- 1 **Title:** Comparing Methods for the Syntactic Simplification of Sentences in Information
- 2 Extraction
- 3 **Name of Author:** Richard Evans
- 4 Address where the work was done:
- 5 Research Institute in Information and Language Processing,
- 6 University of Wolverhampton,
- 7 Wulfruna Street,
- 8 Wolverhampton,
- 9 West Midlands,
- 10 WV1 1NA.
- 11 United Kingdom.
- 12 **Email:** r.j.evans@wlv.ac.uk

#### Abstract

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

This article describes research aimed at improving the accuracy of an information extraction system by treating coordinate structures systematically. Commas, coordinating conjunctions, and adjacent comma-conjunction pairs are considered to be potential indicators of coordination in natural language. A recursive algorithm is implemented which converts sentences containing classified potential coordinators into sequences of simple sentences. Several approaches to the classification of potential coordinators are presented, one exploiting memory based learning, another exploiting the publicly available Stanford parser, and a hybrid approach which classifies commas and conjunctions using the former system and comma-conjunction pairs using the latter. The article describes the initial set of features developed for exploitation by the memory based classifier and presents optimization of that classifier. A baseline system is also described. The sentence simplification module was exploited by an information extraction system. With regard to the automatic classifiers that form the basis for simplification, comparative evaluation demonstrated that information extraction can be performed with greatest accuracy when exploiting the hybrid classifier. It also demonstrated that a simple baseline classifier induces improved accuracy when compared to systems that ignore the presence of coordinate structures in input sentences. The article presents an analysis of the errors made by the different sentence simplification modules and the information

extraction system that exploits them. Directions for future research are suggested.

33 Comparing Methods for the Syntactic Simplification of Sentences in Information

34 Extraction

35

36

## 1. Introduction

This article presents a method to improve the accuracy of a clinical information extraction system (IE) by pre-processing syntactically complex input sentences. The research described investigates the automatic simplification of syntactic complexity in natural language. It focuses on the relations of *subordination* and *coordination* which involve the linking of syntactic units of the same rank in a sentence. In subordination, the linked units form a hierarchy with the subordinate unit being a constituent of the superordinate unit (1).

44

45 (1) [[For the past 3 days][,] he has had fever, malaise, and headache].

46

- 47 In coordination, the linked units are constituents at the same level of constituent structure
- 48 (2). It is `a type of linkage whereby the resulting conjoint construction is equivalent,
- 49 structurally speaking, to each of its members.' That is, `if [A] and [B] are conjoins of the
- 50 conjoint construction X, then any structural function which may be undertaken
- 51 individually by [A] or [B] may also be undertaken by X.' (Quirk *et al.* 1985).

52

- 53 (2) A 3-day-old boy is brought to the emergency department because of a 6-hour history
- of [[rapid breathing] [and] [poor feeding]].

55

56 In general, linked units may comprise a wide range of grammatical categories and levels 57 of syntactic projection.

In the present article, we adopt the terminology used by Quirk et al. (1985).

- Linked constituents are referred to as *conjoins*. Their linking forms a *coordinated* constituent. Overt linking of conjoins by coordinating conjunctions is referred to as *syndetic* coordination. Coordination in which the linking is not overtly marked, except by
- 62 the occurrence of commas or semicolons in writing or tone unit boundaries in speech, is
- 63 termed asyndetic.
- Coordination usually links conjoins which are structurally and grammatically similar. As noted by Quirk *et al.* (1985), this can include some complex cases in which combined units such as indirect and direct objects (3), objects and direct complements (4), and objects and adverbials (5), are coordinated.
- 68

58

- 69 (3) We gave [[[William] [a book on stamps]] [and] [[Mary] [a book on painting]]].
- 70
- 71 (4) Jack painted [[[the kitchen] [white]] [and] [[the living room] [blue]]].
- 72
- 73 (5) You should serve [[[the coffee] [in a mug]] [and] [[the lemonade] [in a glass]]].
- 74
- 75 In the present article, these are considered examples of verb phrase (VP) coordination
- 76 with ellipsis of the second head verb.
- With regard to noun phrases (NPs), Quirk et al. describe segregatory coordination
- 78 (2) and combinatory coordination (6). The two can be distinguished by considering the

relationship of the coordinated constituent and its conjoins to its predicate. If the coordinated constituent can be replaced by each of its conjoins in the sentence and the meanings of the new sentences are consistent with that of the original, then the coordination is segregatory. If this replacement creates new sentences whose meanings are not consistent with that of the original (7), then the coordination in combinatory.

(6) The patient usually complains of [[pins] [and] [needles]] in the deltoid area.

87 (7) \*The patient usually complains of [pins] in the deltoid area. The patient usually complains of [needles] in the deltoid area.

Due to the scarcity of combinatory coordination in the corpus described in Section 3.1 of this article, which provides evidence of the occurrence and use of coordination in this context, all NP coordination is considered segregatory in the research described here.

In writing, coordination is indicated by the use of conjunctions and punctuation. The approach taken in the current article focuses on *potential coordinators* which comprise the coordinating conjunctions *and*, *but*, and *or*, semicolons, commas, and adjacent comma-conjunction pairs. By definition, the coordinating conjunctions usually serve as coordinating links between conjoins. There is far more ambiguity in the use of commas, which may have either coordinating or subordinating functions.

Nunberg *et al.* (2002) describe the use of punctuation in English. They note that commas, semicolons, and colons normally mark constituent boundaries within sentences. In addition to coordinated units, commas serve to mark the boundaries of subordinated

constituents such as post-modifiers (8), adverbial modifiers (1), and other sub-clausal constituents which are less central to the main message being conveyed in the sentence (9). Nunberg *et al.* (2002) note that adjuncts, parentheticals, supplementary relative clauses, vocatives, and a range of others are all commonly bounded in this way by delimiting commas.

108 (8) [His father has schizophrenia[,] [paranoid type][,] treated with haloperidol and trihexyphenidyl].

(9) [Examination[,] [including cardiovascular examination[,]] shows no abnormalities].

In the context of our current work, the term *simple sentence* is used to denote declarative sentences containing no coordinate constituents. The aim of this research is to improve the performance of an information extraction (IE) system by means of a module that rewrites input sentences containing coordinate constituents as sequences of simple sentences. The module is also intended to recognize some types of subordinate constituent and exploit them in the IE process. One hypothesis tested in this article is that it is more effective for a system to exploit a small number of rules to extract pertinent facts from simple sentences than to exploit a larger number of rules in an effort to address the variation that results from coordination in natural language.

The detection of potential coordinators, their classification, and the identification of their conjoins is a prerequisite to realizing this aim. In the present article, we assume that coordination in a sentence can be detected by reference to potential coordinators.

Given that the function of potential coordinators is often ambiguous, especially in the case of commas, it is necessary to recognize their use as subordinators and coordinators. Further, as described in Section 3.1, coordination can hold between a variety of syntactic categories at various levels of syntactic projection. For this reason, once a system has identified a coordinator for the purpose of rewriting a complex sentence as a sequence of simple sentences, it is necessary for it to further identify the particular type of coordination signaled by the coordinator from the wide range of possibilities that exist.

Explicitly, the aim of the sentence rewriting module described in Section 3 is to convert sentences such as (10) into sequences of sentences such as (11).

(10) Examination shows [[jaundice][,] [hypothermia][,] [hypotonia][,] [[large [anterior] [and] [posterior] fontanels]][, and] [a hoarse cry]].

(11) Examination shows [a hoarse cry]. Examination shows [hypotonia]. Examination shows [[large] anterior fontanels]. Examination shows [[large] posterior fontanels]. Examination shows [jaundice]. Examination shows [hypothermia].

