Supervised Contrastive Vision Transformer for Breast Histopathological Image Classification

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Abstract—Invasive ductal carcinoma (IDC) is the most prevalent form of breast cancer. Breast tissue histopathological examination is critical in diagnosing and classifying breast cancer. Although existing methods have shown promising results, there is still room for improvement in the classification accuracy and generalization of IDC using histopathology images. We present a novel approach, Supervised Contrastive Vision Transformer (SupCon-ViT), for improving the classification of invasive ductal carcinoma in terms of accuracy and generalization by leveraging the inherent strengths and advantages of both transfer learning, i.e., pre-trained vision transformer, and supervised contrastive learning. Our results on a benchmark breast cancer dataset demonstrate that SupCon-ViT achieves state-of-the-art performance in IDC classification, with an F1-score of 0.8188, precision of 0.7692, and specificity of 0.8971, outperforming existing methods. In addition, the proposed model demonstrates resilience in scenarios with minimal labeled data, making it highly efficient in real-world clinical settings where labeled data is limited. Our findings suggest that supervised contrastive learning in conjunction with pre-trained vision transformers appears to be a viable strategy for an accurate classification of IDC, thus paving the way for a more efficient and reliable diagnosis of breast cancer through histopathological image analysis.

Index Terms—Breast cancer, Invasive Ductal Carcinoma (IDC), Histopathology, Supervised contrastive learning, Transfer learning, Vision transformer

I. Introduction

On a global scale, cancer continues to be a major cause of fatalities. In the United States specifically, it is predicted that there will be a startling estimate of over 2 million new cases of cancer in 2024, or an average of 5,500 cases every day. The anticipated numbers also show a grim prognosis of 609,820 people dying from cancer-related problems, averaging around 1,670 fatalities every day [1]. Breast cancer is the most common cancer that women are suffering from. It is an ailment that causes the breast cells to develop rapidly, leading to the formation of a lump in specific regions of the breast. Among the varying types of breast cancer, Invasive Ductal Carcinoma (IDC) is the one that is the most common, accounting for more than 80% [2] of all cases.

Histopathological images [3] are extensively used in classifying and grading cancer. Histopathology slides provide more intricate details for diagnosis when compared to mammography, CT, and other imaging techniques. Analyzing histopathological images is a tedious and challenging process. Given the demand for professional understanding in this domain, the task of scanning vast tissue images necessitates the expertise of a pathologist. Consequently, a pathologist's experience may inadvertently have an adverse effect on the analysis results.

The advances in WSI imaging technology, as well as an increasing quantity of published datasets [4], [5], have promoted the use of deep learning algorithms in digital histopathology. Several deep learning algorithms [4], [6]-[8] and machine learning algorithms [9]–[12] are used to detect IDC in histopathology images. Histopathologic imaging provides important visual information about tissue samples, and deep learning models have proven effective in the evaluation of histopathological images for cancer detection and diagnosis. Advances in computer vision have made automated breast cancer classification techniques increasingly popular. Deep learning techniques, in particular, have a great deal of potential in learning and extracting features from histopathology images that may go undetected in conventional laboratory testing. However, a significant amount of labeled data is required for training CNNs from scratch, which is a challenge here.

One strategy to address this specific issue is transfer learning [13]. Transfer learning allows the pre-trained model to be fine-tuned on a smaller task-specific dataset, potentially resulting in better performance with less data. Using histopathology images, IDC has been classified into positive (Malignant) and negative (benign) categories using CNN-based transfer learning models like DenseNet, ResNet, and VGG [14]–[18].

Although these methods have produced encouraging results, there is still room for improvement in the performance of IDC classification. In IDC diagnosis, contextual information and spatial relationships among several regions of interest within an image are crucial for an accurate classification. CNN models naturally capture local features, but they might not successfully include

contextual data over the whole image. Contextual cues like the distribution of aberrant cells inside the tissue or relationships between various structures might offer crucial diagnostic information that CNN models could overlook. This may result in incorrect classification and erroneous diagnoses.

