

## Week 9: Lecture Notes

Topics: BFS and DFS

Shortest path problem

Dijkstra's Algorithm

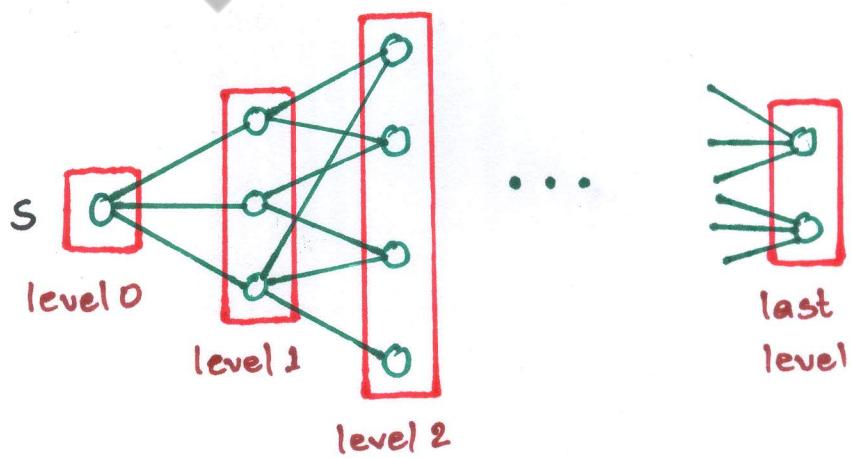
Example of Dijkstra

Bellman Ford

## Breadth-First Search

Explore graph level by level from  $s$  (start vertex)

- level 0 =  $\{s\}$
- level  $i$  = vertices reachable by path of  $i$  edges  
but not fewer.
- build level  $i > 0$  from  $i-1$  by trying all outgoing edges, but ignoring vertices from previous levels

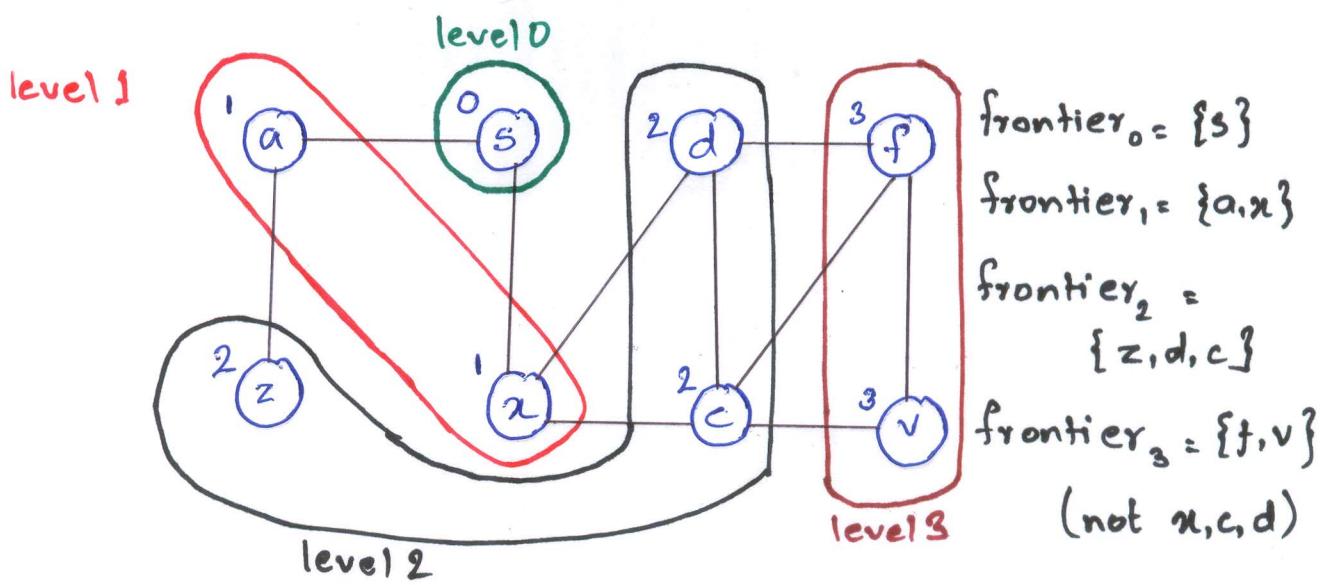


## Breadth-First-Search Algorithm

BFS( $V, Adj, S$ ):

1.  $level = \{s : 0\}$
2.  $parent = \{s : \text{None}\}$
3.  $i = 1$
4.  $frontier = [s]$
5. while  $frontier$
6.      $next = []$
7.     for  $u$  in  $frontier$ :  
        for  $v$  in  $Adj[u]$ :  
            if  $v$  not in  $level$ :  
                 $level[v] = i$   
                 $parent[v] = u$   
                 $next.append(v)$
8.      $frontier = next$
9.      $i = i + 1$

### Example



## Analysis

- vertex  $v$  enters next (and then frontier) only once  
(because  $\text{level}[s]$  then set)

base case:  $v = s$

- $\Rightarrow \text{Adj}[v]$  looped through only once.

$$\text{time} = \sum_{v \in V} |\text{Adj}[v]| = \begin{cases} |E| & \text{for directed graphs} \\ 2|E| & \text{for undirected graphs} \end{cases}$$

- $\Rightarrow O(E)$  time

- $O(V+E)$  ("linear time") to also list vertices  
unreachable from  $v$  (those still not assigned level)

## Shortest Paths

- for every vertex  $v$ , fewest edges to get from  $s$  to  $v$   
is

$$\begin{cases} \underline{\text{level}[v]} & \text{if } v \text{ is assigned level} \\ \infty & \text{else (no path)} \end{cases}$$

- parent pointers from shortest-path tree  
= union of such shortest path for each  $v$ .

