

CSE 202: Design and Analysis of Algorithms

Lecture 6

Instructor: Kamalika Chaudhuri

Announcements

- Homework 1 solutions are up!
- Homework 2 is out, due in class Feb 2nd

Last Class: Three steps of Dynamic Programming

Main Steps:

1. Divide the problem into **subtasks**
2. Define the subtasks **recursively** (express larger subtasks in terms of smaller ones)
3. Find the **right order** for solving the subtasks (but do not solve them recursively!)

String reconstruction

Given: document $x[1..n]$: an array of characters
dictionary function $\text{dict}(w)$: returns true if w is a valid word

Is x a sequence of valid words ?

Example:

$x = \text{anonymousarrayofletters}$: **True**

$x = \text{anhuymousarrayofhetters}$: **False**

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$S(k) = \text{True}$ if $x[1..k]$ is a valid sequence of words
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Output of algorithm = $S(n)$

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k	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
s		T	T	T	T	F	F	F	F	T	T	F	F	F	T	F	T	F	F	T	F	F	T	T

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Algorithm:

```
S[0] = true
for k = 1 to n:
    S[k] = false
    for j = 1 to k:
        if S[j-1] and dict(x[j..k])
            S[k] = true
```

Reconstructing Document:

Define array $D(1..n)$:

If $S(k) = \text{true}$, then $D(k)$ = starting position
of the word that ends at $x[k]$

Reconstruct text by following these pointers.

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S		T	T	T	T	F	F	F	F	T	T	F	F	F	T	F	T	F	F	T	F	F	T	T
D		1				-	-	-	-			-	-	-		-		-	-		-	-		

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Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- ...

Longest Common Subsequence (LCS)

Problem: Given two sequences $x[1..m]$ and $y[1..n]$, find their longest common subsequence

Example:

$x = A, C, G, T, A, G$
 $y = G, T, C, C, A, C$

$LCS(x, y) = G, T, A$

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Structure:

$x = A, C, G, \mathbf{T},$
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$$LCS(x[1..i], y[1..j]) = LCS(x[1..i-1], y[1..j-1]) + x[i]$$

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 $y = G, \mathbf{T},$

Otherwise,

$$LCS(x[1..i], y[1..j]) = \max(LCS(x[1..i-1], y[1..j]), \\ LCS(x[1..i], y[1..j-1]))$$

Longest Common Subsequence (LCS)

Problem: Given two sequences $x[1..m]$ and $y[1..n]$, find their longest common subsequence

Example:

$x = A, C, G, T, A, G$
 $y = G, T, C, C, A, C$ $LCS(x, y) = G, T, A$

STEP 1: Define subtasks

$S(i, j)$ = Length of LCS of $x[1..i]$
and $y[1..j]$

Output of algorithm = $S(m, n)$

		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
G	1									
T	2									
G	3									
A	4									
C	5									
A	6									
G	7									
T	8									
T	9									

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$S(i, j) = S(i-1, j-1) + 1$, if $x[i] = y[j]$
 $= \max(S(i-1, j), S(i, j-1))$, ow

		A	C	T	G	G	C	T	A	G
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G	1	0	0	0	1					
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T	2	0	0	1	1	1	1	2	2	2
G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3
C	5									
A	6									
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STEP 3: Order of subtasks

Row by row, left to right

Algorithm:

```
for i = 0 to n: S[i,0] = 0
for j = 0 to m: S[0,j] = 0
for i = 1 to n:
    for j = 1 to m:
        if x[i] = y[j]:
            S[i,j] =
                S[i-1,j-1] + 1
        else:
            S[i,j] = max{
                S[i-1,j], S[i,j-1]
            }
return S[n,m]
```

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STEP 3: Order of subtasks

Row by row, left to right

Running Time: $O(mn)$

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```
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STEP 3: Order of subtasks

Row by row, left to right

Running Time: $O(mn)$

How to reconstruct the actual subsequence?