In this article, Section 2 motivates research into the rewriting of complex sentences as sequences of simple sentences for the purpose of an application in natural language processing (NLP), information extraction (IE). The initial system is described and several performance issues noted. Section 3 begins with a description of the corpus of clinical vignettes that serves as the basis for the sentence simplification method presented in this article. An analysis of this corpus is described and findings regarding the use and

the range of types of coordination and subordination that occur in it is presented. This section also presents a new machine learning classifier for potential coordinators in natural language sentences. It automatically labels instances as belonging to one of a wide variety of subordinating or coordinating classes derived from the analysis of the corpus. A range of baseline classifiers are also described. Finally, Section 3 describes an algorithm for rewriting complex sentences into sequences of simple sentences that exploits the classifiers. Section 4 presents related work on coordination, punctuation, its automatic treatment and exploitation in NLP. Evaluation of the new approaches is presented in Section 5, which includes a comparison of IE systems exploiting the classifiers and sentence rewriting module described in Section 3. Section 6 presents plans for future work while Section 7 discusses the findings of the article and draws some conclusions. Throughout the article, unless stated otherwise, all linguistic examples are drawn from the corpus of clinical vignettes presented in Section 3.1. Relevant conjoins, coordinators, and subordinators are delimited using square brackets.

# 2 Motivation: Information Extraction from Clinical Vignettes

- 164 The research described in this article was undertaken in the context of a project on
- information extraction from vignettes that provide brief clinical descriptions of patients.
- 166 The discourse structure of these vignettes consists of seven elements:
- 167 1. Basic information
- 168 2. Chief complaint
- 169 3. History
- 170 4. Vital signs

- 171 5. Physical examination
- 172 6. Diagnostic study
- 173 7. Laboratory study

182

183

184

185

186

187

188

189

190

191

193

Considering each in turn, *Basic information* describes the patient's gender, profession, 174 175 ethnicity, and health status. *Chief complaint* presents the main concern that led the patient 176 to seek therapeutic intervention. *History* is a narrative description of the patient's social, 177 family, and medical history. Vital signs is a description of the patient's pulse and 178 respiration rates, blood pressure, and temperature. Physical examination is a narrative 179 description of clinical findings observed in the patient. *Diagnostic study* and *Laboratory* 180 study present the results of several different types of clinical test carried out on the 181 patient.

Each element in the discourse structure is represented by a template encoding related information. For example, the template for physical examinations holds information on each clinical finding or symptom (*finding*) observed in the examination, information on the technique used to elicit that finding (technique), the bodily location to which the technique was applied (*location*), the body system that the finding provides information on (system), and any qualifying information about the finding (qualifier). In this article, we focus on automatic extraction of information pertaining to physical examinations. The goal of the IE system is to identify the phrases used in the clinical vignette that denote findings and related concepts and add them to its database entry for the vignette.

192 In the research described in this article, the IE system depends on several NLP modules:

- 194 1 Sentence tagger;
- 195 2 Concept tagger;
- 196 3 Relation extractor.

Modules 1 and 2 are arranged in a pipeline, each one adding XML annotation to its input and passing this on to be exploited by the next module. Both were developed in house. The concept tagger uses gazetteers to tag references to clinical concepts mentioned in the vignette. In light of the specificity of the IE task undertaken in this research, the gazetteers were developed in-house on the basis of corpus analysis. Existing resources such as SNOMED and UMLS were considered, but their size and scope made them difficult to exploit in the current research. Hand-crafted finite-state transducers were used

With regard to the third module in the IE pipeline, two relation extraction modules, BASIC and PATTERNS, were implemented for the purpose of comparison. Both of them exploit the annotation of sentences and clinical concepts obtained from the first two modules.

in conjunction with the gazetteers to group sequences of adjacent concepts together.

BASIC consists of a small number of simple rules. To summarize briefly, vignettes are processed by considering each sentence in turn. The first clinical finding or symptom mentioned in a sentence is taken as the basis for a new database entry. Similarly, the first tagged *technique*, *system*, and *location* within that sentence is considered to be related to the *finding*. *Qualifiers* (e.g. *bilateral* or *peripheral*) are extracted in the same way, except in sentences containing the word *no*. In these cases, the qualifier related to the finding is identified as *none*. Due to their scarcity in the corpus,

this rule was not extended to additional negative markers such as *never* or *not*. When processing sentences generated by the simplification module described in Section 3.3, if the input sentence contains no tagged *techniques*, then BASIC attempts to extract this information from any adverbials identified in the sentence.

The PATTERNS relation extraction module takes every mention of a finding or symptom tagged in the input vignette as the basis for a new physical examination entry in the database. A set of hand-crafted rules is then applied to identify references to related concepts mentioned in the vignette. By way of illustration, references to the location to which a technique is applied in order to elicit a clinical finding are identified by selecting, on the basis of the first applicable rule, any tagged *location* in the pattern:

- *1. technique* { at | over } the \_
- **2.** *technique of the* \_

- **3.** \_ **system** is **finding**
- **4. finding** ... {of|at|over} the \_
- *5.* \_ technique {is|are} finding
- *6. finding* in the *qualifier* \_
- *7.* \_ is **finding**
- *8.* \_ finding
- An underbar is used to indicate the position of the *location* in the pattern. Similar rule sets are used in the identification of the other concepts related to the finding. For brevity, they are not presented in this article.
- The hand-crafted IE rules exploited by the PATTERNS module are implemented

using regular expressions. They are applied in order, exploiting lexical and conceptually tagged elements. Quantitative evaluation of the BASIC and PATTERNS IE systems is presented in Section 5. In this section, we make some general observations on the outputs of the latter system.

It was noted that many errors were caused by its inability to accurately process coordinated constituents. Consider (12) and (13).

(12) Physical examination shows [[enlarged supraclavicular nodes that are stony hard][, and] [a liver that is [[enlarged] [and] [irregular]]]].

(13) Examination of [the [[heart][,] [lungs][, and] [abdomen]]] shows normal findings.

In (12), noun phrase and adjectival coordination means that there is a mismatch in the number of explicitly mentioned concepts: three findings, one technique, and two qualifiers. In (13), coordination of the head nouns causes a similar mismatch in the numbers of explicitly mentioned concepts: one finding, one technique, and three systems. The rules implemented in the initial IE system cannot detect the ellipsis of elements that occurs due to this coordination and are unable to reliably identify the relations holding between explicitly mentioned and elided concepts.

The patterns exploited by this initial IE system are too simple to accurately detect the relations that hold between the concepts tagged in these sentences. While the use of additional regular expressions would enable more accurate processing of them, they would be of limited use beyond the specific cases that they were designed to address. The

variability of input sentences due to syntactic coordination is so great that it should be handled systematically rather than heuristically. Attempting to meet this challenge by the formulation of additional IE patterns would lead only to small improvements and would be a continual process. This line of reasoning motivates the development of the systematic approach to coordination presented in Section 3.

#### 3. An Automatic Treatment of Coordination

This section presents a method to automatically rewrite sentences containing potential coordinators into sequences of simple sentences. It relies on a corpus in which potential coordinators have been annotated with information about their specific coordinating or subordinating function. The annotation is exploited by methods to classify previously unseen potential coordinators. Finally, a sentence simplification algorithm utilizing the classifiers is presented.

## 3.1 An Annotated Corpus

A corpus consisting of 138,641 words from 708 clinical vignettes was compiled in order to support development and evaluation of the IE system described in Section 2. The vignettes are written in academic US English and are highly consistent in their use of terminology, punctuation, and grammatical style.