$\Rightarrow$  to find shortest path, take  $v$ ,  $\text{parent}[v]$ ,  
 $\text{parent}[\text{parent}[v]]$ , etc., until  $s$  (or None)

## Depth - First Search (DFS)

This is like exploring a maze.

- follow a path until you get stuck.
- backtrack along breadcrumbs until reach unexplored neighbour
- recursively explore
- careful not to repeat a vertex.

## Depth-First-Search Algorithm

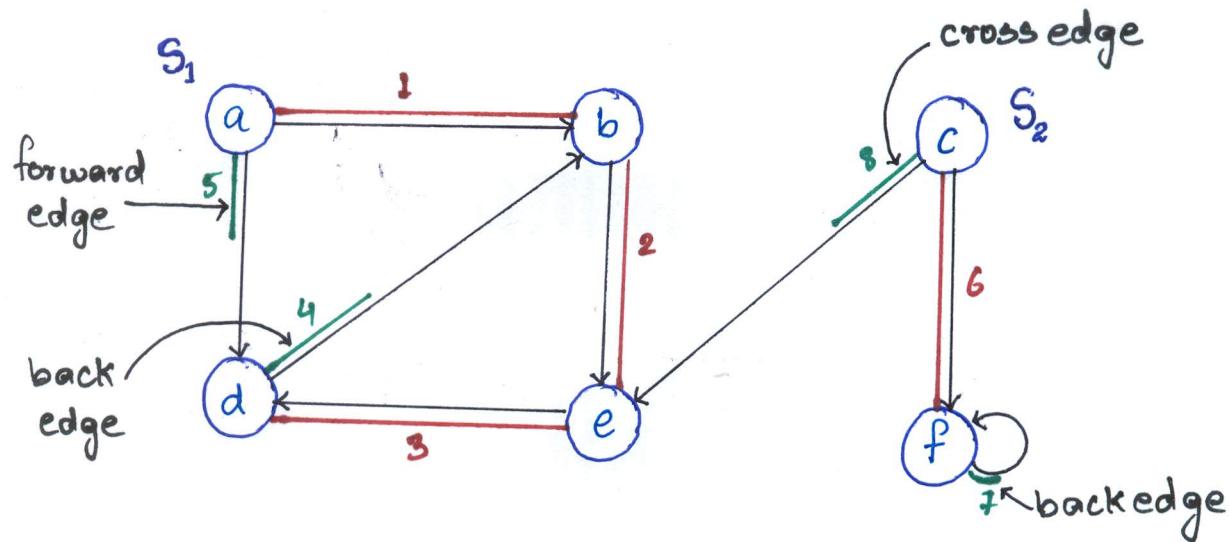
```
1. parent = {s: None}
2. DFS-visit (v, Adj, s):
3.   for v in Adj[s]:
4.     if v not in parent:
5.       parent[v] = s
6.       DFS-visit (v, Adj, v)
7. DFS (v, Adj)
8. parent = {}
9. for s in V:
10.   if s not in parent:
11.     parent[s] = None
12.     DFS-visit (v, Adj, s)
```

search from start vertex s (only see stuff reachable from s)

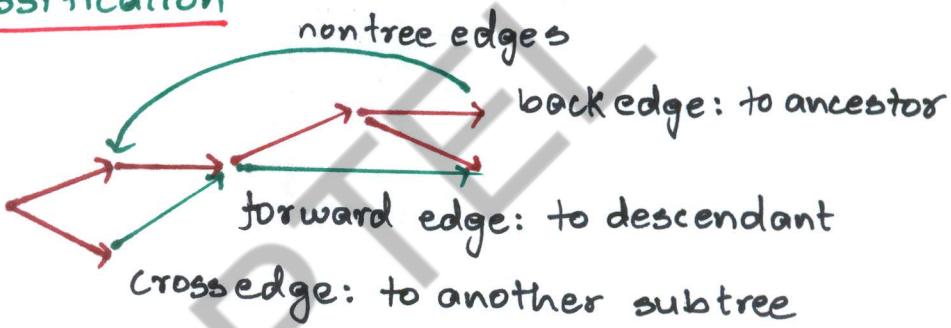
explore entire graph

(could do same to extend BFS)

## Example of DFS



## Edge Classification



- to compute this classification (back or not), mark nodes for duration they are "on the stack"
- only tree and back edges in undirected graph.

## Analysis of DFS

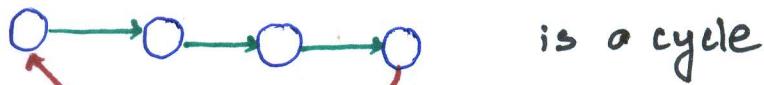
- DFS-visit gets called with a vertex s only once  
 $\Rightarrow$  time in DFS-visit =  $\sum_{s \in V} |\text{Adj}[s]| = O(E)$
- DFS outer loop adds just  $O(V)$   
 $\Rightarrow$   $O(V+E)$  time (linear time)