Vision Transformer (ViT) [19] derives global representation from shallow levels, while shallow layers also provide local representation. Skip connections are significantly powerful in ViT and have a significant influence on representation performance and similarity. A pre-trained ViT can be employed to capitalize on the model's ability to extract meaningful and significant features, resulting in improved performance on a specific task. Because the pre-trained ViT model already has an adequate understanding of visual features, this is especially valuable when there is insufficient labeled data, [20] thereby showing improved results when compared to training a ViT from scratch.

Utilizing contrastive learning [21] has recently resulted in a considerable improvement in self-supervised representation learning. A model is trained to separate the target image (also known as the "anchor") from several non-matching ("negative") images while simultaneously bringing the representations of an anchor and a matching ("positive") image together in embedding space.

Supervised contrastive learning [22] bridges the gap between self-supervised and fully supervised learning by allowing contrastive learning to be used in a supervised setting. It allows normalized embeddings of the same class to be pushed toward one another while embeddings of different classes are pushed away using labeled data. It allows for more positives per anchor. It generates a more diverse set of positive samples that nevertheless include semantically relevant information and label information that can be employed actively in the representation learning process as opposed to traditional contrastive learning.

The primary objective of this study is to deliver more precise and dependable IDC classification results to medical practitioners, allowing them to make better patient treatment decisions. Our study aims to improve the accuracy of IDC classification using histopathological images by incorporating supervised contrastive learning with transfer learning i.e., pre-trained ViT.

Our proposed approach involves fine-tuning the encoder of a pre-trained ViT model and incorporating supervised contrastive loss to classify IDC lesions into positive (Malignant) and negative (benign) categories. By leveraging the strengths of both techniques, our model can successfully learn and capture discriminative features for accurate IDC classification. The goal of integrating representation learning with spatial understanding is to improve the accuracy and reliability of IDC predictions.

Our main contributions can be summarized as follows:

1. We have introduced a novel approach called SupCon-ViT, that leverages supervised contrastive learning to fine-tune pre-trained vision transformers for binary classification on a breast cancer dataset.

- 2. Through our method, we demonstrate that the feature embedding generated is more distinctive, resulting in improved performance in predicting malignant and benign histopathology images.
- 3. We conducted experiments to identify the best hyperparameters and data augmentation techniques for training our models.
- 4. We compared the performance of transfer learning using our proposed method with existing CNN-based architectures, as well as simple Vision transformers, to establish the superiority of our work.

II. METHODOLOGY

A. Dataset

The dataset was curated by [4] and was made publicly available on Kaggle [5]. It consists of digitized histopathology slides of breast cancer (BCa) from 279 women diagnosed with IDC at the Hospital of the University of Pennsylvania and The Cancer Institute of New Jersey. Each slide was digitized at 40x magnification and downscaled to a resolution of 4 µm/pixel due to their extremely large size. The ground truth IDC regions were obtained via manual delineation by an expert pathologist using ImageScope software. The dataset has 71.6% images belonging to the malignant class and 28.4% images belonging to the benign class. The annotations were made at 2x magnification, resulting in the inclusion of some stromal and non-invasive tissue. The dataset is split into three subsets, including 84 training and 29 validation cases for parameter exploration, and 166 test cases for final evaluation. Table I. shows the details of the train-test-validation split of the dataset.

TABLE I: The train, validation and test split of the 277,524 image patches in the IDC dataset

Class	Train	Validation	Test
Benign Malignant	65351 26241	20401 8276	$\frac{112986}{44269}$

B. SupCon-ViT

The fundamental goal of this work is to improve the accuracy of IDC prediction. To do this, we leverage the powerful representation learning capabilities of the supervised contrastive loss. We adopt a two-step approach which also involves fine-tuning the encoder of the pretrained ViT model. We aim to further enhance the discriminant capacity of the learned feature representations by employing the supervised contrastive loss. This loss function encourages the model to push dissimilar IDC instances farther apart and to map similar IDC instances closer together in the feature space.

The accuracy is improved by effectively capturing and emphasizing the significant characteristics and patterns of IDC instances through model optimization based on this loss. Furthermore, we use the benefits of transfer learning in our method by employing a trained ViT model. Transfer learning allows us to leverage the ViT model's acquired knowledge and feature extraction skills. We exploit its rich visual representations and apply them to our target domain by fine-tuning this pre-trained model using our specific aim of predicting IDC. This technique improves the model's capacity to learn relevant IDC categorization characteristics and classify them accurately. The SupCon-ViT framework is depicted in Figure 1.

