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# DNA Storage & Security

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→ DNA

• Four letter alphabet

- Adenine (A)
- Cytosine (C)
- Guanine (G)
- Thymine (T)

DNA Synthesizer - write

DNA Sequencer - read.

Steps :

1. Encoding
2. Synthesis
3. Storage
4. Retrieval
5. Sequencing
6. Decoding

Repetition Codes :

Code  $C = \{000, 111\}$

0 → 000

1 → 111

min Hamming dist = d

we can correct  $\left\lfloor \frac{d-1}{2} \right\rfloor$  errors.

& detect d-1 errors.

→  $\mathbb{Z}_n$  is a field if  $n$  is prime

$$\text{GF}(4) = \{a + \alpha b \mid \alpha, b \in \mathbb{Z}_2, \alpha^2 + \alpha + 1 = 0\}$$

$$= \{0, 1, \alpha, \alpha^2\}$$

$$= \{0, 1, \alpha, 1 + \alpha\}$$

$$= \{00, 01, 10, 11\}$$

$$\rightarrow \text{GF}(p^m) = \text{GF}(q)$$

$$\rightarrow \mathbb{Z}_2^n = \{(x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{Z}_2\}$$

$$\rightarrow \mathbb{F}^n = \{(x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{F}\}$$

$C = \{000, 111\}$  is a subspace of  $\mathbb{Z}_2^3$

$$\rightarrow \text{Basis of } \mathbb{Z}_2^3 = \{001, 010, 100\}$$

$$\dim \mathbb{Z}_2^3 = 3$$

$$\rightarrow \mathbb{F}_q^n = \text{GF}(q) = \{(x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{F}_q\}$$

$$\boxed{C \leq \mathbb{F}_q^n}$$

K-dim  
subspace

→  $\mathbb{Z}_2^n$  is a vector space of dim  $n$

Linear code:  $C \leq \mathbb{Z}_2^n$   
 $K$ -dim

eg:  $C \leq \mathbb{Z}_2^3 = \{000, 111\}$   
 $1$ -dim

$B = \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\}$

①  $\sum_{i=1}^n \lambda_i \vec{v}_i = 0 \Rightarrow \lambda_i = 0$  Linearly independent

②  $\langle \vec{v}_1, \vec{v}_2, \dots, \vec{v}_k \rangle = C$

$G = \begin{bmatrix} \vec{v}_1 \\ \vdots \\ \vec{v}_k \end{bmatrix}_{k \times n}$

Generator matrix of code  $C$ .

$(x_1, x_2, \dots, x_k)_{k \times n} G_{k \times n} = (y_1, y_2, \dots, y_n)_{1 \times n}$

block of  $K$  bits

Generator matrix

encoded bits

$$C = [n, k, d]_2$$

$$d = \min \{ d_H(\bar{x}, \bar{y}) \mid \bar{x}, \bar{y} \in C, \bar{x} \neq \bar{y} \}$$

~~eg~~

$$G = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$$

$$C = \{000, 110, 101, 011\}$$

$$C = [3, 2, 2]_2$$

$$\begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 \end{bmatrix}$$

\*  $F_q \rightarrow$  finite field with  $q$  elements  
 $q = p^m$   $p$  is prime.

$$F_q^n = \{ (x_1, x_2, \dots, x_n) \mid x_i \in F_q \}$$

$$C \leq_{k\text{-dim}} F_q \quad \dim C = k$$



## Dual Code

$$C^\perp = \{ \bar{y} \in F_2^n \mid \langle \bar{x}, \bar{y} \rangle = 0, \forall \bar{x} \in C \}$$

$$\boxed{\dim C^\perp = n - k = n - \dim C}$$

- (1)  $C$  is self orthogonal if  $C \subseteq C^\perp$
- (2)  $C$  is self dual if  $C = C^\perp$

eg  $C^\perp = \{ \bar{y} \in \mathbb{Z}_2^n \mid \langle \bar{x}, \bar{y} \rangle = 0, \forall \bar{x} \in C \}$

$$n=3 \text{ \& } C = \{000, 111\}$$

$$C^\perp = \{000, 110, 101, 011\}$$

$$\boxed{\dim C + \dim C^\perp = \dim \mathbb{Z}_2^3}$$

$$1 + 2 = 3$$

In simple cases,  $C \cap C^\perp = 0$  vector.

