

# Outline

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- Why FOL?
- Syntax and semantics of FOL
- Using FOL
- Wumpus world in FOL
- Inference in FOL

# Pros and Cons of Pro. Logic

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- ☺ Propositional logic is **declarative**
- ☺ Propositional logic allows partial/disjunctive/negated information
  - unlike most data structures and databases, which are domain-specific
- ☺ Propositional logic is **compositional**
  - meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- ☺ Meaning in propositional logic is **context-independent**
  - (unlike natural language, where meaning depends on context)
- ☹ Propositional logic has very **limited expressive power**
  - (unlike natural language)
  - E.g., cannot say "pits cause breezes in adjacent squares"
    - except by writing one sentence for each square

# Our Approach

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- Adopt **the foundation of propositional logic** – a declarative, compositional semantics that is context-independent and unambiguous – but with more expressive power, **borrowing ideas from natural language** while avoiding its drawbacks
- Important elements in natural language:
  - **Objects** (squares, pits, wumpuses)
  - **Relations** among objects (is adjacent to, is bigger than) or *unary relations* or **properties** (is red, round)
  - **Functions** (father of, best friend of)
  - **First-order logic (FOL)** is built around the above 3 elements

# Identify Objects, Relations, Functions

- One plus two equals three.
- Squares neighboring the wumpus are smelly.
- Evil King John ruled England in 1200.

# Prop. Logic vs. FOL

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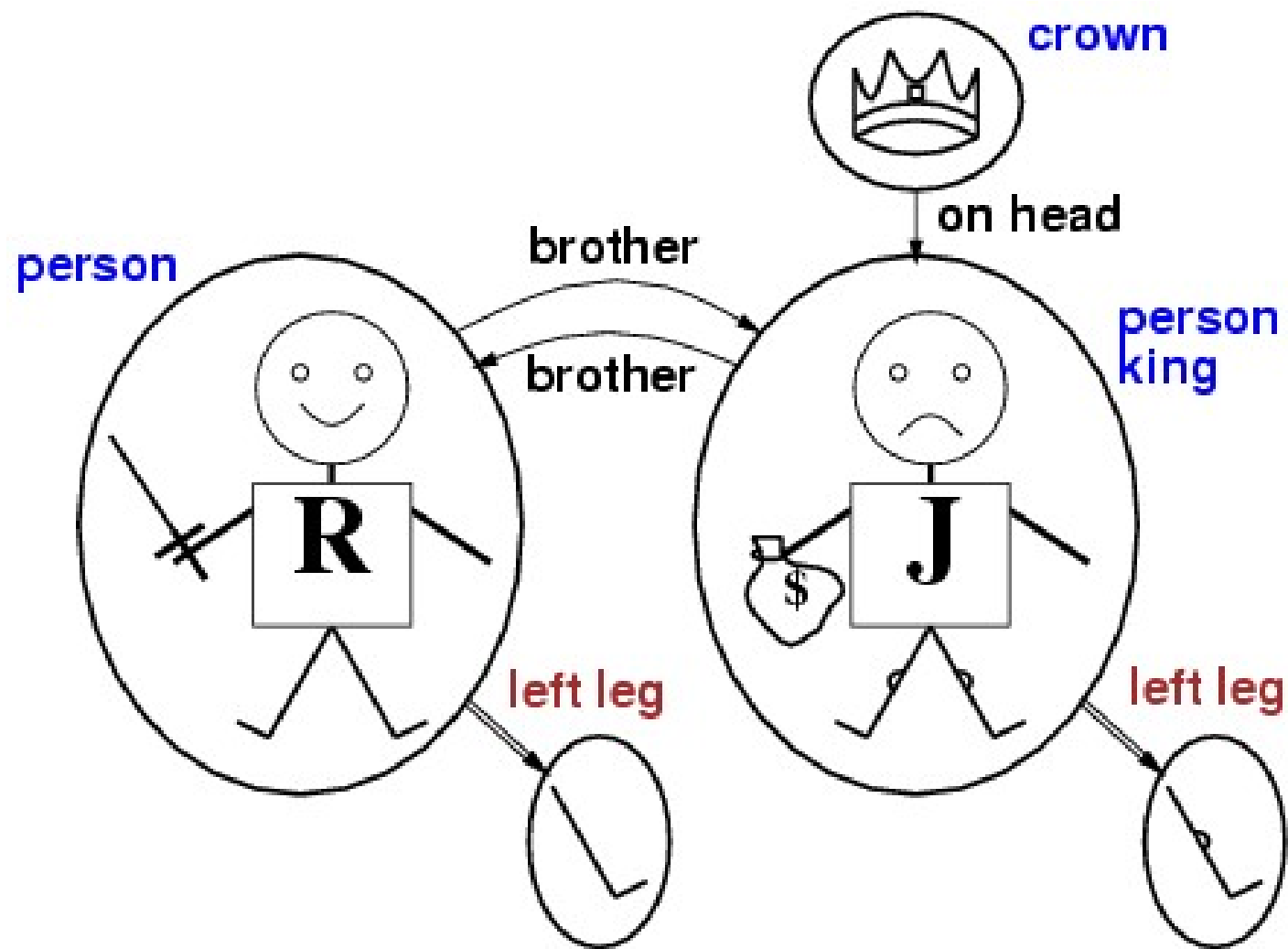
- Propositional logic assumes that there are facts that either hold or do not hold
- **FOL assumes more**: the world consists of objects with certain relations among them that do or do not hold
- Other special-purpose logics:
  - **Temporal logic**: facts hold at particular times / T,F,U
    - E.g., “I am always hungry”, “I will eventually be hungry”
  - **Higher-order logic**: views the relations and functions in FOL as objects themselves / T,F,U
  - **Probability theory**: facts / degree of belief [0, 1]
  - **Fuzzy logic**: facts with degree of truth [0, 1] / known interval values
    - E.g., “the temperature is very hot, hot, normal, cold, very cold”

# First-Order Logic (FOL)

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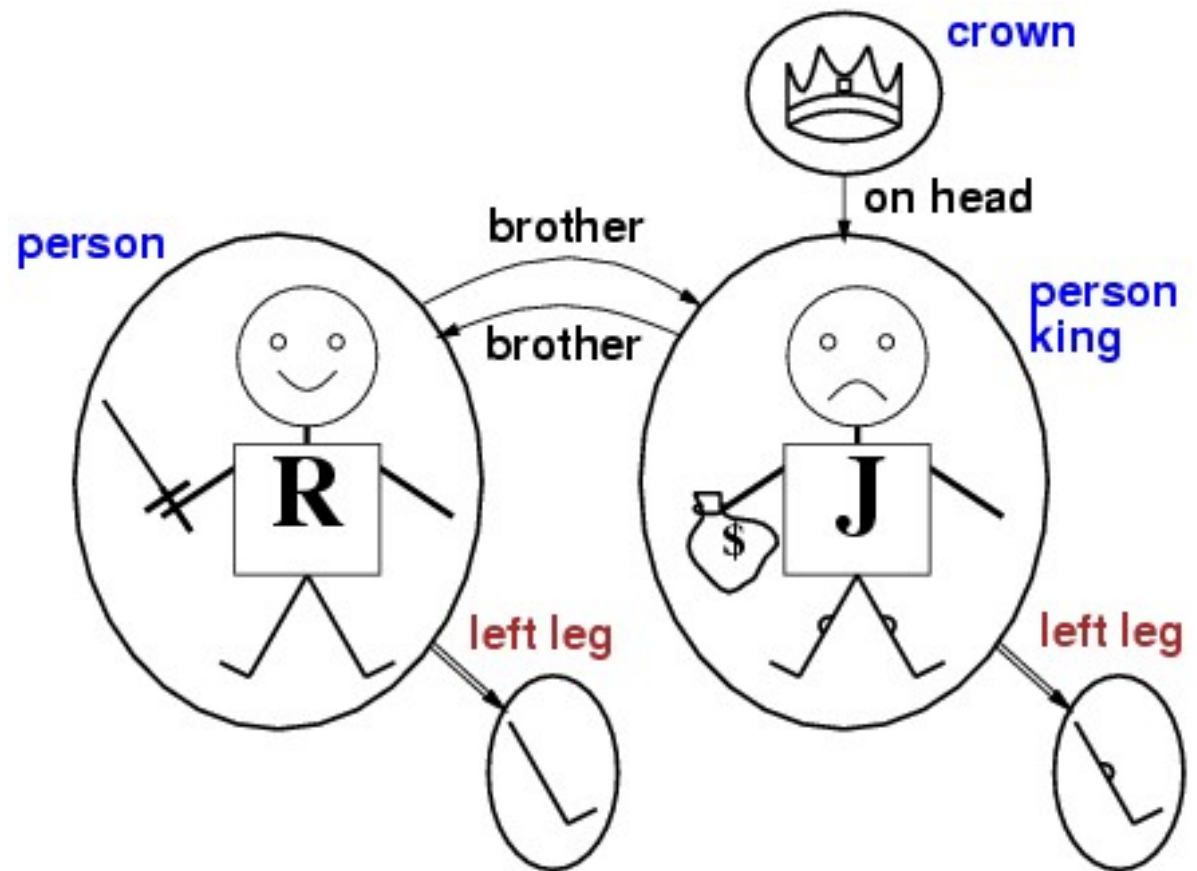
- Whereas propositional logic assumes the world contains **facts**
- First-order logic (like natural language) assumes the world contains
  - **Objects**: people, houses, numbers, colors, baseball games, wars, ...
  - **Relations**: red, round, prime, brother of, bigger than, part of, comes between, ...
  - **Functions**: father of, best friend, one more than, plus, ...