The study adopts a training approach resembling Supervised Contrastive Learning [22], consisting of two stages: pre-training and classifier training. Each stage includes multiple components and aspects aimed at optimizing the performance of the SupCon-ViT model. Three major components are used during the pre-training stage of the proposed framework; the data augmentation module, the encoder layer, and the projection layer.

The training set comprises of a set of (image, label) pairs. In the data augmentation module, for each input image sample in the training set, two sets of augmentations are generated. Here, random vertical flip and random horizontal flip are used for data augmentation. Images are randomly flipped vertically along the horizontal axis via random vertical flips. Images are randomly flipped horizontally along the vertical axis with the help of random horizontal flip. Data augmentation strategies are critical for improving performance and generalizability. Using random vertical flips and random horizontal flips on the images helps increase the size and diversity of a training dataset. Variations that assist in increasing the robustness and generalization capacity of a model are introduced here. By employing the above mentioned data augmentation techniques, the proposed framework increases the diversity and variability of the training data, facilitating the learning of more robust and generalized IDC classification models.

The Encoder Network employed in the pre-training stage is from a pre-trained ViT model, where the transformer encoder is similar to [19]. The two sets of augmentations obtained from the Data Augmentation module are mapped into a 786-dimensional representation vector, r by the ViT encoder. This 768-dimensional representation vector, r, is forwarded through the projection network. The projection network is a single linear layer with a dimensionality of 96. This enables the initial 768-dimensional representation to be transformed into a more compact 96dimensional space. The Projection Network outputs are 12 normalized to facilitate the measurement of distances within the projection space. The projection network outputs are used to compute the supervised contrastive loss, fostering the learning of discriminative feature embeddings. During the classifier training stage, a linear classifier is trained using cross-entropy loss on the output of the frozen pre-trained encoder.

In order to more efficiently use label information, supervised contrastive loss pushes normalized embeddings from the same class to be closer together than embeddings from different classes. [22] formulates the Supervised Contrastive Loss as:

$$L_{\text{SupCon}} = \sum_{i \in I} -\log \left(\frac{1}{|P(i)|} \sum_{p \in P(i)} \frac{\exp(z_i \cdot z_p / \tau)}{\sum_{a \in A(i)} \exp(z_i \cdot z_a / \tau)} \right)$$

where z_i is the representation of the anchor, with z_p and z_a representing the positive and arbitrary examples in the batch, respectively. The sets P(i) and A(i) refer to indices of samples sharing the same class as the anchor (excluding the anchor itself) and all indices in the batch, respectively. The parameter τ is a temperature scaling factor that adjusts the distribution's sharpness.

C. Model Training Setup and Hyper-parameters

The Supervised Contrastive Loss, coupled with the AdamW optimizer, is utilized in the pre-training phase. The temperature parameter, set to 0.03, regulates the sharpness of the probability distribution in the contrastive loss computation. The learning rate, determining the step size for updating model parameters during optimization, is set to 5×10^{-5} . The entire pre-training process runs through 50 epochs, with each epoch representing a complete iteration of the training dataset. A linear classifier is trained using the frozen features at a learning rate of 0.01, with the AdamW optimizer used for parameter updates. An early stopping mechanism with a patience of 5 minimizes training time, terminating training if performance on the validation set does not improve for five successive epochs. During classifier training, the model is trained for a total of 50 epochs with the same early stopping mechanism. All baseline models are trained on 64 minibatch sizes, and in the SupCon-ViT model, each iteration selects one mini-batch of size 32 from the dataset, feeding two sets of its augmentation to the network.

III. RESULTS

A. Feature Extraction

We employed Principal Component Analysis (PCA) [23], a powerful visualization technique to gain insights into the feature embeddings of the SupCon-ViT model. We were able to discern similarities and differences in their learned representations by juxtaposing the visualizations derived from PCA for the SupCon-ViT and ViT. The results of these analyses shed light on how the models captured and structured the underlying features of the input data.