## Standard Generator matrix

$$C = [n, k, d]_2$$

$$G_{\text{kon}} = [I_k | A]$$

$H \rightarrow$  parity check matrix

$$H = [-A^t | I_{n-k}] \quad \boxed{\text{rank } H = n-k}$$

$$\neq \boxed{GH^t = 0}$$

$$\langle G \rangle = C = \{ \bar{x} \mid Hx^t = 0 \} = \underline{\text{Null space of } H}$$

eg  $G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \end{bmatrix} = [I_2 | A]$

$$A = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad A^t = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$H = [-A^t | I_{n-k}] = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{bmatrix}$$

$$C = \{ \bar{x} \in \mathbb{Z}_2^n \mid Hx^t = 0 \}$$

$$\mathbb{Z}_2^n = \{ (x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{Z}_2 \}$$

$$C \subseteq \mathbb{Z}_2^n$$

subset

$$\rightarrow C: (n, M, d)$$

$\downarrow$  length       $\downarrow$  # of codewords       $\downarrow$  min dist

(Non-Linear Codes)

$$\rightarrow C \subseteq \mathbb{Z}_2^n$$

K-dim

$$C = [n, k, d] \quad \text{Linear Codes}$$

$$G_{R \times n} = \left[ I_k \mid A \right] \quad \text{std. gen. matrix}$$

$$|C| = 2^k$$

Cardinality

$$H_{(n-k) \times n}$$

P.C.M

$$\text{rank}(G) = k$$

$$\text{rank}(H) = n - k$$

$$GH^t = 0$$

$$C = \{ \bar{x} \in \mathbb{Z}_2^n \mid Hx^t = 0 \}$$

$$H = \left[ -A^t \mid I_{n-k} \right]$$

# \* Array Encoding Decoding

$x$ : sent  
 $y$ : received

$$\boxed{\text{Syn}(y) = Hy^t}$$

How to decode?

\*  $\rightarrow$  Generate  $H$  such that no two vectors are multiple of each other

$$\mathbb{Z}_2^m$$

eg  $m=2$   $\mathbb{Z}_2^2 = \left\{ \begin{matrix} 00 \\ 01 \\ 10 \\ 11 \end{matrix} \right\}$

$$H = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix}_{2 \times 3}$$

$$C = \begin{bmatrix} 7 & 4 & 3 \end{bmatrix}_2$$

$\downarrow$   
 $n \quad k \quad d$

$$H = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}_{3 \times 7}$$

$$C = \{ x \in \mathbb{Z}_2^3 \mid Hx^t = 0 \}$$

$$H_m : \left[ \underline{2^{m-1}}, 2^m - 1 - m, 3 \right]_2$$

Hamming  
code



→  $x \in C$  sent,  $y$  is received.

$$\bar{y} = \bar{x} + \bar{e}$$

$$\begin{aligned} \text{Syn}(\bar{y}) &= \text{Syn}(\bar{x} + \bar{e}) \\ &= H\bar{x}^t + H\bar{e}^t \\ &= 0 + H\bar{e}^t \\ &= H\bar{e}^t \end{aligned}$$

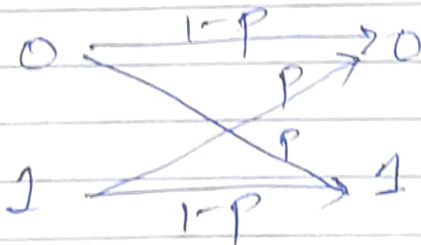
$$\text{Syn}(\bar{y}) = \sum_{i=1}^n e_i H_i = H_a + H_b + H_c$$

↓  
find col in  $H$  & flip that bit in  $y$ .  
∴ 2 bit error can be corrected.

$$* \quad C = [000, 111] \quad C = [3, 1, 3]$$

$$G = [111]$$

BSC (Binary Symmetric Channel)



Received  $\bar{y} = 110$

$$p = 0.05$$

$$P(110 \text{ rec} | 000 \text{ sent}) = p^2(1-p)$$

$$P(110 \text{ rec} | 111 \text{ sent}) = (1-p)^2 p$$

greater  
probability  
that 000 was  
sent.

