

# GRADUATE ALGEBRA NOTES

IMC

ABSTRACT. These are some of the notes I took during my graduate algebra courses. They basics for cover Category Theory, Groups, Rings, Fields, Modules, and Bilinear Forms. They emphasizing the definitions, big theorems, and exercises for each theory.

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## 1. CATEGORY THEORY

### Categories.

**Definition.** A **category**  $\mathcal{C}$  consists of:

- a class  $\text{obj}(\mathcal{C})$  of **objects**;
- to each pair of objects  $A, B$ , a class  $\text{hom}(A, B)$  of **morphisms**, denoted  $f: A \rightarrow B$ ;
- to each triple of objects  $A, B, C$ , a function

$$\circ: \text{hom}(B, C) \times \text{hom}(A, B) \rightarrow \text{hom}(A, C)$$

defined by  $(g, f) \mapsto g \circ f$  called the **composite** of  $f$  and  $g$ ;

all subject to the associativity and identity axioms:

- for all morphisms  $f: A \rightarrow B$ ,  $g: B \rightarrow C$ ,  $h: C \rightarrow D$ , we have  $h \circ (g \circ f) = (h \circ g) \circ f$ ;
- for all objects  $B$  in  $\text{obj}(\mathcal{C})$  there is a morphism  $\mathbb{1}_B: B \rightarrow B$  such that for any  $f: A \rightarrow B$  and  $g: B \rightarrow C$  we have  $\mathbb{1}_B \circ f = f$  and  $g \circ \mathbb{1}_B = g$ .

**Definition.** A morphism  $f: A \rightarrow B$  is an **equivalence** if there is a morphism  $g: B \rightarrow A$  such that  $g \circ f = \mathbb{1}_A$  and  $f \circ g = \mathbb{1}_B$ . The objects  $A$  and  $B$  are **equivalent**.

**Definition.** An object  $A$  in a category  $\mathcal{C}$  is **universally attracting** (resp. universally repelling) if for every object  $B \in \text{obj}(\mathcal{C})$  there is a unique morphism  $B \rightarrow A$  (resp.  $A \rightarrow B$ ).

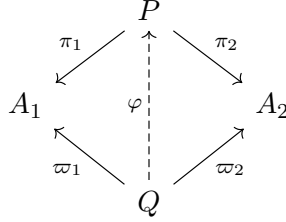
- **Exercise.** State which type of morphisms are equivalences in each of the categories below.
- **Exercise.** Show universally attracting (resp. repelling) elements are unique up to equivalence.
- **Exercise.** Find the universally attracting and repelling objects in each of the categories below.

### **Examples of Categories.**

- The category **Set** whose objects are sets and whose morphisms are functions.
- The category **Grp**, **Rng**, or **Mod<sub>R</sub>** whose objects are groups, rings, or  $R$ -modules and whose morphisms are group homomorphisms, ring homomorphisms, or  $R$ -linear maps.
- The category **Top** of topological spaces with continuous functions.
- The category **hTop** of topological spaces with continuous functions up to homotopy (note that the morphisms here are not functions, but equivalence classes of functions).

### Products and Coproducts.

**Definition.** Let  $\mathcal{C}$  be a category with  $\{A_i\}_{i \in I}$  a family of objects. A **product** for the family is an object  $P$  together with a family of morphisms  $\{\pi_i: P \rightarrow A_i\}_{i \in I}$  such that whenever an object  $Q$  has a family of morphisms  $\{\varpi_i: Q \rightarrow A_i\}_{i \in I}$ , there is a unique morphism  $\varphi: Q \rightarrow P$  such that  $\pi_i \circ \varphi = \varpi_i$  for each  $i \in I$ .



### Examples of (Co)Products.

- Let  $\mathcal{C}$  be the category whose objects are the bounded subsets of the  $\mathbb{R}$  and whose morphisms are inclusions  $A \hookrightarrow B$  for bounded subsets  $A$  and  $B$  of  $\mathbb{R}$  with  $A \subseteq B$ . The intersection of countably many objects in  $\mathcal{C}$  forms a product in  $\mathcal{C}$ ; there are no coproducts in  $\mathcal{C}$ .
  - Let  $\mathcal{D}$  be the category whose objects are positive integers and whose morphisms  $p \rightarrow q$  exist iff  $p$  divides  $q$ . The greatest common divisor of countably many objects in  $\mathcal{D}$  forms a product in  $\mathcal{D}$ ; the least common multiple of countably many objects in  $\mathcal{D}$  forms a coproduct.
  - Let  $\mathcal{E}$  be the category whose objects are finite groups. It is easy to see that the product of finitely many objects in  $\mathcal{E}$  exists in  $\mathcal{E}$ , however, the coproducts are not necessarily contained in  $\mathcal{E}$ . If we restrict  $\mathcal{E}$  to finite abelian groups, the coproduct exists, and is equal to the product.
- **Exercise.** Show the (co)products in the above examples are indeed (co)products. If (co)products do not exist in the given category, then provide a counterexample.
- **Exercise.** Write out the definition for **coproducts** by switching all arrows in the definition for products. Draw a diagram similar to the one above.
- **Exercise.** Show that (co)products are unique up to equivalence.

### Functors.

**Definition.** A **covariant functor**  $T$  between categories  $\mathcal{C}$  and  $\mathcal{D}$  is a pair of functions assigning each object  $A \in \text{obj}(\mathcal{C})$  an object  $T(A) \in \text{obj}(\mathcal{D})$  and each morphism  $f: A \rightarrow B$  in  $\mathcal{C}$  a morphism  $T(f): T(A) \rightarrow T(B)$  in  $\mathcal{D}$  such that

- $T(1_A) = 1_{T(A)}$  for all objects  $A$  in  $\mathcal{C}$ ;
- $T(g \circ f) = T(g) \circ T(f)$  for all morphisms  $f, g$  in  $\mathcal{C}$  with  $g \circ f$  defined.

By reversing all arrows, we obtain the definition for **contravariant functors**.

**Definition.** A functor  $T: \mathcal{C} \rightarrow \mathcal{D}$  induces a function on the morphisms of  $\mathcal{C}$  and  $\mathcal{D}$ , denoted

$$F_{XY}: \text{Hom}(X, Y) \rightarrow \text{Hom}(T(X), T(Y)).$$

If this map is injective, we say  $T$  is **faithful**. If it is surjective, we say  $T$  is **full**. A functor which is full and faithful is **fully faithful**.

**Definition.** A **concrete category** is a category with a faithful functor to the **Set** category.

**Definition.** Fix an object  $A$  in a category  $\mathcal{C}$ . Define  $F_A: \mathcal{C} \rightarrow \text{Set}$  by

$$F_A(C) = \text{hom}(A, C), \quad F_A(f): \text{hom}(A, B) \rightarrow \text{hom}(A, C)$$

where  $F_A(g) = f \circ g$  for all  $f: B \rightarrow C$ . This is called the **covariant hom functor**.

### Natural Transformations.

**Definition.** Given covariant functors  $F$  and  $G$  between categories  $\mathcal{C}$  and  $\mathcal{D}$ , a **natural transformation**  $\alpha$  from  $F$  to  $G$  is a family of morphisms  $\{\alpha_C: F(C) \rightarrow G(C)\}_{C \in \text{obj}(\mathcal{C})}$  in the category  $\mathcal{D}$  making

$$\begin{array}{ccc} F(C) & \xrightarrow{\alpha_C} & G(C) \\ F(f) \downarrow & & \downarrow G(f) \\ F(D) & \xrightarrow{\alpha_D} & G(D) \end{array}$$

commute for each  $f \in \text{hom}(C, D)$ . If each  $\alpha_C$  is an equivalence, we say  $\alpha$  is a **natural equivalence**.

### Free Objects.

**Definition.** In a concrete category  $\mathcal{C}$ , an object  $X \in \text{obj}(\mathcal{C})$  is **free** if there is a subset  $B \subset X$  such that any function from  $B$  to an object  $Y \in \text{obj}(\mathcal{C})$  extends uniquely to a morphism  $X \rightarrow Y$ .

$$\begin{array}{ccc} B & \hookrightarrow & X \\ & \searrow & \downarrow \exists! \\ & & Y \end{array}$$

The set  $B$  is called a **basis** for  $X$  in  $\mathcal{C}$ . This extension property is called the **universal property** (succinctly, an object is free if it has a subset satisfying the universal property).