Potential coordinators, including conjunctions, commas, and adjacent commaconjunction pairs, were manually annotated in this corpus. In this article, seven types of potential coordinator are considered: *and*, *but*, *or*, *comma*, *comma-and*, *comma-but*, and *comma-or*. The decision to treat comma-conjunction pairs separately from commas or

conjunctions alone was made on the basis that they usually introduce the final conjoin of coordinated constituents. It is thus likely that they share contexts distinct from those of the other potential coordinators.

The annotated corpus was divided into a training portion and a testing portion.

The characteristics of the two are presented in Table 1.

# **Table 1 Characteristics of the annotated corpus**

In order to address our aim of implementing a module to automatically rewrite complex sentences for the purpose of subsequent NLP tasks, it is important to identify the different roles that may be played by potential coordinators. Instances of potential coordinators occurring in the corpus of vignettes were manually annotated with labels indicating their function. Where an instance occurs between two conjoins, its label conveys information about those conjoins.

The different classes of instance are divided into two sets, one for coordinators and another for subordinators. Table 2 and Table 3 display the different classes of coordinator and subordinator annotated in the training corpus. The abbreviations used in the tables consist of a minimum of three components. The first indicates whether the class has a coordinating (C) or subordinating (S) function. The second component indicates the projection level of the constituents: morphemic (P), lexical (L), intermediate (I), maximal (M), or clausal/extended (C). The third element of each acronym is an abbreviation of the grammatical category of the constituents: nominal (N), verbal (V), adjectival (A), adverbial (Adv), prepositional (P), quantificational (Q), or unclear (X). A final numerical

value is used to differentiate classes that cannot be distinguished on the basis of the criteria previously listed. To illustrate, CMV2-6 denote coordination of VPs in which the head of the rightmost VP has been elided and the conjoined VPs have distinct argument structures, as in sentences (3) to (5). The adoption of such specific classes is expected firstly to enable automatic classifiers to leverage very specific patterns of PoS tags, words, and semantic concept labels in their recognition and secondly, to enable each class to be associated with specific and accurate sentence simplification patterns.

# Table 2 Classes of coordinator in the training and testing corpora

# Table 3 Classes of subordinator in the training and testing corpora

The annotated corpus described here serves as the basis for development and evaluation of the classification modules for potential coordinators described in Section 3.2.

- 3.2 Automatic Classification of Potential Coordinators
- 324 Several methods were implemented for the automatic classification of potential
- 325 coordinators. These classifiers are described in Sections 3.2.1 3.2.4. Their evaluation is
- 326 presented in Section 5.

- 328 3.2.1 Memory Based Learning (MBL) Classifier
- 329 Instances of potential coordinators in the training corpus were processed in order to
- 330 represent them as vectors of feature values representing their linguistic properties and

331	context of use. The initial representations exploited sixty-five features. A classifier of
332	potential coordinators was derived from this training data using the TiMBL memory-
333	based learner (Daelemans et al., 2010). Feature selection and algorithm optimization
334	were performed using a simple hill-climbing procedure.
335	The initial set of features encodes different kinds of information about each
336	potential coordinator. They can be grouped as follows:
337	1. Orthographic form of the potential coordinator.
338	2. Information on the position of the instance within the document.
339	3. Information about items that both precede and follow the potential coordinator
340	within the same sentence. This includes:
341	a. words and their parts of speech.
342	b. clinical concepts.
343	c. the number of determiners.
344	d. the distance in words to the next following determiner if a determiner also
345	precedes the instance.
346	e. the parts of speech that immediately precede and follow other potential
347	coordinators that both precede and follow the instance.
348	4. Boolean features asserting various conditions that hold over items occurring in the
349	same sentence as the potential coordinator:
350	a. Words with matching parts-of-speech $p$ precede and follow the instance.
351	Here, $p$ comprises verbs of the past, past participle, and singular present
352	tenses, determiners, cardinal numbers, adjectives, pronouns, and nouns.
353	b. An adverb precedes the instance.

354		c.	The instance	is both preceded and followed by a word with part-of-speech
355			q:	
356			i. where	q includes adjectives and past participle verbs.
357			ii.	where $q$ includes potentially mismatched singular or plural
358			comm	non nouns or proper nouns.
359		d.	The instance	is immediately preceded and followed by a word with part-
360			of-speech q, v	where $q$ is:
361			i. detern	niner.
362			ii.	cardinal number.
363		e.	The words no	o, not, or either precede the instance in the sentence.
364		f.	An adverb or	preposition precedes the instance.
365		g.	Textual mater	rial that includes a word with part-of-speech $p$ followed by a
366			word with pa	rt of speech $q$ both precedes and follows the instance where:
367			i. <i>p</i> is ar	and adjective and $q$ is a preposition.
368			ii.	p is nominal and $q$ is an adverb.
369			iii.	p is a cardinal number and $q$ is a preposition.
370			iv.	p is nominal and $q$ is a preposition.
371	5.	A dom	ıain-specific te	ernary feature indicating whether the potential coordinator is
372		either	preceded or fo	llowed by the word <i>history</i> in the sentence, or both preceded
373		and fo	llowed by that	word.
374	6.	Featur	es that combin	e the values of another pair of features into a single feature:
375		a.	Immediately	preceding and following part-of-speech tags (built from
376			features in 3.a	a).

	377	b. Closest preceding and following conceptual tags (built from features in
Ş	378	3.b).
3	379	
Ş	380	Table 4 displays the groups of feature selected for the classification of each type of
Ş	381	potential coordinator. The third column shows the proportion of features from the initially
3	382	proposed set that are selected for optimal classification accuracy. The most globally
į	383	important feature groups appear in bold font in Table 4.
Ş	384	
į	385	Table 4 Features selected for optimal classification of different potential
Š	386	coordinators
3	387	
3	388	The optimization revealed that for all potential coordinators, TiMBL worked best
3	389	when using the TRIBL2 algorithm. Table 5 presents the optimal settings for other
Ş	390	parameters with respect to each potential coordinator. Instances occurring in input data
3	391	are classified using TiMBL with these optimal parameter settings. Section 5 presents an
3	392	evaluation of the optimized classifiers described here.
Š	393	
3	394	Table 5 Optimal parameter settings for TiMBL when classifying potential
3	395	coordinators
Š	396	
3	397	3.2.2 Stanford Parser Classifier
Ş	398	This classifier (STANFORD) exploits the Stanford Lexicalized Parser v1.6.3 (Klein and
Ş	399	Manning, 2003) for the purpose of classifying potential coordinators. The constituent

structure returned by the parser can be used to derive a classification for potential coordinators for most of the classes presented in Table 2. A set of simple conversion rules exploiting regular expressions was employed for this purpose. The classification of subordinators is slightly more difficult, and is based on the recognition of patterns in the upper nodes of the tree output by the parser.

# 3.2.3 Hybrid Classifier

Evaluation of the two classifiers over the testing data showed that the MBL and STANFORD classifiers have somewhat orthogonal performance. This motivated the development of a hybrid classifier (HYBRID) that uses the MBL classifier when processing conjunctions and commas and uses the STANFORD classifier when processing adjacent comma-conjunction pairs.

# 413 3.2.4 Majority Class Baseline Classifier

This baseline classifier (MAJORITY) is based on observation of the frequency with which different classes of coordination and subordination occur in the training corpus. It classifies every instance with the most frequently observed class for potential coordinators of that type. Every instance of *and* and *comma-or* is classified as CMN1, every instance of *but* and *or* is classified as CMV1, every *comma* is classified as SMAdv1, and every instance of *comma-and* and *comma-but* is classified as CCV under this method.