Algorithm:

```
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To reconstruct LCS:

Define $L(i,j)$:

$L(i,j) = (i-1, j-1)$, if $x[i] = y[j]$
 $= (i-1, j)$, ow if $S(i-1,j) > S(i,j-1)$
 $= (i, j-1)$, ow

S

		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
G	1	0	0	0	1	1	1	1	1	1
T	2	0	0	1	1	1	1	2	2	2
G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3

L

		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
G	1	←								
T	2									
G	3									
A	4									

Reconstruct LCS by following the $L(i,j)$ pointers, starting with $L(m,n)$

Recall: Row 0 and column 0: Base Case

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		A	C	T	G	G	C	T	A	G
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G	1	0	0	0	1	1	1	1	1	1
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G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3

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		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
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G	1	0	0	0	1	1	1	1	1	1
T	2	0	0	1	1	1	1	2	2	2
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G	1	0	0	0	1	1	1	1	1	1
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G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3

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		A	C	T	G	G	C	T	A	G
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G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3

L

		A	C	T	G	G	C	T	A	G
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		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
G	1	0	0	0	1	1	1	1	1	1
T	2	0	0	1	1	1	1	2	2	2
G	3	0	0	1	2	2	2	2	2	3
A	4	1	1	1	2	2	2	2	3	3

L

		A	C	T	G	G	C	T	A	G
	0	1	2	3	4	5	6	7	8	9
G	1	←	←	←	↖	↖	←	←	←	↖
T	2									
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G	3	←	←	↑	↖	↖	←	←	←	↖
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LCS = T, G, A

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Output of algorithm = $S(m,n)$

STEP 2: Express recursively

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= $\max(S(i-1,j), S(i,j-1))$, ow

STEP 3: Order of subtasks

Row by row, left to right

To reconstruct LCS:

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= $(i-1, j)$, ow if $S(i-1,j) > S(i,j-1)$
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Reconstruct LCS by following the $L(i,j)$ pointers, starting with $L(m,n)$

Running Time: $O(mn)$

Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- ...

Dynamic Programming vs Divide and Conquer

Divide-and-conquer

A problem of size n is decomposed into a few subproblems which are significantly smaller (e.g. $n/2$, $3n/4$,...)

Therefore, size of subproblems decreases geometrically.

