MAT454 Notes

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These notes are based off a combination of my class notes and handwritten notes generously provided by Professor Edward Bierstone. While I made my best efforts to ensure their contents are correct, there may be a variety of errors and inconsistencies, all of which are my own.

Contents

1 Review of Basic Complex Analysis

1.1 Elementary Properties of Holomorphic Functions

The main objects of study in this course are holomorphic functions.

Definition 1 (Holomorphic function). *$f(t)$ is called **holomorphic at** $z \in \mathbb{C}$ if*

$$\lim_{h \to 0} \frac{f(z+h) - f(z)}{h} \tag{1}$$

exists, i.e. there is $c \in \mathbb{C}$ such that

$$f(z+h) = f(z) + c \cdot h + \varphi(h) \cdot h, \lim_{h \to 0} \varphi(h) = 0 \tag{2}$$

Now, from this perspective, this looks no different from the usual case of a differentiable function. But it is different, because the variables are complex, and hence we can write

$$z = x + iy, \qquad f(z) = u(z) + iv(z) \tag{3}$$

Hence, this function mapping $z \mapsto f(z)$ is, from the real perspective, a function from $\mathbb{R}^2 \to \mathbb{R}^2$, taking

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} u(x,y) \\ v(x,y) \end{pmatrix} \tag{4}$$

Naturally, in the above definition, we can also write $a + ib$ and $h = \xi + i\eta$. Hence the derivative $h \mapsto c \cdot h$ can be written as

$$\begin{pmatrix} \xi \\ \eta \end{pmatrix} \mapsto \begin{pmatrix} a & -b \\ b & a \end{pmatrix} \begin{pmatrix} \xi \\ \eta \end{pmatrix} = \begin{pmatrix} \frac{\partial f}{\partial x} & \frac{\partial f}{\partial y} \end{pmatrix} \begin{pmatrix} \xi \\ \eta \end{pmatrix} \tag{5}$$

In other words, this says that

$$\frac{\partial f}{\partial x} + i\frac{\partial f}{\partial y} = 0 \iff \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \wedge \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x} \tag{6}$$

These are what is called the Cauchy-Riemann equations. So the moral of the story is that holomorphic is *not* the same as differentiable as a function of two real variables. It' the same as differentiable as a function of two real variables *plus* satisfying the Cauchy-Riemann equations.

It's going to convenient throughout this course to think about derivatives in terms of differential forms. Let's suppose, to begin a bit more generally, that we're considering a complex-valued *differentiable* (not necessarily holomorphic) function $f(x,y)$.

Definition 2. *The **differential** of f is given by*

$$df = \frac{\partial f}{\partial x}dx + \frac{\partial f}{\partial x}dy \tag{7}$$

But, we're thinking about x and y as parts of a complex number, with $z = x + iy$ and $\bar{z} = x - iy$. So we can solve for x and y in terms of z and $\bar{z}$. We can also compute the differentials

$$dz = dx + idy, \qquad d\bar{z} = dx - idy \tag{8}$$

So we can solve for dz and $d\bar{z}$ in terms of dz and $d\bar{z}$, getting

$$dx = \frac{1}{2}(dz + d\bar{z}), \qquad dy = \frac{1}{2i}(dz - d\bar{z}) \tag{9}$$

In particular, we can take df and rewrite it in terms of dz and $d\bar{z}$ by substituting in these expressions. So if we do that we get

$$df = \frac{1}{2}\left(\frac{\partial f}{\partial x} - i\frac{\partial f}{\partial y}\right)dz + \frac{1}{2}\left(\frac{\partial f}{\partial x} + i\frac{\partial f}{\partial y}\right)d\bar{z} \tag{10}$$

So, if we would like to define partial derivatives with respect to z and $\bar{z}$, how should we define them? Well... the coefficients above seem to be natural choices, giving

$$\frac{\partial f}{\partial z} = \frac{1}{2}\left(\frac{\partial f}{\partial x} - i\frac{\partial f}{\partial y}\right), \qquad \frac{\partial f}{\partial \bar{z}} = \frac{1}{2}\left(\frac{\partial f}{\partial x} + i\frac{\partial f}{\partial y}\right) \implies df = \frac{\partial f}{\partial z}dz + \frac{\partial f}{\partial \bar{z}}d\bar{z} \tag{11}$$

In terms of *this* expression, what's a third way of writing the Cauchy-Riemann equations? It's simply

$$\frac{\partial f}{\partial \bar{z}} = 0 \tag{12}$$

And of course, this basically captures your "feeling" of what a holomorphic function should be: it's supposed to be a function of z, and not $\bar{z}$. Ok, so this is the basic definition of holomorphic.

1.2 Harmonic Functions

We'll now say a few words about harmonic functions. Recall the following definition

Definition 3 (Harmonic)**.** *We say a real or complex valued function $f(x, y)$ is **harmonic** if f is $\mathcal{C}^2$ and*

$$\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \iff \frac{\partial^2 f}{\partial z \partial \bar{z}} = 0 \tag{13}$$

The above is known as **Laplace's equation**. It's immediate from the definition that a complex valued function is harmonic if and only if its real and imaginary parts are harmonic, and furthemore that every holomorphic function is harmonic. In particular, then, the real and imaginary parts of a holomorphic function are harmonic. On the other hand, maybe a slightly less immediate thing is that every real-valued harmonic function is, not necessarily everywhere but at least *locally*, the real part of a holomorphic function. Why?

Well let's look at Laplace's equation. We know that Laplace's equation is satisfied, which tells us that

$$\frac{\partial}{\partial \bar{z}}\left(\frac{\partial g}{\partial z}\right) = 0 \tag{14}$$

So this of course tells us that $\frac{\partial g}{\partial z}$ is holomorphic. And why does the result follow from this? Because every holomorphic function locally has a primitive which is holomorphic. Where does that come from? The fact that a closed form is locally exact, which is essentially saying it is a consequence of Cauchy's theorem. Another way of thinking about it, which is really also saying it is a consequence of Cauchy's theorem, is that $\frac{\partial g}{\partial z}$ is given by a convergent power series and hence can be locally integrated into another convergent power series. So this is really "one way or another by Cauchy's theorem".

The global result, on the other hand, does not necessarily follow, in brief, because we can "loop around once". For example, $\log|z|$ is a real-valued harmonic function in $\mathbb{C}\backslash\{0\}$, but it's not *globally* the real part of a holomorphic function in $\mathbb{C}\backslash\{0\}$, because $\log z$ has no single-valued branch here. This is a counterexample, but not on $\mathbb{C}$. Whether there are counterexamples in $\mathbb{C}$ is a very good question, and we'll deal with that when we get to Cauchy's theorem. It definitely is a topological question.

1.3 The Riemann Sphere

This is just a very brief recollection of the basic definitions of holomorphic and harmonic functions. I want to also recall, though maybe not all of you are familiar with this, the definitions of the various kinds of functions we're going to be working with as well as the spaces these functions are going to be defined on. In particular, everyone in a first-year course in complex variables has seen in some way the fact that its reasonable to say what you mean by "holomorphic at ∞", and it can be useful to think about that. So, what *do* you mean when you say that $f(z)$ is holomorphic at ∞? Without introduing anything new, we can say that this means $f(1/z)$ is holomorphic at 0. This is a very useful thing. We would like to make sense of this in a sort of well-structured way, and one does that by extending the complex plane to include the point at ∞, or rather, to think of our functions not as on the complex plane, but on the extended complex plane including the point at ∞, which is also called the Riemann sphere.

We have to say what the complex structure of that space is in a neighborhood of infinity, in such a way that captures this intuition, such that our holomorphic functions are holomorphic functions defined on open neighborhoods of the Riemann sphere. Of course, complex-valued functions which are holomorphic on the *whole* Riemann sphere are rather uninteresting, considering they are all constant by Liouville's principle. If we're allowed to consider holomorphic functions on the Riemann sphere *with values on the Riemann sphere*, however, then we're back in interesting territory.

Definition 4 (Stereographic Projection)**.** *Consider the unit sphere $S^2 = \{x^2 + y^2 + z^2 = 1\}$, and identify $\mathbb{R}^2$ with $\mathbb{C}$ by the isomorphism $(x, y) \mapsto z = x + iy$. Define the north pole $(0, 0, 1)$. We can define the* **stereographic projection from the north pole** *from $\pi : S^2 \backslash N \to \mathbb{C}$ to map a point $s \in S \backslash N$ to the intersection of the line between $s = (x, y, t)$ and N and the xy plane. Because the points $s, N, (x/(1 - t), y/(1 - t), 0)$ must be colinear, we can define*

$$\pi(x, y, t) = \frac{x + iy}{1 - t} \tag{15}$$

This is a homeomorphism from $S^2 \backslash N$ to $\mathbb{C}$.

A quick question: is this a *metric* isomorphism? **No**: points very close together on the sphere can map to points very far from each other in the plane. This, however, is going to be a very important point in this course: we will study the behaviour of holomorphic functions according to the two natural metrics on the sphere: the induced metric on $\mathbb{R}^3$ i.e. the **chordal metric**, equivalent to the **geodesic metric**.

So the above homeomorphism gives a complex structure to the unit sphere minus the north pole. If we wanted to, we could get a complex structure on the unit sphere minus the south pole $S = (0, 0, -1)$ by taking the stereographic projection from there. But we don't want to do that, because the complex structure we'd get would be incompatible. Instead, we want to take the *complex conjugate* of a stereographic projection from the south pole,

$$z' = \frac{x - iy}{1 + t} \tag{16}$$

So what was the point about compatibility? Well, what's the relationship between z and z'? We have

$$z \cdot z' = \frac{x^2 + y^2}{(1 - t)^2} = 1 \implies z' = \frac{1}{z} \tag{17}$$

This is a holomorphic function from $\mathbb{C} \backslash \{0\} \to \mathbb{C} \backslash \{0\}$ with a holomorphic inverse. So the two complex structures defined on the sphere minus the north pole and the sphere minus the south pole are compatible. By a *complex structure* on a set, we mean a homeomorphism between an open subset that set and an open subset of the complex plane. If you're familiar with the language of manifolds, each of these two mappings is a *coordinate chart*. These are even better than manifolds, though, because the coordinate charts are not just differentiable or infinitely differentiable, but holomorphic, or even better, *rational*.

1.4 Differential Forms

We now proceed to some basic properties of differential forms, starting of course with the definition

<div align="center">

Definition 5 (Differential Form)**.** *TODO 1: this*

</div>

Theorem 1. *Let $\Omega \subset \mathbb{R}^2$, and let $\omega = Pdx + Qdy$ be a differential form with P, Q continuous and real or complex-valued. Let $\gamma = (x, y) : [a, b] \to \Omega$ be a piecewise C^1 curve in Ω. Then*

$$\int_\gamma \omega = \int_a^b f(t)dt, \quad f(t) = P(x(t), y(t))x'(t) + Q(x(t), y(t))y'(t) = \gamma^*\omega \tag{18}$$

Note we can define γ^* recursively by

$$\gamma^*(P) = P \circ \gamma, \quad \gamma^*(dx) = d(x \circ \gamma) = x'(t)dt, \quad \gamma^*(dy) = d(y \circ \gamma) = y'(t)dt \tag{19}$$

Theorem 2 (Independence of Parameter)**.** *Let $t : [c, d] \to [a, b]$ be an arbitrary differentiable function such that $t(c) = a$, $t(d) = b$ and $t' > 0$. Define the curve $\delta(s) = \gamma(t(s))$. Then for any differential form ω,*

$$\int_\gamma \omega = \int_\delta \omega$$

Proof. Integration by substitution. $\qquad\square$

Definition 6 (Exterior Derivative, Primitive)**.** *We define the **exterior derivative** d of a differentiable function $F : \Omega \subset \mathbb{R}^2 \to \mathbb{C}$ to be a differential form*

$$\omega = dF = \frac{\partial F}{\partial x} dx + \frac{\partial F}{\partial y} dy \tag{20}$$

*In this case we call F a **primitive** of ω.*

We note that, for holomorphic $F : \Omega \to \mathbb{C}$ and piecewise differentiable $\gamma : [a, b] \to \Omega$, the Fundamental Theorem of Calculus yields

$$\int \gamma dF = \int_a^b (F \circ \gamma)'(t) dt = F(\gamma(b)) - F(\gamma(a)) \tag{21}$$

This observation implies the "only if" in the following theorem:

Theorem 3. *ω has a primitive in Ω if and only if, for any piecewise differentiable closed curve $\gamma : [a, b] \to \Omega$ (i.e. with $\gamma(a) = \gamma(b)$), or equivalently any piecewise differentiable $\gamma : S^1 \to \Omega$, we have*

$$\int_\gamma \omega = 0 \tag{22}$$

Proof. As stated above, this is a necessary condition as, if ω has a primitive F,

$$\gamma(a) = \gamma(b) \implies F(\gamma(a)) = F(\gamma(b)) \implies \int_\gamma \omega = \int_\gamma dF = F(\gamma(a)) - F(\gamma(b)) = 0 \tag{23}$$

This is a sufficient condition as

<p style="text-align:center;color:red;">**TODO 2:** rectangle argument</p>

$\qquad\square$

Theorem 4 (Green's formula)**.**

$$\int_\gamma P dx + Q dy = \iint\limits_A \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx dy \tag{24}$$

<p style="text-align:center;color:red;">**TODO 3:** text</p>

Definition 7 (TODO)**.** $\omega = P dx + Q dy \implies d\omega = \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx \wedge dy$

<p style="text-align:center;color:red;">**TODO 4:** rest</p>

Definition 8. *We say a differential form ω on a domain Ω is **closed** if every point in Ω has a neighborhood in which ω has a primitive.*

We can show that ω is closed if and only if $\int_\gamma \omega = 0$ whenever γ is the boundary of a small enough rectangle in Ω. Assuming ω is $\mathcal{C}^1$, then ω is closed if and only if $d\omega = 0$.