# Models for FOL: Example



# Example

- Five objects
- Two binary relations
- Three unary relations
- One unary function





# Syntax of FOL: Basic Elements

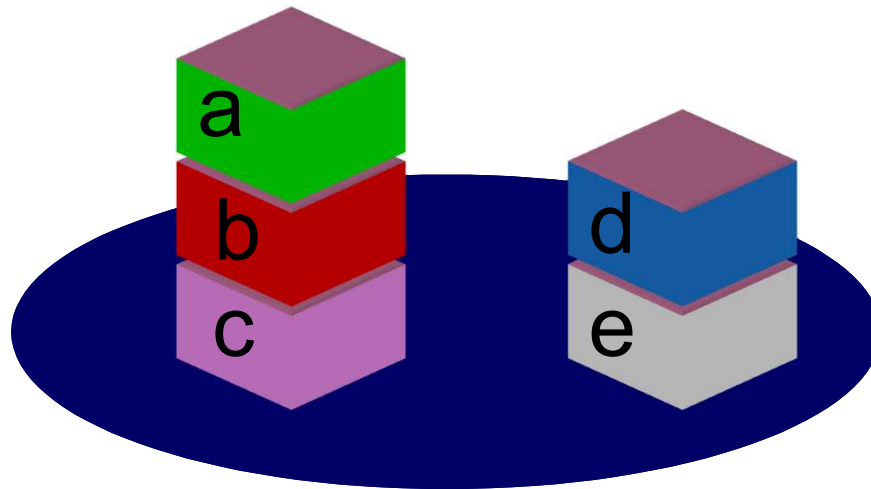
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- Basic symbols: objects (constant symbols), relations (predicate symbols), and functions (functional symbols).
- Constants                      King John, 2, Wumpus...
- Predicates                      Brother,  $>$ , ...
- Functions                      Sqrt, LeftLegOf, ...
- Variables                       $x, y, a, b, \dots$
- Connectives                       $\neg, \Rightarrow, \wedge, \vee, \Leftrightarrow$
- Equality                       $=$
- Quantifiers                       $\forall, \exists$
- A legitimate expression of predicate calculus is called **well-formed formula** (wff), or simply, **sentence**

# Relations (Predicates)

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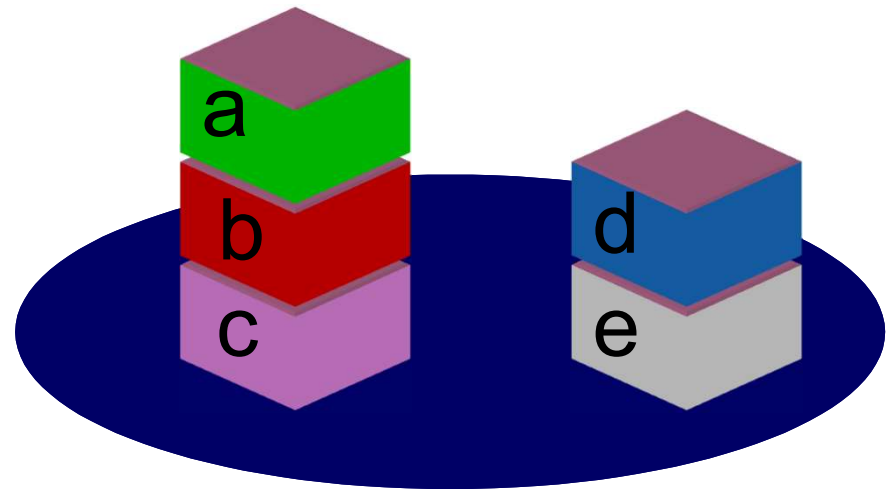
- A relation is the set of tuples of objects
  - Brotherhood relationship  $\{ \langle \text{Richard}, \text{John} \rangle, \langle \text{John}, \text{Richard} \rangle \}$
  - Unary relation, binary relation, ...
- Example: set of blocks  $\{a, b, c, d, e\}$
- The "On" relation includes:
  - $\text{On} = \{ \langle a, b \rangle, \langle b, c \rangle, \langle d, e \rangle \}$
  - the predicate  $\text{On}(A, B)$  can be interpreted as  $\langle a, b \rangle \in \text{On}$ .



# Functions

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- In English, we use “King John’s left leg” rather than giving a name to his leg, where we use “function symbol”
- $\text{hat}(c) = b$
- $\text{hat}(b) = a$
- $\text{hat}(d)$  is not defined



# Terms and Atomic Sentences

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Atomic sentence = *predicate (term<sub>1</sub>, ..., term<sub>n</sub>)*  
or *term<sub>1</sub> = term<sub>2</sub>*

Term = *function (term<sub>1</sub>, ..., term<sub>n</sub>)*  
or *constant or variable*

- Atomic sentence states facts
- Term refers to an object
- For example:
  - *Brother(KingJohn, RichardTheLionheart)*
  - *Length(LeftLegOf(Richard))*
  - *Married(Father(Richard), Mother(John))*

# Composite Sentences

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- Complex sentences are made from atomic sentences using connectives
- $\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2$

For example:

$Sibling(John, Richard) \Rightarrow Sibling(Richard, John)$

$\neg Brother(LeftLeg(Richard), John)$

$King(Richard) \vee King(John)$

$\neg King(Richard) \Rightarrow King(John)$

# Intended Interpretation

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- The semantics relate sentences to models to determine truth
- **Interpretation** specifies exactly which objects, relations and functions are referred to by the constant, predicate, and function symbols
  - *Richard* refers to Richard the Lionheart and *John* refers to the evil King John
  - *Brother* refers to the brotherhood relation; *Crown* refer to the set of objects that are crowns
  - *LeftLeg* refers to the “left leg” function
  - There are many other possible interpretations that relate symbols to model; *Richard* refers to the crown
  - If there are 5 objects in the model, how many possible interpretations are there for two symbols *Richard* and *John*?

