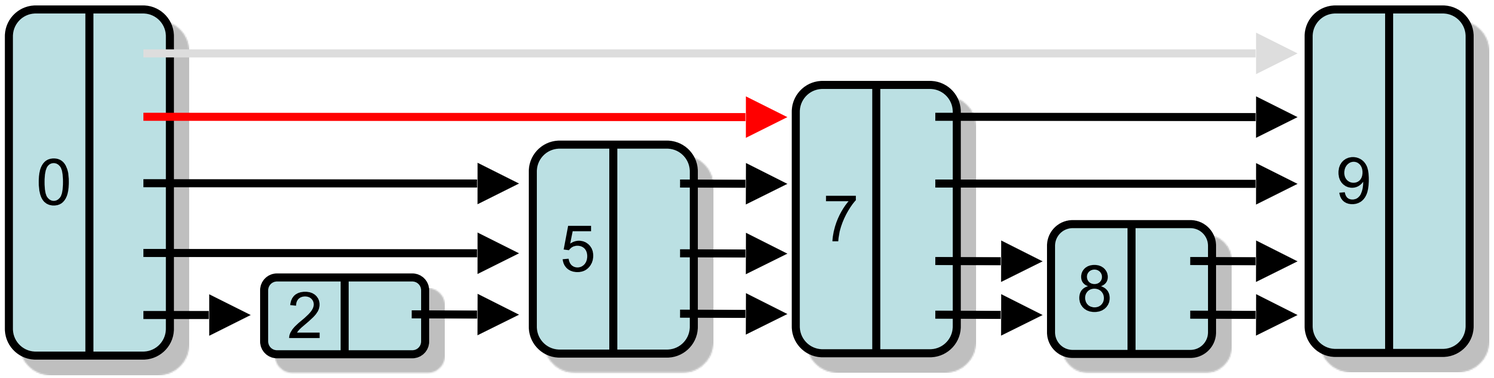

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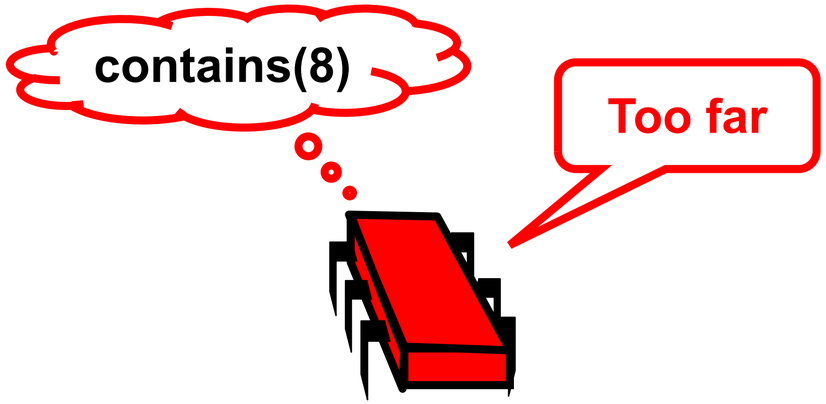

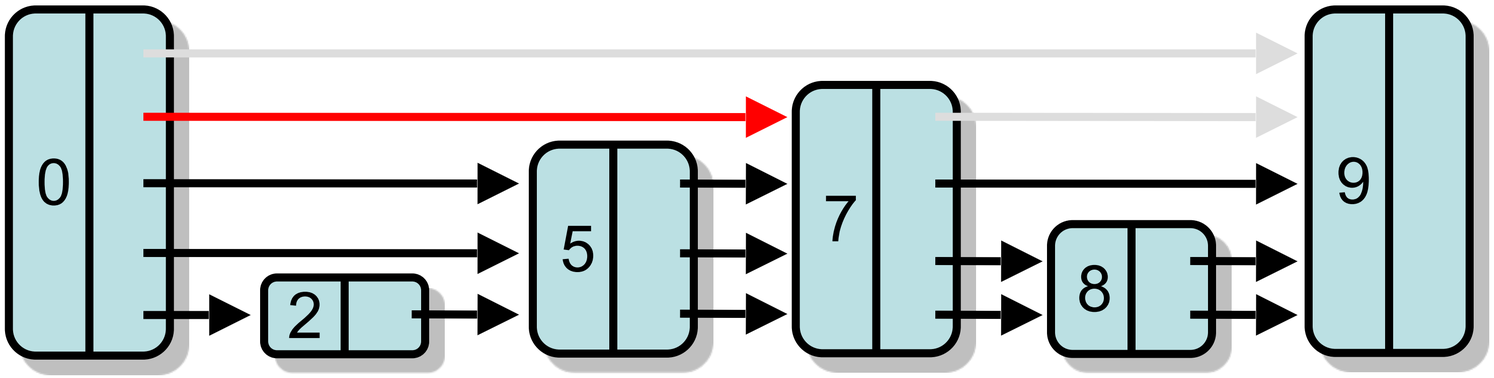

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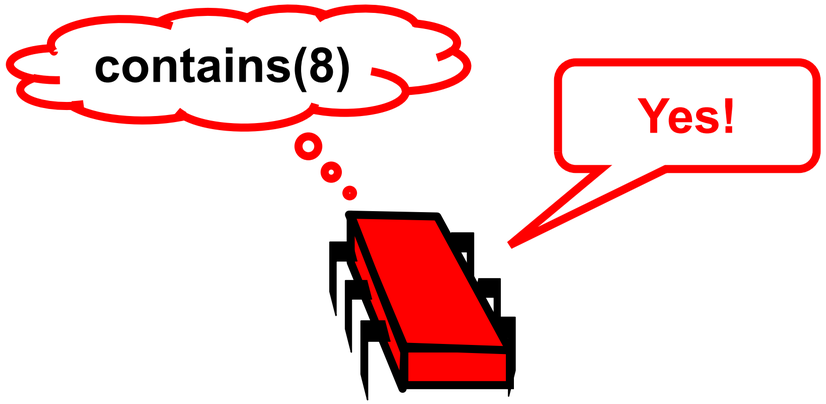

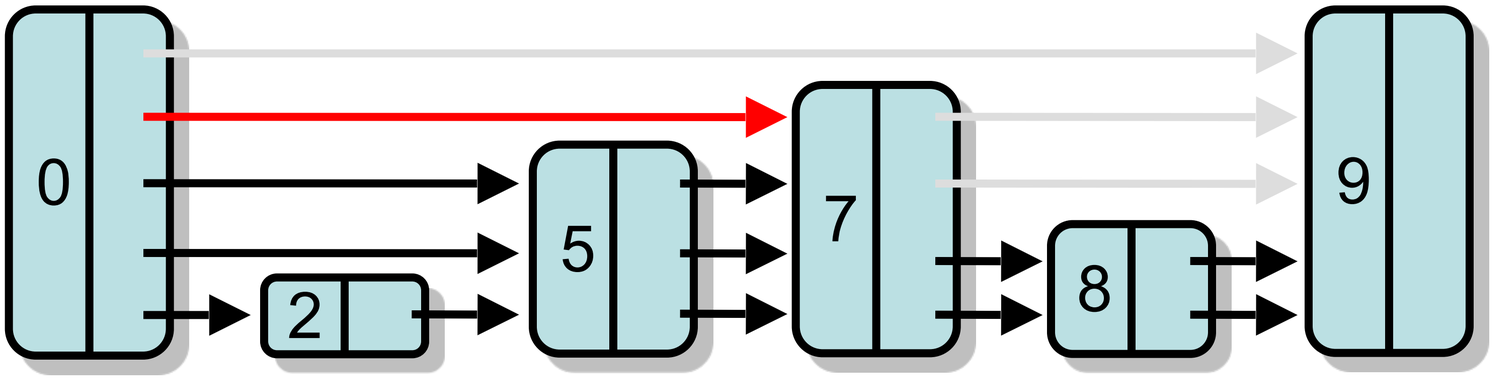

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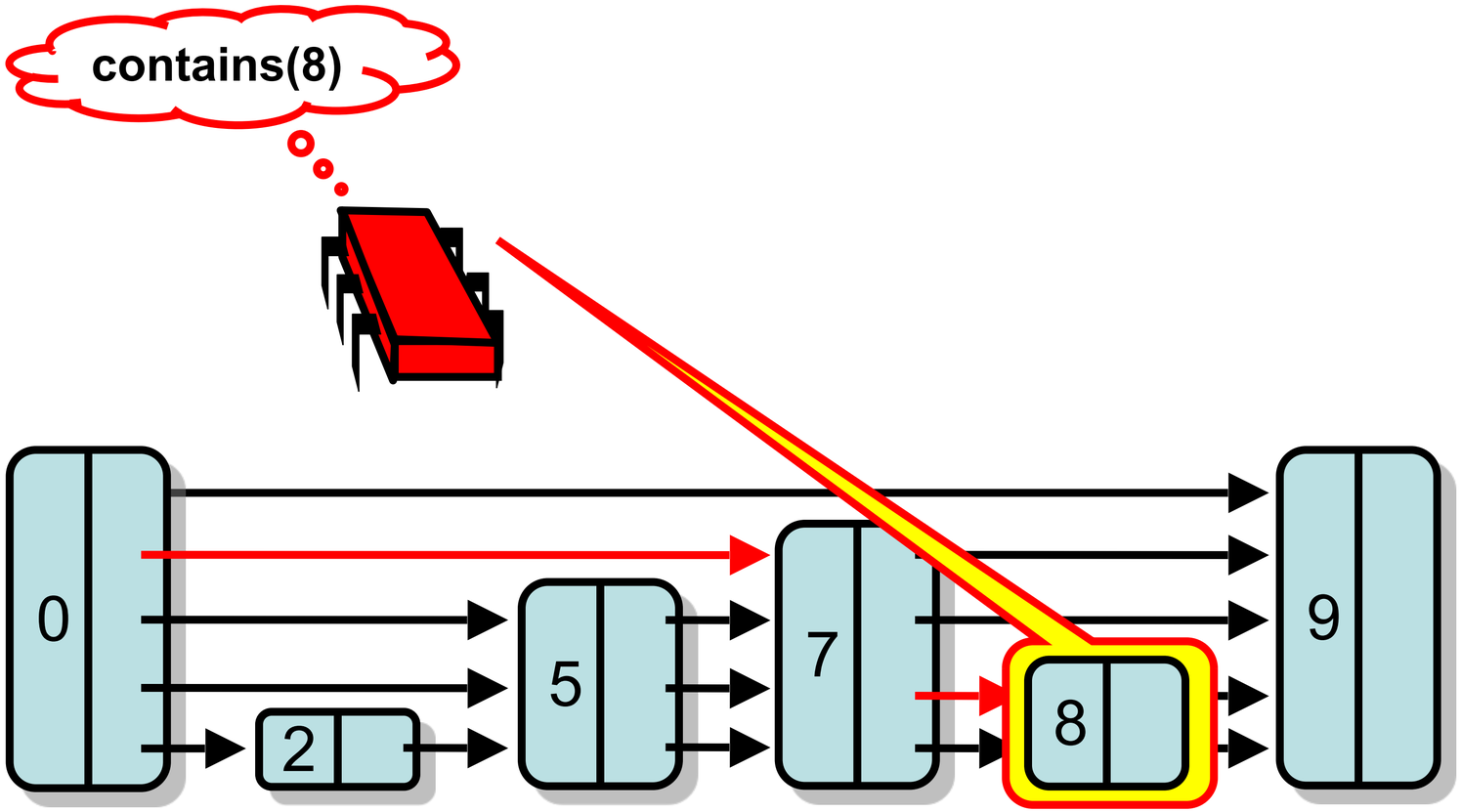
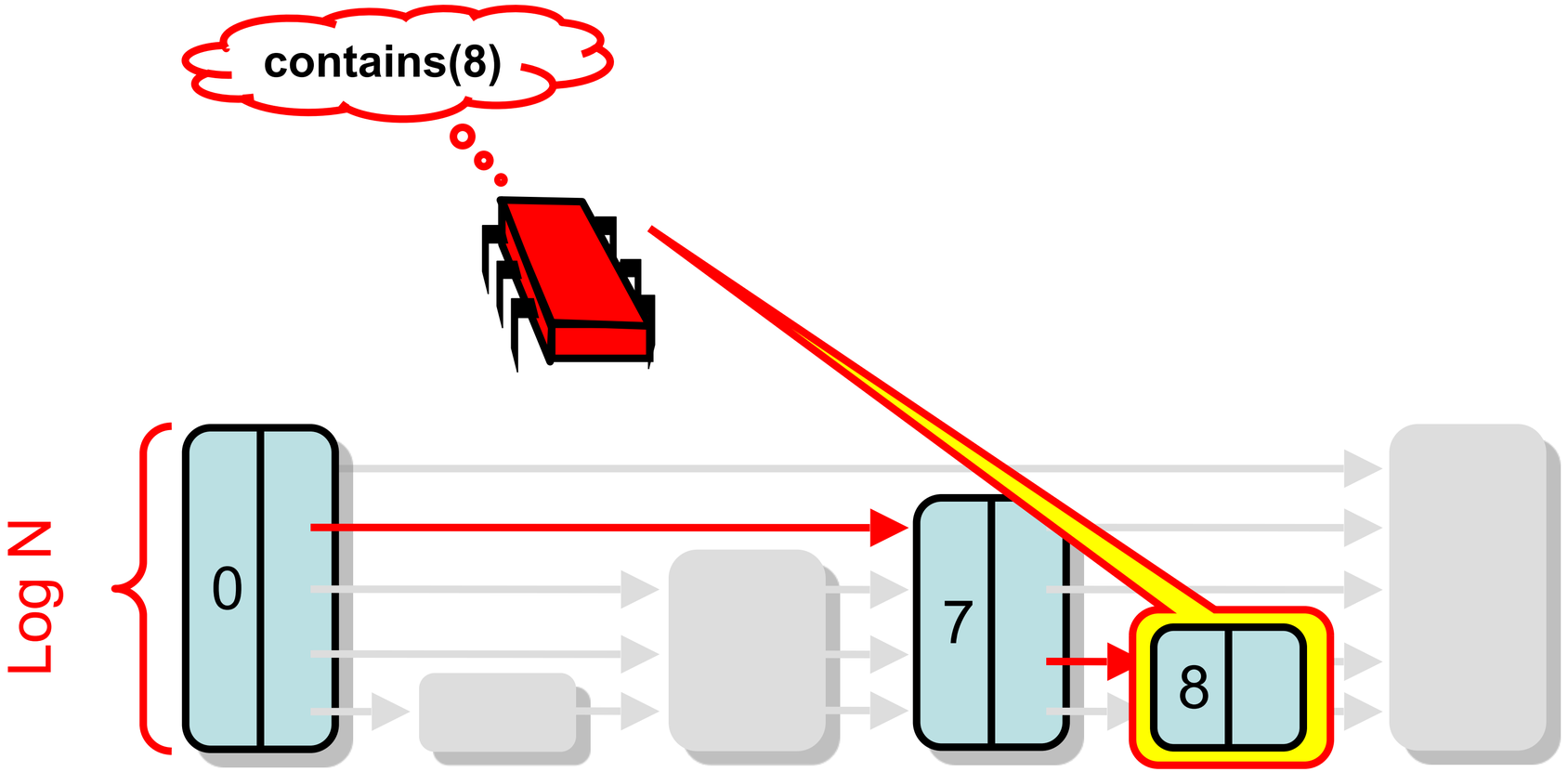
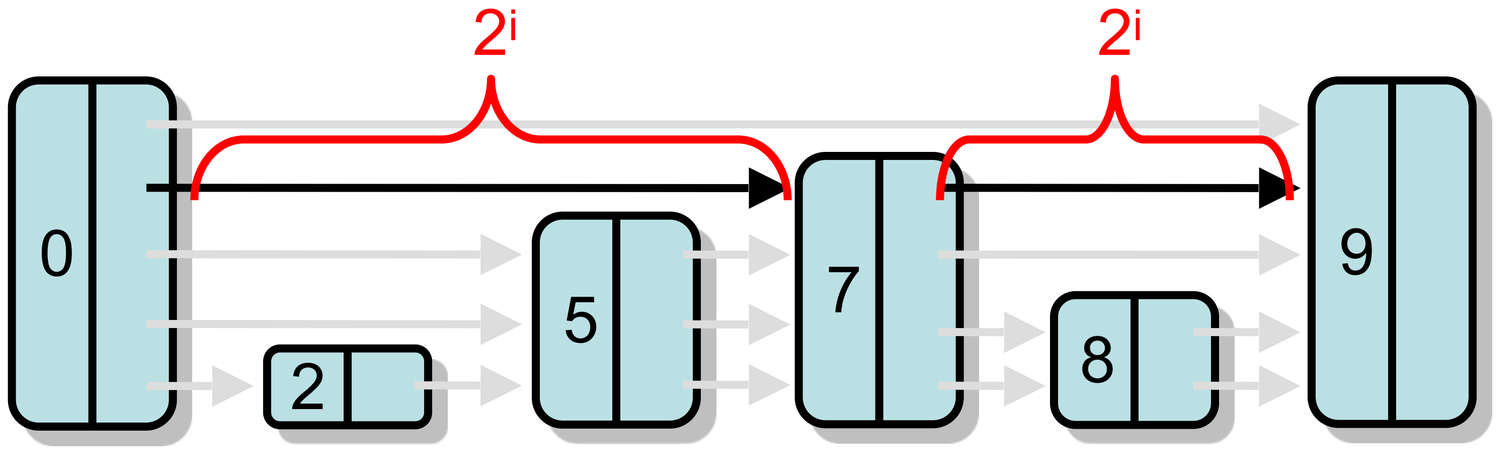


Logarithmic



Why Logarthimic

- Property: Each pointer at layer i jumps over roughly 2^i nodes
- Pick node heights randomly so property guaranteed probabilistically



Sequential Find

```
def find(x: T, preds: Array[Node[T]], succs: Array[Node[T]]): Int {