### Examples of Free Objects.

- In the category of groups, the free objects are called free groups; we will construct them below.
- Restricting to abelian groups, the free objects are (up to isomorphism) direct products of  $\mathbb{Z}$ 's.
- The last example can be generalized to the category of  $R$ -modules, in which the free objects are (up to isomorphism) direct products of  $R$ 's.

## 2. GROUPS

### Normal Subgroups.

#### Conjugation.

**Definition.** Let  $G$  be a group with  $a, b, x \in G$ . The **conjugate** of  $a$  by  $x$  is the element  ${}^x a = xax^{-1}$ . We say that  $a$  and  $b$  are **conjugate elements** if  ${}^x a = b$  for some  $x \in G$ .

**Definition.** The relation  $a \sim b$  if  $a$  is conjugate to  $b$  forms an equivalence relation. We call the equivalence class containing  $a$  the **conjugacy class** of  $a$ , denoted  $\bar{a}$ .

**Definition.** The **automorphism group** of a group  $G$ , denoted  $\text{Aut}(G)$ , is the set of all automorphisms of  $G$ . The set of all automorphisms which arise as conjugation by a fixed element form the **inner automorphism group** of  $G$ , denoted  $\text{Inn}(G)$ .

- *Exercise.* Prove the map  $\alpha_x(a) = {}^x a$  is an automorphism.
- *Exercise.* Prove  $\text{Inn}(G)$  is a normal subgroup of  $\text{Aut}(G)$ .

#### Characteristic Subgroups.

**Definition.** The **center** of a group  $G$ , denoted  $Z(G)$ , is the set of all elements which commute with every element of  $G$ , i.e.  $Z(G) = \{z \in G \mid zg = gz, \forall g \in G\}$ .

**Definition.** Given a subset  $S$  of a group  $G$ , the **subgroup generated by  $S$**  is the smallest subgroup containing  $S$ , equivalently, the intersection of all subgroups containing  $S$ . The **normal subgroup generated by  $S$**  is the smallest normal subgroup containing  $S$ .

**Definition.** The **commutator subgroup** of a group  $G$ , denoted  $G'$  or  $[G, G]$ , is the subgroup generated by all **simple commutators** in the group, i.e. the elements  $[a, b] = aba^{-1}b^{-1}$  such that  $a, b \in G$ . A product of simple commutators is simply called a **commutator**.

**Definition.** A subgroup  $H < G$  is **characteristic** if  $\alpha(H) = H$  for every automorphism  $\alpha$  of  $G$ .

**Definition.** The quotient group  $G/G'$  is called the **abelianization** of  $G$ .

- *Exercise.* Prove  $[a, b]^{-1} = [b, a]$  and  ${}^x[a, b] = [{}^x a, {}^x b]$ .
- *Exercise.* Prove if  $f: G \rightarrow H$  is a homomorphism, then  $f([a, b]) = [f(a), f(b)]$ .
- *Exercise.* Prove every characteristic subgroup is normal.
- *Exercise.* Prove  $G'$  and  $Z(G)$  are characteristic, and therefore normal.
- *Exercise.* Prove  $G/N$  is abelian if and only if  $G' \subseteq N$ .

#### Normalizer and Core.

**Definition.** The **normalizer** of a subgroup  $H < G$  is a subgroup  $N_G(H) = \{g \in G \mid gHg^{-1} = H\}$ .

**Definition.** The **core** of a subgroup  $H < G$  is the set  $\bigcap_{x \in G} xHx^{-1}$ .

- *Exercise.* Prove every subgroup  $H$  is normal in  $N_G(H)$ .
- *Exercise.* Prove  $H$  is normal if and only if  $N_G(H) = G$ .
- *Exercise.* Prove the core of  $H$  is the largest normal subgroup in  $G$  contained in  $H$ .

### Simple Groups.

**Definition.** A group is **simple** if it has no proper, nontrivial normal subgroups.

**Theorem.** (Abel's Theorem) *The alternating group  $A_n$  is simple for all  $n \neq 4$ .*

### Group Actions.

**Definition.** A **group action** of a group  $G$  on a set  $X$  is a function  $G \times X \rightarrow X$  denoted  $(g, x) \mapsto g \cdot x$  such that for all  $x \in X$  and  $g_1, g_2 \in G$  we have

$$1 \cdot x = x, \quad \text{and} \quad (g_1 g_2) \cdot x = g_1 \cdot (g_2 \cdot x).$$

We then say that  $G$  **acts on**  $X$ .

**Definition.** A **group action** of a group  $G$  on a set  $X$  is a homomorphism  $\alpha: G \rightarrow \text{Sym}(X)$ .

**Definition.** A group action  $\alpha: G \rightarrow \text{Sym}(X)$  is **faithful** if it is injective.

**Definition.** The **orbit** of an element  $x \in X$  is the subset  $Gx = \{g \cdot x \mid g \in G\} \subset X$ .

**Definition.** The **stabilizer (isotropy subgroup)** of  $x \in X$  is a subgroup  $G_x = \{g \mid g \cdot x = x\} < G$ .

**Definition.** If  $G_x = G$  then  $Gx = \{x\}$ , so  $x$  is a **fixed point**; conversely if  $G_x = \{1\}$  then  $Gx$  is a **principal orbit**. The set of all fixed points is denoted  $X^G$ . If this set is trivial, the action is **fixed-point-free**. If all orbits are principal, the action is **free**.

- **Exercise.** Prove the above definitions of a group action are equivalent.
- **Exercise.** Prove the map  $\cdot: G \times G \rightarrow G$  given by  $g \cdot x = {}^x g$  defines a group action of  $G$  on itself.
- **Exercise.** Show orbits partition  $X$  (i.e.  $x \sim y$  iff  $g \cdot x = y$  for some  $g \in G$  is an equivalence).
- **Exercise.** Show the stabilizer of a group is generally not normal.
- **Exercise.** Prove stabilizers of elements in the same orbit are conjugate (i.e.  $G_{g \cdot x} = {}^g G_x$ ).
- **Exercise.** Prove free implies fixed-point-free, but the converse does not generally hold.

**Theorem.** (Orbit Stabilizer Theorem) *Given a group action of a group  $G$  on a set  $X$ , the cardinality of each orbit is the index of the corresponding stabilizer, i.e.  $|Gx| = [G : G_x]$  for all  $x \in X$ .*

- **Exercise.** Prove this theorem (hint: consider  $g \mapsto g \cdot x$ ).

**Corollary.** (Class Equation) *For any group action of a finite group  $G$  on a finite set  $X$ , we have*

$$|X| = |X^G| + \sum [G : G_x],$$

where the sum is taken over a choice of elements representing each distinct, nontrivial orbit.

**Examples of Group Actions.** The most important actions in Sylow Theory are of a group  $G$  acting on some substructure of itself. In the following examples, let  $G$  be a group and  $H$  a subgroup.

- (1) The *action of  $G$  on itself by translation* is defined by  $g \cdot x = gx$ . The action is faithful. The stabilizers are all trivial, so the orbits are all principle. Thus by definition, the action is free, and therefore fixed-point-free. The class equation says nothing illuminating.
- (2) The *action of  $G$  on itself by conjugation* is defined by  $g \cdot x = gxg^{-1}$ . The orbits are  $Gx = \bar{x}$ , and the stabilizers are  $G_x = Z(x)$ . The fixed points form the center  $Z(G)$ . By the OST

$$|\bar{x}| = [G : Z(x)] \implies |\bar{x}| \text{ divides } |G|.$$

The class equation gives

$$|G| = |Z| + |G : Z_1| + |G : Z_2| + \dots$$

where  $Z_1, Z_2, \dots$  are the centralizers of each of the nontrivial conjugacy classes of  $G$ .

