

The Khovanov homology of slice disks

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Princeton Topology Seminar

21 April 2022

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- 3 Khovanov homology of knotted surfaces
- 4 Khovanov homology of surfaces in the 4-ball
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- 6 Future work

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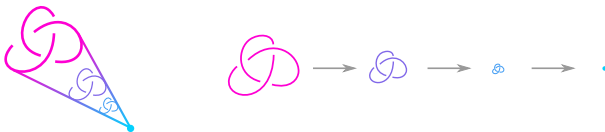
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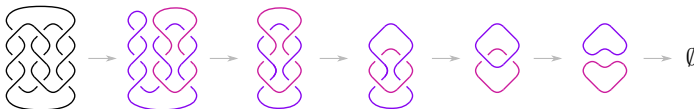
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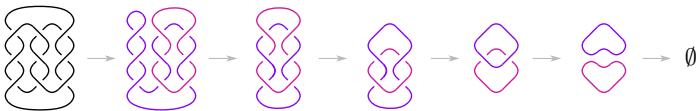
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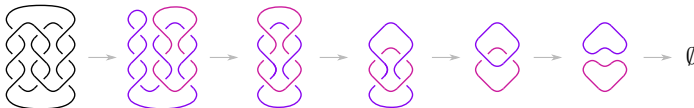


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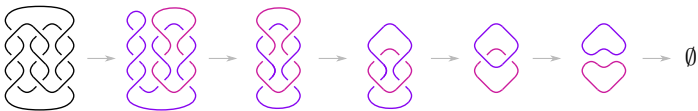


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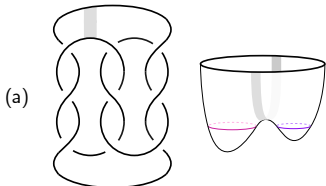
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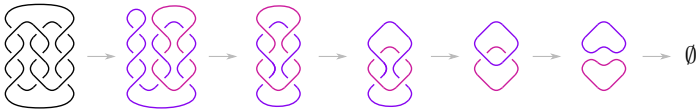
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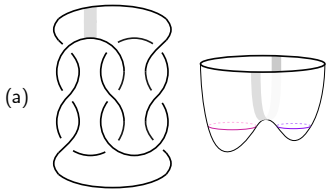
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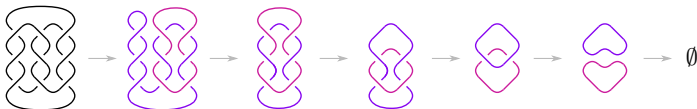
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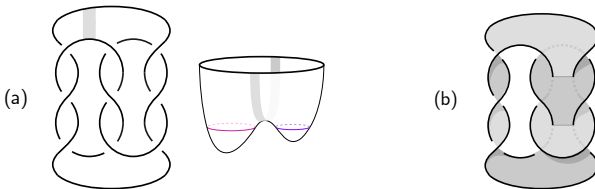
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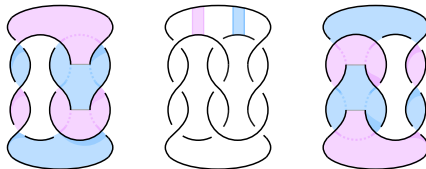
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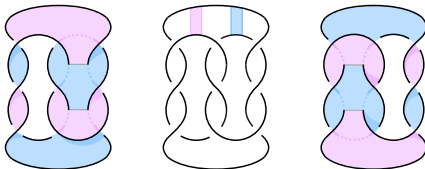