For example, consider $\Omega = \mathbb{C}\backslash\{0\}$ and the differential form $\omega = \frac{dz}{z}$. This is closed in Ω, as a primitive exists locally, namely an appropriate branch of log, but ω has no primitive, as letting $\gamma(\theta) = e^{i\theta}$, we have

$$\int_\gamma \frac{dz}{z} = \int_0^{2\pi} \frac{ie^{i\theta}}{e^{i\theta}} d\theta = 2i\pi \neq 0 \tag{25}$$

Definition 9. *An open set Ω is said to be **simply-connected** if every closed, continuous curve in Ω is homotopic to a point in Ω.*

Theorem 5. *Any closed differential form ω in a simply-connected open set Ω has a primitive.*

A closed form ω in a domain Ω does not necessarily have a single-valued promitive, but has a **primitive along a curve**

<center>

TODO 5: primitive along a curve

TODO 6: primitive over homotopy

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Theorem 6 (Cauchy's Theorem). *Let Ω be a domain and let $f(z)$ be continuous in Ω and holomorphic except on a set of discrete lines and points. Then the differentiable form $f(z)dz$ is closed.*

<center>

Proof. **TODO 7:** this

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<div align="right">□</div>

Corollary 1. *A holomorphic function $f(z)$ locally has a proimitive, which is holomorphic (i.e. a function F such that $dF = f(z)dz$)*

1.5   Cauchy's Integral Formula

Definition 10. *Let $\gamma : S^1 \to \Omega$ be a closed curve and $a \notin \gamma(S^1)$ be a point not in the image of γ. Then the **winding number of** γ **with respect to** a is given by the integral*

$$w(\gamma, a) = \frac{1}{2\pi i} \int_\gamma \frac{dz}{z-a} \tag{26}$$

This integral is an integer as

<center>

TODO 8: *why*

</center>

As a function of a, $w(\gamma, a)$ is continuous on connected components of the complement of $\gamma(S^1)$ (which we will just write as γ for convenence when confusion is unlikely).

Theorem 7 (Cauchy's Integral Formula). *If $f(z)$ is holomorphic in Ω, $a \in \Omega$ and $\gamma : S^1 \to \Omega$ is a closed curve with $a \notin \gamma$, then*

$$\frac{1}{2\pi i} \int_\gamma \frac{f(z)dz}{z-a} = w(\gamma, a)f(a) \tag{27}$$

<center>

Proof. **TODO 9:** this

</center>

<div align="right">□</div>

In summary, we have that, for f continuous on a domain Ω, the following conditions are equivalent

1. f is holomorphic on Ω

2. $f(z)dz$ is closed

3. $f(z) = \frac{1}{2\pi i} \int_\gamma \frac{f(\zeta)}{\zeta - a} d\zeta$ for z in an open disc with boundary γ.

e.g. if f is continuous and holomorphic except on a line, it is holomorphic.

1.6 Integral Formulas for Taylor Coefficients

1.7 Cauchy's Inequalities

Let's, as we usually do, consider a holomorphic function $f(z)$ in an open set $\Omega \subseteq \mathbb{C}$. Last time, we showed that f has a convergent power series expansion in any open disc in Ω (centered at the center of the disc). For example, around $a = 0 \in \Omega$, we can write

$$f(z) = \sum_{n=0}^{\infty} a_n z^n \tag{28}$$

Writing $z = re^{i\theta}$, we get

$$f(re^{i\theta}) = \sum_{n=0}^{\infty} a_n r^n e^{in\theta} \tag{29}$$

We can write out the following formula for these Fourier coefficients:

$$a_n r^n = \frac{1}{2\pi} \int_0^{2\pi} e^{-in\theta} f(re^{i\theta}) d\theta \tag{30}$$

Today we're going to be looking at the consequences of this formula. First of all, this formula gives a simple but useful upper bound on a_n: if we take the maximum absolute value of f along the circle of radius r, written

$$M(r) = \sup_{\theta} |f(re^{i\theta})| \tag{31}$$

we get

$$|a_n| \leqslant \frac{M(r)}{r^n} \tag{32}$$

These are called **Cauchy's inequalities**. These have some important consequences, like **Liouville's theorem**: a bounded holomorphic function on all of $\mathbb{C}$ is a constant. How does this follow? Well, if c is the upper bound of f on $\mathbb{C}$, we have each

$$\forall r \in \mathbb{R}^+, M(r) \leqslant c \implies |a_n| \leqslant \frac{M(r)}{r^n} \leqslant \frac{c}{r^n} \tag{33}$$

Hence, for $n > 0$, $0 \leqslant a_n \leqslant \epsilon$ for all $\epsilon > 0$, implying $a_n = 0$. It follows that $f = a_0 = c$, a constant. Another consequence is that we can write, for any r

$$f(0) = a_0 = a_0 r^0 = \frac{1}{2\pi} \int_0^{2\pi} f(re^{i\theta}) d\theta \tag{34}$$

This generalizes readily to stating that holomorphic functions satisfy the **Mean Value Property (MVP)**:

$$f(\text{center of disk}) = \text{ mean value on boundary} \tag{35}$$

Another property which we won't prove is the **Maximum Modulus Principle (MMP)**: if f is a continuous complex-valued function on an open $\Omega \subseteq \mathbb{C}$ with the MVP, then it satisfies the MMP, that is, if $|f|$ has a local maximum at a point a of Ω, then f is constant in a neighborhood of a.

We can use this to prove **Schwarz's Lemma**:

Theorem 8 (Schwarz's Lemma). *Suppose $f(z)$ is holomorphic in $|z| < 1$, $f(0) = 0$ and $|f(z)| < 1$. Then*

1. *$|f(z)| \leqslant |z|$ if $|z| < 1$*

2. *If $|f(z_0)| = |z_0|$ at some $z_0 \neq 0$, then $f(z) = \lambda z$ for some $|\lambda| = 1$.*

We recall a sketch of the proof

Proof. (Sketch) By the convergent power series expansion, $g(z)/z$ is holomorphic, and can hence have the maximum modulus principle applied to it. □

So let's spend a little time looking at functions with the MVP in general. Continuous functions with the MVP are precisely the <u>harmonic functions</u>. The real and imaginary parts of a complex valued function with the MVP also satisfy the MVP. A real valued harmonic function g is locally the real part of a holomorphic function, uniquely determined up to addition of a constant:

$$\frac{\partial^2 g}{\partial z \partial \bar{z}} = 0 \implies \frac{\partial g}{\partial z} \text{ holomorphic} \tag{36}$$

Therefore, $\frac{\partial g}{\partial z}$ locally has primitive f, defined up to a constant. Since g is real valued, we can write

$$df = \frac{\partial g}{\partial z}dz, \quad d\bar{f} = \frac{\partial g}{\partial \bar{z}}d\bar{z} \tag{37}$$

Hence,

$$d(f + \bar{f}) = dg \implies g = 2\Re f + \text{ const} \tag{38}$$

So harmonic functions satisfy the MVP and MMP, and conversely, a continuous function in an open set $\Omega \subseteq \mathbb{C}$ satisfying the MVP is harmonic. Just a couple of words as to why this is true, as this is really something that you should review: this comes from the solution to what's called the Dirichlet problem for a disk. What is this problem? It says that, given any continuous function f on the boundary of a disk $|z| < r$, you can extend it to a continous function F on the whole disk which is harmonic on the interior.

1.8 Zeros and Poles

Definition 11 (Zero)**.** *If f is holomorphic in a neighborhood of $z_0 \in \mathbb{C}$ and $f(z_0) = 0$, we can write, for some $k \in \mathbb{N}$,*

$$f(z) = (z - z_0)^k f_1(z) \tag{39}$$

*where $f_1(z)$ is nonvanishing near z_0. In this case k is called the **order** or **multiplicity** of the **zero** z_0*

Zeros of holomorphic functions form a discrete set. We want to study, however, not only holomorphic functions, but also quotients of holomorphic functions

Definition 12 (Meromorphic)**.** *A function f is **meromorphic** on an open $\Omega \subseteq \mathbb{C}$ if it is defined and holomorphic in the complement of a discrete set such that in some neighborhood of every point of Ω we can write $f(z) = g(z)/h(z)$ where g, h are holomorphic and h is not identically zero.*

Why is it interesting to work with meromorphic and not just holomorphic functions? Essentially, it's because meromorphic functions in a domain Ω form a field (whereas holomorphic functions only form a ring). Note that, in this course, when we say "domain", what we mean is a connected open set. If $f(z), g(z)$ are holomorphic near z_0, like before, we can write

$$f(z) = (z - z_0)^k f_1(z), \qquad g(z) = (z - z_0)^\ell g_1(z) \tag{40}$$

where $f_1(z_0), g_1(z_0) \neq 0$. Near z_0, then, the quotient looks like

$$\frac{f(z)}{g(z)} = (z - z_0)^{k-\ell}\frac{f_1(z)}{g_1(z)} \tag{41}$$

So what are the different possibilities? If $k \geqslant \ell$, then this function extends to be holomorphic at z_0. On the other hand, if $k < \ell$, then, of course,

$$\lim_{z \to z_0} \left|\frac{f(z)}{g(z)}\right| = \infty \tag{42}$$

Note: *not* undefined, but ∞. In this case, we say that z_0 is a pole of order $\ell - k$. Holomorphic functions in an annulus $r < |z| < R$ have a convergent Laurent expansion in an annulus

$$\sum_{n=-\infty}^{\infty} a_n z^n = \sum_{n<0} a_n z^n + \sum_{n \geqslant 0} a_n z^n \tag{43}$$

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Note that the LHS converges when $r < |z|$, whereas the RHS converges when $|z| < R$. This actually comes from Cauchy's theorem, just in the case of a convergent power series expansion of a holomorphic function. So this is from Cauchy's integral formula:

$$f(z) = \frac{1}{2\pi i} \int_{\gamma_1} \frac{f(\xi)}{\xi - z} d\xi - \frac{1}{2\pi i} \int_{\gamma_2} \frac{f(\xi)}{\xi - z} d\xi = \sum_{n=-\infty}^{\infty} a_n z^n \tag{44}$$

for z between γ_1, γ_2. So

$$a_n = \frac{1}{2\pi i} \int_{\gamma_?} \frac{f(\xi)}{\xi^{n+1}} d\xi \tag{45}$$

So of course, this should be just like before for the positive part. Note that this is the integral over γ_1 if $n \geqslant 0$ and over γ_2 if $n < 0$.

Previously, we talked about holomorphic functions on the Riemann sphere, noting there were very few of them: namely, constants. Now, there are a few more meromorphic functions on the Riemann sphere, but not much. Specifically,

Theorem 9. *Every meromorphic function f on S^2 is rational.*

Proof. This theorem uses what is probably the only thing in first year calculus you don't prove: the partial fraction decomposition. So we prove it now. Say $f(z)$ has poles $b_1, ..., b_k$ (finite) and maybe ∞. So what can we say about the Laurent expansion at a pole? There's only finitely many negative terms, specifically, the order of the pole.

So these negative parts of the Laurent expansions around each b_j are like polynomials $P_j(\frac{1}{z-b_j})$. We'll call these principal parts. What about the principal part at ∞? It's a polynomial in z, as it's a polynomial in $\frac{1}{z'}$, where z' is the coordinate at ∞, which is $1/z$. Call this $P_\infty(z)$. So we can write

$$f(z) - P_\infty(z) - \sum_{j=1}^{k} P_j\left(\frac{1}{z - b_j}\right) \tag{46}$$

which is holomorphic on S^2, and hence must be a constant a. So we can write

$$f(z) = a + P_\infty(z) + \sum_{j=1}^{k} P_j\left(\frac{1}{z - b_j}\right) \tag{47}$$

And that's rational. $\qquad\square$

Definition 13 (Isolated singularity)**.** *A holomorphic function in a <u>punctured</u> disk $0 < |z| < R$ has an **isolated singularity** at 0 if $f(z)$ cannot be extended to be holomorphic at 0.*

Extension is possible if and only if f is bounded in a neighborhood of 0 in this punctured disk.

TODO 10: January 20

TODO 11: January 23

TODO 12: January 25

TODO 13: January 27

TODO 14: January 30

TODO 15: Feb 1

TODO 16: Feb 4

1.9 Residue Calculus

2 Topology of the Space of Holomorphic Functions

Definition 14. *Let $\Omega \subset \mathbb{C}$ be open. We define $\mathbb{C}(\Omega)$ to be the **ring of continuous, complex-valued functions on** Ω and $\mathcal{H}(\Omega)$ to be the **subring of holomorphic functions on** Ω*

We want to assign a topology to $\mathcal{C}(\Omega)$. We begin by defining some primitive notions:

Definition 15 (Uniform convergence on compact subsets)**.** *We say that a sequence of functions $\{f_n\} \subset \mathcal{C}(\Omega)$ **converges uniformly on compact subsets** if for all compact subsets $K \subset \Omega$, $\{f_n|K\}$ converges uniformly, i.e.*

$$\forall \text{ compact } K \subset \Omega, \forall \epsilon > 0, \exists N \in \mathbb{N}, \forall m, n \geqslant N, \forall z \in K, |f_m(x) - f_n(x)| < \epsilon \tag{48}$$

Uniform convergence on compact subsets can be used to determine a topology on $\mathcal{C}(\Omega)$ as follows:

Definition 16 (The Compact-Open Topology)**.** *We define the **fundamental system of open neighborhoods of 0** as*

$$\forall \text{ compact } K \subset \Omega, \forall \epsilon > 0, V(K, \epsilon) = \{f : \forall z \in K, |f(z)| < \epsilon\} \tag{49}$$

We note that the sequence $\{f_n\}$ converges to f uniformly on compact subsets if and only if

$$\forall K, \epsilon, \exists N \in \mathbb{N}, \forall n \geqslant N, f - f_n \in V(K, \epsilon) \tag{50}$$

*In a similar vein, open neighborhoods of any $f \in \mathbb{C}(\Omega)$ can be defined by translating neighborhoods of 0 by f, determining a basis for a topology in which convergence is equivalent to uniform convergence on compact subsets, i.e. we define the basis of the **compact-open topology** to be*

$$\mathcal{B} = \bigcup_{f, K, \epsilon} \{f + V(K, \epsilon)\} \tag{51}$$

In this topology, $\mathcal{C}(\Omega)$ is metrizable, and in fact, this topology can alternatively be defined by a translation-invariant metric as follows:

Definition 17 (Exhausion)**.** *An infinite sequence of compact subsets $K_1 \subset K_2 \subset ...$ such that $\Omega = \bigcup_i K_i$ is called an **exhaustion of** Ω*

Definition 18 (Translation-invariant metric)**.** *Given an exhaustion K_i of Ω, we define a metric*

$$d(f) = \sum_{i=1}^{\infty} \frac{1}{2^i} \min\{1, M_i(f)\}, \quad M_i(f) = \max_{K_i} f \tag{52}$$

This is a metric as

$$d(f) = 0 \iff f = 0, \quad d(f + g) = d(f) + d(g) \leqslant d(f) + d(g) \tag{53}$$

We have that $\mathcal{C}(\Omega)$ is **complete**, i.e. the limit of a sequence of functions that converges uniformly on compact sets is continuous. We given $\mathcal{H}(\Omega)$ the subspace topology.