- (3) The *action of  $G$  on  $\text{Sub}(G)$  by conjugation* is defined by  $g \cdot H = gHg^{-1}$ . The orbits are  $gH = \overline{H}$ , and the stabilizers are  $G_H = N_G(H)$ . The fixed points are normal subgroups. By the Orbit Stabilizer Theorem

$$|\overline{H}| = |G : N_G(H)| \implies |\overline{H}| \text{ divides } |G|.$$

- (4) The *action of  $K < G$  on the cosets  $G/H$  by translation* is defined by  $k \cdot gH = (kg)H$ . Exercise: determine necessary and sufficient conditions for  $gH$  to be a fixed-point under this action. What does this imply when  $K = G$ ? If  $K = G$ , the kernel of this action is given by

$$\{g \in G | g(xH) = xH \text{ for all } x \in G\} = \bigcap_{x \in G} xHx^{-1} =: \text{core}(H).$$

The core is the largest normal subgroup of  $G$  contained in  $H$ .

**Theorem.** Let  $G$  be a group of order  $n$ , and let  $H$  be a subgroup of index  $\ell$ . If  $\ell$  is the smallest prime divisor of  $n$ , then  $H \triangleleft G$ . If  $n$  does not divide  $\ell!$ , then  $\{1\} \neq \text{core}(H) \triangleleft G$ .

### Sylow Theory.

**Definition.** A group  $G$  of order  $p^m$  for some prime  $p$  and some integer  $m \geq 1$  is called a  **$p$ -group**. A  $p$ -group which is a subgroup of a finite group  $G$  is called a  **$p$ -subgroup**; in particular, if the subgroup has order the largest power of  $p$  that divides  $|G|$ , it is a **Sylow  $p$ -subgroup**. If  $p$  is a prime divisor of  $|G| < \infty$ , we denote the set of Sylow  $p$ -subgroups of  $G$  by  $\text{Syl}_p(G)$ . In addition, we denote  $n_p(G) = |\text{Syl}_p(G)|$ .

**Theorem.** (Sylow Theorems) For a finite group  $G$  and a prime  $p$ ,

- (I)  $G$  has at least one Sylow  $p$ -subgroup  $P$  (i.e.  $n_p \geq 1$ );
- (II) any two Sylow  $p$ -subgroups are conjugate (i.e.  $\text{Syl}_p(G) = \overline{P}$ );
- (III)  $n_p$  divides  $|G|$  and  $n_p \equiv_p 1$  (i.e.  $n_p \mid \ell$  where  $|G| = p^m \ell$  with  $p \nmid \ell$ ).

► **Exercise.** Show that  $n_p = 1$  implies the Sylow  $p$ -subgroup is normal (hint: not Sylow (II)).

**Lemma.** If a finite  $p$ -group  $P$  acts on a finite set  $X$ , then  $|X| \equiv_p |X^P|$ .

**Corollary.** (Burnside's Theorem) Finite  $p$ -groups have nontrivial center.

**Theorem.** (Cauchy's Theorem) If a prime  $p$  divides the order of a group, then the group contains an element of that order.

► **Exercise.** Prove Burnside's Theorem. Use this and the Correspondence Theorem to show (by induction) that any  $p$ -group of order  $p^k$  contains subgroups of order  $p^m$  for all  $0 \leq m \leq k$ .

**Definition.** If  $N$  and  $Q$  are groups, a group  $G$  satisfying

$$1 \rightarrow N \hookrightarrow G \rightarrow Q \rightarrow 1$$

is called an **extension** of  $Q$  by  $N$ .

► **Exercise.** Two extensions  $G$  and  $G'$  of  $Q$  by  $N$  are **equivalent** if there is a homomorphism  $f: G \rightarrow G'$  such that the diagram below commutes. Show such an  $f$  is an isomorphism.

$$\begin{array}{ccccc} & & G & & \\ & \nearrow & \vdots & \searrow & \\ 1 \rightarrow N & & f & & Q \rightarrow 1 \\ & \searrow & \vdots & \nearrow & \\ & & G' & & \end{array}$$

► **Exercise.** Relate simple groups with the extension question: when does an extension  $G$  of  $Q$  by  $N$  exist? (hint: consider a group which is not simple first).

**Theorem.** *The following six propositions hold:*

- (1) A finite abelian group  $G$  is simple if and only if it has prime order.  
Proof. Suppose first that  $G$  has prime order. Then the only subgroups are the trivial group and  $G$ , so  $G$  is simple. For the sufficiency, suppose  $|G| = pn$  for some integer  $n \geq 1$ . By Cauchy's Theorem, there is an element of order  $p$ . The subgroup generated by this element is nontrivial, proper, and normal (from  $G$  abelian). Therefore,  $G$  is not simple.  $\square$
- (2) If  $G$  is nonabelian of prime-power order, then  $G$  is not simple.  
Proof. By Burnside's Theorem, the center is nontrivial. The center is also proper (since  $G$  is nonabelian) and normal. Thus,  $G$  is not simple.  $\square$
- (3) If  $G$  is nonabelian and  $|G| = pq$  for primes  $p$  and  $q$ , then  $G$  is not simple.  
Proof. Without loss of generality, assume that  $p < q$ . Then by Sylow Theorem (III) we have  $n_q | p$ , meaning either  $n_q$  is 1 or  $p$ . However,  $n_q \equiv 1 \pmod{q}$ , so only  $n_q = 1$  is possible.  $\square$
- (4) If  $G$  is nonabelian and  $|G| = p^2q$  for primes  $p$  and  $q$ , then  $G$  is not simple.  
Proof. Suppose first that  $q < p$ . Then by Sylow (III) we have  $n_p | q$  and  $n_p \equiv 1 \pmod{p}$ . The only possibility is  $n_p = 1$ , as desired. Conversely, suppose that  $p < q$ . By Sylow (III) we have  $n_q | p^2$ . If  $n_q = 1$ , we are done. If  $n_q = p$ , then by Sylow (III)  $q \equiv 1 \pmod{p}$ . So  $q$  divides  $p - 1$ , contradicting the assumption  $p < q$ . If  $n_q = p^2$ , then there are  $p^2$ -many subgroups of prime order  $q$ . Away from the identity, these subgroups are disjoint because the intersection of any pair of subgroups (itself a subgroup) has order which divides the order of either subgroup (which is prime). Furthermore, the nontrivial elements of these subgroups have order  $q$  because  $q$  is prime. Thus there are  $p^2(q - 1)$  many elements of order  $q$  in  $G$ , leaving only room for one Sylow  $p$ -subgroup, i.e.  $n_p = 1$ .  $\square$
- (5) If  $G$  is nonabelian and  $|G| = pqr$  for primes  $p, q$ , and  $r$ , then  $G$  is not simple.  
Proof. Without loss of generality, suppose  $p < q < r$ . We utilize the "counting elements" technique. Consider  $n_r$ , which is any of 1,  $p$ ,  $q$ , or  $pq$ . Since  $n_r \equiv 1 \pmod{r}$ , either  $n_r = 1$  or  $n_r = pq$ . If  $n_r = 1$ , we are done, so assume  $n_r = pq$ . As in (4.) above, there must be  $pq(r - 1)$  many elements of order  $r$  in the group. Consider next  $n_q$ , which is any of 1,  $p$ ,  $r$ , or  $pr$ . Since  $n_q \equiv 1 \pmod{q}$ , the case  $n_q = p$  is omitted. If  $n_q = 1$ , we are done. So  $n_q$  is either  $pr$  or  $r$ . Assume first that  $n_q = pr$ . Then there are  $pr(q - 1)$  many elements of order  $q$ . In total, the group contains
 
$$pq(r - 1) + pr(q - 1) = pqr + (pqr - pr - pq) = pqr + p(qr - r - q)$$
 many elements of order  $q$  or  $r$ , which exceeds the order of the group. So assume  $n_q = r$ . Then there are  $r(q - 1)$  many elements of order  $q$ . Note that this is not sufficiently many to surpass the number of elements in the group, so consider  $n_p$ , which is any of 1,  $q$ ,  $r$ , or  $qr$ . If  $n_p = 1$ , we are done. In any of the remaining cases,  $n_p \geq q$ , so there are at least  $q(p - 1)$  many elements of order  $p$  in the group. In total, there are
 
$$pq(r - 1) + r(q - 1) + q(p - 1) = pqr - pq + qr - r + pq - q$$

$$= pqr + qr - r - q$$
 many elements of order  $p, q$ , or  $r$ , which again exceeds the order of the group. We conclude that one of  $n_p, n_q$ , or  $n_r$  must have been 1, implying that  $G$  is not simple.  $\square$
- (6) If  $|G| = n$  has prime divisor  $1 < p < n$  and  $n \nmid n_p!$ , then  $G$  is not simple.  
Proof. Let  $X = \text{Syl}_p(G)$ , and consider the kernel of the group action  $\alpha: G \rightarrow \text{Sym}(X)$  given by conjugation of  $X$  by  $G$ . If  $\ker(\alpha)$  is trivial, then  $G$  is isomorphic to a subgroup of  $\text{Sym}(X)$ , contradicting the assumed orders. If  $\ker(\alpha) = G$ , then each  $P \in X$  is normal ( $P = gPg^{-1}$  for all  $g \in G$ ), nontrivial, and proper ( $p < n$ ). Otherwise,  $\ker(\alpha)$  is a normal, nontrivial, proper subgroup of  $G$ . In either case,  $G$  is not simple.  $\square$