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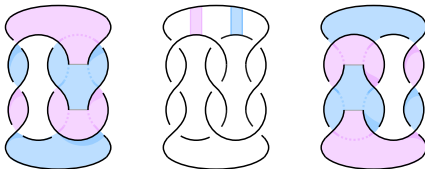
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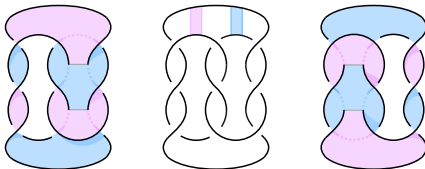
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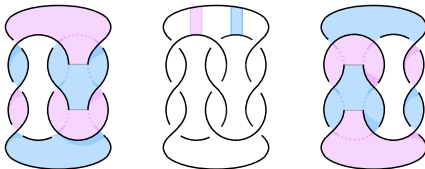
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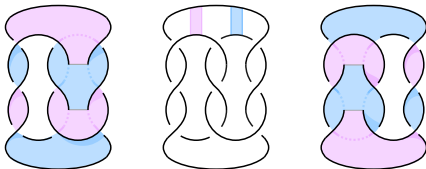
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Methods for studying slice disks

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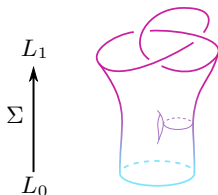
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Link cobordisms

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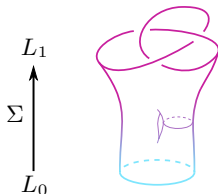
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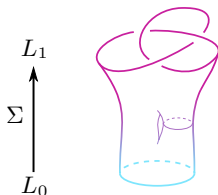
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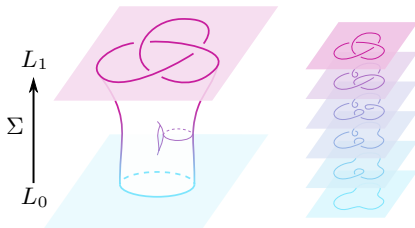


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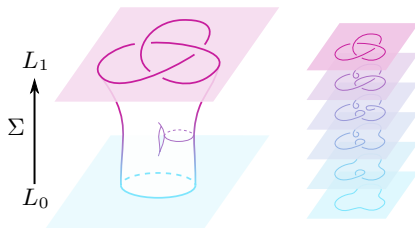


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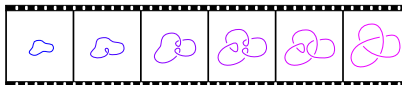
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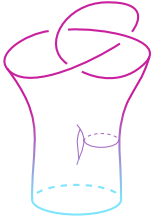

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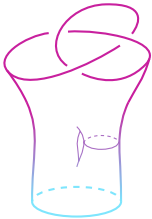

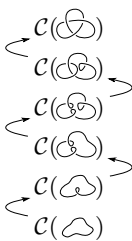
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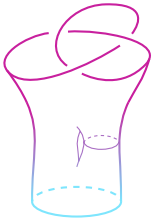

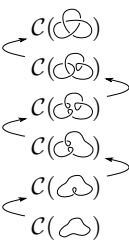
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- structure the resulting collection of R -modules and R -linear maps as a chain complex and take homology

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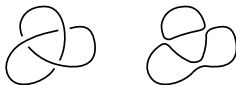


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- generate $\mathcal{C}(D)$ over \mathbb{Z} with all possible *labeled smoothings*
- define a differential and take homology

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- Different diagrams have isomorphic Khovanov homology
(we write $\mathcal{H}(L)$ to mean: choose a diagram D for L and consider $\mathcal{H}(D)$)

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A diagram D of an oriented link L induces a chain complex $\mathcal{C}(D)$ with homology $\mathcal{H}(D)$, called the Khovanov homology.

