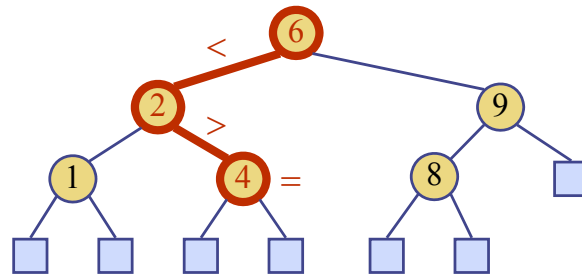


Lecture 8-1: Binary Search Trees



Sunghyun Cho

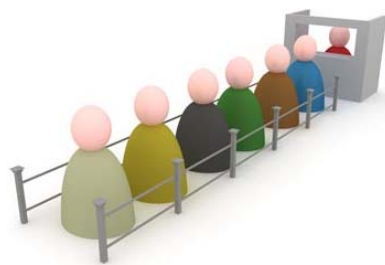
Professor

Division of Computer Science

chopro@hanyang.ac.kr

HANYANG UNIVERSITY

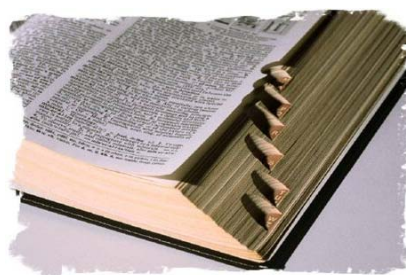
Keywords



Queue



Priority Queue



MAP and Dictionary

**Think about
the purpose
of each
data structure !**

Ordered Maps

Keys are assumed to come from a total order.

New operations:

- **firstEntry**(): entry with smallest key value null
- **lastEntry**(): entry with largest key value
- **floorEntry**(k): entry with largest key $\leq k$
- **ceilingEntry**(k): entry with smallest key $\geq k$
- These operations return null if the map is empty

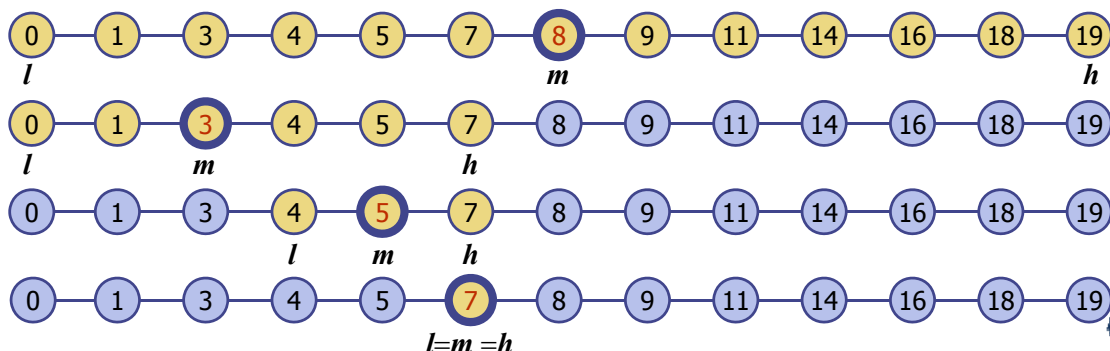


Binary Search

Binary search can perform operations **get**, **floorEntry** and **ceilingEntry** on an ordered map implemented by means of an array-based sequence, sorted by key

- similar to the high-low game
- at each step, the number of candidate items is halved
- terminates after $O(\log n)$ steps

Example: **find**(7)



Search Tables (skip)