► **Exercise.** *Prove the following 6 propositions on your own.*

- **Exercise.** Classify all simple, finite, abelian groups (answer: cyclic of prime order).
- **Exercise.** Classify all simple, finite, nonabelian groups of order below 60.
- **Exercise.** Prove for a prime  $p$ , any group of order  $p^2$  is abelian.
- **Exercise.** If  $|G| = p^n q$ , with  $p > q$  primes,  $G$  contains a unique normal subgroup of index  $q$ .
- **Exercise.** Prove every group of order 12, 28, 56, and 200 must contain a normal Sylow subgroup, and is consequently not simple.

## Free Groups.

**Definition.** Let  $F$  be a group and  $X$  a subset of  $F$ . We say  $F$  is a **free group on  $X$**  if every function  $\varphi: X \rightarrow G$  to a group  $G$  extends uniquely to a homomorphism  $\Phi: F \rightarrow G$ .

$$\begin{array}{ccc} & F & \\ \uparrow & \searrow \Phi & \\ X & \xrightarrow{\varphi} & G \end{array}$$

A group  $F$  is **free** if it is free on some subset  $X$ , called a **basis** for  $F$ .

**Theorem.** Free groups exist.

Proof. Let  $X$  be a set. Define  $X^{-1} = \{x^{-1} \mid x \in X\}$ , where the exponent is (for now) only symbolic. The elements of  $X \sqcup X^{-1}$  are **letters**. A **word**  $\omega$  on  $X$  is a finite string of letters

$$\omega = x_1^{\varepsilon_1} x_2^{\varepsilon_2} \dots x_n^{\varepsilon_n},$$

where  $x_i \in X$  and  $\varepsilon_i = \pm 1$ . The value  $n \geq 0$  is called the **length of the word**. The **empty word** is the word with length 0. Let  $\Omega(X)$  be the set of all words on  $X$ . We **multiply** words by juxtaposition, and **invert** by running backwards with inverse letters (i.e. sign of the exponent changes). A **subword** is a connected substring  $x_i^{\varepsilon_i} x_{i+1}^{\varepsilon_{i+1}} \dots x_j^{\varepsilon_j}$ , where  $0 \leq i \leq j \leq n$ . We say a word is **reduced** if it has no subwords  $xx^{-1}$  or  $x^{-1}x$  for some  $x \in X$ . Define an **elementary operation** on a word  $\omega$  by inserting/deleting a subword of the form  $xx^{-1}$  or  $x^{-1}x$  in  $\omega$ . Two words are **related** if they differ by finitely many elementary operations. This defines an equivalence relation  $\sim$  on  $\Omega(X)$ . We define the **free group on  $X$**  to be the set

$$F(X) = \Omega(X) / \sim$$

under the operation  $[\omega][\eta] = [\omega\eta]$ . □

- **Exercise.** Prove multiplication is well defined by showing (1) every word  $\omega$  is equivalent to a unique reduced word  $\bar{\omega}$ , and (2)  $\overline{\omega\eta} = \bar{\omega} \bar{\eta}$ .

**Theorem.** The group  $F(X)$  defined above is free on  $X$ .

- **Exercise.** Prove this theorem by showing (1)  $F(X)$  is indeed a group, and (2) any function  $X \rightarrow G$  extends (linearly) to a homomorphism  $F(X) \rightarrow G$ .
- **Exercise.** Show that  $F(X)$  is free and nonabelian if  $|X| \geq 2$ .

**Theorem.** Every group  $G$  is isomorphic to the quotient of a free group.

- **Exercise.** Prove this by considering a generating set  $X$  for  $G$  along with its inclusion into  $G$ .

## Group Presentations.

**Definition.** Given a subset  $S$  of a group  $G$ , the **subgroup generated by  $S$**  is the smallest subgroup  $\langle S \rangle$  containing  $S$ . Similarly, the **normal subgroup generated by  $S$**  is the smallest subgroup  $\langle S \rangle$  containing  $S$ . If  $S$  is finite, we say the subgroup is **finitely generated**.



**Definition.** A group  $G$  is **finitely presented** if there exists a finite set  $X$  and a finitely generated, normally generated subgroup  $N$  of  $F(X)$  for which  $G \cong F(X)/N$ . The elements of  $X$  are called **generators**, and the elements of  $N$  are called **relations**.

**Definition.** Suppose  $G$  is finitely presented with generators  $x_1, \dots, x_p$  relations  $r_1, \dots, r_q$ . We write

$$G = \langle x_1, \dots, x_p \mid r_1, \dots, r_q \rangle$$

meaning  $G \cong F(x_1, \dots, x_p)/\langle r_1, \dots, r_q \rangle$ , and call this a (finite) **presentation** of  $G$ .

Note that the presentation of a group is not unique. Moreover, it is impossible to construct an algorithm which determines whether or not a presentation determines the trivial group (one can further reason there is no classification of four manifolds)! However, this is theoretically possible, as the following Theorems indicate.

**Theorem.** (von Dyck's Theorem) *If  $G = \langle x_1, x_2, \dots \mid r_1, r_2, \dots \rangle$  and  $H$  is a group with generators  $y_1, y_2, \dots$  such that  $r_i(y_1, y_2, \dots) = 1 \in H$ , there exists an epimorphism  $G \twoheadrightarrow H$  with  $f(x_i) = y_i$ .*

$$\begin{array}{ccccc} B & \hookrightarrow & F & \longrightarrow & F/R \\ & \searrow & \downarrow & \swarrow f & \\ & & H & & \end{array}$$

► **Exercise.** Prove this theorem using the Universal Property of Free Groups and the Characteristic Property of Quotient Groups.

**Theorem.** (Tietze's Theorem) *If  $G$  has two different finite presentations  $\langle x_1, \dots, x_p \mid r_1, \dots, r_q \rangle$  and  $\langle y_1, \dots, y_m \mid s_1, \dots, s_n \rangle$ , then one can pass from one to the other by **elementary Tietze operations**: add/remove generator; add/remove relation which are consequence of remaining ones.*

► **Exercise.** Show that  $G = \langle x, y \mid x^4 = 1, x^2 = y^2, xyx^{-1} = x^{-1} \rangle$  is isomorphic to  $Q_8$  (Hint: Use von Dyck's Theorem, and prove the group has order 8 by arguing each element can be written  $a^n b^m$  for restricted values of  $m, n$ ).

### Miscellany.

**Lemma.** (Product Recognition) *If  $H, K \triangleleft G$  with  $H \cap K = \{1\}$  and  $HK = G$ , then  $G \cong H \times K$ .*

**Proposition.** *If  $S, T < G$ , then  $ST < G$  if and only if  $ST = TS$  setwise. Moreover, if  $S$  and  $T$  are normal, then  $ST$  is normal, as well.*

**Proposition.** *If  $S, T < G$  and  $ST < G$ , then  $|ST| = |S||T|/|S \cap T|$ .*

### 3. RINGS

#### Basics.

**Definition.** A **ring** is a set  $R$  with two operations  $+$  and  $\cdot$  satisfying

- (a)  $(R, +)$  is an abelian group;
- (b)  $(R, \cdot)$  is a semi-group (i.e. a set with an associative binary operation);
- (c)  $\cdot$  distributes over  $+$  on both sides (e.g.  $a \cdot (b + c) = a \cdot b + a \cdot c$ ).

