# Transformation Frameworks for Machine Translation: Strings, Trees, and Graphs

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TAG+, Sept. 28, 2012



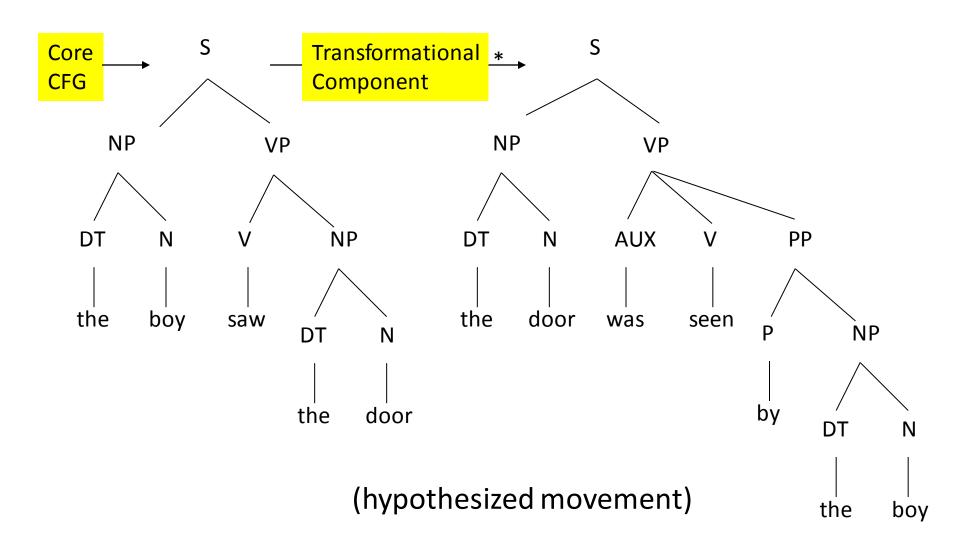
### **Modern Linguistics**

How to characterize all and only strings of English?



#### **Transformational Grammar**

NOAM CHOMSKY





#### Tree Automata

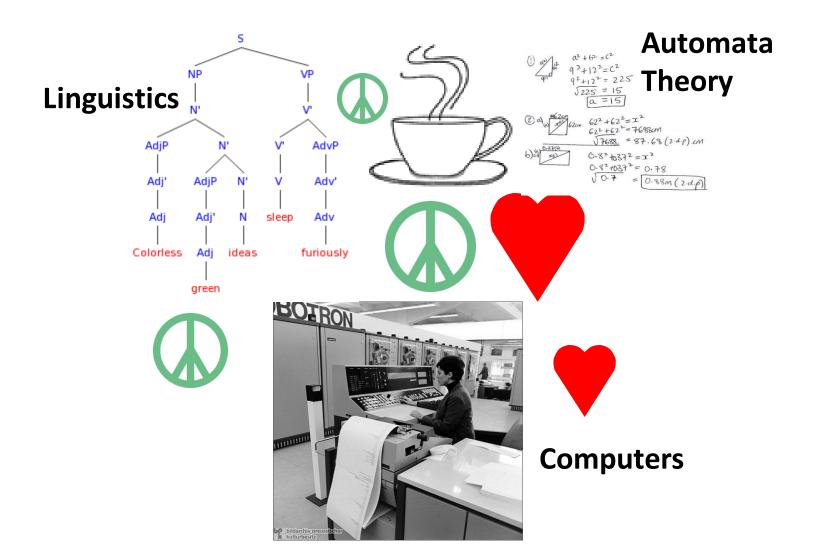
[Rounds 1970] & [Thatcher 1970]:

Invented tree transducers to formalize Transformational Grammar

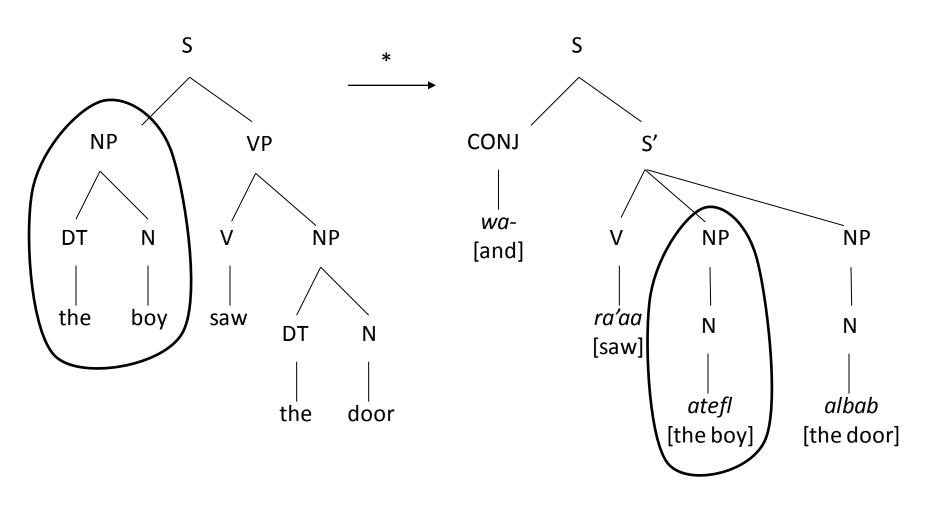
#### [Thatcher 1973]:

"The number one priority in the area [of tree automata theory] is a careful assessment of the significant problems concerning **natural language and programming language semantics and translation**. If such problems can be found and formulated, I am convinced that the approach informally surveyed here can provide a unifying framework within which to study them."

#### 1960s & 1970s



## Language Translation



(real movement!)

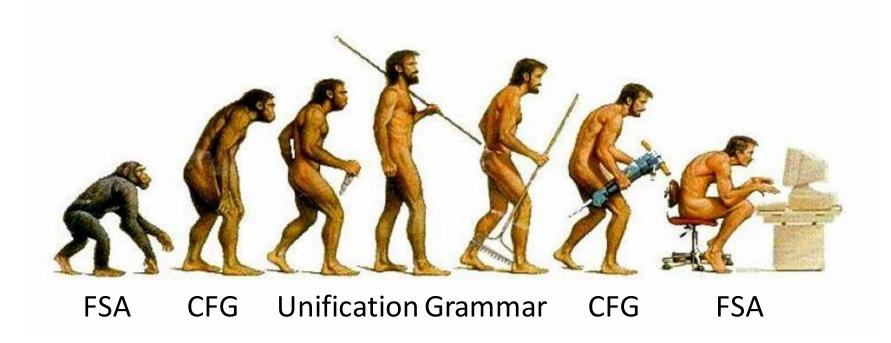
## Language Translation is Hard

Each word has tons of meanings

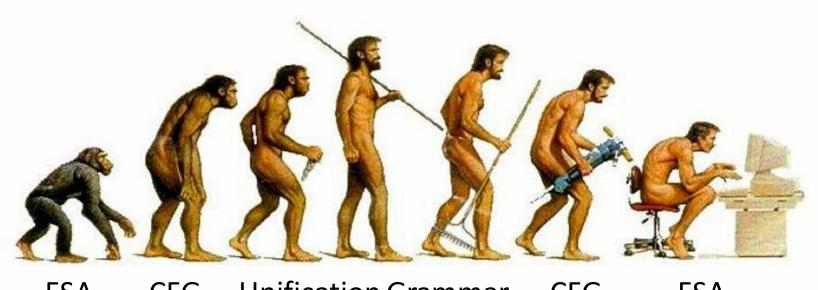
```
I'll get a cup of coffee
I didn't get that joke
I get up at 8am
I get nervous
Yeah, I get around ...
```

- Each word has zillions of contexts
- Word order is very different
- Machine must produce good sentences, not just consume them

## Natural Language Processing



## **Natural Language Processing**

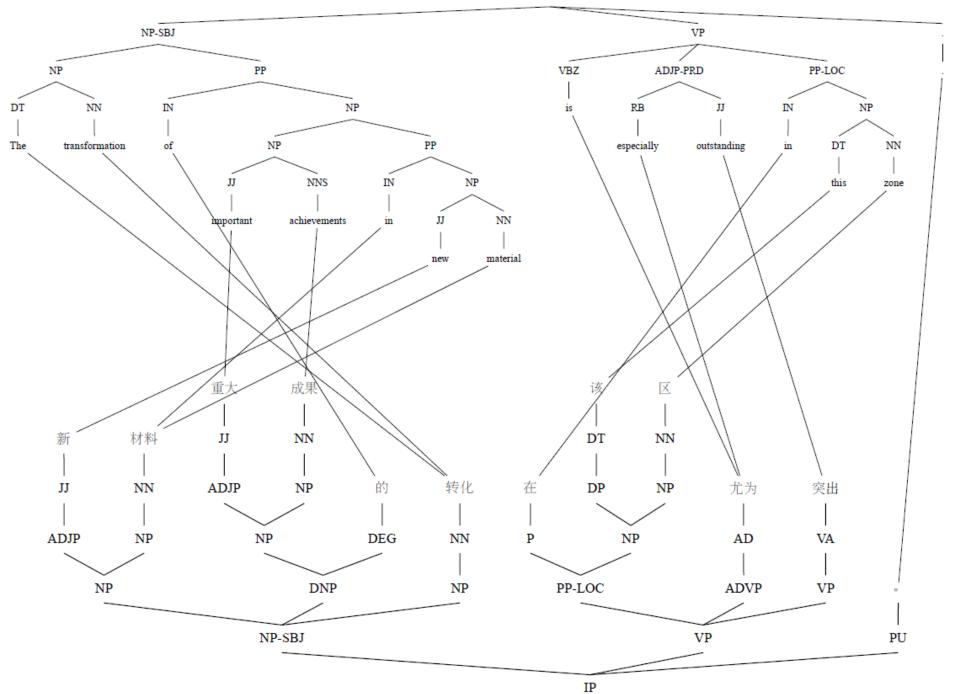


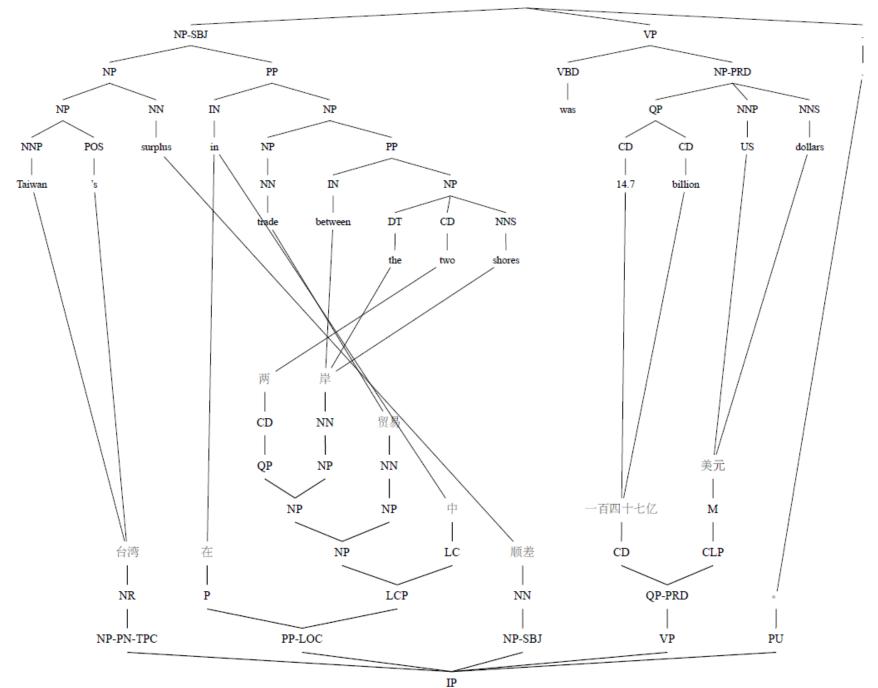
**FSA Unification Grammar CFG** CFG **FSA** 

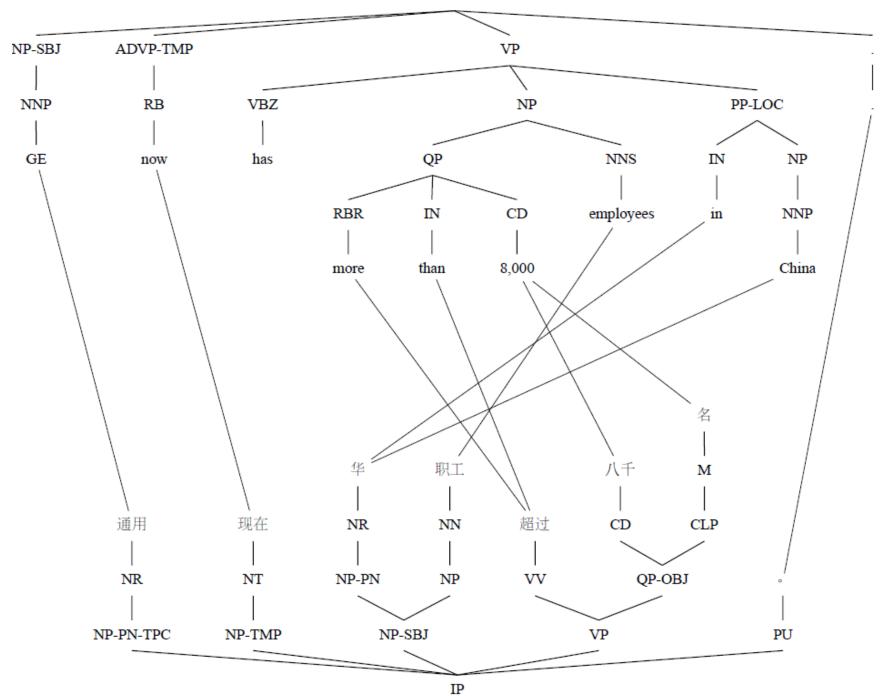


### Linguistic Data

- We have a lot!
- Can train an English FSA on one trillion words.
  - The cup is on the table >> Cup the table on is the
  - The player is on the field >> The player is in the field
- Translation data is especially tantalizing:
  - Billions of words, for some language pairs
- Let's explain translation data, search for models that fit the data, use those models to translate new data ...