  …
  }
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object height
(-1 if not there)

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def find(x: T, preds: Array[Node[T]], succs: Array[Node[T]]): Int {
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```

Object sought

object height
(-1 if not there)

Sequential Find

```
def find(x: T, preds: Array[Node[T]], succs: Array[Node[T]]): Int {
  …
  }
```

Object sought

return predecessors

object height
(-1 if not there)

Sequential Find

```
def find(x: T, preds: Array[Node[T]], succs: Array[Node[T]]): Int {
  …
}
```

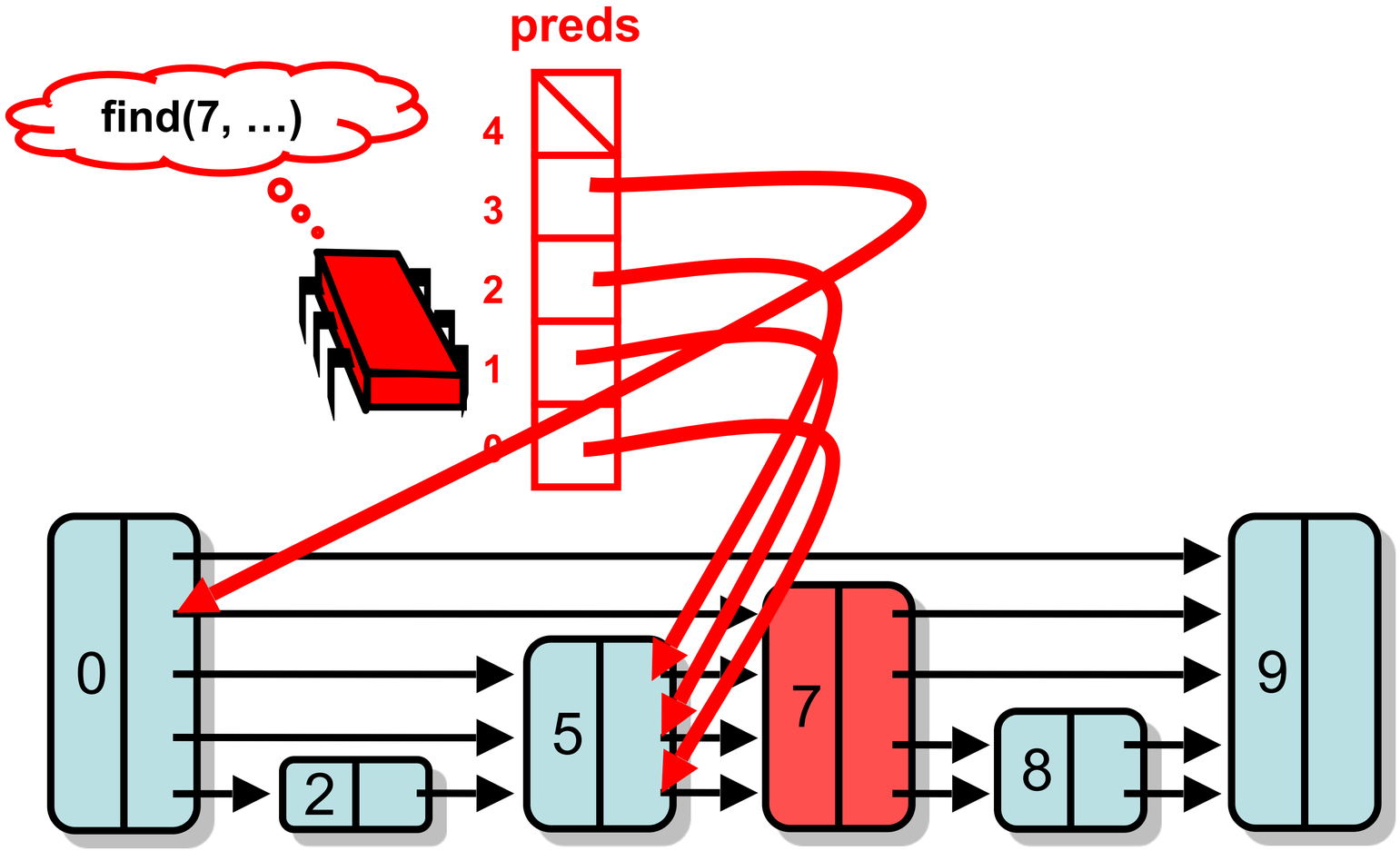
Object sought

return predecessors

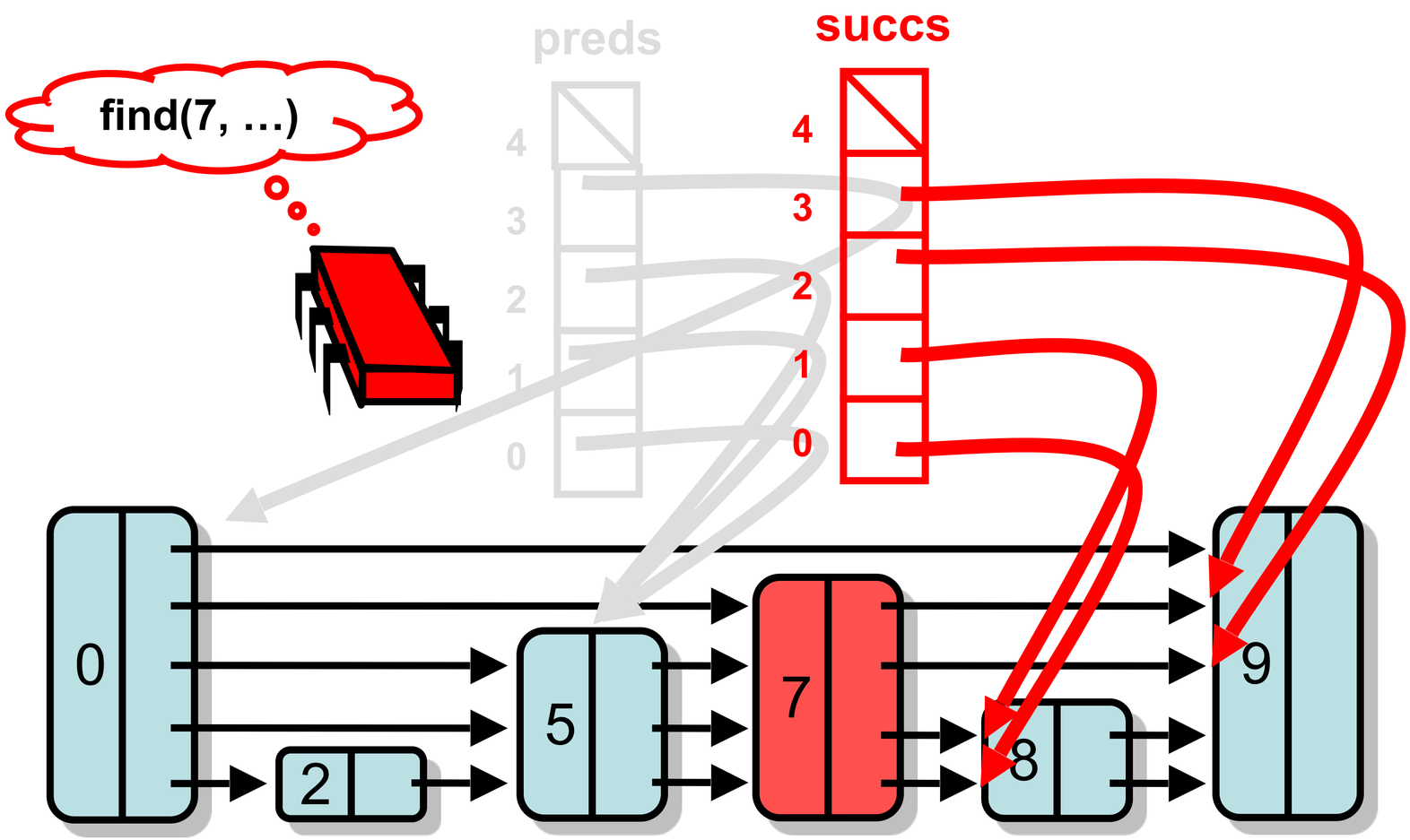