## Cycle Detection

Graph  $G$  has a cycle  $\Leftrightarrow$  DFS has a back edge

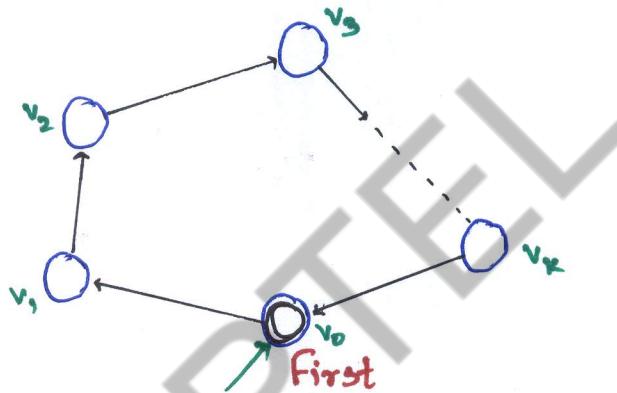
Proof:

( $\Leftarrow$ ) tree edges



back edge : to tree ancestor

( $\Rightarrow$ ) consider first visit to cycle:



- before visit to  $v_i$  finishes,  
will visit  $v_{i+1}$  (and finish):  
will consider edge  $(v_i, v_{i+1})$   
 $\Rightarrow$  visit  $v_{i+1}$  now or already did
- $\Rightarrow$  before visit to  $v_0$  finishes  
will visit  $v_k$  (and didn't before)
- $\Rightarrow$  before visit to  $v_k$  (or  $v_0$ ) finishes  
will see  $(v_k, v_0)$  as back edge.

## Job Scheduling

Given Directed Acyclic Graph (DAG), where vertices represents task and edges represent dependencies, order tasks without violating dependencies.

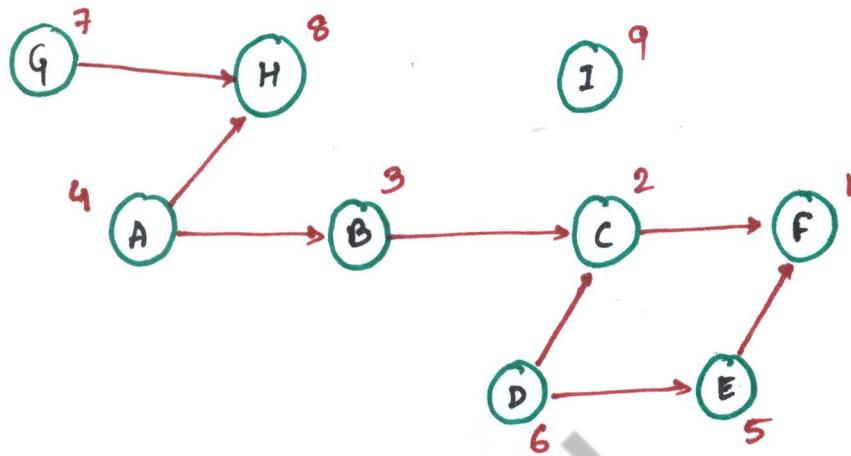


Fig: Dependence graph: DFS finishing times.

Source:

Source = vertex with no incoming edges  
= scheduling at beginning (A, G, I).

Attempt:

BFS from each source

- from A finds A, B, H, C, F
- from D finds D, B, E, C, F  $\leftarrow$  slow... and wrong).
- from G finds G, H
- from I finds I.

## Topological Sort

Reverse of DFS finishing times  
(time at which DFS-visit( $v$ ) finishes)

$\left\{ \begin{array}{l} \text{DFS-visit}(v) \\ \dots \\ \text{order.append}(v) \\ \text{order.reverse}() \end{array} \right.$

### Correctness

For any edge  $(u, v)$  -  $u$  ordered before  $v$ , i.e.  $v$  finished before  $u$



- if  $u$  visited before  $v$ :
  - before visit to  $u$  finishes, will visit  $v$  (via  $(u, v)$  or otherwise)  
 $\Rightarrow v$  finishes before  $u$

if  $v$  visited before  $u$

graph is cyclic

$\Rightarrow u$  cannot be reached from  $v$

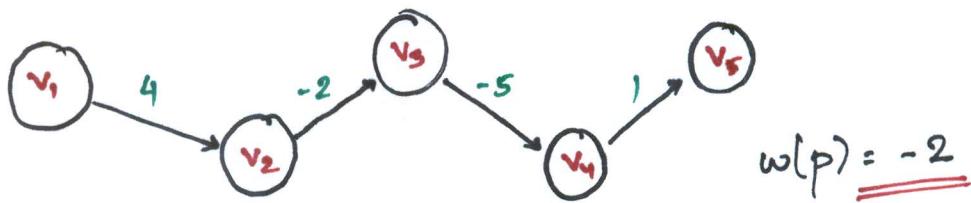
$\Rightarrow$  visit to  $v$  finishes before visiting  $u$ .

## Paths in graphs

Consider a diagraph  $G = (V, E)$  with edge-weight function  $w: E \rightarrow \mathbb{R}$ . The weight of path  $p = v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$  is defined to be

$$w(p) = \sum_{i=1}^{k-1} w(v_i, v_{i+1})$$

Example:



## Shortest Paths

A "shortest path" from  $u$  to  $v$  is a path of minimum weight from  $u$  to  $v$ .

The "shortest path weight" from  $u$  to  $v$  is defined as:

$$\delta(u, v) = \min \{w(p) : p \text{ is a path from } u \text{ to } v\}$$

Note:  $\delta(u, v) = \infty$  if no path from  $u$  to  $v$  exists.

## Optimal Substructure

Theorem:

A subpath of a shortest path is a shortest path.