#### Model Should Fit Data

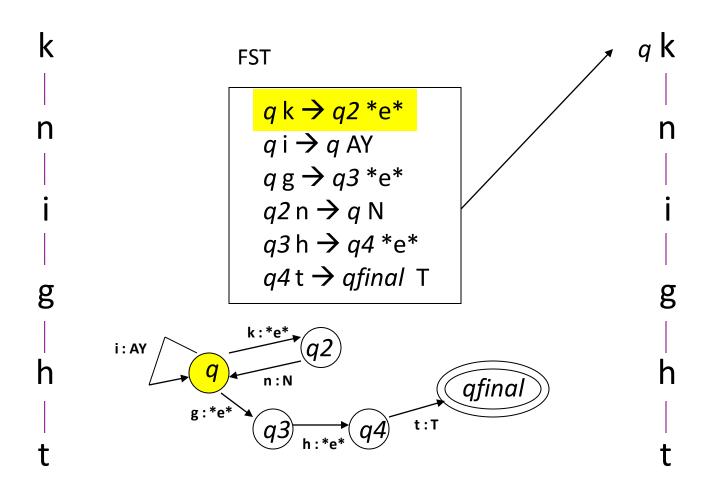
What does it mean for a translation model to fit the observed translation data?

- #1 Theory approach
- #2 Linguistics approach
- #3 Statistical approach
- #4 Heroic approach

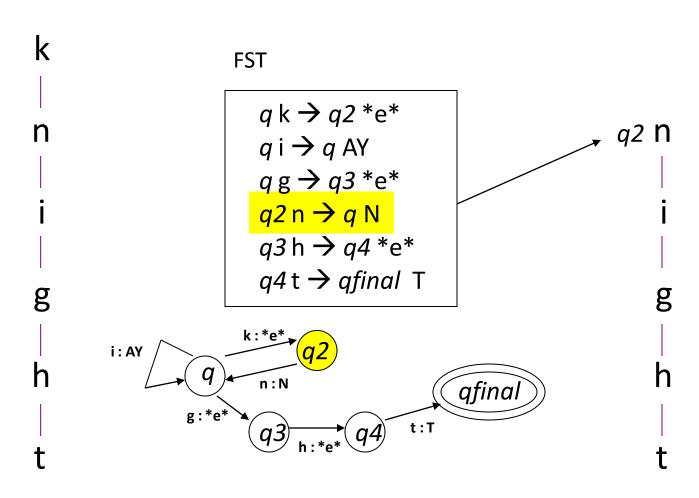
## Fit to Data #1: Theory Approach

 Goal: Create an underlying formalism and prove that it has certain formal properties necessary to explain data.

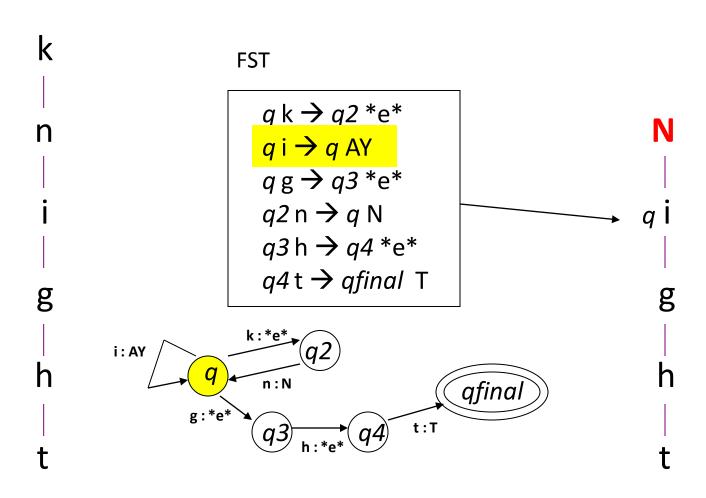
#### Original input:



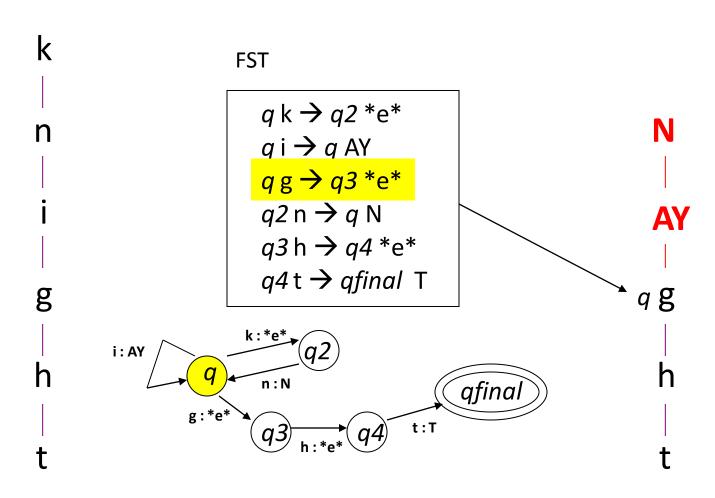
Original input:



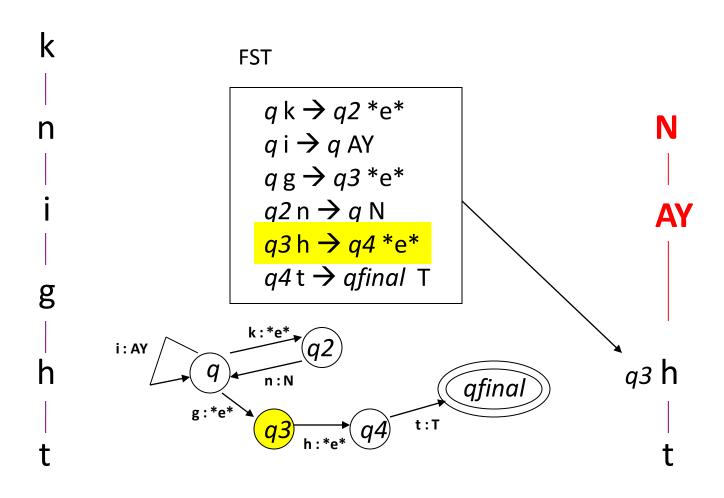
Original input:



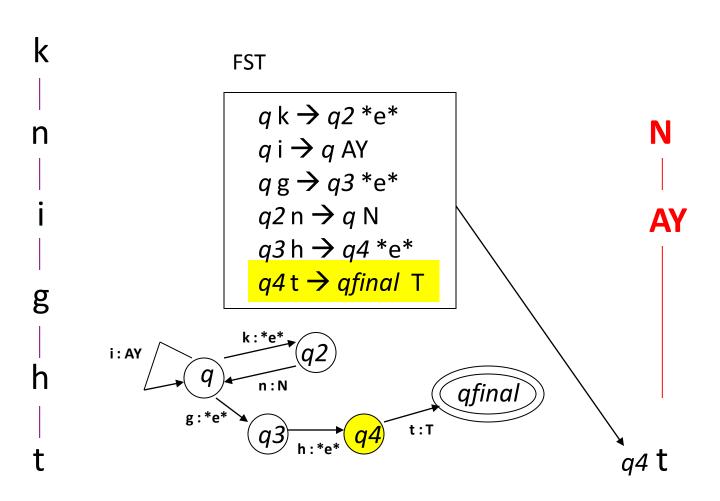
Original input:



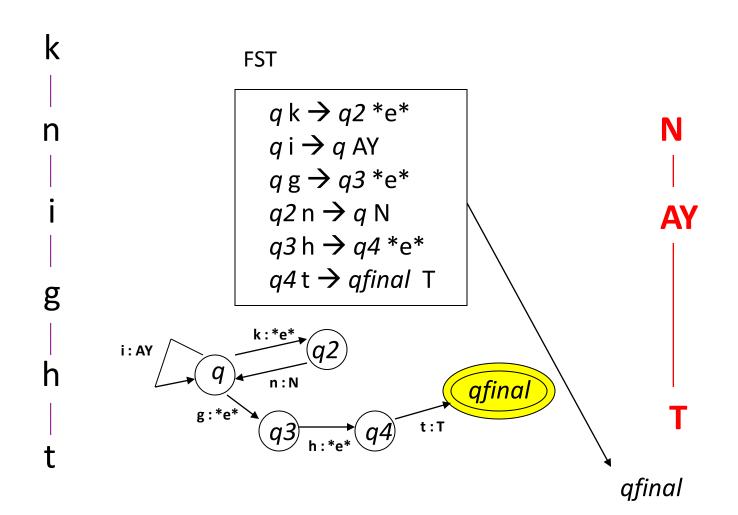
Original input:



Original input:



Original input:



### General-Purpose Algorithms

r	
	String Automata Algorithms
N-best	paths through an WFSA
	(Viterbi, 1967; Eppstein, 1998)
EM training	Forward-backward EM (Baum/Welch, 1971; Eisner 2003)
Determinization	of weighted string acceptors (Mohri, 1997)
Intersection	WFSA intersection
Applying transducers	string → WFST → WFSA
Transducer	WFST composition
composition	(Pereira & Riley, 1996)
General tools	FSM, Carmel, OpenFST