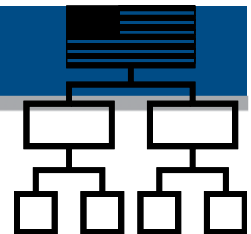
- A search table is an ordered map implemented by means of a sorted sequence
 - We store the items in an array-based sequence, sorted by key
 - We use an external comparator for the keys
- Performance:
 - **get**, **floorEntry** and **ceilingEntry** take $O(\log n)$ time, using binary search
 - **put** takes $O(n)$ time since in the worst case we have to shift $n/2$ items to make room for the new item
 - **remove** take $O(n)$ time since in the worst case we have to shift $n/2$ items to compact the items after the removal
- The lookup (search) table is effective only for dictionaries of small size or for dictionaries on which searches are the most common operations, while insertions and removals are rarely performed (e.g., credit card authorizations)



5

HANYANG UNIVERSITY

Binary Search Trees (ADT)

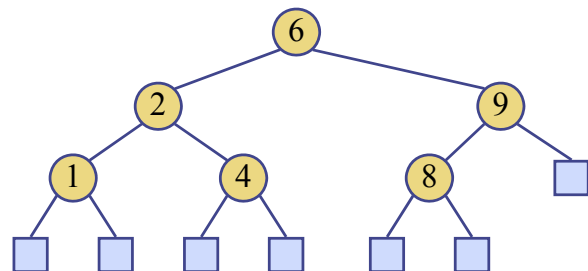


- A binary search tree is a binary tree storing keys (or key-value entries) at its internal nodes and satisfying the following property:

- Let u , v , and w be three nodes such that u is in the left subtree of v and w is in the right subtree of v . We have
 $key(u) \leq key(v) \leq key(w)$

- External nodes do not store items

- An inorder traversal of a binary search trees visits the keys in increasing order



프로그램 구현상의 편의를 위해서 의미없는 외부노드를 추가(혹은 범위를 위해서)



6

HANYANG UNIVERSITY

Binary Search Trees (ADT)

Fundamental Methods

- **get (k)**
 - return the value v for the entry, (k, v) , with key equal to k , if it exists
- **put (k, v)**
 - enter the entry (k, v) as the mapping of k to v
- **remove (k)**
 - remove the entry with key equal to k , and return its value



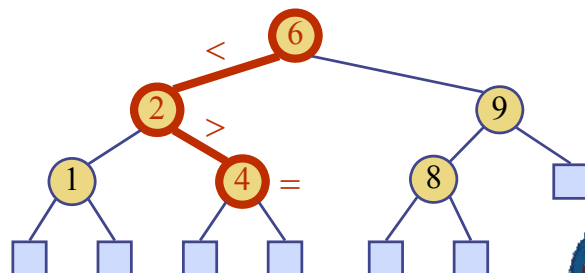
HANYANG UNIVERSITY

7

Search

- ❏ To search for a key k , we trace a downward path starting at the root
- ❏ The next node visited depends on the comparison of k with the key of the current node
- ❏ If we reach a leaf, the key is not found
- ❏ Example: **get(4)**:
 - Call `TreeSearch(4, root)`
- ❏ The algorithms for **floorEntry** and **ceilingEntry** are similar

```
Algorithm TreeSearch( $k, v$ )  
  if  $T.isExternal(v)$   
    return  $v$   
  if  $k < key(v)$   
    return TreeSearch( $k, T.left(v)$ )  
  else if  $k = key(v)$   
    return  $v$   
  else {  $k > key(v)$  }  
    return TreeSearch( $k, T.right(v)$ )
```