Theorem 10 (Weierstrass)**.** *   1. $\mathcal{H}(\Omega)$ is a closed subspace of $\mathcal{C}(\Omega)$, i.e. if $\{f_n\} \subset \mathcal{H}(\Omega)$ converges uniformly to f on compact sets then $f = \lim_{n \to \infty} f_n \in \mathcal{H}(\Omega)$ is holomorphic.*

   2. The mapping $\mathcal{H}(\Omega) \to \mathcal{H}(\Omega)$ $f \mapsto f'$ is continous, i.e. if $\{f_n\} \subset \mathcal{H}(\Omega)$ converges uniformly to f on compact sets then $\{f'_n\}$ converges uniformly to f' on compact sets.

Proof.   1. **TODO 17:** this

2. **TODO 18:** this

□

We now consider an application of this result to series of holomorphic functions:

Corollary 2. *Let $\{f_n\}$ be a series of holomorphic functions. If $\{g_n = \sum_{k=0}^n f_k\}$ converges uniformly on compact subsets of Ω, then the sum*

$$f = \sum f_n \tag{54}$$

is holomorphic on Ω and the series can be differentiated term by term.

Proposition 1. *Let Ω be a domain. If $\{f_n\} \subset \mathcal{H}(\Omega)$ converges uniformly on compact sets and each f_n vanishes nowhere in Ω then $f = \lim_{n\to\infty} f_n$ is either never zero or identically zero.*

Proof. **TODO 19:** this

$\square$

Corollary 3. *Let Ω be a domain. If $\{f_n\} \subset \mathcal{H}(\Omega)$ converges uniformly on compact sets and each f_n is one-to-one, then $\lim_{n\to\infty} f_n$ is either one-to-one or constant.*

Proof. **TODO 20:** this

$\square$

TODO 21: rest

2.1 Series of Meromorphic Functions

Let $\{f_n\}$ denote a sequence of meromorphic functions on an open set $\Omega \subset \mathbb{C}$.

Definition 19. *We say that $\sum_{n=1}^\infty f_n$ **converges uniformly** (respectively **converges uniformly absolutely**) on $X \subset \Omega$ if all but finitely many f_n have no pole in X and form a uniformly convergent (respectively uniformly absolutely convergent) series on X.*

We consider series of meromorphic functions that converge uniformly on compact subsets of Ω. We can define the sum on relatively compact open $U \subset \Omega$ as

$$\sum_{n \leqslant n_0} f_n + \sum_{n > n_0} f_n \tag{55}$$

where f_n is meromorphic for $n \leqslant n_0$ and f_n has no pole on U for $n > n_0$ with the sum uniformly convergent on U.

Theorem 11. *Let $\sum f_n$ be a series of meromorphic functions on Ω. If the series is uniformly convergent on compact subsets of Ω, then the sum is a meromorphic function f on Ω and $\sum f_n'$ converges uniformly on compact sets of Ω to f'.*

We remark that the pole sets obey the inclusion $P(f) \subset \bigcup_n P(f_n)$, with equality if the $P(f_n)$ are pointwise disjoint, in which case if p is a pole of degree k for any f_n then it is a pole of degree k for f.

TODO 22: examples

2.2 The Weierstrass $\wp$-function

Definition 20. *Let $e_1, e_2 \in \mathbb{C}$ be linearly independent over $\mathbb{R}$. We can define a discrete subgroup of $\mathbb{C}$ with **basis** e_1, e_2*

$$\Gamma = \{n_1 e_1 + n_2 e_2 : n_1, n_2 \in \mathbb{Z}\} \tag{56}$$

*We say that f has Γ as **group of periods** if*

$$\forall z \in \mathbb{C}, f(z) = f(z + e_1) = f(z + e_2) \tag{57}$$

Definition 21 (Weierstrass $\wp$-function)**.** *We define the Weierstrass $\wp$-function by the infinite sum*

$$\wp(z) = \frac{1}{z^2} + \sum_{\substack{w \in \Gamma \\ w \neq 0}} \left[\frac{1}{(z-w)^2} - \frac{1}{w^2} \right] \tag{58}$$

We'll see that $\wp$ converges uniformly and absolutely on compact subsets of $\mathbb{C}$.

Lemma 1. $\sum_{\substack{w \in \Gamma \\ w \neq 0}} \frac{1}{|w|^2}$ *converges*

<p align="center">*Proof.* **TODO 23:** this</p>

<p align="right">□</p>

Claim. $\wp$ *converges uniformly absolutely in any disc* $|z| \leqslant r$

<p align="center">*Proof.* **TODO 24:** this</p>

<p align="right">□</p>

Claim.   *1. $\wp$ has a double pole at each $w \in \Gamma$ with prime part $\frac{1}{(z-w)^2}$*

    2. $\wp$ is an even function

    3. $\wp' = -z \sum_{w \in \Gamma}(z-w)^{-2}$ converges absolutely uniformly on compact subsets of $\mathbb{C}$

    4. $\wp'$ is doubly periodic: $\forall w \in \Gamma, \wp'(z+w) = \wp'(z)$

    5. $\wp'$ is odd

    6. $\wp$ itself has Γ as group of periods

<p align="center">*Proof.* **TODO 25:** this</p>

<p align="right">□</p>

In summary, $\wp$ is a meromorphic function with Γ as group of periods, and poles precisely at points of Γ each with prime part $\frac{1}{(z-w)^2}$.

In some neighborhood of 0, we can write, because $\wp$ is even,

$$\wp(z) = \frac{1}{z^2} + a_2 z^2 + a_4 z^4 + \dots \tag{59}$$

It follows that $g(z) = \wp(z) - \frac{1}{z^2}$ vanishes at 0. We can find these coefficients by differentiating term-by term:

$$a_2 = 3 \sum_{w \neq 0} \frac{1}{w^4}, \quad a_4 = 5 \sum_{w \neq 0} \frac{1}{w^6}, \quad \dots \quad a_k = (2k+1) \sum_{w \neq 0} \frac{1}{w^{2(k=1)}} \tag{60}$$

2.3   Differential equations solved by $\wp$

Differentiating $\wp$ term-by-term, we obtain

$$\wp'(z) = -\frac{2}{z^3} + 2a_2 z + 4a_4 z^3 + \dots \tag{61}$$

Squaring both sides, we obtain

$$\wp'(z)^2 = \frac{4}{z^6} + \frac{8a_2}{z^2} - 16a_4 + \dots \tag{62}$$

We note, however, that

$$\wp(z)^3 = \frac{1}{z^6} + \frac{3a_2}{z^2} + 3a_4 + \dots \tag{63}$$

Therefore, we compute that

$$\wp'(z)^2 - 4\wp(z)^3 = -\frac{20a_2}{z_2} - 28a_4 + z^2(\dots) \tag{64}$$

<p align="center">12</p>

Hence,

$$(\wp')^2 - 4\wp^3 + 20a_2\wp + 28a_4 \tag{65}$$

is holomorphic near zero, and zero at zero. Since it has Γ as group of periods, it is holomorphic near each $w \in \Gamma$, and 0 at $w \in \Gamma$. In fact, it is holomorphic in $\mathbb{C}$ as it has no poles outside Γ, and therefore bounded in $\mathbb{C}$ by periodicity. It folows by Liouville's theorem that it is identically zero, and hence that

$$\wp'^2 = 4\wp^3 - 20a_2\wp - 28a_4 \tag{66}$$

Letting $x = \wp(z), y = \wp'(z)$, gives a parametric representation of the algebraic curve

$$y^2 = 4x_3 - 20a_2x - 28a_4 \tag{67}$$

We'll show that any point (x, y) of this curve is the image of a point $z \in \mathbb{C}$ which is uniquely determined up to addition by an element of Γ.

2.4 Doubly Periodic Functions

Assume Γ is the group of periods generated by e_1, e_2, which are linearly independent over $\mathbb{R}$.

Proposition 2. *If f is a non-constant meromorphic function on $\mathbb{C}$ with Γ as group of periods, then the number of zeros of f in a period parallelogram is equal to the number of poles in the same parallelogram*

Proof. **TODO 26:** this

$\square$

Corollary 4. *A holomorphic function in $\mathbb{C}$ with Γ as group of periods is constant.*

Proof. Otherwise, the number of zeros of $f(z) - a$ is equal to the number of poles, which is zero for all a, which is impossible. Note that we've already shown this previously using Liouville's theorem: this is just an alternative proof. $\square$

Proposition 3. *Let f be a nonconstant meromorphic function in $\mathbb{C}$ with Γ as group of periods, and let $a \in \mathbb{C}$. Let α_k be the roots of $f(z) = a$ (counted with multiplicity) and β_k be the poles of $f(z)$ (again counted with multiplicity) contained in a period parallelogram. Then*

$$\sum \alpha_k = \sum \beta_k \tag{68}$$

(in particular, $\sum \alpha_j \mod \Gamma$ is independent of α)

Proof. **TODO 27:** this

$\square$

Theorem 12. *Given a discrete group Γ, the equation $4x^3 - 20a_2x - 28a_4 = 0$ where*

$$a_2 = 3 \sum_{w \neq 0} \frac{1}{w^4}, \quad a_4 = 5 \sum_{w \neq 0} \frac{1}{w^6} \tag{69}$$

has three distinct roots. Moreover, $\forall (x, y) \in \mathbb{C}^2$ on the algebraic curve

$$y^2 = 4x^3 - 20a_2x - 28a_4 \tag{70}$$

there is unique $z \in \mathbb{C}$ modulo Γ such that $x = \wp(z)$, $y = \wp'(z)$.

    Conversely, given equation $y^2 = 4x^3 - 20a_2x - 28a_4$ where the RHS has 3 distinct roots (any nonzero cubic!), there exists Γ such that a_2, a_4 are given as above (and so if $\wp$ is the Weierstrass $\wp$-function associated to Γ, then $x = \wp(z)$, $y = \wp'(z)$ gives a parametrization of the curve as above).

Proof. **TODO 28:** this

$\square$

2.5   Complex Projective Space

Definition 22 (*n-dimensional complex projective space*)**.** *We define*

$$P^n(\mathbb{C}) = \mathbb{C}^{n+1}\backslash\{0\}/\sim \tag{71}$$

where

$$(x_0, ..., x_n) \sim (x_0', ..., x_n') \iff \exists \lambda \in \mathbb{C}, (x_0', ..., x_n') = (\lambda x_0, ..., \lambda x_n) \tag{72}$$

We denote the equivalence class of $(x_0, ..., x_n)$ by $[x_0, ..., x_n]$.

Definition 23 (Homogeneous coordinates)**.** *We define coordinate charts $U_i = \{[x_0, ..., x_n] \in P^n(\mathbb{C}) : x_i \neq 0\}$ with affine coordinates $U_i \to \mathbb{C}^n$,*

$$[x_0, ..., x_n] \mapsto \left(\frac{x_0}{x_i}, ..., \frac{x_{i-1}}{x_i}, \frac{x_{i+1}}{x_i}, ..., \frac{x_n}{x_i}\right) \tag{73}$$

with inverse

$$(g_1, ..., g_n) \mapsto [g_1, ..., g_{i-1}, 1, g_{i+1}, .., g_n] \tag{74}$$

Using these coordinates, we have that $P^n(\mathbb{C})$ has the structure of an n-dimensional complex manifold, as the transition mappings are rational. Let's take one of the charts here, say U_0, to be $\mathbb{C}^n$. So

$$P^n(\mathbb{C}) = U_0 \cup \text{ everything else} \tag{75}$$

But what's everything else? So U_0 is all the points where $x_0 \neq 0$, so everything else is the set of points

$$\{x_0 = 0\} = \{[0, x_1, ..., x_n]\} \simeq P^{n-1}(\mathbb{C}) \implies P^n(C) = U_0 \cup P^{n-1}(\mathbb{C}) \tag{76}$$

We call this copy of $P^{n-1}(\mathbb{C}) \simeq \{x_0 = 0\}$ the **hyperplane at infinity**. This is like a generation of the Riemann sphere which we saw before, which we saw was given by $S^2 = P^1(\mathbb{C})$. So when we talk about $P^2(\mathbb{C})$, that's like having 2-complex coordinates with a line at infinity. Specifically, we can write it as

$$P^2(\mathbb{C}) = \{[x, y, t]\} = \mathbb{C}^2_{(x,y)} \cup \{t = 0\} \tag{77}$$

the **projective line at infinity**. Now assume we have a curve $X \subset \mathbb{C}^2$ generated by the equation

$$y^2 = 4x^3 - 20a_2 x - 28a_4 \tag{78}$$

where the RHS has three distinct roots. We want to compute the **compactification of X in $P^2(\mathbb{C})$**. We can write this down in homogeneous coordinates

$$y^2 t = 4x^3 - 20a^2 x t^2 - 28a_4 t^3 \tag{79}$$

taking X' to be the solution set of this. Why is this the right thing? When you look at $P^2(\mathbb{C})$, and look in here at the set of points

$$\{[x, y, t] : t \neq 0\} \simeq \mathbb{C}^2_{(x,y)} \tag{80}$$

we see that it is has homomorphism

$$[x, y, t] \mapsto \left(\frac{x}{t}, \frac{y}{t}\right) \tag{81}$$

Hence, we rewrite our eqaution in our new coordinates for $\mathbb{C}^2$,

$$\frac{y^2}{t^2} = 4\frac{x^3}{t^3} - 20a_2\frac{x}{t} - 28a_4 \tag{82}$$

Now we can just multiply both sides by t^3. So if you haven't seen this before, this takes a little bit of familiarity, but the actual operations involved are very simple operations. Of course, our *original X* is a

subspace of X'. But how much have we added to X? Well, if we set $t = 0$, we get $x = 0$. So, how many points are we adding? One point, at ∞:

$$X' = X \cup \{[0, 1, 0]\} \tag{83}$$

Now, in the neighborhood of any finite point, X' just looks like X. What about in a neighborhood of the point at ∞, $[0, 1, 0]$? What does it look like? So this point $[0, 1, 0]$ doesn't actually lie in the coordinate chart $U_0 = \{x \neq 0\}$, it lies in $U_1 = \{y \neq 0\}$. This chart has affine coordinates given by $(x', t') = (x/y, t/y)$. So what's the equation of X' in *this* coordinate chart? It's

$$t' = 4x'^3 - 20a_2 x' t'^2 - 28a_4 t'^3 \tag{84}$$

In some neighborhood of $(x', t') = (0, 0)$ (the point at infinity), the implicit function theorem tells us that t' is a holomorphic function of x':

$$t' = 4x'^3 - 320a_2 x'^7 + ... \tag{85}$$

As an exercise, we can take the Taylor series

$$t' = b_0 + b_1 x + b_2 x^2 + ... \tag{86}$$

plug it into the equation and solve successively for the coefficients. If you haven't done that before, do the exercise.