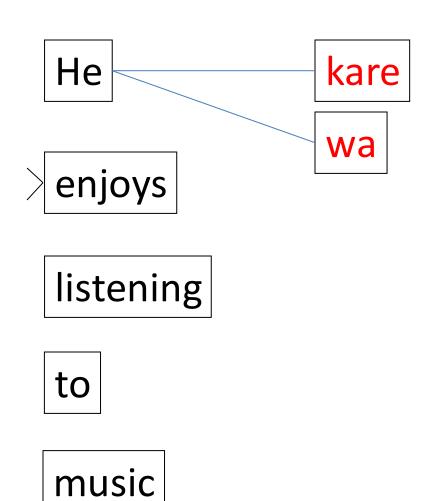
He

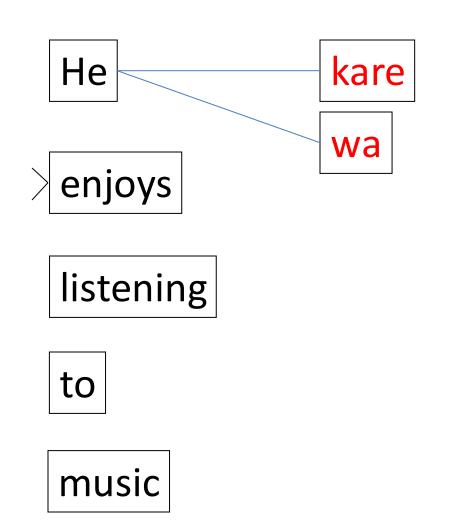
enjoys

listening

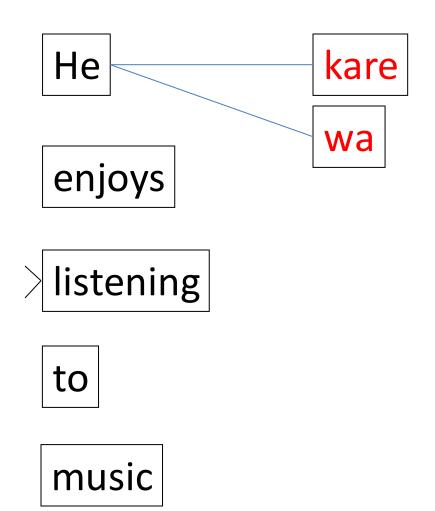
to

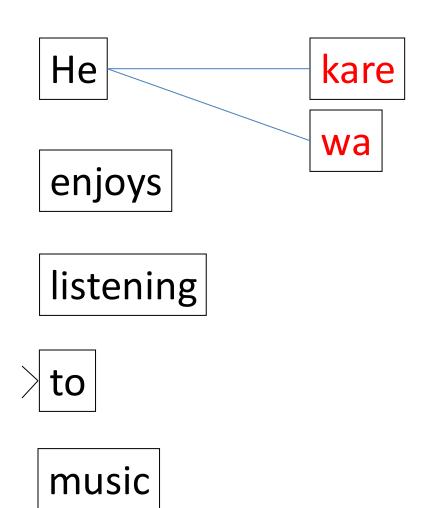
music

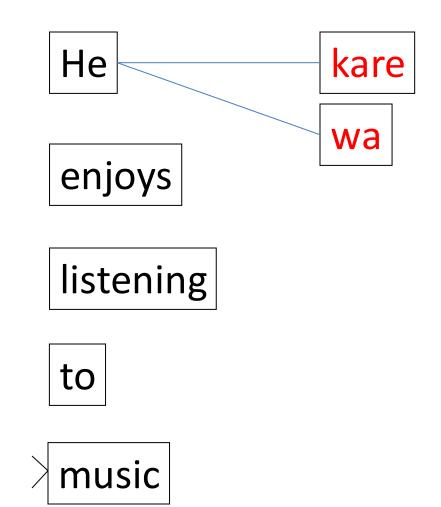


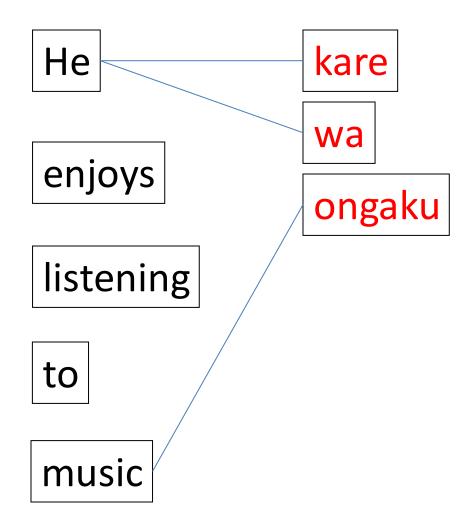


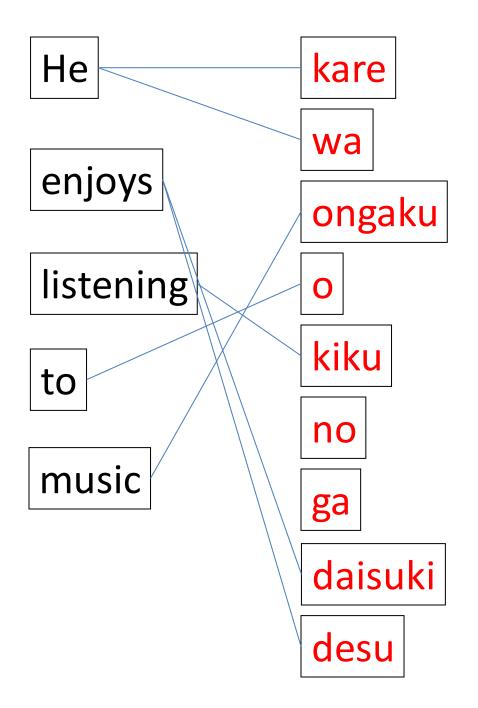
daisuki desu

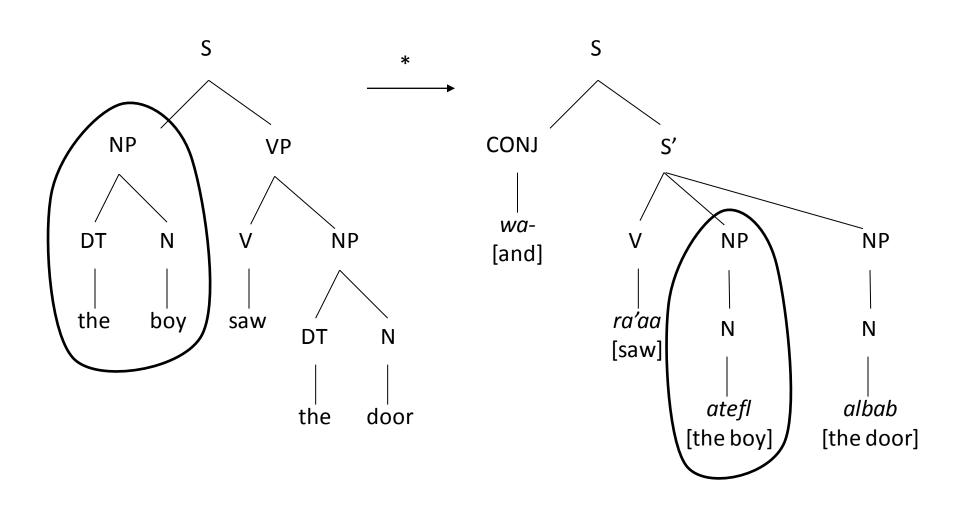






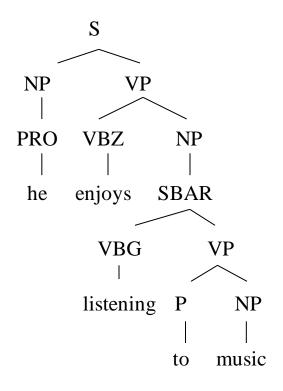


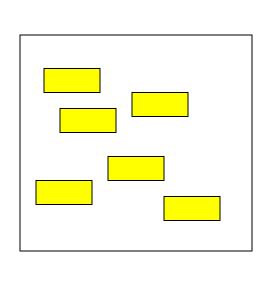


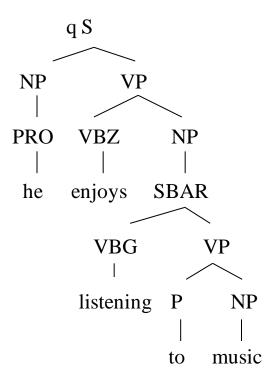


(W. Rounds 1970; J. Thatcher 1970)

#### Original input:

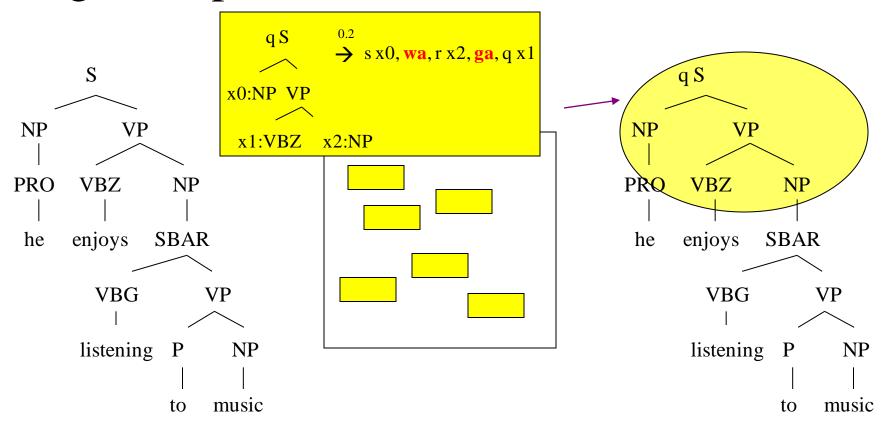






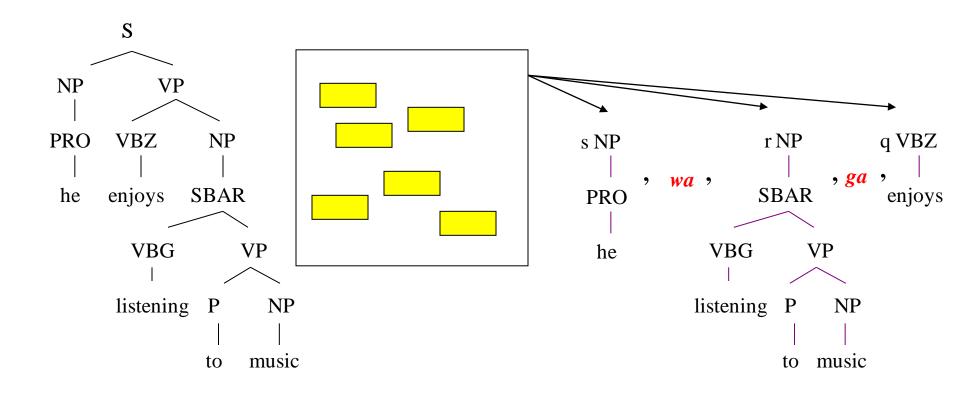
(W. Rounds 1970; J. Thatcher 1970)

#### Original input:

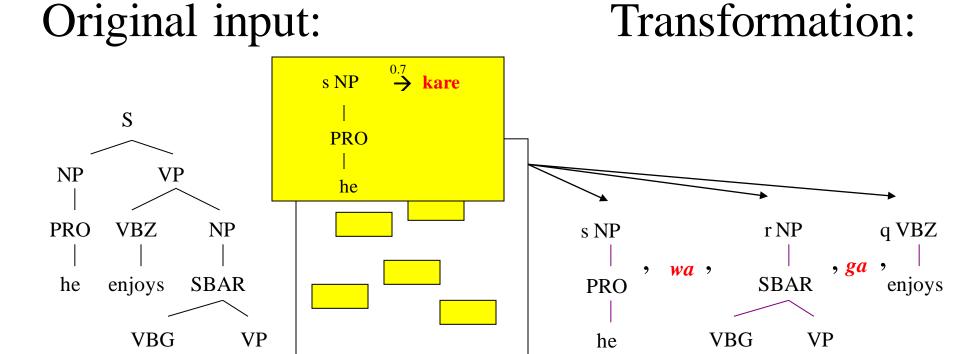


(W. Rounds 1970; J. Thatcher 1970)

#### Original input:



(W. Rounds 1970; J. Thatcher 1970)



listening

NP

music

listening

NP

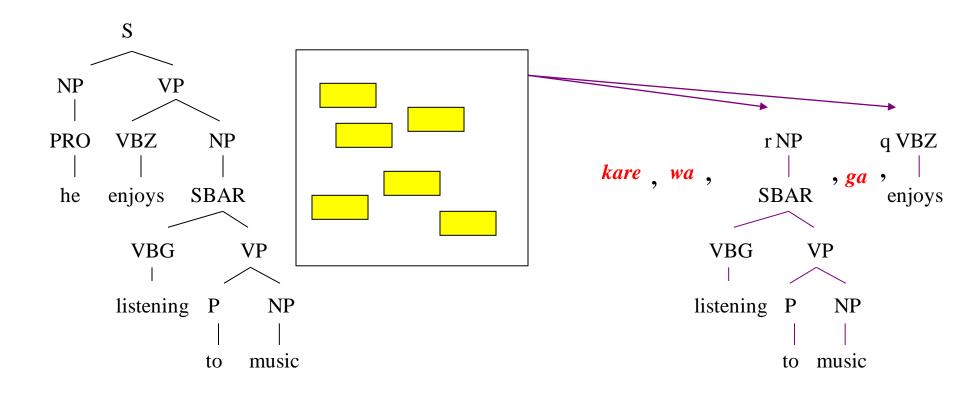
music

to

(W. Rounds 1970; J. Thatcher 1970)

### Original input:

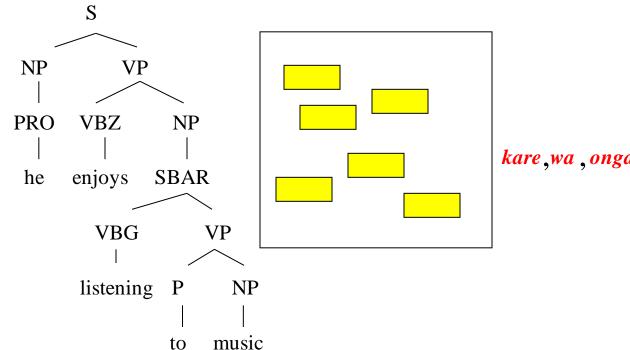
### Transformation:



(W. Rounds 1970; J. Thatcher 1970)

### Original input:

### Final output:

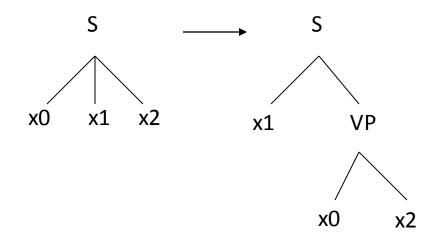


kare wa ongaku o kiku no ga daisuki desu

every rule has this form

one-level LHS

multilevel RHS



T – top-down

L – linear (non-copying)

N – non-deleting



one-level LHS

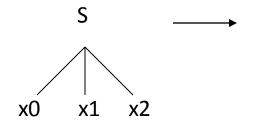
multilevel RHS

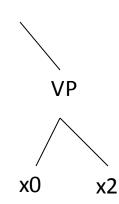
S

T – top-down

L – linear (non-copying)

N – non-deleting







can delete subtrees

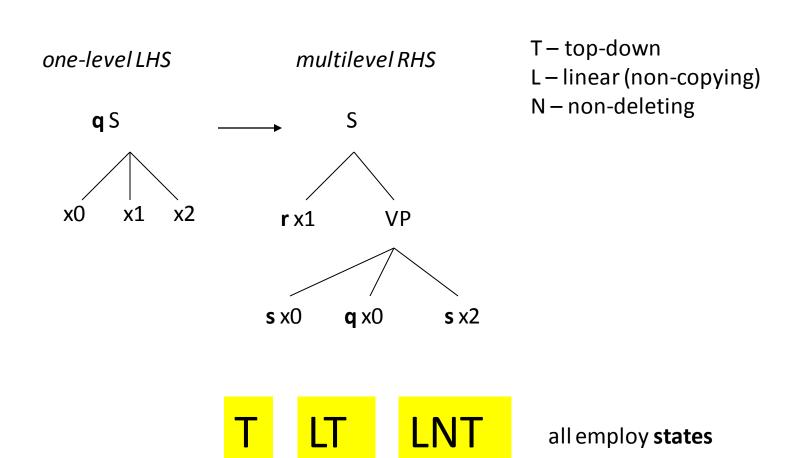
T – top-down

L – linear (non-copying)

N – non-deleting



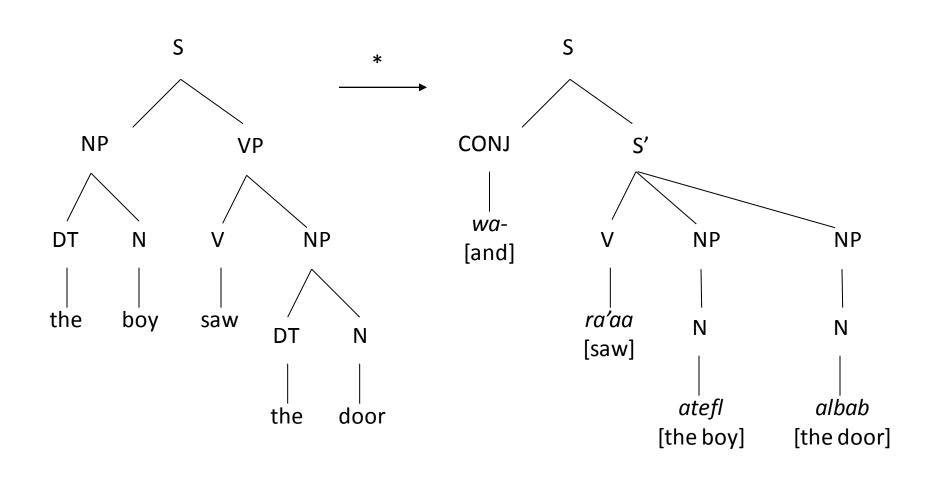
can copy & delete subtrees



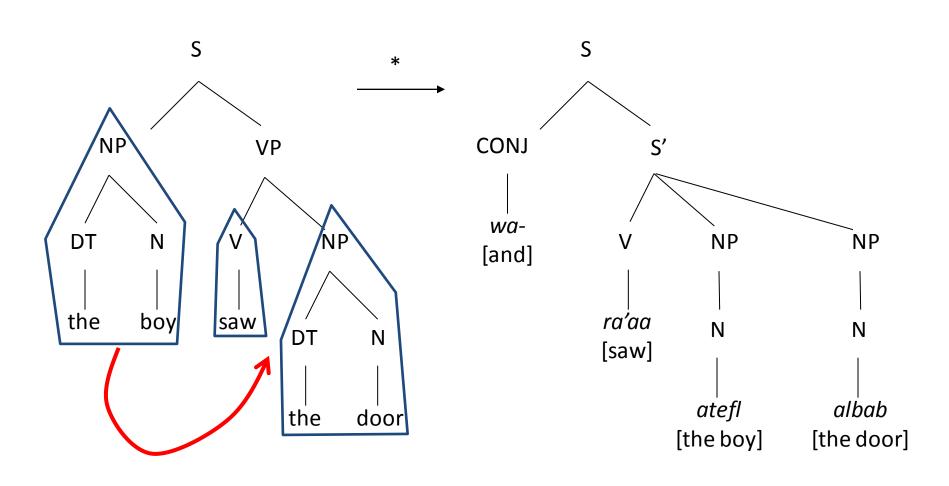
## Desirable Formal Properties

Expressiveness	Do local rotation, plus other stuff	
Modularity	Be closed under composition	
Inclusiveness	Capture any transformation that a string-based FST can	
Teachability	Given input/output pairs, find rule probabilities to maximize likelihood	

## **Local Rotation**



### **Local Rotation**



T – top-down

L – linear (non-copying)