# Intended Interpretation (Con't)

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- The **truth of any sentence** is determined by a model and an interpretation for the sentence's model
- The entailment and validity are defined in terms of **all possible models and all possible interpretations**
- The number of domain elements in each model may be unbounded; thus the number of possible models is unbounded
- Checking entailment by enumeration of all possible models is **NOT** doable for FOL

# In General

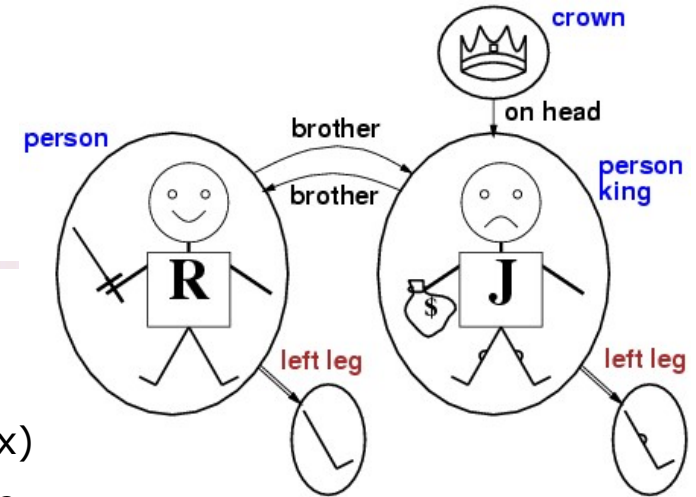
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- Sentences are true with respect to a **model** and an **interpretation**
- Model contains objects (**domain elements**) and relations among them
- Interpretation specifies referents for
  - constant symbols** → **objects**
  - predicate symbols** → **relations**
  - function symbols** → **functional relations**
- Once we have a logic that allows objects, it is natural to want to express properties of entire collections of objects, instead of enumerating the objects by name → **Quantifiers**

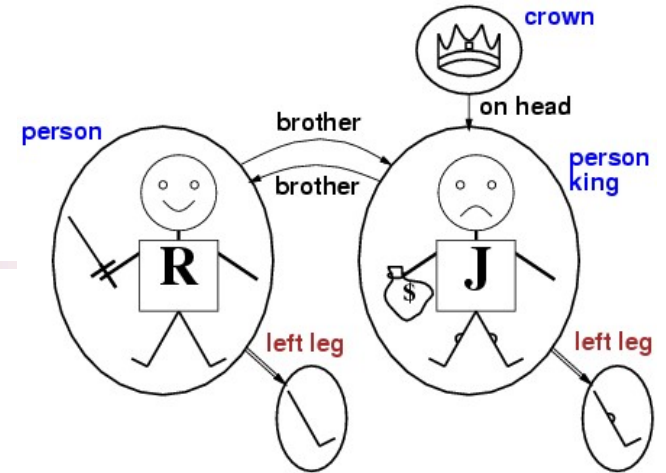


# Universal Quantifier

- Universal quantification ( $\forall$ )
  - “All kings are person”:  $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$
  - For all  $x$ , if  $x$  is a king, then  $x$  is a person
- In general,  $\forall x P$  is true in a given model under a given interpretation if  $P$  is true in all possible extended interpretations
- In the above example,  $x$  could be one of the following:
  - Richard, John, Richard’s left leg, John’s left leg, Crown
  - 5 extended interpretations
- A common mistake:  $\forall x (\text{King}(x) \wedge \text{Person}(x))$



# Existential Quantifier



- Existential quantification ( $\exists$ )
  - $\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John})$
  - There is an  $x$  such that  $x$  is a crown and  $x$  is on the John's head
- In general,  $\exists x P$  is true in a given model under a given interpretation if  $P$  is true in at least one extended interpretation that assigns  $x$  to a domain element
- In the above example, "Crown(crown)  $\wedge$  OnHead(crown, John)" is true
- Common mistake:  $\exists x \text{ Crown}(x) \Rightarrow \text{OnHead}(x, \text{John})$

# Nested Quantifiers

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- Nested quantifiers

- $\forall x \forall y [\text{Brother}(x, y) \Rightarrow \text{Sibling}(x, y)]$
- $\forall x \exists y \text{ Loves}(x, y)$
- $\exists y \forall x \text{ Loves}(x, y)$
- $\exists x \forall y$  is **not** the same as  $\forall y \exists x$
- The order of quantification is important

- **Quantifier duality**: each can be expressed using the other

- $\forall x \text{ Likes}(x, \text{IceCream}) \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$
- $\exists x \text{ Likes}(x, \text{Broccoli}) \quad \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

# Equality

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- $term_1 = term_2$  is true under a given interpretation if and only if  $term_1$  and  $term_2$  refer to the same object
  - Can be used to state facts about a given function
    - E.g.,  $Father(John) = Henry$
  - Can be used with negation to insist that two terms are not the same object
  - E.g., definition of *Sibling* in terms of *Parent*:
  - $\forall x, y \text{ Sibling}(x, y) \Leftrightarrow [\neg(x = y) \wedge \exists m, f \neg (m = f) \wedge \text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)]$

# Using FOL

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- Sentences are added to a KB using **TELL**, which is called **assertions**
- Questions asked using **ASK** are called **queries**
- Any query that is logically entailed by the KB should be answered affirmatively
- The standard form for an answer is a **substitution** or **binding list**, which is a set of **variable/term** pairs

*TELL(KB, King(John))*

*TELL(KB,  $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$ )*

*ASK(KB, King(John))*

*ASK(KB, Person(John))*

*ASK(KB,  $\exists x \text{ Person}(x)$ )      answer :  $\{x / \text{John}\}$*

# Example: The Kinship Domain

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- An example KB includes things like:
  - Fact:
    - "Elizabeth is the mother of Charles"
    - "Charles is the father of William"
  - Rules:
    - One's grandmother is the mother of one's parent"
- Object: people
- Unary predicate: Male, Female
- Binary predicate: Son, Spouse, Wife, Husband, Grandparent, Grandchild, Cousin, Aunt, and Uncle
- Function: Mother, Father

# Example: The Kinship Domain

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*One's mom is one's female parent.*

$$\forall m, c \text{ Mother}(c) = m \Leftrightarrow \text{Female}(m) \wedge \text{Parent}(m, c)$$

*One's husband is one's male spouse.*

$$\forall w, h \text{ Husband}(h, w) \Leftrightarrow \text{Male}(h) \wedge \text{Spouse}(h, w)$$

*Parent and child are inverse relations.*

$$\forall p, c \text{ Parent}(p, c) \Leftrightarrow \text{Child}(c, p)$$

*A grandparent is a parent of one's parent.*

$$\forall g, c \text{ Grandparent}(g, c) \Leftrightarrow \exists p \text{ Parent}(g, p) \wedge \text{Parent}(p, c)$$

## Practice:

Male and female are disjoint categories

A sibling is another child of one's parents

# Answer

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- Male and female are disjoint categories:
  - $\forall x \text{ Male}(x) \Leftrightarrow \neg \text{Female}(x)$
- A sibling is another child of one's parent:
  - $\forall x, y \text{ Sibling}(x, y) \Leftrightarrow x \neq y \wedge \exists p \text{ Parent}(p, x) \wedge \text{Parent}(p, y)$



# The Wumpus World

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- A percept is a binary predicate:
  - $\text{Percept}([\text{Stench}, \text{Breeze}, \text{Glitter}, \text{None}, \text{None}], 5)$
- Actions are logical terms:
  - $\text{Turn}(\text{Right}), \text{Turn}(\text{Left}), \text{Forward}, \text{Shoot}, \text{Grab}, \text{Release}, \text{Climb}$
- Query:
  - $\exists a \text{ BestAction}(a, 5)$
  - Answer:  $\{a/\text{Grab}\}$
- Perception: percept implies facts:
  - $\forall t, s, b, m, c \text{ Percept}([s, b, \text{Glitter}, m, c], t) \Rightarrow \text{Glitter}(t)$
- Reflex behavior:
  - $\forall t \text{ Glitter}(t) \Rightarrow \text{BestAction}(\text{Grab}, t)$