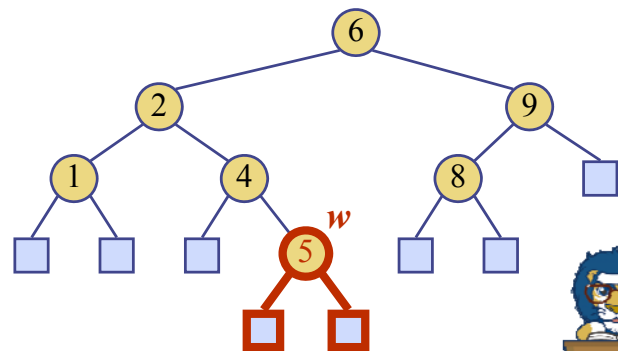
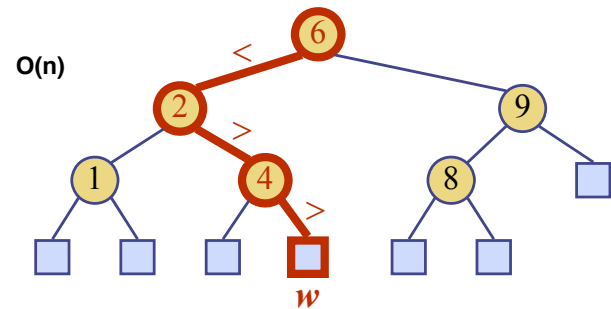


HANYANG UNIVERSITY

8

Insertion

- To perform operation **put**(k , o), we search for key k (using TreeSearch)
- Assume k is not already in the tree, and let w be the leaf reached by the search
- We insert k at node w and expand w into an internal node
- Example: insert 5

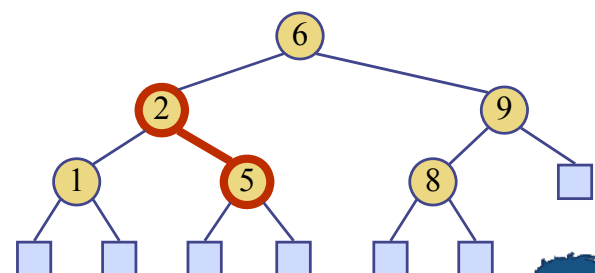
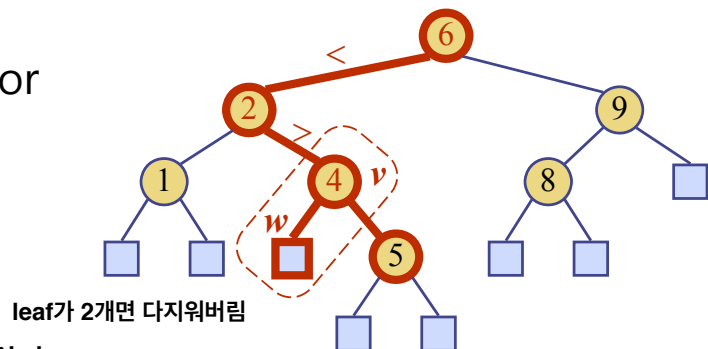


HANYANG UNIVERSITY

9

Deletion

- To perform operation **remove**(k), we search for key k
- Assume key k is in the tree, and let v be the node storing k
- If node v has a leaf child w , we remove v and w from the tree with operation **removeExternal**(w), which removes w and its parent
- Example: remove 4



HANYANG UNIVERSITY

10

Deletion (cont.)

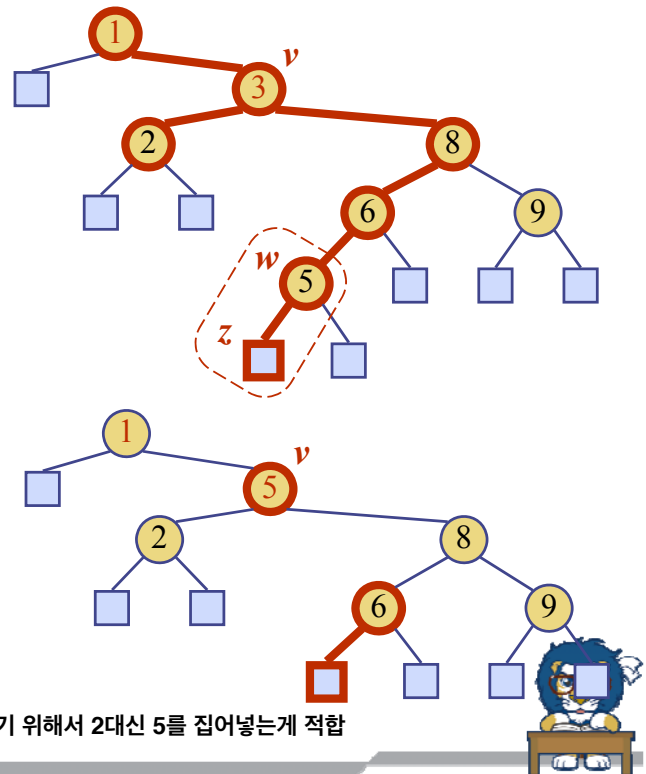
- ❖ swap with the rightmost node of its left subtree or
- ❖ swap with the leftmost node of its right subtree

