

University of Groningen

SOFTWARE PATTERNS TEAM 2

Pattern-based Recovery & Evaluation: Docker



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Monday 18^{th} January, 2016 Version 0.4

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Revision History

Version	Author	Date	Description
0.1	Menninga	12-12-15	Added stakeholders and concerns.
	Schaefers	11-12-15	Added stakeholder concern table
	Schaefers	11-12-15	Added key drivers
	Putra	13-12-15	First draft of introduction chapter.
	Fakambi	11-12-15	System context
0.2	Menninga	29-12-15	Improved stakeholders section
	Menninga	02-01-16	Added Client-Server pattern
	Putra	03-01-16	Revised the introduction.
	Menninga	03-01-16	More additions client-server pattern $+$ added security to stakeholders
	Putra	03-01-16	Added layers pattern documentation.
	Menninga	04-01-16	Added Plugin pattern.
	Menninga	04-01-16	Some improvements to System Context.
	Schaefers	04-01-16	Changed the names of the stakeholders concerns to include both the main- and sub quality
	Schaefers	04-01-16	Added image showing the relation between the stakeholders and the concerns
	Schaefers	04-01-16	Changed the key drivers, added references, changed
			the explanations
0.3	Putra	08-01-16	Revised introduction
	Menninga	09-01-16	Finished/improved Plugin and Client-Server
	Menninga	09-01-16	Improvement stakeholder concerns
	Putra	10-01-16	Revised layers pattern
	Menninga	10-01-16	Client server in logical view
	Menninga	10-01-16	Added proxy pattern
	Putra	10-01-16	Added few text of logical view and raw intro of evaluation
	Menninga	10-01-16	Security as key driver
	Fakambi	10-01-16	Shared Repository, Event-Driven and Direct Authentication Patterns
	Menninga	11-01-16	Intro for pattern documentation
	Schaefers	11-01-16	Fixed the .lib to make the references resolve again
	Schaefers	11-01-16	Added a start to the logical view in the architecture
	Schaefers	11-01-16	Figure updated about docker registry in chapter 5
0.4	Menninga	15-01-16	Some improvements to stakeholders
	Putra	16-01-16	Small revision introduction. Rewriting layers pattern
	Putra	16-01-16	Added layers pattern illustration.
	Putra	17-01-16	Added layers pattern evaluation.
	Putra	17-01-16	First draft of conclusion.
	Menninga	17-01-16	Improvements to plugin/client-server/proxy pattern (also added some figures)
	Menninga	17-01-16	Added broker pattern
	Menninga	17-01-16	Evaluation for client-server, proxy, broker and plugin
	Schaefers	17-01-16	Added general dependency information at the beginning of the software architecture description.

Version	Author	Date	Description
	Schaefers	17-01-16	Added traceability information to the client-server
			pattern by looking at the dependencies of the packages.
	Schaefers	17-01-16	Added glossaries page and made overall minor
			improvements.

Contents

List of Tables vi 1 Introduction 2 2 System Context 3 2.1 Overview 3 2.2 History 4 2.3 Community 4 3 Stakeholders and Concerns 5 3.1 Stakeholders 5 3.2 Key Drivers 7 3.2.1 Portability 7 3.2.2 Security 8 3.2.3 Reliability 8 4 Software Architecture 9 4.1.1 Client 10 4.1.2 Server / Daemon 10 4.1.3 Registry 11 4.2 Process View 11 4.2.1 Plugins 11 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6 Architecture review 23 6.1.1 Client-Server 23 6.1.2 Layers 23		Revisions	i iii
2 System Context 3 2.1 Overview 3 2.2 History 4 2.3 Community 4 3 Stakeholders and Concerns 5 3.1 Stakeholders 5 3.2 Key Drivers 7 3.2.1 Portability 7 3.2.2 Security 8 3.2.3 Reliability 8 4 Software Architecture 9 4.1.1 Client 10 4.1.2 Server / Daemon 10 4.1.3 Registry 11 4.2 Process View 11 4.2.1 Plugins 11 5 Pattern Documentation 13 5.1 Client-Server 13 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6 Architecture review 23 6.1.1 Client-Server 23 6.1.2 Layers 23 6.1.3 Plugin 24 6.1.4 Broker<		List of Figures	v vi vi
2.1 Overview 3 2.2 History 4 2.3 Community 4 3 Stakeholders and Concerns 5 3.1 Stakeholders 5 3.2 Key Drivers 7 3.2.1 Portability 7 3.2.2 Security 8 3.2.3 Reliability 8 4 Software Architecture 9 4.1 Logical View 9 4.1.1 Client 10 4.1.2 Server / Daemon 10 4.1.3 Registry 11 4.2 Process View 11 4.2.1 Plugins 11 5 Pattern Documentation 13 5.1 Client-Server 13 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6.1 Patterns 23 6.1.1 Client-Server 23 6.1.2 Layers 23 6.1.3 Plugin 24 6.1.6 Shared/Active re	1	Introduction	2
3.1 Stakeholders 5 3.2 Key Drivers 7 3.2.1 Portability 7 3.2.2 Security 8 3.2.3 Reliability 8 4 Software Architecture 9 4.1 Logical View 9 4.1.1 Client 10 4.1.2 Server / Daemon 10 4.1.3 Registry 11 4.2 Process View 11 4.2.1 Plugins 11 5 Pattern Documentation 13 5.1 Client-Server 13 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6 Architecture review 23 6.1 Patterns 23 6.1.2 Layers 23		2.1 Overview	3 4 4
4.1 Logical View 9 4.1.1 Client 10 4.1.2 Server / Daemon 10 4.1.3 Registry 11 4.2 Process View 11 4.2.1 Plugins 11 5 Pattern Documentation 13 5.1 Client-Server 13 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6 Architecture review 23 6.1.1 Client-Server 23 6.1.2 Layers 23 6.1.3 Plugin 24 6.1.4 Broker 24 6.1.5 Proxy 24 6.1.6 Shared/Active repository 25		3.1 Stakeholders 3.2 Key Drivers 3.2.1 Portability 3.2.2 Security	5 7 7 8 8
5.1 Client-Server 13 5.2 Layers 14 5.3 Shared/Active repository 16 5.4 Publish Subscribe 17 5.5 Brokered Authentication 18 5.6 Plugin 19 5.7 Broker 20 5.8 Proxy 21 6 Architecture review 23 6.1 Patterns 23 6.1.1 Client-Server 23 6.1.2 Layers 23 6.1.3 Plugin 24 6.1.4 Broker 24 6.1.5 Proxy 24 6.1.6 Shared/Active repository 25		4.1 Logical View	
6.1 Patterns 23 6.1.1 Client-Server 23 6.1.2 Layers 23 6.1.3 Plugin 24 6.1.4 Broker 24 6.1.5 Proxy 24 6.1.6 Shared/Active repository 25		5.1 Client-Server 5.2 Layers 5.3 Shared/Active repository 5.4 Publish Subscribe 5.5 Brokered Authentication 5.6 Plugin 5.7 Broker	18 19 20
6.1.8 Brokered Authentication		6.1 Patterns	23 23 23 24 24 24 25 25 25
7 Recommendations 26	7	Recommendations	26
8 Conclusion 27	8	Conclusion	27
- '	Ap		