#### *3.3 Sentence Rewriting*

The syntactic simplification method exploits all the annotations added to input sentences by the previously described modules. A part of speech tagger (Brill, 1994) is also exploited. The method is based on a recursive algorithm operating over an array of sentences (see Fig. 1). In its initial state, this array comprises a single sentence containing one or more instances belonging to any of the ten classes displayed in Table 6.

## Fig. 1 The sentence simplification algorithm

# Table 6 Classes of coordinator/subordinator triggering simplification rules

The sentence simplification algorithm is presented in Fig. 1. The function *simplify* consists of an ordered set of quick-fire rules, designed to process different classes of coordination and subordination indicated by different types of instance. Each rule identifies a coordinator/subordinator,  $t_i$ , and generates a pair of sentences,  $\int_i^1$  and  $\int_i^2$ . The former is derived from textual material preceding  $t_i$  in the input sentence, while the latter is derived from material following it. The function returns any identified adverbials, adv, and a reference,  $\S_i$ , to the pair of generated sentences. The rules were developed manually by reference to the test corpus and a key file containing information on the class of each potential coordinator.

To illustrate with two examples of rules:

• SMAdv1 triggers a rule that recognizes preceding material as an adverbial modifier of the input sentence, adv.  $\int_i^1$  is an empty string and  $\int_i^2$  is the part of the sentence that follows  $t_i$ . A binary array consisting of  $\int_i^1$  and  $\int_i^2$  is built.

•	When instances of class CMN1 occur in a context such as A B/vbz C $t_i$ D in $s_i$ , a
	rule is triggered which constructs an array consisting of the strings $\int_i^1$ : A B/vbz C
	and $\int_i^2$ : A B/vbz D. If $s_i$ is (14), this rule derives (15) as $\int_i^1$ and (16) as $\int_i^2$ . The
	upper case letters A-D are regular expressions matching text intervening between
	the strings specified within the pattern. vbz is a part of speech tag denoting
	present tense verbs. The fact that the NP conjoins follow the verb implies that they
	form a coordinated object. This assumption motivates the form of the rule.

(14) She has diabetic retinopathy [but] no evidence of renal disease.

456 (15) She has diabetic retinopathy.

458 (16) She has no evidence of renal disease.

- The output, *A* is a set of sentences containing no instances of the types listed in Table 6.
- 461 The IE function is applied to this set of sentences and any adverbial information derived
- 462 during the rewriting process.

Table 7 displays characteristics of the rewrite rules used by the simplification algorithm. Column 3 of the table displays the possible number of rewrite rules that may be applied by the algorithm on encountering different types of coordinator/subordinator. This information serves as an indirect indicator of the challenge posed by each rewriting task. It can be noted that many more rules are needed to cater for the various functions and contexts of NPs in the clinical vignettes than other types of constituent. The rules

include heuristics that exploit PoS tagging and preposition and verb recognition to identify the syntactic function of coordinated NPs. Column 4 of Table 7 shows the relative order in which the rules are tested against an input sentence. The success of the rewriting algorithm depends on both the patterns exploited by the rules and their order of application. In general, the rules are intended to process the coordination of larger and more syntactically dominant conjoins first.

#### **Table 7 Characteristics of rewrite rules by class**

The simplified sentences derived by the interaction of this module with each of the classifiers of potential coordinators described in Section 3.2 are then processed by the BASIC IE system described in Section 2. The templates produced by this IE system and the PATTERNS IE system, described in the same section, are evaluated in Section 5.

#### 4. Related Work

In conducting the research presented in this article, a review of previous related work was undertaken. This includes research on the disambiguation of coordinated structures and the role of punctuation and research describing the exploitation of information about coordination in syntactic parsing, information extraction, and other NLP applications.

Addressing the challenge of disambiguating and processing coordination, Agarwal and Boggess (1992) present a system to identify the boundaries of conjoins linked by coordinating conjunctions. Their rule-based algorithm exploits concept tagging, part of speech tagging, and the use of a "semi-parser" to identify constituents such as NPs, VPs,

and PPs. It performs with an accuracy of 81.6%, but is noted to be unable to identify clausal conjoins and does not recognize coordination indicated by commas.

Many of the approaches presented in the literature recognize that there is likely to be syntactic and semantic similarity between conjoins involved in coordination and exploit this in order to disambiguate coordinate structures (Kurohashi and Nagao, 1992; Resnik, 1999; Goldberg, 1999; Chantree *et al.*, 2005).

Buyko and Hahn (2008) sought to learn the extent of the contribution made by the recognition of semantic similarity between conjoins to the processing of coordination. They found that a system based on conditional random fields exploiting semantic features was outperformed by one based on output from a syntactic parser. In the present article (see Section 3.2.1), features encoding semantic information were selected for exploitation by several classifiers, though the statistical significance of their contribution has not been assessed. Shimbo and Hara (2007) describe an approach to the disambiguation of coordinate conjunctions based on methods from sentence-alignment. Their system was found to outperform state-of-the-art parsers when processing the GENIA Treebank beta corpus.

Kawahara and Kurohashi (2007) present methods to disambiguate coordination in Japanese. Exploiting verb case frames automatically derived from the web, the method applies lexical preferences and co-occurrence statistics between potential conjoins to resolve coordination ambiguities. An updated approach exploiting functional dependency information was described in Kawahara and Kurohashi (2008).

Methods exploiting information about neighbouring syntactic constituents have been used to disambiguate the role of commas in natural language. This work was described in Bayraktar et al. (1998) and Srikumar et al. (2008).

With regard to IE, Rindflesch *et al.* (2000) used an automatic treatment of coordination to improve IE of facts about macromolecular binding. In a contrasting approach, Klebanov *et al.* (2004) present a method to improve performance in IE without processing coordination. Their approach relies on the identification of 'easy-access sentences' (EAS) that contain a single finite verb in a 'semantically non-problematic environment' and a large number of named entities (concepts). In this approach, IE rules are applied only to EASs. The accuracy with which EASs are identified is reported in this work, but unfortunately changes in accuracy elicited in their IE system as a result of applying the method are not presented.

Many authors demonstrate that the use of methods to improve the resolution of coordination ambiguities improves overall performance in syntactic parsing for various languages (Kim and Lee, 2003; Ratnaparkhi *et al.*, 1994; Rus *et al.*, 2002; Nakov and Hearst, 2005; Hogan, 2007; Charniak and Johnson, 2005; Kübler *et al.*, 2009). In addition to this, various papers report on the exploitation of information about coordination for other tasks in NLP and in industrial contexts. Rindflesch (1995) incorporated a method for dealing with coordination to improve the mapping of NPs identified in input documents to concepts in the medical UMLS database. Cederberg and Widdows (2003) present a method exploiting information about noun coordination to improve automatic hyponymy extraction. The method is based on well-established lexicosyntactic patterns modified to allow recognition and exploitation of coordinated structures. The hyponymy relations identified are then filtered using latent semantic analysis. In their preliminary work, Tjong and Berry (2008) seek to improve the clarity of industrial requirements

specifications by minimizing the ambiguous use of coordination. Their paper describes a range of semantic relations implied by the use of coordination and urges the adoption of rules similar to a controlled language specifying a writing policy for coordinated structures.

Despite the amount of work addressing the issue of coordination in natural language, the contribution brought by these approaches to practical NLP applications has been little reported. Overall, the work surveyed in this section was useful in guiding development of the features presented in Section 3.2.1. Authors have drawn differing conclusions as to the suitability of different types of information in resolving coordination ambiguities. This observation motivated the approach adopted in the present article, in which an initial feature set is developed and a feature selection method is applied in order to derive the optimal subset to be exploited by the classifier.

#### 5. Evaluation

This section presents an evaluation of the modules described in Section 3. In all cases, where comparisons are made between different systems in terms of accuracy or F-score, significance was computed using approximate randomization (Chinchor, 1992). The significance threshold,  $\alpha = 0.05$ .

