

CS 6501 Natural Language Processing

Probabilistic Context-free Grammars

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ENGINEERING

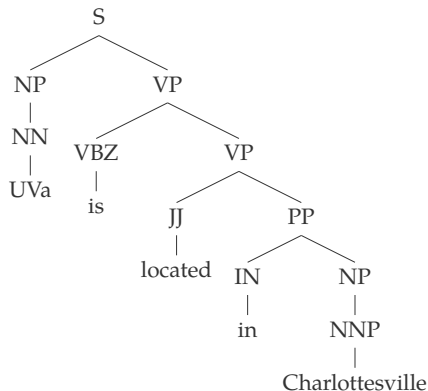
Overview

1. Introduction
2. Context-Free Grammars
3. Ambiguity
4. Probabilistic CFGs

Introduction

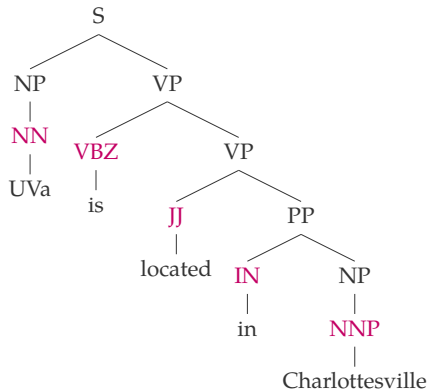
Syntactic Parse Tree

- ▶ Input: UVa is located in Charlottesville
- ▶ Output:



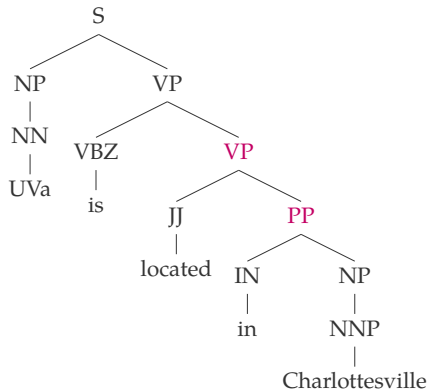
Information Conveyed by Parse Trees

Part of speech for each word



Information Conveyed by Parse Trees (II)

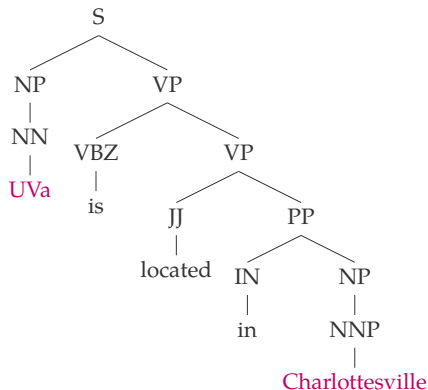
Phrase



- ▶ PP: *in Charlottesville*
- ▶ VP: *located in Charlottesville*

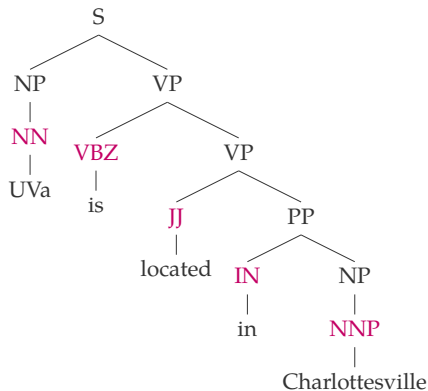
Information Conveyed by Parse Trees (III)

Useful relationships



Relationship between **UVa** and **Charlottesville**

Example Applications (I): Question answering



- Question answering: *where is UVa?*

Example Applications (II): Machine translation

- ▶ English word order: SUBJECT - VERB - OBJECT
- ▶ Japanese word order: SUBJECT - OBJECT - VERB

Example Applications (II): Machine translation

- ▶ English word order: SUBJECT - VERB - OBJECT
- ▶ Japanese word order: SUBJECT - OBJECT - VERB

Example I

- ▶ English: IBM bought Lotus
- ▶ Japanese: IBM Lotus bought

Example Applications (II): Machine translation

- ▶ English word order: SUBJECT - VERB - OBJECT
- ▶ Japanese word order: SUBJECT - OBJECT - VERB

Example I

- ▶ English: IBM bought Lotus
- ▶ Japanese: IBM Lotus bought

Example II

- ▶ English: Sources said that IBM bought Lotus yesterday
- ▶ Japanese: Sources yesterday IBM Lotus bought that said

[Collins, 2017]

Example Applications (III)

Other applications:

- ▶ Grammar checking
- ▶ Dialogue understanding
- ▶ Text generation

Context-Free Grammars

Formal Definition

A context free grammar $G = (N, \Sigma, R, S)$ where

- ▶ N : a set of **non-terminal** symbols
- ▶ $S \in N$: a distinguished **start** symbol
- ▶ Σ : a set of **terminal** symbols
- ▶ R : a set of **rules** of the form $X \rightarrow Y_1 Y_2 \cdots Y_n$ for $n \geq 0, X \in N, Y_i \in N \cup \Sigma$

[Collins, 2017]

A Context-Free Grammar for English

- ▶ $N = \{S, NP, VP, PP, DT, Vi, Vt, NN, IN\}$
- ▶ $S = S$
- ▶ $\Sigma =$
{sleeps, saw, man, woman, telescope, the, with, in}
- ▶ R^1

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
Vt	→	saw
NN	→	man
NN	→	woman
NN	→	telescope
DT	→	the
IN	→	with
IN	→	in

¹S=sentence, VP=verb phrase, NP=noun phrase, PP=prepositional phrase, DT=determiner, Vi=intransitive verb, Vt=transitive verb, NN=noun, IN=preposition

(Left-Most) Derivations

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
Vt	→	saw
NN	→	man
NN	→	woman
NN	→	telescope
DT	→	the
IN	→	with
IN	→	in

- ▶ Left-most derivation: always pick the left-most non-terminal symbol for replacement

An Example

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
Vt	→	saw
NN	→	man
NN	→	woman
NN	→	telescope
DT	→	the
IN	→	with
IN	→	in

Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	

An Example

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
Vt	→	saw
NN	→	man
NN	→	woman
NN	→	telescope
DT	→	the
IN	→	with
IN	→	in

Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	

An Example

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
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DT	→	the
IN	→	with
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Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	$DT \rightarrow the$
the NN VP	

An Example

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
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IN	→	in

Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	$DT \rightarrow the$
the NN VP	$NN \rightarrow man$
the man VP	

An Example

S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
NP	→	NP	PP
PP	→	IN	NP

Vi	→	sleeps
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Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	$DT \rightarrow the$
the NN VP	$NN \rightarrow man$
the man VP	$VP \rightarrow Vi$
the man Vi	

An Example

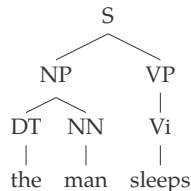
S	→	NP	VP
VP	→	Vi	
VP	→	Vt	NP
VP	→	VP	PP
NP	→	DT	NN
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Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	$DT \rightarrow the$
the NN VP	$NN \rightarrow man$
the man VP	$VP \rightarrow Vi$
the man Vi	$Vi \rightarrow sleeps$
the man sleeps	

From Derivations to Parse Tree

Derivation	Rules used
S	$S \rightarrow NP VP$
NP VP	$NP \rightarrow DT NN$
DT NN VP	$DT \rightarrow \text{the}$
the NN VP	$NN \rightarrow \text{man}$
the man VP	$VP \rightarrow Vi$
the man Vi	$Vi \rightarrow \text{sleeps}$
the man sleeps	



Properties of CFGs

- ▶ A CFG defines a set of possible derivations

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- ▶ A string $s \in \Sigma^*$ is in the language defined by the CFG if there is at least one derivation that yield s

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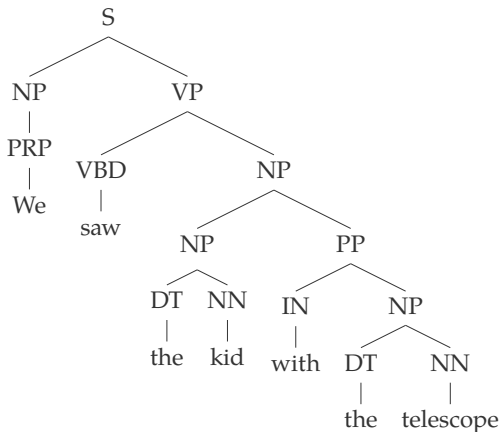
- ▶ A CFG defines a set of possible derivations
- ▶ A string $s \in \Sigma^*$ is in the language defined by the CFG if there is at least one derivation that yield s
- ▶ **Ambiguity**: each string in the language generated by the CFG may have more than one derivation

Ambiguity



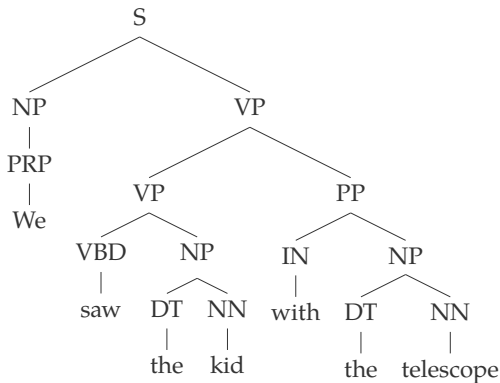
An Example of Ambiguity

Sentence: We saw the kid with the telescope



An Example of Ambiguity (II)