# The Wumpus World

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- The Environment:
  - Objects: squares, pits and the wumpus
  - $\forall x,y,a,b \text{ Adjacent}([x,y],[a,b]) \Leftrightarrow$   
 $[a,b] \in \{[x+1,y], [x-1,y],[x,y+1],[x,y-1]\}$
  - A unary predicate  $Pit(x)$
  - $\forall s,t \text{ At}(\text{Agent},s,t) \wedge \text{Breeze}(t) \Rightarrow \text{Breezy}(s)$ , the agent infers properties of the square from properties of its current percept
  - $\text{Breezy}()$  has no time argument
  - Having discovered which places are breezy or smelly, not breezy or not smelly, the agent can deduce where the pits are and where the wumpus is

# Diagnostic Rules

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- **Diagnostic** rule: lead from observed effects to hidden causes
  - If the square is breezy then adjacent square(s) must contain a pit
$$\forall s \text{ Breezy}(s) \Rightarrow \exists r \text{ Adjacent}(r,s) \wedge \text{Pit}(r)$$
  - If the square is not breezy, no adjacent square contains a pit
$$\forall s \neg \text{Breezy}(s) \Rightarrow \neg (\exists r \text{ Adjacent}(r,s) \wedge \text{Pit}(r))$$
  - Combining both:
$$\forall s \text{ Breezy}(s) \Leftrightarrow \exists r \text{ Adjacent}(r,s) \wedge \text{Pit}(r)$$

# Causal Rules

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- **Causal** rule: some hidden property of the world causes certain percept to be generated
  - A pit causes all adjacent squares to be breezy  
 $\forall r \text{ Pit}(r) \Rightarrow [\forall s \text{ Adjacent}(r,s) \Rightarrow \text{Breezy}(s)]$
  - If all squares adjacent to a given square are pitless, the square will not be breezy  
 $\forall s [\forall r [\text{Adjacent}(r,s) \Rightarrow \neg \text{Pit}(r)] \Rightarrow \neg \text{Breezy}(s)]$

# Summary

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- First-order logic:
  - objects and relations are semantic primitives
  - syntax: constants, functions, predicates, equality, quantifiers
- Increased expressive power: sufficient to define wumpus world

# Exercises

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- Represent the following sentences in FOL
  - Some students took French in spring 2001.
  - Every student who takes French passes it.
  - Only one student took Greek in spring 2001.
  - The best score in Greek is always higher than the best score in French.
- Let the basic vocabulary be as follows:

*Student*( $x$ )

*Takes*( $x, c, s$ ): student  $x$  takes course  $c$  in semester  $s$ ;

*Passes*( $x, c, s$ ): student  $x$  passes course  $c$  in semester  $s$ ;

*Score*( $x, c, s$ ): the score obtained by student  $x$  in course  $c$  in semester  $s$ ;

$x > y$ :  $x$  is greater than  $y$ ;

$F$  and  $G$ : specific French and Greek courses (one could also interpret these sentences as referring to *any* such course, in which case one could use a predicate *Subject*( $c, f$ ) meaning that the subject of course  $c$  is field  $f$ ;

# Inference in FOL

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- Two ideas:
  - convert the KB to propositional logic and use propositional inference
  - a shortcut that manipulates on first-order sentences directly (resolution, will not be introduced here)

# Universal Instantiation

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## ■ Universal instantiation

- infer any sentence by substituting a ground term (a term without variables) for the variable

- $$\frac{\forall v \alpha}{\text{Subst}(\{v/g\}, \alpha)}$$

- for any **variable**  $v$  and **ground term**  $g$

## ■ Examples

- $\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$  yields:
- $\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$
- $\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$
- $\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John}))$



# Existential Instantiation

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- Existential instantiation

- For any sentence  $\alpha$ , variable  $v$ , and constant symbol  $k$  that does **NOT** appear elsewhere in the knowledge base, replace  $v$  with  $k$

$$\frac{\exists v \alpha}{\text{Subst}(\{v/k\}, \alpha)}$$

- E.g.,  $\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields:

$$\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})$$

provided  $C_1$  is a new constant symbol, called a **Skolem constant**

# Exercise

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- Suppose a knowledge base contains just one sentence,  $\exists x \text{ AsHighAs}(x, \text{Everest})$ . Which of the following are legitimate results of applying Existential Instantiation?
  - $\text{AsHighAs}(\text{Everest}, \text{Everest})$
  - $\text{AsHighAs}(\text{Kilimanjaro}, \text{Everest})$
  - $\text{AsHighAs}(\text{Kilimajaro}, \text{Everest})$  and  $\text{AsHighAs}(\text{BenNevis}, \text{Everest})$

# Reduction to Propositional Inference

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Suppose the KB contains just the following:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*

- Instantiating the universal sentence in **all possible** ways, we have:

*King(John)  $\wedge$  Greedy(John)  $\Rightarrow$  Evil(John)*

*King(Richard)  $\wedge$  Greedy(Richard)  $\Rightarrow$  Evil(Richard)*

*King(John)*

*Greedy(John)*

*Brother(Richard, John)*

- The new KB is **propositionalized**: proposition symbols are
- *King(John)*, *Greedy(John)*, *Evil(John)*, *King(Richard)*, etc.
- What conclusion can you get?

# Reduction Cont'd.

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- Every FOL KB can be propositionalized so as to preserve entailment
- (A ground sentence is entailed by new KB iff entailed by original KB)
- **Idea:** propositionalize KB and query, apply resolution, return result

# Problems with Propositionalization

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- Propositionalization seems to generate lots of irrelevant sentences
- E.g., from:  
 $\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$   
 $\text{King}(\text{John})$   
 $\forall y \text{ Greedy}(y)$   
 $\text{Brother}(\text{Richard}, \text{John})$
- It seems obvious that  $\text{Evil}(\text{John})$  is true, but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant
- With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations

# Problems with Propositionalization

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- When the KB includes a function symbol, the set of possible ground term substitutions is infinite
  - Father(Father(...(John)...))
- Theorem:
  - If a sentence is entailed by the original, first-order KB, then there is a proof involving just a finite subset of the propositionalized KB
  - First instantiation with constant symbols
  - Then terms with depth 1 (Father(John))
  - Then terms with depth 2 ...
  - Until the sentence is entailed

# Unification and Lifting

Propositionalization approach is  
rather inefficient

# A First-Order Inference Rule

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- If there is some **substitution**  $\theta$  that makes the premise of the implication identical to sentences already in the KB, then we assert the conclusion of the implication, after applying  $\theta$

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

What's  $\theta$  here?

- Sometimes, we need to do is find a substitution  $\theta$  **both** for the **variables** in the implication and in the sentence to be matched

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\forall y \text{ Greedy}(y)$

$\text{Brother}(\text{Richard}, \text{John})$

What's  $\theta$  here?