We often simplify the notation  $a \cdot b = ab$ . If the operation  $\cdot$  is commutative, we say  $R$  is a **commutative ring**. When one exists, we call the identity in  $(R, \cdot)$  a **unity**. Elements with inverses in  $(R, \cdot)$  are called **units**. A commutative ring with unity is a **field** if every nonzero element is a unit.

**Definition.** A subset  $S$  of a ring  $R$  is a **subring** if itself forms a ring under the inherited operations. A subring  $I$  is an **ideal** (written  $I \triangleleft R$ ) if  $ab \in I$  for all  $a \in R, b \in I$ . Each element  $a \in R$  generates an ideal  $\langle a \rangle = \{ra, ar \in R \mid r \in R\}$  called the **principal ideal generated by  $a$** .

**Definition.** A **ring homomorphism** is a map between rings  $f: R \rightarrow S$  for which  $f(rs) = f(r)f(s)$  and  $f(r + s) = f(r) + f(s)$  for all  $r, s \in R$ .

- **Exercise.** Prove the kernel of a ring homomorphism is an ideal.
- **Exercise.** Prove analogous isomorphism theorems and the Correspondence Theorem for rings.
- **Exercise.** Prove that a ring is a field if and only if it has no nontrivial, proper ideals (hint: Correspondence Theorem).

**Definition.** If  $I, J \triangleleft R$ , the sets  $I + J$  and  $IJ$  are defined by

$$I + J = \{a + b \mid a \in I, b \in J\}$$

$$IJ = \{a_1b_1 + \cdots + a_nb_n \mid a_i \in A, b_i \in B, n \in \mathbb{N}\}.$$

- **Exercise.** Prove that if  $I, J \triangleleft R$ , then the sets  $I \cap J$ ,  $I + J$ , and  $IJ$  are also ideals in  $R$ . Moreover,
  - (a)  $I + J$  is the smallest ideal containing both  $I$  and  $J$ ;
  - (b)  $IJ \subset I \cap J$ , and if  $R = I + J$  is commutative with identity,  $IJ = I \cap J$ .

**Definition.** An element  $r \in R$  is **nilpotent** if  $r^n = 0$  for some  $n \in \mathbb{N}$ .

- **Exercise.** Let  $\mathcal{N}$  be the set of nilpotent elements in a ring  $R$ .
  - (a) Prove that if  $R$  is commutative,  $\mathcal{N}$  is an ideal in  $R$  contained in any prime ideal of  $R$  (hint: use binomial theorem to show closure under addition);
  - (b) show that  $\mathcal{N}$  need not be an ideal if  $R$  is not commutative (hint: use matrices);
  - (c) show that if  $R$  is commutative with unity, then any sum  $u + x$  of a unit  $u$  with a nilpotent  $x$  is a unit (hint: first show that  $1 - x$  is a unit by factoring  $1 - x^n$  for suitable  $n$ ).

**Definition.** An ideal  $I \triangleleft R$  is **maximal** if there are no ideals properly contained between  $I$  and  $R$  (i.e. whenever  $J$  is an ideal of  $R$  satisfying  $I \subseteq J \subseteq R$ , either  $I = J$  or  $J = R$ ). An ideal is **prime** if  $ab \in I$  implies either  $a \in I$  or  $b \in I$ .

**Definition.** Given an ideal  $I \triangleleft R$ , the **quotient ring** of  $R$  by  $I$  is the set  $R/I = \{a + I \mid a \in R\}$  under the operations

$$(a + I) + (b + I) = (a + b) + I \quad (a + I)(b + I) = (ab) + I.$$

These operations are well defined because  $I$  is an ideal.

## Fields, EDs, PIDs, and UFDs.

**Definition.** A **zero divisor** of  $a \in R$  is a nonzero element  $b \in R$  such that  $ab = 0$ . A ring with no zero divisors is called a (integral) **domain**.

- **Exercise.** Prove that  $R$  is a domain if and only if  $\{0\}$  is a prime ideal.
- **Exercise.** Prove a proper ideal  $I \triangleleft R$  is prime if and only if  $R/I$  is a domain, and is maximal if and only if  $R/I$  is a field.
- **Exercise.** Prove every finite integral domain  $R$  is a field (hint: for any  $r \neq 0$  consider  $x \mapsto xr$ ).

**Definition.** A **Euclidean function** on a ring  $R$  is a function  $f: R \setminus 0 \rightarrow \mathbb{N}_{>0}$  such that for any pair of nonzero  $a, b \in R$ , there exist unique  $q, r \in R$  such that  $a = qb + r$  and either  $r = 0$  or  $f(r) < f(q)$ . A ring  $R$  is a **Euclidean domain** (ED) if it has a Euclidean function.

**Definition.** A **principal ideal domain** (PID) is a ring in which all ideals are principal.

- **Exercise.** Prove that every field is an ED. Show the converse need not hold.
- **Exercise.** Prove that every ED is an PID. Show the converse need not hold.

**Definition.** A nonzero element  $p$  in a domain  $R$  is **irreducible** if  $ab = p$  implies  $a$  or  $b$  is a unit. Moreover,  $p$  is **prime** if  $ab|p$  implies  $a|p$  or  $b|p$ .

- **Exercise.** Define what it means for one element to divide another, i.e.  $a|b$  for  $a, b \in R$ . Provide equivalent definitions of divides, irreducible, and prime using ideals.
- **Exercise.** Prove in a domain, prime elements are irreducible. Show the converse need not hold.
- **Exercise.** Prove that a nonzero ideal is prime iff maximal in a PID.

**Definition.** A **unique factorization domain** is a ring  $R$  for which every nonzero, nonunit element  $r \in R$  there exist unique (up to associates) irreducibles  $p_1, \dots, p_n$  such that  $r = p_1 \cdots p_n$ .

- **Exercise.** Prove every PID is a UFD. Show the converse need not hold.
- **Exercise.** Show  $3 \in \mathbb{Z}[\sqrt{-5}]$  is irreducible but not prime. Show that  $\mathbb{Z}[\sqrt{-5}]$  is not a UFD.
- **Exercise.** Show that  $\mathbb{Z}[i]$  is a UFD, and explain why  $2 \cdot 5 = 10 = (3-i)(3+i)$  does not contradict this.
- **Exercise.** Show that if  $F$  is a field,  $F[x]$  is an ED.
- **Exercise.** Let  $F$  be a field. Show that irreducible and prime are the same for polynomials in  $F[x]$ . Prove that for  $f \in F[x]$ , the quotient  $F[x]/\langle f \rangle$  is a field if and only if  $f$  is irreducible.
- **Exercise.** Let  $R$  be a commutative ring with unity and  $f$  a nonzero polynomial in  $R[x]$  of degree  $n$ . Show  $c \in R$  is a root of  $f$  if and only if  $(x-c)|f$ . Moreover, if  $R$  is a domain,  $f$  has at most  $n$  roots in  $R$ .

**Theorem.** If  $R$  is a UFD, then  $R[x]$  is a UFD.

**Theorem.** (Cubic Criterion) If  $R$  is a domain and  $f$  is a primitive polynomial in  $R[x]$  of degree no more than 3, then  $f$  is irreducible over  $R$  if and only if it has a root in  $F(R)$ .

- **Exercise.** Show  $x^2 + 1$  is irreducible in  $\mathbb{Z}_3[x]$ . Then construct a field of order 9. Write down its multiplication table.
- **Exercise.** Show that  $x^3 + 6x + 12$  is irreducible in  $\mathbb{Z}[x]$ .

**Theorem.** (Eisenstein's Criterion) Let  $f = a_0 + a_1x + \cdots + a_nx^n \in \mathbb{Z}[x]$  be primitive. If there is a prime  $p$  for which  $p|a_0, \dots, a_{n-1}$  but  $p \nmid a_n$  and  $p^2 \nmid a_0$ , then  $f$  is irreducible.

- **Exercise.** Prove that  $x^3 + 6x + 12$  and  $x^4 + x^3 + x^2 + x + 1$  are irreducible in  $\mathbb{Z}[x]$ .