A Time Tracking 28

Glossary

API

 ${\bf Application\ Program\ Interface}$

IDAPO

A Process for Identifying Architectural Patterns in Open Source Software

PBAR

Pattern-Based Architecture Reviews

REST

Representational State Transfer

List of Figures

2.1	Virtual machines compared to containers	3
3.1	Stakeholders and the quality attributes they are concerned about	7
4.1 4.2		
	Visualization of the client-server pattern used by the Docker clients (client) and the Docker	
	daemon (server)	13
5.2	A layered overview of the Docker	15
5.3	Docker Registry and Shared Repository Patterns	17
5.4	Events managing- Publish Subscribe Pattern	18
5.5	Authentication process of the Docker registry	19
5.6	Broker for communication between Docker daemon and a plugin	20
6.1	Force Resolution Map for Client-Server pattern	23
6.2	Force Resolution Map for Layers pattern	23
6.3		
6.4	Force Resolution Map for Broker pattern	
	Force Resolution Map for Proxy pattern	

List of Tables

1.1	1 IDAPO steps	 	 2
6.1	1 Force Resolution Maps definition	 	 23

1 Introduction

This document presents the architecture recovery of Docker¹ by identifying software patterns and performing an evaluation of the architecture based on the identified patterns. This document is part of the Software Pattern course assignment at the University of Groningen.

Docker is an open-source project that automates the deployment of applications inside software containers, by providing an additional layer of abstraction and automation of operating-system-level virtualization on Linux [26]. Docker can also run on Windows and Mac OSX, although it is actually run through a VM using Docker Machine because Docker Engine itself can only run on Linux.

The rest of the document is organized as follows. Chapter 2 gives brief explanation with regard to Docker. Chapter 3 elaborates on the stakeholders involved in the Docker project and its corresponding key-drivers. Chapter 4 depicts the architecture of Docker using logic and process views to make it more clear. The patterns that were identified in the Docker project, are documented in chapter 5. Evaluation is presented in chapter 6. Chapter 7 gives several recommendation for the Docker project. Lastly, a conclusion is drawn in chapter 8.

This project utilizes the IDAPO² process to recover the architecture [28]. The PBAR³ approach is used to perform the evaluation [18].

There are 12 steps in IDAPO. Those steps are:

\mathbf{Step}	Description	Chapter
1	identifying the type and domain	2&3
2	identifying used technologies	4
3	studying used technologies	4
4	identifying candidate patterns	4
5	reading patterns literature	4
6	studying the documentation	5
7	studying the source code	5
8	studying components & connectors	5
9	identifying patterns and variants	5
10	validating identified patterns	5
11	getting the feedback from community	5
12	register pattern usage	5

Table 1.1: IDAPO steps

Chapter 2 and Chapter 3 correspond to step (1) of IDAPO. Step (2) to (5) are related to Chapter 4, where used technologies are presented in the architecture. Chapter 5 corresponds to step (6) to (12), where the result of those steps is the pattern documentation.

While IDAPO is used to recover the patterns from the Docker project, PBAR is used to review and evaluate this recovered architecture. This review is documented in Chapter 6.

¹https://www.docker.com/

 $^{^2 {\}rm Identifying}$ Architectural Patterns in Open Source Software

³Pattern-Based Architecture Reviews

2 System Context

In this chapter an global information about the Docker project is presented. This includes a general overview of the working of docker and the history of the docker project.

2.1 Overview

Docker is an open-source project, with the purpose of making the deployment and distributed of applications easier. 'Docker provides an integrated technology suite that enables development and IT operations teams to build, ship, and run distributed applications anywhere'[13].

Docker automates the deployment of applications inside so-called 'containers' by making use of operating-system-level virtualization.

Operating-system-level virtualization is a virtualization method where the kernel of an operating system allows the isolation of multiple instances of the user space of the operating system. Leveraging this type of virtualization over full virtualization (i.e. hardware emulation) offers a significant performance increase, because there is no need for emulation of the hardware. [29]

Figure 2.1 illustrates the difference between virtualization using hardware emulation and operating-system-level virtualization. As opposed to a virtual machine, a container does not have a guest operating system. Additionally, it does not need a hypervisor for emulating virtual hardware and trapping privileged instructions. This saves a lot of performance overhead.

One tool that makes use of operating-system-level virtualization is Docker. Docker can be used to package applications and dependencies in a 'container'. Running this container using Docker will run it within a separate user space using operating-system-level virtualization.

Docker containers are instances of running Docker images. The process of creating a Docker image is relatively uncomplicated. It involves the creation of a so-called 'Dockerfile', which is a type of Makefile, containing instructions that are to be executed to install the application and dependencies (using the RUN instruction), and copy relevant data into the container (using ADD). Every Dockerfile extends another image (using the FROM instruction), which is either a previously created image or a base image (often containing the files of a specific operating system).

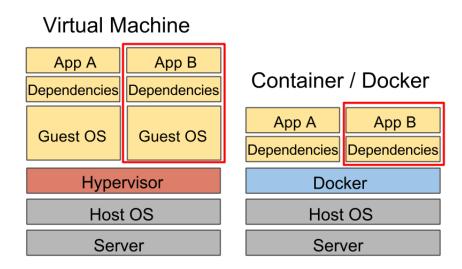


Figure 2.1: Virtual machines compared to containers **Source:** [25]

Docker images use a layered file system. Every command executed during the build-proces, creates a new layer where all modifications to the file system are stored. Docker supports exporting images to tar-archive that are usable by similar versions of Docker.

Docker is written in the Go Programming language

2.2 History

The creator of Docker is Solomon Hykes.

Docker started as an internal project within dotCloud, a platform-as-a-service company with four main developers.

The first open-source version of Docker was released in March 2013.

2.3 Community

In this subsection the developers of Docker and their surrounding community are discussed.

The following organizations are the main contributors to Docker: the Docker team, Red Hat, IBM, Google, Cisco Systems and Amadeus IT Group.

Docker has an active community and the managers encourage developers around the world to contribute. [9]

There are several ways to get in contact with the community of Docker:

IRC Here, the most knowledgeable Docker users reside. At the #docker and

#docker-dev groups on irc.freenode.net.

Google Groups The developers are active in the docker-dev Google group.

Stack Overflow Questions about Docker itself can be asked on the Stack Overflow.