inorder 했을때 앞에나오는 경우나 뒤에 나오는 경우가 위에 빨간색박스의 경우

❏ We consider the case where the key k to be removed is stored at a node v whose children are both internal

- we find the internal node w that follows v in an inorder traversal
- we copy $key(w)$ into node v
- we remove node w and its left child z (which must be a leaf) by means of operation `removeExternal(z)`

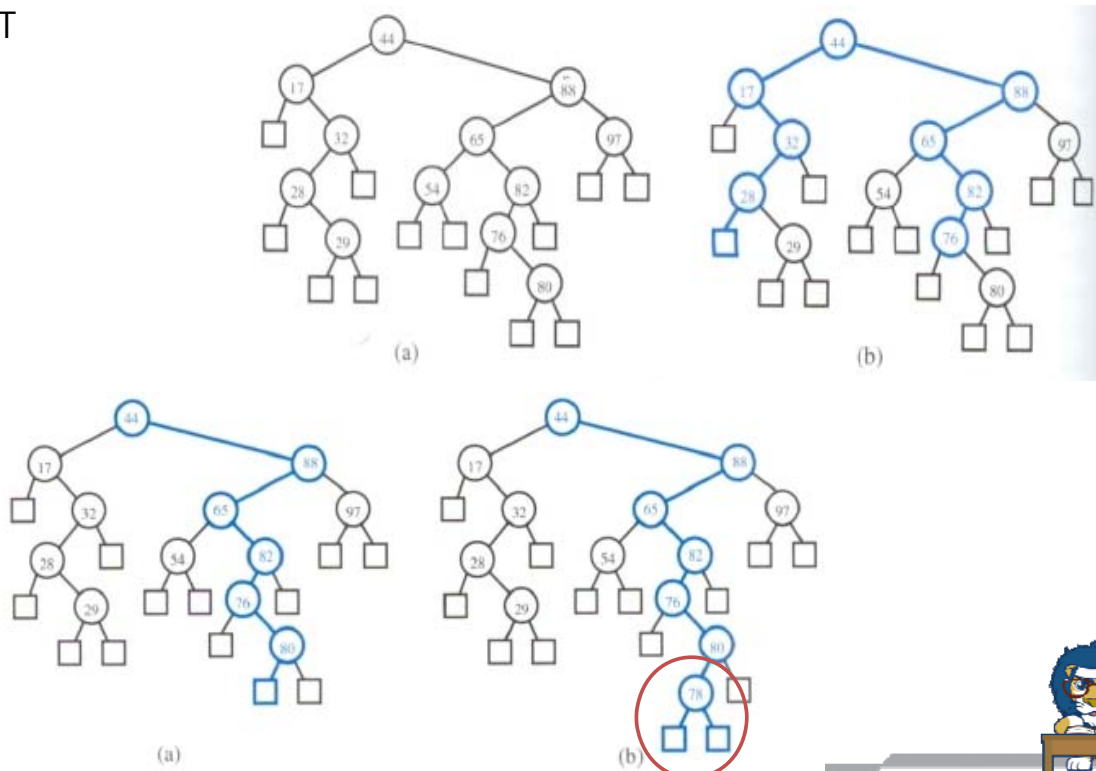
❏ Example: remove 3



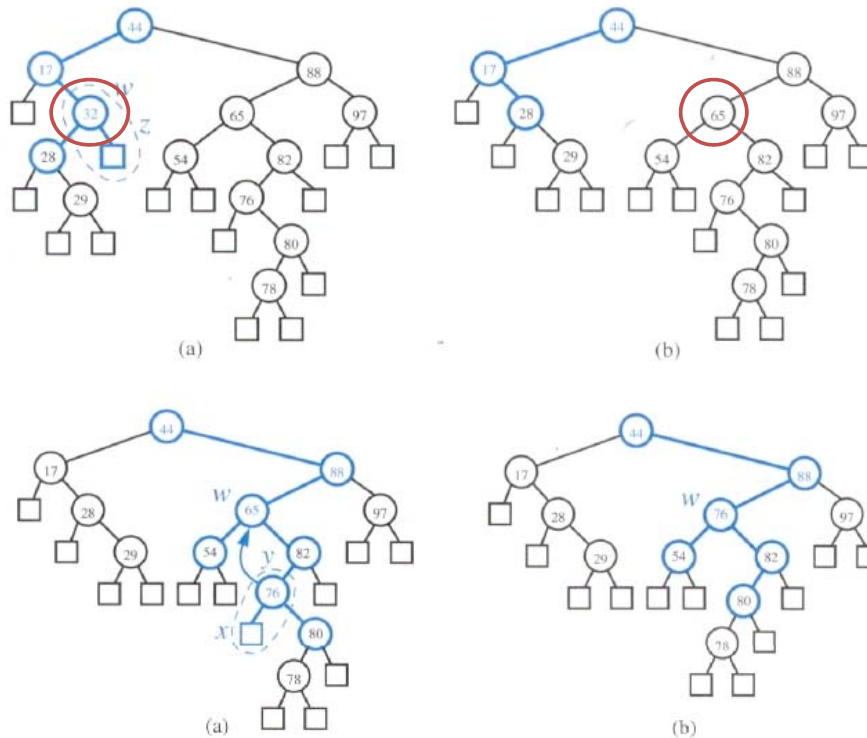
높이를 줄이기 위해서 2대신 5를 집어넣는게 적합