## Triangle Inequality

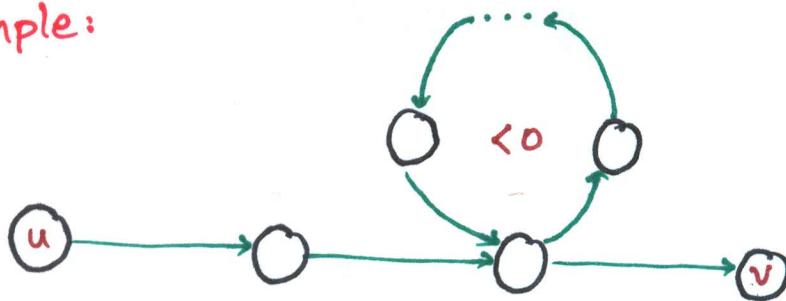
For all  $u, v, x \in V$ , we have

$$\delta(u, v) \leq \delta(u, x) + \delta(x, v)$$

## Well-definedness of shortest paths

If a graph  $G$  contains a negative-weight cycle, then some shortest path may not exist.

Example:



## Single-source shortest paths

Problem: From a given source vertex  $s \in V$ , find the shortest-path weights  $\delta(u, v)$  for all  $v \in V$ .

If all edge weights  $w(u, v)$  are non-negative, all shortest-path weights must exist.

Idea: Greedy

1. Maintain a set  $S$  of vertices whose shortest-path distance from  $s$  are known.
2. At each step add to  $S$  the vertex  $v \in V - S$  whose distance estimate from  $s$  is minimal.
3. Update the distance estimates of vertices adjacent to  $v$ .

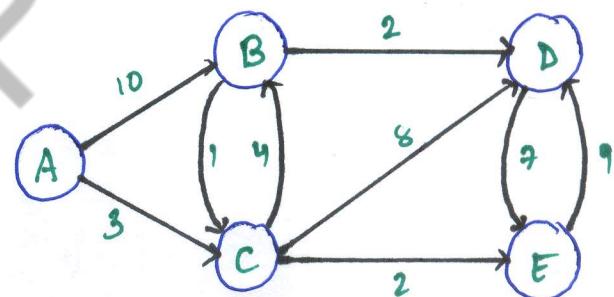
## Dijkstra's Algorithm

```

 $d[s] \leftarrow 0$ 
for each  $v \in V - \{s\}$ 
    do  $d[v] \leftarrow \infty$ 
 $S \leftarrow \emptyset$ 
 $Q \leftarrow V$   $\rightarrow Q$  is a priority queue maintaining  $V - S$ 
while  $Q \neq \emptyset$ 
    do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
         $S \leftarrow S \cup \{u\}$ 
        for each  $v \in \text{Adj}[u]$ 
            do if  $d[v] > d[u] + w(u,v)$ 
                then  $d[v] \leftarrow d[u] + w(u,v)$  } relaxation step
                    ↑
                    Implicit DECREASE-KEY
    
```

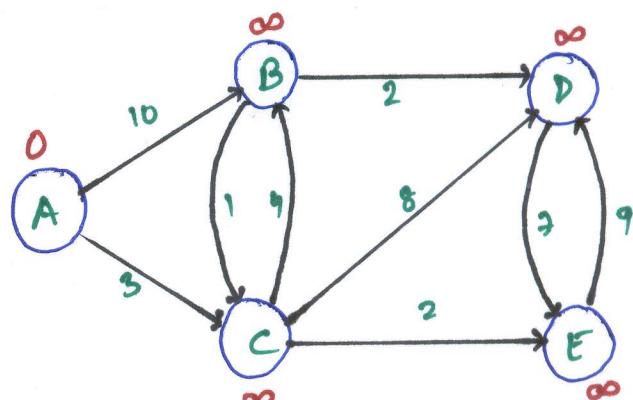
## Example of Dijkstra's Algorithm

Graph with  
non-negative  
edge weights



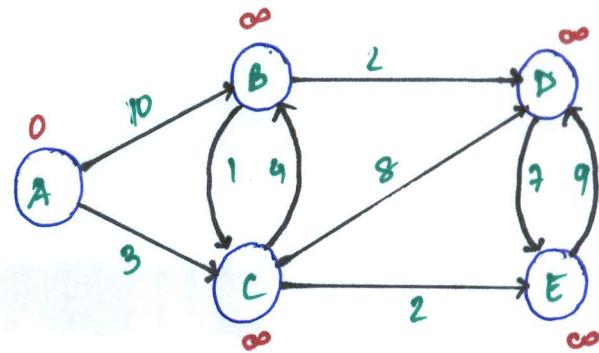
Initialize

Q: A B C D E  
 $0 \quad \infty \quad \infty \quad \infty \quad \infty$



$A \leftarrow \text{EXTRACT-MIN}(Q)$

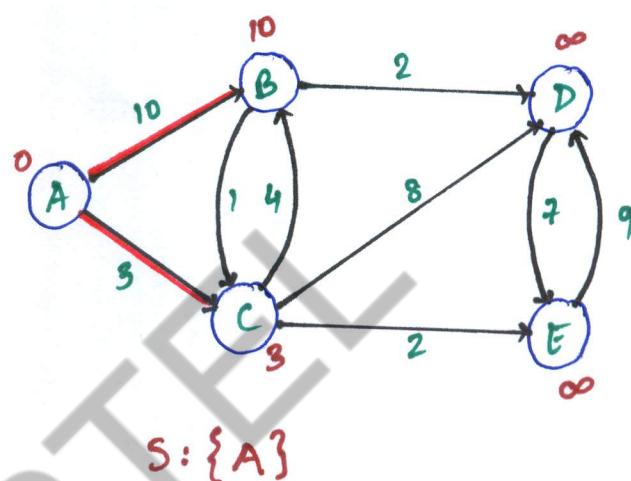
$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$