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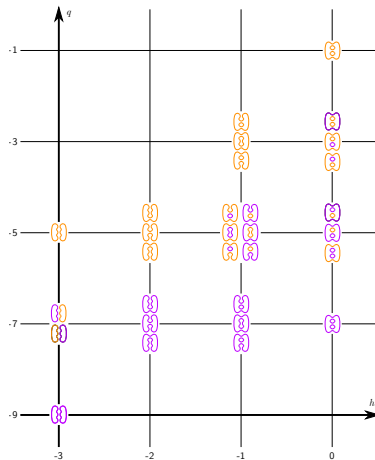
Let's take a quick look at $\mathcal{C}(3_1)$

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The Khovanov chain complex of the trefoil is $\mathcal{C}(3_1) \cong \mathbb{Z}^{30}$

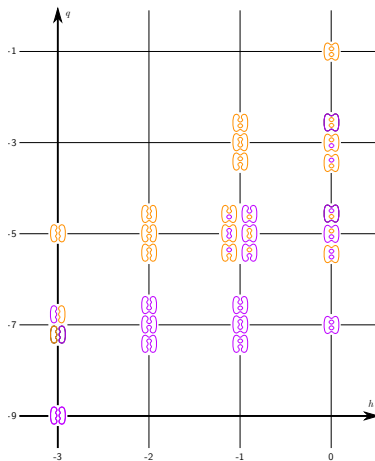
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A movie $\{D_{t_i}\}_{i=0}^n$ of a link cobordism $\Sigma: L_0 \rightarrow L_1$ induces a chain map

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What do these chain maps $\mathcal{C}(D_{t_i}) \rightarrow \mathcal{C}(D_{t_{i+1}})$ look like?

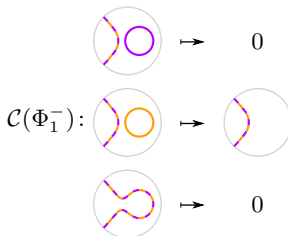
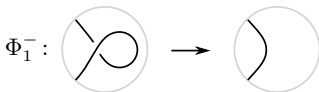
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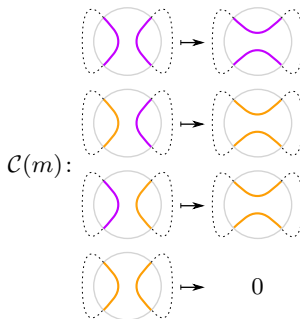
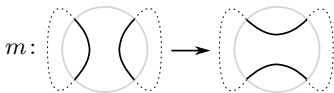
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- They are invariant under boundary-preserving isotopy

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The map on Khovanov homology induced by a link cobordism Σ is invariant, up to sign, under smooth boundary-preserving isotopy of Σ .

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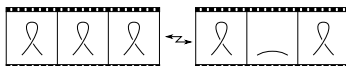
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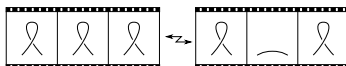
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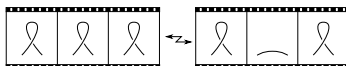
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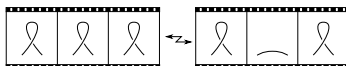
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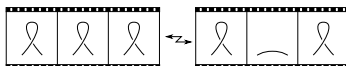
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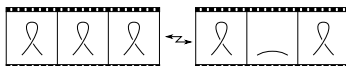
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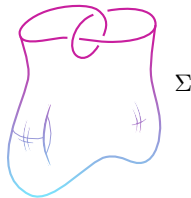
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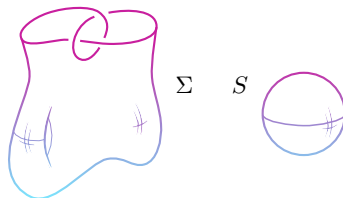
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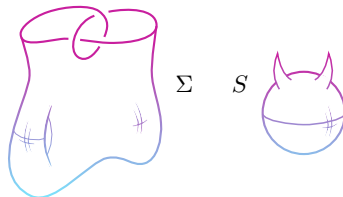
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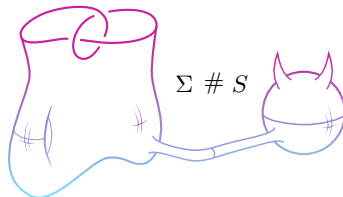
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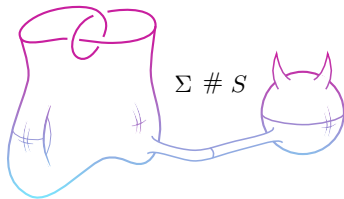
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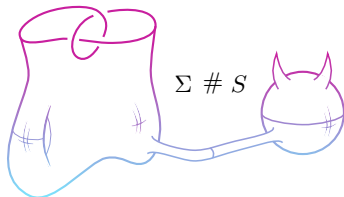
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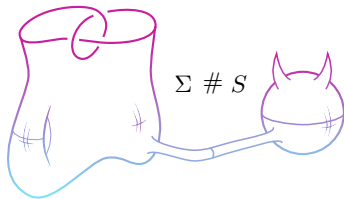
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Takeaway: maps on Khovanov homology detect more than local knotting

