

Concordance Lecture 3

September 9, 2021 1:35 PM

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- Mic check
- Next lecture online
- Record
- Problem sessions

last week: slice, ribbon, concordance

This week: invariants and algebraic concordance

① SEIFERT FORM

Recall A Seifert surface F for a knot K is a compact, connected, orientable surface with $\partial F = K$.

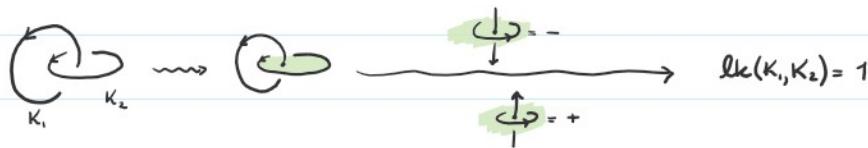
- always exist by Seifert's algorithm
- can usually just draw them
- matches orientation of K



Note F always has a product nbhd $F \times [-1, 1] \subseteq S^3$

Linking # $lk(K_1, K_2) =$ sum of signed (transverse) intersections between K_1 and a Seifert surface for K_2

Example



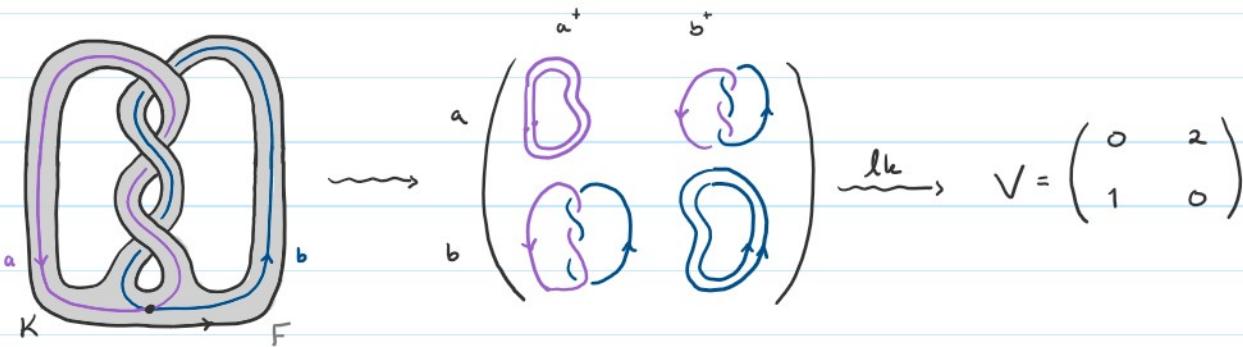
$$lk(00) = 0$$
$$lk(\text{trefoil}) = 0$$

See Rolfsen SD, p132

- for more info and equivalent defns (lk_2 ours, lk_3 nice)
- is a concordance invariant of links
- 4D version: sum of signed (transverse) intersection between oriented surfaces F_1 and F_2 in B^4 with $\partial F_i = K_i$ (note if $F_1 \cap F_2 = \emptyset$, $lk = 0$)

Seifert Pairing Fix knot K and Seifert surf. F

Recall $H_1(F; \mathbb{Z}) = \mathbb{Z}^{2g}$, $g = \text{genus of } F$



For curve γ on F , let $\gamma^\pm = \gamma \times \{\pm 1\} \subseteq F \times [-1, 1]$

Defn The Seifert pairing of F is the map

$$V: H_1(F) \times H_1(F) \longrightarrow \mathbb{Z}$$

$$([x], [y]) \longmapsto \text{lk}(x, y^\pm)$$

With a chosen basis, the corresponding $2g \times 2g$ matrix

is the Seifert matrix V .