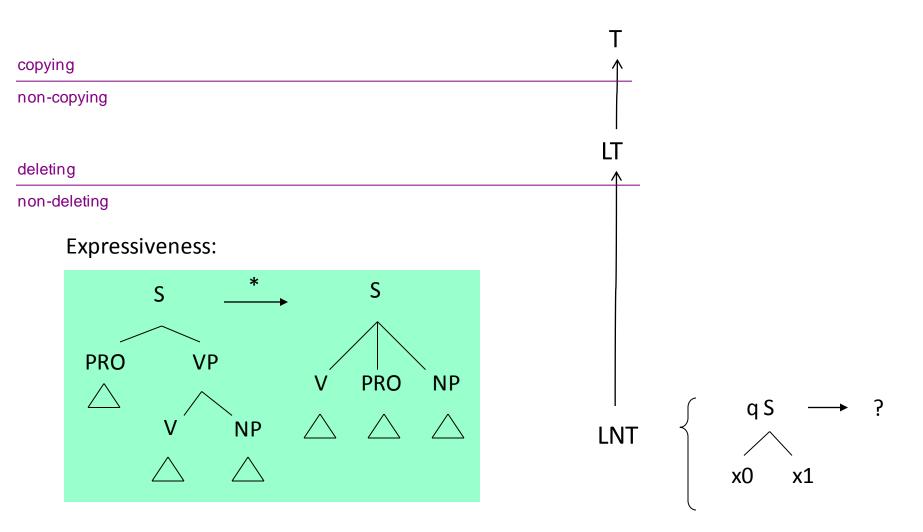
N – non-deleting

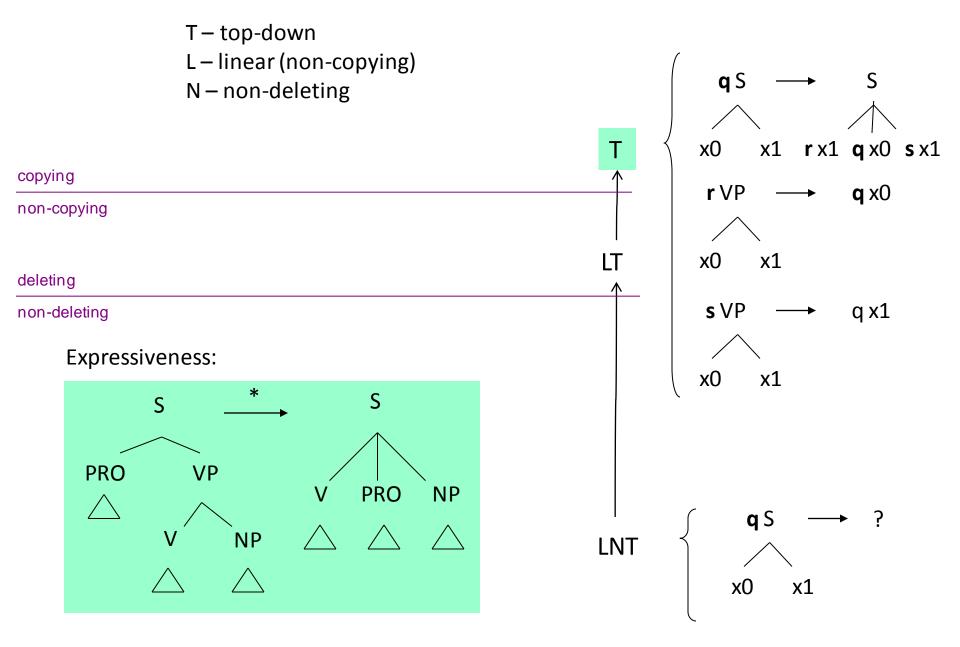


T – top-down

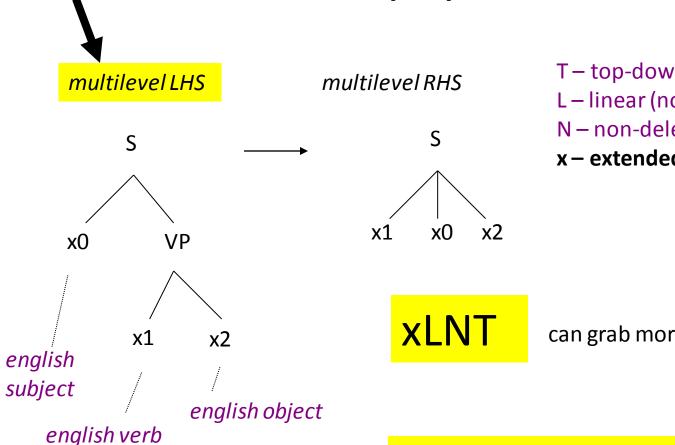
L – linear (non-copying)

N – non-deleting





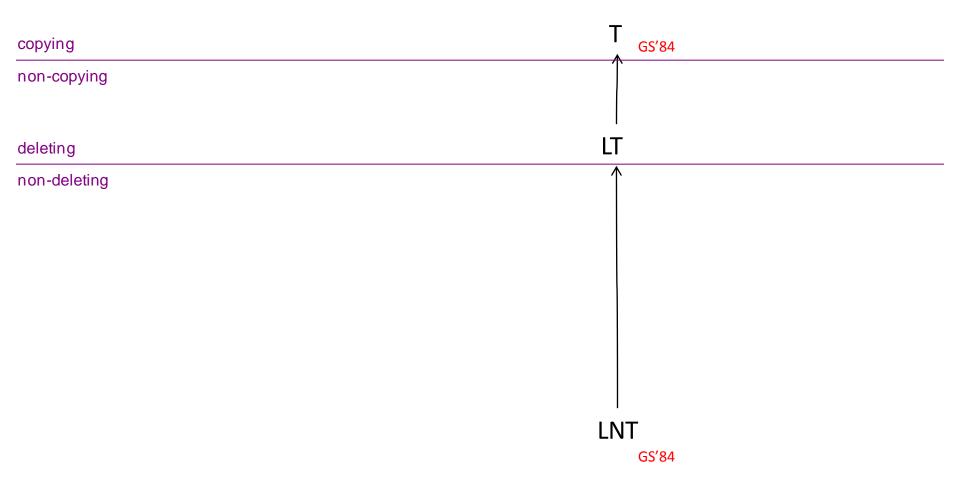
# Extended (x-) Transducers

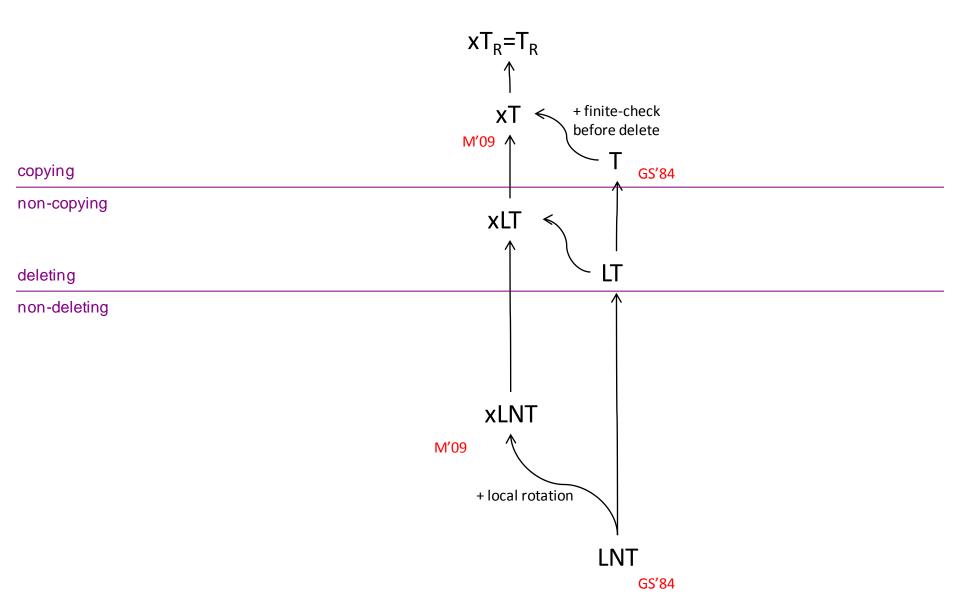


- T top-down
- L linear (non-copying)
- N non-deleting
- x extended LHS

can grab more structure

- possibility mentioned in [Rounds 70]
- variant defined in [Dauchet 76]
- used for practical MT by [Galley et al 04, 06]
- studied formally by [Maletti et al 09]

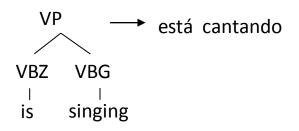




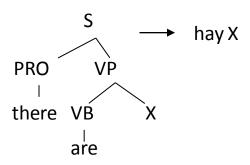
## Expressiveness

other necessary things for machine translation

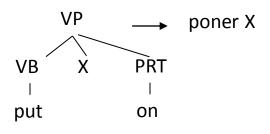
#### **Phrasal Translation**



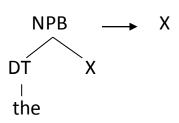
#### **Non-constituent Phrases**



#### **Non-contiguous Phrases**

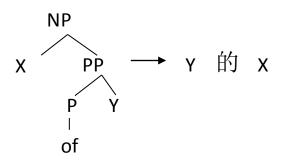


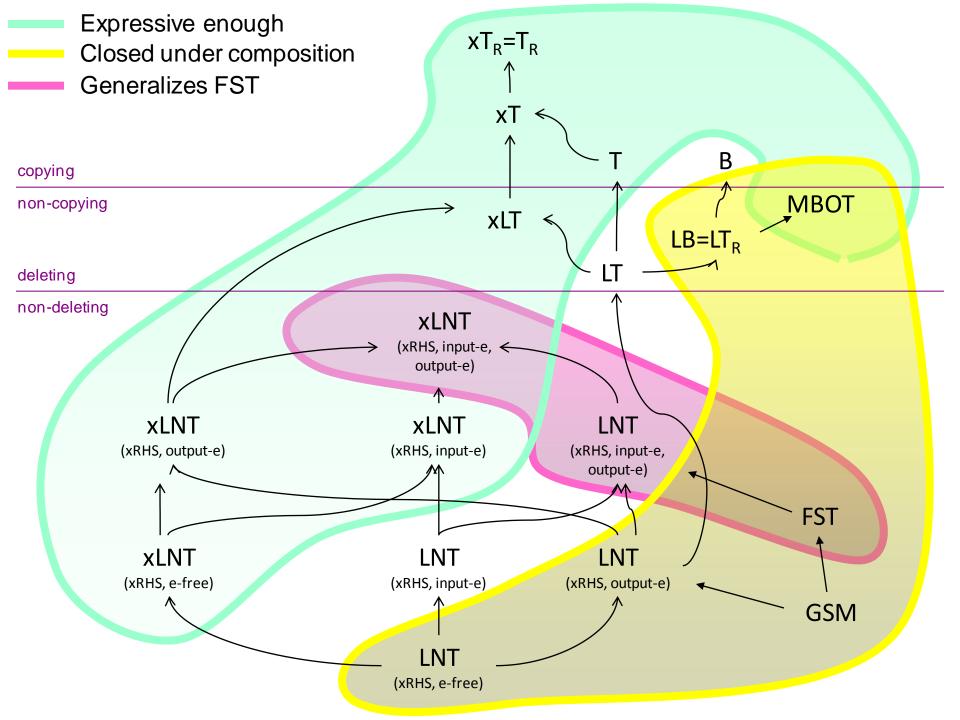
## Context-Sensitive Word Insertion/Deletion



#### **Re-Ordering**

#### **Lexicalized Re-Ordering**





## General-Purpose Algorithms

	String Automata Algorithms	Tree Automata Algorithms
N-best	paths through an WFSA (Viterbi, 1967; Eppstein, 1998)	trees in a weighted forest (Jiménez & Marzal, 2000; Huang & Chiang, 2005)
EM training	Forward-backward EM (Baum/Welch, 1971; Eisner 2003)	Tree transducer EM training (Graehl & Knight, 2004)
Determinization	of weighted string acceptors (Mohri, 1997)	of weighted tree acceptors (Borchardt & Vogler, 2003; May & Knight, 2005)
Intersection	WFSA intersection	Tree acceptor intersection
Applying transducers	string → WFST → WFSA	tree → TT → weighted tree acceptor
Transducer composition	WFST composition (Pereira & Riley, 1996)	Many tree transducers not closed under composition (Maletti et al 09)
General tools	FSM, Carmel, OpenFST	Tiburon (May & Knight 10)

### Model Should Fit Data

What does it mean for a translation model to fit the observed translation data?

- #1 Theory approach
- #2 Linguistics approach
- #3 Statistical approach
- #4 Heroic approach

# Fit to Data #2: Linguistics Approach

Goal: Destroy enemy theory by torpedo

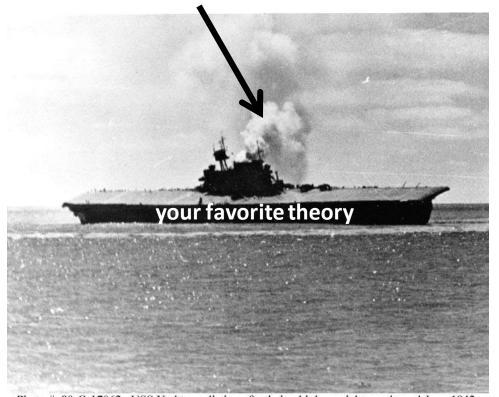


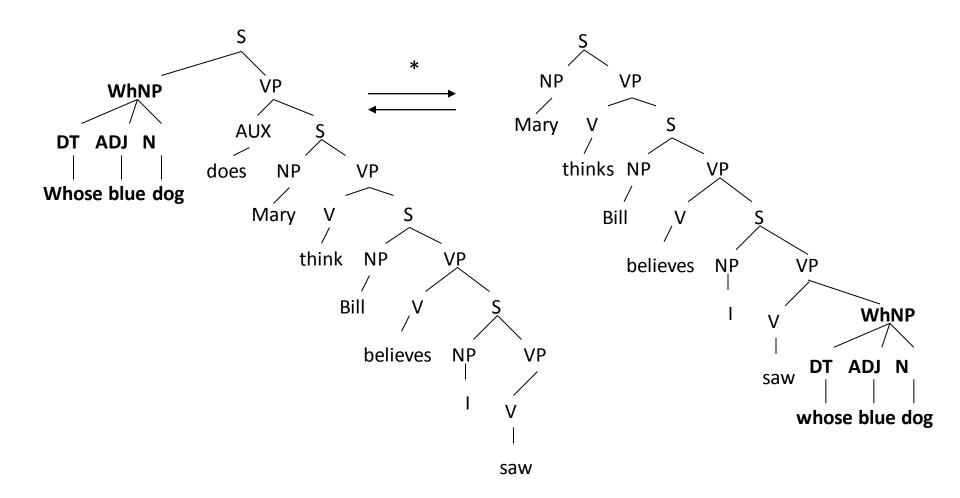
Photo # 80-G-17062 USS Yorktown listing after being hit by aerial torpedoes, 4 June 1942

Whose blue dog does Mary think Bill believes John said he saw?