This tells us, in general, though, that t' is a function of x'. So in a neighborhood of the point at infinity, X' looks like the graph of this function. Therefore, in the chart where $y \neq 0$, X' consists of the points given by the formula

$$[x', 1, t' = 4x'^3 - 320a_2 x'^7 + ...] \tag{87}$$

Let's now consider a map $\varphi' = \varphi$ on X, $\infty \mapsto \infty$ in the Riemann sphere. φ sends the above point to $\frac{x'}{t'} \in \mathbb{C}$ or $\frac{t'}{x'}$ in coordinates at ∞, i.e. to $[x', t'] \in \mathrm{P}^1(\mathbb{C}) = S^2$. So this is a well-defined holomorphic function.

Now suppose

$$a_2 = 3 \sum_{\substack{w \in \Gamma \\ w \neq 0}} \frac{1}{w^4}, \qquad a_4 = 5 \sum_{\substack{w \in \Gamma \\ w \neq 0}} frac1w^6 \tag{88}$$

and let's look at the meromorphic mapping $(x, y) = (\wp(z), \wp'(z))$, i.e.

$$z \mapsto [\wp(z), \wp'(z), 1] \tag{89}$$

We claim that this defines a homeomorphism from $\mathbb{C}/\Gamma$ to X'.

If $\wp'(z) \neq 0$, this is the same thing in homogeneous coordinates as

$$\left[\frac{\wp(z)}{\wp'(z)}, 1, \frac{1}{\wp'(z)} \right] \tag{90}$$

Now, what does this look like? $\wp$ begins with a/z^2, whereas $\wp'$ begins with b/z^3, so $\frac{\wp}{\wp'}$ begins with cz, whereas $\frac{1}{\wp'}$ begins with dz^3. On the other hand, $0 \mapsto \infty$, and in fact $\Gamma \mapsto \infty$.

TODO 29: February 7

TODO 30: February 10

TODO 31: February 12

TODO 32: February 14

TODO 33: February 24

TODO 34: February 26

TODO 35: February 28

2.6   Remark on Holomorphic Functions of Several Variables

2.7   Theorem of Mittey-Leffer (1877)

2.8   Infinite Products of Holomorphic Functions

3   Normal Families

Recall that we proved that $\mathcal{C}(\Omega)$ is metrizable and has $\mathcal{H}(\Omega)$ as a closed subspace with the induced metric.

Definition 24. *A metric space is **compact** if and only if every infinite sequence has an (infinite) convergent subsequence. Note that this is equivalent to topological compactness, i.e. every open cover has a finite subcover.*

Definition 25. *We say that $\mathcal{S} \subset \mathcal{C}(\Omega)$ is a **normal family** if every sequence in $\mathcal{S}$ has a subsequence that convergs uniformly on compact subsets of Ω (i.e. converges in $\mathcal{C}(\Omega)$). Thus, $\mathcal{S}$ is compact if and only if it is normal and the limit functions themselves are in $\mathcal{S}$.*

As an exercise, we can show that if $\mathcal{S}$ is normal, $\overline{\mathcal{S}}$ is.

Lemma 2. *$\mathcal{S}$ is normal if and only if $\overline{\mathcal{S}}$ is compact.*

Lemma 3. *If $\Omega = \bigcup_i E_i$ is a union of closed disks, then $\mathcal{S} \subset \mathcal{C}(\Omega)$ is normal if and only if, for every i, every sequence in $\mathcal{S}$ converges a sequence that converges uniformly on E_i.*

<div align="center">

Proof. **TODO 36:** this

</div>

□

<div align="center">

TODO 37: this

</div>

Definition 26. *Let $X \subset \mathbb{C}$ and $\mathcal{S} \subset \mathcal{C}(X)$. We say that $\mathcal{S}$ is **equicontinuous** at $a \in X$ if*

$$\forall \epsilon > 0, \exists \delta > 0, \forall z \in X, |z - a| < \delta \implies \forall f \in \mathcal{S}, |f(z) - f(a)| < \epsilon \tag{91}$$

*$\mathcal{S}$ is **equicontinuous on** X if it is equicontinous at each $a \in X$. It is **uniformly equicontinuous on** X if*

$$\forall \epsilon > 0, \exists \delta > 0, \forall z, w \in X, |z - w| < \delta \implies \forall f \in \mathcal{S}, |f(z) - f(w)| < \epsilon \tag{92}$$

A family of functions that this equicontinuous on compact sets can be shown to be uniformly equicontinuous.

Theorem 13 (Arzela-Ascoli). *Let $\Omega \subset \mathbb{C}$ be a **domain**. Then $\mathcal{S} \subset \mathcal{C}(\Omega)$ is normal if and only if*

1. $\mathcal{S}$ is equicontinuous on Ω

2. There exists $z_0 \in \Omega$ such that $\{f(z_0) : f \in \mathcal{S}$ is a bounded subset of $\mathbb{C}$

For families of holomorphic functions, Arzela-Ascoli and the Cauchy inequalities give a criterion for normality:

Definition 27. *$\mathcal{S} \subset \mathcal{C}(\Omega)$ is **locally bounded** on Ω if*

$$\forall z_0 \in \Omega, \exists \delta > 0, M < \infty, \forall z \in \Omega, f \in \mathcal{S}, |z - z_0| < \delta \implies |f(z)| \leqslant M \tag{93}$$

*This is true if and only if $\mathcal{S}$ is **uniformly bounded on compact subsets of** Ω, i.e. for all $K \subset \Omega$ compact,*

$$\exists M = M(K), \forall z \in K, \forall f \in \mathcal{S}, |f(z)| \leqslant M \tag{94}$$

Theorem 14 (Montel). *Let $\mathcal{S} \subset \mathcal{H}(\Omega)$ where $\Omega \subset \mathbb{C}$ is a domain. Then the following are equivalent:*

1. $\mathcal{S}$ is normal

2. $\mathcal{S}$ is locally bounded

3. $\mathcal{S}' = \{f' : f \in \mathcal{S}\}$ is locally bounded and there exists $z_0 \in \Omega$ such that $\{f(z_0) : f \in \mathcal{S}\}$ is bounded in $\mathbb{C}$.

<div align="center">Proof. <strong style="color:red">TODO 38: this</div>

<div align="right">□</div>

Proof of Arzela-Ascoli:

<div align="center">Proof. <strong style="color:red">TODO 39: this</div>

<div align="right">□</div>

The Arzela-Ascoili theorem holds for families of continuous functions with values in a complete metric space, e.g. continuos functions with values in the Riemann sphere S^2 (or the extended complex plane $\mathbb{C}^* = \mathbb{C} \cup \{\infty\}$) with the (induced) **chordal metric**

$$d(z, w) = \frac{2|z - w|}{\sqrt{(1 + |z|)^2(1 + |w|)^2}} \tag{95}$$

(we note that the topology induced by $\mathbb{C}$ by the chordal metric is the usual Euclidean topology).

Definition 28 (Normal in the chordal metric). *A family $\mathcal{S}$ of continuous functions on Ω is **normal in the chordal metric** if and only if it is equicontinuous in the chordal metric: condition (2) of the Arzela-Ascoli theorem is not needed because $\mathbb{C}^*$ or S^2 is compact in this topology.*

We can use this definition to analyze, e.g., a family $\mathcal{S}$ of meromorphic functions on $\Omega \subset \mathbb{C}$ (or $\Omega \subset S^2$), since these can be considered holomorphic functions with values in S^2.

Lemma 4. *Let $\{f_n\}$ be a sequence of meromorphic functions which converges uniformly on compact subsets of the domain $\Omega \subset \mathbb{C}$ (on S^2, in the chordal metric). Then the limit function is either meromorphic or identically ∞.*

<div align="center">Proof. <strong style="color:red">TODO 40: this</div>

<div align="right">□</div>

Definition 29 (Spherical derivative). *If f is meromorphic on a domain $\Omega \subset \mathbb{C}$ (or S^2), we define the **spherical derivative of** f at $z \in \Omega$ by*

$$f^\sharp(z) = \lim_{w \to z} \frac{d(f(z), f(w))}{|z - w|} \tag{96}$$

If z is not a pole, we have

$$f^\sharp(z) = \lim_{w \to z} \frac{2|f(z) - f(w)|}{|z - w|\sqrt{(1 + |f(z)|^2)(1 + |f(w)|^2)}} = \frac{2|f'(z)|}{1 + |f(z)|^2} \tag{97}$$

We have that

$$\left(\frac{1}{f}\right)^\sharp = f^\sharp \tag{98}$$

implying that $f^\sharp(z)$ is finite and continuous at all $z \in \Omega$, and greater than zero at z if and only if f is one-to-one near z.

Theorem 15 (Marty's Theorem). *Let $\mathcal{S}$ be a family of meromorphic functions on a domain Ω. Then $\mathcal{S}$ is normal in the chordal metric if and only if*

$$\mathcal{S}^\sharp = \{f^\sharp : f \in \mathcal{S}\} \tag{99}$$

is bounded.

<div align="center">Proof. <strong style="color:red">TODO 41: this</div>

<div align="right">□</div>

3.1 The Conformal Mapping Problem

Let f be a holomorphism, and assume $f'(z_0) = 0$. Then f^{-1} exists in a neighborhood of $f(z)$, and f is **conformal** at z_0 (preserves angles and their orientations). A nonconstant holomorphic mapping $f : \Omega \to \mathbb{C}$ is **open**, if it is one to one, then f is a homeomorphism onto its image $f(\Omega)$, and f^{-1} is a holomorphism.

Definition 30. *A **conformal** or **biholomorphic** mapping $f : \Omega \to \Omega'$ is a holomorphic mapping with a holomorphic inverse.*

We are now faced with the **conformal mapping problem**:

- Given domains $\Omega, \Omega' \subset \mathbb{C}$, are they biholomorphic?

- If so, can we find all biholomorphisms?

We note that, for $f, g : \Omega \to \Omega'$, f, g are biholomorphisms if and only if $g^{-1} \circ f \in \operatorname{Aut} \Omega$, the group of biholomorphisms of Ω with itself. Furthermore, f induces a conjugation map

$$\operatorname{Aut} \Omega \to \operatorname{Aut} \Omega', \quad S \mapsto f \circ S \circ f^{-1} \tag{100}$$

Now let's consider some examples, starting with the complex plane itself. We have that

$$\operatorname{Aut} \mathbb{C} = \{\text{linear transformations } w = az + b, \quad a \neq 0\} \tag{101}$$

Suppose $w = f(z) \in \operatorname{Aut} \mathbb{C}$. At ∞, f has either an essential signularity or a pole. But we can show we don't have an essential singularity. On the other hand, what about when f is a polynomial, say of degree n, then that must mean it is not one-to-one, because $f(z) = w$ has n distinct roots for almost every value of w, except at roots of the derivative $w = f(z), f'(z) = 0$. So $n = 1$.

What about the Riemann sphere, $\operatorname{Aut} S^2$. What should this group of biholomorphisms look like? Wec consider fractional linear transformations

$$w = \frac{az + b}{cz + d}, \quad ad - bc \neq 0 \tag{102}$$

These coefficient, of course, are not uniquely determined, being only determined up to a constant. The inverse of a fractional linear transformation is that given by the inverse matrix, namely

$$\frac{dz - b}{-cz + a} \tag{103}$$

(uniquely determined up to a constant, so we don't have to write the $\frac{1}{ad-bc}$).

Lemma 5. *Suppose G is a subgroup of $\operatorname{Aut} \Omega$ such that G is transitive on Ω and for some z_0 the subgroup of automorphisms which fix this point lies inside of G. Then $G = \operatorname{Aut} \Omega$.*

Proof. Let $S \in \operatorname{Aut} \Omega$ be arbitrary. We have to show that $S \in G$. To do so, we note that since G acts transitively, we can take $T \in G$ such that $T(z_0) = S(z_0)$. There exists such a T because it acts transitively. Then of course we can write

$$S = T \circ (T^{-1} \circ S), \quad (T^{-1} \circ S)(z_0) = z_0 \implies T^{-1} \circ S \in G \implies S \in G \tag{104}$$

being the composition of elements of G. $\qquad\square$

So that's what we've shown here: the subgroup of automorphisms fixing ∞ lies in this subgroup of fractional linear transformations, and the subgroup is transitive, and hence it composes the entire automorphism group $\operatorname{Aut} S^2$.