## 4. FIELDS

**Definition.** Let  $L$  be a field with  $K \subseteq L$  a subfield. We call  $L$  a **field extension** of  $K$ , written  $L/K$ . For  $u \in L \setminus K$ , the field extension  $K(u)/K$  is called a **simple extension** of  $K$ .

**Definition.** Given a field extension  $L/K$ , we can consider  $L$  as a  $K$ -vector space; the dimension of this vector space is called the **degree** of the field extension and is denoted  $[L:K]$ . According to the cardinality of this number, we say the extension of  $L$  over  $K$  is (in)finite.

**Properties:**

- the degree of an extension  $L/K$  is 1 if and only if  $L = K$ ;
- given fields  $K \subset L \subset M$ , we have  $[M:K] = [M:L][L:K]$ .

**Definition.** Let  $L/K$  be a field extension. An element in  $L \setminus K$  is **algebraic** over  $K$  if it is a zero of some nonzero polynomial in  $K[x]$ ; otherwise, it is **transcendental**. A simple extension  $K(u)$  is an **algebraic extension** if  $u$  is algebraic over  $K$ ; otherwise it is a **transcendental extension**.

**Definition.** A field  $F$  is **algebraically closed** if

**Theorem.** Let  $L/K$  be a field extension and  $u \in L$ . Then:

- if  $u$  is transcendental over  $K$ , then  $K[u] \cong K[x]$  and  $K(u) \cong K(x)$ ;
- if  $u$  is algebraic over  $K$ , then there exists a unique, monic, irreducible polynomial  $m_u \in K[x]$  such that each  $f \in K[x]$  with  $f(u) = 0$  is a multiple of  $m_u$  and  $K[u] \cong K(u)$ .

**Corollary.** Transcendental extensions are infinite; finite extensions are algebraic.

**Definition.** Let  $M$  and  $L$  be fields containing a field  $K$ . Then  $LM = L(M) = M(L)$  is a field extension over  $K$  and  $L$  and  $M$ .

**Theorem.** Consider extensions  $ML/K$ ,  $M/K$ , and  $L/K$  having degrees  $n$ ,  $m$ , and  $\ell$ .

$$\begin{array}{ccccc} & & ML & & \\ & r \swarrow & | & \searrow s & \\ L & & & & M \\ & \ell \searrow & | n & \swarrow m & \\ & & K & & \end{array}$$

Then  $n \leq m\ell$ , or equivalently  $s \leq \ell$ , or equivalently  $r \leq m$ .

**Definition.** For a field extension  $M/K$ , the **Galois group** of  $N$  over  $K$  is the group  $\text{Gal}(M:K)$  of automorphisms that leave every element of  $K$  fixed. The **fixer** of an intermediate field  $L$  is the set  $L' \subseteq G$  of automorphisms in  $\text{Gal}(M:K)$  which fix  $L$  pointwise. Conversely, the **fixed field** of the subgroup  $H < G$  is the subset  $H' \subset M$  of elements fixed by each element in  $H$ .

$$\begin{array}{ccc} M & \longrightarrow & \{1\} \\ \cup & & \cap \\ L & \longrightarrow & L' \\ \cup & & \cap \\ K & \longrightarrow & G \end{array} \qquad \begin{array}{ccc} M & \longleftarrow & \{1\} \\ \cup & & \cap \\ H' & \longleftarrow & H \\ \cup & \nwarrow \text{dashed} & \cap \\ K & \nwarrow \text{dashed} & G \end{array}$$

Figure 1. The extreme cases of the correspondence, which are for the most part as desired.

**Definition.** The **closure** of an intermediate object is its double prime. An intermediate object is **closed** if it is equivalent to its closure. We say  $M$  is **normal** over  $K$  if  $\text{Gal}(M:K)' = K$ .

**Properties:**

- let  $L$  and  $M$  be intermediate fields of  $N/K$  with  $[M:L] = n$ , then  $[L':M'] \leq n$ ;
- let  $H \subset J$  be subgroups of  $G = \text{Gal}(N:K)$  with  $[H:J] = n$ , then  $[J':H'] \leq n$ ;
- if  $L$  is closed, then also  $M$  is closed; moreover,  $[L':M'] = n$ ;
- if  $H$  is closed, then also  $J$  is closed; moreover,  $[H':J'] = n$ ;
- all finite subgroups of  $G$  are closed;
- if  $M$  is normal over  $K$ , then  $M$  is normal over any intermediate field  $L$  with  $[L:K]$  finite.

**Theorem.** (Fundamental Theorem of Galois Theory) *Let  $M$  be a normal, finite-dimensional extension of  $K$ , and let  $G = \text{Gal}(M:K)$ . There is a one-to-one correspondence between the subgroups of  $G$  and the intermediate fields of  $M$  and  $K$ , implemented by the priming operation. Moreover, the relative degrees are preserved, and in particular,  $[M:K] = |G|$ .*

**Theorem.** *Given a finite group  $G$  of automorphisms of a field  $M$ , the field extension of  $M$  over the fixed field of  $G$  is normal, finite-dimensional, and has Galois group  $G$ .*

**Definition.** For fields  $K \subset M \subset L$ , we say that  $L$  is **stable** relative to  $K$  and  $M$  if every automorphism of  $M/K$  sends  $L$  into (and consequently onto) itself.

**Properties:**

- stable intermediate fields correspond to normal subgroups;
- the closure of a normal subgroup is normal; the closure of a stable intermediate field is stable;
- if  $M$  is normal over  $K$  and  $L$  is stable relative to  $K$  and  $M$ , then  $L$  is normal over  $K$ ;
- if  $M$  is normal over  $K$  and  $f \in K[x]$  is irreducible with root  $u \in M$ , then  $f$  factors over  $M$  into distinct linear factors;
- if  $L$  is normal and algebraic over  $K$ , then  $L$  is stable (relative to any extension  $M \supset L$ );
- if  $L$  is a stable intermediate field of  $M/K$ , then  $G/L'$  is isomorphic to the group of all automorphisms of  $L/K$  that are extendible to  $M$ , where  $G = \text{Gal}(M:K)$ .

**Theorem.** (Fundamental Theorem of Galois Theory, cont'd) *In the correspondence, a field  $L$  is normal over  $K$  if and only if the corresponding subgroup is normal in  $G = \text{Gal}(M:K)$ , and in this case,  $G/H$  is the Galois group of  $L/K$ .*

**Theorem.** *Let  $f$  be irreducible in  $K[x]$ . Then there exists a field containing  $K$  and a root of  $f$ . This field is unique up to isomorphism of  $K$ .*

**Definition.** Let  $f \in K[x]$ . We say that  $M$  is a **splitting field** of  $f$  over  $K$  if  $f$  factors completely in  $M$  and  $M = K(u_1, \dots, u_n)$ , where  $u$ 's are the roots of  $f$ . We say  $M$  is a splitting field over  $K$  if there exists a polynomial  $f$  for which  $M$  is a splitting field of  $f$  over  $K$ .

**Definition.** The **formal derivative** of a polynomial  $f = \sum a_i x^i$  is a polynomial  $f' = \sum i a_i x^{i-1}$ .

**Properties:**

- each  $f \in K[x]$  has a splitting field, which is unique up to isomorphism of the base field;
- if  $f \in K[x]$  and  $a \in K$ , then  $(x - a)^2$  divides  $f$  if and only if  $x - a$  divides  $f$  and  $f'$ ;

**Definition.** An irreducible polynomial  $f$  in  $K[x]$  is **separable** if, in some splitting field over  $K$ , it factors into distinct linear factors. An element  $u$  that is algebraic over  $K$  is said to be **separable** over  $K$  if its irreducible polynomial is separable over  $K$ . A field  $L$  that is algebraic over  $K$  is **separable** over  $K$  if every element is separable over  $K$ .