Sentence: We saw the kid with the telescope



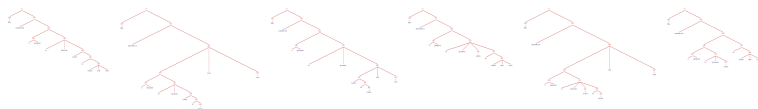
Problem with Parsing: Ambiguity

Sentence: She announced a program to promote safety
in trucks and vans



Problem with Parsing: Ambiguity

Sentence: She announced a program to promote safety in trucks and vans



- ▶ She announced a program to promote (safety in (trucks and vans))
- ▶ She announced a program to promote ((safety in trucks) and (vans))

Problem with Parsing: Ambiguity

Sentence: She announced a program to promote safety in trucks and vans

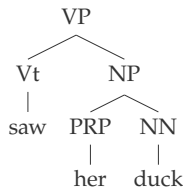


- ▶ She announced a program to promote (safety in (trucks and vans))
- ▶ She announced a program to promote ((safety in trucks) and (vans))
- ▶ She announced ((a program to promote safety in trucks) and (vans))

Sources of Ambiguity (I)

Part-of-Speech ambiguity

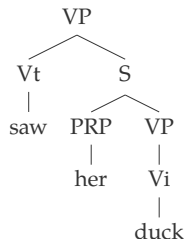
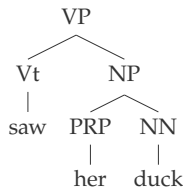
- ▶ NN → duck



Sources of Ambiguity (I)

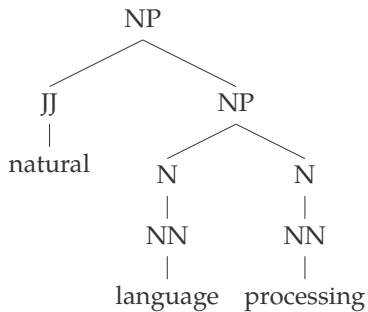
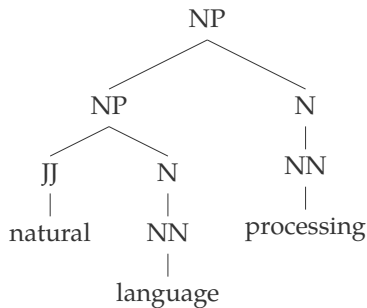
Part-of-Speech ambiguity

- ▶ NN → duck
- ▶ Vi → duck



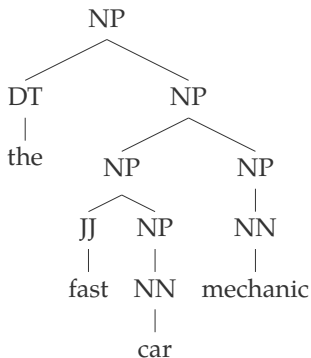
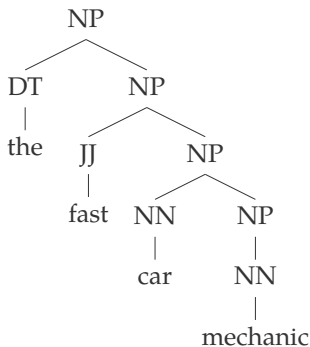
Sources of Ambiguity (II)

Noun premodifiers



Sources of Ambiguity (III)

Noun premodifiers



Probabilistic CFGs

A Probabilistic Context-Free Grammar (PCFG)

- ▶ N : a set of non-terminal symbols
- ▶ $S \in N$: a distinguished start symbol
- ▶ Σ : a set of terminal symbols
- ▶ R :

S	\Rightarrow	NP	VP	1.0
VP	\Rightarrow	Vi		0.4
VP	\Rightarrow	Vt	NP	0.4
VP	\Rightarrow	VP	PP	0.2
NP	\Rightarrow	DT	NN	0.3
NP	\Rightarrow	NP	PP	0.7
PP	\Rightarrow	P	NP	1.0

Vi	\Rightarrow	sleeps	1.0
Vt	\Rightarrow	saw	1.0
NN	\Rightarrow	man	0.7
NN	\Rightarrow	woman	0.2
NN	\Rightarrow	telescope	0.1
DT	\Rightarrow	the	1.0
IN	\Rightarrow	with	0.5
IN	\Rightarrow	in	0.5