Figure 2 shows the PCA visualization of the feature embeddings of ViT and SupCon-ViT on the training set. The purple color represents the data points that are benign (IDC negative), and the yellow color represents the data points that are malignant (IDC positive). It can be seen

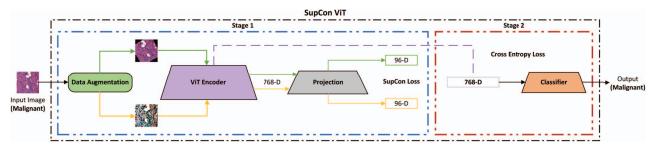


Fig. 1: SupCon-ViT is trained in two stages - Stage 1) Data augmentation, ViT encoder, and projection components use supervised contrastive loss. Stage 2) Frozen encoder and classification head use cross-entropy loss.

from the feature embeddings that SupCon-ViT exhibited a clearer separation between benign and malignant clusters compared to the ViT. The embeddings generated by SupCon-ViT display more distinct separation and discernible clusters, highlighting its superior capacity for class separation. This demonstrates that the SupCon-ViT model has a substantial advantage in capturing and discriminating class-specific information, leading to improved performance in IDC classification.

B. Model Performance Evaluation

We conducted a comprehensive comparison of the SupCon-ViT model's performance with other existing architectures, including ViT and a range of state-of-the-art pre-trained convolutional neural network (CNN) models.

The chosen CNN architectures for comparison were AlexNet [24], VGG16 [25], DenseNet121 [26], ResNet50 [27], MobileNetV3Large [28]. After replacing the classification head, each of the models was fine-tuned utilizing the dataset with a train, test, and validation ratio as specified in Section 3.1 and a learning rate of 1×10^{-5} .

We attempted to assess the relative strengths and short-comings of the SupCon-ViT model in contrast to the other architectures by examining metrics and performance indicators such as balanced accuracy, F1-score, Precision, and Recall. Table II. compares the proposed approach's performance to that of other architectures. The results indicate that the proposed model outperforms the other methods, with a higher F1-score of **0.8188**, balanced accuracy of **0.8861**, greater precision of **0.7692**, and enhanced specificity of **0.8971**.

C. IDC prediction maps

We employed the SupCon-ViT model on the test set patches and obtained categorical values (0 for benign/non-IDC and 1 for malignant/IDC). Using these values, we reconstructed the whole slide image (WSI) for the patients in the test set. The SupCon-ViT efficiently distinguishes between IDC and non-IDC regions by leveraging the categorical values. This approach greatly enhances the computer-aided analysis of WSI samples, providing significant value in digital pathology research. Figure 3 exhibits the prediction maps generated by the model for multiple

TABLE II: Evaluating the performance of the proposed model, SupCon-ViT with ViT and other state-of-the-art CNN models on precision, specificity, F1-score, and balanced accuracy. SupCon-ViT model has better precision, specificity, F1-score, and balanced accuracy than the existing models.

Model	Precision	Specificity	F1-Score	Accuracy
$Sup Con ext{-}ViT$	0.7692	0.8971	0.8188	0.8861
ViT	0.6980	0.8431	0.7959	0.8844
ResNet-50	0.6937	0.8482	0.7749	0.8629
VGG-16	0.6893	0.8414	0.7799	0.8697
DenseNet-121	0.7153	0.8635	0.7871	0.8693
MobileNet-V3L	0.7109	0.8599	0.7861	0.8595
AlexNet	0.6770	0.8361	0.7641	0.8564

subjects in the test set, demonstrating the model's ability to accurately identify IDC regions. Overall, the proposed model exhibits remarkable performance in identifying the IDC and non-IDC regions.

The Supervised Contrastive loss used is the pretraining stage of the SupCon-ViT model, where it learns general features and provides an efficient learning framework by maximizing agreement between positive pairs and minimizing agreement between negative pairs. This facilitates enhanced feature discrimination, leading to faster convergence and improved classification performance. This efficient learning mechanism allows the SupCon-ViT model to achieve comparable performance with fewer training iterations. The pre-trained feature representation is also used for training the classifier in the classifier stage. This accelerates training by providing a good initialization for the model parameters, leading to faster convergence and better results during classification.