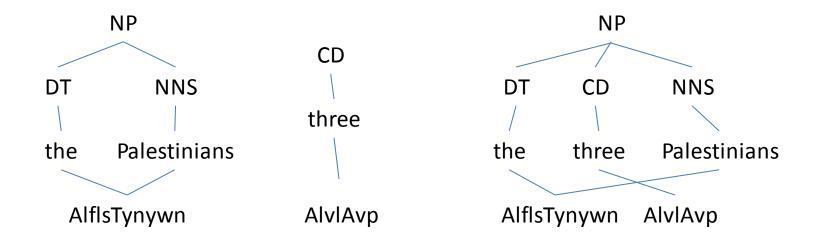
Mary thinks Bill believes
John said he saw
whose blue dog?
(Chinese)

# Fit to Data #2: Linguistics Approach



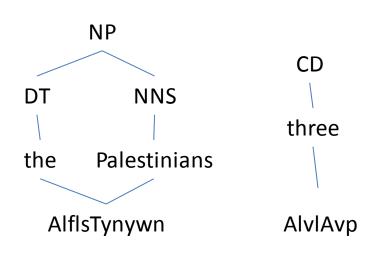
# Synchronous TAG for MT

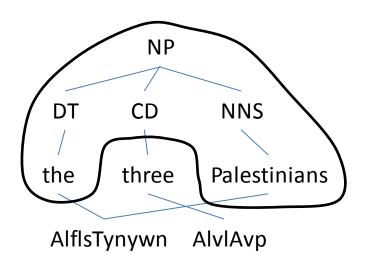
- [Abeille, Schabes, Joshi 90]
- [Nesson, Shieber, Rush 06]
- [Shieber 07]
- [DeNeefe 09, 10, 11]



# Synchronous TAG for MT

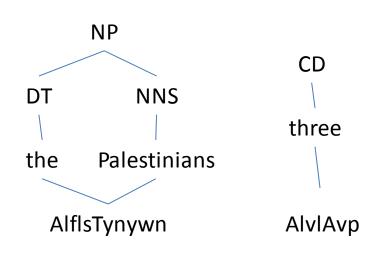
- [Abeille, Schabes, Joshi 90]
- [Nesson, Shieber, Rush 06]
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- [DeNeefe 09, 10, 11]

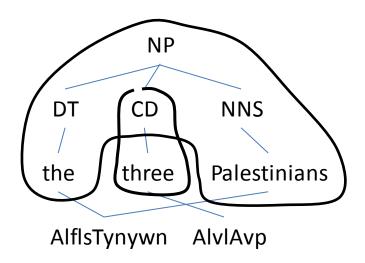




# Synchronous TAG for MT

- [Abeille, Schabes, Joshi 90]
- [Nesson, Shieber, Rush 06]
- [Shieber 07]
- [DeNeefe 09, 10, 11]





# Fit to Data #2: Linguistics Approach

If you can explain data with good generalizations & limited exceptions, then you can simplify & compress that data ...

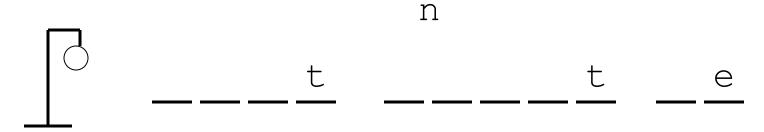
Um, doesn't data compression have something to do with ISO standards ... ?

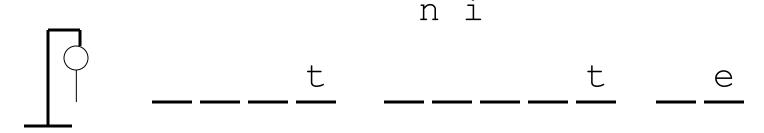
Or worse ...

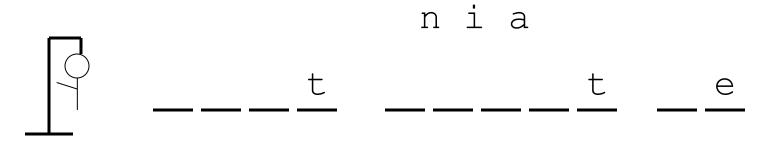
## Fit to Data #3: Statistical Approach

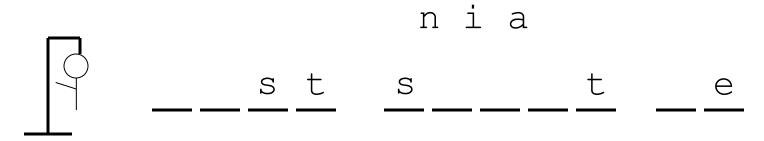
- Goal: Predict translation behavior
  - Model will always be wrong
  - Key: how to manage ignorance
  - Use past to predict future
  - Worry about the frequent stuff
  - If we could really predict
     human translator behavior well,
     we would be able to build
     good automatic translators
     ("do as a human would")

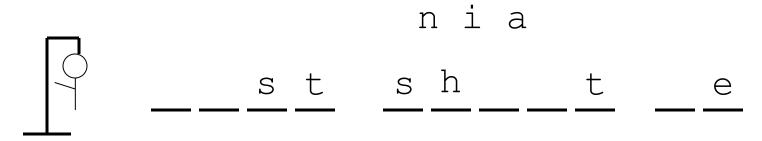


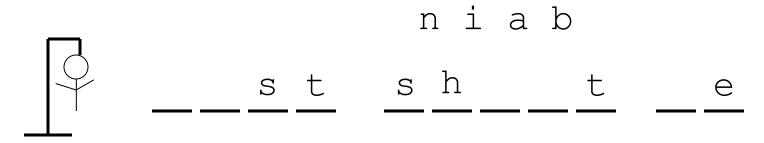


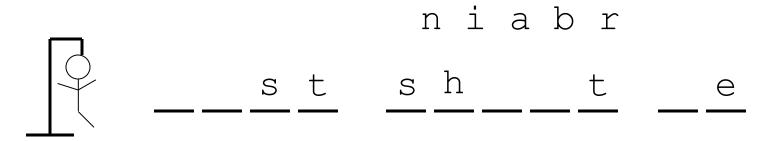




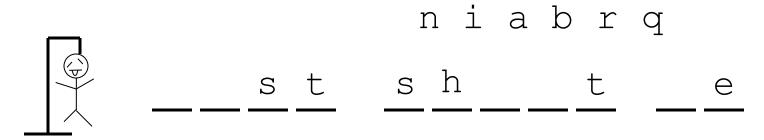




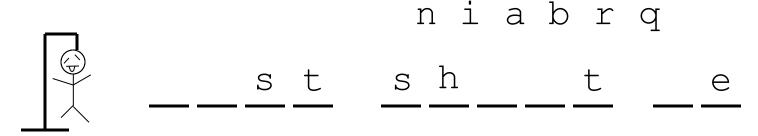




Hangman

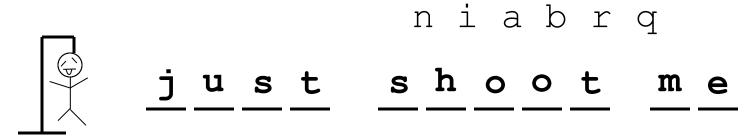


Hangman



- Shannon Game is similar to hangman, except:
  - it's not fun
  - you go left to right
  - a correct guess only lights up one letter
  - they never hang you
  - you wish they would hang you

Hangman



- Shannon Game is similar to hangman, except:
  - it's not fun
  - you go left to right
  - a correct guess only lights up one letter
  - they never hang you
  - you wish they would hang you

Estimates how well we can predict language.

• Guess sequence:

```
1 4 16 1 1 1 74 ...
```

•  $P_{human}(guess) \sim P(1) \cdot P(4) \cdot P(16) \cdot P(1) \cdot P(1) \cdot P(1) \cdot P(174) \dots$ 

H(guess) = - log<sub>2</sub>P(guess) / N

Shannon's wife: 0.8-1.6

English

model		H(guess)	
human		1.9	
char	1-gram	4.4	
	2-gram	3.7	
	3-gram	3.1	
word	1-gram	2.8	
	3-gram	1.8	

?

Spain qualified for the World Cup Final, and will p\_

## Shannon Hangman for Translation

model		H(guess)	H(guess   f)	
human		1.9	1.2	
char	1-gram	4.4		
	2-gram	3.7		
	3-gram	3.1		
word	1-gram	2.8	2.2	
	3-gram	1.8		

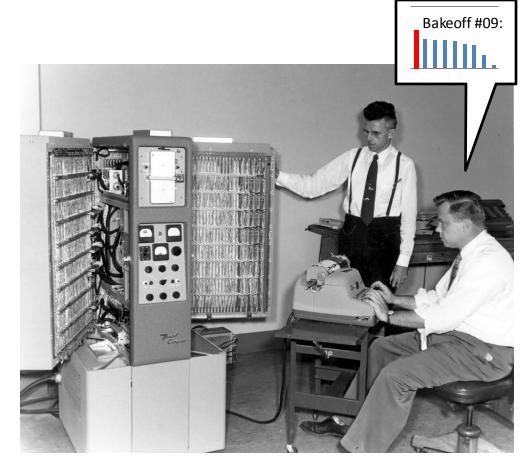
l'Espagne se qualifie pour la finale de la Coupe du monde, et affrontera dimanche les Pays-Bas.

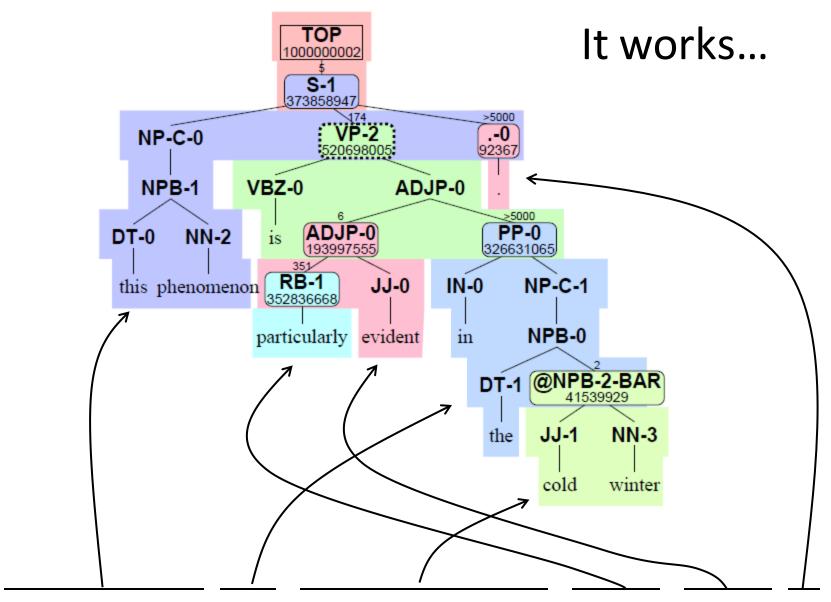
Spain qualified for the World Cup Final, and will p\_

?

### Fit to Data #4: Engineering Approach

- Goal: Improve machine translation quality
  - Pick idea
  - Write program!
  - Get bugs out
  - Get more bugs out!
  - Evaluate!!
  - Add a feature
  - Clean the data
  - Evaluate
  - Iterate!

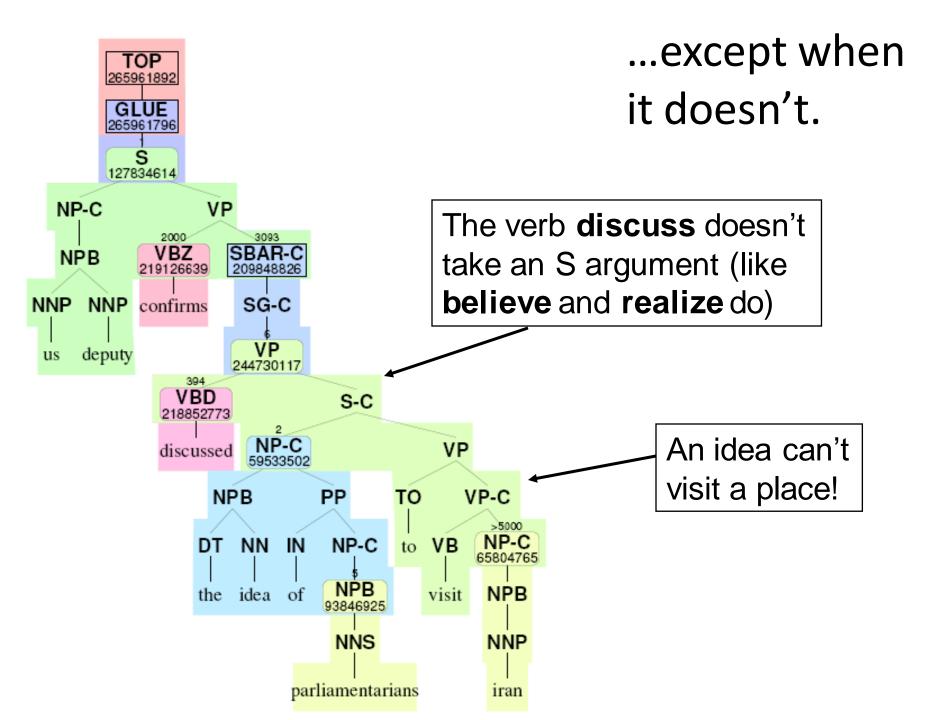




这种 现象 在 寒冷 的 冬季 尤其 明显.

...except when it doesn't. TOP 1000000002 242 **S-1** 364918886 >5000 .-0 NP-C-0 VP-2 ADVP-0 .-0 92367 1156 1784 VP-2 RB-0 NPB-1 @VP-2-BAR 516873891 352734936 311918491 180346570 55 ,**-0** 50313 VP-2 CC-0 VBP-0 NP-C-1 NNS-0 here 516076597 NPB-0 VBP-0 VP-C-0 dogs have and 302536373 NP-C-1 VBG-0 NNS-0 NN-1 476164278 254805064 207080458 205597294 NPB-0 wearing traction masters DT-1 NN-2 the mouth

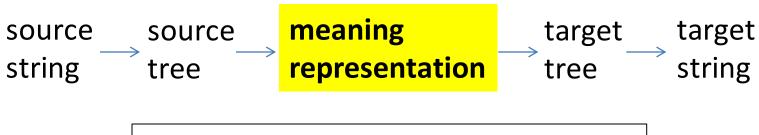
在这里,狗都配戴嘴套,并有主人牵引.