Table of Contents

- 1 Motivation
- 2 Khovanov homology
- 3 Khovanov homology of knotted surfaces**
- 4 Khovanov homology of surfaces in the 4-ball
- 5 Khovanov homology of dual surfaces in the 4-ball
- 6 Future work

Defining φ -numbers

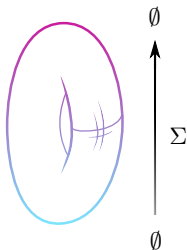
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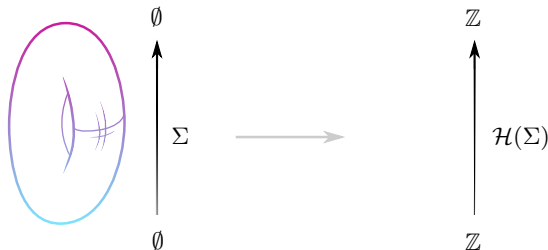


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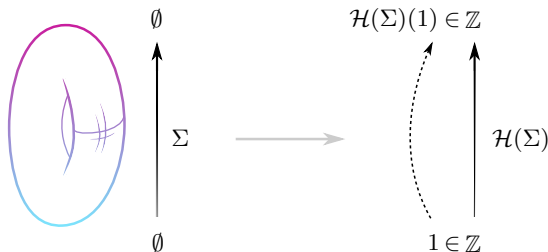


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- This integer is invariant, up to sign, under ambient isotopy of Σ

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Lemma

For a link cobordism $\Sigma: \emptyset \rightarrow \emptyset$, the φ -number of Σ

$$\varphi(\Sigma) := \mathcal{H}(\Sigma)(1) \in \mathbb{Z}$$

is an up-to-sign invariant of the ambient isotopy of Σ .

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Can we find $\Sigma_{0,1} \subset B^4$ with $\varphi(\Sigma_0) \neq \pm \varphi(\Sigma_1)$?

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Do the φ -numbers distinguish any knotted surfaces?

Can we find $\Sigma_{0,1} \subset B^4$ with $\varphi(\Sigma_0) \neq \pm \varphi(\Sigma_1)$?

Theorem (Rasmussen, Tanaka)

The φ -numbers associated to connected $\Sigma \subset B^4$ are determined by genus:

- if $g(\Sigma) = 1$, then $\varphi(\Sigma) = \pm 2$
- if $g(\Sigma) \neq 1$, then $\varphi(\Sigma) = 0$

Cases

Idea:

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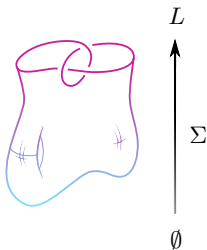
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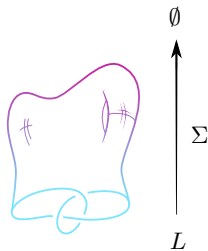
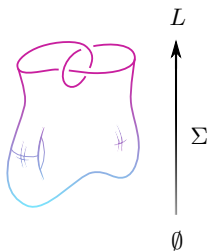


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We consider these cases separately in the next two sections.