$S: \{A\}$

Relax all edges  
leaving  $A$

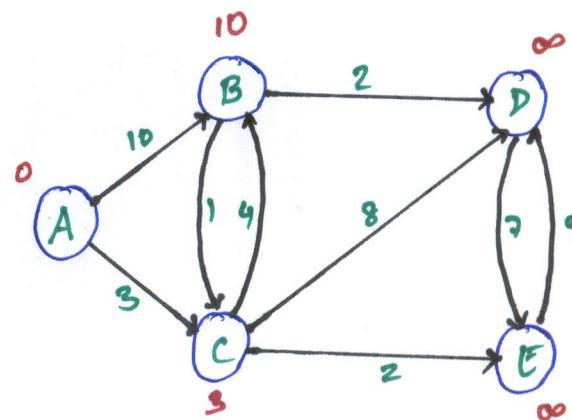
$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$
10	3	-	-	



$S: \{A\}$

$C \leftarrow \text{EXTRACT-MIN}(Q)$

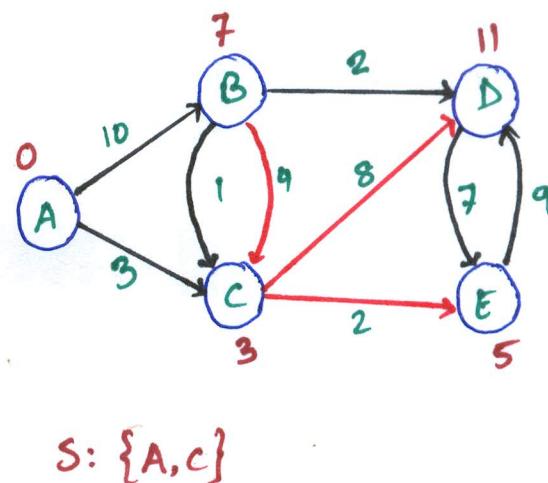
$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$
10	3	-	-	



$S: \{A, C\}$

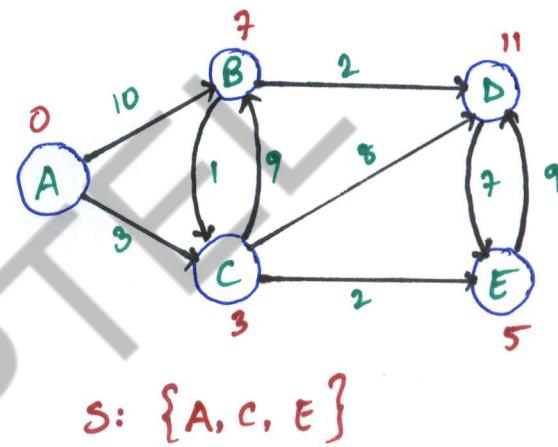
Relax all edges  
leaving C

	A	B	C	D	E
0	∞	∞	∞	∞	
10	3	-	-		
	7	11	5		



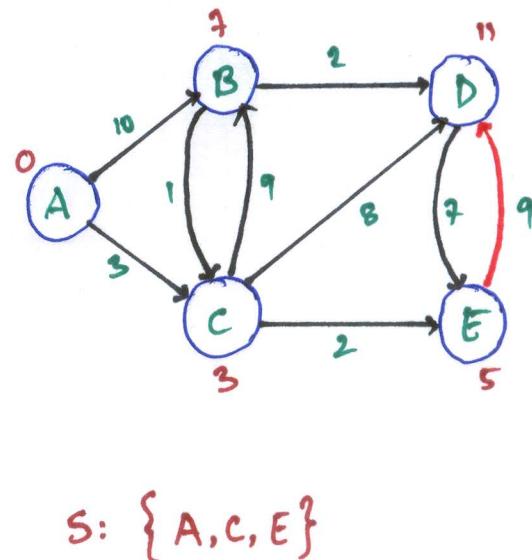
$E \leftarrow \text{EXTRACT-MIN}(Q)$

	A	B	C	D	E
0	∞	∞	∞	∞	
10	3	-	-		
	7	11	5		



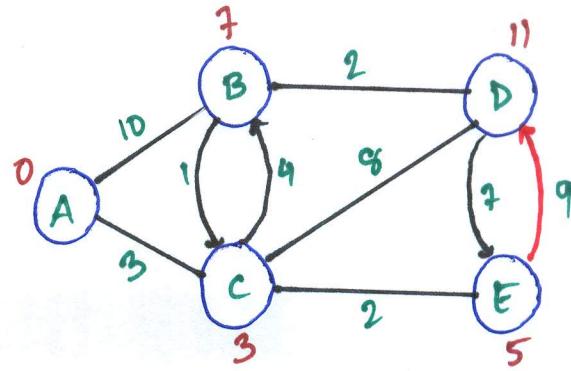
Relax all edges  
leaving E

	A	B	C	D	E
0	∞	∞	∞	∞	
10	3	-	-		
	7	11	5		
	7	11			



$B \leftarrow \text{EXTRACT-MIN}(Q)$ :

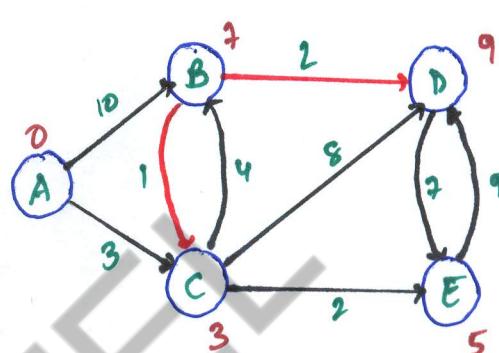
$Q:$	$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
10	$\boxed{3}$	$\infty$	$\infty$		
7		11	$\boxed{5}$		
$\boxed{7}$		11			