3 Stakeholders and Concerns

This section defines all stakeholders of the Docker system and describes the concerns of these stakeholders. A stakeholder is a person, group of persons, or organization that is involved in Docker. This section uses the quality attributes as described in ISO-25010[22]. This ISO defines categories of quality attributes (main concerns). These categories contain individual specific quality attributes (specific concerns).

3.1 Stakeholders

This section presents the stakeholders and their concerns. The main concerns are listed in bold and, where applicable, the specific concern in parentheses.

Software Developers

The developers are those that use Docker to create their software systems. Docker is used by developers to deploy software and create architectures consisting of multiple containers. Developers using Docker for their system, expect it to work according to the documentation and have a good usability.

For Docker, we identified the following stakeholders:

- Software developers
- Software Maintainers
- Cloud providers
- The open container initiative
- Docker developers
- Plugin developers

Concerns

Usability Since the software developers are using Docker, they want it to have good

usability. This means it is easy to learn how to use Docker and it is not hard to work with. In fact, this is one of the main benefits of Docker, since it makes it easier to containerize applications, which could be done before,

but was very difficult to do in practice.

Functional Suitability Software developers care about the functional correctness, since bugs in the

(Functional correctness) Docker software make the development of their software more difficult.

Software Maintainers

Software maintainers are responsible for deploying the software and keeping the software product running. Docker is often used for software deployment (especially to the cloud). The software maintainers expect Docker to be reliable, portable, efficient and secure.

Concerns

Reliability Software maintainers are responsible for keeping the software working while

it is deployed. They will only use Docker if it is reliable. It has to be tolerant

against failing containers.

Portability The software maintainers want to be able to run Docker and its containers

on a variety of different environments.

Performance efficiency The software maintainers want Docker to have a good performance. They

want the resources of their servers to be used as efficiently as possible and therefore want Docker to have almost no overhead, like Virtual Machines

often do have.

Security

Software maintainers want Docker to be secure, so applications can be run inside the containers, without Docker negatively affecting the security of this application.

Cloud providers

There are numerous cloud providers offering services which are based on Docker¹. These cloud providers offer Container-based cloud computing, sometimes referred to as CaaS (Containers-as-a-Service).

Concerns

Portability (Installability)
 Compatibility (Co-Existence)
 Docker has to share the environment with the existing architecture of the cloud providers.
 Reliability The customers using the cloud providers' services expect a high reliability. If cloud providers are to implement Docker in their architectures, they need Docker to be as reliable as running the application naively or inside a VM.
 Security Cloud providers want to offer a secure cloud computing environment to their customers.

The Open Container Initiative

The Open Container Initiative² was formed with the purpose of creating an open industry standard for container formats and runtime in June 2015.

They are interested in creating a formal, open, industry specification around container formats and runtime. This specification should be independent of particular clients/orchestration stacks, commercial vendors or projects and should be portable across a wide variety of operating systems, hardware etc.

Docker has donated its container format and runtime, known as 'runC' to this initiative and is one of the members of the initiative, together with a lot of other members (including competing technologies, such as 'rkt' from CoreOS)³.

Concerns

Portability

The main goal of the initiative is to standardize the format for the container and the runtime. These standards are used by Docker to allow using Docker containers with other container runtimes.

 $\begin{array}{c} Compatibility \\ (Interoperability) \end{array}$

Docker has to be able to work with the containers from the initiative.

Docker developers

The Docker developers are the developers contributing to the Docker code base. Some of these developers work on Docker in their free time, others work on it as part of their job at a company with an interest in Docker and some developers work for Docker Inc itself[2].

Concerns

Maintainability These developers contribute new features and bugfixes to the existing code base. (Modifiability) Therefore, they want the project to have good modifiability, such that additions and improvements can be realized without to much effort.

Security The Docker developers care about the security of the product they are working on.⁴

¹https://www.docker.com/partners#/service

²https://www.opencontainers.org/

³A list is available at https://www.opencontainers.org/about/members

 $^{^4 {\}tt https://github.com/docker/docker\#security-disclosure}$

Plugin developers

Docker allows extending its capabilities with plugins⁵. These plugins are created by the plugin developers.

Concerns

 $\begin{array}{ll} \textbf{\textit{Portability}} & \text{The developers of the plugins want to extend the functionality of Docker in an effective} \\ (Adaptability) & \text{and efficient way.} \end{array}$

Overview

The stakeholders and their related concerns are visualized in Figure 3.1 below.

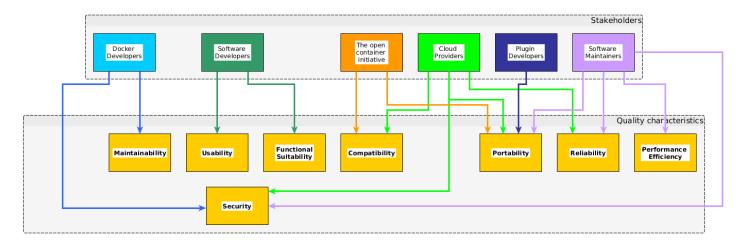


Figure 3.1: Stakeholders and the quality attributes they are concerned about

3.2 Key Drivers

Docker has the following key drivers

- KD-1 Portability
- KD- 2 Security
- KD- 3 Reliability

3.2.1 Portability

Looking at Figure 3.1, which visualizes the quality concerns of the stakholders, it is apparent that portability is the quality that is most desired among the stakeholders. One of the main features, if not the main feature of Docker, is to provide "agility and control for Development and IT Operations teams to build, ship, and run any app, anywhere"[3].

Docker wants the containers running the applications to be "hardware-agnostic" and "platform-agnostic" [16], meaning that Docker containers do not know anything about the hardware, nor the platform it is run on, thus making it very portable. This allows developers to focus on the actual application, without having to worry about the underlying hardware or platform. The applications the developers create could then be run everywhere where docker is supported.

To provide this quality attribute, Docker is also focused on increasing the performance. By making the Docker containers small, yet having a high performance, the containers become usable by a wide variety of systems and are able to replace the need to use any virtual machines that would otherwise be used to achieve this level of portability[16].

To increase the portability even more, the Docker Hub makes it very easy for Docker containers to be shared and used on other machines.

 $^{^5 {\}rm https://blog.docker.com/2015/06/extending-docker-with-plugins/order-with-plugins/order-with-plugi$

3.2.2 Security

The Docker daemon executes software inside containers and exposes an API. If somebody would gain unauthorized access to this API, this attacker would be capable of executing any program on the vulnerable host machine. Therefore, security is an important key driver for Docker.

Additionally, since Docker does not use separated operating systems, but instead operating-system-level virtualization, the security and isolation of the containers is a serious concern if software inside the containers is not trusted. For example, for a cloud provider running containers for clients, isolation of these containers is an important aspect of the security, since clients should not be able to access data of other clients.

