# CS 6501 Natural Language Processing

**Dependency Parsing** 

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#### Overview

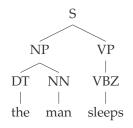
- 1. Dependency Grammars
- 2. Transition-Based Parsing
- 3. How to Build a Parser?

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### **Dependency Grammars**

#### Context-free Grammars

A example of CFG:



Or

$$S \rightarrow NP \ VP$$
 $NP \rightarrow DT \ NN$ 
 $VP \rightarrow VBZ$ 
 $DT \rightarrow the$ 
 $NN \rightarrow man$ 
 $VBZ \rightarrow sleeps$ 

### **Dependency Grammars**

Given two words  $w_i$  and  $w_j$  from a sentence:

$$w_i \xrightarrow{\text{Relation Type}} w_j$$
 (1)

Basic components in a dependency relation

- ► Head: w<sub>i</sub>
- ► Dependent/modifier: *w*<sub>i</sub>
- ► A relation type associated with the head and the dependent

Relations	head and dependent
NSUBJ	We booked her the first flight to Miami

#### Dependency relations:

▶ NSUBJ: nominal subject

Relations	head and dependent
NSUBJ	We booked her the first flight to Miami
DOBJ	We booked her the first flight to Miami

#### Dependency relations:

► NSUBJ: nominal subject

▶ ровј: direct object

Relations	head and dependent
NSUBJ	We booked her the first flight to Miami
DOBJ	We booked her the first flight to Miami
IOBJ	We booked her the first flight to Miami

#### Dependency relations:

- ► NSUBJ: nominal subject
- ▶ ровј: direct object
- ▶ тову: indirect object

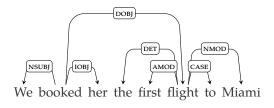
Relations	head and dependent
NSUBJ	We booked her the first flight to Miami
DOBJ	We booked her the first flight to Miami
IOBJ	We booked her the first flight to Miami
AMOD	We booked her the first flight to Miami

#### Dependency relations:

- ► NSUBJ: nominal subject
- ▶ ровј: direct object
- ▶ тову: indirect object
- ▶ амор: adjectival modifier

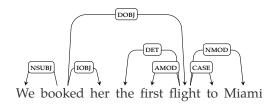
#### Dependency Trees

All dependency relations in the previous example can be unified into a tree structure, called **dependency tree** 



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All dependency relations in the previous example can be unified into a tree structure, called **dependency tree** 



Direct graph G = (V, E): a set of vertices V, and a set of ordered pairs of vertices E,

- root node has no incoming arcs
- each vertex has exactly one incoming arc, except the root node
- a unique path from the root node to each vertex

# Dependency Relations

#### Some example relations

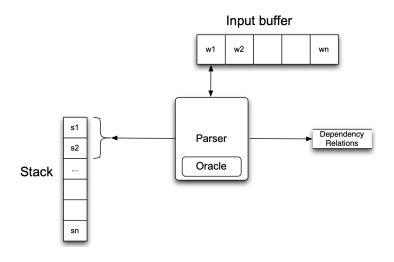
<b>Clausal Argument Relations</b>	Description
NSUBJ	Nominal subject
DOBJ	Direct object
IOBJ	Indirect object
CCOMP	Clausal complement
XCOMP	Open clausal complement
Nominal Modifier Relations	Description
NMOD	Nominal modifier
AMOD	Adjectival modifier
NUMMOD	Numeric modifier
APPOS	Appositional modifier
DET	Determiner
CASE	Prepositions, postpositions and other case markers
Other Notable Relations	Description
CONJ	Conjunct
CC	Coordinating conjunction

[Jurafsky and Martin, 2019]

# Transition-Based Parsing

# Configuration

Basic framework of transition-based dependency parsing



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## Input Buffer/Queue

Used to keep all the input tokens



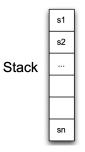


#### Basic operations

- ► Enqueue: append one element to the end (will *not* be used)
- Dequeue: remove one element from the head

#### Stack

Used to keep all the intermediate results during parsing

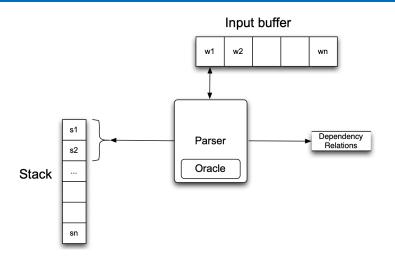


#### Basic operations

- ▶ Pop one element from the top
- Push one element from the top

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#### **Oracle**



**Oracle**: given the status of input buffer and stack, generate the next parsing action.

# Parsing Action (I)

#### Shift action:

- 1. Dequeue one token from the input buffer
- 2. Push it to the top of the stack