# Generalized Modus Ponens

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- For atomic sentences  $p_i$ ,  $p_i'$ , and  $q$ , where there is a substitution  $\theta$  such that  $\text{Subst}(\theta, p_i') = \text{Subst}(\theta, p_i)$ , for all  $i$ :

$$\frac{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{\text{Subst}(\theta, q)}$$

$p_1'$  is *King(John)*

$p_1$  is *King(x)*

$p_2'$  is *Greedy(y)*

$p_2$  is *Greedy(x)*

$\theta$  is  $\{x/\text{John}, y/\text{John}\}$

$q$  is *Evil(x)*

$\text{Subst}(\theta, q)$  is *Evil(John)*

Can be used with KB of **definite clauses** (**exactly** one positive literal)

# Unification

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- GMP is a **lifted version** of Modus Ponens – raises Modus Ponens from propositional to first-order logic
- What's the key **advantage** of GMP over propositionalization?
- Lifted inference rules require finding substitutions that make different logical expressions look identical
- This process is called **unification** and is a key component of all first-order inference algorithms

# Unification

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- The unify algorithm takes two sentences and returns a unifier for them if one exists:
  - $\text{UNIFY}(p, q) = \theta$  where  $\text{Subst}(\theta, p) = \text{Subst}(\theta, q)$

p	q	$\theta$
Knows(John,x)	Knows(John,Jane)	
Knows(John,x)	Knows(y,OJ)	
Knows(John,x)	Knows(y,Mother(y))	
Knows(John,x)	Knows(x,OJ)	

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p	q	$\theta$
Knows(John,x)	Knows(John,Jane)	{x/Jane}
Knows(John,x)	Knows(y,OJ)	
Knows(John,x)	Knows(y,Mother(y))	
Knows(John,x)	Knows(x,OJ)	

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p	q	$\theta$
Knows(John,x)	Knows(John,Jane)	$\{x/\text{Jane}\}$
Knows(John,x)	Knows(y,OJ)	$\{x/\text{OJ}, y/\text{John}\}$
Knows(John,x)	Knows(y,Mother(y))	
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Knows(John,x)	Knows(y,OJ)	$\{x/\text{OJ}, y/\text{John}\}$
Knows(John,x)	Knows(y,Mother(y))	$\{y/\text{John}, x/\text{Mother}(\text{John})\}$
Knows(John,x)	Knows(x,OJ)	

# Unification

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p	q	$\theta$
Knows(John,x)	Knows(John,Jane)	$\{x/\text{Jane}\}$
Knows(John,x)	Knows(y,OJ)	$\{x/\text{OJ}, y/\text{John}\}$
Knows(John,x)	Knows(y,Mother(y))	$\{y/\text{John}, x/\text{Mother(John)}\}$
Knows(John,x)	Knows(x,OJ)	$\{\text{fail}\}$

**Standardizing apart:** renaming variables to avoid name clashes

$\text{UNIFY}(\text{Knows}(\text{John}, x), \text{Knows}(z, \text{OJ})) = \{x/\text{OJ}, z/\text{John}\}$

# Unification Contd.

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- To unify  $Knows(John, x)$  and  $Knows(y, z)$ ,  
 $\theta = \{ y/John, x/z \}$  or  $\theta = \{ y/John, x/John, z/John \}$
- The first unifier is **more general** than the second
- There is a single **most general unifier** (MGU)
- $MGU = \{ y/John, x/z \}$



# Exercise

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- For each pair of atomic sentences, give the most general unifier if it exists:
  - $P(A, B, B), P(x, y, z)$
  - $Q(y, G(A, B)), Q(G(x, x), y)$
  - $\text{Older}(\text{Father}(y), y), \text{Older}(\text{Father}(x), \text{John})$
  - $\text{Knows}(\text{Father}(y), y), \text{Knows}(x, x)$

# Example Knowledge Base

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- The law says that it is a crime for an *American* to sell weapons to hostile nations. The country *Nono*, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel *West*, who is American.
- Prove that Colonel West is a criminal
  - $\text{American}(x)$ :  $x$  is an American
  - $\text{Weapon}(x)$ :  $x$  is a weapon
  - $\text{Hostile}(x)$ :  $x$  is a hostile nation
  - $\text{Criminal}(x)$ :  $x$  is a criminal
  - $\text{Missile}(x)$ :  $x$  is a missile
  - $\text{Owns}(x, y)$ :  $x$  owns  $y$
  - $\text{Sells}(x, y, z)$ :  $x$  sells  $y$  to  $z$
  - $\text{Enemy}(x, y)$ :  $x$  is an enemy of  $y$
  - Constants: America, Nono, West

# Example Knowledge Base

---

## First-Order Definite Clauses

... it is a crime for an American to sell weapons to hostile nations:

$American(x) \wedge Weapon(y) \wedge Sells(x,y,z) \wedge Hostile(z) \Rightarrow Criminal(x)$

Nono ... has some missiles, i.e.,  $\exists x Owns(Nono,x) \wedge Missile(x)$ :

$Owns(Nono,M_1)$  and  $Missile(M_1)$

... all of its missiles were sold to it by Colonel West

$Missile(x) \wedge Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$

Missiles are weapons:

$Missile(x) \Rightarrow Weapon(x)$

An enemy of America counts as "hostile":

$Enemy(x, America) \Rightarrow Hostile(x)$

West, who is American ...

$American(West)$

The country Nono, an enemy of America ...

$Enemy(Nono, America)$

# Forward Chaining

---

- Starting from known facts, it triggers all the rules whose premises are satisfied, adding their conclusions to the known facts. This process will continue until query is answered.
- When a new fact P is added to the KB:
  - For each rule such that P unifies with a premise
    - if the other premises are already known
    - then add the conclusion to the KB and continue chaining
- Forward chaining is data-driven:
  - inferring properties and categories from percepts

# Forward Chaining Algorithm

```
function FOL-FC-ASK( $KB, \alpha$ ) returns a substitution or false
  repeat until new is empty
     $new \leftarrow \{\}$ 
    for each sentence  $r$  in  $KB$  do
       $(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)$ 
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p'_1 \wedge \dots \wedge p'_n)\theta$ 
        for some  $p'_1, \dots, p'_n$  in  $KB$ 
           $q' \leftarrow \text{SUBST}(\theta, q)$ 
          if  $q'$  is not a renaming of a sentence already in  $KB$  or new then do
            add  $q'$  to new
             $\phi \leftarrow \text{UNIFY}(q', \alpha)$ 
            if  $\phi$  is not fail then return  $\phi$ 
    add new to  $KB$ 
  return false
```