eg. n , $n/2$, $n/4$, $n/8$, etc

Use a recursive algorithm.

Dynamic programming

A problem of size n is expressed in terms of subproblems that are not much smaller (e.g. $n-1$, $n-2$,...)

A recursive algorithm would take exp. time.

Saving grace: in total, there are only polynomially many subproblems.

Avoid recursion and instead solve the subproblems one-by-one, saving the answers in a table, in a clever explicit order.

DP: Common Subtasks

Case I: Input: x_1, x_2, \dots, x_n Subproblem: x_1, \dots, x_i .

x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

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x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

Case 2: Input: x_1, x_2, \dots, x_n and y_1, y_2, \dots, y_m Subproblem: x_1, \dots, x_i and y_1, y_2, \dots, y_j

x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

y_1	y_2	y_3	y_4	y_5	y_6	y_7	y_8
-------	-------	-------	-------	-------	-------	-------	-------

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x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10}

y_1 y_2 y_3 y_4 y_5 y_6 y_7 y_8

Case 3: Input: x_1, x_2, \dots, x_n . Subproblem: x_i, \dots, x_j

x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10}

DP: Common Subtasks

Case 1: Input: x_1, x_2, \dots, x_n Subproblem: x_1, \dots, x_i .

x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10}

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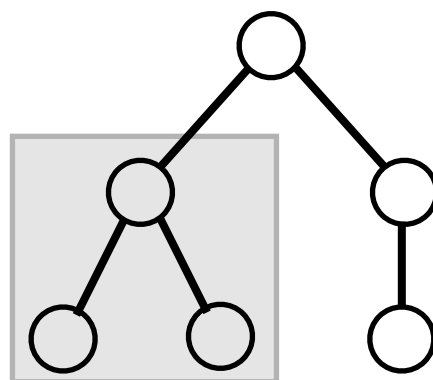
x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10}

y_1 y_2 y_3 y_4 y_5 y_6 y_7 y_8

Case 3: Input: x_1, x_2, \dots, x_n . Subproblem: x_i, \dots, x_j

x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10}

Case 4: Input: a rooted tree. Subproblem: a subtree



Dynamic Programming

- String Reconstruction
- Longest Common Subsequence
- Edit Distance

Edit Distance: String Alignment

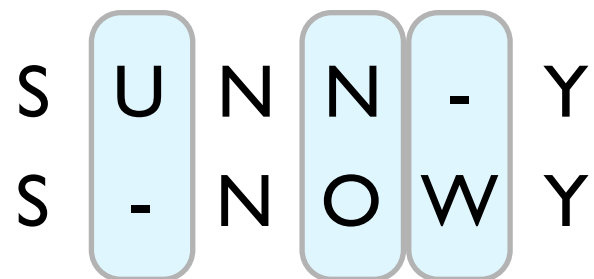
Alignment: Convert one string to another using insertions, deletions and substitutions.

S	U	N	N	-	Y
S	-	N	O	W	Y

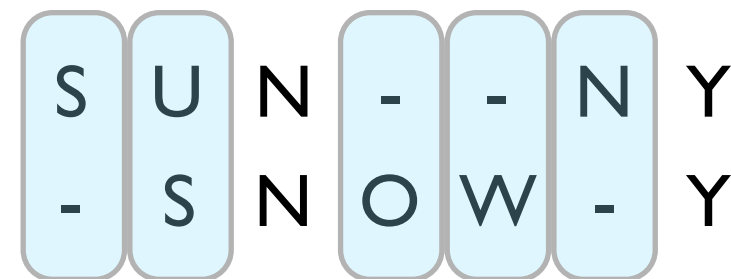
Alignment I
Cost = 3

Edit Distance: String Alignment

Alignment: Convert one string to another using insertions, deletions and substitutions.



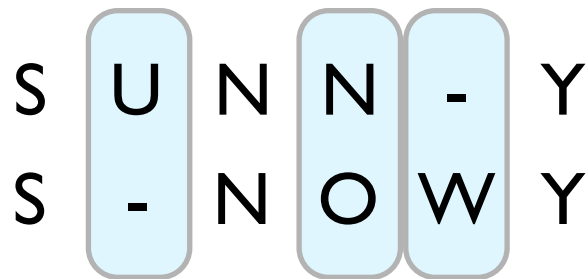
Alignment 1
Cost = 3



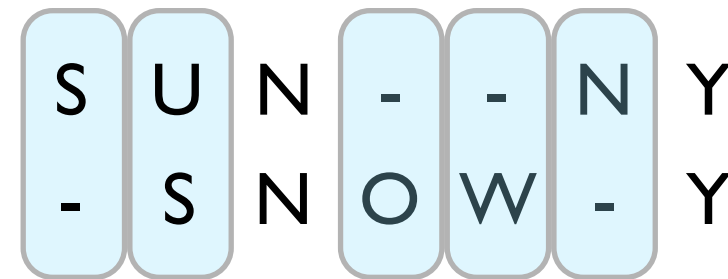
Alignment 2
Cost = 5

Edit Distance: String Alignment

Alignment: Convert one string to another using insertions, deletions and substitutions.



Alignment 1
Cost = 3

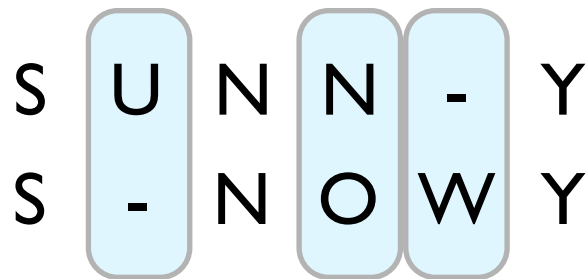


Alignment 2
Cost = 5

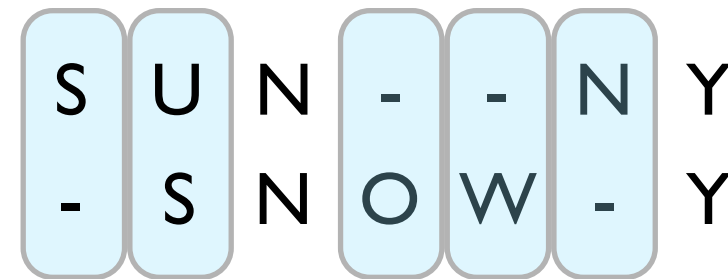
Edit Distance(x, y): minimum # of insertions, deletions and substitutions required to convert x to y

Edit Distance: String Alignment