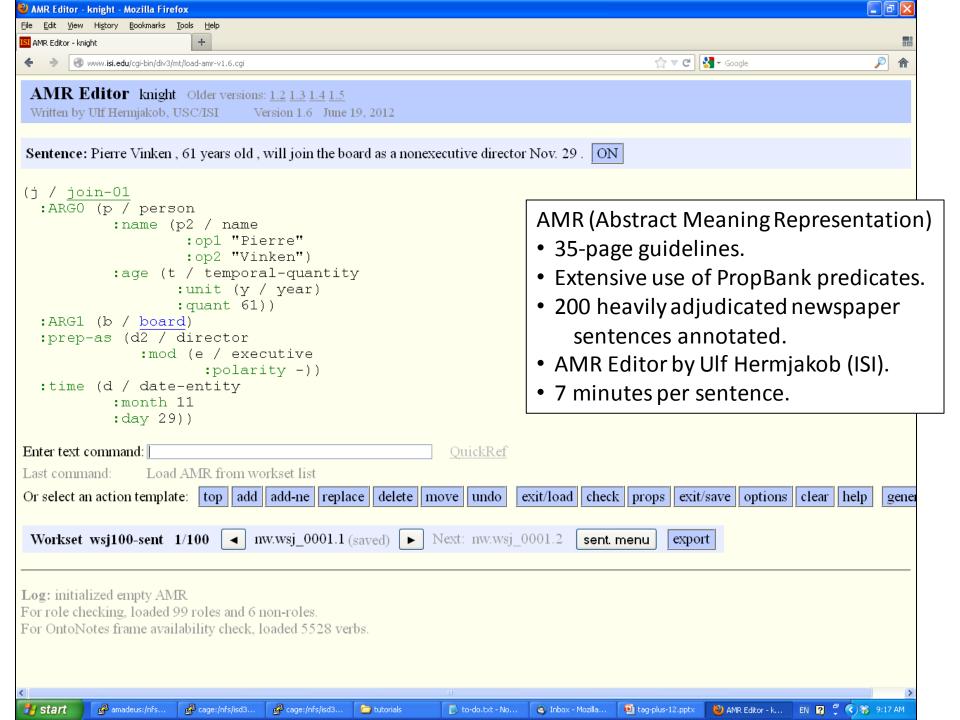
#### **Machine Translation**

#### Syntax-based MT

#### **Towards Meaning-based MT**

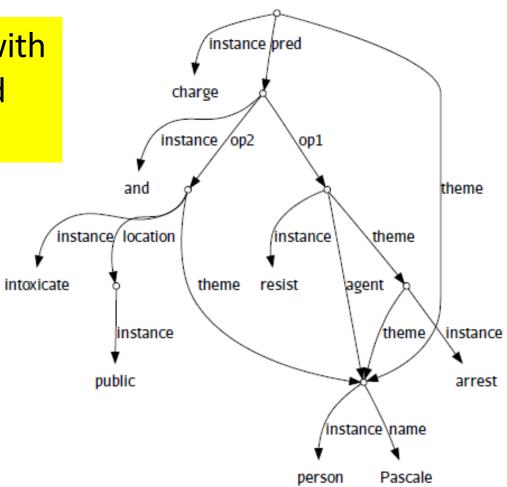


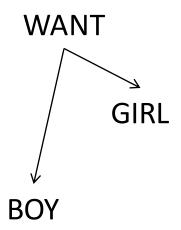
driven by ongoing large-scale semantic annotation

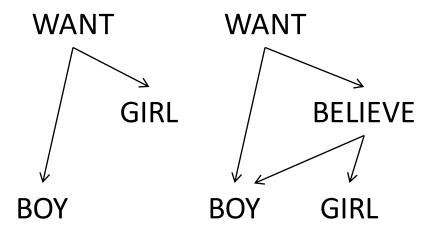


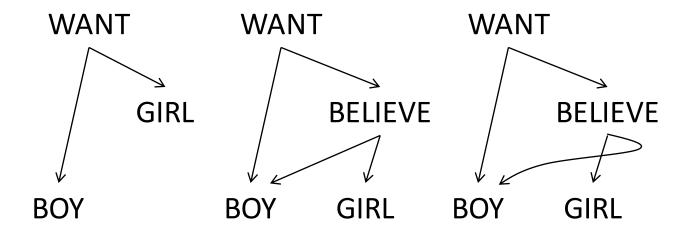
## Re-entrancy is Common

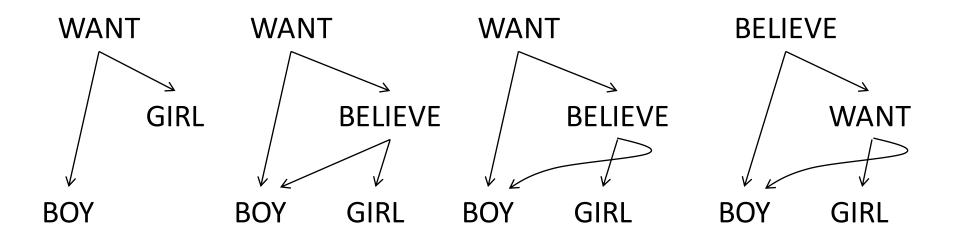
Pascale was charged with public intoxication and resisting arrest.

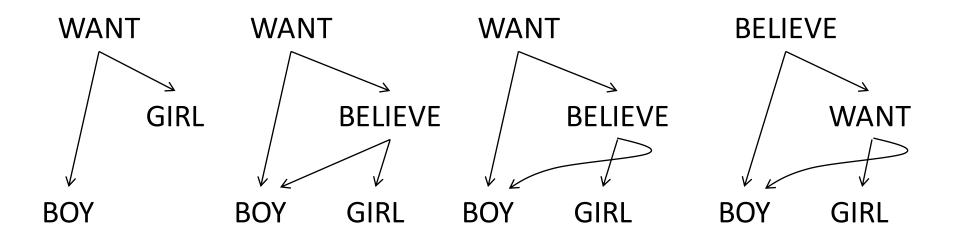






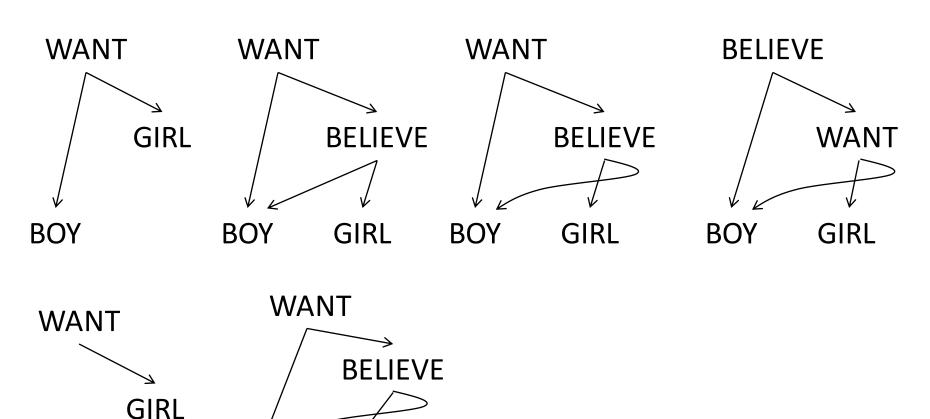




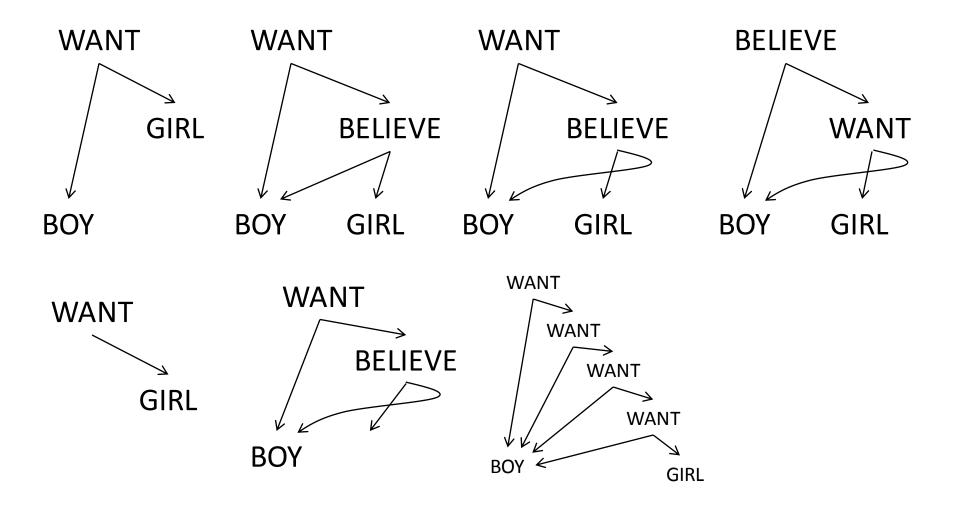


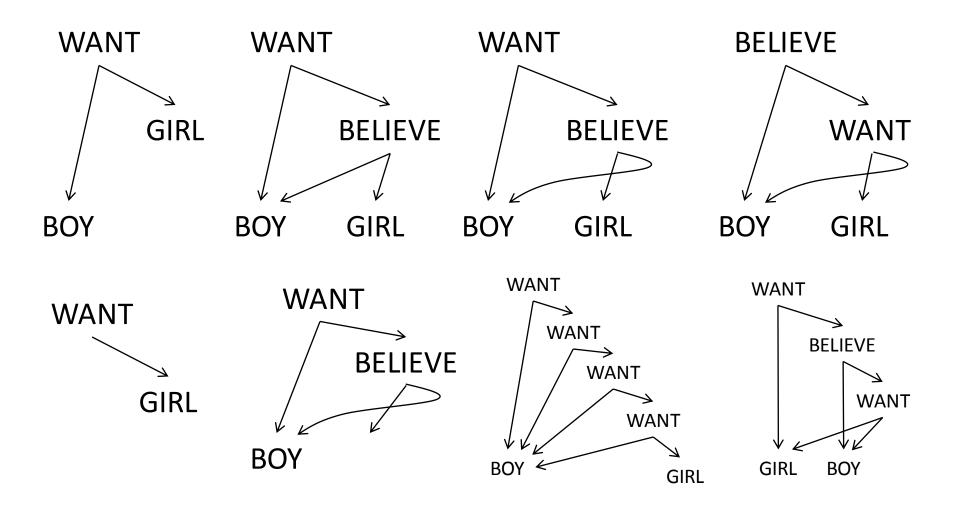


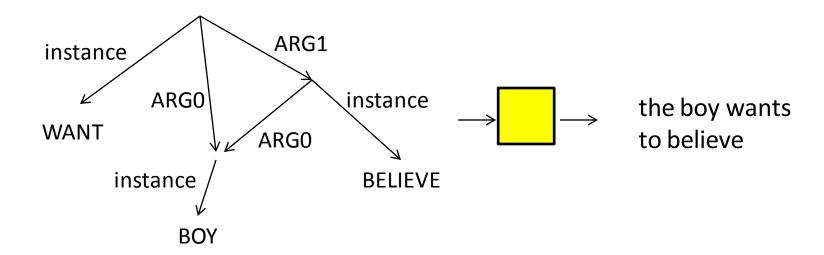
Node Labels: {WANT, BELIEVE, BOY, GIRL}

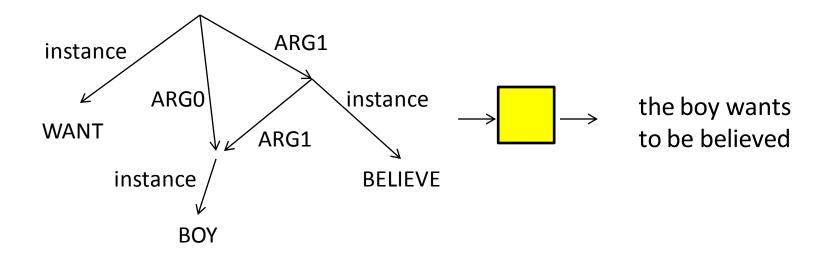


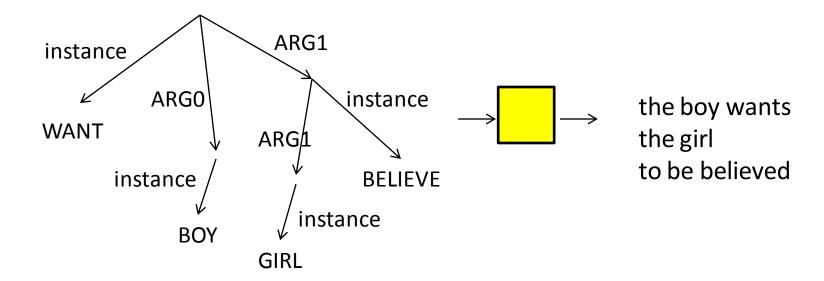
**BOY** 

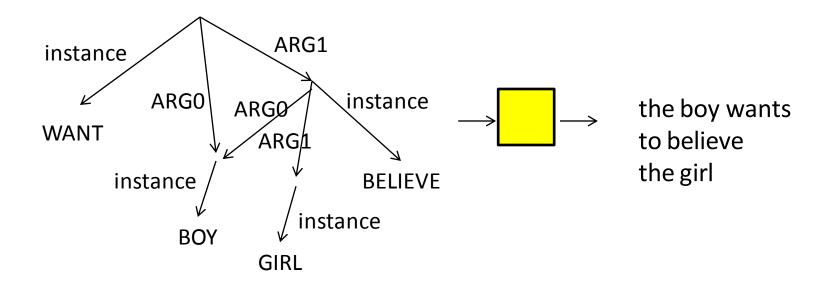


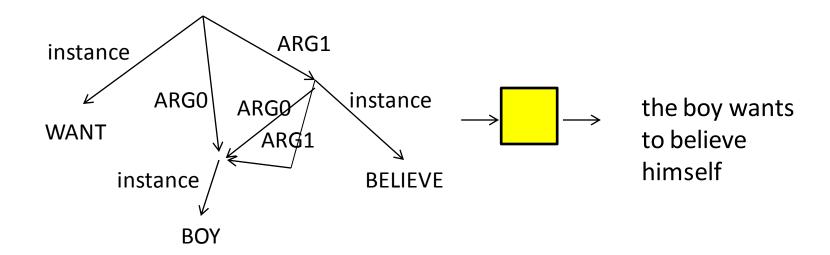












## General-Purpose Algorithms

	String Automata Algorithms	Tree Automata Algorithms	Graph Automata Algorithms	
N-best	paths through an WFSA (Viterbi, 1967; Eppstein, 1998)	trees in a weighted forest (Jiménez & Marzal, 2000; Huang & Chiang, 2005)		
EM training	Forward-backward EM (Baum/Welch, 1971; Eisner 2003)	Tree transducer EM training (Graehl & Knight, 2004)	Graph Language	
Determinization	of weighted string acceptors (Mohri, 1997)	of weighted tree acceptors (Borchardt & Vogler, 2003; May & Knight, 2005)	Acceptors  Graph Transducers	
Intersection	WFSA intersection	Tree acceptor intersection	Efficient operations	
Applying transducers	string → WFST → WFSA	tree → TT → weighted tree acceptor		
Transducer composition	WFST composition (Pereira & Riley, 1996)	Many tree transducers not closed under composition (Maletti et al 09)		
General tools	FSM, Carmel, OpenFST	Tiburon (May & Knight 10)		