return successors

object height
(-1 if not there)

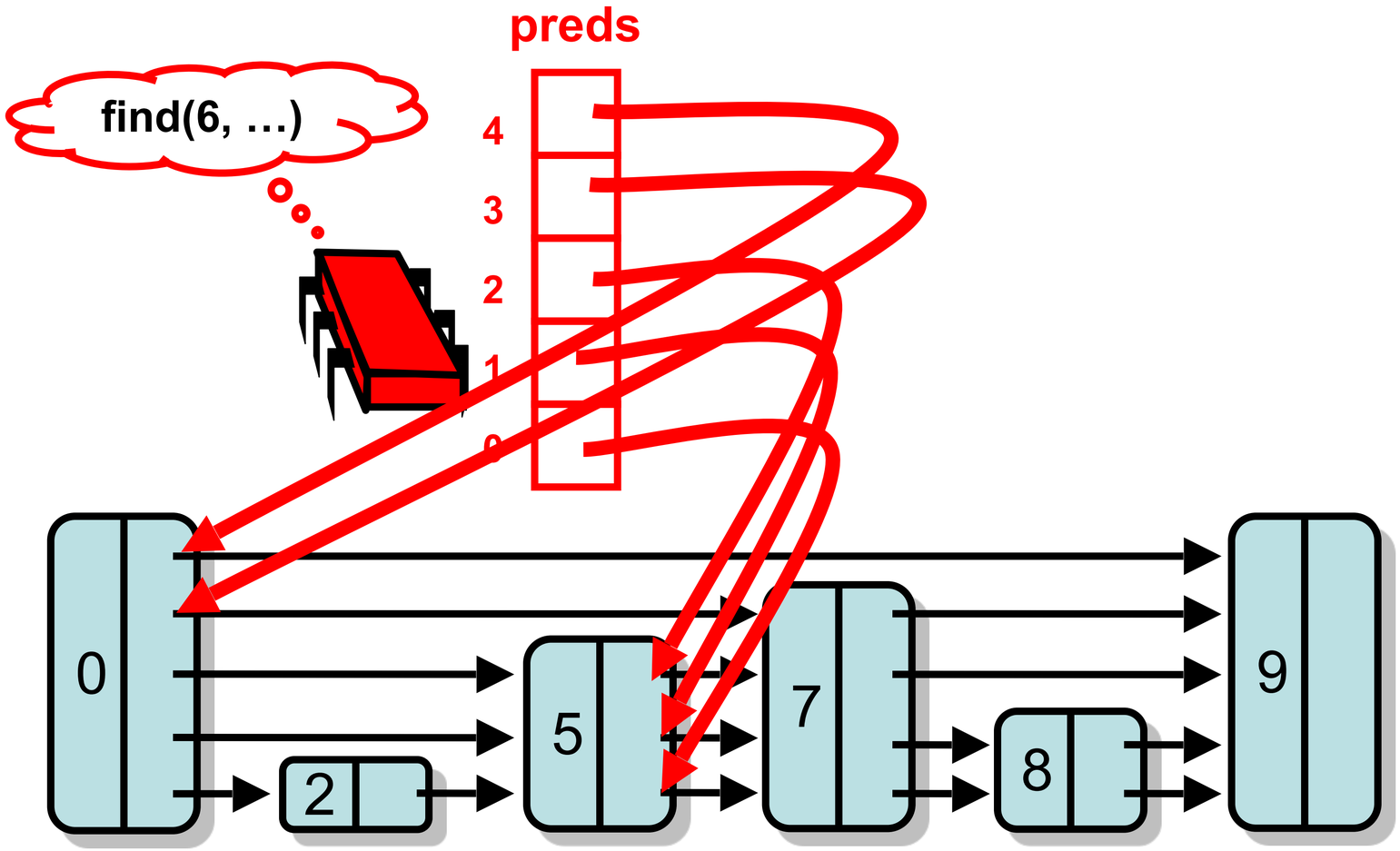
Successful Search



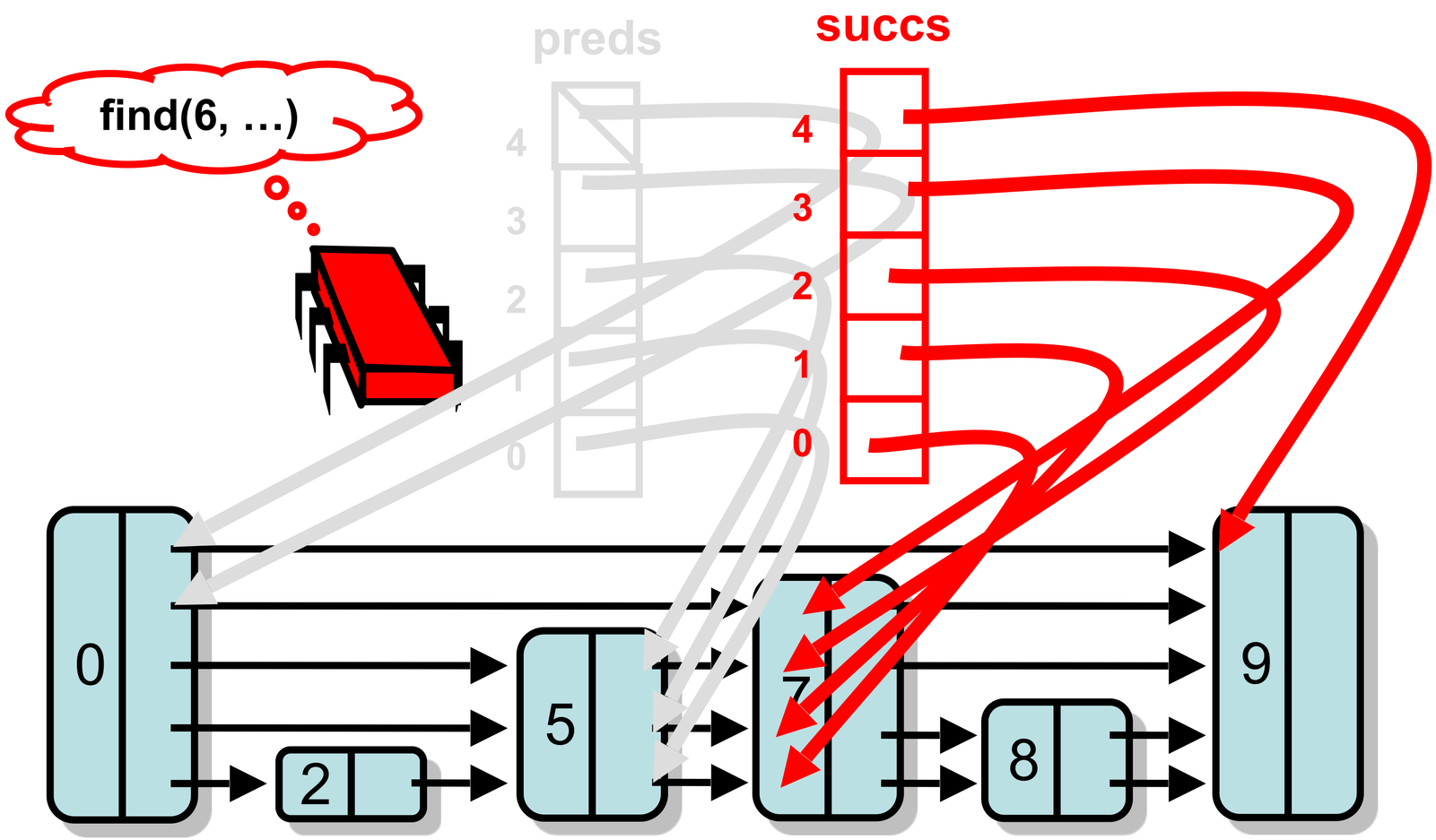
Successful Search



Unsuccessful Search



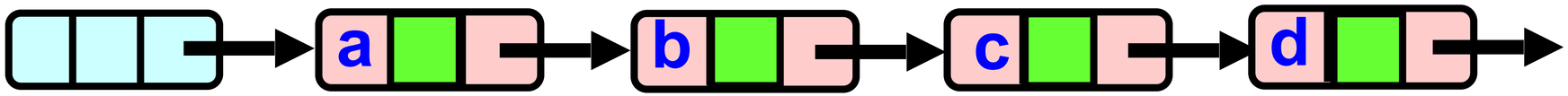
Unsuccessful Search



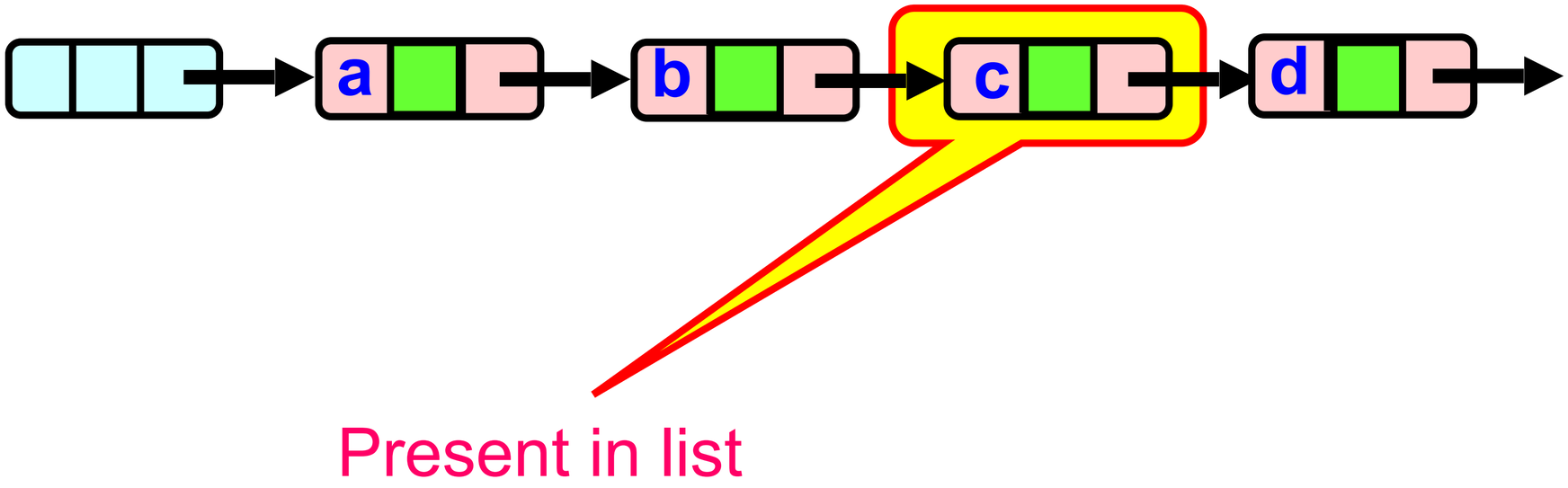
Lazy Skip List

- Mix blocking and non-blocking techniques:
  - Use optimistic-lazy locking for add() and remove()
  - Wait-free contains()
- Remember: typically lots of contains() calls but few add() and remove()

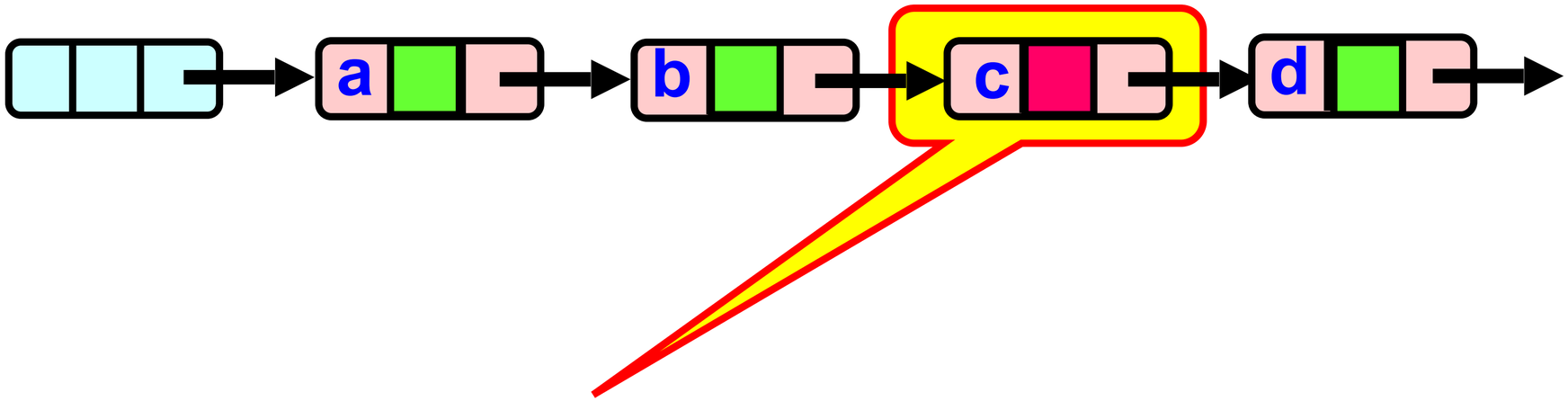
Review: Lazy List Remove



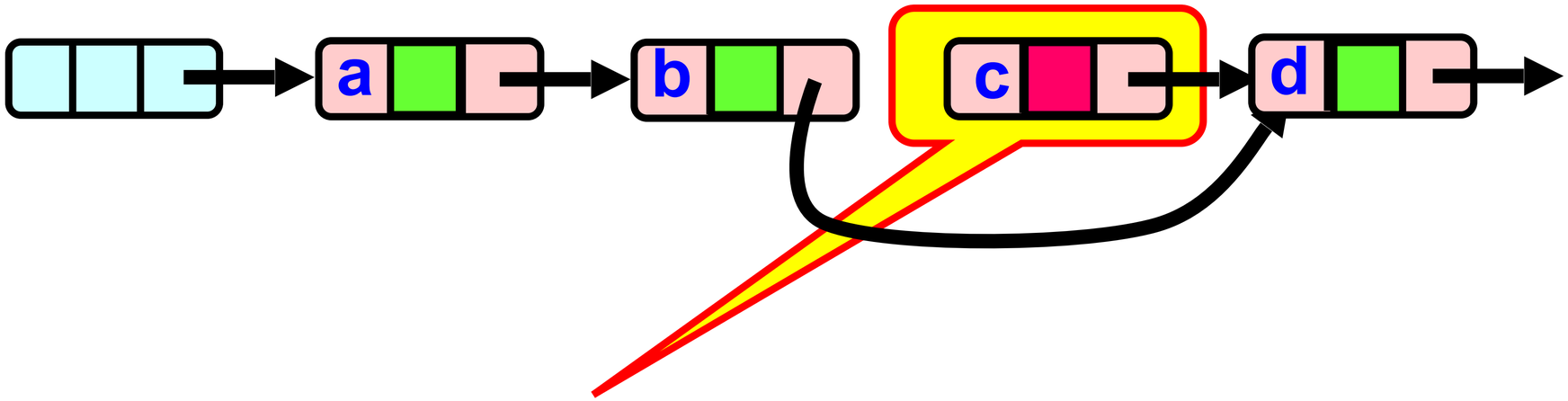
Review: Lazy List Remove



Review: Lazy List Remove

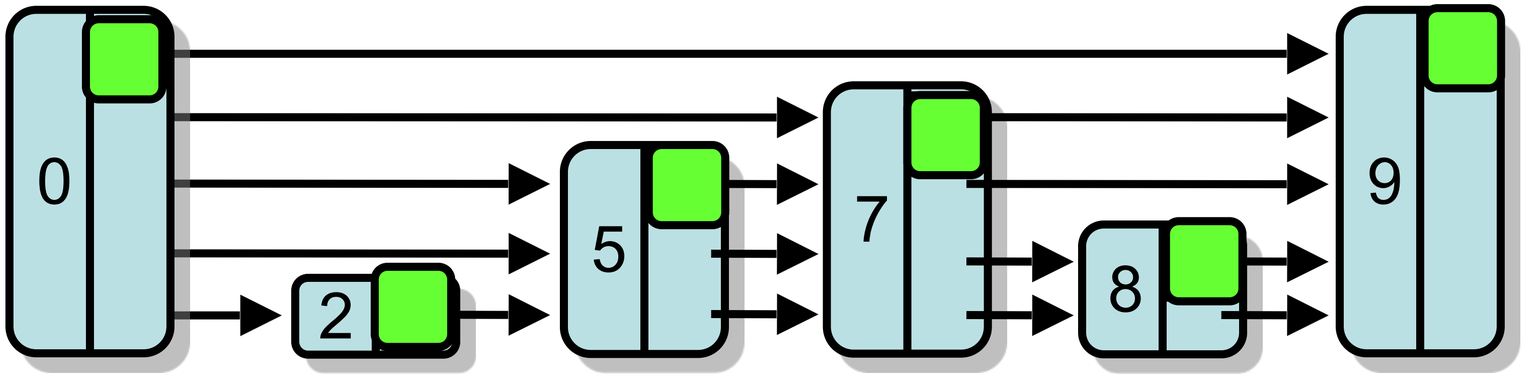