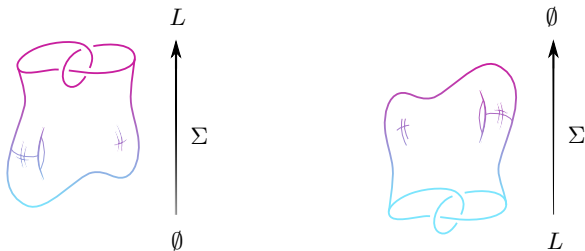


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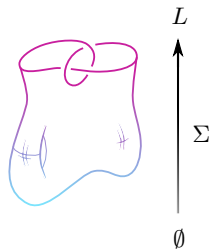
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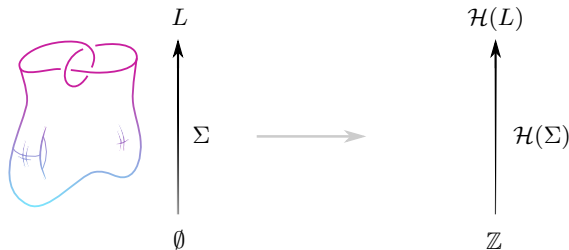


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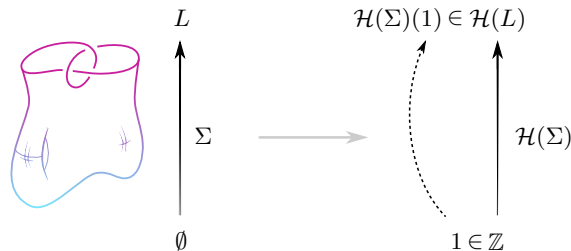


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- This homology class is invariant, up to sign, under boundary-preserving isotopy of Σ

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For a link cobordism $\Sigma: \emptyset \rightarrow L$, the φ -class of Σ

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If so, we say $\Sigma_{0,1}$ are φ -**distinguished**.

Applications of φ -classes

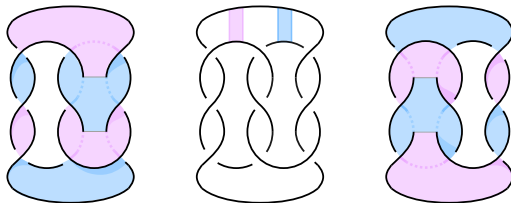
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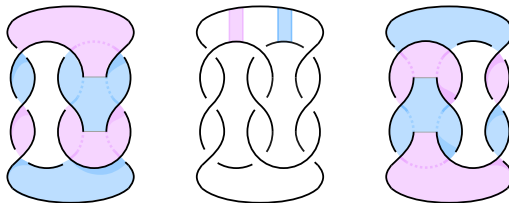
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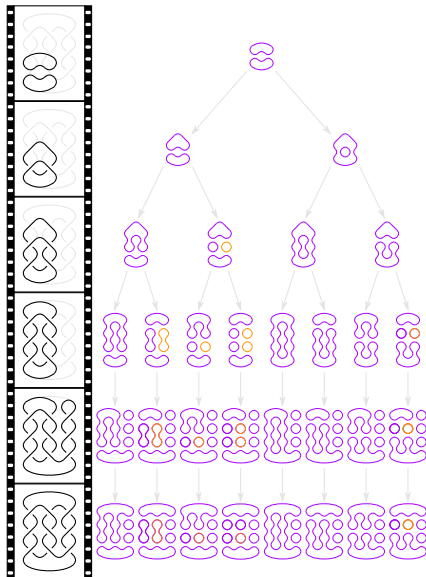
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What do $\varphi(D_\ell)$ and $\varphi(D_r)$ look like?

Applications of φ -classes



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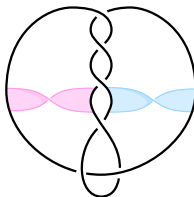
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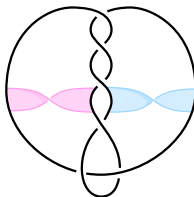
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Are there knots with more than 2 unique slice disks?