Alignment: Convert one string to another using insertions, deletions and substitutions.



Alignment 1
Cost = 3



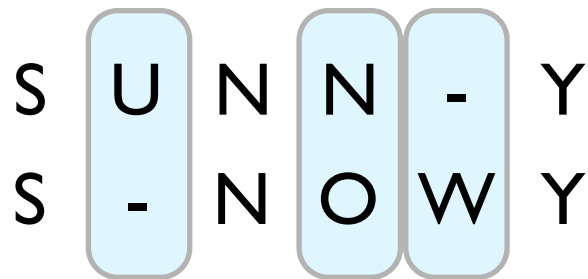
Alignment 2
Cost = 5

Edit Distance(x, y): minimum # of insertions, deletions and substitutions required to convert x to y

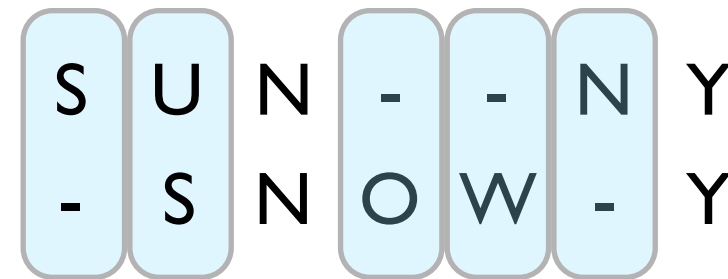
Edit Distance(SUNNY, SNOWY) = 3

Edit Distance: String Alignment

Alignment: Convert one string to another using insertions, deletions and substitutions.



Alignment 1
Cost = 3



Alignment 2
Cost = 5

Edit Distance(x, y): minimum # of insertions, deletions and substitutions required to convert x to y

Edit Distance(SUNNY, SNOWY) = 3

Is Edit Distance(x, y) = Edit Distance(y, x)?

Edit Distance

Problem: Given two strings $x[1..n]$ and $y[1..m]$, compute $\text{edit-distance}(x, y)$

Example:

S	U	N	N	-	Y
S	-	N	O	W	Y

Cost = 3

Structure:

Three cases in the last column of alignment between $x[1..i]$ and $y[1..j]$:

$x[i]$	-	$x[i]$
-	$y[j]$	$y[j]$

Case 1. Align $x[1..i-1]$ and $y[1..j]$, delete $x[i]$

Case 2. Align $x[1..i]$ and $y[1..j-1]$, insert $y[j]$

Case 3. Align $x[1..i-1]$ and $y[1..j-1]$. Substitute $x[i], y[j]$ if different.

Edit Distance

Problem: Given two strings $x[1..n]$ and $y[1..m]$, compute $\text{edit-distance}(x, y)$

Example:

$x = \text{SUNNY}$
 $y = \text{SNOWY}$ $\text{Edit-distance}(x, y) = 3$

STEP 1: Define subtasks

$E(i, j) = \text{Edit-distance}(x[1..i], y[1..j])$

Output of algorithm = $E(n, m)$

STEP 2: Express recursively

$$E(i, j) = \min(E(i-1, j) + 1, E(i, j-1) + 1, E(i-1, j-1) + \text{diff}(x[i], y[j]))$$

STEP 3: Order of subtasks

Row by row, left to right

			S	U	N	N	Y
	-	0	1	2	3	4	5
	0						
S	1						
N	2						
O	3						
W	4						
Y	5						

$\text{diff}(a, b) = 0, \text{ if } a=b$
 $= 1, \text{ o.w.}$

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			S	U	N	N	Y
	-	0	1	2	3	4	5
	0	0	1	2	3	4	5
S	1	1					
N	2	2					
O	3	3					
W	4	4					
Y	5	5					

$$\begin{aligned} \text{diff}(a, b) &= 0, \text{ if } a=b \\ &= 1, \text{ o.w.} \end{aligned}$$

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	0	0	1	2	3	4	5
S	1	1	0				
N	2	2					
O	3	3					
W	4	4					
Y	5	5					

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N	2	2					
O	3	3					
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O	3	3					
W	4	4					
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	0	0	1	2	3	4	5
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	0	0	1	2	3	4	5
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N	2	2	1	1	1	2	3
O	3	3					
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O	3	3					
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Running Time = $O(mn)$

How to reconstruct the best alignment?