3.2.3 Reliability

The main function of a Docker container is to run a certain application. However, a system usually needs to run a set of different applications and it should not be the case that running these applications in Docker compromises the systems reliability.

Dependencies often lead to problems[16], decreasing the reliability of software. The Docker files are text files containing a small set of instructions that will run an application. This means that the instructions needed to run an application are conveniently located in a single place, the Dockerfiles. This allows viewing and the dependencies of all the different applications and making them easily maintainable.

Docker increases the reliability of the applications it runs, by increasing the testability of the containers and applications.

To make sure Docker itself stays reliable, it provides a test framework and test functions that thoroughly test the Docker software.

4 Software Architecture

This section discusses the architecture of Docker. Two views from the 4+1 Architectural View Model[24] will be presented: the logical- and the process view.

4.1 Logical View

Docker is made using the the go language created by Google. Docker is an application categorized as "Operating-system-level virtualization". It consists of:

- 2979205 lines of go code (almost 3 million).
- 1782 '.go' files
- 267 different packages

Several tools have been used to get information about the dependencies of the different packages [20] [23]. Using these tools, statistics can be generated about the usage of the packages. This can give an insight about the most important packages of the system.

The packages that are most used by other packages is listed below.

In	Out	Package
33	11	github.com/docker/docker/image
33	23	github.com/docker/docker/pkg/archive
36	0	github.com/docker/distribution/digest
37	5	github.com/docker/docker/reference
37	12	github.com/docker/docker/daemon/execdriver
40	47	github.com/docker/docker/container
42	0	github.com/opencontainers/runc/libcontainer/configs
42	4	github.com/docker/docker/errors
43	0	github.com/docker/libnetwork/types
47	20	github.com/docker/docker/runconfig
48	4	github.com/docker/docker/cli
55	1	github.com/docker/docker/pkg/mflag
104	9	github.com/docker/docker/api/types
187	0	github.com/Sirupsen/logrus

The most referenced package is the logging package called "logrus". After that it is the api package that is most referenced. This is to be expected, since it is the only way to communicate with the docker daemon [10].

The "pkg" package, the third most referenced package, is, "a collection of utility packages used by the Docker project without being specific to its internals.". This means that it can contain any kind of logic for which the developers decided that it should not go in to the core codebase in order to keep the core small [11]. The specific mkflag package that is referenced here, is responsible for parsing the multiple flags (hence mflag) that are passed to the command-line interface [12].

The command line interface (cli) package is most used after this. The docker container package seems to be used by a lot of packages (In) and reference a lot of packages itself as well (Out). Same goes for the docker daemon and the docker image with increasingly less references.

Next, the the packages that have the most references to other packages are listed.

```
github.com/docker/docker/builder/dockerfile
1
    36
          github.com/docker/docker/registry
19
    38
10
    43
          github.com/opencontainers/runc/libcontainer
0
    43
          github.com/docker/docker/docker
9
          github.com/docker/docker/api/client/lib
    44
40
          github.com/docker/docker/container
    47
10
    68
          github.com/docker/libnetwork
    101
          github.com/docker/docker/distribution
1
1
    235
          github.com/docker/docker/api/client
    349
          github.com/docker/docker/daemon
```

Not surprisingly, the docker daemon has the most references. This is because it is responsible for making the containers run in a secure and reliable way. While at the same time the daemon handles requests coming from the API, which is the package with the second most amount of outgoing references.

The container package has a lot of references to the runc package, which is a "CLI tool for spawning and running containers according to the Open Container Format (OCF) specification." [21].

If the main "/docker" package is build, containing the functionality of docker, the dependencies can be visualized using a visualization tool. This generates an image that closely resembles the image found in the official documentation 4.1.

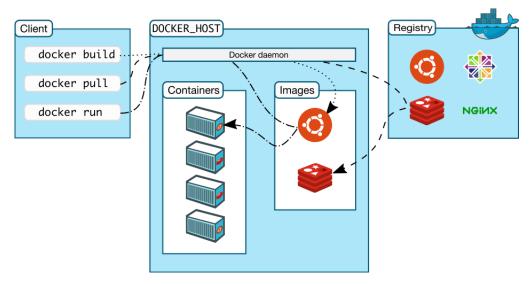


Figure 4.1: A high-level overview of the Docker architecture **Source:** [5]

Docker uses a client-server architecture. The client (a command-line tool) acts as the primary user interface and talks to the Docker daemon. The daemon is a background process which does all the heavy lifting, e.g. the building and running of the containers.

The following sections will discuss the most important parts of docker in more detail.

4.1.1 Client

The client is a small binary and acts as the primary user interface to Docker. The user inputs commands into the client and the client forwards these commands to the Docker daemon, which executes commands. The Docker client is capable of connecting to daemons running on the local machine, as well as connecting to daemons running on remote machines over the internet.

4.1.2 Server / Daemon

The Docker daemon is a process running on the host machine (as can be seen in Figure 4.1). This process is usually started when the host machine starts and runs in the background. It exposes a REST interface and listens for requests coming from clients on the same or remote hosts.

4.1.2.1 Images

Docker images can be interpreted as a read-only template from which Docker containers are started. A Docker image consists of a stack of layers which are bonded by a union file system. These layers support reusability and sharing.

Docker images can be built from text files ('Dockerfiles'), which contain instructions like installing software and copying files.

4.1.2.2 Containers

Docker containers are based on Docker images. These images consist of read-only layers. Docker containers contain an additional thin writable layer on top of the images to perform operations on them. Docker containers basically consist of the files of the operating system, user-added files, application files, and data files. Multiple containers may run based on the same image. In this case, Docker will not create separate copies for each containers. Instead, they will share the same image with their own writable layer.

To run Docker containers, Docker uses the libcontainer library, which is part of the Open Container Initiative.

4.1.3 Registry

A Docker registry is a place where Docker images can be stored and retrieved. The Docker daemon fetches desired Docker images from this repository. This registry can be either public or private and may thus reside behind a firewall. Docker Hub¹ is one example of a docker registry hosted by Docker. A registry provides an easy way to distribute images among different hosts.

4.2 Process View

This section discusses the system processes, how they communicate and the runtime behaviour of the system.

4.2.1 Plugins

Docker supports extending its capabilities using third-party plugins. Docker allows extending the functionality of the volumes, which are responsible for storing files outside containers. And Docker allows extending the functionality of the network subsystems that provides inter-container communication over the network. This functionality will be extended in the future [4].

A plugin runs in its own separate process and communicates with the Docker daemon using the REST API. In order for the Docker daemon to know about the plugins existence, a file has to be placed in a pre-defined directory.

In Figure 4.2 the process of using a plugin can be seen.