D. Data Augmentation and Hyper-parameters

In our study, we experimented with various hyperparameter combinations and data augmentations on the IDC dataset to train our model. The optimal learning rate was determined by monitoring the loss during the initial iterations, which allowed us to identify a range of values that consistently led to stable and gradual decreases in loss. The chosen learning rate demonstrated its effectiveness in guiding the model's training by rapidly converging toward

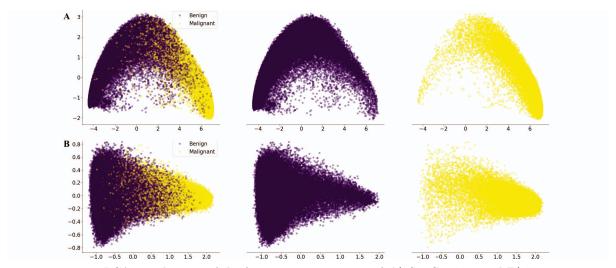


Fig. 2: PCA visualization of the feature representations of A) SupCon-ViT and B) ViT.

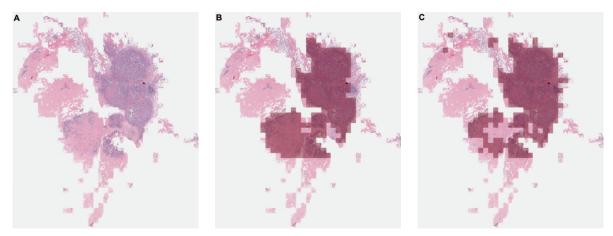


Fig. 3: The prediction on a WSI image from one of the test subjects, obtained by the SupCon-ViT for Invasive Ductal Carcinoma(IDC). A) The whole slide image of a patient from the test set. B) The ground truth of the image of a patient from the test set. C) The predictions of the SupCon-ViT model on the image of a patient from the test set. The darker patches in both B and C indicate the Malignant regions i.e. IDC positive regions and the lighter patches indicate the benign regions i.e. IDC negative regions.

lower loss values. For the training of stage 1 and stage 2 of the SupCon-ViT models, we selected learning rates of 5×10^{-5} and 0.01, respectively.

Previous studies [22] have indicated that smaller temperature values benefit training more than higher ones, although extremely low temperatures can be challenging due to numerical instability. However, in our case of fine-tuning the model, we observed that lower temperature values could be used effectively. Hence, we aimed to optimize the training procedure and enhance the model's ability to accurately categorize IDC lesions, specifically focusing on achieving a better F1-score. To determine the best data augmentation technique, we evaluated models trained with different augmentations and reported their validation F1-scores in Table III. Subsequently, we selected the

most effective augmentation method and further explored different temperature parameters τ to refine the model's performance, resulting in an optimal temperature setting of 0.1.

TABLE III: Finding best data augmentation for SupConViT model based on validation performance, temperature=0.1

Data Augmentations	F1-score
AutoAugment [29]	0.8163
RandAugment [30] Random Vertical and Horizontal Flip	0.8132 0.8181
Random and Horizontal Flip, Gray Scale and Color Jitter	0.8065

IV. CONCLUSION

In this study, we propose a novel approach for classifying IDC in histopathological images into positive (benign) and negative (malignant) categories. Our method utilizes a pre-trained ViT architecture combined with a supervised contrastive loss framework. The supervised contrastive loss enhances the ViT model's discriminative power, allowing it to effectively capture the distinctive characteristics of IDC samples and learn well-separated representations for positive and negative IDC instances. The ViT architecture serves as the foundation of our approach, utilizing selfattention mechanisms to process and comprehend the spatial information within histopathological images. This enables the model to capture long-range dependencies and contextual relationships, empowering it to learn discriminative features relevant to IDC classification. By combining the strengths of the supervised contrastive loss and the pre-trained ViT architecture, our approach, termed Sup-Con ViT, capitalizes on both techniques. Consequently, SupCon ViT proficiently captures the essential features that differentiate benign and malignant IDC cases, resulting in improved accuracy in categorizing IDC lesions and providing valuable insights for diagnostic and treatment decisions.

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