Review: Lazy List Remove



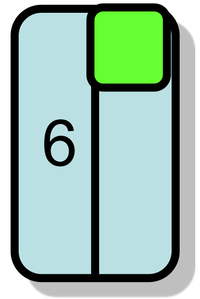
Lazy Skip Lists

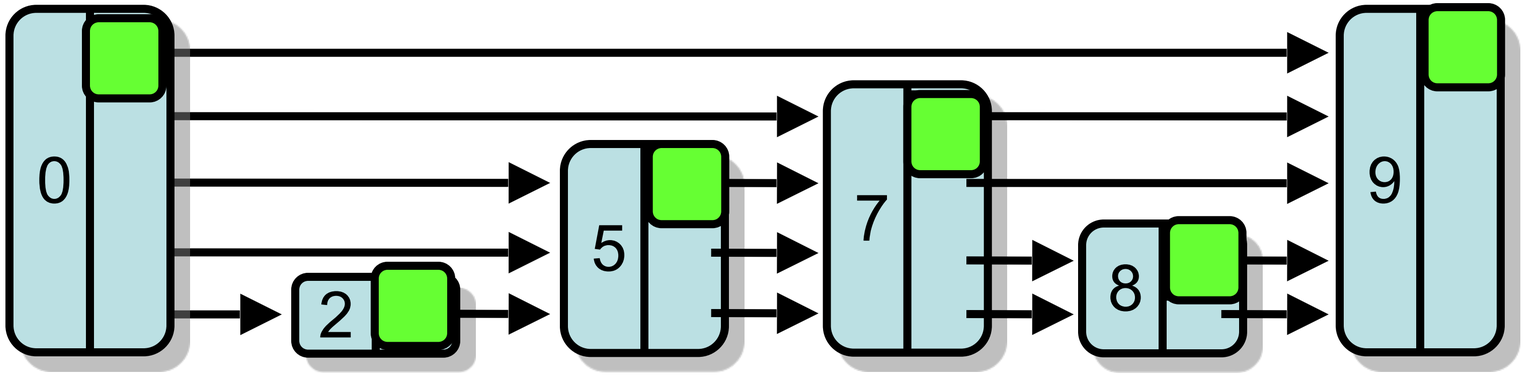
- Use a mark bit for logical deletion



add(6)

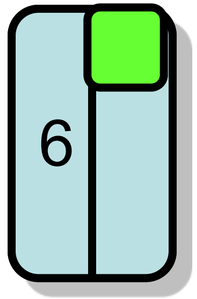
- Create node of (random) height 4

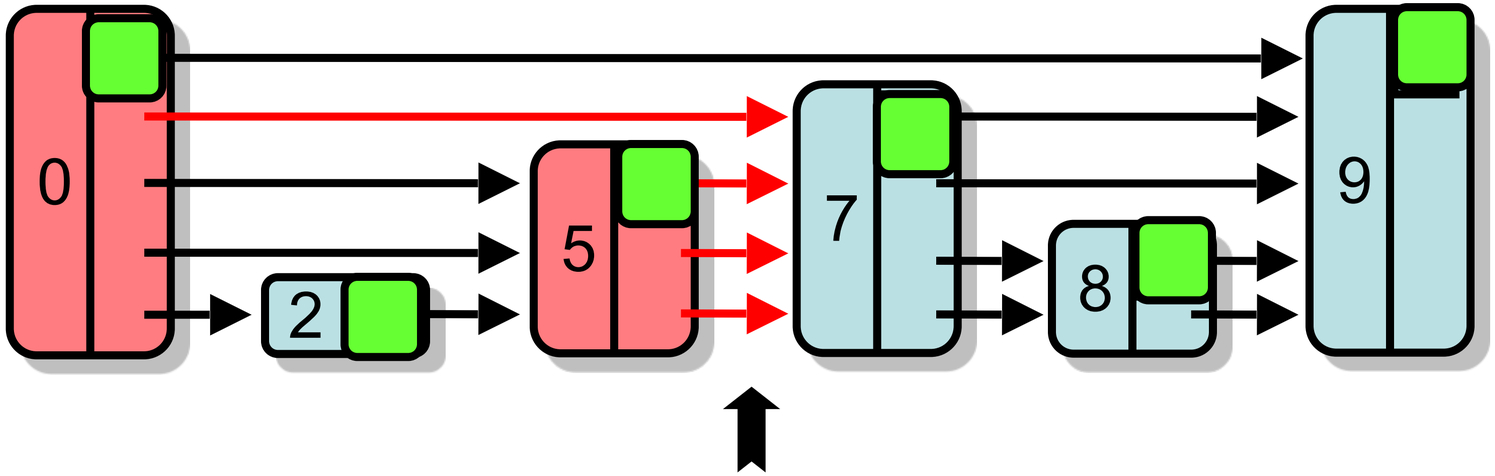




add(6)

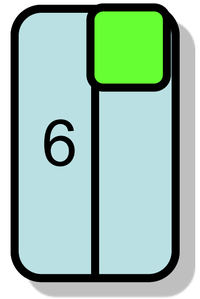
- **find()** predecessors

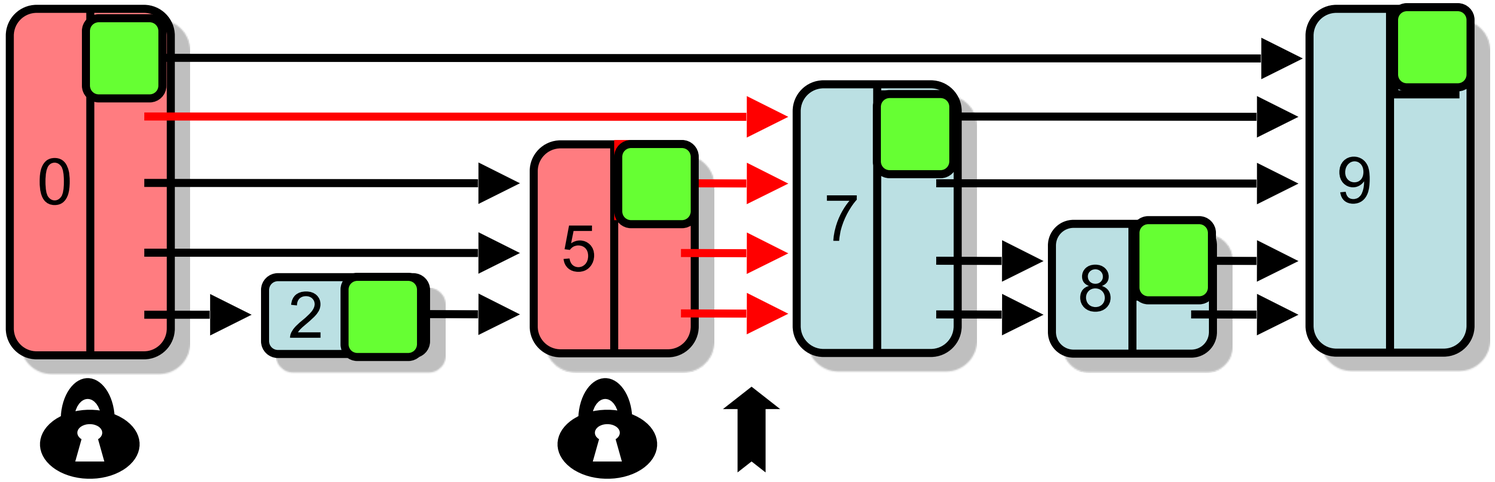




add(6)

- **find()** predecessors
- Lock them

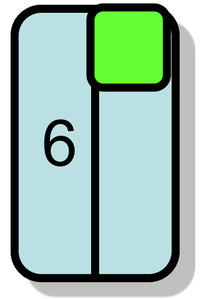


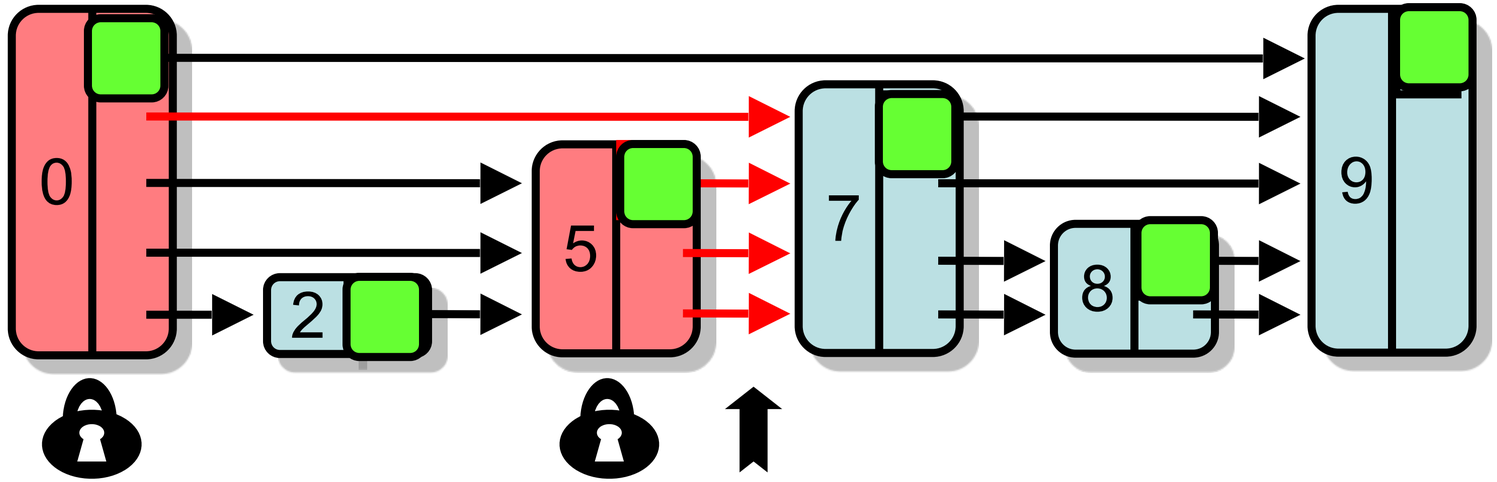


add(6)

- **find()** predecessors
- Lock them
- Validate

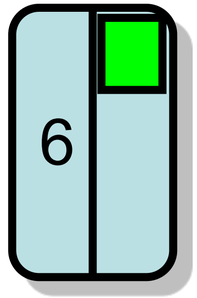
} **Optimistic approach**

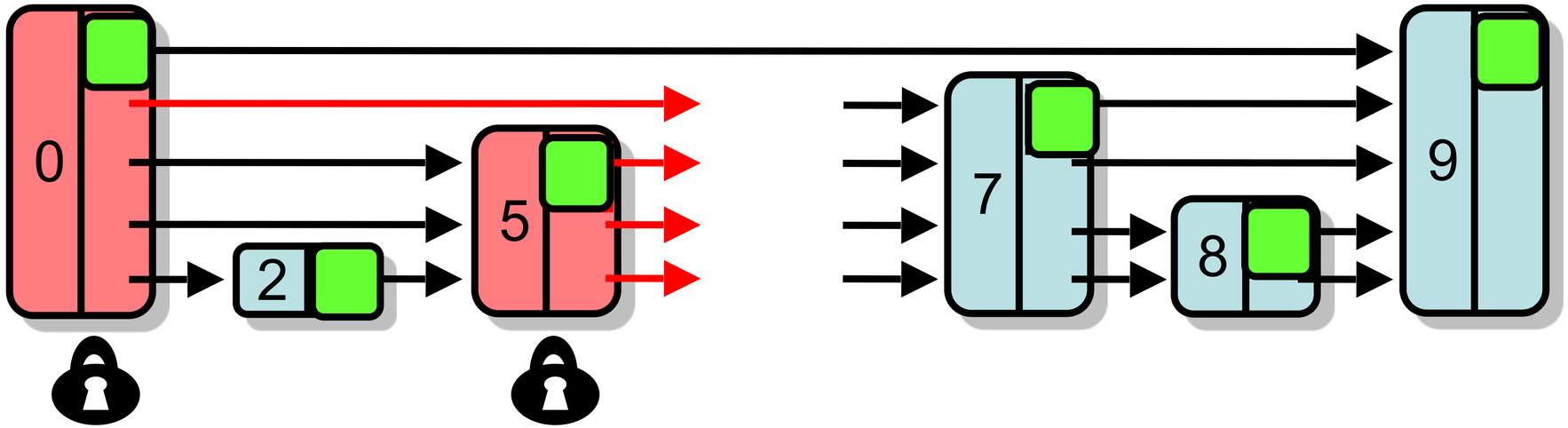




add(6)