$S: \{A, C, E, B\}$

Relax all edges  
leaving  $B$

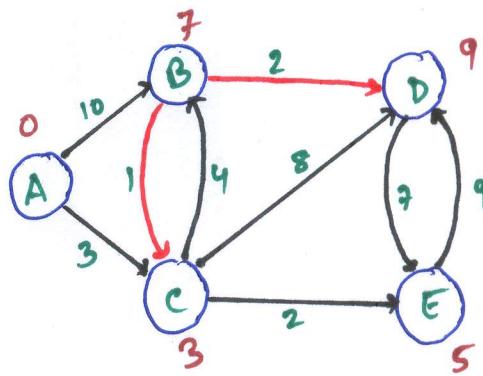
$Q:$	$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
10	$\boxed{3}$	$\infty$	$\infty$		
7		11	$\boxed{5}$		
$\boxed{7}$		11			



$S: \{A, C, E, B\}$

$D \leftarrow \text{EXTRACT-MIN}(Q)$

$Q:$	$A$	$B$	$C$	$D$	$E$
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
10	$\boxed{3}$	$\infty$	$\infty$		
7		11	$\boxed{5}$		
$\boxed{7}$		11			



$S: \{A, C, E, B, D\}$

## Correctness - Part I

**Lemma:** Initializing  $d[s] \leftarrow 0$  and  $d[v] \leftarrow \infty$  for all  $v \in V - \{s\}$  establishes  $d[v] \geq \delta(s, v)$  for all  $v \in V$ , and this invariant is maintained over any sequence of relaxation steps.

**Proof:**

Suppose **not**. Let  $v$  be the first vertex for which  $d[v] < \delta(s, v)$ , and let  $u$  be the vertex that caused  $d[v]$  to change :  $d[v] = d[u] + w(u, v)$ . Then

$$d[v] < \delta(s, v) \quad \text{supposition}$$

$$\leq \delta(s, u) + \delta(u, v) \quad \text{triangle inequality}$$

$$\leq \delta(s, u) + w(u, v) \quad \text{sh. path} \leq \text{specific path}$$

$$\leq d[u] + w(u, v) \quad v \text{ is first violation.}$$

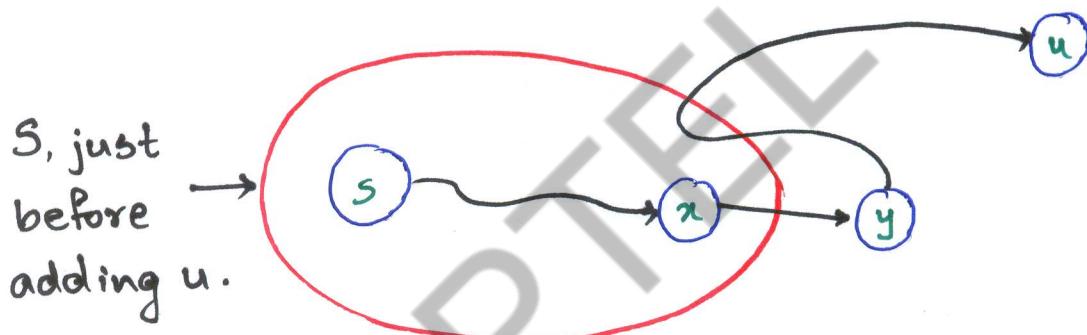
which is a contradiction.

## Correctness- Part II

Theorem: Dijkstra's algorithm terminates with  
 $d[v] = \delta(s,v)$  for all  $v \in V$

Proof:

It suffices to show that  $d[v] = \delta(s,v)$  for every  $v \in V$  when  $v$  is added to  $S$ . Suppose  $u$  is the first vertex added to  $S$  for which  $d[u] \neq \delta(s,u)$ . Let  $y$  be the first vertex in  $V - S$  along a shortest path from  $s$  to  $u$ , and  $x$  be its predecessor:



Since  $u$  is the first vertex violating the claimed invariant, we have  $d[x] = \delta(s,x)$ . Since subpaths of shortest paths are shortest paths, it follows that  $d[y]$  was set to  $\delta(s,x) + w(x,y) = \delta(s,y)$  when  $(x,y)$  was relaxed just after  $x$  was added to  $S$ .

Consequently, we have  $d[y] = \delta(x,y) \leq \delta(s,u) \leq d[u]$ . But  $d[u] \leq d[y]$  by our choice of  $u$ , and hence  $d[y] = \delta(x,y) = \delta(s,u) = d[u]$

which is a contradiction.

## Analysis of Dijkstra

```
while Q ≠ ∅  
do u ← EXTRACT-MIN(Q)  
    S ← S ∪ {u}  
    for each v ∈ Adj[u]  
        do if d[v] > d[u] + w[u,v]  
            then d[v] ← d[u] + w[u,v]
```

Handshaking Lemma

⇒ Θ(E) implicit DECREASE-KEY's

Time =  $\Theta(v) \cdot T_{\text{EXTRACT-MIN}} + \Theta(E) \cdot T_{\text{DECREASE-KEY}}$

Note: Same formula as in the analysis of Prim's minimum spanning tree algorithm.