#### **Automata Frameworks**

- Unification grammar: string-to-graph (Shieber 86, Moore 89)
- Hyperedge-replacement graph grammars (Drewes et al 97)
- DAG acceptors (Hart 75)

DAG-to-tree transducers (Kamimura & Slutski 82)

## DAG Acceptor (Hart 75)

```
q

q \rightarrow WANT(r q)

q \rightarrow BELIEVE(r q)

q \rightarrow r | 0

r \rightarrow BOY | GIRL | 0

[r r] \rightarrow r
```

q

```
q

q \rightarrow WANT(r q)

q \rightarrow BELIEVE(r q)

q \rightarrow r | 0

r \rightarrow BOY | GIRL | 0

[rr] \rightarrow r
```



```
q

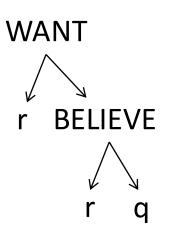
q \rightarrow WANT(r q)

q \rightarrow BELIEVE(r q)

q \rightarrow r | 0

r \rightarrow BOY | GIRL | 0

[r r] \rightarrow r
```



```
q

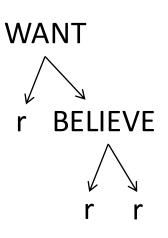
q \rightarrow WANT(r q)

q \rightarrow BELIEVE(r q)

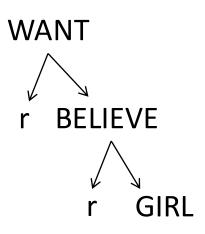
q \rightarrow r | 0

r \rightarrow BOY | GIRL | 0

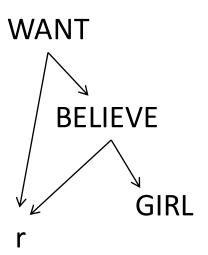
[rr] \rightarrow r
```



```
q
q \rightarrow WANT(r q)
q \rightarrow BELIEVE(r q)
q \rightarrow r | 0
r \rightarrow BOY | GIRL | 0
[r r] \rightarrow r
```



```
q
q \rightarrow WANT(r q)
q \rightarrow BELIEVE(r q)
q \rightarrow r | 0
r \rightarrow BOY | GIRL | 0
[r r] \rightarrow r
```



```
q

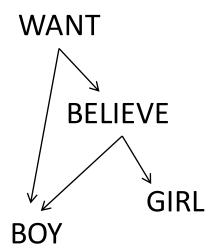
q \rightarrow WANT(r q)

q \rightarrow BELIEVE(r q)

q \rightarrow r | 0

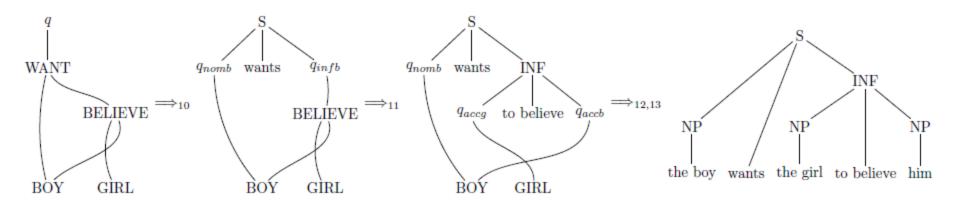
r \rightarrow BOY | GIRL | 0

[rr] \rightarrow r
```



### **DAG-to-Tree Transducer**

(Kamimura & Slutzki 82, Quernheim & Knight 12)

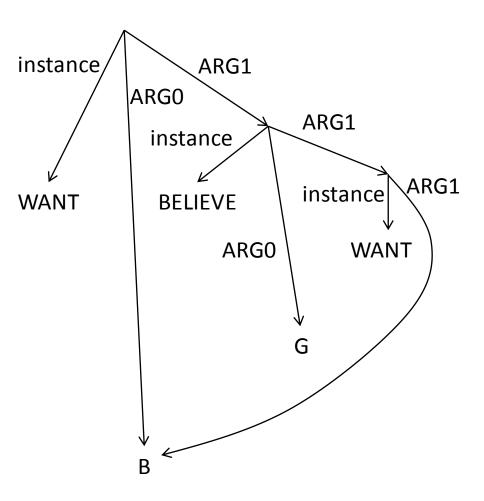


## Hyperedge Replacement Grammars

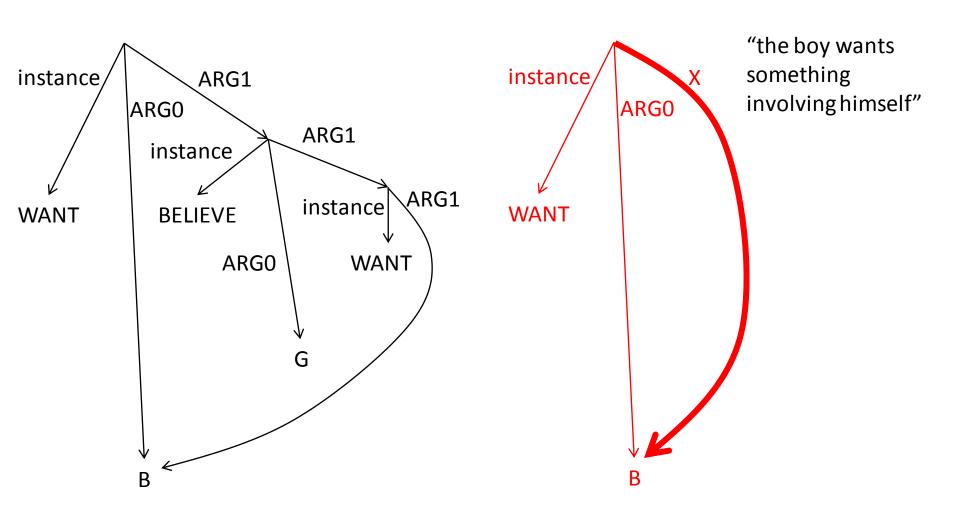
context-free derivation forests

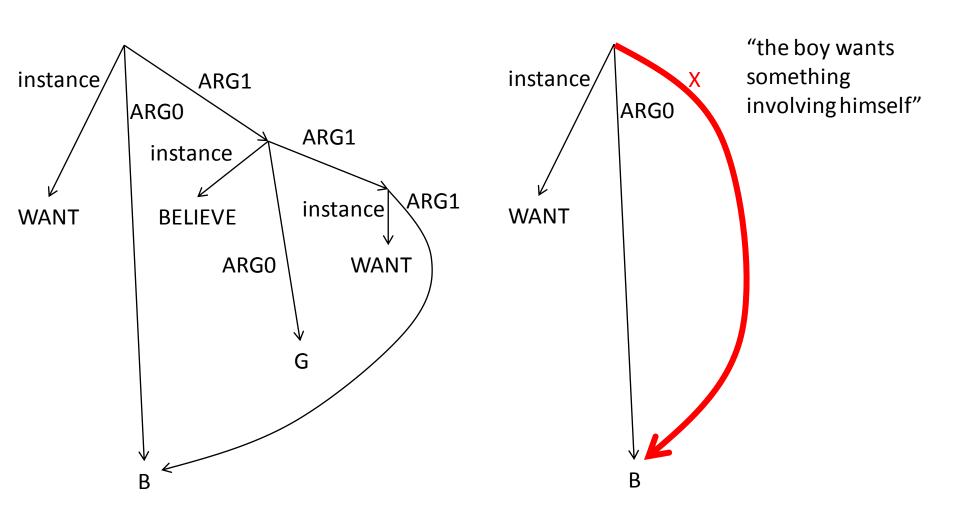
 locality (multiple references generated in a single step, then "pushed apart")

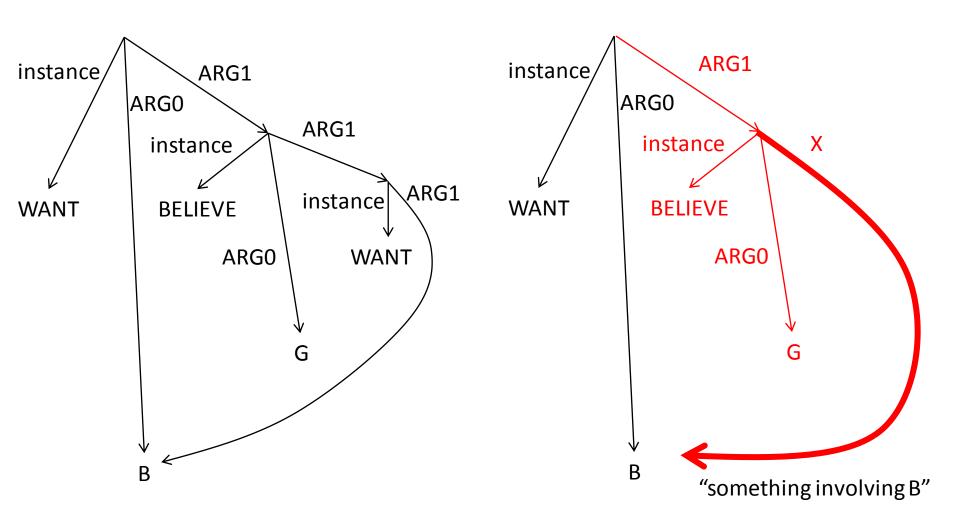
(should sound familiar ©)

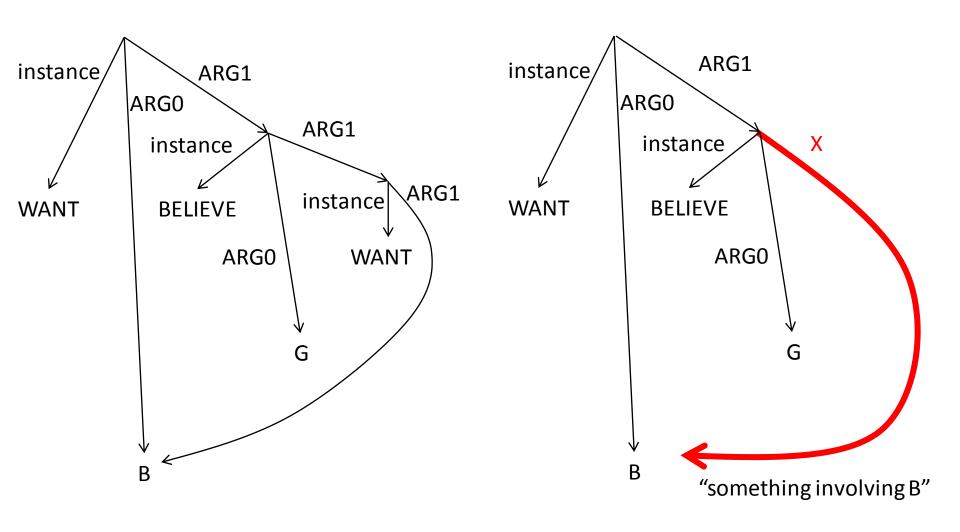


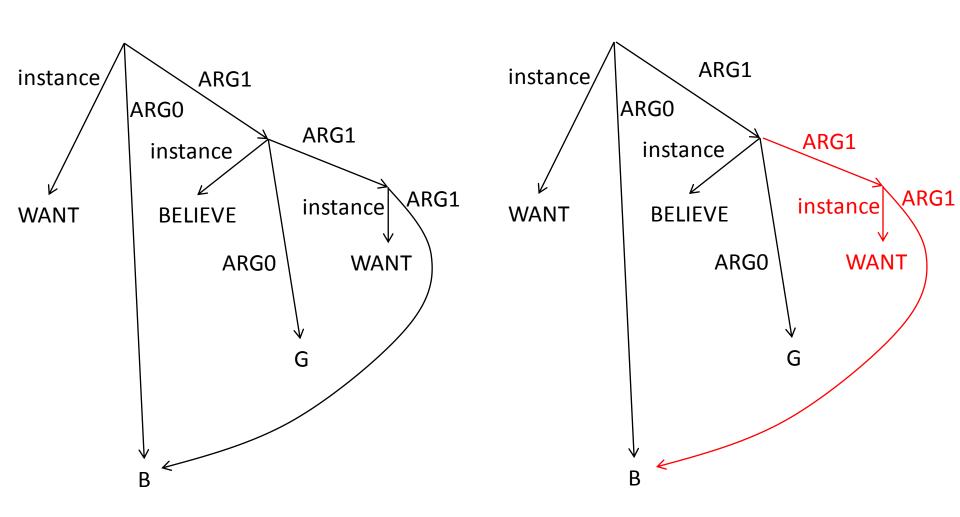
= boy wants girl to believe that he is wanted