Examples



$$V_3 = \begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$$

figure-eight



$$V_4 = \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix}$$

WARNING Not a knot invariant, even under congruence

$V \sim W \Leftrightarrow V = PWP^T$ some invertible P

UPSHOT Easier to work with matrices than directly with \mathbb{Z}

(want homomorphisms $\mathbb{Z} \rightarrow G$ for some nice G like \mathbb{Z})

Defn For a knot K with Seifert matrix S ,

① Alexander polynomial: $\Delta_t(K) = \det(V - tV^T)$ a Laurent poly
considered up to mult by $\pm t^n, n \in \mathbb{Z}$ (see Rolfsen 8C)

$$\Delta_t(3_1) = \det \begin{pmatrix} -1+t & 1 \\ -t & 1+t \end{pmatrix} = t^2 - t + 1 \quad \Delta_t(4_1) = \begin{pmatrix} -1+t & 1 \\ -t & 1-t \end{pmatrix} = -t^2 + 3t - 1$$

② Signature: $\sigma(K) = \text{signature of } V + V^T \text{ over } \mathbb{R}$ (see Rolfsen 8E1-8E3)

$$V_3 \sim \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix} \Rightarrow \sigma(3_1) = 2 \quad V_4 \sim \begin{pmatrix} \sqrt{5} & 0 \\ 0 & -\sqrt{5} \end{pmatrix} \Rightarrow \sigma(4_1) = 0$$

FACT Both are independent of choices (V, basis, etc)
ie. are knot invariants (see S-equivalence)

Our goal is to prove:

Thm If K is topologically slice, then

- (a) Fox-Milnor condition: $\Delta_t(K) \doteq f(t)f(t^{-1})$ (over \mathbb{Z})
- (b) $\sigma(K) = 0$

★ Proof sketch: K top slice $\Rightarrow V = \begin{pmatrix} 0 & A \\ B & C \end{pmatrix} \xrightarrow{\text{HW}} \text{(a), (b)}$

* Gives no sliceness obstructions

* Hard(ish) to tell if a poly factors

Defn The determinant of a knot K is $\det(K) := \det(V + V^T)$.

Note $\det(K) = |\Delta_{-1}(K)|$

Note K top slice $\Rightarrow \det(K)$ is a square

$$\sigma(3_1) = 2$$

$$\sigma(4_1) = 0$$

Ex's

$$\det(3_1) = 3$$

$$\det(4_1) = 5$$

\Rightarrow both not slice

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Cor σ is a concordance inv't

$K \sim J \iff K \# -J$ is slice

$$\Rightarrow 0 = \sigma(K \# -J) \stackrel{\text{HW}}{=} \sigma(K) + \sigma(-J) \stackrel{\text{HW}}{=} \sigma(K) - \sigma(J)$$

$$\Rightarrow \sigma(K) = \sigma(J)$$



By above ex's $[3,] \in \mathcal{G}^{\text{top}}$ has ∞ -order FACT $[4,]$ has order 2

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(2) ALGEBRAIC CONCORDANCE GROUP

Goal: Construct surj $\mathcal{S} \rightarrow \mathcal{G}$ due to J. Levine (1969)

Idea for \mathcal{G} is that Seif. matrices were so nice
we should just work with them

Defn A $2g \times 2g$ matrix is hyperbolic if it is congruent to
a block matrix $\begin{pmatrix} 0 & A \\ B & C \end{pmatrix}$

Defn The block sum of square matrices A, B is $A \oplus B = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$

(K is concordant to J iff $K \# -J$ is slice)

Defn A is cobordant to B if $A \oplus -B$ is hyperbolic

exercise congruent \Rightarrow cobordant

(hw) exercise reflexive and symmetric

if $\det(A - A^T) \neq 0$, then also transitive

Defn The algebraic concordance group is the set

$\mathcal{G} = \left\{ \begin{array}{l} \text{square integral matrices} \\ \text{w/ } \det(A - A^T) = \pm 1 \end{array} \right\} / \text{cobordism} \quad \text{under } \oplus$

Thm (\mathcal{G}, \oplus) is an abelian group

Proof in HW: identity [hyperbolic mats]

inverse $-[A] := [-A]$

Theorem (Levine '69) $\mathcal{S} \xrightarrow{\psi} \mathcal{G}$ is a surj.
 $[K] \mapsto [V]$

Two things to check:

• well-defined

• surjective (constructive, see Livingston-Naik 1.8.13)

Let K, J have Seif mts V, W

WTS $K \sim J \Rightarrow V$ cobordant to W

\checkmark cob W iff $V \oplus -W$ is congruent to a hyperbolic mat

Seif form for $K \# -J$ slice!

So it suffices to show:

★ Slice knots admit hyperbolic Seifert forms