Applications of φ -classes

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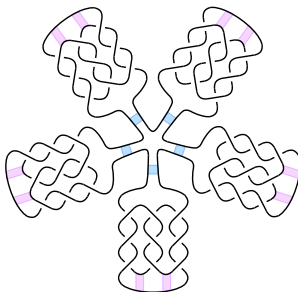
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Slice disks are obtained by boundary-summing copies of D_ℓ and D_r .



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- So, extend the 2^n slice disks for $K = \#_n(9_{46})$ by a ribbon-concordance $C: K \rightarrow K_n$ to a prime knot K_n
- These slice disks are pairwise φ -distinguished using injectivity and functoriality of the induced maps on Khovanov homology:

$$\varphi(C \circ D) = \mathcal{H}(C)(\varphi(D)) \neq \pm \mathcal{H}(C)(\varphi(D')) = \varphi(C \circ D')$$

Applications of φ -classes

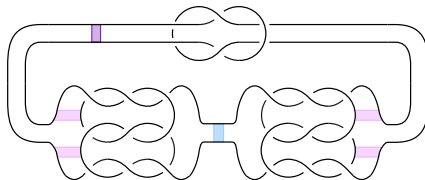
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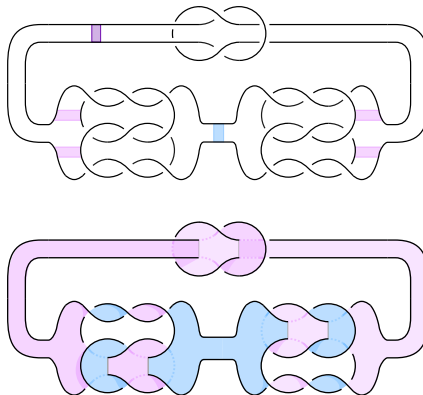
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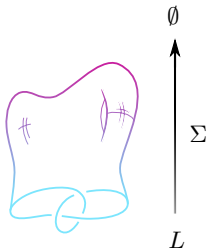
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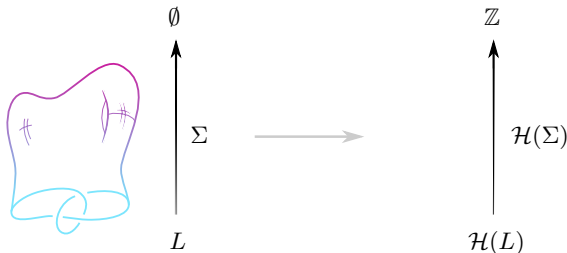


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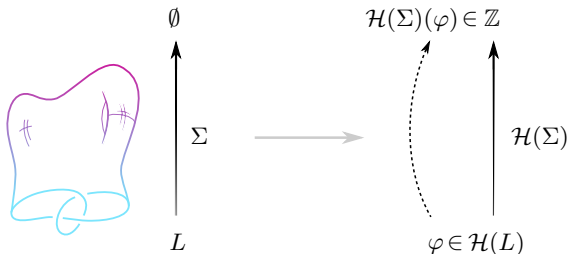


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- choose a class $\varphi \in \mathcal{H}(L)$, and note that $\mathcal{H}(\Sigma)(\varphi) \in \mathbb{Z}$ is an up-to-sign invariant of the isotopy class of Σ .