Q	$T_{\text{EXTRACT-MIN}}$	$T_{\text{DECREASE-KEY}}$	Total
array	$O(v)$	$O(1)$	$O(v^2)$
binary heap	$O(\log v)$	$O(\log v)$	$O(E \log v)$
Fibonacci heap	$O(\log v)$ amortized	$O(1)$ amortized	$O(E + v \log v)$ worst case

## Negative Weight Cycle

Recall: If a graph  $G = (V, E)$  contains a negative-weight cycle, then some shortest paths may not exist.

## Bellman-Ford Algorithm:

Finds all shortest-path lengths from a source  $s \in V$  to all  $v \in V$  or determines that a negative cycle exists.

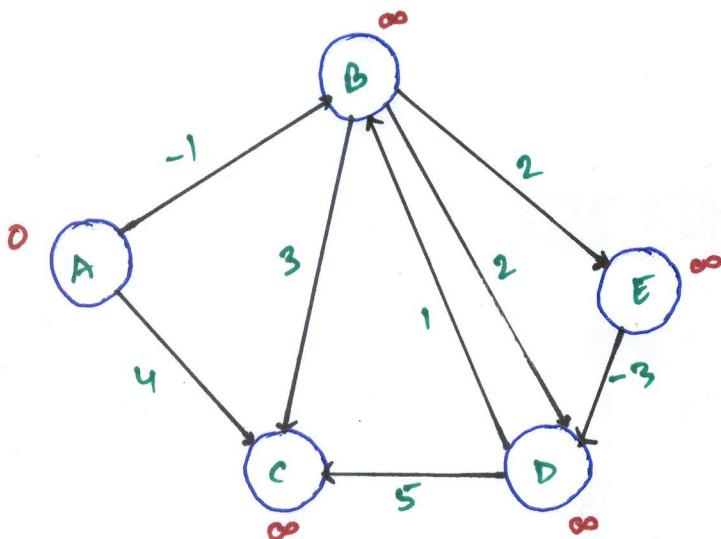
## Bellman-Ford Algorithm

1.  $d[s] \leftarrow 0$
  2. for each  $v \in V - \{s\}$
  3. do  $d[v] \leftarrow \infty$
- } initialization
4. for  $i \leftarrow 1$  to  $|V| - 1$
  5. do for each edge  $(u, v) \in E$
  6. do if  $d[v] > d[u] + w[u, v]$
  7. then  $d[v] \leftarrow d[u] + w[u, v]$
- } relaxation
8. for each edge  $(u, v) \in E$
  9. do if  $d[v] > d[u] + w[u, v]$
- } step
10. then report that a negative-weight cycle exists.

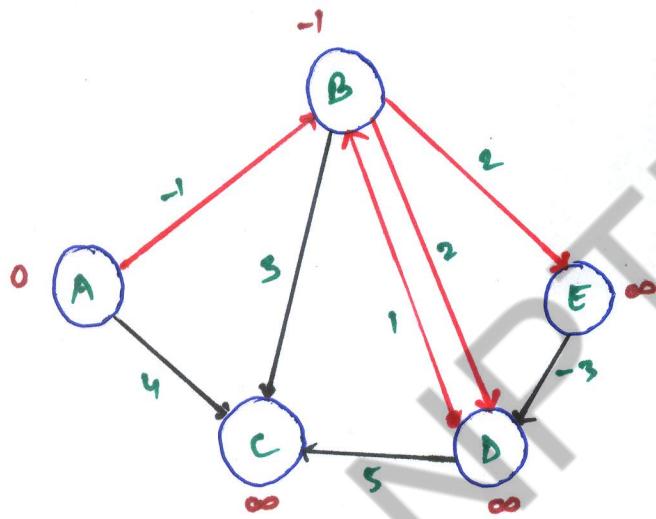
At the end,  $d[v] = \delta(s, v)$

Time =  $O(VE)$

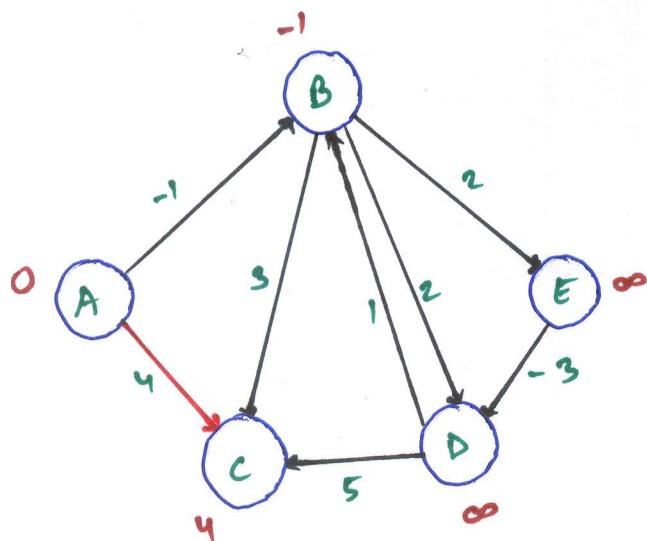
## Example of Bellman-Ford



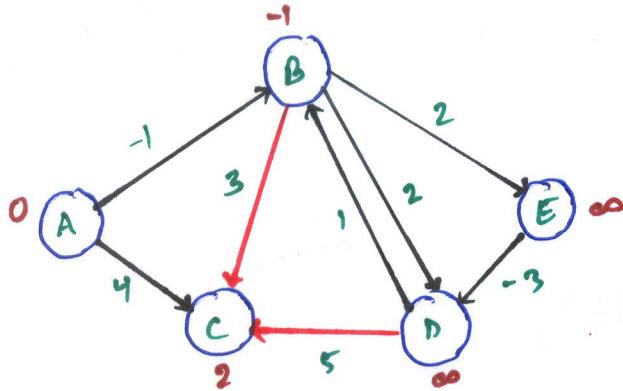
A B C D E
0 $\infty$ $\infty$ $\infty$ $\infty$