Time for another example: the unit disc D. So what would $\operatorname{Aut} D$ look like? What would we like to show here? I guess we'd like to show that they're all fractional linear transformations, but which ones? This is sort of like what Schwarz's lemma tells you: if you have an automorphism of the disk that fixes just zero, then it should be a rotation. So in general, it's like a rotation times a factor

$$w = e^{i\theta} \frac{z - z_0}{1 - \bar{z}_0 z} \tag{105}$$

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So how do you check that this actually is an automorphism of D? First of all, we should check that the boundary goes to the boundary. We can check this by just checking three points that are particularly nice, say $1, i, -i$. Once we know this, we just need to check that the inside goes to the inside, since being an automorphism of $S^2 \to S^2$, it either takes the inside to the inside biholomorphically or takes the inside to the outside. So if we add the condition $|z_0| < 1$, we get that they take the inside to the inside.

Now how do we show that these compose *all* automorphisms. It's not actually going to be by the previous lemma, rather, we will use Schwarz's lemma. Suppose $T \in \operatorname{Aut} D$, and consider

$$S(z) = e^{i\theta} \frac{z - z_0}{1 - \bar{z_0}z}, \qquad \text{where } z_0 = T(0), \quad \theta = \arg T'(z_0) \tag{106}$$

We want to show that $T = S$, by Schwarz's lemma. So what should we apply the lemma to? $f = S \circ T^{-1}$ is an obvious choice (we could also try $T \circ S^{-1}$, etc...). So what do we know? We have that:

- $f(0) = 0$

- $f(D) = D \implies [|z| < 1 \implies |f(z)| < 1]$

So by Schwarz's lemma $|f(z)| \leqslant z$ for all $z \in D$. We can also apply Scharz's lemma to f^{-1} and we get $|z| \leqslant |f(z)|$, which says that in modulus

$$|f(z)| = |z| \tag{107}$$

which, again by Schwarz's lemma, says f is a rotation $e^{i\alpha}z$. Now, we're basically done here, as choosing $z_0 = 0$, this lies in the subgroup G and hence $S \in G$. But we want to go further and show $\alpha = 0$ implying $S = T$. To do so, we merely note that

$$S'(z_0) = T'(z_0) \implies \alpha = 0 \tag{108}$$

So this is in fact what we really need for the Riemann mapping theorem. Let's finish this up: what's the other nice domain we should look at? The upper half plane!

So what's $\operatorname{Aut} \mathbb{H}^+$? So first of all, the upper half-plane is actually biholomorphic to the unit disc D, by, for example, the mapping

$$\frac{z - i}{z + i} \tag{109}$$

To see this, we note that the boundary goes to the boundary, by checking the three points $0, 1, \infty$ (which go to $-1, -i, 1$ respectively). Of course the upper half-plane goes to the interior of the disc since $i \mapsto 0$. Hence, the automorphism groups of D and $\mathbb{H}^+$ are the same, as we can transport elements between the two by composing with a biholomorphism as above. Geometrically, however, this is not going to tell us the form of these holomorphisms. So what should $\operatorname{Aut} \mathbb{H}^+$ look like in terms of holomorphisms of the Riemann sphere? Well, it should be the one with real coefficients, as it should be the subgroup of $\operatorname{Aut} S^2$ taking $\mathbb{H}^+$ to itself and hence the real line to itself. So I claim the best form is

$$w = \frac{az + b}{cz + d}, \quad a, b, c, d \in \mathbb{R} \tag{110}$$

Since these are only determined up to a constant, for convenience we can say $ad - bc = \pm 1$. This is the subgroup of $\operatorname{Aut} S^2$ taking the real axis $\mathbb{R}$ to itself. But if it takes the upper half plane to itself, that says that

$$\Im\left(\frac{ai + b}{ci + d}\right) = \frac{ad - bc}{c^2 + d^2} > 0 \iff ad - bc > 0 \iff ad > bc \tag{111}$$

So we have a subgroup G of fractional linear transformations in the form above satisfying the given conditions, i.e. with

$$w = \frac{az + b}{cz + d}, \quad ad - bc = 1, a, b, c, d \in \mathbb{R} \tag{112}$$

So we want to see that $\operatorname{Aut} \mathbb{H}^+ = G$. This time, we'll use the Lemma: G is a subgroup of $\operatorname{Aut} \mathbb{H}^+$, it's transitive on $\operatorname{Aut} \mathbb{H}^+$, as we can show that it can take i to any given element of $\mathbb{H}^+$, since

$$i \mapsto ai + b, b > 0, ...TODO \tag{113}$$

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So we have to show that the subgroup of $\operatorname{Aut}\mathbb{H}^+$ which fixes some point lies inside of this. So which point do we want to use? i. How do we show this?

G is the subgroup of all fractional linear transformations which take the upper half-plane to itself. So all we have to do is show that this subgroup consists of fractional linear transformations. Why does it consist of fractional linear transformations? It's enough to show that the subgroup of $\operatorname{Aut}\mathbb{H}^+$ which fixes i consists of fractional linear transformations. That's because this subgroup of $\operatorname{Aut}\mathbb{H}^+$ which fixes i is just obtained from the group of automorphisms of D which fix zero by conjugation with $\frac{z-i}{z+i}$. Anthing in here is a composite of three fractional linear transformations, and so is a fractional linear transformation.

3.2 The Riemann Mapping Theorem

So this is meant to be an exercise which should essentially be recalling things. We now attempt to prove the Riemann mapping theorem:

Theorem 16 (Riemann mapping theorem). *Any simply connected open $\Omega \subset \mathbb{C}$ except $\mathbb{C}$ itself has a biholomorphic mapping onto the open unit disc D*

We will begin by proving a series of lemmas.

Lemma 6. *There is a biholomorphism of Ω onto a bounded open subset of $\mathbb{C}$*

Proof. Let $a \notin \Omega$ be a point, which exists as $\Omega \neq \mathbb{C}$. Then $\frac{1}{z-a}$ is a nonvanishing holomorphic function on the simply connected open set Ω, and so it has a primitive, some holomorphic function $g(z)$.

Now, a primitive of $\frac{1}{z-a}$ is like a branch of $\log(z-a)$, which means that

$$z - a = e^{g(z)} \tag{114}$$

One thing this tells us right away is that $g(z)$ is one to one, because $z-a$ is one to one and if the composition of a function with something else is one to one, then that function must be one to one.

Take a point $z_0 \in \Omega$. Since Ω is open and g is one to one, implying it is nonconstant, there is an open disc centered at $g(z_0)$ inside $g(\Omega)$. Now, I claim that if you look at this disc translated by $2\pi i$ it's outside of $g(\Omega)$, i.e. $E + 2\pi i \cap g(\Omega) = \varnothing$. Intuitively, this is the case because it's on a different branch of the log function. A cleaner way of saying this is that this is because $\exp \circ g$ is one-to-one, but $\exp$ will take two translated points to the same point, yielding a contradiction. So then, how do we get a biholomorphism of Ω onto a bounded open subset of $\mathbb{C}$? Well, we have that

$$h(z) = \frac{1}{g(z) - (g(z_0) + 2\pi i)} \tag{115}$$

is one to one and bounded on Ω. As g and h are biholomorphisms, there is a biholomorphism $h \circ g$ from Ω onto a bounded open set. $\qquad\square$

So as we have a biholomorphsm from Ω to a bounded open subset of $\mathbb{C}$, we can assume $0 \in \Omega \subset D$ by simply making a translation and scaling appropriately. We're interested in defining a convenient normal family now, but it's going to come about in a very natural way. Let's look at the set of functions that are holomorphic in Ω and are biholomorphisms into D taking the origin to itself, i.e. let

$$\mathcal{A} = \{f \in \mathcal{H}(\Omega) : f \text{ is one to one}, f(0) = 0, |f(z)| < 1\} \tag{116}$$

We're going to find the element of this set with the largest possible derivative at the origin, which is going to force the image to be as large as possible. We'll show that that means it must be all of D. We first show that the maximum derivative at zero is actually taken on

Lemma 7. $\sup_{f \in \mathcal{A}} |f'(0)|$ *is attained.*

Proof. We note that the function from $\mathcal{H}(\Omega) \to \mathbb{C}$ taking f to $|f'(0)|$ is continuous. Hence, taking

$$\mathcal{B} = \{f \in \mathcal{A} : |f'(0)| \geqslant 1\} \supseteq \{\mathrm{id}\} \neq \varnothing \tag{117}$$

it is enough to show that $\mathcal{B}$ is compact. $\mathcal{B}$ is a normal family, i.e. locally bounded, as it is bounded uniformly on all of Ω. That means the only thing we really have to show is that $\mathcal{B}$ is closed, i.e.,

$$f \in \mathcal{H}(\Omega), \exists f_n \in \mathcal{B}, f = \lim_{n \to \infty} f_n \implies f \in \mathcal{B} \tag{118}$$

We trivially have that

$$f(0) = \lim_{n \to \infty} f_n(0) = \lim_{n \to \infty} 0 = 0 \tag{119}$$

$$|f'(0)| = \lim_{n \to \infty} |f'_n(0)| \geqslant 1 \text{ since } [1, \infty) \text{ is closed} \tag{120}$$

So f is one to one because it's not constant. Then the only other thing to prove is $|f(z)| < 1$. So what about that? We know that $|f(z)| \leqslant 1$ since $(-\infty, 1]$ is closed, but $f(z) \neq 1$ at every point $z \in \Omega$ by the maximum modulus principle (as if it was this would imply f was constant, contradicting both the fact that it is one-to-one and that $f(0) = 0$). $\qquad \square$

We prove the second part of the above statement, completing the theorem

Lemma 8. *Let* $g \in \mathcal{A}$. *Then* $g(\Omega) = D$ *if and only if*

$$|g'(0)| = \sup_{f \in \mathcal{A}} |f'(0)| \tag{121}$$

Proof. • "Only if": suppose $g \in \mathcal{A}$, $g(\Omega) = D$. Let $f \in \mathcal{A}$, and let $h = f \circ g^{-1} : D \to f(\Omega) \subset D$, $h(0) = 0$. Then $|h'(0)| \leqslant 1$ by Schwarz's lemma. $f = h \circ g$, and $|f'(0)| \leqslant |g'(0)|$.

• "if": suppose $f \in \mathcal{A}$, $a \in D \backslash f(\Omega)$. To find $g \in \mathcal{A}$, $|g'(0)| > |f'(0)|$, let

$$\varphi(z) = \frac{z - a}{1 - \bar{a}z} \implies (\varphi \circ f)(z) = \frac{f(z) - a}{1 - \bar{a}f(z)} \tag{122}$$

is non-vanishing on Ω. Since Ω is simply connected, $\varphi \circ f$ has holomorphic square root $F(z)$. We let, where $\theta(w) = w^2$,

$$f = \varphi^{-1} \circ \theta \circ F = \varphi^{-1} \circ \theta \circ \psi^{-1} \circ \psi \circ F, \quad \psi(\eta) = \frac{\eta - F(0)}{1 - \overline{F(0)}\eta} \tag{123}$$

We define $g = \psi \circ F$, $h = \varphi^{-1} \circ \theta \circ \Psi^{-1}$. We have $g \in \mathcal{A}$, and $h : D \to D$, $h(0) = 0$ and $|h'(0)| < 1$ by Schwarz's lemma, because h is not one to one and hence is not a rotation.

$\qquad \square$

TODO 42: March 6 notes

We're interested in a biholomorphism $w = f(z)$ from a polygonal region enclosed by $z_1, ..., z_n$, with $w_k = f(z_k)$ to the unit disc, where

• $0 < \alpha_k < 2$

• $-1 < \beta_k < 1, \sum \beta_k = 2$

• $\alpha_k + \beta_k = 1$,

• The intersection of the line between z_{k-1} and z_k and the line between z_k and z_{k+1} has angle $\alpha_k \pi$ inside the polygon and $\beta_k \pi$ outside the polygon

We want to find a formula for the inverse function $z = F(w)$. The statement of the theorem (though last time we wrote it as an integral) is that, for some constant c,

$$F'(w) = c \prod (w - w_k)^{\beta_k} \tag{124}$$

We have that $\zeta = (z - z_k)^{1/\alpha_k}$ is invertible and maps the "angle" α_k to the half-disc. Writing

$$w = f(z_k + \zeta^{\alpha_k}) = g(\zeta), \zeta = (w - w_k)g(w) \implies F(w) = z_k + (w - w_k)_k^\alpha G_k(w) \tag{125}$$

$$\implies F'(w) = (w - w_k)^{\alpha_k - 1} G_k(w) \tag{126}$$

So

$$F'(w)(w - w_k)^{\beta_k} \tag{127}$$

is holomorphic and nonzero near w_h. So

$$H(w) = F'(w) \prod (w - w_k)_k^\beta \tag{128}$$

is holomorphic and nonzero in a neighborhood of the closed unit disk. To show that $H(w)$ is constant, it is enough to show that $\arg H(w) = \Im \log H(w)$ is constant on S^1 (this is well defined as zero is not included so there is a branch of log). This works because H is a harmonic function, and therefore we can use the Mean Value Property and the Maximum Modulus Principle.

So we just have to compute the argument. Let's look at what happens at a point $e^{i\theta}$ on the arc between w_{k-1} and w_k. We compute

$$\frac{d}{d\theta} F(e^{i\theta}) = F'(e^{i\theta})ie^{i\theta} \tag{129}$$

We have that, since $F(e^{i\theta})$ is a parametrization of a straight line,

$$\arg \frac{d}{d\theta} F(e^{i\theta}) = 0 \implies \arg F'(e^{i\theta}) = const - (\theta + \pi/2) \tag{130}$$

We have that

$$\arg(e^{i\theta} - w_k) = \theta/2 + const \implies \arg F'(e^{i\theta}) \prod (e^{i\theta} - w_k)^{\beta_k} = const - \theta + \left(\sum \beta_k\right) \frac{\theta}{2} = const \tag{131}$$

This shows $\arg H(w)$ is constant on the open arc from w_k to w_{k+1} for all k, but it's continuous because $\log H(w)$ is well-defined. Therefore, H is constant on S^1, completing the proof.

As a special case for this, I wanted to look at the integral formula for a mapping onto a rectangle, because we can use the reflection principle in this case to extend this map to one on the entire constant plane, giving us a doubly periodic (elliptic) function.