Stack	Input buffer	Action
(book)	(me, the, morning, flight)	Shift

# Parsing Action (I)

#### Shift action:

- 1. Dequeue one token from the input buffer
- 2. Push it to the top of the stack

Stack	Input buffer	Action
(book) (book, me)	(me, the, morning, flight) (the, morning, flight)	Shift

# Parsing Action (II)

#### RIGHTARC action:

- 1. Pop the top two words from the stack
- 2. Create a right-facing arc between the these two words
- 3. Push the top second word back to the stack

Stack	Input buffer	Action
(book, me)	(the, morning, flight)	RightArc

# Parsing Action (II)

#### RIGHTARC action:

- 1. Pop the top two words from the stack
- 2. Create a right-facing arc between the these two words
- 3. Push the top second word back to the stack

ne, morning, flight) ne, morning, flight)	RightArc

# Parsing Action (III)

#### LeftArc action:

- 1. Pop the top two words from the stack
- 2. Create a left-facing arc between the these two words
- 3. Push the top first word back to the stack

Stack	Input buffer	Action
(book, me, the, morning, flight)	()	LeftArc

# Parsing Action (III)

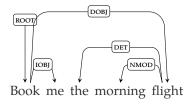
#### LeftArc action:

- 1. Pop the top two words from the stack
- 2. Create a left-facing arc between the these two words
- 3. Push the top first word back to the stack

Stack	Input buffer	Action
(book, me, the, morning, flight)	()	LeftArc
(book, me, the, flight)	()	

### Overview: Parsing setup

- ▶ Input: Book me the morning flight
- Output:



- Containers: an input buffer and a stack
- Oracle: produce parsing actions to manipulate the input buffer and the stack

#### **Initial State**

► Stack: empty

► Input Buffer: contain all the words

Stack	Input buffer	Action
()	(book, me, the, morning, flight)	Shift

#### **End State**

► Stack: only contain one word

► Input Buffer: empty

Stack	Input buffer	Action
(book)	()	

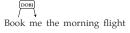
Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift

Book me the morning flight

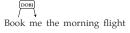
Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift

Book me the morning flight

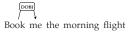
Stack	Input Buffer	Action
,	(book, me, the, morning, flight) (me, the, morning, flight) (the, morning, flight)	Shift Shift RightArc



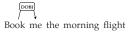
Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift



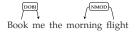
Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift



Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift
(book, the, morning)	(flight)	Shift



Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift
(book, the, morning)	(flight)	Shift
(book, the, morning, flight)	()	LeftArc



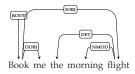
Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift
(book, the, morning)	(flight)	Shift
(book, the, morning, flight)	()	LeftArc
(book, the, flight)	()	LeftArc



Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift
(book, the, morning)	(flight)	Shift
(book, the, morning, flight)	()	LeftArc
(book, the, flight)	()	LeftArc
(book, flight)	()	RightArc



Stack	Input Buffer	Action
()	(book, me, the, morning, flight)	Shift
(book)	(me, the, morning, flight)	Shift
(book, me)	(the, morning, flight)	RightArc
(book)	(the, morning, flight)	Shift
(book, the)	(morning, flight)	Shift
(book, the, morning)	(flight)	Shift
(book, the, morning, flight)	()	LeftArc
(book, the, flight)	()	LeftArc
(book, flight)	()	RightArc
(book)	()	



# Complexity

- ► Greedy
- ▶ Time complexity  $\mathfrak{G}(n)$ , 2n-1 parsing actions to be accurate
- ▶ Space complexity  $\mathfrak{O}(n)$

where n is the length of the sentence

How to Build a Parser?

#### How to Pick an Action?

How to select a parsing action, if there is no oracle:

Stack	Input buffer	Action
(book, me)	(the, morning, flight)	?

#### How to Pick an Action?

How to select a parsing action, if there is no oracle:

	Stack	Input buffer	Action
	(book, me)	(the, morning, flight)	?
Option 1	(book, me, the)	(morning, flight)	Shift
Option 2	(book)	(the, morning, flight)	RightArc
Option 3	(me)	(the, morning, flight)	LeftArc

# Parsing as Classification

Formulate the parsing action selection as a classification problem

$$\hat{y}_t = \underset{y_t}{\operatorname{argmax}} \, \boldsymbol{\theta}^\top f(x_t, y_t) \tag{2}$$

where  $x_t$  represents the status of the input buffer and the stack, and  $y_t$  is a parsing action

# Parsing as Classification

Formulate the parsing action selection as a classification problem

$$\hat{y}_t = \underset{y_t}{\operatorname{argmax}} \, \boldsymbol{\theta}^\top f(x_t, y_t) \tag{2}$$

where  $x_t$  represents the status of the input buffer and the stack, and  $y_t$  is a parsing action

What is the minimal requirement of  $x_t$ ?

