

Finite-Volume Spectral Domination Target (Structural)

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Purpose

We record a single finite-volume coercivity/positivity inequality which, if proven uniformly in volume and along a continuum scaling window, closes the coercivity wall needed for a mass-gap route via transfer-matrix domination.

Setup (Finite Lattice)

Let $\Lambda_L = (\mathbb{Z}/L\mathbb{Z})^4$ be the periodic 4D lattice. Let $\mathcal{H}_L = L^2(\mathcal{A}_L/\mathcal{G}_L)$ be the gauge-invariant Hilbert space of wavefunctions on link variables modulo gauge transformations.

Let H_L be the Kogut–Susskind Hamiltonian (Euclidean time transfer-matrix generator) written schematically as

$$H_L = H_L^{(E)} + H_L^{(B)},$$

where $H_L^{(E)}$ is the electric (Lie-algebra Laplacian) part and $H_L^{(B)}$ is the magnetic (plaquette potential) part.

Denote by Ω_L the (normalized) ground state vector and by $P_L = |\Omega_L\rangle\langle\Omega_L|$ the ground-state projection.

Target Inequality (Finite-Volume Domination)

Definition 1 (Finite-Volume Spectral Domination Constant). *For each L , define λ_L as the best constant such that*

$$\langle\psi, H_L\psi\rangle \geq \lambda_L \|\psi\|^2 \quad \text{for all } \psi \in \text{Ran}(1 - P_L).$$

Equivalently,

$$\lambda_L = \inf (\sigma(H_L) \setminus \{0\}).$$

Theorem 1 (Finite-Volume Uniform Domination Target). *There exist constants $\lambda_\star > 0$ and $L_0 \in \mathbb{N}$ such that for all $L \geq L_0$,*

$$\lambda_L \geq \lambda_\star.$$

Strengthened Localized Form (Preferred)

Fix a reference block scale $R \geq 1$ and define a local region $B_R \subset \Lambda_L$ (e.g. a cube of side R). Let P_{B_R} be the projection onto functions constant along gauge orbits inside B_R (a local gauge-orbit averaging projector), and set $Q_{B_R} = 1 - P_{B_R}$.

Theorem 2 (Localized Domination Target). *There exist $R \geq 1$, $c_\star > 0$, and L_0 such that for all $L \geq L_0$ and all $\psi \in \mathcal{H}_L$,*

$$\langle \psi, H_L \psi \rangle \geq c_\star \|Q_{B_R} \psi\|^2.$$

Bridge to Mass Gap (Structural)

If either target theorem holds uniformly along a scaling window and survives the continuum limit, then the induced continuum Hamiltonian has a strictly positive spectral gap:

$$\inf (\sigma(H) \setminus \{0\}) > 0.$$

This document records only the inequality target and does not claim a proof.