I don't want to spend that time going over it, because I'm concerned about how much actual class time we're going to have left this term, so one of the thing I definitely want to go finish is some of the applications to prove the big Picard theorem, leaving one important topic in the course, namely Riemann surfaces. But go read about it in Ahlfors.

3.3   Theorems of Montel and Picard

Picard's big theorem says that in the neighborhood of an essential singularity a holomorphic function omits at most one complex value. That is,

Theorem 17 (Picard's Big Theorem). *If z_0 is an isolated essential singularity of a holomorphic function $f(z)$, then f takes every complex value with one possible exception in any neighborhood Ω of z_0, i.e. $\#(\mathbb{C} \backslash f(\Omega)) \leqslant 1$.*

So, is this *really* the best possible statement of this kind: that is, is it really possible that a complex function can omit one possible value? Yes: for example, $e^{1/z} \neq 0$, so it omits value 0 even though it has an essential singularity at the origin.

So, there's also Picard's Little Theorem:

Theorem 18 (Picard's Little Theorem). *A non-constant entire function f omits at most a point, i.e. $\#(\mathbb{C} \backslash f(\mathbb{C})) \leqslant 1$*

Proof. So why does Picard's Little Theorem follow from Picard's Big Theorem (of course, Picard proved the little theorem first)? Well, either ∞ is a pole or it is an essential singularity.

- If ∞ is a pole, by the fundamental theorem of algebra we have that f is a polynomial (since there are no poles at any finite points). So in this case, it does take *every* value.

- If ∞ is an essential singularity, then we can apply Picard's Big Theorem to a neighborhood of ∞.

$\square$

So, we're going to prove Picard's big theorem using the theory of normal families, and in fact we're going to deduce it as well as a closely related, very strong theorem by Montel, from a strange lemma. This is going to be a necessary and sufficient condition for normality, but we'll express it as a necessary and sufficient condition for *failure* of normality:

Lemma 9 (Zalcman's Lemma)**.** *Let $\mathcal{S}$ be a family of meromorphic functions on a domain Ω. f is <u>not</u> normal in the chordal metric if and only if there is a convergent sequence $\{a_n\} \to a_\infty \in \Omega$, a convergent sequence of positive numbers $\{\rho_n\} \to 0$ and a sequence of functions $\{f_n\} \subset \mathcal{S}$ such that the sequence*

$$g_n(z) = f_n(a_n + \rho_n z) \tag{132}$$

converges uniformly to g in the chordal metric on compact subsets of $\mathbb{C}$ where g is nonconstant and meromorphic on all of $\mathbb{C}$. Moreover, in the case where $\mathcal{S}$ is not normal, then we can choose the data above such that

$$\forall z \in \mathbb{C}, g^\sharp(z) \leqslant g^\sharp(0) = 1 \tag{133}$$

So, what's strange about this lemma? Of course, it's strange because normality is about the existence of convergent sequences: non-normal should be that the stuff doesn't converge, and yet here we're saying that we can give a criterion for non-normality in terms of a convergent sequence. So, why should that be? I mean, like, what about the case where $\mathcal{S}$ *were* normal and we did this kind of construction: why would we get something worse? Or *would* we get something worse? That's sort of a quandry, and the point is in the case that $\mathcal{S}$ is normal, i.e. every sequence in $\mathcal{S}$ contains a convergent subsequence, it's not that we get something worse, it's that we get something better: we can still perform the above construction, but g would be constant!

So, what's an example? Consider $\mathcal{S} = \{f_n(z) = z^n\}$. Of course, this is uniformly convergent on compact subsets of the unit disc, and actually, is also uniformly convergent in the chordal metric on compact subsets of the complement of the closed disc. But, it's not uniformly convergent on compact subsets of a *bigger* open disc, e.g. $|z| < 2$. The issue is that it's not uniformly continuous on the unit circle, as the limit would not be continuous there. So let's look at this situation, and take $a_n = 1$, $\rho_n = \frac{1}{n}$. That means that

$$g(z) = \lim_{n \to \infty} g_n(z) = \lim_{n \to \infty} \left(1 + \frac{z}{n}\right)^n = e^z \tag{134}$$

which is obviously nonconstant and entire. We know this from a proof from first year calculus, coming from the fact that

$$n \log(1 + z/n) \to z \iff \frac{\log(1 + z/n)}{z/n} \to 1 \tag{135}$$

So, how do you compute the spherical derivative of g? So, it's just from the formula,

$$g^\sharp(z) = \frac{2|g'(z)|}{1 + |g(z)|^2} = \frac{2|e^z|}{1 + |e^z|^2} \implies g^\sharp(0) = \frac{2}{2} = 1, \quad g^\sharp(z) \leqslant 1 \iff \forall t \in \mathbb{R}^+, 1 + t^2 \leqslant 2t \tag{136}$$

So that's sort of a phenomenon. Now let's prove it:

Proof. Suppose $\mathcal{S}$ is normal. Then, by definition, any sequence $\{f_n\} \subset \mathcal{S}$ has a convergent subsequence: let's relabel $\{f_n\}$ to denote this subsequence, in other words, we can assume that $\{f_n\} \to f$. Let's look at any choice of data $\{a_n\} \to a_\infty \in \omega, \{\rho_n\} \to 0$ like in the theorem. We have

$$g_n(z) = f_n(a_n + \rho_n z) \tag{137}$$

By the Arzelà–Ascoli theorem, namely that normality is equivalent to equicontinuity, we have that the sequence $\{f_n\}$ is equicontinuous, and in fact, if we want to restrict our attention to a relatively compact

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neighborhood of a_∞, it will tell us that $\{f_n\}$ is uniformly equicontinuous in a neighborhood of a_∞. That means that

$$g(z) = \lim_{n\to\infty} g_n(z) = \lim_{n\to\infty} f_n(a_n + \rho_n z) = f(a_\infty) \tag{138}$$

i.e. g is a constant. $\qquad\square$

We now want to prove Zalcman's lemma

Proof. Let $\mathcal{S}$ be a family of meromorphic functions on a domain Ω which is <u>not normal</u> in the chordal metric. We wish to find $a_n \to a_\infty \in \Omega$, $\rho_n \to 0$ such that

$$g_n(z) = f_n(a_n + \rho_n z) \tag{139}$$

converges to a nonconstant meromorphic function g in the chordal metric on compact subsets of $\mathbb{C}$ such that

$$g^\sharp(z) \leqslant g^\sharp(0) = 1 \tag{140}$$

So, not normal means that

$$S^\sharp = \{f^\sharp : f \in \mathcal{S}\} \tag{141}$$

is not locally bounded (as per the theorem by Marty). This means, in particular, that there is a sequence of points $b_n \to b_\infty \in \Omega$, $f_n \in \mathcal{S}$ such that $f_n^\sharp(b_n) \to \infty$. Of course, we can assume $b_\infty = 0$, and hence in particular that there is some disc $\{|z| \leqslant r\} \subset \Omega$.

Let

$$M_n = \max(r - |\zeta|)f_n^\sharp(\zeta) \tag{142}$$

This is a continous function on a compact set, and so it takes on its maximum at some point a_n, at which we have that this is equal to

$$(r - |a_n|)f_n^\sharp(a_n) \tag{143}$$

In particular, $a_n \to \infty$ since $b_n \to 0$. So the sequence we're going to construct is

$$g_n(z) = f_n(a_n + z/f_n^\sharp(a_n)) \tag{144}$$

As n goes to infinity, this function is defined on bigger and bigger compact sets, as

$$\left| a_n + \frac{z}{f_n^\sharp(a_n)} \right| \leqslant |a_n| + \frac{|z|}{|f_n^\sharp(a_n)|} \leqslant |a_n| + r - |a_n| \tag{145}$$

That means that this is defined on $|z| \leqslant M_n$. Fix $R \geqslant 0$. If $|z| \leqslant R \leqslant M_n$, then by the chain rule for spherical derivatives

$$|g_n^\sharp(z)| \leqslant \frac{|f_n^\sharp(a_n + z/f_n^\sharp(a_n))|}{|f_n^\sharp(a_n)|} \leqslant \frac{\cancel{M_n}}{r - |a_n + z/f_n^\sharp(a_n)|}\frac{r - |a_n|}{\cancel{M_n}} \leqslant \frac{r - |a_n|}{r - |a_n| - |z|/f_n^\sharp(a_n)} = \frac{1}{1 - |z|/M_n} \to 1 \tag{146}$$

as $n \to \infty$. This tells us by Marty's theorem that $\{g_n\}$ contains a convergent subsequence in the chordal metric. Of course, taking that convergent subsequence and re-labeling, we can just assume it is given by $\{g_n\}$. g is meromorphic by this "lemma", non-constant since $g^\sharp(0) = 1$ and the endnote shows $g^\sharp(z) \leqslant 1$. $\quad\square$

We now turn our attention to Montel's theorem. We've already seen a result of Montel, that was the consequence of the Arzela-Ascoli theorem characterising normality in terms of uniformly bounded. This is a "much souped up" version of that theorem, which says that if you have a family $\mathcal{S}$ of meromorphic functions on a domain Ω of $\mathbb{C}$ which omits three distinct values (*including* ∞) in $\mathbb{C}^*$l is normal in the chordal metric.

We'll do this on Monday (too bad there's a virus...), and we're going to see how the Big Picard Theorem follows from this. So, Big Picard's Theorem says that if f is meromorphic in a punctured disk $0 < |z - z_0| < \rho$ and omits three distinct values in $\mathbb{C}^*$ then it extends to be meromorphic in $|z - z_0| < \rho$.

Of course, this doesn't look like Picard's theorem as we stated it before, but they're in fact very easily seen to be equivalent.

We now prove Montel's theorem

Proof. **TODO 43:** this

$\square$

As an exercise, show that if $\{f_n\}$ is a sequence of holomorphic functions on a domain $\Omega \subset \mathbb{C}$ wich converges uniformly in the chordal metric, then the limit is either holomorphic or identically ∞. Moreover, if the limit is holomorphic, then theconvergence is uniform in the Euclidean metric on compact subsets of Ω.

We remark that the families $\mathcal{S}_n$ in the proof above are not closed (as the constant functions $0, 1, \infty$ are in the closure). For example,

$$\left\{ z \mapsto \left(\frac{1+z}{z} \right)^n : n \in \mathbb{N} \right\} \tag{147}$$

omits $0, 1$ in D, but converges to 0 in D as n goes to infinity. However, in the proof of Montel's theorem, Zalc's lemma yields limit functions that are not constant, and therefore in the family $\mathcal{S}_m$ by Hurwitz.

We can now finally move on to Picard's big theorem:

Theorem 19 (Picard's Big Theorem)**.** *If f is meromorphic on a punctured disc $\{0 < |z - z_0| < \delta\}$ and f omits three distinct values in $\mathbb{C}^*$, then f extends to a meromorphic function in $|z - z_0| < \delta$.*

Equivalently, a holomorphic function omits at most one complex value in a neighborhood of an essential singularity.

Proof. **TODO 44:** this

$\square$

4 Riemann Surfaces

4.1 Complex Manifolds

The remaining topic in this course is Riemann surfaces. We will begin with an introduction to complex manifolds, with Riemann surfaces being mappings between complex manifolds of dimension one. The goal is to go through some of the basic definitions, especially for those who have not seen manifolds before. So, let's begin: what is a manifold?

Definition 31 (Topological n-manifold)**.** *An n-**dimensional topological manifold** is a Hausdorff, para-compact, topological space locally homeomorphic to $\mathbb{R}^n$.*

In other words, an n-dimensional topological manifold means a topological space which is Hausdorff and paracompact (i.e. "not too big", meaning every open covering has a countable subcovering) to avoid pathologies which locally "looks like an open subset of $\mathbb{R}^n$." That is, it can be covered with open sets U_i each of which admits a homeomorphism $\varphi_i : U_i \to V_i$ where $V_i \subset \mathbb{R}^n$ is open. We are going to be interested in complex manifolds, which is just a manfiold to which we add a complex structure. The way we do that is that in the definition, we simply replace $\mathbb{R}^n$ by $\mathbb{C}^n$ and we assume that the "**transition mappings**" between any two of these coordinate charts are *homeomorphisms*. That is, we define

Definition 32 (Complex Structure)**.** *A n-**dimensional complex structure** on a manifold M is given by an open cover of $\{U_i\}$ of M and a set of **coordinate charts** $\varphi_i : U_i \to \mathbb{C}^n$ such that each composition (**transition mapping**) $\varphi_i \circ \varphi_j^{-1} : \varphi_j(U_j) \to \mathbb{C}^n$ is holomorphic.*

On manifolds, we want to talk about the usual notions of analysis, like functions and mapping between spaces and so on, and we'll want to describe their properties. The way that we'll talk about properties of a function, for example, is by saying that the function has that property in any coordinate chart. So for example, if we want to say that a continuous complex-valued function $f : M \to \mathbb{C}$ on our function is holomorphic, we'll express that by saying that for every coordinate chart φ_i on our manifold, the mapping $f \circ \varphi_i^{-1} : \varphi_i(U_i) \to \mathbb{C}$ is holomorphic. That is,

Definition 33 (Holomorphic function)**.** *We say a continuous, complex-valued function $f : M \to \mathbb{C}$ is **holomorphic** if, for each coordinate chart φ_i on M, $f \circ \varphi_i^{-1} : \varphi(U_i) \to \mathbb{C}$*

We think about coordinate charts as using a system of local coordinates to the open subset U_i of the manifold. So φ_i is a mapping from U_i into $\mathbb{C}^n$, so we can write it in components, i.e. $\varphi_{i1}, ..., \varphi_{in}$, and the image of that corresponds to a coordinate system, well, corresponds to the standard coordinates on $\mathbb{C}^n$. So saying that f is holomorphic means that when expressed by local coordinates given by a chart, it's a holomorphic function with respect to those coordinates.