Example (insertion)

❏ BST



Example (deletion)



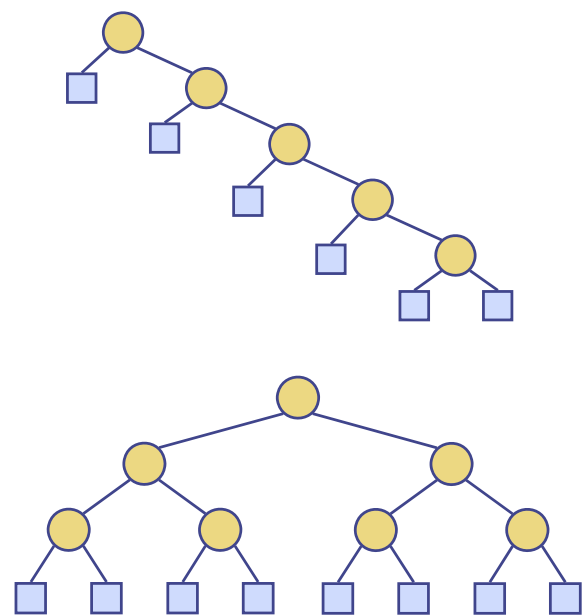
13



HANYANG UNIVERSITY

Performance

- Consider an ordered map with n items implemented by means of a binary search tree of height h
 - the space used is $O(n)$
 - methods **get**, **floorEntry**, **ceilingEntry**, **put** and **remove** take $O(h)$ time
- The height h is $O(n)$ in the worst case and $O(\log n)$ in the best case



14



HANYANG UNIVERSITY

Q & A



한양대학교 ERICA 캠퍼스