Experiment no.: 02

Experiment name: Experiment on Controllability and Observability of a System

Objectives:

- To understand the fundamental concepts of controllability and observability in linear time-invariant (LTI) systems
- To develop MATLAB programming skills for computing controllability and observability matrices using built-in functions
- To analyze system properties through rank determination of controllability and observability matrices
- To evaluate different system configurations and their impact on controllability and observability characteristics
- To investigate the relationship between system matrix parameters and control system design capabilities

Theory:

Controllability and observability are fundamental concepts in modern control theory that determine the feasibility of control system design.

Controllability:

A system is said to be controllable if an initial state $x(t_0)$ can be transferred to any desired final state x_f in a finite time interval $(t_f - t_0)$ where $t \ge 0$, by some control input u. If each and every state of the system can be controlled by the input, then the system is called completely controllable.

For a system described by the state space equations

$$x' = Ax + Bu$$

and

$$y = Cx$$

, where:

- x = state vector
- x' = derivative of state vector
- y = output vector
- A =system matrix $(n \times n)$
- $B = \text{input matrix } (n \times m)$
- u = input vector (control input)
- $C = \text{output matrix } (p \times n)$

How to check controllability:

A system is completely controllable if and only if the rank of the controllability matrix equals the number of state variables (n)(n).

The controllability matrix is defined as:

$$Co = [B AB A^2B \cdots A^{n-1}B]$$

where n = number of state variables.

If $rank(Controllability\ matrix) < n$, then the system is not completely controllable.

Observability:

A system is said to be observable if every initial state $x(t_0)$ can be exactly determined from the measurement of the output y over a finite time interval $0 \le t \le t_f$. If the internal states can be determined from the output measurements, then the system is called completely observable.

The observability matrix is defined as:

$$Ob = [C : CA : CA2 : ... : CA^{n-1}]$$

where:

- $C = \text{output matrix } (p \times n)$
- p = number of outputs
- n = number of states

Working Principle: (Code)

```
clc;
clear all;

% Define system matrices

A = [2 0 1; 0 0 5; 8 2 0];
B = [0; 5; 8];
C = [1 1 1];

% Controllability matrix

Co = ctrb(A, B);
rank_Co = rank(Co);

% Observability matrix

Ob = obsv(A, C);
rank_Ob = rank(Ob);

% Display results
```

```
disp('Controllability Matrix:');
disp(Co);
disp(['Rank of Controllability Matrix: ', num2str(rank Co)]);
disp('Observability Matrix:');
disp(Ob);
disp(['Rank of Observability Matrix: ', num2str(rank Ob)]);
% Check full rank
n = size(A, 1); % number of states
if rank Co == n
  disp('✓ The system is CONTROLLABLE.');
else
  disp(' X The system is NOT CONTROLLABLE.');
end
if rank Ob == n
  disp('✓ The system is OBSERVABLE.');
else
  disp('X The system is NOT OBSERVABLE.');
end
```

The ctrb() function computes the controllability matrix by forming:

$$Co = [B AB A^2B]$$

for the given system matrices A and B.

The obsv() function calculates the observability matrix by constructing:

$$Ob = [C:CA:CA^2]$$

for matrices A and C. The rank() function determines the rank of these matrices, which is compared with the system order (n) to assess controllability and observability. Conditional statements provide clear feedback on the system's control and observation capabilities.

Result:

```
Command Window
  Controllability Matrix:
       0
             8
                   26
       5
            40
                   50
            10
                  144
  Rank of Controllability Matrix: 3
  Observability Matrix:
            1
       1
                    1
             2
                    6
      10
      68
            12
                   20
  Rank of Observability Matrix: 3
  arphi The system is CONTROLLABLE.

✓ The system is OBSERVABLE.
```

For the given system with:

$$A = [2 \ 0 \ 1 : 0 \ 0 \ 5 : 8 \ 2 \ 0]$$

$$B = [0 : 5 : 8]$$

$$C = [1 \ 1 \ 1]$$

The analysis results are:

- The controllability matrix has rank 3, equal to the system order (n=3)
- The observability matrix has rank 3, equal to the system order (n=3)
- Therefore, the system is both CONTROLLABLE and OBSERVABLE

The system demonstrates full controllability and observability, indicating that it is suitable for state feedback control design and state estimation applications.

Discussion:

The experiment successfully demonstrated the computational approach to analyzing controllability and observability properties. The full-rank results indicate that the chosen system matrices provide complete control authority over all state variables and full observability of the internal states through the output measurements. This is ideal for control system applications requiring precise state regulation and estimation.

The commented alternative system matrices in the code show a contrasting case where the system would be observable but not controllable, highlighting how different parameter choices

significantly affect system properties. Such analysis is crucial in control system design to ensure that the desired performance specifications can be achieved.

Conclusion:

The experiment provided hands-on experience in evaluating controllability and observability of linear systems using MATLAB computational tools. The systematic approach of matrix computation, rank analysis, and conditional evaluation offers a reliable method for assessing system properties in control engineering applications. Understanding these fundamental concepts is essential for designing effective feedback controllers and state observers.

References:

- 1. Ogata, K. "Modern Control Engineering" Control System Analysis and Design
- 2. MATLAB Control System Toolbox Documentation ctrb() and obsv() functions
- 3. Franklin, G.F., Powell, J.D., Emami-Naeini, A. "Feedback Control of Dynamic Systems"