Probability of a Tree

The probability of a tree t with rules

$$\alpha_1 \rightarrow \beta_1, \alpha_2 \rightarrow \beta_2, \dots, \alpha_n \rightarrow \beta_n$$

is

$$p(t) = \prod_{i=1}^n p(\alpha_i \rightarrow \beta_i) \quad (1)$$

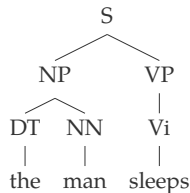
$$= \prod_{i=1}^n p(\beta_i \mid \alpha_i) \quad (2)$$

where $p(\alpha \rightarrow \beta)$ is the *generative* rule of $\alpha \rightarrow \beta$

An Example

S	⇒	NP	VP	1.0
VP	⇒	Vi		0.4
VP	⇒	Vt	NP	0.4
VP	⇒	VP	PP	0.2
NP	⇒	DT	NN	0.3
NP	⇒	NP	PP	0.7
PP	⇒	P	NP	1.0

Vi	⇒	sleeps	1.0
Vt	⇒	saw	1.0
NN	⇒	man	0.7
NN	⇒	woman	0.2
NN	⇒	telescope	0.1
DT	⇒	the	1.0
IN	⇒	with	0.5
IN	⇒	in	0.5



$$\begin{aligned} p(t) &= p(S \rightarrow NP VP) \cdot p(NP \rightarrow DT NN) \cdot p(DT \rightarrow the) \\ &\quad \cdot p(NN \rightarrow man) \cdot p(VP \rightarrow Vi) \cdot p(Vi \rightarrow sleeps) \\ &= 1.0 \times 0.3 \times 1.0 \times 0.7 \times 0.4 \times 1.0 \end{aligned}$$

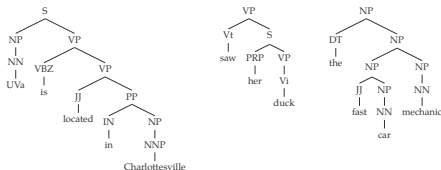
Properties of PCFGs

- ▶ Assigns a probability to each derivation, or parse-tree, allowed by the underlying CFG
- ▶ If one sentence has more than one derivations, we can rank them based on their probabilities
- ▶ The most likely parse tree for a sentence is

$$\operatorname{argmax}_{t \in \mathcal{T}(s)} p(t) \quad (3)$$

Deriving a PCFG from a Corpus

- ▶ Given a set of example trees (a treebank), the underlying CFG can simply be **all rules seen in the corpus**

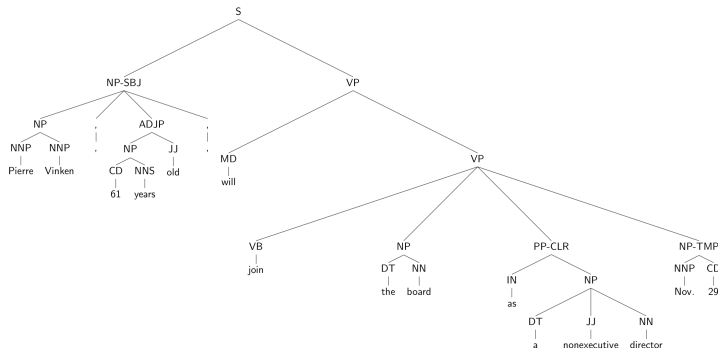


- ▶ Maximum likelihood estimates:

$$p(\alpha \rightarrow \beta) = p(\beta \mid \alpha) = \frac{\#(\alpha \rightarrow \beta)}{\#(\alpha)} \quad (4)$$

Penn Treebank

- ▶ 50,000 sentences with associated trees
- ▶ Usual setup: 40,000 training sentences, 2,400 test sentences



Some Penn Treebank Rules with Counts

40717 PP → IN NP
33803 S → NP-SBJ VP
22513 NP-SBJ → -NONE-
21877 NP → NP PP
20740 NP → DT NN
14153 S → NP-SBJ VP .
12922 VP → TO VP
11881 PP-LOC → IN NP
11467 NP-SBJ → PRP
11378 NP → -NONE-
11291 NP → NN
...
989 VP → VBG S
985 NP-SBJ → NN
983 PP-MNR → IN NP

100 VP → VBD PP-PRD
100 PRN → : NP :
100 NP → DT JJS
100 NP-CLR → NN
99 NP-SBJ-1 → DT NNP
98 VP → VBN NP PP-DIR
98 VP → VBD PP-TMP
98 PP-TMP → VBG NP
97 VP → VBD ADVP-TMP VP
...
10 WHNP-1 → WRB JJ
10 VP → VP CC VP PP-TMP
10 VP → VP CC VP ADVP-MNR
10 VP → VBZ S , SBAR-ADV
10 VP → VBZ S ADVP-TMP

Reference



Collins, M. (2017).
Natural language processing: Lecture notes.