A very basic example of a complex structure on a topological manifold which we saw right at the beginning of the course is the Riemann sphere S^2. Recall that we gave the Riemann sphere complex structure using stereographic projections, from the north pole or from the south pole. That is, letting N, S denote the north and south poles respectively, and letting $U = S^2 \backslash \{N\}$, $V = S^2 \backslash \{S\}$, we can define coordinate charts

$$\varphi_U : U \to \mathbb{C} = (x, y, t) \mapsto \frac{x + iy}{1 - t}, \qquad \varphi_V : V \to \mathbb{C} = (x, y, t) \mapsto \frac{x - iy}{1 + t} \tag{148}$$

We have that

$$\varphi_U \circ \varphi_V^{-1} : \mathbb{C} \backslash \{0\} \to \mathbb{C} \backslash \{0\} = z \mapsto 1/z \tag{149}$$

is holomorphic, and hence this gives S^2 a complex structure. Note that this is defined not everywhere, but rather on the overlap of the regions of $\mathbb{C}$ corresponding to each chart, namely $\mathbb{C} \backslash \{0\}$ (as on the north pole chart, we have $N \mapsto \infty, S \mapsto 0$, and vice versa for the south pole chart).

Naturally, we want extend the idea of a holomorphic function to a holomorphic mapping. If we have two manifolds M, N, each of which have a complex structure (i.e. each of which is covered by coordinate charts which admit mappings to open subsets of $\mathbb{C}^m/\mathbb{C}^n$) then we'll say that a continuous mapping $f : M \to N$ is holomorphic if, when we look at it as a mapping between open subsets of $\mathbb{C}^m$ to open subsets of $\mathbb{C}^n$ by the coordinate charts, it's holomorphic. In other words, if we go from the image of φ_j in $\mathbb{C}^m$ back to M, and then by f to N, and then by ψ_i to $\mathbb{C}^n$, we'll get a mapping which is holomorphic for all i and j. Of course these composite mappings are not necessarily defined on the entire image of φ_i, rather, they're only defined on that part of U_j which is in the inverse image of V_i by f. In other words, this makes sense as a holomorphic mapping on the set on which it's well defined. So that's the idea of the following definition:

Definition 34 (Holomorphic mapping). *If M, N are complex manifolds, $f : M \to N$ is called a **holomorphic mapping** if, for all coordinate charts $\varphi_j : U_j \to \mathbb{C}$ of M and $\psi_i : V_i \to \mathbb{C}$ of N,*

$$\psi_i \circ f \circ \varphi_j^{-1} : \varphi_j(U_j \cap f^{-1}(V_i)) \tag{150}$$

If a holomorphic mapping has an inverse which is also holomorphic, we'll say that it's an isomorphism or a biholomorphism; in other words, we have a homeomorphism between the manifolds f such that f, f^{-1} are holomorphic. That is,

Definition 35 (Isomorphism/Biholomorphism). *A holomorphic homeomorphism $f : M \to N$ is called an **isomorphism** or **biholomorphism** of M onto N. We say that two complex structures on some topological manifold M are **equivalent** if the identity map is an isomorphism.*

So this is the notion of holomorphic functions and holomorphic mappings between manifolds with complex structures. Why have I been saying complex structure rather than complex manifold? So a complex structure as we've defined it means that we have a topological manifold as we've defined it together with an open covering by complex coordinates. We don't want to consider two different coverings by complex coordinate charts to define different manifolds if they're essentially the same. If for example we've got a covering by complex coordinate charts, and we add one more chart just by taking an open subset of one of the ones that's given, we shouldn't consider that to be a different manifold. So in order to avoid that situation, let's be a little bit more careful in our definition.

We've defined the idea of a complex structure, and we want to say when it makes sense to consider two complex structures to be equivalent. One simple way to say this is to require that the identity mapping between two complex structures on the same manifold is an isomorphism. Then, we can say that a topological manifold M is a topological manifold M together with an *equivalence class* of complex structures, and that way we avoid the issue of whether we should consider two different complex structures as defining the same manifold or not. That is,

Definition 36 (Complex Manifold)**.** *A n-**dimensional complex manifold** is a topological manifold M together with an equivalence class of n-dimensional complex structures where two complex structures are considered equivalent if the identity mapping on M induces an isomorphism between them.*

Let's now go through some examples, all of which are things which in some sense we've already looked at in the course:

1. Of course, open subsets of $\mathbb{C}$ are complex manifolds in a trivial way, being covered in one coordinate chart given by the identity mapping. Likewise, if we're given any complex manifold and take an open subset of that, we can consider the subset a complex manifold with the induced complex structure.

2. The Riemann sphere $S^2 = P^1(\mathbb{C})$ with the complex structure assigned above. The Riemann surface of course is distinguished from a topological point of view by the fact that it's compact, and in fact can be viewed as the one-point compactification of $\mathbb{C}$.

3. As another example, let's look at the quotient of $\mathbb{C}$ by the action of an additive subgroup. We consider the action of the integers on $\mathbb{C}$ by taking a point z to $z + n$. The quotient $\mathbb{C}/\mathbb{Z}$ means the set of equivalence classes where two points are equivalent if their difference belongs to $\mathbb{Z}$, or equivalently if there is an $n \in \mathbb{Z}$ taking one point to another.

    This is a Hausdorff space if we give it the quotient topology, that is the induced by the projection map $\pi : \mathbb{C} \to \mathbb{C}/\mathbb{Z}$ where a set in $\mathbb{C}/\mathbb{Z}$ is open provided that it is the image of an open set $U \subset \mathbb{C}$ in the projection π. To place a complex manifold structure on this space, we can choose $V \subset \mathbb{C}$ small enough that $\pi|_V$ is injective (e.g. open balls with diameter $\leqslant 1$) and define, for $U = p(V)$, local coordinates given by π^{-1} (which is defined in U since π is injective on V).

4. More generally, we may take $M = \mathbb{C}/\Gamma$, where Γ is a discrete group with generators e_1, e_2 as before. We define a complex structure on $\mathbb{C}/\Gamma$ in a manner analogous to 3.

    In contrast to the third example, the situation here is significant as the quotient here is compact, as we can write $M = p(\text{closed period parallelogram})$, i.e. the image of a compact set under a continuous map. Being the image of a closed period parallelogram, we also know that topologically the manifold M is a torus .

So already this series of examples includes two significantly different examples of compact complex manifolds, because the Riemann sphere is compact, and the quotient by a discrete group is compact, but topologically those manifolds are very different: the Riemann sphere is a sphere, while the quotient by a discrete group is a torus, which has a hole in it. So these are basic examples of complex manifolds.

In this course, we're going to be interested almost exclusively in *one-dimensional* complex manifold. One dimensional, of course, means one *complex* dimension, like the complex plane $\mathbb{C}$ has one complex dimension. As real manifolds, of course, they're two dimensional, but as complex manifolds they're one dimensional. We'll call such a manifold a "complex curve" or a "Riemann surface", but a Riemann surface in a kind of abstract sense. When we really talk about Riemann surfaces next time, we'll mean not just a complex curve, but a complex curve with a mapping to open subsets of the Riemann sphere. But for now, we define:

Definition 37 (Complex Curve/Abstract Riemann Surface)**.** *A **complex curve** or **abstract Riemann surface** is a 1-dimensional complex manifold M.*

Now, all of the usual local properties of holomorphic functions that you've studied in this or previous courses extend to complex curve. For example the principle of analytic continuation (that two holomorphic maps coincide if they coincide on a set with a limit point) extends in a simple way to manifolds, as does the maximum modulus principle, and in fact all the usual properties which are purely local.

Local notions and definitions also extend to complex manifolds. For example, we can define a meromorphic function on a complex manifold M exactly as you do on an open subset of the complex plane: you can say that locally it's given by the quotient of holomorphic functions. Another, maybe better way of saying that meromorphic means, is to say that it means a holomorphic mapping from M to the Riemann sphere, that is:

Definition 38 (Meromorphic functions)**.** *For a complex manifold M, a holomorphic mapping $f : M \to S^2$ is called a **meromorphic function on** M*

Then, a point which maps to ∞ on the Riemann sphere is a pole. Examples of meromorphic functions include

1. Let's go back to the quotient by a discrete group, i.e. the torus. Given a projection $\pi : \mathbb{C} \to \mathbb{C}/\Gamma$, where Γ is a group of periods, the mapping $f \mapsto f \circ p$ gives a bijection between meromorphic functions on $\mathbb{C}/\Gamma$ and meromorphic functions on $\mathbb{C}$ with Γ as group of periods.

2. The mapping $z \mapsto e^{2\pi i z} : \mathbb{C} \to \mathbb{C}^*$ induces a holomorphic mapping $\mathbb{C}/\mathbb{Z} \to \mathbb{C}^*$ (which is in fact one-to-one and onto, and therefore a biholomorphism and in fact an isomorphism of topological spaces).

   We note in general that a bijective holomorphic mapping of complex curves is a biholomorphism, as the inverse is clearly locally holomorphic.

4.2 Integration of Holomorphic Differential Forms

Holomorphic differential forms are the objects of integration in this course. We've been working a little bit with holomorphic differential forms on open subsets of the complex plane, and they're going to be particularly useful as we begin working on integration on complex manifolds. Now remember our complex manifolds are all one-dimensional, i.e. complex curves. Rather than introducing differential forms in an abstract way, we're going to work in coordinate charts. So remember a complex manifold M is covered by a countable collection of coordinate charts U_i. For each U_i in the covering, we have a homeomorphism $\varphi_i : U_i \to V_i$ to an open subset of the complex plane. The manifold being holomorphic means that the transition mappings between any two charts, defined in the overlap of two charts, are all holomorphic. We're thinking of each of these charts φ_i as providing a local coordinate $z_i \in \mathbb{C}$ in eahc local coordinate chart U_i. Therefore, the transition mapping gives an expression for a change of local coordinates. That is, the transition mapping g_{ij} allows us to express z_i in terms of z_j on the overlap of the two charts.

Of course, by differentiating, this gives an expression for dz_i in terms of dz_j, namely by the chain rule

$$z_i = g_{ij}(z_j) \implies dz_i = g'_{ij}(z_j)dz'_j \tag{151}$$

Therefore, by a holomorphic differential for ω on M, we simply mean that we will have a holomorphic differential form in the usual sense on each coordinate chart. So on U_i, U_j we can wreite expressions

$$\omega_i = f_i(z_i)dz_i, \qquad \omega_j = f_j(z_j)dz_j \tag{152}$$

The **transition condition** means that when we consider the expression on two different coordinate charts, then one can be obtained from the other simply by the substitution formula, i.e. change of variable. That is, we have on the overlap $U_i \cap U_j$

$$\omega_j = f_i(g_{ij}(z_i))g'_{ij}(z_i)dz_i \tag{153}$$

In other words, we define a holomorphic differential form by giving an expression in every coordinate chart where one can pass from one expression to another by the usual formula for change of variables using the transition maps. We're already familiar with the very important example of the Riemann sphere.

The Riemann sphere is a compactification of the complex plane obtained by adding a point at infinity. We have our usual coordinate z in the complex plane, and we can induce a coordinate at infinity by taking a reciprocal, i.e. writing $z = \frac{1}{z'}$ where z' denotes the coordinate at infinity, with $z' = 0$ corresponding to the point ∞. What we've done many time is that if we have a holomorphic differential form like $\omega = f(z)dz$ in the complex plane, we can change the coordinates to those at infinity just by making the substitution $z = \frac{1}{z'}$, giving

$$z = \frac{1}{z'} \implies \omega = f(z)dz = f(1/z')dz = -\frac{f(1/z')}{z'^2}dz' \tag{154}$$

That's an example of how we think about a holomorphic differential form of a manifold in general. We have an expression in each coordinate chart, and we pass from an expression in one chart to an expression in another by the usual substitution formula.

Now, since when we're working on manifolds, we're really working in a local coordinate chart, that means that any of the *local* things that we know about complex analysis immediately make sense in the context of manifolds. We know, for example, that in some neighborhood of any point of the manifold, ω has a primitive,

that is a holomorphic function g such that $dg = \omega$. We know that because if we only care about the local case, that means that we're working in a coordinate chart, and that means we're just working in an open subset of $\mathbb{C}$. And we know, on $\mathbb{C}$, that locally there is always a primitive uniquely determined up to addition of a constant.

It's not always true that there's a global primitive: we know that already on $\mathbb{C}$. However, if the manifold is simply connected, then ω has a global primitive, and we can prove this exactly the same way as we did for open subsets of $\mathbb{C}$: namely, we integrate along curves to find the primitive. In general, of course, the integral of ω on any closed curve needn't be zero: the objects that we get by integrating over closed curves are called **periods** of the integral.

One very important local idea that we've used in complex analysis is of course the idea of a residue. Since it's local, of course, we can always define the idea of the residue of a holomorphic differential form on a manifold, by basically repeating what we've done in the complex plane:

Definition 39 (Residue of a holomorphic differential form). *Let ω be a holomorphic differential form in the complement of a discrete set $E \subset M$. Consider $a \in E$, and let z denote local coordinates at a with $z(a) = 0$ such that, for ω_1 holomorphic at a,*

$$\omega = f(z)dz = \left(\omega_1 + \left(\frac{c_1}{z} + \frac{c_2}{z^2} + ...\right)\right)dz \tag{155}$$

*using the Laurent expansion of f at a, where ω_1 is the holomorphic differential form form consisting of the sum of terms in the Laurent series with nonnegative powers. Let γ be a closed path in a small neighborhood of a such that the winding number of γ with respect to a is $+1$. We define the **residue** of ω at a to be*

$$\operatorname{Res}_a(\omega) = \frac{1}{2\pi i} \int_\gamma \omega = c_1 \tag{156}$$

Note in particular that this implies that c_1 is independent of local coordinates.

One important thing to note here is that we integrated with respect to a closed path whose winding number with respect to the point was $+1$. It's important to know that that winding number doesn't depend on the choice of local coordinate. Why? It's because the complex plane comes with a natural orientation: given complex coordinates, it makes sense to talk about moving around a circle in the counterclockwise direction. If we change from one local coordinate to another, then the direction of counterclockwise rotation remains counterclockwise, since the transition maps are biholomorphisms and hence conformal, and therefore orientation preserving. This in particular imply that complex manifolds have a natural orientation, which implies that we can orient, e.g., the boundary of subsets in a complex manifold. That's an important thing to know in order to transfer the residue theorem to complex manifolds. That is:

Theorem 20 (Residue Theorem). *Let ω be a holomorphic differential form in the complement of a discrete set E, and let Γ be the <u>oriented</u> boundary of a compact set K such that Γ contains no point in E. Then*

$$\int_\Gamma \omega = 2\pi i \sum_{a \in E \cap K} \operatorname{Res}_a(\omega) \tag{157}$$

So this is just really recalling local stuff that we know about holomorphic functions on open subsets of the complex plane and transferring them to manifolds. This is really all I wanted to say about background material: now, we can get to the main topic of this section.