The production of annotated data for the task of sentence simplification is costly and complex. For this reason, different settings of the sentence simplification module will be evaluated extrinsically (Sparck-Jones and Galliers, 1996) via the performance of the IE system that exploits them.

Unfortunately, due to the nature of the sources from which information is to be

extracted in this work, no direct comparison can be made with the systems presented in previous research. Recognizing this problem, the new modules presented in this article are compared with one based on the publicly accessible Stanford parser.

#### 5.1 Evaluation of the Classification of Potential Coordinators

Table 8 presents the accuracy scores of the different classifiers obtained using ten-fold cross-validation over the training corpus. Given their superiority over the MAJORITY classifier, the main focus of this section will be in comparing the accuracy of the MBL and STANFORD classifiers. It can be observed that the MBL classifier classifies all potential coordinators except *comma-but* with greater accuracy than the STANFORD classifier. However, the only potential coordinators for which there was a statistically significant difference in performance were the two most common, *comma* and *and*.

# Table 8 Classification accuracy obtained via ten-fold cross-validation over the training set

A detailed class-by-class examination of the performance of the MBL classifier reveals that for all potential coordinators, the most common type of error concerns the projection level of nominal constituents. This finding was also derived from an analysis of interannotator agreement over a sample of the training data. It can be noted that errors made by the STANFORD classifier are similar in kind, though there is more evidence of the erroneous assignment of grammatical category as well as projection level to coordinate constituents. Overall, of the eighty-one combinations of classes and types, the F-score

obtained by STANFORD is superior to MBL in thirteen. The most frequent of these thirteen is CCV signalled by *comma-and*, which accounts for 14.25% of all instances annotated in the training data. However the margin of difference is slight (F 0.9880 vs. 0.9719), and the contribution of this improvement to the IE system is not envisaged to be great. A similar description can be made with regard to the greater F-score obtained by STANFORD with regard to the CCV class signalled by *comma-but*, which accounts for 1.53% of the training data. There are classes for which STANFORD obtains a significantly higher F-score than MBL, but each of these accounts for less than 1% of the total training set.

One reason for the relatively poor performance of STANFORD is that the labels returned by the Stanford parser are not as specific as those used in the manual annotation of the training data exploited by MBL. To illustrate, the label *VP* used by the Stanford parser subsumes two classes (CMV1 and CMV2) and NP subsumes three (CMN1, CIN, and CMV3). The STANFORD classifier is therefore unable to differentiate between these classes.

Finally, it has been noted that both MBL and STANFORD classifiers fail to identify instances of class CIN (17). The most common type of error involving CIN is misclassification as CMN1. The simplification rules applied to these classes are similar in many ways, relying on identification of nominal and verbal heads in the sentence. It is therefore expected that such errors will not be too detrimental.

(17) The sclerae and the skin of the [[head] [and] [upper trunk]] are yellow.

# **Table 9 Classification accuracy over the test set**

Table 9 presents the classification accuracy of different classifiers when processing a subsample of the test corpus which consists only of sentences that mention clinical findings. Over the classes of coordination and subordination occurring in descriptions of physical examinations, STANFORD classifies potential coordinators consisting of adjacent *comma-conjunction* pairs more accurately than MBL does. The difference in classification accuracy between the STANFORD and MBL classifiers is statistically significant with regard to the potential coordinators *comma-but*, *and*, and *comma*. In classifying the latter two types, MBL is superior whereas in classifying the first, STANFORD is superior.

5.2 Evaluation of IE Exploiting Classification of Potential Coordinators and Sentence

620 Simplification

Testing data for the IE task was derived from the stems of 70 clinical vignettes. The set contains 206 clinical findings and related concepts. The IE systems, PATTERNS and BASIC, described in Section 2 were used to process this data set. Several variants of the

BASIC system were employed, each exploiting one of the different methods for

classification of potential coordinators described in Section 3.2 and the sentence

simplification algorithm presented in Section 3.3. A variant of the PATTERNS relation

extraction module was also implemented that extracts just a single tagged finding from an

input sentence as opposed to all tagged findings.

The metrics used in evaluation of the IE systems are based on accuracy. For

findings, when the IE system identifies a finding within a particular sentence of a particular vignette, and the same finding has been marked within the same sentence of the same vignette in the key, this is considered a true positive. The accuracy score for findings is the ratio of the number of true positives to the total number of findings marked in the key. It is computed in a similar way for the concepts related to findings. Due to the strong semantic typing involved in the IE task and the limited number of candidates for selection with regard to a particular finding, accuracy was considered a more suitable metric than F-measure. The evaluation described here is based on exact string matching. Systems are not awarded rewarded for obtaining partial matches.

Table 10 Accuracy of IE systems exploiting different classifiers of potential coordinators (assuming one finding per sentence)

Table 11 Accuracy of IE systems exploiting different classifiers of potential coordinators (assuming multiple findings per sentence)

Tables 10 and 11 display the accuracy of different IE systems in identifying clinical findings and related concepts in descriptions of physical examinations. Table 10 shows the performance of IE systems implemented only to identify the first tagged finding and concepts related to that finding in input sentences. Table 11 provides evaluation results for IE systems that identify all tagged findings and concepts related to those findings in input sentences.

In both tables, the columns IGNORE contain accuracy scores for systems that

exploit the sentence rewriting module described in Section 3.3 but do not exploit any classification of potential coordinators. As a result, for these systems, the sentence rewriting rules are never activated. The columns MBL, STANFORD, HYBRID, and MAJORITY present accuracy scores for IE systems that work in the same way, but which exploit the classification modules for potential coordinators described in Sections 3.2.1, 3.2.2, 3.2.3, and 3.2.4, respectively. The columns PATTERNS present the accuracy scores obtained by the IE system described in Section 2.

The results are broadly in line with expectation. A comparison of the overall accuracy of the PATTERNS systems with the others supports the hypothesis that it is more effective to apply a small set of IE rules over simplified input sentences than to employ a larger set of complex IE rules in an effort to handle the variation exhibited by sentences containing coordinated constituents. For IE systems identifying single findings in input sentences, the fact that IGNORE is more accurate than PATTERNS was unexpected. However, it was found that the PATTERNS approach works significantly better if multiple findings are extracted from input sentences.

In Table 11, the IGNORE system is the most effective one at identifying findings mentioned in clinical vignettes. This suggests that even after syntactic simplification, some test sentences still mention multiple findings. Another possibility is that some coordinated constituents have been erroneously identified as findings in the key file.

A significance matrix was computed to plot a pairwise comparison of all systems presented in this article. With  $\alpha = 0.05$ , the systems can be ranked as follows:

- 1. KEY (multiple findings identified per sentence)
- 2. KEY (one finding identified per sentence) and HYBRID (multiple findings per

676 sentence) 677 3. HYBRID and STANFORD (one finding per sentence) and MBL and STANFORD 678 (multiple findings per sentence) 679 4. IGNORE and PATTERNS (multiple findings per sentence) and MBL (one finding 680 per sentence) 681 5. MAJORITY (in both contexts) 682 6. IGNORE (one finding per sentence) 683 7. PATTERNS (one finding per sentence) 684 This ranking is based on a comparison of the number of systems that a given system 685 significantly outperforms with the number that significantly outperform it. 686 Although not statistically significant in this setting, the difference in accuracy 687 between the MAJORITY and IGNORE systems in Table 10 shows that performance in IE can be improved even when the classification of potential coordinators is quite 688 689 inaccurate. 690 691 5.3 Error Analysis 692 The output of different modules within the IE system was examined in order to 693 investigate the causes and impact of the errors they make. In this section, categories of 694 errors are categorized as concerning conceptual tagging, the classification of potential 695 coordinators, the simplification of sentences, and information extraction. 696 A number of errors arose as a result of the conceptual tagging process. In 697 particular, there are clinical findings involving clinical procedures that were not included 698 in our existing gazetteers and could not be recognized (e.g. requires

intubation/mechanical ventilation). Another omission of this type involves general vocabulary such as the word moves in the finding he moves all extremities to painful stimuli. Finally, several findings are numerical and their recognition depends on processing context, as in the example *Deep tendon reflexes* are 1+.