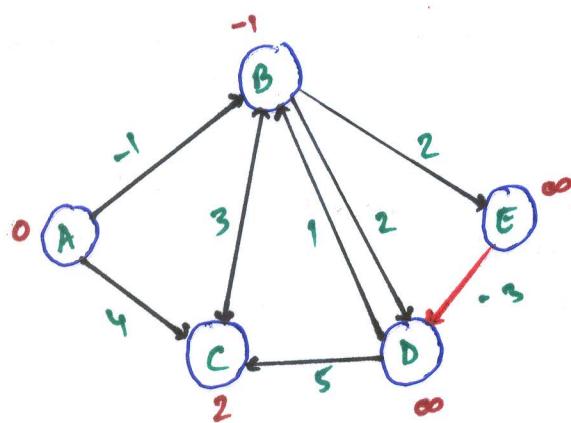
A B C D E
0 $\infty$ $\infty$ $\infty$ $\infty$
0 -1 $\infty$ $\infty$ $\infty$



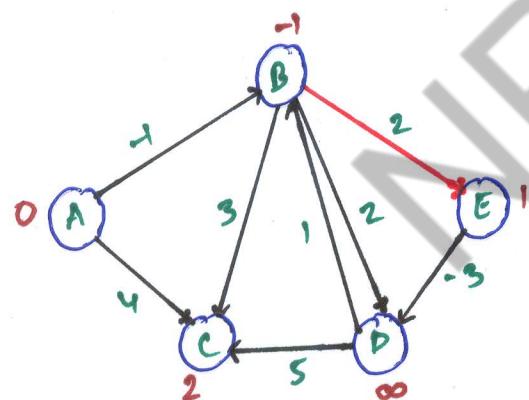
A B C D E
0 $\infty$ $\infty$ $\infty$ $\infty$
0 -1 $\infty$ $\infty$ $\infty$
0 -1 4 $\infty$ $\infty$



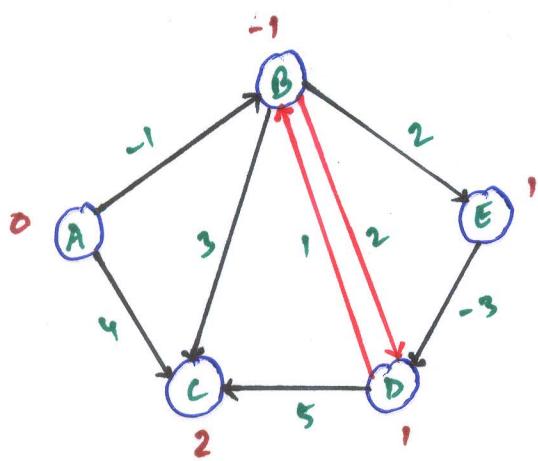
A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$



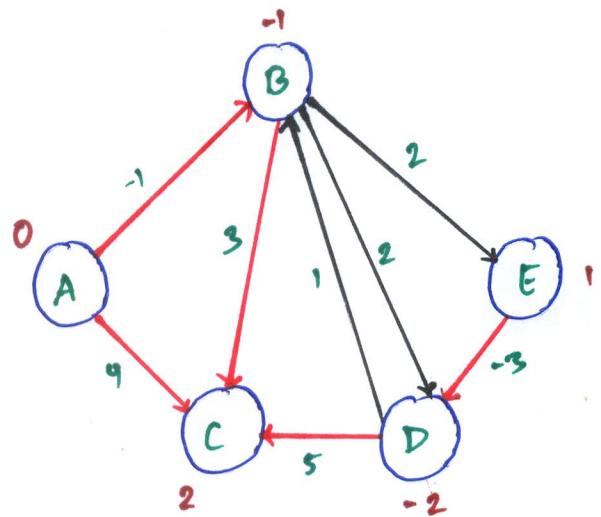
A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$



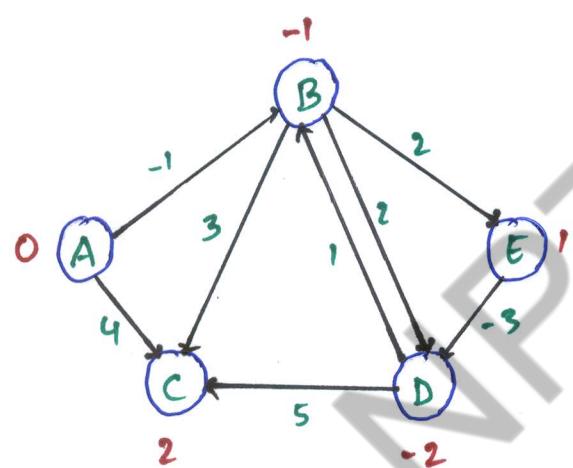
A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$
0	-1	2	$\infty$	1



A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$
0	-1	2	$\infty$	1
0	-1	2	1	1



A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$
0	-1	2	$\infty$	1
0	-1	2	1	1
0	-1	2	-2	1



A	B	C	D	E
0	$\infty$	$\infty$	$\infty$	$\infty$
0	-1	$\infty$	$\infty$	$\infty$
0	-1	4	$\infty$	$\infty$
0	-1	2	$\infty$	$\infty$
0	-1	2	$\infty$	1
0	-1	2	1	1
0	-1	2	-2	1