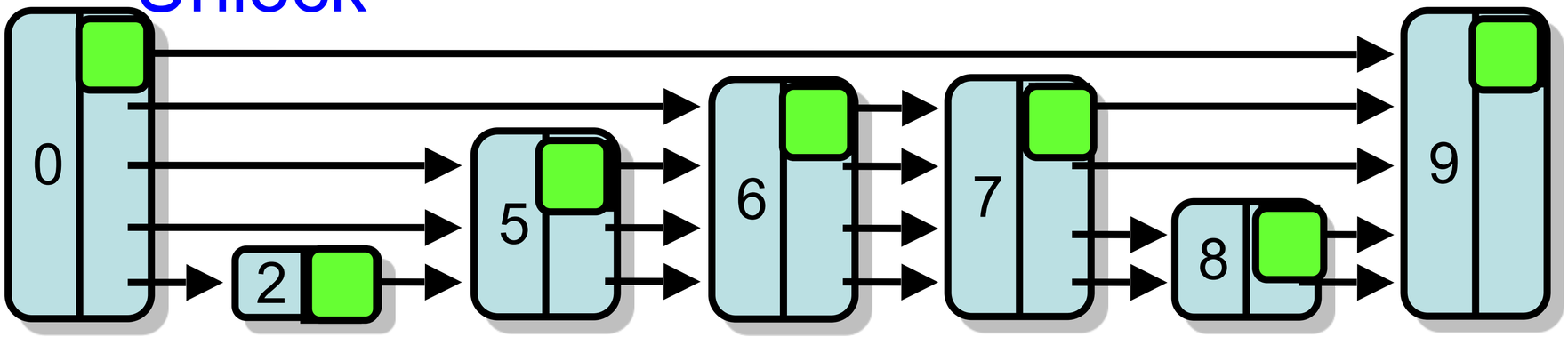
- **find()** predecessors
- Lock them
- Validate
- Splice



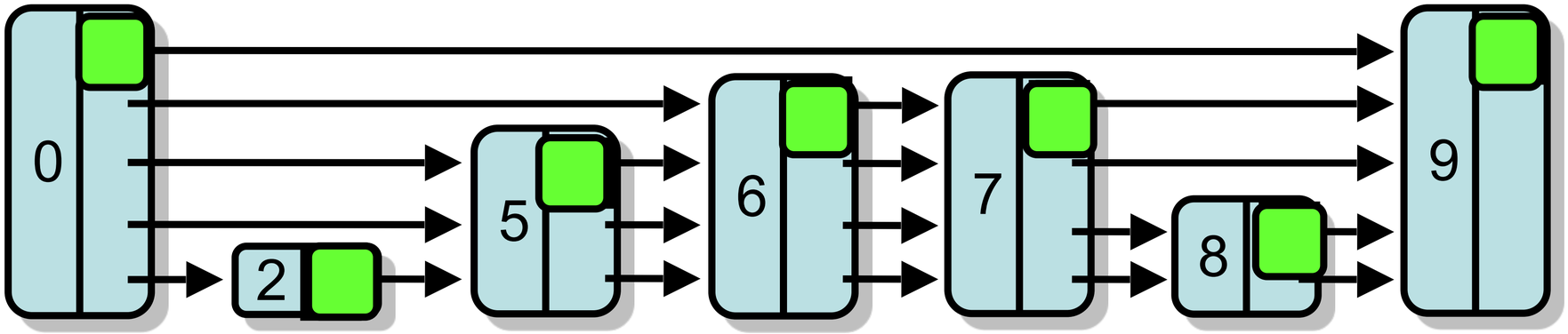


add(6)

- **find()** predecessors
- Lock them
- Validate
- Splice
- Unlock

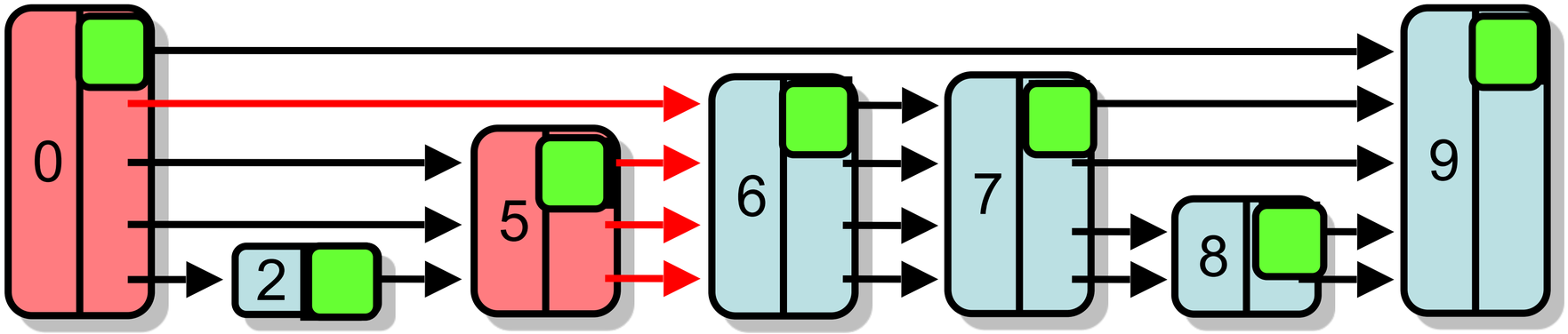


remove(6)



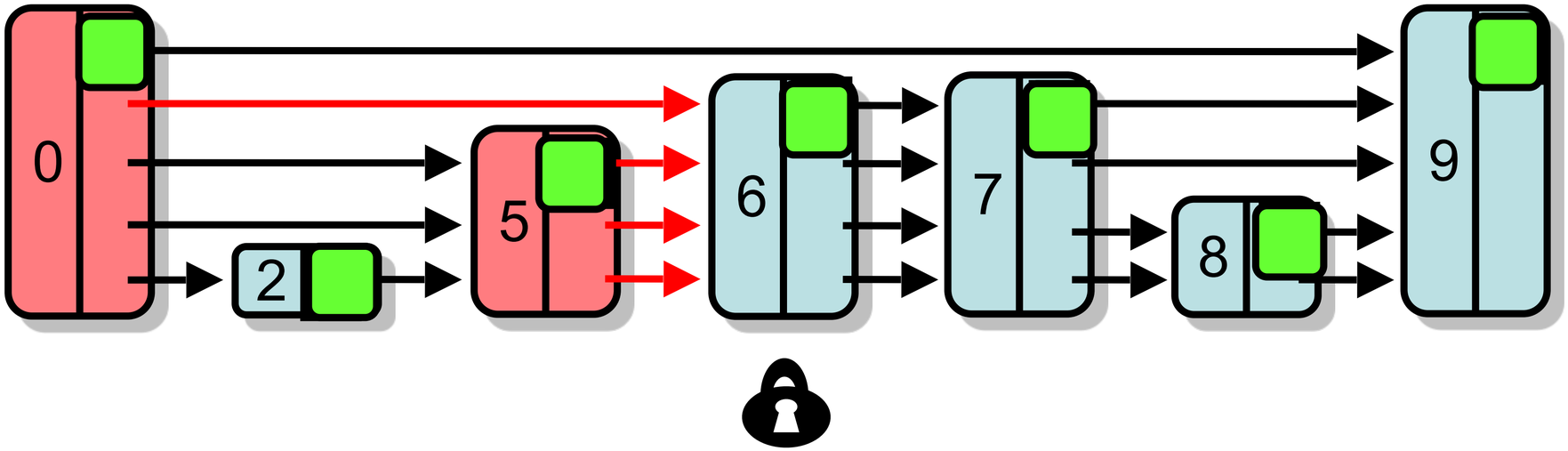
remove(6)

- **find()** predecessors



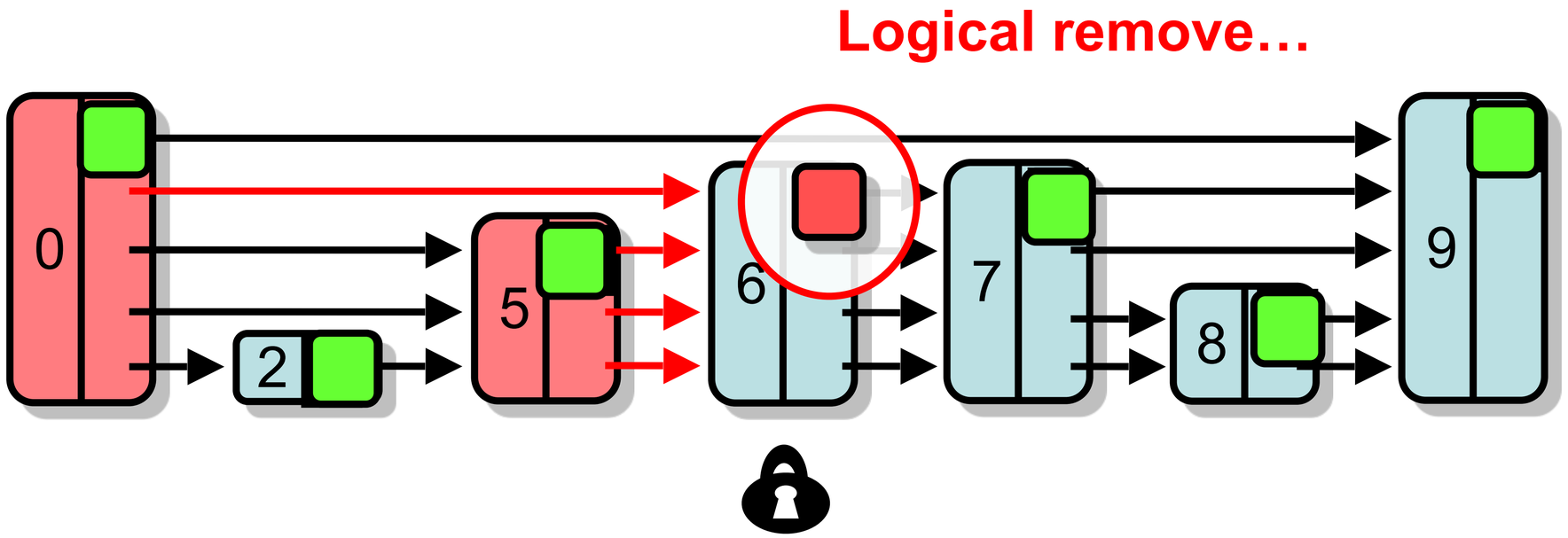
remove(6)

- **find()** predecessors
- Lock victim



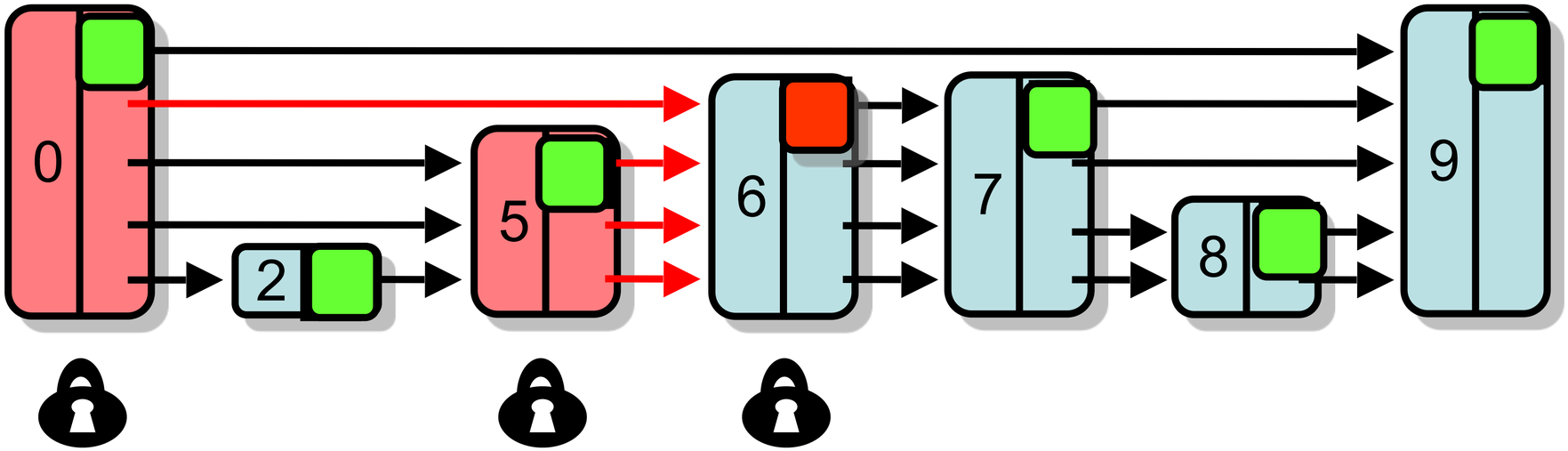
remove(6)

- **find()** predecessors
- Lock victim
- Set mark (if not already set)



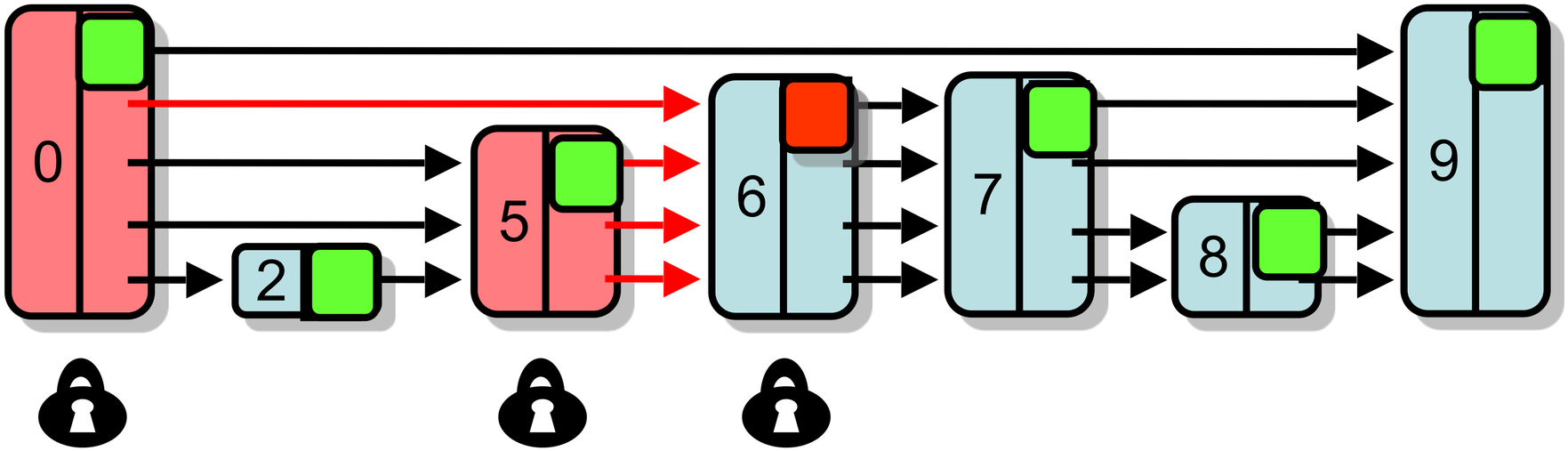
remove(6)

- **find()** predecessors
- Lock victim
- Set mark (if not already set)
- Lock predecessors (ascending order) & validate