One particular weakness of the conceptual tagger is its inability to resolve ambiguities between adjectives that belong to different concept types according to the context of use. To illustrate, the modifier *stony-hard* functions as a qualifier or finding whereas *palpable* functions as a qualifier or technique, depending on the context of use. One additional challenge in the processing of qualifiers is the decision of whether to tag them as separate elements or to merge them with adjacent concepts.

With regard to the classification of potential coordinators, learning curves were plotted to show the correlation between training set size and classification accuracy for each type of potential coordinator. Examination of the learning curves suggests that a minimum of 200 instances are required in order to obtain a representative sample of the use of each potential coordinator. The training corpus used in this study contains far fewer instances than this of the potential coordinators *but*, *or*, *comma-but*, and *comma-or*. It is suggested that the training sets for these items should be increased considerably before the accuracy scores of the different classifiers can be regarded as definitive.

In the IE task, several errors were caused by a misclassification of sentences conveying information about the medical history of the patient and those concerning the physical examination. One example of this type is (18). Such errors arise because the IE system exploits information on the occurrence of particular verbs in the present tense when classifying sentences in the vignette as ones which provide information on physical

examinations. The verb used in the first clause of sentence (18) is also commonly used in descriptions of physical examinations.

725 (18) [[Needle biopsy shows papillary carcinoma][, and] [he undergoes total 726 thyroidectomy]].

There are several instances of errors in the IE key file in which phrases denoting findings and qualifiers contain potential coordinators. These cases may have some impact on the accuracy scores obtained by the IGNORE system evaluated in this article. However, they are infrequent enough that their influence on the evaluation results reported in Section 5.2 is not expected to be significant. No instances of combinatory coordination were noted in the test data.

#### 6. Plans for Future Work

The linguistic studies discussed in Section 1 and the error analysis presented in Section 5.3 motivate five directions in which development of the sentence simplification module presented in this article may proceed.

One non-trivial improvement that could be made to the sentence simplification module would be to classify coordination as having either a segregatory or a combinatory interpretation. No assessment has been made of the significance of this issue in the context of the current IE task, but one possible approach to this challenge would be a method exploiting very large unannotated corpora. Quirk *et al.* (1985) note that one way for linguists to distinguish between segregatory and combinatory coordination is to check

the acceptability of sentences created by inserting the word *both* before the first conjoin.

This operation would produce sentence (19) from sentence (6).

748 (19) The patient usually complains of both [[pins] [and] [needles]] in the deltoid area.

It may be possible, by examining the frequencies of such constructed sentences in very large corpora, to recognize combinatory coordination in input sentences. Empirical approaches comparing the frequency of occurrence of constructions in which the order of the conjoins is reversed may also be examined.

Nunberg *et al.* (2002) present a description of the role and use of other punctuation symbols besides the comma such as indicators of parenthesis, single and double dashes, single and double quotation marks, related punctuation indicators, and the pragmatic implications that arise from the interaction of various punctuation marks. The modules described in the current article do not address these phenomena. For the current IE task, this is not problematic, but it is envisaged that IE from sources such as medical journals, text books, and patient notes may benefit from future work on the simplification of sentences employing this wider range of punctuation symbols.

The MBL classifier of potential coordinators was optimized using a naïve hill-climbing procedure in which feature selection and algorithm optimization are treated independently. Methods for joint optimization of the two have been undertaken in previous work (Daelemans *et al.*, 2003). Such approaches are more computationally expensive, often exploiting clusters of processors employing genetic algorithms. It has been shown that joint optimization leads to the derivation of significantly more accurate

classifiers by undertaking a more thorough exploration of the possibility space defined by different parameter settings. It will be interesting to apply such approaches in future work in order to derive more effective classifiers of potential coordinators.

For the scenario described in Section 2, the recognition and use of specific verbs in the rules used by the IE system is not important. However, this is not true of IE in alternate scenarios in which pertinent facts are identified by reference to the verbs linking different concepts. In light of this, it will be beneficial to apply a methodology to ensure subject-verb concord in the sentences generated by the module described in Section 3.3. This will ensure that a sentence such as (20) will be rewritten as a sequence such as (21) rather than (22). This improvement can be made using relatively simple morpho-syntactic rules.

(20) [[Pelvic examination] [and] [urinalysis]] show no abnormalities.

782 (21) [Pelvic examination] shows no abnormalities. [Urinalysis] shows no abnormalities.

784 (22) \*[Pelvic examination] show no abnormalities. [Urinalysis] show no abnormalities.

In addition to the expansion of the annotated corpus motivated by observations made in Section 5.3 and with improvement in subject-verb concord, it will be interesting to assess the contribution of the simplification process in other NLP applications such as question answering, pronoun resolution, multiple-choice question generation, and IE in different scenarios.

Finally, analysis of documents from alternate domains shows evidence of classes of subordination absent from the corpus described in Section 3.1. To be effective when applied to different domains, the annotation scheme for subordinators should be revised to include classes of comma signalling the left and right boundaries of different types of subordinated constituent.

#### 7. Conclusion

Three main conclusions were drawn from the research described in this article. The first is that the automatic simplification of syntactic complexity can induce significant improvements in subsequent NLP tasks. A variety of approaches were implemented and evaluated by reference to the accuracy of an IE system exploiting them. Of the fully automatic modules tested, the best performing one was a hybrid system combining a memory-based learning classifier with a classifier derived from a syntactic parser. When exploiting classifiers based only on a syntactic parser or a memory based learning method, sentence simplification still significantly improved the accuracy of the IE system.

The second conclusion to be drawn also follows from the comparative evaluation of variant IE systems. It was found that approaches which bypass a systematic treatment of coordination and handle coordination and subordination by means of more sophisticated IE rules perform relatively poorly.

The third conclusion to be drawn from this article follows from error analysis. It is expected that the syntactic simplification method described here will be improved by pursuing various lines of research. These include increasing the amount of annotated data

available for development of some of the classifiers of potential coordinators, introducing procedures to disambiguate combinatory and segregatory coordination, developing a module to recognize the functions of a wider range of punctuation symbols in the simplification model, and introducing methods to ensure subject-verb concord in the sentences generated by the modules.