# Forward Chaining Proof

---

*American(West)*

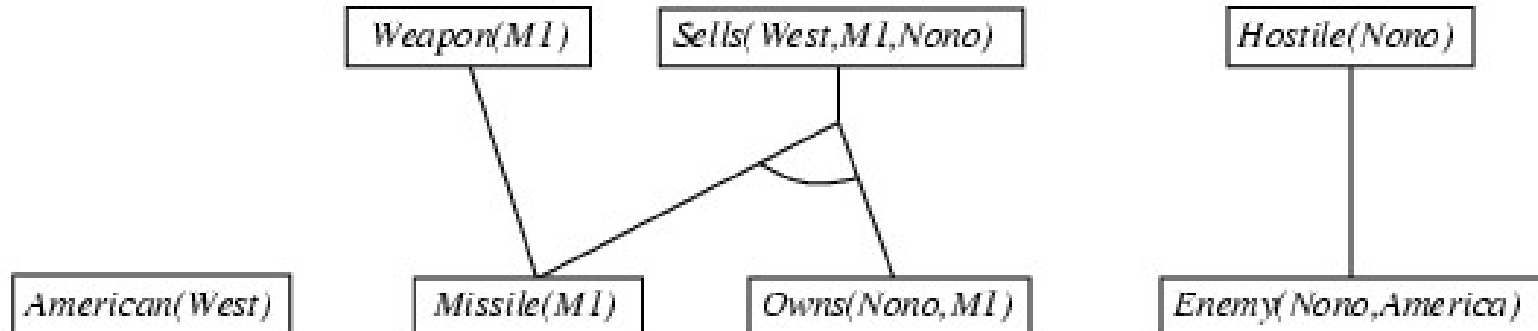
*Missile(M1)*

*Owns(Nono,M1)*

*Enemy(Nono,America)*

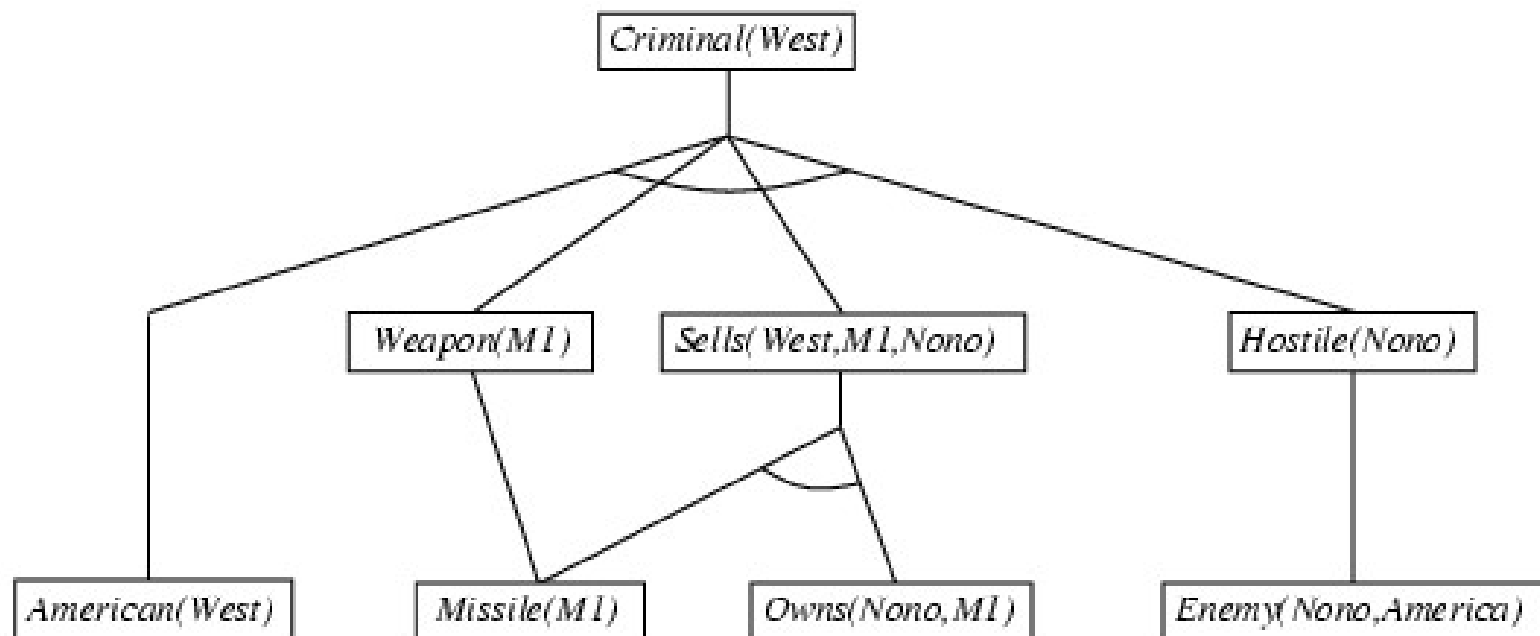
# Forward Chaining Proof

---



# Forward Chaining Proof

---





# Analysis of Forward Chaining

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- A fixed point of the inference process can be reached
- The algorithm is sound and complete
- Its efficiency can be improved

# FC Algorithm Analysis

```
function FOL-FC-ASK( $KB, \alpha$ ) returns a substitution or false
  repeat until new is empty
     $new \leftarrow \{\}$ 
    for each sentence  $r$  in  $KB$  do
       $(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)$ 
      for each  $\theta$  such that  $(p_1 \wedge \dots \wedge p_n)\theta = (p'_1 \wedge \dots \wedge p'_n)\theta$ 
        for some  $p'_1, \dots, p'_n$  in  $KB$ 
           $q' \leftarrow \text{SUBST}(\theta, q)$ 
          if  $q'$  is not a renaming of a sentence already in  $KB$  or new then do
            add  $q'$  to new
             $\phi \leftarrow \text{UNIFY}(q', \alpha)$ 
            if  $\phi$  is not fail then return  $\phi$ 
    add new to  $KB$ 
  return false
```

# Sources of Complexity

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- 1. “Inner loop” involves finding **all possible unifiers** such that the premise of a rule unifies with facts in the KB
  - Often called “**pattern matching**”, very expensive
- 2. The algorithm **rechecks every rule** on every iteration to see whether its premises are met
- 3. It might generate many **facts** that are **irrelevant** to the goal

# Matching Rules Against Known Facts

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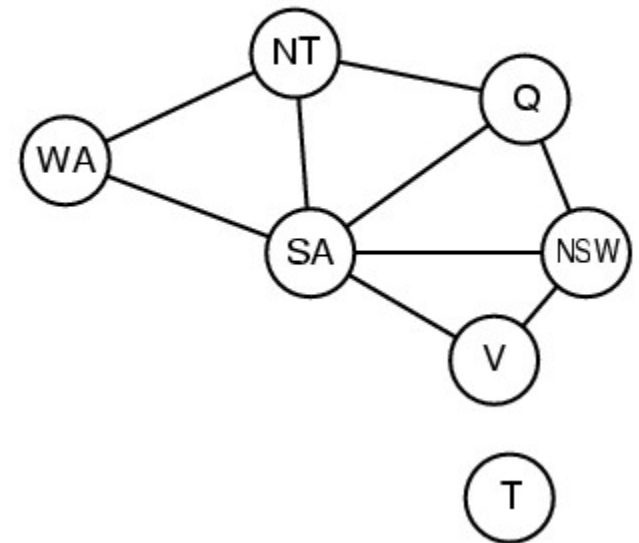
- Consider a rule:
  - $Owns(Nono, x) \wedge Missile(x) \Rightarrow Sells(West, x, Nono)$
  - We find all the objects owned by Nono in constant time per object;
  - Then, for each object, we check whether it's a missile.
  - If more objects and very few missiles → inefficient
  - Conjunct ordering problem: NP-hard
  - Heuristics?

# Pattern Matching and CSP

- The **most constrained variable heuristic** used for CSPs would suggest ordering the conjuncts to look for missiles first if there are fewer missiles than objects owned by Nono
- We can actually express every finite-domain CSP as a single definite clause together with some associated ground facts

$\text{Diff}(\text{wa}, \text{nt}) \wedge \text{Diff}(\text{wa}, \text{sa}) \wedge$   
 $\text{Diff}(\text{nt}, \text{q}) \wedge \text{Diff}(\text{nt}, \text{sa}) \wedge$   
 $\text{Diff}(\text{nsw}, \text{v}) \wedge \text{Diff}(\text{nsw}, \text{v}) \wedge$   
 $\text{Diff}(\text{v}, \text{sa}) \rightarrow \text{Colorable}()$

$\text{Diff}(\text{R}, \text{B}) \quad \text{Diff}(\text{R}, \text{G})$   
 $\text{Diff}(\text{G}, \text{R}) \quad \text{Diff}(\text{G}, \text{B})$   
 $\text{Diff}(\text{B}, \text{R}) \quad \text{Diff}(\text{B}, \text{G})$



# Incremental Forward Chaining

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- Observation:
  - Every new fact inferred on iteration  $t$  must be derived from at least one new fact inferred on iteration  $t-1$
- Modification:
  - At iteration  $t$ , we check a rule only if its premise includes a conjunct  $p_i$  that unifies with a fact  $p_i'$  newly inferred at iteration  $t-1$
  - Many real systems operate in an “update” mode wherein forward chaining occurs in response to each new fact that is TOLD to the system

# Irrelevant Facts

---

- FC makes all allowable inferences based on known facts, even if they are irrelevant to the goal at hand
- Similar to FC in propositional context
- Solution?

