# Lecture Notes Taught by: Professor John Kececioglu Shuo Yang

# 1 Divide and Conquer

#### 1.1 Idea

A Divide and Conquer algorithm consists of three phases:

1. Divide phase

Split the original problem into smaller instances of same problem (usually  $\Theta(1)$  subproblems) of size  $< \alpha n$  for  $\alpha < 1$ .

2. Conquer phase

Solve the subproblem recursively.

3. Combining phase

Combine solutions of subproblems to attain the solution to the original problem.

The key to applying this technique is to discover how a solution to the input problem can be composed from (or how to decomposed into) solution of subproblems of the same form. Problem decomposition involves studying the structure of the solution.

#### 1.2 Example

## Largest Empty Rectangle

Input: 2-D boolean array A[1:m,1:n] of 0s and 1s.

Output: Find a subarray A[i:k,j:l] with only 0s of largest area.

Exhaustive search(first attempt): exhaustively search for all possible rectangles that contains only 0s and find out the largest. This takes  $\Theta(n^3m^3)$  time to do, very inefficient.

#### A divide and conquer approach:

Cut A vertically into half at the middle column. Then the optimal solution falls into three cases:

- Case-1: strictly in the left half;
- Case-2: strictly in the right half;
- Case-3: spans the middle.

We can recursively solve case-1 and case-2. For case-3, the largest empty rectangle breaks into two pieces:

• Piece-a: left rectangle ends at mid-column and is optimal conditioned on starting and ending at its first and last rows.

• Piece-b: right rectangle starts at mid-column and is optimal conditioned on starting and ending at its first and last rows.

For a given choice of row i and k, we can find the optimal left piece-a (starting at i, ending at k) by taking a min of the column-left-run-length of 0s on rows  $i \cdots k$  ending at the mid-column. Similar for the optimal right piece-b.

So we precompute:

```
L[i,j] := \text{length of the longest run of 0s in row } i \text{ that ends at } (i,j).
R[i,j] := \text{length of the longest run of 0s in row } i \text{ that starts at } (i,j).
```

The following pseudo code computes L.

```
Function PrecomputeLeftRuns(A[1:m,1:n])

for i := 1 to m

L[i,0] := 0

for j := 1 to n

if A[i,j] := 0

L[i,j] := 1 + L[i,j-1]

else

L[i,j] := 0
```

The following pseudo code computes R.

```
Function Precompute Right Runs(A[1:m,1:n])

for i := 1 to m

if A[i,n] == 0

R[i,n] = 1

else

R[i,n] = 0

for j := n-1 to 1

if A[i,j] == 0

R[i,j] := 1 + R[i,j+1]

else

R[i,j] := 0
```

The following pseudo code computes case-3.

```
Function SpanningRect(A, m, lo, hi, L, R) mid := \lfloor \frac{hi + lo}{2} \rfloor S := 0 // holds the area of the largest empty rectangle spanning the mid column. for i := 1 to m // row start minL = \infty minR = \infty for k := i to m // row end minL = min(minL, L[k, mid]) minR = min(minR, R[k, mid]) S = max(S, (k - i + 1) \times (minR + minL)) return S
```

The pseudo code for divide and conquer is:

```
\begin{aligned} & \textbf{Function } LargestEmptyRect(A,m,lo,hi,L,R) \\ & \textbf{if } lo < hi \\ & S := 0 \\ & mid := \lfloor \frac{hi + lo}{2} \rfloor \\ & S := max(S,LargestEmptyRect(A,m,lo,mid,L,R)) \\ & S := max(S,LargestEmptyRect(A,m,mid+1,hi,L,R)) \\ & S := max(S,SpanningRect(A,m,lo,hi,L,R)) \\ & \textbf{return } S \\ & \textbf{else } // \text{ lo } = \textbf{hi} \\ & \textbf{return } LR[lo] \text{ } // \text{ the length of longest run of 0s in that column } A[1:m,lo] \end{aligned}
```

The following pseudo code computes the length of longest run of 0s for each column, the result is in the table LR[1:n].

```
Function PrecomputeLongestRuns(A[1:m,1:n])
for col := 1 to n
if A[m,col] == 0
prev\_run := 1
else
prev\_run := 0
\max\_run := prev\_run
for i := m-1 to 1
if A[i,col] == 0
curr\_run := 1 + prev\_run
else
curr\_run := 0
max\_run = max(max\_run, curr\_run)
prev\_run = curr\_run
LR[col] := max\_run
```