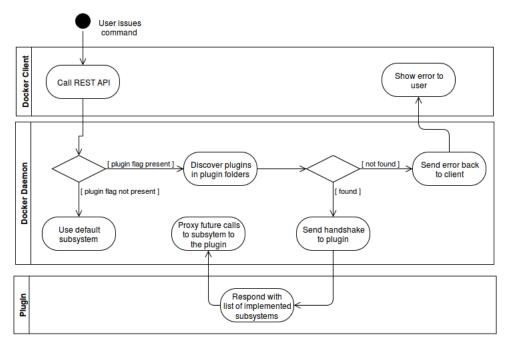


Figure 4.2: An activity diagram showing the process of selecting a plugin

¹https://hub.docker.com/

A user indicates that he/she wants to use a plugin by passing a command-line parameter to the Docker client when starting a container. If this parameter is present, then the daemon will start looking for the plugin in the plugin directory. If the plugin is not found, it returns an error to the user. When the plugin is found, the daemon will send a handshake to the plugin using a UNIX socket and the plugin will reply with a list of subsystems it implements. The Docker daemon will then use a Proxy to forward calls to this subsystem to the plugin process.

5 Pattern Documentation

This chapter describes the patterns used in Docker identified by us. We documented the patterns as described by Harrison et al.[17], with added information about where the pattern was found (traceability).

5.1 Client-Server

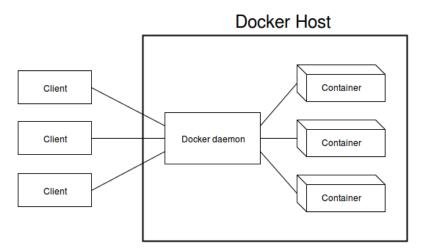


Figure 5.1: Visualization of the client-server pattern used by the Docker clients (client) and the Docker daemon (server).

Traceability

The Client-Server pattern can be deduced from the 'What is Dockers architecture?' section of the online documentation[5].

Also, when listing the dependencies using the dependency tools, very few packages use the docker daemon. The significant packages that use the daemon are:

- github.com/docker/docker/container
- github.com/docker/docker/layer

However, the daemon was referenced quite a lot when looking at the dependency tables discussed earlier in section 4.1.

It is also remarkable that only the daemon seems to be referencing the container, when listing the dependencies.

So clearly, the docker daemon is a main access point for modifying the containers.

The documentation says that only the docker api communicates with the daemon. Clearly there is no package using the docker daemon, but also the api does not seem to be referencing the docker daemon. This is because the docker api uses the daemon through means of HTTP requests. As is made clear when looking at the code in

api/client/cli.go

This contains the line:

client, err := client.NewClient(host, verStr, clientTransport, customHeaders)

Indicating that the client is referencing the daemon through means of sending HTTP requests to the daemon api.

Source

Architectural patterns revisited – a pattern language, P. 29 [1]

Issue

It should be possible for Docker containers to be controlled remotely and a single interface should be able to control containers on multiple hosts (e.g. in the cloud).

Additionally, certain operating systems lack the underlying technologies necessary for running containers. For those OSes, it should be possible to call remote daemons running on operating systems which are supported.

Solution

Docker uses a Client-Server architecture. The client, a binary supplying a command-line interface, acts as the primary interface for the user. The user enters commands into this client, which are then sent to a server: the Docker daemon.

Assumptions/Constraints

- The versions of the client binary and the server binary should match. Different versions can cause problems when changes to the API were made.
- All the services offered by the daemon have to be made available to the client using a REST interface.

Rationale

The daemon is a background process, which supplies the requested services to the client. The daemon exposes a REST interface.

For Docker, the client can be configured to connect to other daemon processes than the one running on the local machine. It can be configured to connect to remote Docker daemons as well, allowing the user to issue commands to daemons running remotely.

By separating the client and server it is possible to use the same client to issue commands to different daemons, running on different hosts. It is also possible to use the client on operating systems that do not support running containers.

Implications

The use of the Client-Server pattern results in two different executable binaries: a daemon and a client.

The use of the Client-Server pattern increases the interoperability, since the client can send commands to daemons running on remote machines and the local machine.

Additionally, the portability is increased, since the client can run on Operating Systems that cannot run containers themselves.

There is also an impact on security, because the communication between the client and the server has to be secured.

Related Patterns

• Broker

5.2 Layers

Layers pattern is a architectural pattern that consists of several layers, which each layer provides a set of services to the layer above and uses the services of the layer below. Docker implements this pattern to separate the concerns of each component with independent functionalities. Layers pattern implementation of Docker, based on our investigation, is shown in Figure 5.2.

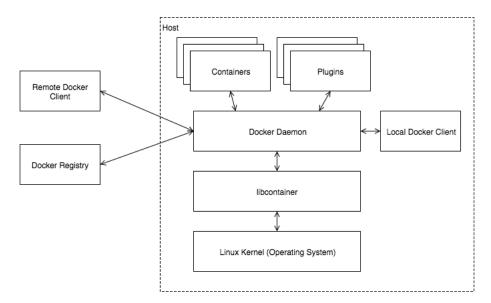


Figure 5.2: A layered overview of the Docker.

Traceability

Layers is implicitly mentioned in the Docker architecture in the online documentation, specifically in the What is Docker's architecture? section [5].

Source

Architectural patterns revisited – a pattern language, P. 29 [1]

Issue

Docker's features, e.g., hosting the image, creating image, running container, and daemon supervision, vary in terms of functionality. Single implementation of those features will certainly result in abundant usage of resource because hosting the image means there must be huge amount of disk space available. Overlapping may also occur since multiple Docker instances may have the same images, which is actually can be stored and organized elsewhere. Docker also has several dependencies, especially the Linux kernel components (namespaces and cgroups) for OS level virtualization. Furthermore, Docker mostly needs remote supervision or control, since usually Docker is run on the cloud. Therefore, the features must be separated into several independent components and their dependencies and communication have to be clearly separated and maintained.

Assumptions/Constraints

Docker is able to run only in Linux kernel since it makes use of namespaces and cgroups technology, which is only available in Linux kernel. However, Docker is also possible to be run on other OS although using virtual machine. The connection between local and remote components are carried out through secured TCP/IP connection.

Solution

Docker will be separated into several components that have their own functionalities, as can be seen in Figure 5.2. The main components are containers, Docker daemon, local Docker client, libcontainer, and Linux kernel.

Containers, plugins, Docker daemon, local Docker client, libcontainer, and Linux kernel are located in the same host (surrounded by dashed box). Inter-process communication is utilized to communicate between Docker, containers, libcontainer, and Linux kernel components, but Docker daemon, plugins, and Docker client communicate using Docker API ¹. Remote connections are managed through secured TCP/IP based connection.