**Properties:**

- if  $f$  is irreducible in  $K[x]$ , the following are equivalent:
  - in every splitting field of  $f$  over  $K$ ,  $f$  factors into distinct linear factors;
  - $f$  is separable;
  - $f' \neq 0$ ;
- if  $M$  is a finite extension of  $K$ , the following are equivalent:
  - $M$  is normal over  $K$ ;
  - $M$  is separable over  $K$  and  $M$  is a splitting field over  $K$ ;
  - $M$  is a splitting field over  $K$  of a polynomial whose irreducible factors are separable;
- if  $L$  is a finite extension of  $K$ , the following are equivalent:
  - $L$  is a splitting field over  $K$ ;
  - whenever an irreducible polynomial over  $K$  has a root in  $L$  it factors completely in  $L$ ;

**Definition.** Let  $K \subset L \subset M$  with  $L/K$  finite. We say  $M$  is the **split closure** of  $L$  if it is the smallest splitting field over  $K$  containing  $L$ . If  $L$  is separable, we say  $M$  is the **normal closure**.

**Theorem.** Every finite extension has a split closure, which is unique up to isomorphism fixing  $L$ . If  $L$  is separable,  $M$  is normal over  $K$ .

**Properties:**

- for characteristic 0, normal is the same as splitting field;
- for characteristic  $p$ , normal is splitting field plus separability.

## 5. MODULES

Basics.

**Definition.** An  $R$ -module  $M$  is a vector space whose scalars have been replaced by a ring  $R$ .

► **Exercise.** A module is **simple** if its only submodules are  $M$  and  $\{0\}$ . Classify the simple  $\mathbb{Z}$ -submodules.

**Definition.** An  **$R$ -linear map** or  **$R$ -module homomorphism** is a function  $f: M \rightarrow N$  satisfying  $f(rm + n) = rf(m) + f(n)$  for every  $m, n \in M$  and  $r \in R$ . The set of all  $R$ -linear maps from  $M$  to  $N$  is denoted  $\text{Hom}_R M N$ ; in the case  $M = N$ , we write  $\text{End}_R M$  for endomorphism.

**Definition.** The **quotient  $R$ -module** of an  $R$ -module  $M$  by a submodule  $N$  is the module of cosets of  $N$  in  $M$  under the operations

$$(a + N) + (b + N) = (a + b) + N \text{ and } r(a + N) = ra + N,$$

for all  $a, b \in M$  and  $r \in R$ .

► **Exercise.** Show that the kernel of an  $R$ -linear map  $f: M \rightarrow N$  is an  $R$ -submodule of  $M$ , and if this submodule is trivial, then  $f$  is injective.

► **Exercise.** Find a positive integer  $k$  such that  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}_m, \mathbb{Z}_n) \cong \mathbb{Z}_k$ .

► **Exercise.** Show the analogous isomorphism theorems hold for quotients of  $R$  modules.

**Definition.** The **direct sum** of  $R$ -modules  $M$  and  $N$  is the module  $M \oplus N = \{(m, n) | m \in M, n \in N\}$  with pointwise operations. We often write  $M^n = M \oplus \cdots \oplus M$  for  $n$ -many copies of  $M$ .

**Definition.** An element  $m$  of an  $R$ -module  $M$  is **torsion** if there exists a nonzero  $r \in R$  such that  $rm = 0$ . An  $R$ -module  $M$  is a **torsion module** if each of its elements are torsion.

**Definition.** An  $R$ -module  $M$  is **free** if there exists a subset  $B$  of  $M$ , called a **basis**, such that every element in  $M$  can be written uniquely as a finite linear combination of elements in  $B$ .

**Definition.** For a subset  $S$  of an  $R$ -module  $M$ , the **submodule  $\langle S \rangle$  generated by  $S$**  is the smallest submodule of  $M$  containing  $S$  (equivalently, the intersection of all submodules containing  $S$ ), or constructively

$$\langle S \rangle = \left\{ \sum r_i s_i \mid r_i \in R, s_i \in S \right\}.$$

If  $S = \{s\}$ , then  $M = \langle s \rangle$  is a **cyclic  $R$ -module**. If  $S$  is a finite set, then  $M$  is **finitely generated**.

► **Exercise.** Prove an  $R$ -module  $M$  is free on  $B \subset M$  if and only if  $M$  is isomorphic to  $R^{|B|}$ .

► **Exercise.** Prove an  $R$ -module  $M$  is free on  $B \subset M$  if and only if any function from  $B$  to an  $R$ -module  $N$  extends uniquely to an  $R$ -linear map  $M \rightarrow N$ .

► **Exercise.** Prove an  $R$ -module  $M$  is cyclic if and only if  $M \cong R/J$  for some  $J \triangleleft R$ .

► **Exercise.** Find an example and a counterexample of a free module and a torsion module.

► **Exercise.** Prove Schur's Lemma: Let  $M$  and  $N$  be simple  $R$ -modules and  $f: M \rightarrow N$  be a nonzero  $R$ -linear map. Then  $f$  is an isomorphism. Moreover, if  $M = N$  and  $R$  is commutative, then  $f$  is multiplication by a scalar (i.e. there is some  $r \in R$  such that  $f(x) = rx$  for all  $x \in M$ ).

**Definition.** An  $R$ -module  $P$  is **projective** if for every surjective module homomorphism  $f: M \rightarrow N$  and every module homomorphism  $g: P \rightarrow N$ , there exists a module homomorphism  $h: P \rightarrow M$  such that  $fh = g$ . Similarly, an  $R$ -module  $Q$  is **injective** if for every injective module homomorphism  $f: X \rightarrow Y$  and every module homomorphism  $g: X \rightarrow Q$  there is a module homomorphism  $h: Y \rightarrow Q$  such that  $hf = g$ . That is, the following commutative diagrams commute, respectively.

$$\begin{array}{ccc}
 & M & \\
 \nearrow h & \downarrow f & \\
 P & \xrightarrow{g} & N
 \end{array}
 \qquad
 \begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 \downarrow g & \nwarrow h & \\
 Q & & 
 \end{array}$$

- **Exercise.** Show that  $P$  is a projective  $R$ -module if and only if every short exact sequence of the form  $0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0$  splits.
- **Exercise.** Show that  $Q$  is an injective  $R$ -module if and only if every short exact sequence of the form  $0 \rightarrow Q \rightarrow M \rightarrow N \rightarrow 0$  splits.

### Modules over a PID.

**Theorem.** (Invariant Structure Theorem) If  $R$  is a PID and  $M$  is a finitely-generated  $R$ -module, then there is a unique nonnegative integer  $r$  and a unique sequence  $J_i < R$  of nested, nonzero proper ideals such that

$$M \cong R/J_1 \oplus \cdots \oplus R/J_k \oplus R^r.$$

The integer  $r$  is called the **rank** of  $M$ , and the ideals are called the **invariant factors** of  $M$ .

**Theorem.** (Primary Structure Theorem) If  $R$  is a PID and  $M$  is a finitely-generated  $R$ -module, then there exist finitely many prime elements  $p_i \in F[t]$  and nonzero integers  $n_i$  such that

$$M \cong R/\langle p_1^{n_1} \rangle \oplus \cdots \oplus R/\langle p_k^{n_k} \rangle.$$

The prime elements  $p^n$  are called the **elementary divisors** of  $M$ .

- **Exercise.** Prove the rank of a torsion module is 0.
- **Exercise.** Prove all finitely generated abelian groups can be characterized by setting  $R = \mathbb{Z}$ .

### The Vector Transformation Module.

**Definition.** Let  $V$  be an  $F$ -vector space, and let  $T: V \rightarrow V$  an endomorphism. We define  $V_T$  to be the  $F[t]$ -module whose additive structure is inherited from  $V$ , and whose ring is  $F[t]$ , where scalar multiplication is given by  $f(t) \cdot v = f(T)v$ .