remove(6)

- **find()** predecessors
- Lock victim
- Set mark (if not already set)
- Lock predecessors (ascending order) & validate
- Physically remove



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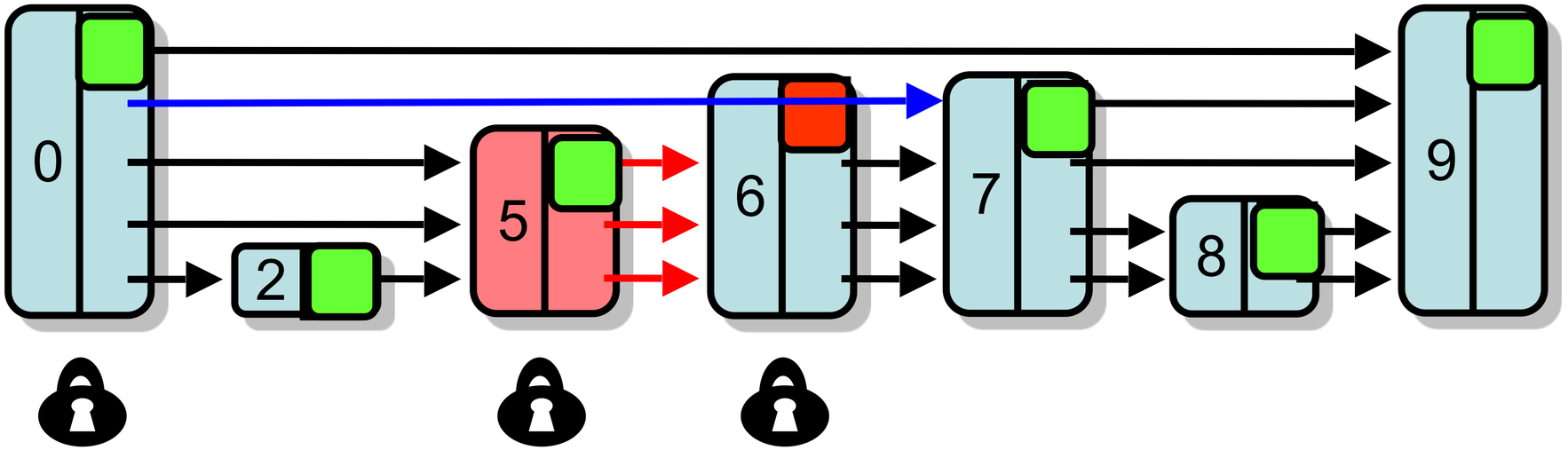

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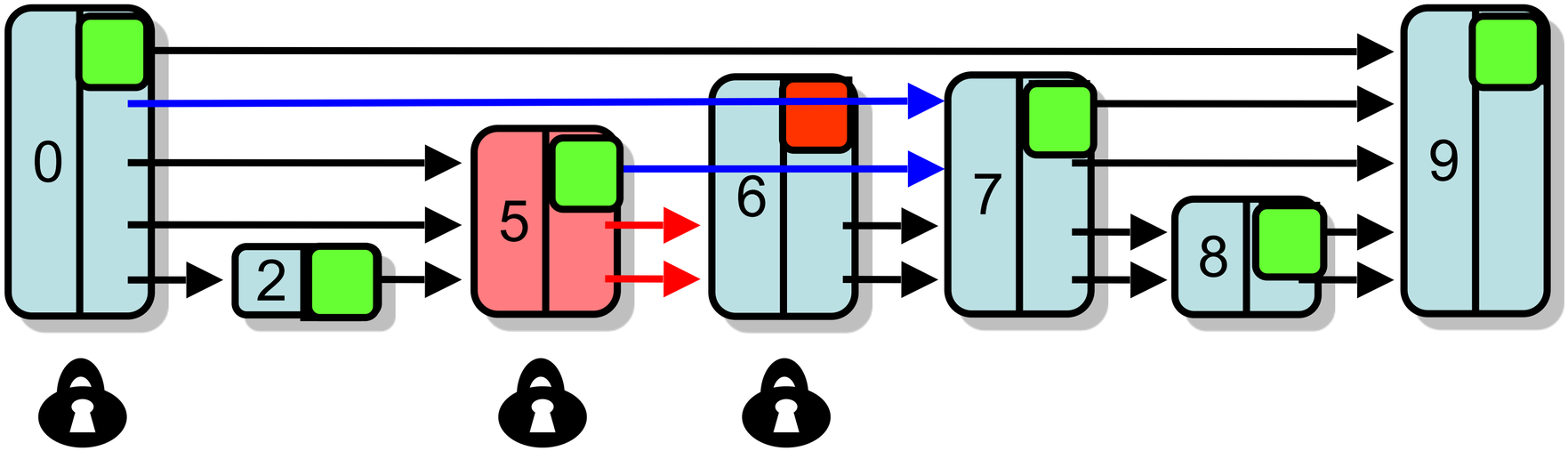

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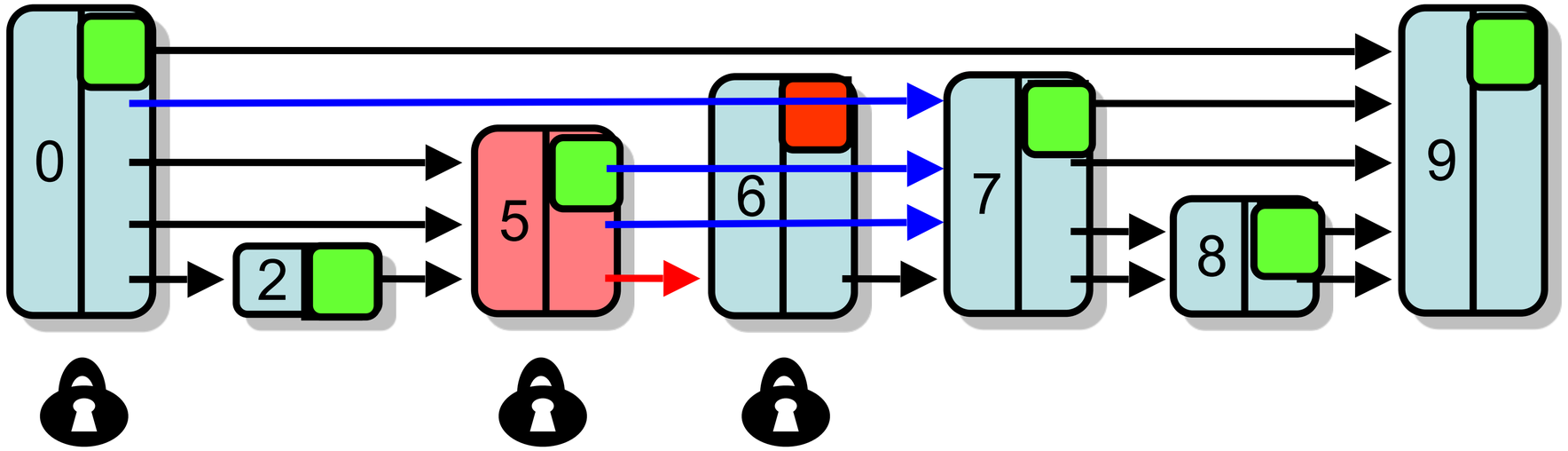

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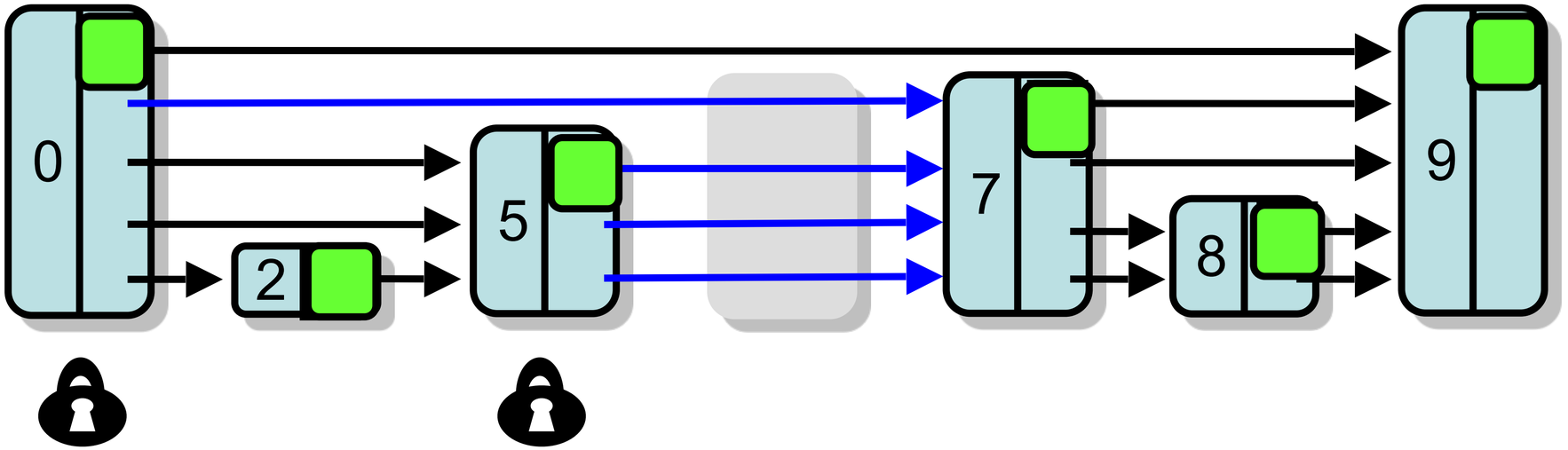
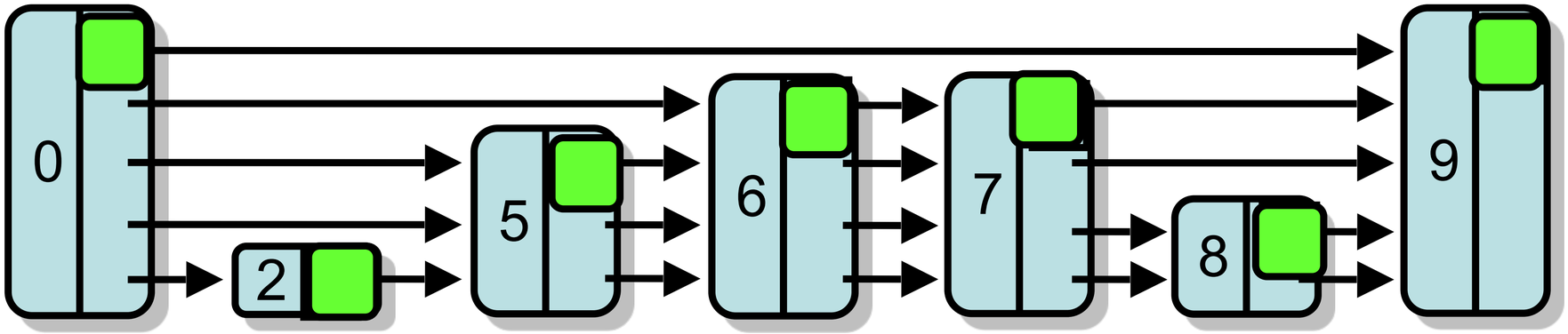


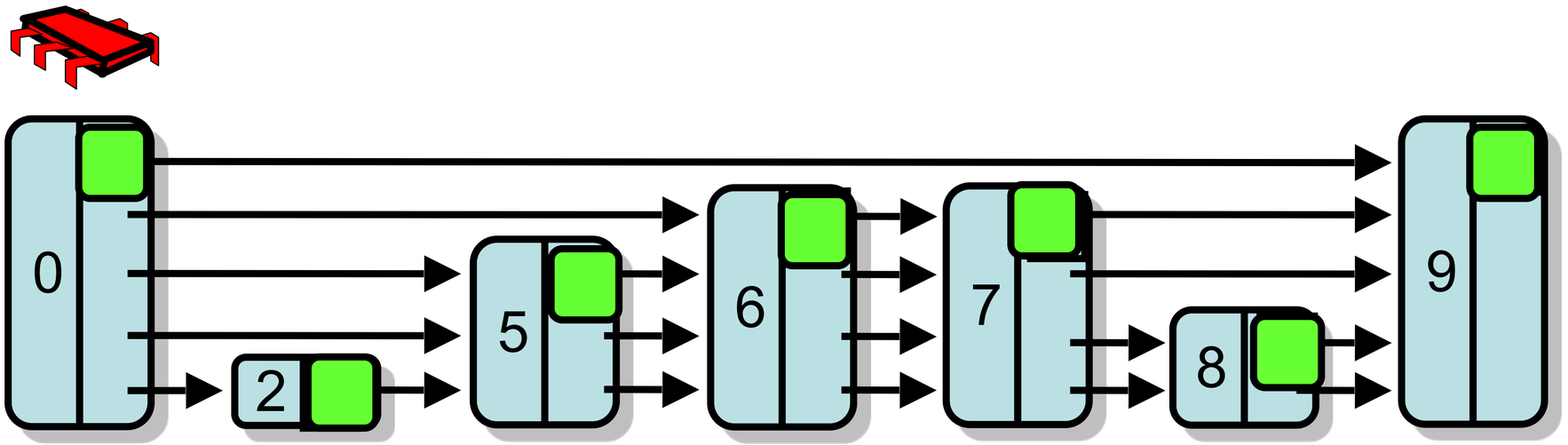
contains(8)

- **find()** & not marked

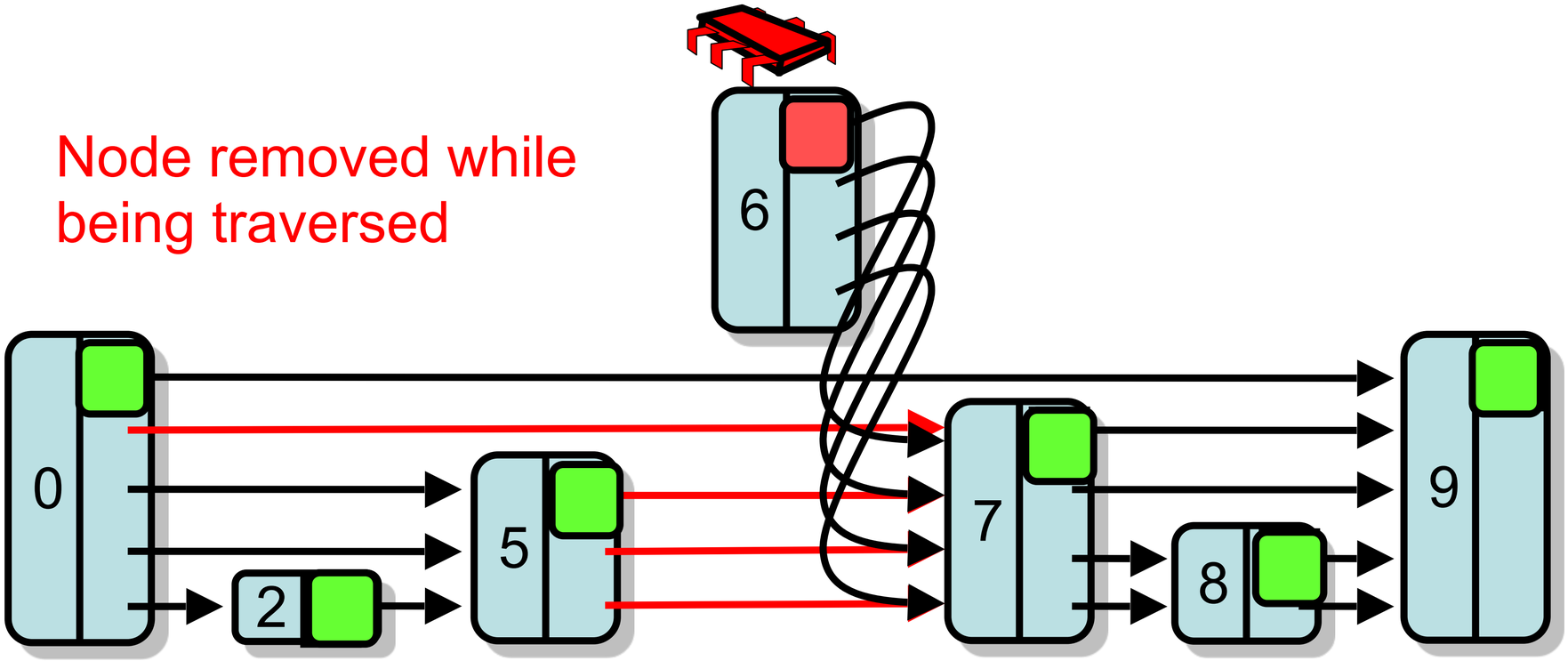


contains(8)