## \* Cyclic code

= Linear code

- Any cyclic shift of codeword is again a codeword.

$$c_0, c_1, \dots, c_{n-1} \in C$$

$$\Rightarrow c_{n-1}, c_0, c_1, \dots, c_{n-2} \in C$$

$$C = \{000, 101, 011, 110\}$$

$$c_0, c_1, \dots, c_{n-1} \rightsquigarrow c_0 + c_1x + \dots + c_{n-1}x^{n-1}$$

$c(x)$

$$xC(x) = c_0x + c_1x^2 + \dots + c_{n-1}x^n$$

Here  $x^n = 1$

Eg

$\mathbb{Z}_2^3$

000  $\rightarrow 0$

100  $\rightarrow 1$

010  $\rightarrow x$

001  $\rightarrow x^2$

110  $\rightarrow 1+x$

011  $\rightarrow x+x^2$

101  $\rightarrow 1+x^2$

111  $\rightarrow 1+x+x^2$

$$f(x) = x^2 + x + 1$$

$$\mathbb{Z}_2[x] / (x^2 + x + 1) = \text{GF}(4)$$

If polynomial is irreducible, then this is a field.

eg  $\mathbb{Z}_2[x] / (x^3 - 1) \quad f(x) = x^3 - 1$   
 $= R_3 \text{ (Ring)}$

$$\mathbb{Z}_2[x] / (x^n - 1) = R_n \text{ (Polynomial Ring)}$$

eg :  $C = \begin{Bmatrix} 000 \\ 110 \\ 101 \\ 011 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 1+x \\ 1+x^2 \\ x+x^2 \end{Bmatrix} \cdot \begin{matrix} C \\ \text{ideal} \end{matrix} R_3$

Ideal if (1)  $a+b, a-b \in I$   
 $\forall a, b \in I$

(2)  $\forall x \in R, \forall a \in I, xa \in I$

$$\rightarrow R_3 = \mathbb{Z}_2[x] / (x^3 - 1)$$

$$I = \{0, 1+x, 1+x^2, x+x^2\}$$

$$I = \langle 1+x \rangle \quad \therefore \forall x(1+x) \in I \quad x \in R_3$$

$\hookrightarrow$  Principal ideal if  $\exists g \in I$  s.t.  $I = \langle g \rangle = \{xg \mid x \in R\}$

→  $C$  is a cyclic code in  $R_n = \mathbb{Z}_2[x]/(x^n-1)$  ( $x^n-1$ ) gen

(1)  $\exists$  a unique monic poly  $g(x)$  of smallest deg in  $C$

(2)  $C = \langle g(x) \rangle$

(3)  $g(x)$  is a factor of  $x^n-1$ .

$$g(x) = g_0 + g_1x + \dots + g_rx^r$$

$$G = \begin{bmatrix} g_0 & g_1 & g_2 & \dots & g_r & 0 & \dots & 0 \\ 0 & g_0 & g_1 & \dots & g_r & & & \\ \vdots & & & & & & & \\ 0 & \dots & \dots & \dots & \dots & g_0 & g_1 & \dots & g_r \end{bmatrix}$$

↓  
This will not be a standard generator matrix



\* How to find all binary cyclic codes of length 3?

$$x^3 - 1 = (x+1)(x^2+x+1)$$

| * Gen Poly | Code in $R_3$              | Code in $\mathbb{Z}_2^3$ |
|------------|----------------------------|--------------------------|
| 1          | All of $R_3$               | all of $\mathbb{Z}_2^3$  |
| $x+1$      | $\{0, 1+x, 1+x^2, x+x^2\}$ | $\{000, 110, 011, 101\}$ |
| $x^2+x+1$  | $\{0, 1+x+x^2\}$           | $\{000, 111\}$           |
| $x^3-1$    | $\{0\}$                    | $\{000\}$                |

\* n factorization of  $x^n - 1$  No of cyclic codes

Hamming code can be constructed out of

|    |                              |   |
|----|------------------------------|---|
| 1  | $1+x$                        | 2 |
| 2  | $(1+x)^2$                    | 3 |
| 3  | $(1+x)(1+x+x^2)$             | 4 |
| 4  | $(1+x)^4$                    | 5 |
| 5  | $(1+x)(1+x+x^2+x^3+x^4)$     | 4 |
| 6  | $(1+x)^2(1+x+x^2)^2$         | 9 |
| 7  | $(1+x)(1+x^2+x^3)(1+x+x^3)$  | 8 |
| 8  | $(1+x)^8$                    | 8 |
| 9  | $(1+x)(1+x+x^2)(1+x^3+x^6)$  | 8 |
| 10 | $(1+x)^2(1+x+x^2+x^3+x^4)^2$ | 9 |