- Top two words from the stack
- The first words from the input buffer

Stack	Input buffer	Action
(book, me)	(the, morning, flight)	?

# Parsing Actions

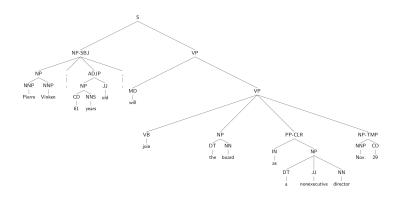
How many parsing actions in total?

- ► Three basic parsing actions
- ► *N* dependency relations

Total: 2N + 1 actions (labels for classification)

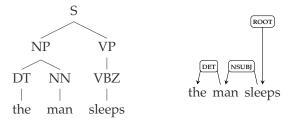
Stack	Input buffer	Action	
(book, me)	(the, morning, flight)	RightArc-iobj	

# Training Corpus: Penn Treebank



### Example

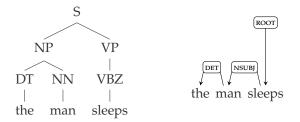
#### Convert a CFG tree to a dependency tree



ROOT

### Example

Convert a CFG tree to a dependency tree



#### Question

Can we convert a dependency tree to a CFG tree?

## From CFGs to Dependency Trees

The rule of finding the head of a *noun phrase*:

- ▶ If the last word is tagged POS, return last-word.
- Else search from right to left for the first child which is an NN, NNP, NNPS, NX, POS, or JJR.
- Else search from left to right for the first child which is an NP.
- Else search from right to left for the first child which is a \$, ADJP, or PRN.
- ▶ Else search from right to left for the first child which is a CD.
- Else search from right to left for the first child which is a JJ, JJS, RB or QP.
- Else return the last word

#### **Universal Dependencies**

#### Universal Dependencies

Universal Dependencies (UD) is a framework for consistent annotation of grammar (parts of speech, morphological features, and syntactic dependencies) across different human languages. UD is an open community effort with over 200 contributors producing more than 100 treebanks in over 70 languages. If you're new to UD, you should start by reading the first part of the Short Introduction and then browsing the annotation guidelines.

- · Short introduction to UD
- · UD annotation guidelines
- More information on UD:
  - How to contribute to UD
  - Tools for working with UD
     Discussion on UD
  - UD-related events
- Ouery UD treebanks online:
- SETS treebank search maintained by the University of Turku
  - PML Tree Ouery maintained by the Charles University in Prague
  - Kontext maintained by the Charles University in Prague
  - Grew-match maintained by Inria in Nancy
  - o INESS maintained by the University of Bergen
- Download UD treebanks

If you want to receive news about Universal Dependencies, you can subscribe to the <u>UD mailing list</u>. If you want to discuss individual annotation questions, use the <u>Github issue tracker</u>.

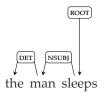
#### Current UD Languages

Information about language families (and genera for families with multiple branches) is mostly taken from WALS Online (IE = Indo-European).

	>	Afrikaans	1	49K	<0	IE, Germanic
	-24	Akkadian	1	1K		Afro-Asiatic, Semitic
-	-0-	Amharic	1	10K	<b>▲#/</b> □0	Afro-Asiatic, Semitic
-	#	Ancient Greek	2	416K	<b>420</b>	IE, Greek
-	<ul><li> </li></ul>	Arabic	3	1,042K	EW	Afro-Asiatic, Semitic
-		Armenian	1	36K	8/8	IE, Armenian
-	X	Assyrian	1	<1K	<b>E</b>	Afro-Asiatic, Semitic
-		Bambara	1	13K	<b>6</b>	Mande
-	$\rightarrow$	Basque	1	121K	(DE)	Basque
-		Belarusian	1	13K	<b>是</b> 大回日	IE, Slavic
-	100	Breton	1	10K	# PEGIW	IE, Celtic
		Bullionstein		1 ECV	ALA COT	te elasta

### Exercise: From a Dependency Tree to Parsing Actions

How to recover parsing actions from a dependency tree?



# Summary

1. Dependency Grammars

2. Transition-Based Parsing

3. How to Build a Parser?

#### Reference



Jurafsky, D. and Martin, J. (2019). Speech and language processing.