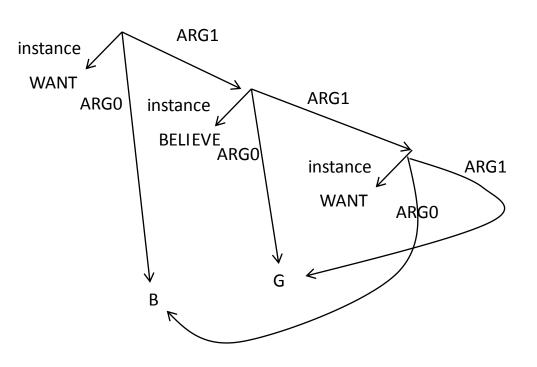


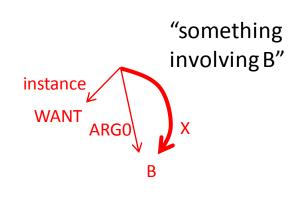




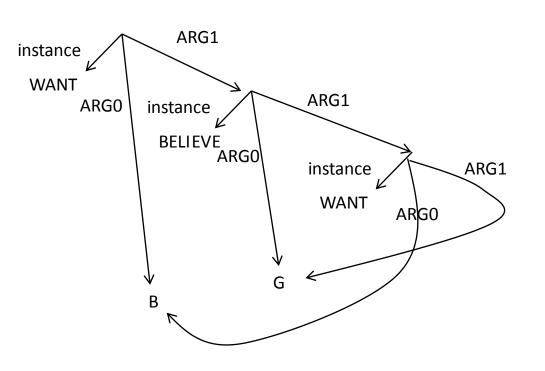


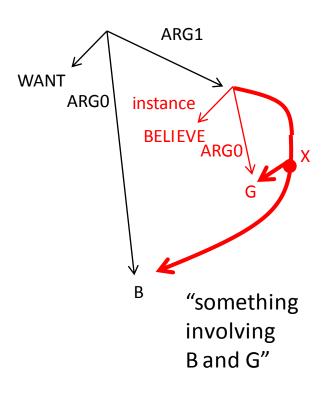


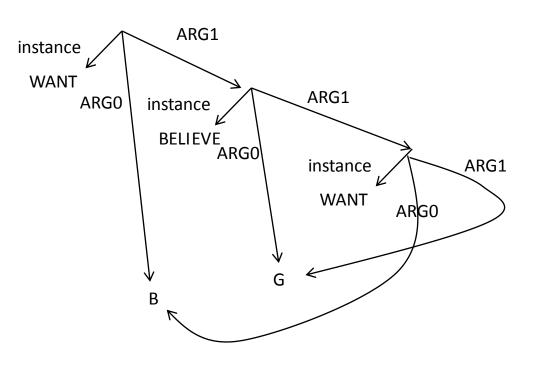


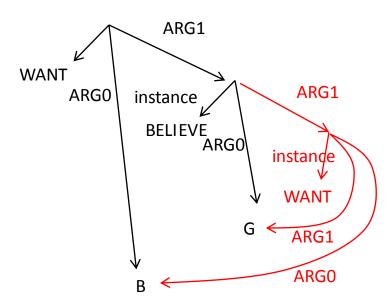


(= boy wants girl to believe that he wants her)



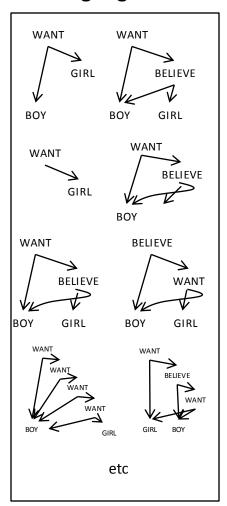






## **Graph Acceptors**

## Infinite graph language



#### **DAG** acceptor

#### q q $\rightarrow$ WANT(r q) q $\rightarrow$ BELIEVE(r q) q $\rightarrow$ r | 0 r $\rightarrow$ BOY | GIRL | 0 [r r] $\rightarrow$ r

#### **HRG** acceptor

```
P | | | (n :instance want)
P | | | (n :instance believe)
E | | | (n :instance boy)
E | | | (n :instance girl)
S | | | (n :P[1])
S | | | (n :P[1] :arg1 (n :E[2]))
S | | | (n :P[1] :arg1 (n :S[2]))
S | | | (n :P[1] :arg0 (n :E[2]))
S | | | (n :P[1] :arg0 (n :E[2]) :arg1 (n :E[3]))
S | | | (n :P[1] :arg0 (n :E[2]) :arg1 (n :S[3]))
S | | | (n :P[1] :arg0 #0=(n :E[2]) :arg1 #0)
S | | | (n :P[1] :arg0 #0=(n :E[2]) :arg1 (n :S1[3] #0))
S1 ||| (n :P[1] :arg1 n*1)
S1 | | | (n :P[1] :arg1 (n :S1[2] n*1))
S1 | | | (n : P[1] : arg0 (n : E[2]) : arg1 n*1)
S1 | | | (n :P[1] :arg0 (n :E[2]) :arg1 (n :S1[3] n*1))
S1 ||| (n :P[1] :arg0 #0=(n :E[2]) :arg1 (n :S2[3] n*1 #0))
S1 ||| (n :P[1] :arg0 n*1)
S1 | | | (n : P[1] : arg0 n*1 : arg1 (n : E[2]))
S1 | | | (n : P[1] : arg0 n*1 : arg1 (n : S[2]))
S1 ||| (n :P[1] :arg0#0=n*1 :arg1 (n :S1[2] #0))
S1 ||| (n :P[1] :arg0#0=n*1 :arg1#0)
S2 | | | (n :P[1] :arg0 (n :E[2]) :arg1 (n :S2[3] n*1 n*2))
S2 | | | (n : P[1] : arg1 (n : S2[3] n*1 n*2))
S2 | | | (n : P[1] : arg0 n*1 : arg1 n*2)
S2 | | | (n : P[1] : arg0 n*2 : arg1 n*1)
S2 | | | (n :P[1] :arg0 #0=n*1 :arg1 (n :S2[2] #0 n*2))
S2 | | | (n :P[1] :arg0 #0=n*1 :arg1 (n :S1[2] n*2))
S2 | | | (n :P[1] :arg0 #0=n*2 :arg1 (n :S2[2] n*1 #0))
S2 | | | (n :P[1] :arg0 #0=n*2 :arg1 (n :S1[2] n*1))
```

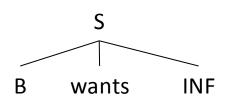
locality

no fixed arity

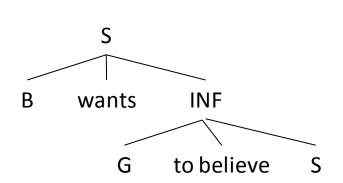
## Synchronous HRG Derivation

syntax tree semantic graph

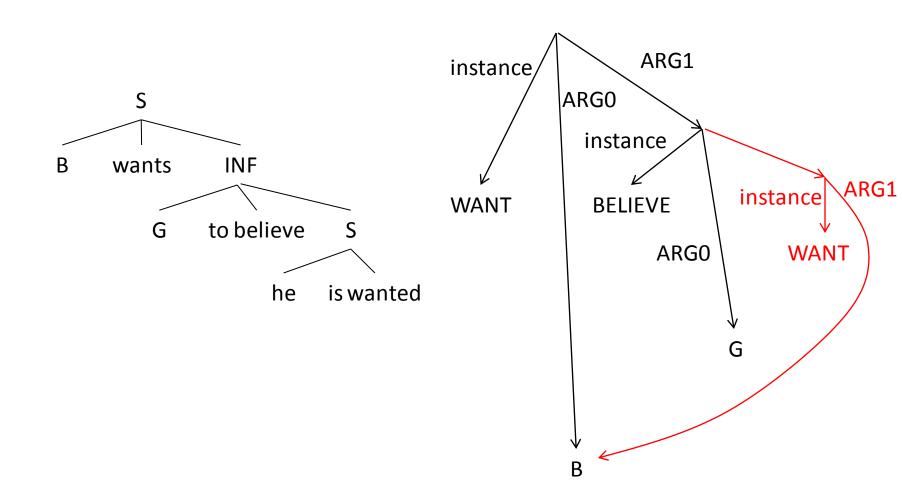
can be used to map in either direction











## General-Purpose Algorithms

	String Automata Algorithms	Tree Automata Algorithms	Graph Automata Algorithms
N-best	paths through an WFSA (Viterbi, 1967; Eppstein, 1998)	trees in a weighted forest (Jiménez & Marzal, 2000; Huang & Chiang, 2005)	Graph Language Acceptors  Graph Transducers  Efficient operations
EM training	Forward-backward EM (Baum/Welch, 1971; Eisner 2003)	Tree transducer EM training (Graehl & Knight, 2004)	
Determinization	of weighted string acceptors (Mohri, 1997)	of weighted tree acceptors (Borchardt & Vogler, 2003; May & Knight, 2005)	
Intersection	WFSA intersection	Tree acceptor intersection	
Applying transducers	string → WFST → WFSA	tree → TT → weighted tree acceptor	
Transducer composition	WFST composition (Pereira & Riley, 1996)	Many tree transducers not closed under composition (Maletti et al 09)	
General tools	FSM, Carmel, OpenFST	Tiburon (May & Knight 10)	

## Very Interesting Area

- as more graph/string data becomes available...
- want to fit models to that data...
- algorithmic efficiency is important...

 but, very important to see if the models really capture what is happening in translation data!

# thanks

## Final Thought





**Automata Framework** 

## Final Thought

Used in Machine Translation

2020

2010

2000

1990

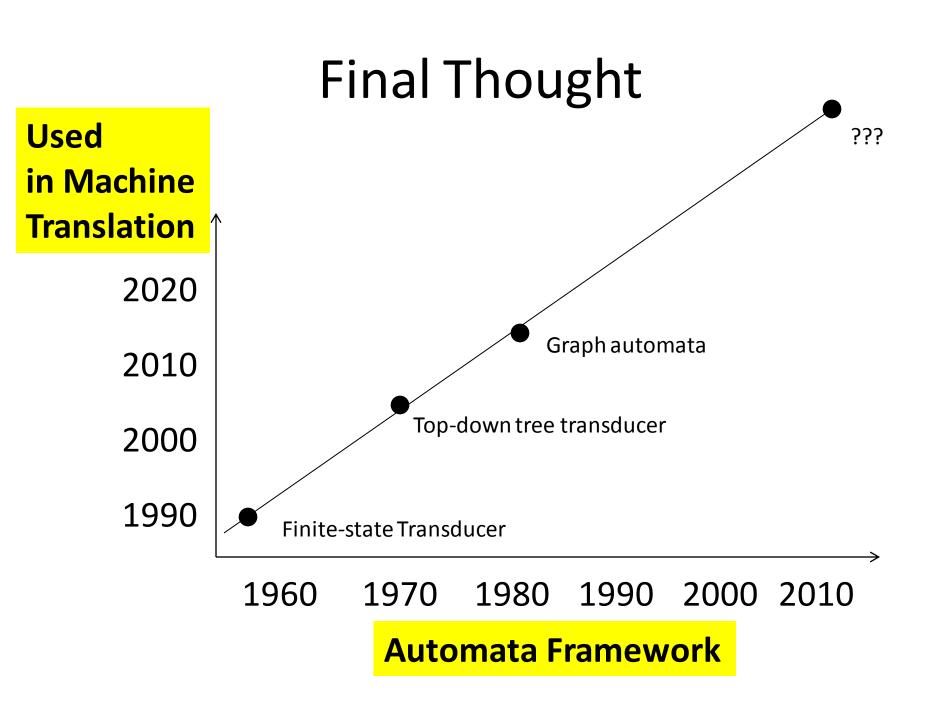
• Graph automata

Top-down tree transducer

Finite-state Transducer

1960 1970 1980 1990 2000 2010

**Automata Framework** 



#### 2005

In Proc. of the Sixth International Conference on Intelligent Text Processing and Computational Linguistics (CICLing), Lecture Notes in Computer Science, © Springer Verlag, 2005.

#### An Overview of Probabilistic Tree Transducers for Natural Language Processing

Kevin Knight and Jonathan Graehl

Information Sciences Institute (ISI) and Computer Science Department
University of Southern California
knight@isi.edu, graehl@isi.edu

Abstract. Probabilistic finite-state string transducers (FSTs) are extremely popular in natural language processing, due to powerful generic methods for applying, composing, and learning them. Unfortunately, FSTs are not a good fit for much of the current work on probabilistic modeling for machine translation, summarization, paraphrasing, and language modeling. These methods operate directly on trees, rather than strings. We show that tree acceptors and tree transducers subsume most of this work, and we discuss algorithms for realizing the same benefits found in probabilistic string transduction.

#### 1 Strings

Many natural language problems have been successfully attacked with fi nite-state machines. It has been possible to break down very complex problems, both conceptually and literally, into cascades of simpler probabilistic finite-state transducers (FSTs). These transducers are bidirectional, and they can be trained on sample input/output string data. By adding a probabilistic finite-state acceptor (FSAs) language model to one end of the cascade, we can implement probabilistic noisy-channel models. Figure 1 shows a cascade of FSAs and FSTs for the problem of transliterating names and technical terms across languages with different sounds and writing systems [1].

The fi nite-state framework is popular because it offers powerful, generic operations for statistical reasoning and learning. There are standard algorithms for:

- intersection of FSAs
- forward application of strings and FSAs through FSTs
- backward application of strings and FSAs through FSTs
- composition of FSTs
- k-best path extraction
- supervised and unsupervised training of FST transition probabilities from data

#### Goals:

- introduce tree automata to NLP practitioners
- make connections between MT and theory
- list some open issues

## Open Issues from [Knight & Graehl 05]

1. What is the most efficient algorithm for selecting the k-best trees from a probabilistic regular tree grammar (RTG)?

[Jiménez and Marzal 00; Huang & Chiang 05; Pauls & Klein 09]. **Still no separation of k and n**, as in [Eppstein 94] for FSA.

8. What is the linguistically most appropriate tree transducer class for machine translation? For summarization? Which classes best handle the most common linguistic constructions, and which classes best handle the most difficult ones?

Unclear.

10. What are the theoretical and computational properties of extended left-hand-side transducers (x)? E.g., is xRLN closed under composition?

[Maletti, Graehl, Hopkins & Knight 09]

11. Where do synchronous grammars [50,17] and tree cloning [15] fit into the tree transducer hierarchy?

[Shieber 06]

13. Are there tree transducers that can move unbounded material over unbounded distances, while maintaining efficient computational properties?

Unclear.

16. Can we build useful, generic tree-transducer toolkits, and what sorts of programming interfaces will be most effective?

[May & Knight 06; May 10]

14. In analogy with extended context-free grammars [35], are there types of tree transducers that can process tree sets which are not limited to a finite set of rewrites (e.g., S → NP VP PP\*)?

"Horizontal" processing of input trees

12. As many syntactic and semantic theories generate acyclic graphs rather than trees, can graph transducers adequately capture the desired transformations?

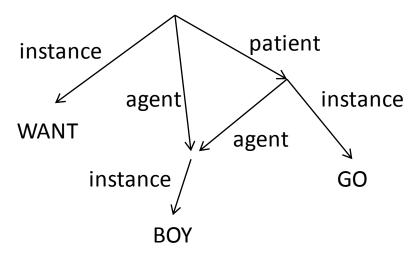
Hmm...

### Semantic Structure

"The boy wants to go."

#### **DIRECTED ACYCLIC GRAPH**

Rooted, edge-labeled, leaf-labeled graphs



#### **FEATURE STRUCTURE**

instance: WANT agent: 1 instance: BOY

patient:

instance: GO

agent: 1

#### **LOGICAL FORM**

#### **PENMAN**