Putting together, the final pseudo code is:

```
\begin{aligned} \textbf{Function} & \ FindLargestEmptyRect(A,m,lo,hi,L,R) \\ & \ L := PrecomputeLeftRuns(A) \\ & \ R := PrecomputeRightRuns(A) \\ & \ LR := PrecomputeLongestRuns(A) \\ & \ \textbf{return} & \ LargestEmptyRect(A,m,1,n,L,R,LR) \end{aligned}
```

#### Run time analysis:

Subroutine PrecomputeLeftRuns, PrecomputeRightRuns and PrecomputeLongestRuns all take  $\Theta(mn)$  time. Subroutine SpanningRect takes  $\Theta(m^2)$  time, so the recurrence equation for the routine LargestEmptyRect is:

$$T(m,n) = T(m, \frac{n}{2}) + T(m, \frac{n}{2}) + \Theta(m^2)$$

$$= 2T(m, \frac{n}{2}) + \Theta(m^2)$$

$$= \Theta(nm^2)$$
(1)

The intuition behind this result is that since m does not change for n, so we can treat m as constant for n. This yields a simple recurrence equation:

$$T(n) = 2T(\frac{n}{2}) + \Theta(1)$$

$$= \Theta(n)$$
(2)

Since the algorithm always spends  $\Theta(m^2)$  for each subproblem, therefore the total run time is  $\Theta(n)\Theta(m^2) = \Theta(nm^2)$ .

## To summarize:

All possible rectangles in A[1:m,1:n] is  $\Theta(m^2n^2)$ . Our divide-and-conquer algorithm guarantees not to look at all possible rectangles. The key is case-3, where we first find the best left rectangle, and then the best right rectangle, then just glue them together, in doing so we can avoid searching for all possible rectangles.

Another insight is that every rectangle spans some column, so for every column j, we just ask: what is the longest rectangle spanning j, so the total run time is  $\Theta(nm^2)$ .

#### Comparison of different algorithms

Algorithm	Run time
Exhaustive search	$\Theta(m^3n^3)$ (can be reduced to $\Theta(m^2n^2)$ )
Divide and conquer	$\Theta(mn \times min(m, n))$ (depends on dividing horizontally or vertically)
Dynamic programming	$\Theta(mn \times min(m,n))$
Amortized	$\Theta(mn)$ (this is optimal algorithm, have to look at every entry?)

# 2 Finding $k_{th}$ smallest

# 2.1 Finding minimum and maximum simultaneously

algorithm using 2n-2 comparisons

Function 
$$MinMax(A[1:n])$$
  
 $min := A[1]$   
 $max := A[1]$   
for  $i := 2$  to  $n$   
if  $A[i] < min$   
 $min := A[i]$   
if  $A[i] > max$   
 $max := A[i]$ 

```
return (min, max)
```

## algorithm using 3|n/2| comparisons

```
Function MinMax(A[1:n])
   if n is odd
       min := A[1]
        max := A[1]
   else
       if A[1] > A[2]
            min:=A[2]
            max := A[1]
        else
            min := A[1]
            max := A[2]
   while i \le n-1
       if A[i] < A[i+1]
            if A[i] < min
                min := A[i]
            if A[i+1] > max
                max := A[i+1]
        else
            if A[i+1] < min
                min := A[i + 1]
            if A[i] > max
                max := A[i]
        i := i + 2
   return (min, max)
```

## 2.2 Finding $k_{th}$ smallest in $\Theta(n)$ worst-case time

The algorithm works as follows:

```
Function k_{th}Smallest(A[1:n], k)
med := FindMedian(A[1:n])
Partition(A[1:n], med)
if k == \lceil \frac{n}{2} \rceil
return \ med
else if k < \lceil \frac{n}{2} \rceil
return \ k_{th}Smallest(A[1:\lceil \frac{n}{2} \rceil - 1], k)
else
return \ k_{th}Smallest(A[\lceil \frac{n}{2} \rceil + 1:n], k - \lceil \frac{n}{2} \rceil)
```