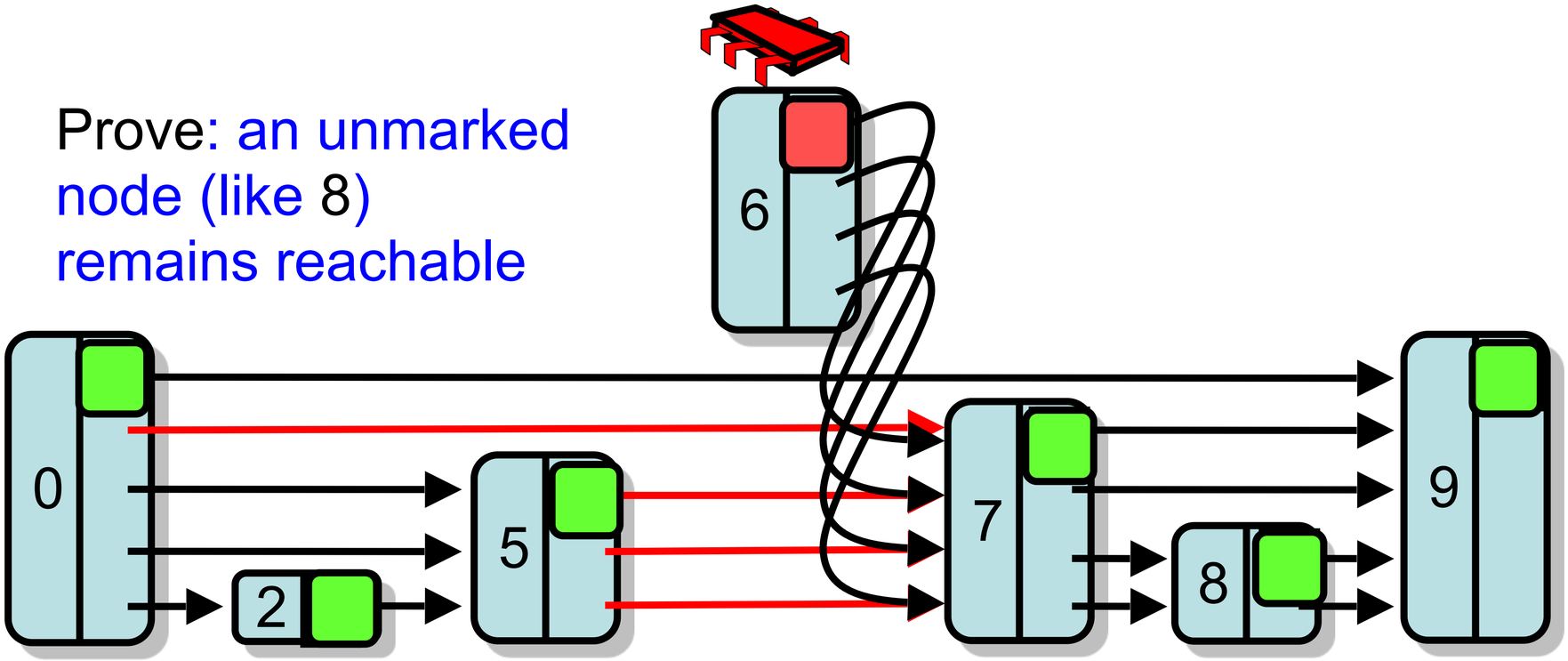
Node 6 removed while traversed



contains(8)

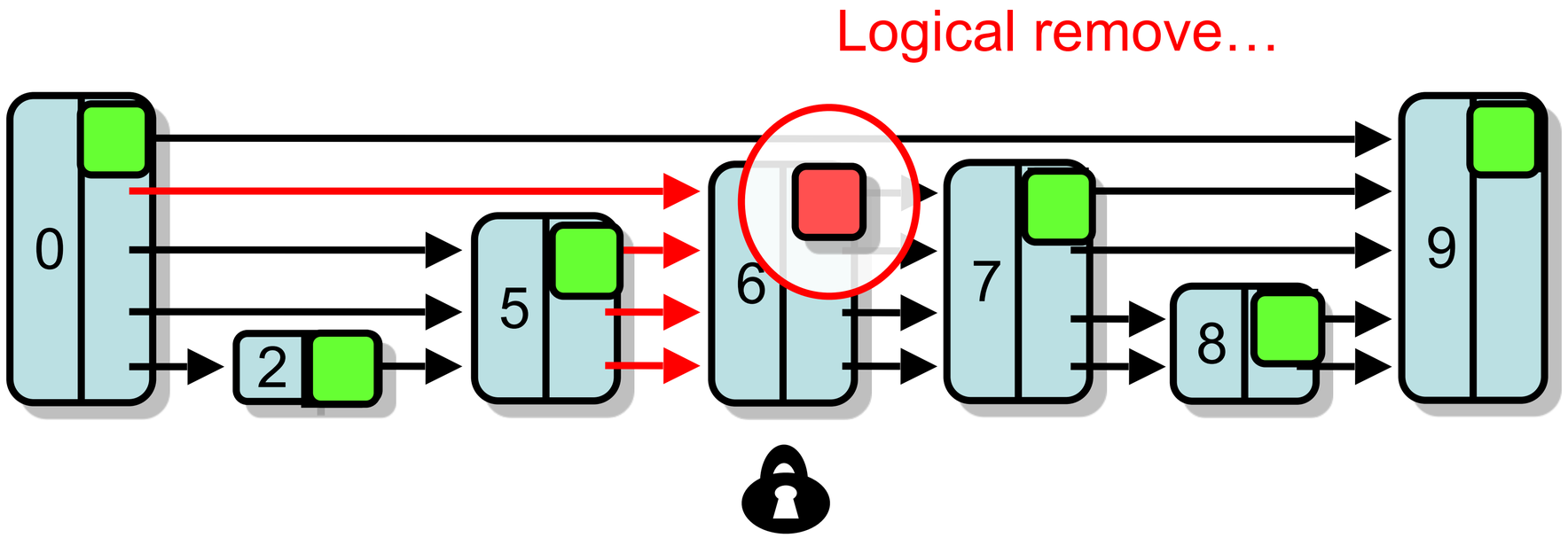


contains(8)



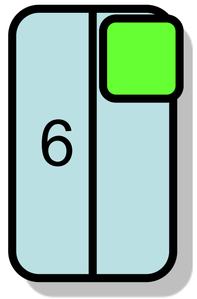
remove(6): Linearization

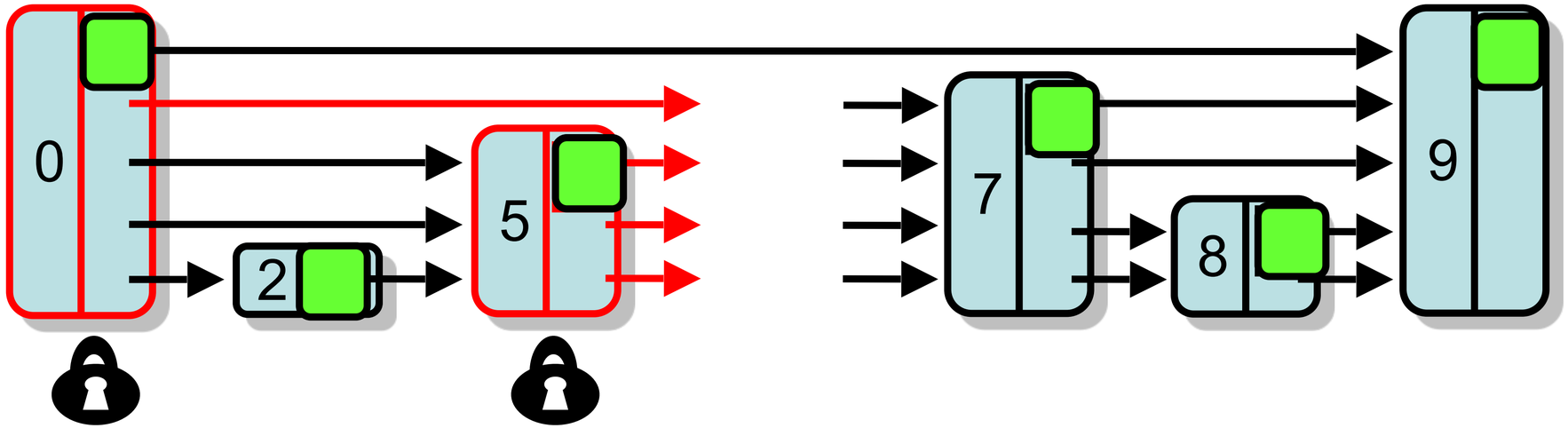
- Successful remove happens when bit is set



Add: Linearization

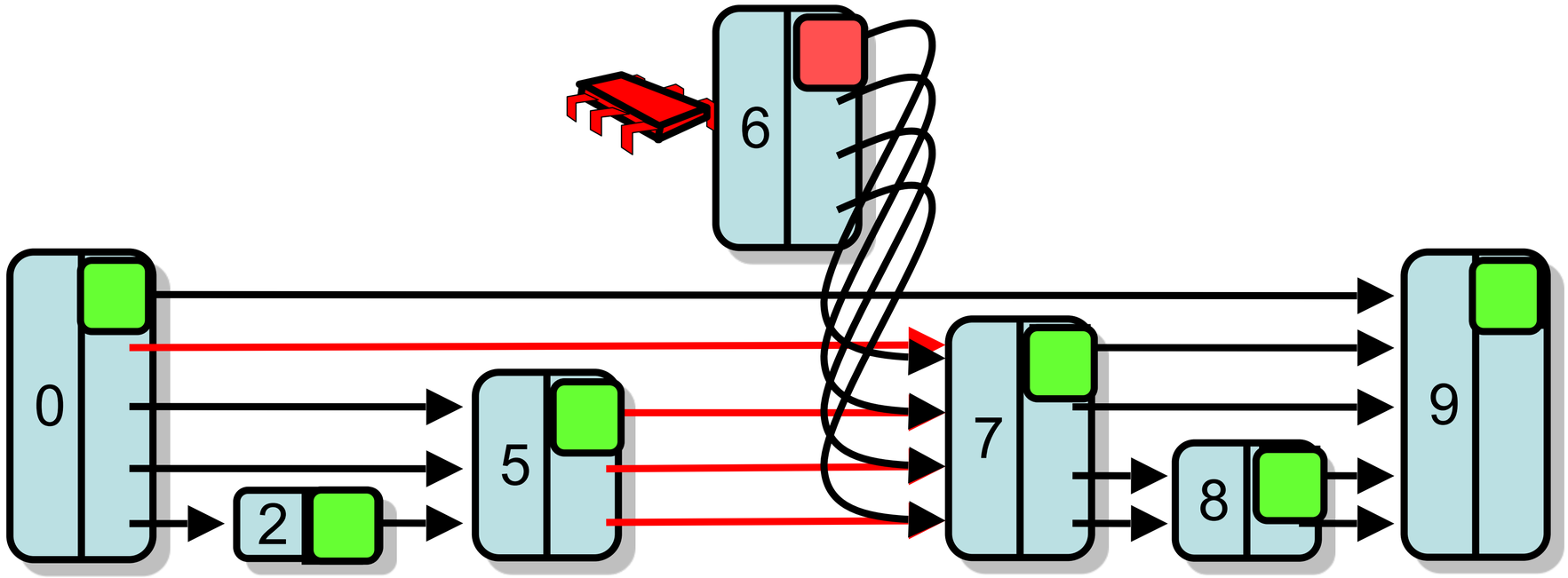
- Successful add() at point when fully linked
- Add fullyLinked bit to indicate this
- Bit tested by contains()





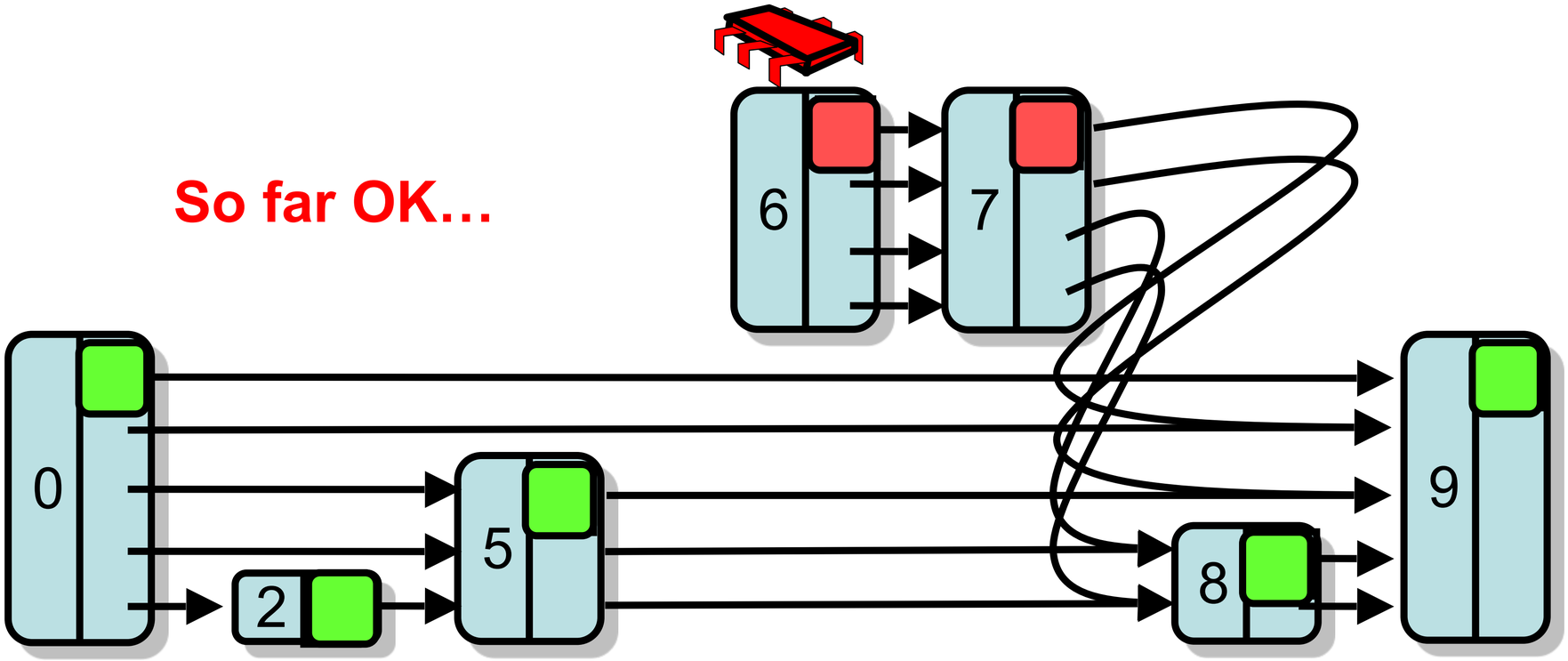
contains(7): Linearization

- When fully-linked unmarked node found
- Pause while fullyLinked bit unset



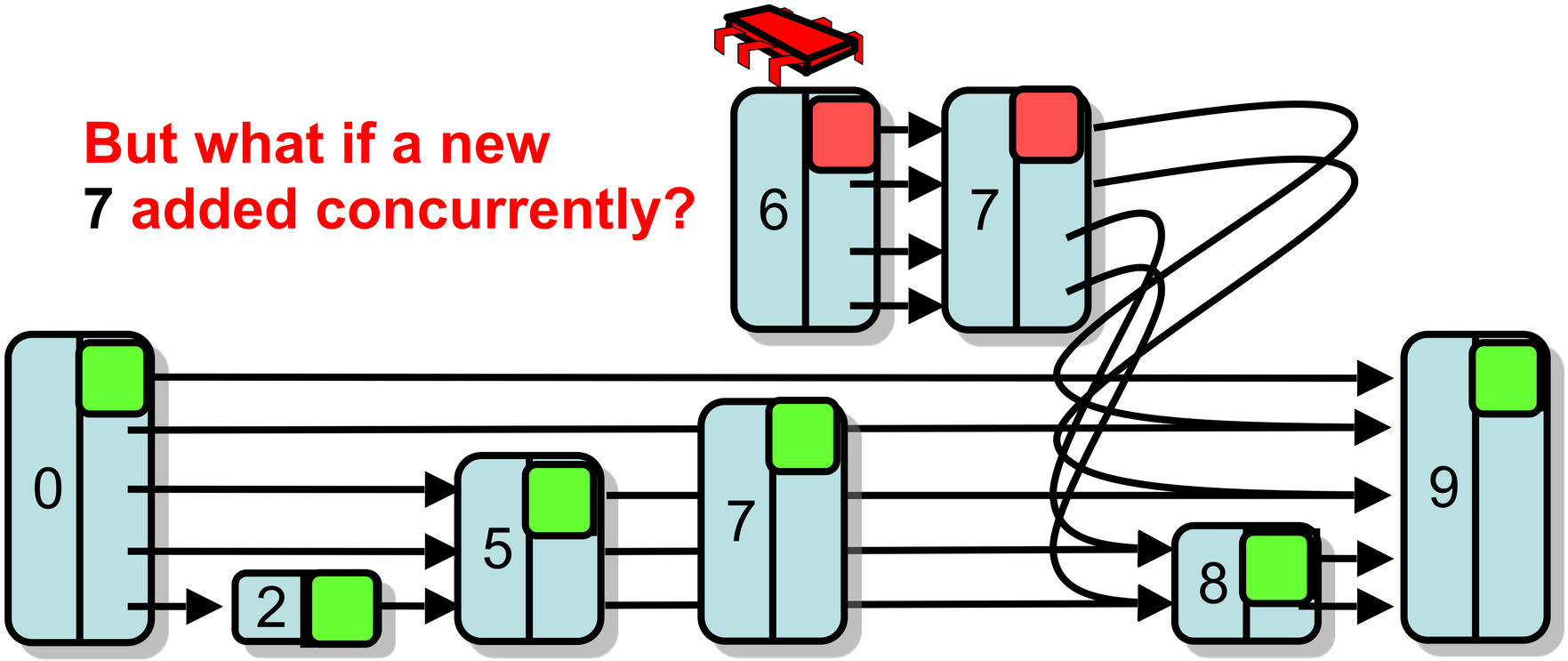
contains(7): Linearization

- When do we linearize unsuccessful Search?