4.3 Riemann Surfaces

What a Riemann surface is going to be is, not just a complex curve, but rather a mapping from a complex curve onto another. The target of the mapping is usually going to be the complex plane, the Riemann sphere, or an open subset of one of those two things. So we begin with a complex curve Y, which is usually going to be one of the aforementioned things, and then by a Riemann surface spread over Y, we mean a (non-constant) holomorphic mapping from a connected complex curve X to Y. That is,

Definition 40 (Riemann Surface)**.** *Let Y be a complex curve (e.g. $\mathbb{C}$ or S^2). A **Riemann surface spread over** Y is a non-constant holomorphic mapping $\varphi : X \to Y$ where X is a connected complex curve.*

This mapping, we're going to see, is very nice at almost every point of X. The points where it's not so nice are the points that we have what are called ramification points of φ. Recall that for a holomorphic function φ, the **order** or **multiplicity** at a point z is the degree of the lowest degree term of the Taylor expansion of φ around z. So z^k has multiplicity k. We define:

Definition 41 (Ramification Point)**.** *A **ramification point** of a Riemann surface φ is a point with multiplicity (i.e. order) greater than one. A Riemann surface with no ramification points is called **unramified**.*

So, if φ is not ramified at a given point, that means it has multiplicity one at that point and is therefore of course a local isomorphism.

Of course, there are a number of things that we know about non-constant holomorphic mappings: they are open (the image, whether surjective or not, is an open set), we know that its ramification points are isolated, and the inverse image of any point is a discrete set. On the other hand, just like the case of any holomorphic mapping from $\mathbb{C}$ to itself, φ is not necessarily injective even if it's unramified.

Maybe to clarify things, it's good to think in terms of an example, and starting with really kind of the most basic example in order to illustrate what we want to think about.

1. Let's take $Y = \mathbb{C}\backslash\{0\}$, and $X = \mathbb{C}$. Note that Y here is connected but not simply connected. Let's consider the Riemann surface given by the mapping $\varphi = z \mapsto e^t$.

   Before we begin our analysis, we note that in this example, $\varphi : X \to Y$ is in fact what's called a covering space of Y. We define:

   Definition 42 (Covering Space)**.** *A **covering space** of a complex manifold Y is an unramified Riemann surface such that every $b \in Y$ has a neighborhood V such that $\varphi^{-1}(V)$ is a disjoint union of open $U_i \subset X$, with each mapped isomorphically onto V by φ.*

   In other words, every point in the image has a neighborhood V over which X just looks like a "stack of pancakes" each mapped biholomorphically onto V by φ.

   In this particular example, given a point $b \in Y$, what can we use for the open set V? Well, given $b \in \mathbb{C}\backslash\{0\}$, we can take $V = B_{|b|}(b)$, that is, the open disc with radius $|b|$ centered at b. So that's an open disc which does not include the origin. Since the open disc doesn't include the origin, $\log z$ can be well-defined on that disc. Hence, each branch of $\log z$ defines an isomorphism from V to an open set U_i in $\mathbb{C}$, and these open sets are disjoint, with their union being the entire inverse image of V

   $$\bigcup_i U_i = \varphi^{-1}(V), \qquad \varphi^{-1}|_{U_i} : U_i \xrightarrow{\sim} V \tag{158}$$

   Just as an aside, for those of you who have taken topology, you probably know that *any* connected open set in $\mathbb{C}$, or in fact any connected complex manifold Y, has a simply connected covering space. This is a standard result in topology. We won't use it, but this is in the background of a lot of things that we're going to be discussing. So in this example, Y is not simply connected, but X is. In fact, X is what's called the **universal covering space** of $\mathbb{C}\backslash\{0\}$.

   Now, we have that $z = e^t$ is a local coordinate in a neighborhood of any point of X. t is the global coordinate on X, but around any point we can use z as a local coordinate, implying that a holomorphic function on X can be expressed <u>locally</u> as a holomorphic function of z, but not in general <u>globally</u>.

   For instance, t itself is a holomorphic function on X, and can be recovered locally using a branch of log. Globally, however, we cannot define a branch of log on $\mathbb{C}\backslash\{0\}$ since we must exclude a line segment.

   Now, this is a very important way of thinking about what I just said, because it's in fact the way that we're going to use Riemann surfaces. $\log z$ can be defined on the complex plane minus the origin, but it doesn't make sense as a single-valued function. The way to think about Riemann surfaces, then, is "can we make this multi-valued function single valued by lifting it to some other space." In particular, we're thinking of Riemann surfaces as introducing the space X for the purpose of making a given

multi-valued function single valued. What that means is that, given our multi-valued function, we want to find a space X and a mapping to X with the property that if we lift our multi-valued function to X it becomes single-value.

In the example, this is true for a value which is extremely simple and hence maybe at first glance a little bit confusing: because $\log \circ e^t = t$, and t is a well-defined single-valued holomorphic function on X. So the purpose of introducing our Riemann surface X is in order to make our multi-valued function $\log$ single-valued by lifting it to the Riemann surface. As in the diagram

$$
\begin{array}{c}
\mathbb{C} = X \\
\\
\varphi(t) = e^t \downarrow \qquad \overset{t}{\searrow} \\
\qquad\qquad\qquad \mathbb{C} \\
\qquad \overset{\log z}{\nearrow} \\
\\
\mathbb{C}^* = Y
\end{array}
\tag{159}
$$

This example and this picture is something you should really try to absorb. Let's move on to a second one now, though.

2. This example will have a feature which is simpler than this because of the fact that the function $\log z$ in the first example is a rather complicated function, because it is not algebraic. In this example, however, we consider the *algebraic* relation (which we will here consider as a multi-valued function) $y = \sqrt{x}$.

Again, locally, outside of the origin, $\sqrt{x}$ has a holomorphic determination. It's not single-valued, but it has two holomorphic branches. Globally, however, it's multi-valued: over any point in $\mathbb{C}\backslash\{0\}$, $\sqrt{x}$ has two possible values. So the question is: can we make this multi-valued function simple by inducing an appropriate covering. What makes this example simple is that, as we stated above, $\sqrt{x}$ is algebraic, i.e. it satisfies the polynomial equation $y^2 = x \iff y^2 - x = 0$.

We can use this algebraic equation to introduce a Riemann surface: for our Riemann surface X, we'll take the set of points (x, y) in $\mathbb{C}^2$ satisfying the polynomial equation. Our mapping φ from X into $\mathbb{C}$ is then just projection $(x, y) \mapsto x$: i.e. we write

$$
\begin{array}{c}
X = \{(x, y) : x - y^2 = 0\} \\
\\
\downarrow \varphi : X \to Y = (x,y) \mapsto x \\
\\
Y = \mathbb{C}
\end{array}
\tag{160}
$$

So, again, what was the purpose of this? The question was: can we make our multi-valued function $\sqrt{x}$ single valued by lifting it to the appropriate covering space. Now one question you might have is that, for example in the case of $\log$, we almost seem to be getting rid of the structure of the function, and replacing it with the identity. However, this is not the case: rather, we are in a sense re-expressing the structure of the function in terms of the topology of the space X. $\log$, for example, is a multi-valued function with a structure that looks somewhat complicated, but how complicated is it? It's just as complicated as the topology of the covering space described. In other words, it's expressing the structure in a purely geometric way.

Looking again at the current example, $\sqrt{x}$ is a multi-valued function with a somewhat complicated structure, we have to go around twice to get to the same determination, but the complication is described purely in terms of the topology of the covering space. The Riemann surface X here is just a copy of $\mathbb{C}$ (since the parabola is a well-defined function), and the multi-valued function lifts to an extremely simple map, namely just the projection from (x, y) to y. So the entire "complication" in the structure of the map is expressed in the topological structure of the covering space.

We note that φ is a two-to-one covering at every point except the origin: that is a reflection of the fact that the origin in X is a ramification point of φ; φ is not a local isomorphism over this point.

That's the difference between an unramified Riemann surface, such as in our previous example of log, where perhaps the topology was more complicated because the mapping was infinite-to-one and yet the Riemann surface is unramified, whereas in this example the covering is two-to-one but there is a ramification point.

In the unramified case, we said that we can use z as a local coordinate in a neighborhood of any point in X, but in the ramified case, we can only use x as a local coordinate on the Riemann surface at points where it's not ramified, this of course just being due to the implicit function theorem.

3. As another example, we consider a Riemann surface to make the multi-valued function $y = (1 - x^3)^{1/3}$ single-valued: we define

$$X = \{(x, y) \in \mathbb{C}^2 : x^3 + y^3 = 1\}, \qquad Y = \mathbb{C}, \qquad \varphi : X \to Y = (x, y) \mapsto x \tag{161}$$

To show that X is a manifold, we consider local coordinates at a point $(x_0, y_0) \in X$.

- If $y_0 \neq 0$, then x is a local coordinate, with the map $x \mapsto (x, y)$, where y is a branch of $(1 - x^3)^{1/3}$ which is equal to y_0 when $x = x_0$.
- If $y_0 = 0$, then $x_0 \neq 0$, and so y is a local coordinate with the map $y \mapsto (x, y)$.

<div align="center">**TODO 45:** rest</div>

Definition 43 (Covering Space). *A **covering space** of a complex manifold Y is an unramified Riemann surface such that every $b \in Y$ has a neighborhood V such that $\varphi^{-1}(V)$ is a disjoint union of open $U_i \subset X$, with each mapped isomorphically onto V by φ.*

We note the following fact:

Theorem 21. *Any connected open set in $\mathbb{C}$ (or any connected complex manifold Y) has a simply connected covering space.*

4.4 Evaluation of Integrals by Residues on Riemann Surfaces

As an example, we compute

$$\int_0^1 \frac{dx}{(1 - x^3)^{1/3}} \tag{162}$$

Consider the Riemann surface of $y = (1 - x^3)^{1/3}$. We have, as before, $X = \{(x, y) \in \mathbb{C}^2 : x^3 + y^3 = 1\}$ with branch points, i, j, j^2 where $j = e^{2\pi i/3}$. There are three closed curves on X with the image γ since $(1 - x^3)^{1/3}$ returns to the same branch as x describes γ.

As x describes γ, the argument of $(1 - x^3)^{1/3}$ varies as 2π times

$$\frac{1}{2\pi i} \int_\gamma \frac{d(1 - x^3)^{1/3}}{(1 - x^3)^{1/3}} = -\frac{1}{2\pi i} \int_\gamma \frac{x^2 dx}{1 - x^3} \tag{163}$$

Letting $f(x) = \frac{x^2}{1 - x^3}$, we have that Equation 163 is equal to

$$-(\text{Res}(f, 1) + \text{Res}(f, j) + \text{Res}(f, j^2)) = -3 \cdot -\frac{1}{3} = 1 \tag{164}$$

as the residue at $x = 1$ is the coefficient of $\frac{1}{t}$ in

$$\frac{(1 + t)^2}{1 - (1 + t)^3} \in -\frac{(1 + t)^2}{3t + O(t^2)} \tag{165}$$

Let

$$I = \int_0^1 \frac{dx}{(1 - x^3)^{1/3}} \tag{166}$$

Consider the following holomorphic differential form ω on X:

- Near (x_0, y_0) with $y_0 \neq 0$, set $\omega = \frac{dx}{y}$.

- Near $(x_0, 0)$, set $\omega = -\frac{y\,dy}{x^2}$.

i.e. ω is the differential form $\frac{dx}{(1-x^3)^{1/3}}$ on $\mathbb{C}$, made holomorphic by introducing the Riemann surface X. The integral of ω around one of the lifted curves is hence given by

$$\int_0^1 \omega + j^2 \int_1^0 \omega + \int_0^1 \omega + j^2 \int_1^0 \omega + \int_0^1 \omega + j^2 \int_1^0 \omega = 3(1 - j^2)I \tag{167}$$

with the factor j^2 in the second term from the argument of residue calculation above: the argument changes by $\frac{2\pi}{3}$ going around the point 1. The third term is deduced from the second by substitution, and such.

ω has poles at ∞: we calculate the residues in coordinate at infinity as follows: letting $x = \frac{1}{u}$, we obtain

$$\frac{dx}{(1-x^3)^{1/3}} = -\frac{du}{u^2(1-u^{-3})^{1/3}} = -\frac{du}{u(u^3-1)^{1/3}} \tag{168}$$

Using one of the branches, we obtain

$$-\frac{du}{(-1)^{1/3}u(1-u^3)^{1/3}} \tag{169}$$

giving residue $(-1)^{2/3} = 1$. The residues of the other poles at ∞ are $1, j^2$. Since γ is negatively oriented with respect to ∞, we have

$$3(1 - j^2)I = -2\pi i(\text{one of the residues above}) \tag{170}$$

Substituting j into 170, we obtain

$$3(1 - j^2)I = -2\pi i j \iff 3(j^2 - j)I = -\sqrt{3}iI = -2\pi i \iff I = \frac{2\pi}{3\sqrt{3}} \tag{171}$$

This must be the correct choice of residue because I is real, and the other residues would give the preceding answer multiplied by j or j^2, which are both nonreal.

4.5   Riemann Surface Associated with an Elliptic Curve

Recall the cubic equation associated with the Weierstrass function,

$$y^2 = 4x^3 - 20a_2 x - 28a_4 = p(x) \tag{172}$$

Assume the RHS $p(x)$ has three distinct roots so the equation defines a nonsingular curve (note that every nonsingular cubic has a equation of this form in suitable affine coordinates: **Weierstrass normal form**). We define a Riemann surface over $\mathbb{C}$ with

$$X = \{(x, y) : y^2 = p(x)\} \tag{173}$$

TODO 46: Diagram