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For a link cobordism $\Sigma: L \rightarrow \emptyset$ and a class $\varphi \in \mathcal{H}(L)$, the φ^* -number

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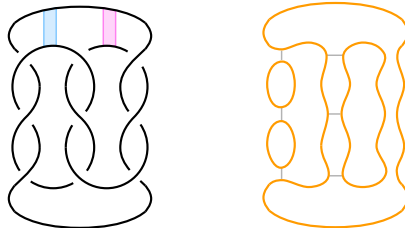
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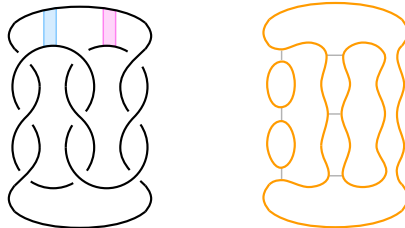


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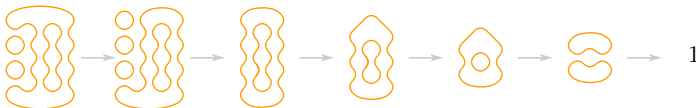
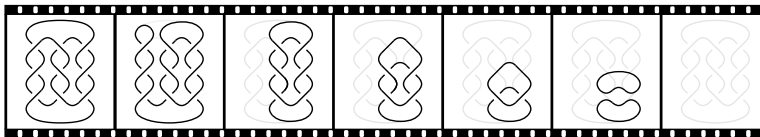
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Proof idea: show $\varphi^*(D_\ell) = 1$ and $\varphi^*(D_r) = 0$

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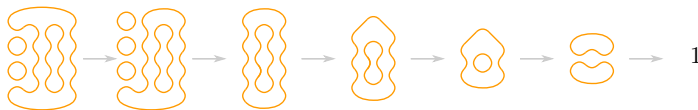
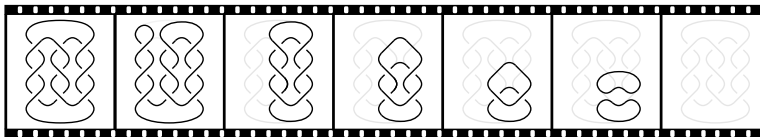
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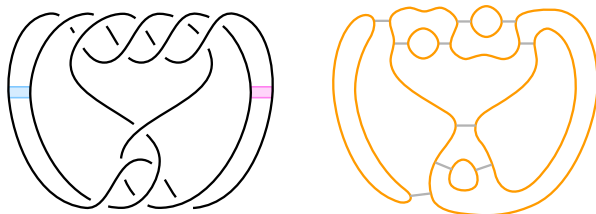


So $\varphi^*(D_\ell) = 1$ and $\varphi^*(D_r) = 0$, as desired.

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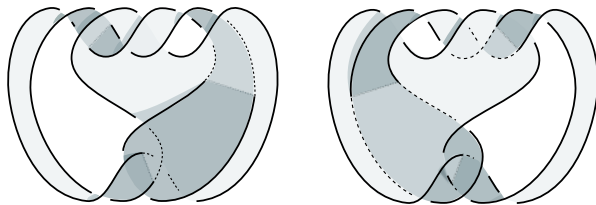


$$K = 15n_{103488}$$

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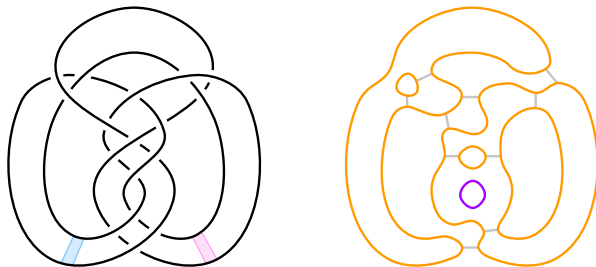


Slice disks for $K = 15n_{103488}$ (image by Kyle Hayden).

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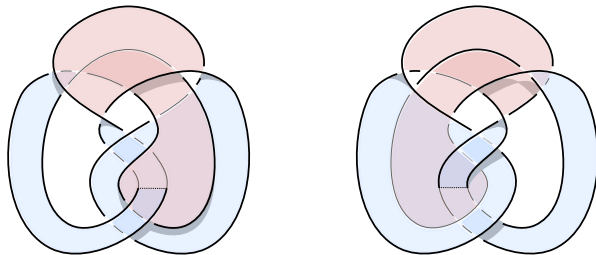


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