contains(7): Linearization

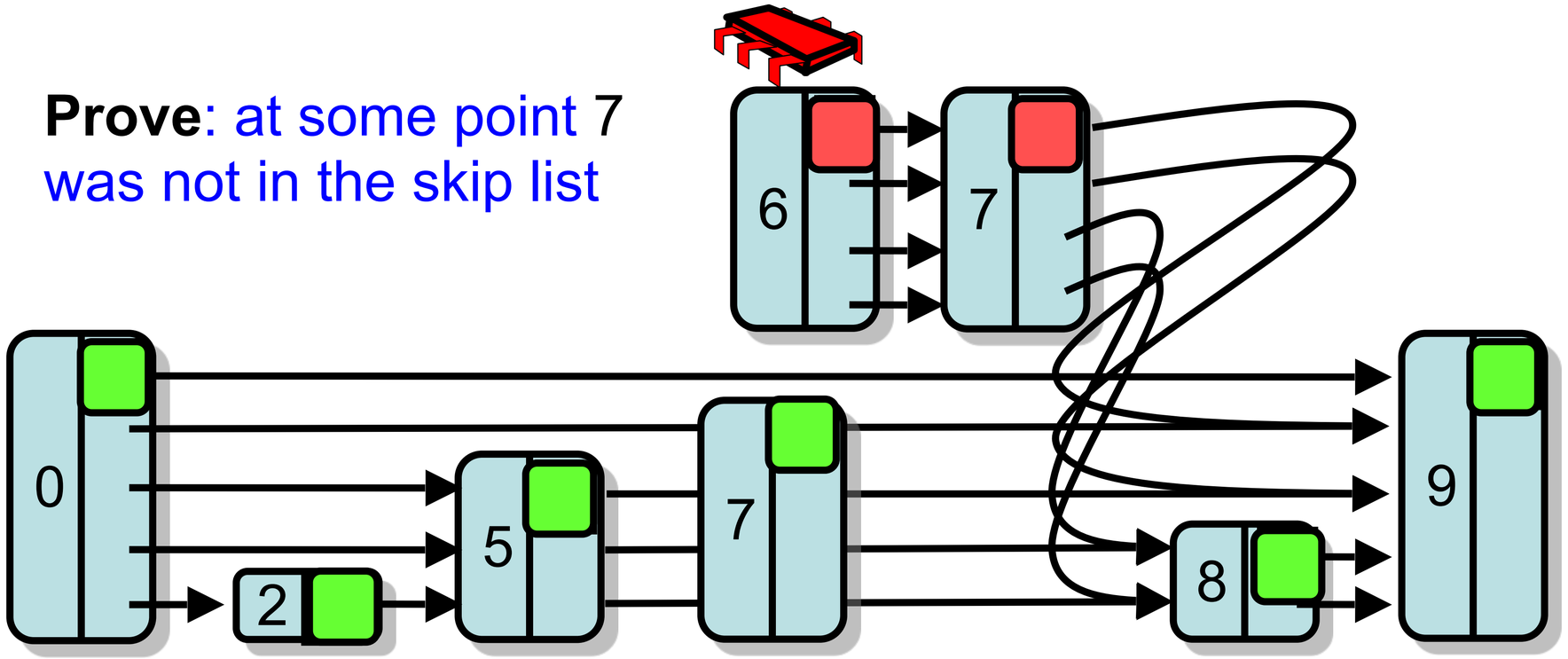
- When do we linearize unsuccessful Search?



contains(7): Linearization

- When do we linearize unsuccessful Search?

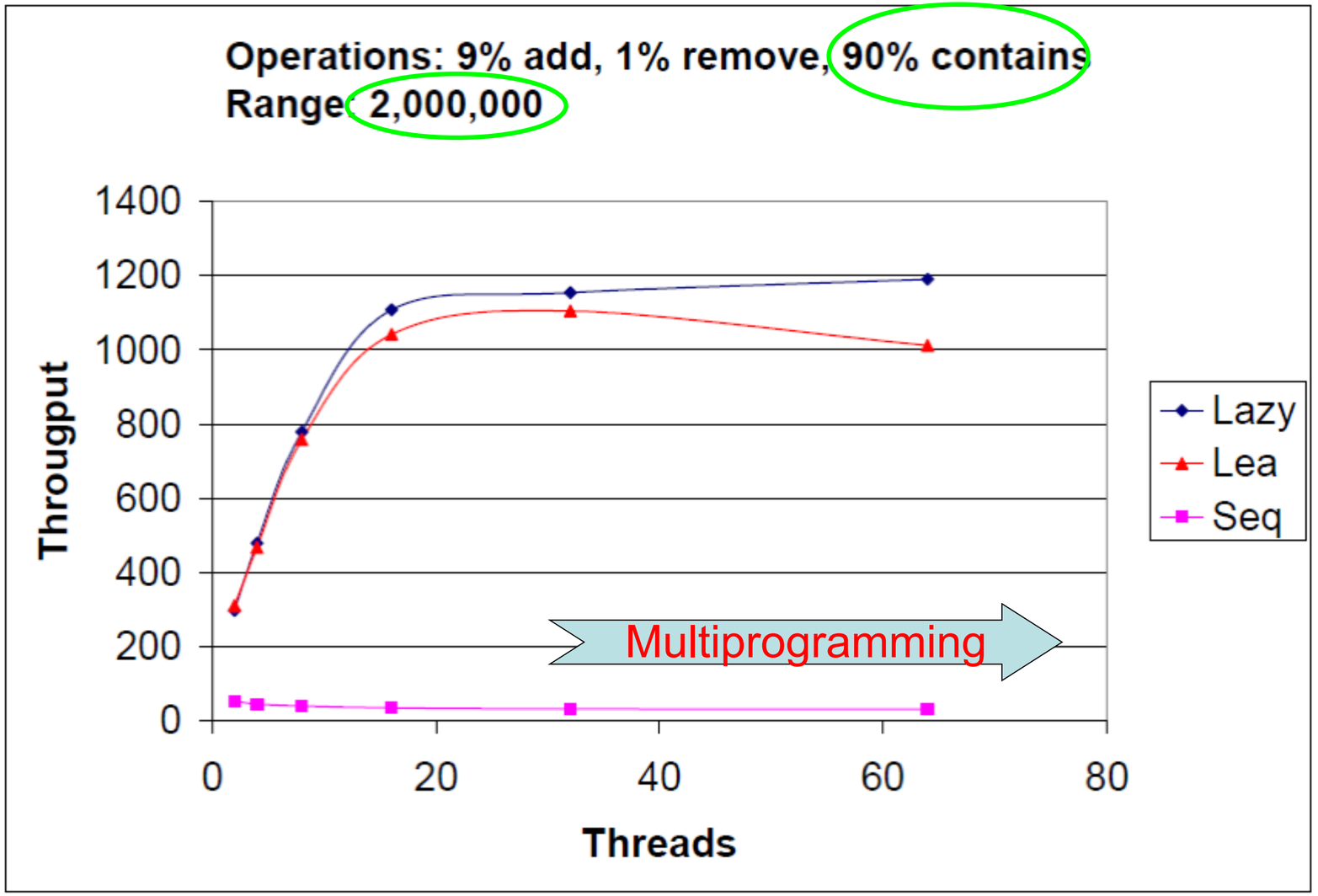
Prove: at some point 7 was not in the skip list



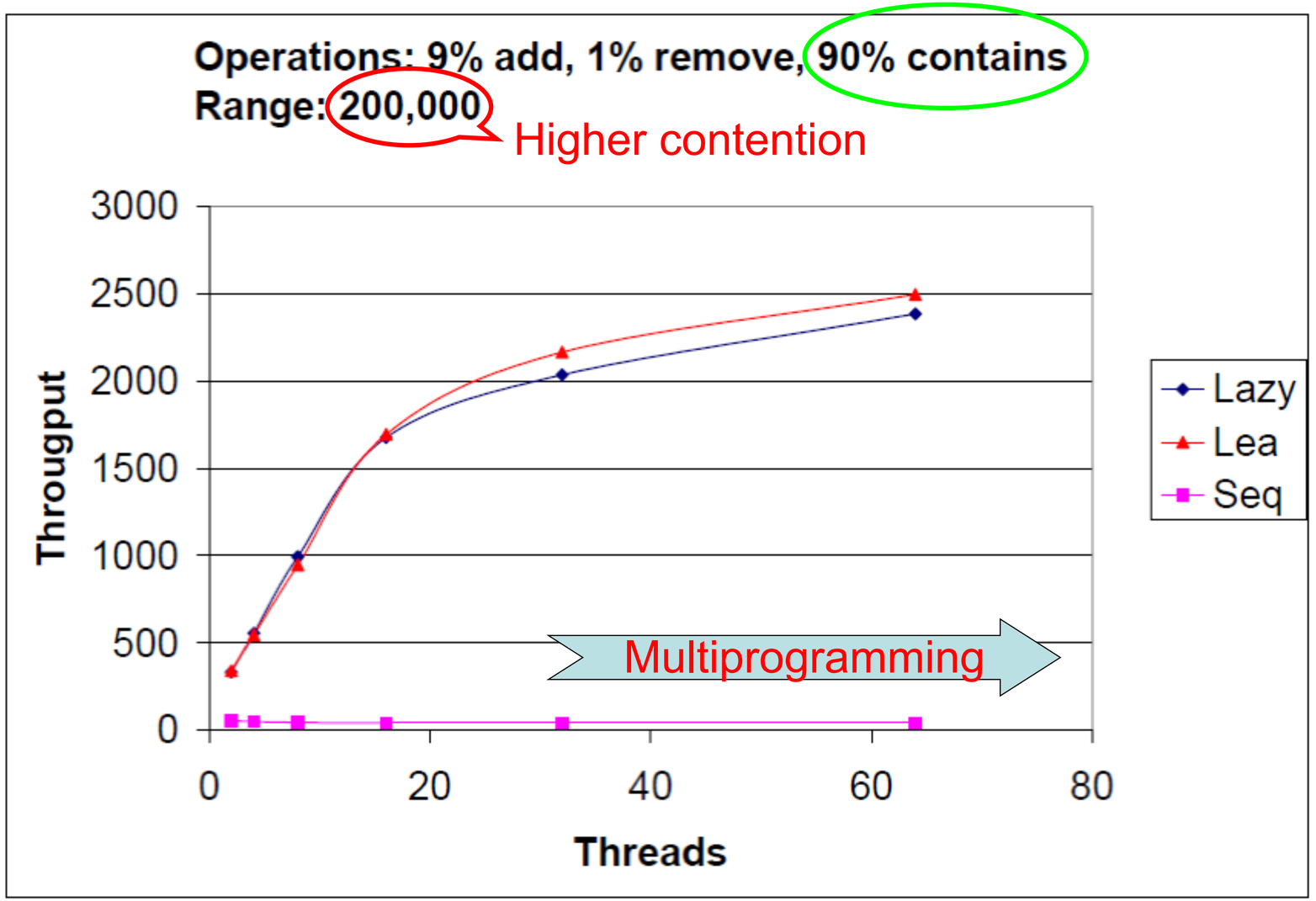
Coding Time!

- Design a benchmark suite for concurrent set implementations allowing arbitrary numbers of threads and operations.
- Use the ideas from the previous lectures.
- Implement it for *optimistic lists*, *lazy lists* and *lazy skip-lists* and determine the winner!
- Also, let's add Java's concurrent set implementation (by Doug Lea) into the mix

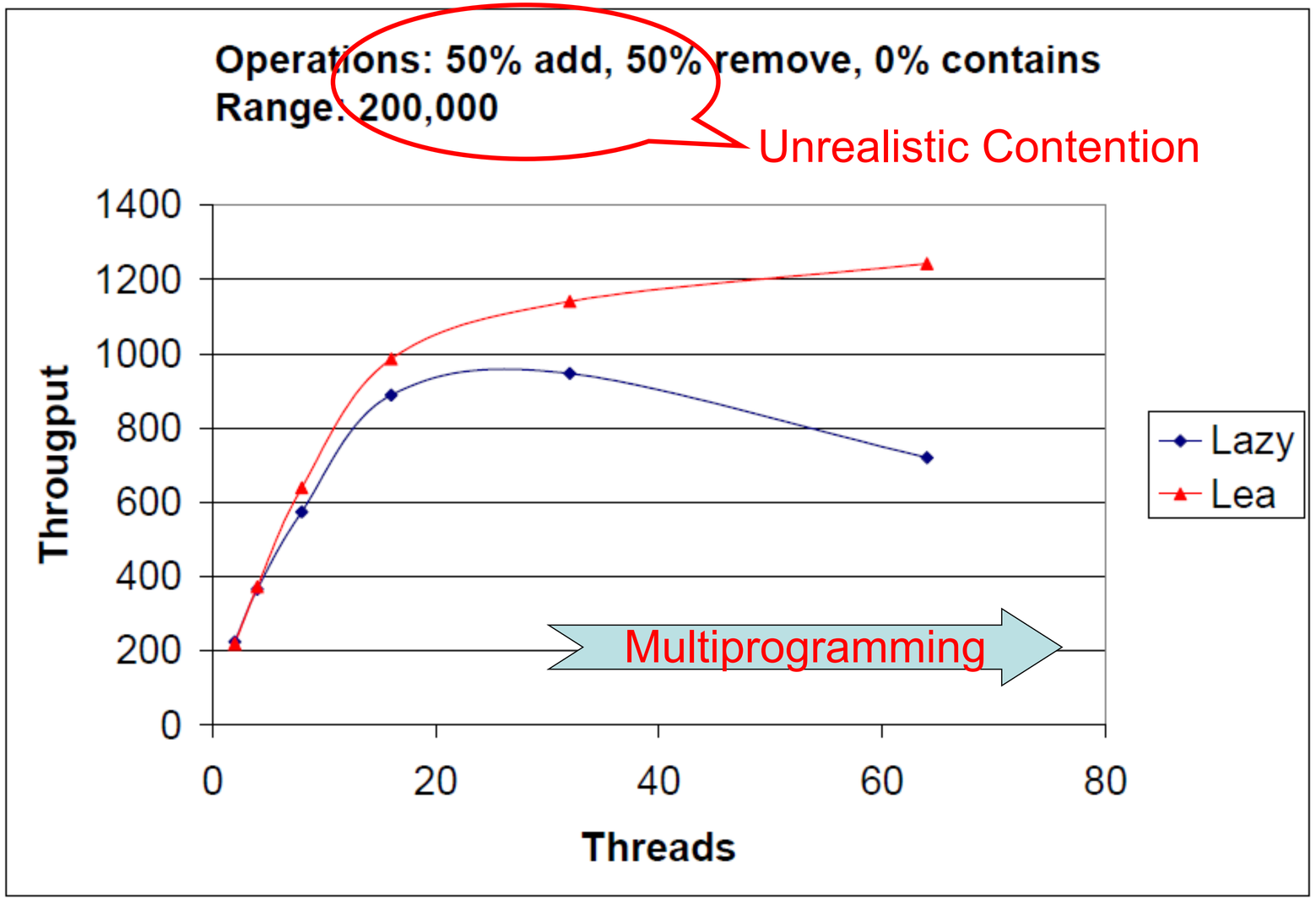
Lazy Skip List: Performance



Lazy Skip List: Performance



Lazy Skip List: Performance



Summary

- Lazy Skip List
  - Optimistic fine-grained Locking
- Performs as well as the lock-free solution in “common” cases
- This is how you implement a concurrent set.

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