#### Properties:

- if  $V$  is finite dimensional, then  $V_T$  is torsion – since  $\text{End}(V) \cong M_n(F)$  is  $n^2$  dimensional, there are  $a_i \in F$  such that  $\sum_{i=1}^{n^2} a_i T^i = 0$ ;
- by the Invariant Structure Theorem,  $V_T \cong F[t]/\langle f_1 \rangle \oplus \cdots \oplus F[t]/\langle f_k \rangle$ ;
- in this module, we reconstruct  $T$  as multiplication by the scalar  $g(t) = t$ , acting in each summand (i.e.  $Tv = t \cdot v$ ); to understand  $T$ , we can understand this multiplication;
- for  $f = \sum_{i=0}^{n+1} a_i t^i$ , the module  $F[t]/\langle f \rangle$  has basis  $B = \{\bar{1}, \bar{t}, \bar{t}^2, \dots, \bar{t}^n\}$ , so multiplication by  $\bar{t}$  can be represented by the **companion matrix**

$$C_f = \begin{pmatrix} 0 & 0 & \cdots & 0 & -a_0 \\ 1 & 0 & \cdots & 0 & -a_1 \\ 0 & 1 & \cdots & 0 & -a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & -a_n \end{pmatrix}.$$

- carrying the basis  $B$  to  $V_T$  by the isomorphism given above, it follows that there is a basis  $C$  for  $V$  such that  $T_C = C_{f_1} \oplus \cdots \oplus C_{f_k}$ , where  $\oplus$  denotes the block sum of matrices.

**Definition.** A matrix is said to be in **rational canonical form** if it can be written as  $C_{f_1} \oplus \cdots \oplus C_{f_k}$  for monic polynomials  $f_1, \dots, f_k$  with  $f_1 \mid \cdots \mid f_k$ .



**Corollary.** Every  $T \in \text{End}_F(V)$  has a coordinate matrix in rational canonical form, uniquely determined by  $T$  and  $F$ , which we denote by  $R_T$  (or  $R_{T/F}$  to denote over which field).

**Corollary.** If  $F$  is a field, any  $A \in M_n(F)$  is similar over  $F$  to a unique matrix  $R_A$  (i.e. there is an invertible  $P \in M_n(F)$  such that  $PAP^{-1} = R_A$ ). It follows that two matrices are similar if and only if they have the same Rational Canonical Form.

**Definition.** Note that  $\{f \in F[t] : f(T) = 0\}$  is an ideal of  $F[t]$ , which is a PID. The unique, monic generator  $m_T(t)$  is called the **minimal polynomial** of  $T$ .

**Properties:**

- $m_T(T) = 0$  (just a nice reminder);
- the minimal polynomial is the largest (last) invariant factor of  $T$ ;
- the characteristic polynomial  $c_T$  is equal to the product of the invariant factors of  $T$ ;
- (Cayley-Hamilton)  $m_T$  divides  $c_T$  and the roots of  $c_T$  are roots of  $m_T$ ;

**Corollary.** If  $F \subseteq E$  are fields, then two matrices  $A, B \in M_n(F)$  are similar over  $F$  if and only if they are similar over  $E$ .

- **Exercise.** Prove properties 1-4 of characteristic/minimal polynomials.
- **Exercise.** Use 1-4 to compute the characteristic and minimal polynomials of the endomorphism  $T$  on  $\mathbb{R}^3$  given by the matrix with 1's in each corner and 0's elsewhere. Then find the invariant factors of  $T$ .
- **Exercise.** Find all possible RCFs for matrices over  $\mathbb{Q}$  and  $\mathbb{C}$  with characteristic polynomial  $(t^4 - 1)(t^2 + 1)$ .
- **Exercise.** Prove the preceding corollary.

**Definition.** Suppose the characteristic polynomial of an endomorphism  $T$  factors into linear terms:  $c_T = (t - \lambda_1)^{n_1} \dots (t - \lambda_k)^{n_k}$ . Each  $\lambda_i$  is an **eigenvalue** of  $T$ .

**Definition.** The multiplication of  $(t - \lambda)^n$  by  $t$  can be written as an  $n \times n$  matrix  $J_{\lambda,n}$  called a **Jordan block**. Noting that

$$(t - \lambda)(t - \lambda)^n = (t - \lambda)^{n+1} \implies t(t - \lambda)^n = \lambda(t - \lambda)^n + (t - \lambda)^{n+1},$$

each Jordan block can be written as

$$\begin{pmatrix} \lambda & 0 & 0 & \cdots & 0 \\ 1 & \lambda & 0 & \cdots & 0 \\ 0 & 1 & \lambda & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & 0 & 0 & \cdots & \lambda \end{pmatrix}$$

Any matrix which is a block sum of Jordan blocks is in **Jordan canonical form**. Therefore, by the Structure Theorem (Primary Form):

**Corollary.** Every  $T \in \text{End}_F(V)$  whose characteristic polynomial factors into linear terms is similar over  $F$  to a matrix  $J_T$  in Jordan canonical form (unique up to permutation of blocks). The total number of appearances of each eigenvalue  $\lambda$  is its multiplicity in  $c_T$ , and the size of the largest associated Jordan block is the multiplicity of  $\lambda$  as a root of  $m_T$ .

- **Exercise.** Find all Jordan canonical forms for rational and complex matrices  $T$  with characteristic polynomial  $c_T = (t^4 - 1)(t^2 + 1)$ .
- **Exercise.** Show that any square matrix over any subfield of  $\mathbb{C}$  is similar to its transpose.
- **Exercise.** Let  $F$  be any field. Show that  $A \in M_n(F)$  is diagonalizable (i.e. similar to a diagonal matrix) if and only if  $m_A$  is a product of linear factors.

## Tensor Products.

**Definition.** Let  $M_1, \dots, M_k, N$  be  $R$ -modules. A map  $F: M_1 \times \cdots \times M_n \rightarrow N$  is **multilinear** if

$$F(m_1, \dots, m_j + rm'_j, \dots, m_k) = F(m_1, \dots, m_j, \dots, m_k) + rf(m_1, \dots, m'_j, \dots, m_k)$$

for all scalars  $r \in R$  and all  $1 \leq j \leq k$ . Denote the space of all multilinear maps  $M_1 \times \cdots \times M_k \rightarrow N$  by  $L(M_1, \dots, M_k; N)$ .

**Definition.** For any set  $S$  and ring  $R$ , a **formal linear combination** of elements of  $S$  is a function  $f: S \rightarrow R$  with  $f(s) = 0$  for all but finitely many  $s \in S$ . The **free module generated by  $S$** , denoted  $\mathcal{F}(S)$ , is the set of all formal linear combinations of elements of  $S$ . One can think of elements of  $f \in \mathcal{F}(S)$  as finite formal sums  $\sum_i a_i x_i$  where  $x_i \in S$  and  $a_i = f(x_i) \in R$ .

**Definition.** Let  $M_1, \dots, M_k$  be  $R$ -modules. We define the **tensor product**  $M_1 \otimes \dots \otimes M_k$  to be the  $R$ -module

$$M_1 \otimes \dots \otimes M_k = \mathcal{F}(M_1 \times \dots \times M_k) / \mathcal{R},$$

where  $\mathcal{R}$  is the submodule of  $M_1 \times \dots \times M_k$  containing all elements of the form

$$(m_1, \dots, rm_i, \dots, m_k) - r(m_1, \dots, m_i, \dots, m_k) \\ (m_1, \dots, m_i + m'_i, \dots, m_k) - (m_1, \dots, m_i, \dots, m_k) - (m_1, \dots, m'_i, \dots, m_k)$$

with  $m_i, m'_i \in M_i$ ,  $i \in \{1, \dots, k\}$ , and  $r \in R$ .

**Proposition.** (Characteristic Property of Tensor Products) *Let  $M_1, \dots, M_k$  be  $R$ -modules and  $G$  an abelian group. If  $f: M_1 \times \dots \times M_k \rightarrow G$  is any multilinear, then there is a unique homomorphism map  $F: M_1 \otimes \dots \otimes M_k \rightarrow G$  making the following diagram commute:*

$$\begin{array}{ccc} M_1 \times \dots \times M_k & \xrightarrow{f} & G \\ \Pi \downarrow & \nearrow F & \\ M_1 \otimes \dots \otimes M_k & & \end{array}$$

- **Exercise.** Prove that  $M \otimes R \cong M$  for any  $R$ -module  $M$ .
- **Exercise.** Let  $K$  be the field of fractions of a ring  $R$ , and let  $M$  be an  $R$ -module. Prove that  $M \otimes K$  is trivial if  $M$  is torsion, and nontrivial otherwise.
- **Exercise.** Compute  $\mathbb{Z} \otimes \mathbb{Z}$ ,  $\mathbb{Z} \otimes \mathbb{Z}_n$ , and  $\mathbb{Z}_m \otimes \mathbb{Z}_n$ .