# Backward Chaining

---

- $p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q$
- When a query  $q$  is examined:
  - if a matching fact  $q'$  is known, return the unifier
  - for each rule whose consequent  $q'$  matches  $q$
  - attempt to prove each **premise** of the rule by backward chaining
- Backward chaining is the basis for “logic programming,” e.g., **Prolog**



# Backward Chaining Algorithm

```
function FOL-BC-ASK( $KB, goals, \theta$ ) returns a set of substitutions
  inputs:  $KB$ , a knowledge base
            $goals$ , a list of conjuncts forming a query
            $\theta$ , the current substitution, initially the empty substitution  $\{ \}$ 
  local variables:  $ans$ , a set of substitutions, initially empty

  if  $goals$  is empty then return  $\{ \theta \}$ 
   $q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(goals))$ 
  for each  $r$  in  $KB$  where  $\text{STANDARDIZE-APART}(r) = (p_1 \wedge \dots \wedge p_n \Rightarrow q)$ 
    and  $\theta' \leftarrow \text{UNIFY}(q, q')$  succeeds
       $ans \leftarrow \text{FOL-BC-ASK}(KB, [p_1, \dots, p_n | \text{REST}(goals)], \text{COMPOSE}(\theta, \theta')) \cup ans$ 
  return  $ans$ 
```

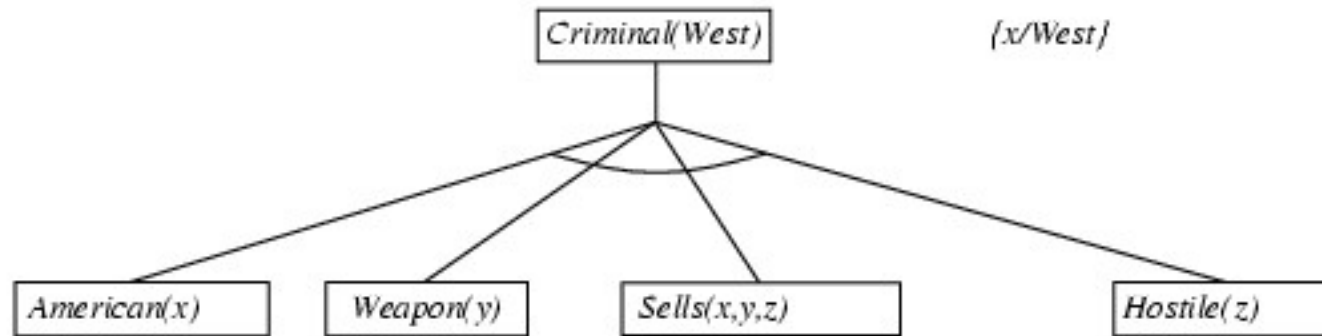
# Backward Chaining Example

---

*Criminal(West)*

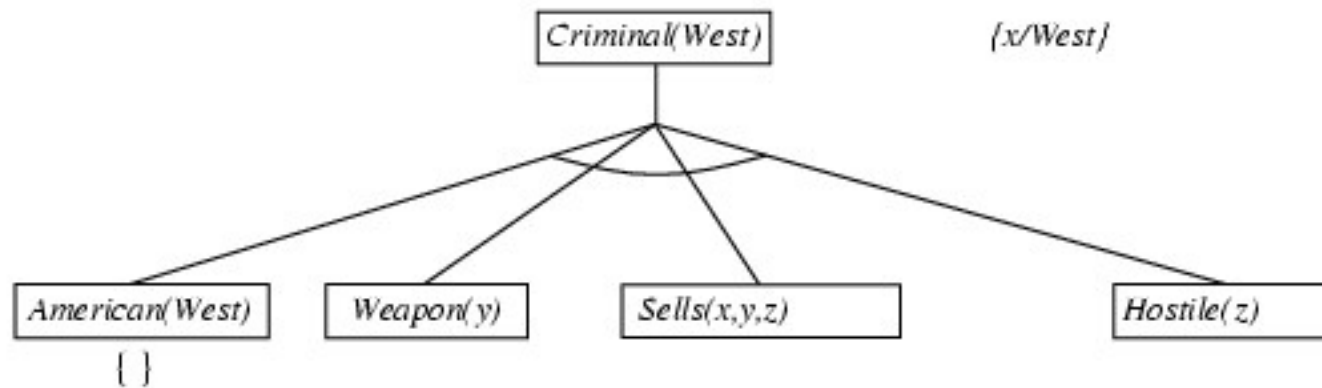
# Backward Chaining Example

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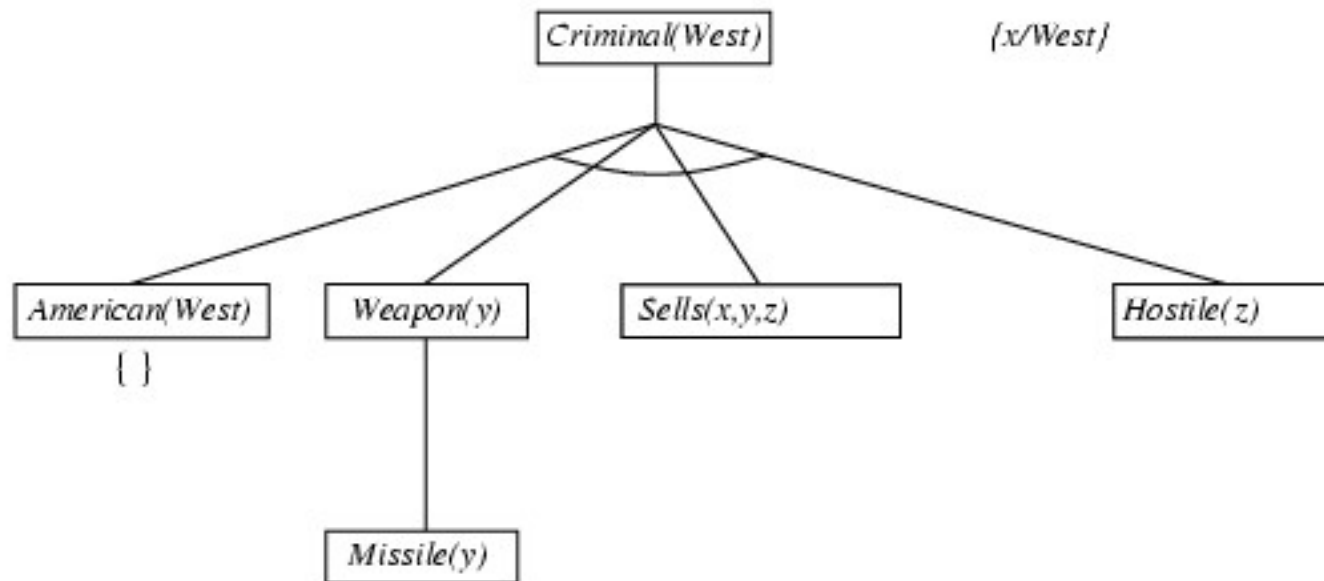
# Backward Chaining Example