Rationale

Layers are very good in terms of sharing and reusability. In this way, several Docker daemon that uses the same image can just fetch it through the Docker registry. Using Docker registry also reduce disk space for storing images and increase easiness of sharing images. Layers pattern also supports connection to other layer, such as connection to plugins. It is also manageable to supervise remote Docker daemon through remote Docker client.

 $^{^{1} \}verb|https://github.com/docker/docker/blob/master/api/common.go$

Implications

This pattern gives positive implication to portability as clear separation of containers, Docker daemon, Docker registry, and Docker client makes Docker portable. Utilizing Docker registry also promotes sharing images, which make it easier to deploy an application without knowing what platform running below it.

A connection is open to public, through TCP/IP, in the communication of Docker daemon and Docker registry and remote client, which makes this pattern hinders the security. In this condition, any malicious attacks may happen through this hole.

Using this layer, it is easy to replicate something, which makes positive impact on reliability.

Related Patterns

- Client-server
- Shared repository

5.3 Shared/Active repository

The Docker registry is considered as a Shared Repository.

Two alternatives exist:DockerHub and Docker Trusted Registry.

Docker has a feature which is an option choosen by the user and can be configured: the Notification Sytem [6] which makes the Shared Repository an **Active Repository**.

Traceability

The Shared Repository pattern can be deducted from the online documentation: [7] "The Registry is a stateless, highly scalable server side application that **stores** and lets you **distribute** Docker images. A registry is a storage and content delivery system." Everything dealing with the registry is in the "Registry folder" in the Docker repository.

Source

Architectural Pattern Revisited - A Pattern Language, P.13 [1]

Issue

Docker provided a way for the user to conrol the storage and distribution of images.

The user wants to be alerted of new events happening in the registry through notifications.

Assumptions/ Contrainst

Solution

Users interact with a registry by using docker push and pull commands.

Rationale

After the integration of the Shared Repository Pattern each has one central repository containing their images and they can it access using a loggin. Users can also access images from others users: The registry is a sharing unit.

Implications

Users can get their own images from the public registry and also the ones created by other users. The use of this pattern enhances Reusability, Changeability, Maintainability, Integrability because the Registry is central.

Related Patterns

Publish Subscribe Brokered Authentication

Users can share and distribute images in the Docker Registry.

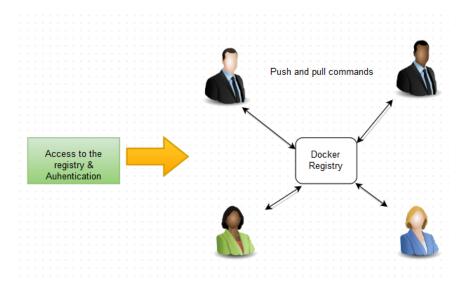


Figure 5.3: Docker Registry and Shared Repository Patterns

5.4 Publish Subscribe

Traceability

The notification mechanism of the Docker Registry uses the Publish Subscribe Pattern.

Source

Pattern Oriented Software Architecture- Volume 1

Issue

The user wants to be alerted about certain events/changes occurring in his registry. The notification system needs a mechanism in order to send those events to the user.

Assumptions/Contrainst

This pattern is used at a lower level in the Docker Registry/Active Repository Pattern.

Solution

Rationale

"Notifications are sent to endpoints via HTTP requests. Each configured endpoint has isolated queues, retry configuration and http targets within each instance of a registry. When an action happens within the registry, it is converted into an event which is dropped into an immemory queue. When the event reaches the end of the queue, an http request is made to the endpoint until the request succeeds. The events are sent serially to each endpoint but order is not guaranteed."

Implications

The Publish Suscribe Pattern enhances Integrability, Modifiability because publisher and suscribers aren't directly connected.

Related Patterns

Shared/Active Repository Pattern

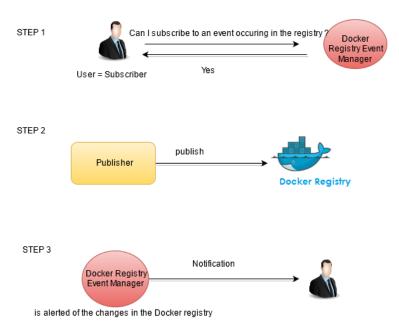


Figure 5.4: Events managing- Publish Subscribe Pattern

5.5 Brokered Authentication

Traceability

From the v2 of Docker the authentication is done through a central service.

The Brokered Authentication pattern can be deducted from the source code through the "auth.go" and the "token.go" files from the Docker Registry Github Repository.

Docker Authentication [8]

The main functions for authentication are :

- func tryV2TokenAuthLogin(authConfig *types.AuthConfig, params map[string]string, registryEndpoint *Endpoint)
- func loginV2(authConfig *types.AuthConfig, registryEndpoint *Endpoint, scope string)

This service is used by the official Docker Registry to authenticate clients and verify their authorization to Docker image repositories.

Source

Brokered Authentication Pattern[27]

Issue

The Docker Registry needs an authentication system in order to ensure security when allowing pull and push commands from the user.

Assumptions/Contrainst

Solution

An authentication broker that both parties trust independently issues a security token to the client. There is no direct relationship between the user and the registry. "An authentication broker with a centralized identity store assumes the responsibility for authenticating the consumer and issuing a token that the consumer can use to access the service." By using the Brokered Authentication the access to the Docker Registry is controlled for each user.

Rationale

Implication

After the integration of the Brokered authentication the textbfSecurity for the registry is ensured. The authentication broker manages trust centrally. This eliminates the need for each client and service to independently manage their own trust relationships.

Related Patterns

Shared/Active Repository Pattern

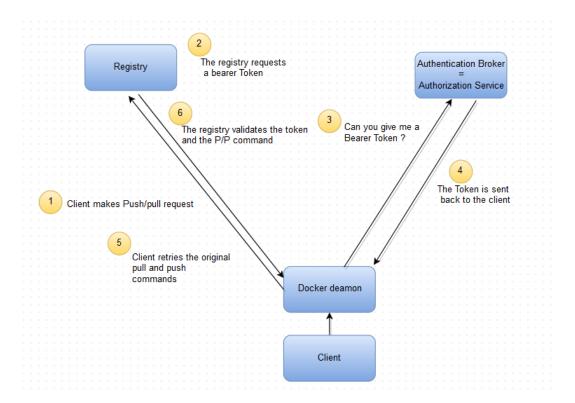


Figure 5.5: Authentication process of the Docker registry

5.6 Plugin

Traceability

The existence of Docker Plugins becomes apparent from its documentation at [4].

Additionally, the directories docker/pkg/plugins/ 2 and docker/daemon/graphdriver/plugin.go 3 (among others) in the project's repository contain the code for discovering plugins and the interfaces the plugins should implement.