- **10. References**
- 821 Agarwal, R. and Boggess, L. (1992). A Simple but Useful Approach to Conjunct
- 822 Identification, *Proceedings of the 30th annual meeting for Computational Linguistics*,
- 823 Newark, Delaware, June 1992.
- Bayraktar, M., Say, B., and Akman, V. (1998). An Analysis of English Punctuation: The
- 825 Special Case of Comma. In the *International Journal of Corpus Linguistics*, 3 (1), pp.
- 826 33–57.
- 827 Brill, E. (1994). Some Advances in Transformation-Based Part of Speech Tagging,
- 828 Proceedings of the Twelfth National Conference on Artificial Intelligence, Seattle,
- 829 Washington, July-August 1994.
- 830 **Buyko, E. and Hahn, U.** (2008). Are Morpho-syntactic Features More Predictive for the
- 831 Resolution of Noun Phrase Coordination Ambiguity than Lexico-semantic Similarity
- 832 Scores?, Proceedings of the 22nd International Conference on Computational Linguistics
- 833 (Coling 2008), Manchester, England, August 2008.
- 834 **Cederberg, S. and Widdows, D.** (2003). Using LSA and Noun Coordination Information
- 835 to Improve the Precision and Recall of Automatic Hyponymy Extraction, *Proceedings of*
- 836 the Seventh Conference on Natural Language Learning at HLT-NAACL 2003, Edmonton,
- 837 Canada, May 2003.
- Chantree, F., Kilgarriff, A., De Roeck, A., and Willis, A. (2005). Using a Distributional
- 839 Thesaurus to Resolve Coordination Ambiguities. Technical Report, The Open University.
- 840 **Charniak, E. and Johnson, M.** (2005). Coarse-to-Fine n-Best Parsing and MaxEnt
- 841 Discriminative Reranking, Proceedings of the 43rd Annual Meeting of the ACL, Ann
- 842 Arbor, Michigan, June 2005.

- 843 **Chinchor, N.** (1992). The statistical significance of the MUC-4 results, *Proceedings of*
- the Fourth Message Understanding Conference, McLean, Virginia, June 1992.
- 845 Daelemans, W., Hoste, V., De Meulder, F., and Naudts, B. (2003). Combined
- 846 optimization of feature selection and algorithm parameters in machine learning of
- language, Proceedings of the 14th European Conference on Machine Learning (ECML-
- 848 *2003*), Cavtat-Dubrovnik, Croatia, September 2003.
- Daelemans, W., Zavrel, J., Van der Sloot, K., and Van den Bosch, A. (2010). TiMBL:
- 850 Tilburg Memory Based Learner, version 6.3, Reference Guide. Technical Report, ILK
- 851 Research Group.
- 852 **Goldberg, M.** (1999). An Unsupervised Model for Statistically Determining Coordinate
- Phrase Attachment, *Proceedings of the 37th annual meeting of the Association for*
- 854 Computational Linguistics on Computational Linguistics, College Park, Maryland, June
- 855 1999.
- 856 **Hogan, D.** (2007). Coordinate noun phrase disambiguation in a generative parsing model,
- 857 Proceedings of the 45th Annual Meeting of the Association of Computational Linguistics,
- 858 Prague, Czech Republic, June 2007.
- 859 **Kawahara, D. and Kurohashi, S.** (2007). Probabilistic Coordination Disambiguation in
- a Fully-Lexicalised Japanese Parser, *Proceedings of the 2007 Joint Conference on*
- 861 Empirical Methods in Natural Language Processing and Computational Natural
- 862 *Language Learning*, Prague, Czech Republic, June 2007.
- 863 **Kawahara, D. and Kurohashi, S.** (2008). Coordination Disambiguation Without any
- 864 Similarities, Proceedings of the 22nd International Conference on Computational
- 865 *Linguistics (Coling 2008)*, Manchester, England, August 2008.

- 866 **Kim, M.-Y. and Lee, J.-H.** (2003). S-Clause Segmentation for Efficient Syntactic
- Analysis Using Decision Trees, *Proceedings of the Australasian Language Technology*
- 868 *Workshop*, Melbourne, Australia, December 2003.
- 869 Klebanov, B. B., Knight, K., and Marcu, D. (2004). Text Simplification for
- 870 Information-Seeking Applications. In Meersman, R. and Tari, Z. (eds) *On the Move to*
- 871 *Meaningful Internet Systems 2004*, Berlin: Springer-Verlag, pp. 735-747.
- 872 **Klein, D. and Manning, C.D.** (2003). Fast exact inference with a factored model for
- 873 natural language parsing. In Advances in Neural Information Processing Systems (NIPS-
- 874 *15*), Vancouver, British Columbia, December 2002.
- 875 Kübler, S., Hinrichs, E., Maier, W., and Klett, E. (2009). Parsing Coordinations,
- 876 Proceedings of the 12th Conference of the European Chapter of the ACL, Athens, Greece,
- 877 March 2009.
- 878 **Kurohashi, S. and Nagao, M.** (1992). Dynamic Programming Method for Analysing
- 879 Conjunctive Structures in Japanese, *Proceedings of COLING-92*, Nantes, France, August
- 880 1992.
- Nakov, P. and Hearst, M. (2005). Using the Web as an Implicit Training Set:
- Application to Structural Ambiguity Resolution, *Proceedings of Human Language*
- 883 Technology Conference and Conference on Empirical Methods in Natural Language
- 884 *Processing (HLT/EMNLP)*, Vancouver, British Columbia, October 2005.
- Nunberg, G., Briscoe, T., and Huddleston, R. (2002). Punctuation. In Huddleston, R.
- 886 and Pullum, G. K. (eds) *The Cambridge Grammar of the English Language*. Cambridge:
- 887 Cambridge University Press, pp. 1724–1764.
- 888 Quirk, R., Greenbaum, S., Leech, G., and Svartvik, J. (1985). A Comprehensive

- 889 *Grammar of the English Language*. London: Longman.
- 890 **Ratnaparkhi, A., Roukos, S., and Ward, R. T.** (1994). A Maximum Entropy Model for
- 891 Parsing, Proceedings of the International Conference on Spoken Language Processing
- 892 (ICSLP), Yokohama, Japan, September 1994.
- 893 **Resnik, P.** (1999). Semantic Similarity in a Taxonomy: An Information-Based Measure
- and Its Application to Problems of Ambiguity in Natural Language. In *The Journal of*
- 895 *Artificial Intelligence Research* (11), pp 95-130.
- 896 **Rindflesch, T. C.** (1995). Integrating Natural Language Processing and Biomedical
- 897 Domain Knowledge for Increased Information Retrieval Effectiveness, *Proceedings of*
- 898 the Fifth Annual Dual-use Technologies and Applications Conference, Utica/Rome, NY,
- 899 May 1995.
- 900 **Rindflesch, T. C., Rajan, J. V., and Hunter, L.** (2000). Extracting Molecular Binding
- 901 Relationships from Biomedical Text, Proceedings of the Sixth Conference on Applied
- 902 *Natural Language Processing*, Seattle, Washington, April 2000.
- 903 Rus, V., Moldovan, D., and Bolohan, O. (2002). Bracketing Compound Nouns for
- 904 Logic Form Derivation, *Proceedings of FLAIRS-2002*, Pensacola Beach, Florida, May
- 905 2002.
- 906 **Shimbo, M. and Hara, K.** (2007). A discriminative learning model for coordinate
- 907 Conjunctions, Proceedings of the 2007 Conference on Empirical Methods in Natural
- 908 Language Processing and Computational Natural Language Learning, Prague, Czech
- 909 Republic, June 2007.

910 Sparck-Jones, K. and Galliers, J. R. (1996). Evaluating Natural Language Processing 911 Systems: An Analysis and Review. No. 1083 in Lecture Notes on Artificial Intelligence. 912 Berlin: Springer. 913 Srikumar, V., Reichart, R., Sammons, M., Rappoport, A., and Roth, D. (2008). 914 Extraction of Entailed Semantic Relations Through Syntax-based Comma Resolution, 915 Proceedings of the Annual Meeting of the Association of Computational Linguistics 916 (ACL), Columbus, Ohio, June 2008. 917 Tjong, S. F. and Berry, D. M. (2008). Can Rules of Inferences Resolve Coordination 918 Ambiguity in Natural Language Requirements Specification?, *Proceedings of the 11th* 

Workshop on Requirements Engineering (WER'08), Barcelona, Spain, September 2008.