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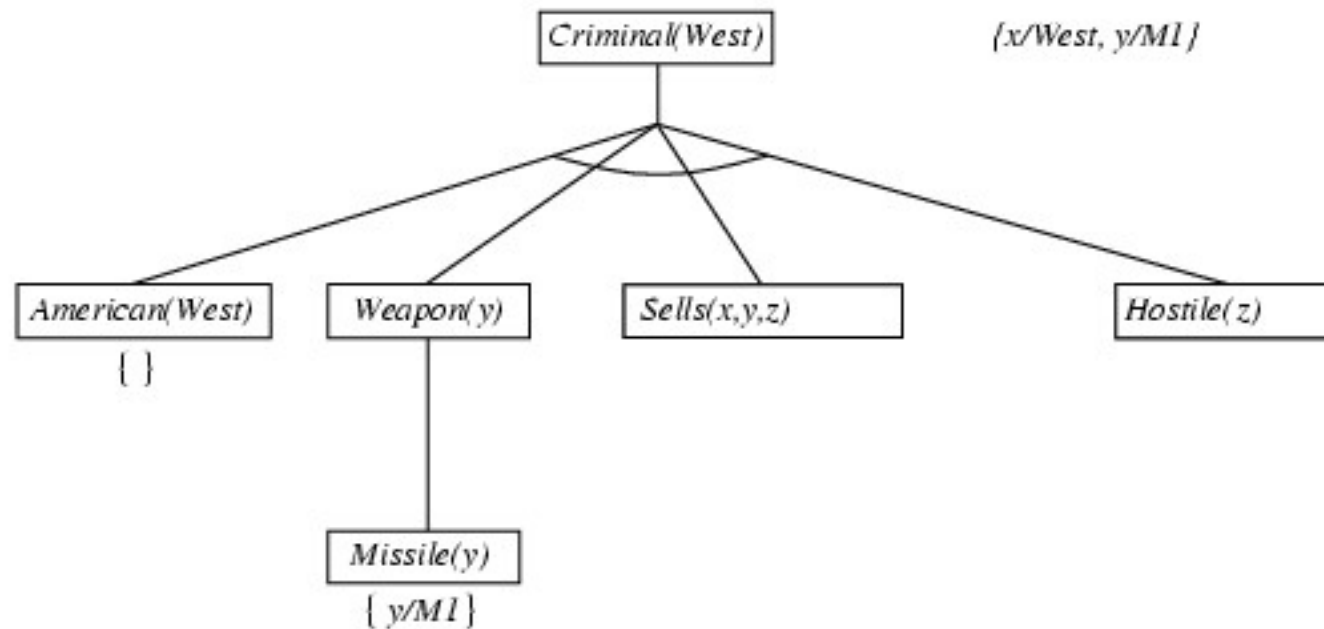
# Backward Chaining Example

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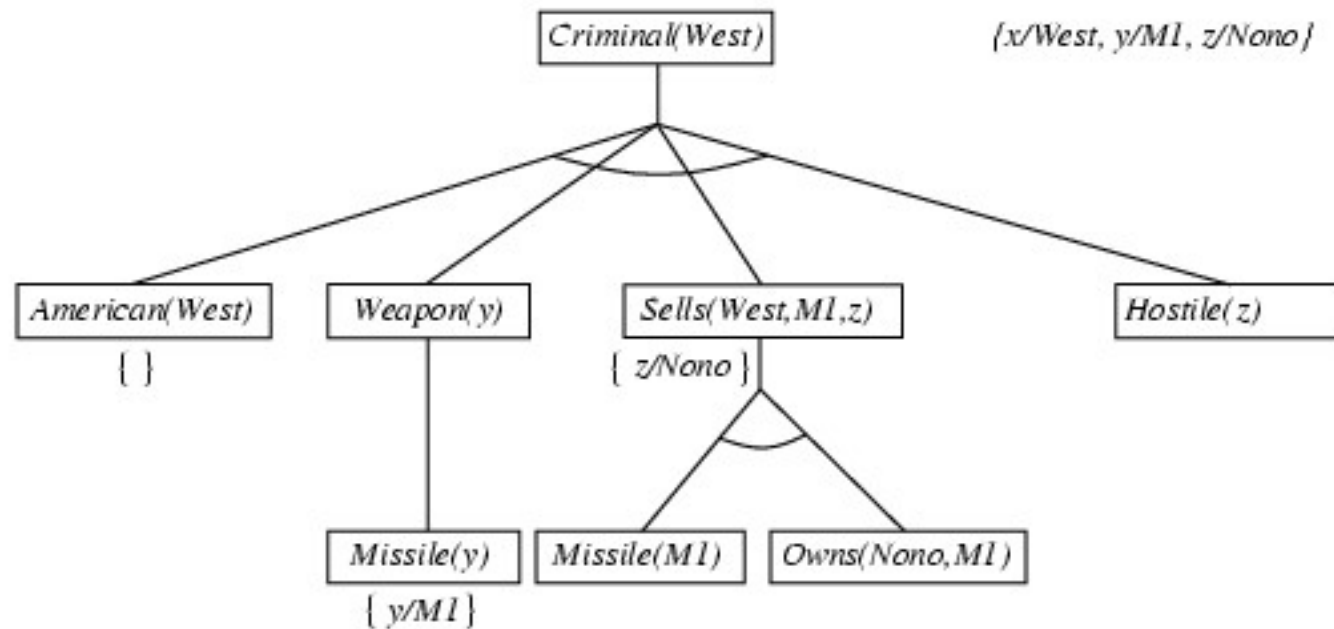


# Backward Chaining Example

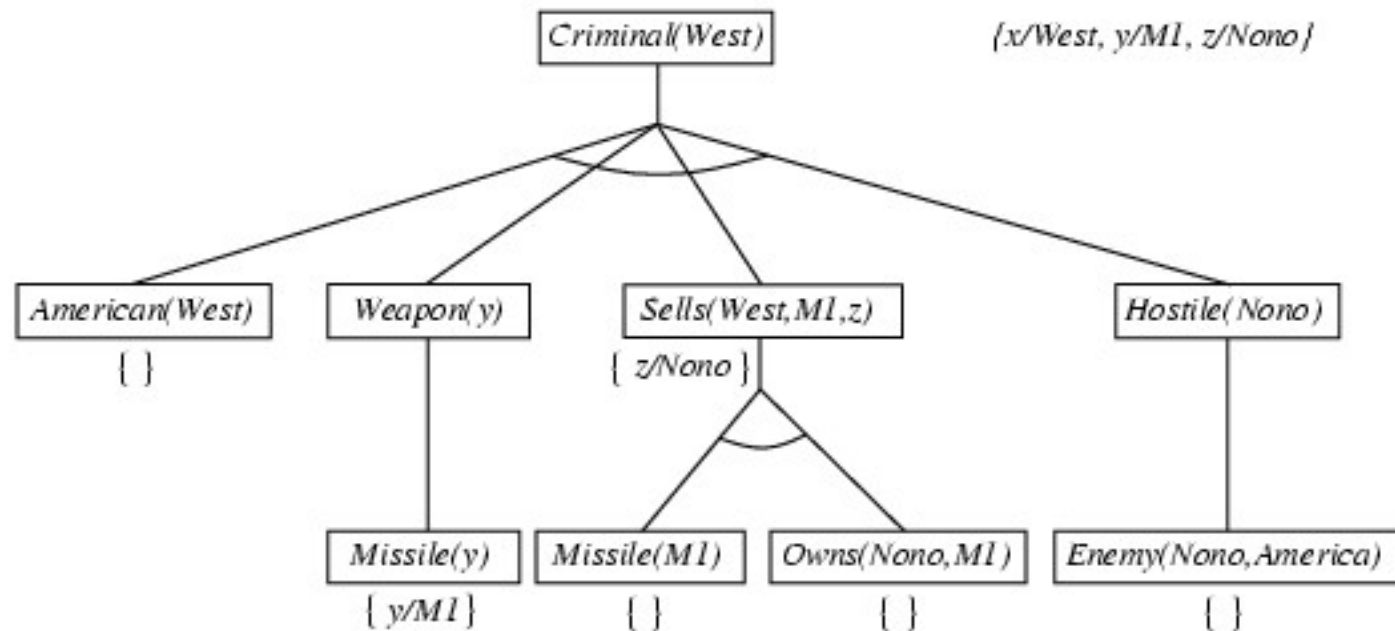
---



# Backward Chaining Example



# Backward Chaining Example





# Analysis of Backward Chaining

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- Depth-first search: space is linear in size of proof
- Repeated states and incompleteness
  - can be fixed by checking current goal against every goal on stack
- Inefficient due to repeated subgoals
  - can be fixed by caching previous results