Source

Patterns of Enterprise Application Architecture, P. 499 [15]

Issue

The users of Docker want to have customization or require functionality that is not included in Docker, by extending Docker with third party custom-built tools. This customization means that third parties should be able to write their own tools that extend Docker's core functionality[19].

The implementation of such third party custom-built tools are only available at runtime.

Assumptions/Constraints

• Plugins can only extend the functionality of the components of Docker if they have an interface that plugins can implement.

²https://github.com/docker/docker/tree/master/pkg/plugins

 $^{^3 \}verb|https://github.com/docker/docker/blob/master/daemon/graphdriver/plugin.go$

Solution

Docker uses the Plugin pattern to link the implementation of the interfaces of several extendable components with third-party implementation at runtime.

Rationale

Docker discovers plugins by looking for .sock, .spec or .json files in the plugin directories on the host system. These files describe how Docker can communicate with the plugins using the REST API (usually via a Unix socket).

The plugins themselves run as separate processes on the same host as the Docker daemon and implement an HTTP server listening for requests from the Docker daemon. After a user requires a plugin (this is indicated e.g. as a command-line parameter when starting a container using the Docker client) Docker uses the discovery algorithm (see also Section 4.2.1). After that, Docker sends a handshake to the plugin and the plugin returns a list of which subsystems this plugin implements.

For these subsystems Docker will replace the default implementation by a Proxy, that forwards all calls over the REST interface to the plugin process.

Implications

The use of the Plugins pattern means that the adaptability increases, because plugins allow the application to be adapted with new features.

For the security, it means that there is extra communication over the API which has to be secured. The security of the plugins themselves cannot be guaranteed by Docker.

Related Patterns

• Broker

5.7 Broker

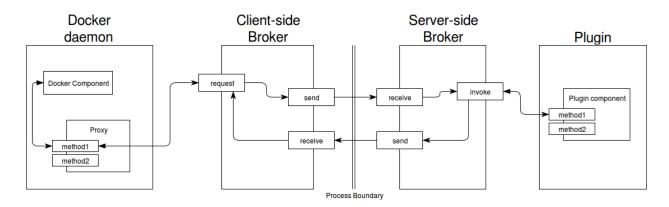


Figure 5.6: Broker for communication between Docker daemon and a plugin.

Traceability

The use of the Broker pattern can be found in the proxy package in the source code: docker/pkg/proxy/tcp_proxy.go⁴.

Source

Pattern-oriented Software Architecture - Volume 4, P.237 [14] Architectural Pattern Revisited - A Pattern Language, P.34 [1]

Issue

Docker consists of multiple processes. For example, the client and daemon are separate processes and also the plugins are separate processes.

 $^{^4 \}verb|https://github.com/docker/docker/blob/master/pkg/proxy/tcp_proxy.go|$

These processes have to communicate with each other. However, dealing with the challenges of interprocess communication in the application code would greatly increase the complexity. The application components should be shielded form the details of the inter-process communication.

Assumptions/Constraints

• All the communication between the components in different processes have to go via the Broker.

Solution

Use a Broker to separate the logic for communication between the processes from the application functionality. Use a component-based model (using Proxies) to allow local components to invoke methods on components in remote processes as if they were local.

Rationale

The Broker pattern allows for and handles all the communication between the different processes.

This means that the logic for inter-process communication does not have to be implemented on a percomponent basis.

Implications

Using the Broker pattern is good for the portability, because it does not matter if a component is part of a different process (running locally or remotely).

This pattern is also good for the security, since the Broker is a single entrypoint for all the inter-process communication, which makes it easier to secure.

Additionally, the interoperability (compatibility) is increased, because it becomes possible for two components (in two different processes) to exchange information.

The Broker does however introduce a performance overhead, which decreases the performance efficiency.

Reliability?

Related Patterns

- Plugin
- Proxy
- Client-Server

5.8 Proxy

Traceability

The use of the proxy pattern becomes apparent from the source code in the repository on GitHub. For example, the proxy for the Volumes plugin can be found in docker/daemon/graphdriver/proxy.go ⁵.

Source

Pattern-oriented Software Architecture - Volume 4, P.290 [14]

Issue

The plugins are separate processes than the daemon and are only available at runtime. Therefore, it is impossible for the daemon process to access the services of the plugins directly.

Assumptions/Constraints

• The plugins have to implement a server listening for requests from the daemon.

Solution

Let the daemon only communicate with the plugins through a proxy. This proxy implements all the 'housekeeping' functionality, like sending API requests and authentication. It has the same interface as the plugin.

Whenever a call is done from the daemon to the plugin, it goes via the proxy, which communicates this call using a REST API to the plugin process.

 $^{^5 {\}tt https://github.com/docker/docker/blob/master/daemon/graphdriver/proxy.go}$

Rationale

Using the proxy pattern allows the daemon to communicate with the plugins, without requiring direct access to these plugins.

Also, because the subsystem component has the same interface as its proxy, the implementation of software using this component does not depend on whether the proxy is used or the original subsystem.

Implications

The use of the proxy pattern allows communication with the plugins, which increases the extendability. The Proxy has a positive impact on the portability because the location of the component accessed through the proxy is transparent for the caller. Because the communication with the process is not direct, there is some performance overhead.

Related Patterns

- Plugin
- Broker

6 Architecture review

This chapter presents the evaluation of documented patterns and overall system architecture against the key drivers of the architecture presented in Section 3.2. The Pattern-Based Architecture Reviews (PBAR) [18] method is used to carry out the evaluation. Force Resolution Maps (FRM) are also utilized to present the result.

Value	Definition
-2	Big negative impact
-1	Small negative impact
0	Neutral
+1	Small positive impact
+2	High positive impact

Table 6.1: Force Resolution Maps definition.

6.1 Patterns

6.1.1 Client-Server

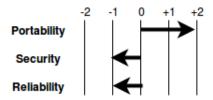


Figure 6.1: Force Resolution Map for Client-Server pattern

For the Client-Server pattern, the portability of the system increases, because the client and server (daemon) can be located on completely different systems.

The security has a slight negative impact because for communication between the client and server to be possible, the client and server have to expose an interface, which has to be secured.

Reliability also has a small negative impact, because the communication between client and server relies on underlying communication infrastructure that could fail.

6.1.2 Layers

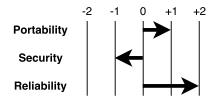


Figure 6.2: Force Resolution Map for Layers pattern.

This pattern gives positive implication to portability as clear separation of containers, Docker daemon, Docker registry, and Docker client makes Docker portable and loosely coupled. Utilizing Docker registry also promotes sharing images, which make it easier to deploy an application without knowing what platform running below it.

Exposing TCP/IP connection, specifically REST, contributes to negative effect on the security. It means that any unwanted connections or attacks could possible exploit this hole. However, this weak point is compensated by other patterns.