### 920 Table 1 Characteristics of the annotated corpus

Characteristic	Training	Testing
#Items	422	286
#Words	107,900	30,741
#Sentences	12,451	3286
#Potential coordinators	4709	1491

#### 922 Table 2 Classes of coordinator in the training and testing corpora

Category	Morphemic	Lexical	Intermediate	Phrasal	Clausal
Noun		CLN	CIN	CMN1, CMN2, CMN3	
Verb		CLV		CMV1, CMV2, CMV3,	CCV
				CMV4, CMV5, CMV6	
Adjective	CPA	CLA		CMA1, CMA2	
Adverb				CMAdv	
Preposition		CLP		CMP	
Quantifier		CLQ			
Miscellaneous				CMM1, CMM2	

#### 924 Table 3 Classes of subordinator in the training and testing corpora

Category	Morphemic	Lexical	Intermediate	Phrasal	Clausal
Noun				SMN	
Verb					
Adjective				SMA	
Adverb				SMAdv1, SMAdv2	
Preposition					
Quantifier					
Miscellaneous				SMM1, SMM2	SCM

### **Table 4 Features selected for optimal classification of different potential coordinators**

Potential	Feature group	Proportion of
coordinator		features selected
and	<b>3.a</b> , 3.b, 3.c, <b>3.e</b> , 4.a, 4.c.i, 4.d.ii,	0.4923
	4.f, 4.g.ii, 4.g.iii, 4.g.iv, 5, 6.a, 6.b	
but	2, <b>3.a</b> , 3.b, 3.c, <b>3.e</b> , 4.a, 4.b,	0.4154
	4.c.i, 4.d, 4.f, 6.a, 6.b	
or	<b>3.a</b> , <b>3.e</b> , 4.c.ii, 6.a	0.2308
comma	<b>3.a</b> , 3.b, 3.c, <b>3.e</b> , 4.a, 4.c.i, 4.f,	0.4154
	5, 6.a, 6.b	
comma-and	<b>3.a</b> , 3.b, 3.c, 3.d, <b>3.e</b> , 4.a, 4.c.i,	0.5231
	4.c.ii, 4.f, 4.g.iii, 5, 6.a	
comma-but	<b>3.a</b> , <b>3.e</b> , 6.a	0.0461
comma-or	3.d, <b>3.e</b> , 6.a	0.0461

## **Table 5 Optimal parameter settings for TiMBL when classifying potential coordinators**

Parameter setting		Classifiers
Feature weighting	Gain ratio	and, but, or, comma, comma-but
	Shared variance	comma-or
	No weighting	comma-and
Class voting weight	Inverse distance	and, but, or, comma, comma-or
	Normal majority	comma-and, comma-but
	voting	
Distance metric	Modified value	and, but, or, comma, comma-and
	difference	
	Jeffrey divergence	comma-or
	Overlap	comma- $but$
Neighbours	3	comma-and, comma-but
	4	but, or, comma
	5	and
	21	comma-or

#### 934 Fig. 1 Sentence simplification algorithm

```
Input: Sentence containing coordinated constituents, s_0
   Output: Array of simple sentences, A; Adverbial modifier, adv
 1 A \leftarrow \emptyset;
 adv \leftarrow empty\ string;
 3 S \leftarrow \{s_0\};
 4 while S \neq \emptyset do
        s_i \leftarrow pop(S);
        if s_i contains a coordinator/subordinator of a type listed in Table 6 then
 6
            (adv, \S_i) \leftarrow simplify(s_i);
 7
            \int_i \leftarrow dereference(\S_i);
 8
            S \leftarrow S \cup \{\int_i\};
 9
        else
10
         A \leftarrow A \cup \{s_i\}
11
12
13 end
```

#### Table 6 Classes of coordinator/subordinator triggering simplification rules

Coordinator/	Classes
Subordinator	
and	CCV, CMN1, CIN, CLA, CMA1, CMV1
but	CMN1, CMA1, CMV1
or	CMN1, CIN, CLN, CMV1
comma	SMAdv1, CCV, SMM1, SMM2, CMN1, CMA1, CLA
comma-and	CMV1, CMN1, CLN, CCV
comma-but	CMA1, CCV
comma-or	CMN1

942 Table 7 Characteristics of rewrite rules by class

Class	Coordinator/	#Rewriting	Order of
	subordinator	rules	precedence
CCV	and	1	3
	comma	1	4
	comma-and	1	2
	comma- $but$	1	2
CMN1	and	26	8
	but	1	9
	or	9	10
	comma	7	12
	comma-and	7	11
	comma-or	1	11
CIN	and	2	17
	or	1	18
CLA	and	2	21
	comma	2	22
CMA1	and	1	14
	but	1	14
	comma	2	16
	comma- $but$	1	15
CMV1	and	2	6
	but	2	6
	or	2	6
	comma-and	1	7
CLN	or	1	19
	comma-and	1	20
SMAdv1	comma	1	1
SMM1	comma	2	5
SMM2	comma	2	5

# **Table 8 Classification accuracy obtained via ten-fold cross-validation over the training set**

Potential	#Instances	MAJORITY	STANFORD	MBL
coordinator				
and	1544	0.3543	0.5971	0.7506
but	100	0.7100	0.8000	0.8700
or	80	0.2625	0.4750	0.6000
comma	1931	0.2952	0.7369	0.8716
comma-and	965	0.6953	0.8788	0.8891
comma- $but$	75	0.9600	0.9867	0.9733
comma-or	14	0.5714	0.5714	0.7143
ALL	4709	0.4158	0.7205	0.8320

### 

### **Table 9 Classification accuracy over the test set**

Potential	#Instances	MAJORITY	STANFORD	MBL
coordinator				
and	137	0.3650	0.4453	0.6642
but	13	0.3077	0.5385	0.7692
or	12	0.0833	0.4167	0.4167
comma	91	0.1209	0.5604	0.7363
comma-and	49	0.3673	0.8163	0.7347
comma- $but$	6	0.6667	0.8333	0.6667
comma-or	2	0.5000	0.5000	0.0000
ALL	310	0.2871	0.5484	0.6871

## 955 Table 10 Accuracy of IE systems exploiting different classifiers of potential 956 coordinators (assuming one finding per sentence)

Template	One finding per sentence						
slot	IGNORE	MAJORITY	PATTERNS	STANFORD	MBL	HYBRID	KEY
finding	0.5845	0.7584	0.5556	0.7971	0.7971	0.8019	0.8696
technique	0.7729	0.7681	0.7778	0.7778	0.7778	0.7874	0.8019
system	0.7536	0.8357	0.7391	0.8309	0.8406	0.8454	0.8744
qualifier	0.6812	0.8164	0.5797	0.7971	0.8261	0.8213	0.8261
location	0.8696	0.8889	0.8985	0.8985	0.9034	0.9082	0.9324
ALL	0.7324	0.8135	0.7101	0.8203	0.8290	0.8328	0.8609

# 959 Table 11 Accuracy of IE systems exploiting different classifiers of potential 960 coordinators (assuming multiple findings per sentence)

Template	Multiple findings per sentence						
slot	IGNORE	MAJORITY	PATTERNS	STANFORD	MBL	HYBRID	KEY
finding	0.9420	0.8068	0.8454	0.8744	0.8551	0.8696	0.9275
technique	0.7729	0.7681	0.8116	0.7778	0.7778	0.7874	0.8019
system	0.7536	0.8357	0.8406	0.8309	0.8406	0.8454	0.8744
qualifier	0.6811	0.8164	0.6135	0.7971	0.8261	0.8213	0.8261
location	0.8696	0.8889	0.9130	0.8985	0.9034	0.9082	0.9324
ALL	0.8039	0.8232	0.8048	0.8357	0.8406	0.8464	0.8725