Furthermore, decoupling some components means that the components below or above can be replicated in order to gain more reliability as it has more availability. Thus, this patterns give positive effect to the reliability.

The summary of the Layers pattern evaluation is shown in FRM in Figure 6.2.

6.1.3 Plugin

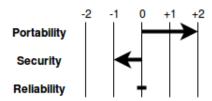


Figure 6.3: Force Resolution Map for Plugin pattern

The Plugin pattern greatly increases the portability, because it increases the adaptability by allowing third parties to develop extensions for Docker.

It has a slight negative impact on the security, because there is communication between the daemon and plugin process which has to be secured. Additionally, the security of the plugins is not controlled by Docker, so defects in a plugins security can affect the security of the Docker daemon as well.

There are no significant impacts on the reliability because of this pattern.

6.1.4 Broker

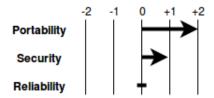


Figure 6.4: Force Resolution Map for Broker pattern

The use of the Broker pattern is very beneficial for the portability, because it allows the use of components in different processes, potentially running on different machines.

This pattern is also positive for the security of Docker, because it is a single entrypoint for all inter-process communication, which is easier to secure than multiple entrypoints.

6.1.5 Proxy

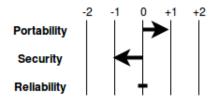


Figure 6.5: Force Resolution Map for Proxy pattern

The use of the Proxy pattern has a positive impact on the portability, because the location of the component accessed through the proxy is transparent for the caller. There is a small negative impact on security, because the invocation on the Proxy are forwarded to the component in another process. This communication has to be secured.

6.1.6 Shared/Active repository

The use of the Shared Repository patterns has different impacts on the different key Drivers:

Reliability

Security is enhanced because the access to the central registry is controlled by a login for the users.

Portability

6.1.7 Publish Suscribe

The publish subscribe pattern allows the event occurring in the Docker Registry to be triggered to the user who has previously subscribed to them. When those events happen the user receives a webhook notification.

Reliability Security Portability

6.1.8 Brokered Authentication

Reliability can be an issue: The centralized authorization service can be a single point of failure. It may need to be online and available and if not the client can't get his token. If the authentication brokered becomes unavailable the registry and the client can't communicate with each other. textiSolution: redundant or back-up authentication broker.

Security is ensured because the registry and the client don't communicate directly, the Bearer token is a barrier of protection between the two components.

However security tokens must be signed by the authentication broker. If they are not, their integrity cannot be verified. This could result in attackers trying to issue false tokens.

Portability

6.2 Overall system

7 Recommendations

8 Conclusion

This document have presented the architecture recovery of Docker by identifying software patterns and performing an evaluation of the architecture based on the identified patterns according to their impact on the Docker's quality attributes. This is done by implementing PBAR and IDAPO method. IDAPO method is used to identify the patterns within the Docker architecture, while PBAR is utilized to perform the architecture review.

We firstly start by grasping some basic information about Docker. The findings were presented in the System Context chapter, which consists of brief explanation about Docker and its ecosystem, along with the communities supporting and developing it. We then figured out the stakeholders behind Docker project and their concerns. Based on our findings, the stakeholders can be grouped in to six groups, those are Docker developers, Software developers that utilize Docker in their project, the Open Container Initiative, cloud providers, Docker plugin developers, and software maintainers. The summary of stakeholders and their concerns can be seen in Figure 3.1. Based on their concerns, the key-drivers can be extracted and those are portability, security, and reliability.

Even if software designers or developers may not be aware of certain software patterns, the patterns may still be present [28]. The IDAPO process is used to identify existing patterns in a open source software. Patterns recovery is very useful for quantifying the software project on a high-level approach. By knowing the patterns inside a particular software project it is also easier to measure or evaluate it by their impact to the software's key-drivers. The IDAPO consists of 12 iterative steps that aim to achieve a robust pattern recovery inside the OSS project. The mapping of IDAPO process and the chapters of this document is described in chapter 1.

A typical evaluation of an architecture requires a lot of effort and cost, for example, ATAM method. PBAR provides a lightweight architecture review process that can be used where traditional architecture review methods would not because of their high cost. PBAR focuses the evaluation on issues of the system's' key- drivers. It makes use of discovered patterns within the architecture to properly document issues. PBAR consists of four main steps, which the third step, the review meeting, is actually a repetitive steps.

One of the reason why Docker is selected to be the target of this Pattern-based recovery and evaluation is of its popularity. The purpose this software is to make the deployment of distributed application easier. As stated in the documentation, Docker provides an integrated technology stacks, which enables the development and IT operation teams to build, ship, and run distributed applications anywhere without having to know the platform running below it.

Our investigation showed that there are seven layers exists in Docker, although more patterns are likely to be there as well. The detailed documentations of those pattern are written in chapter 5. In this project, we also implemented some insight that we achieved when working with the first project of Software Pattern. Hopefully, the enhanced insights and experiences of this second assignment would be beneficial for us in the future.

A Time Tracking

Week 1

Person	Task	Hours
Putra	Coaching session, researching about Docker, working on first draft of introduction	3.5
Fakambi	Coaching session, Research about Docker, Work on the system context	3.5
Schaefers	Coaching session, setting up initial layout and new git repository, defining key drivers, research.	6.5
Menninga	Coaching session, stakeholders and concerns	4.0

Week 2

Person	Task	Hours
Putra	Catching up after winter break, reading Docker docs, revising intro, and writing layers pattern documentation	6
Fakambi	Coaching session, Meeting for discussing the patterns	3
Schaefers	Creating scripts to find patterns, building and running docker, creating various dependency graphs, changing the key driver section, adding stakeholders and concern image	8.5
Menninga	Searching patterns, improving stakeholders, client-server and plugin patterns. Improvements to System context.	7.5

Week 3

Person	Task	Hours
Putra	Coaching session, reading docker documentation and source code, revising intro and layers pattern, small changes in logical view and evaluation	14.5
Fakambi	Coaching Session, small modifications System Context, Work on the Shared Repository, Event-Driven and Direct Authentication	12
Joris	Researching and generating dependency graphs. Coaching session (via skype). Adding a software architecture begin.	11
Menninga	Coaching session, improvements after feedback, process/logic view, client-server, plugin, proxy, changed key drivers	15

Week 4

Person	Task	Hours
Putra	Coaching session, meetings, group 3 review, working on feedback, rewrite and add illustration and evaluation for layers pattern, first draft of conclusion.	12
Fakambi	${\it Coaching session, Meetings,} Presentation, \ Processing \ Feedback, Improvements patterns$	12

Joris	Coaching session, meetings, parsing . dot files, searching for useful dependency/code references, reviewing and processing reviews	11
Menninga	Coaching session, meetings, reviewing document other group, evaluation for patterns, improvements to patterns	12

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