# BCGES short course, session 1, introduction

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#### Some technical details about the class

- Co-taught between myself and Stephane Hue (LSHTM).
- My slides and practicals are available on Github.
  - This is a collaborative editing side, and I strongly recommend becoming familiar with it.
- The practicals all use linux, and some familiarity with the command line will help without being necessary.
  - Sections 1-3 of this manual should be all you need.
  - If you struggle with command lines, text editing... spend some extra time this evening to go through this.
- Several practicals use the programming language R
  - Again, some familiarity would allow you to get more out of the course.

# Key concepts/tools

- Introduction to vocabulary, concepts
- Fastq format
- BAM and CRAM format
- Using the Galaxy server for basic manipulations

### Outline

1 Short introduction to sequencing technologies

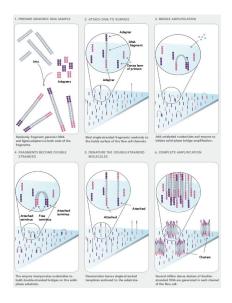
- 2 Fastq and BAM format
  - Fastq format
  - BAM, SAM, CRAM

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# The Illumina principle...

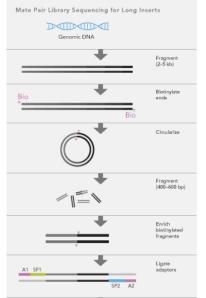


## Illumina paired-end reads



- 1 Illumina technology always reads from the 5' end to the 3' end.
- 2 If the DNA fragment is shorter than the read length, you get to read the adapter sequence at the end.
- 3 If the read length is greater than half the DNA fragment length, fragments overlap in the middle and it is possible to merge into a single synthetic read.

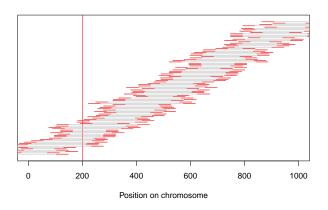
### Mate-pair is not paired-end



Mate Pair library preparation is designed to generate short fragments that consist of two segments that originally had a separation of several kilobases in the genome. Fragments of sample genomic DNA are end-biotinylated to tag the eventual mate pair segments.

Self-circularization and refragmentation of these large fragments generates a population of small fragments, some of which contain both mate pair segments with no intervening sequence. These Mate Pair fragments are enriched using their biotin tag. Mate Pairs are sequenced using a similar two-adapter strategy as described for paired-end sequencing.

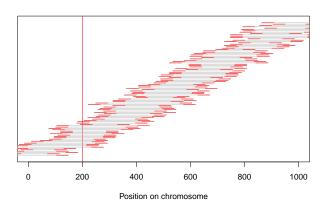
# Read depth



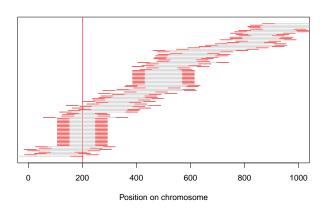
# Read depth is a flawed summary of data quality

- If the depth is uneven, a high mean read depth may not be informative.
- Sequence capture technologies introduce a lot of variability in read depth.
- So a 30x read depth is to a large extent useful to make sure that a large fraction of the target region (say 90% of the exome) is covered with at least 10x.
- The mean read depth may not need to be as large for a full genome (because of the absence of capture step).

# The read clonality problem



# The read clonality problem



## Some commonly used vocabulary

- Index usually referred to a 6-12 bp sequence inserted next to the adapter, which can be used to identify the DNA source (typically the individual being sequenced, but there are more sophisticated things one can do).
- Capture refers to the broad range of techniques meant to enrich the DNA for regions of interest (your favorite gene, the exome...).
- Hard trimming refers to the cutting of the low(er) quality end of the sequencing read (the 3' end) prior to alignment.
- Soft trimming refers to cutting the end(s) of a read at the alignment step, in situations where one does not know what to do with the remaining part.

## Cloud computing

- There is a lot one can do with Illumina's basespace service.
- Remote servers are now available to perform some of these tasks, like the Galaxy server
- A true solution for cloud computing is the Amazon server.
  - It takes some expertise but if you do not want to set up your own computing environment and want to access an unlimited computing ressource, this is what you want.

## Galaxy servers

- The main server of Galaxy is located here: http://main.g2.bx.psu.edu.
- But other instances exist.
- Various Galaxy servers deliver slightly different packages and options.
- It is even possible to install your own server if you found it useful.
- There are also options for data sharing, and sharing analysis protocols which are really useful.
  - The idea is to make the bioinformatic analysis of sequence data more accessible and reproducible.

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- 2 Fastq and BAM format
  - Fastq format
  - BAM, SAM, CRAM

# Why do we need a fastq format? (1)

- Best reference: Wikipedia page on fastq.
- This is the simplest and most generic flat text format to store sequencing reads of arbitrary size.
  - Store the most likely call and a quality associated to it.
- A weakness: the second most likely call is not stored.
- It is a flat text file so very easy to share across platforms and software.

# Why do we need a fastq format? (2)

- A typical exome dataset: 43,406,971 reads, each 76bp long.
  - That is 3.3 billion bp, hence the size of the human genome,
- Each base pair has a quality associated to it, called Phred score.
- Storing a number, even an integer is not efficient.
  - Typical int format is stored on 32 bits (hence numbers capped by  $2^{32}$ .
  - Also we need a text format, more reliable and portable.
- The best solution is to store qualities as characters, mapping each ASCII character to its associated number.

#### ASCII table

```
Dec Hx Oct Char
                                      Dec Hx Oct Html Chr Dec Hx Oct Html Chr Dec Hx Oct Html Chr
                                                             64 40 100 6#64; 8
 0 0 000 NUL (null)
                                       32 20 040 6#32; Space
                                                                                 96 60 140 6#96;
 1 1 001 SOH (start of heading)
                                       33 21 041 6#33; !
                                                             65 41 101 6#65; A
                                                                                 97 61 141 6#97; 6
                                                                                 98 62 142 6#98: b
 2 2 002 STX (start of text)
                                       34 22 042 4#34; "
                                                             66 42 102 4#66; B
 3 3 003 ETX (end of text)
                                       35 23 043 4#35: #
                                                             67 43 103 4#67: C
                                                                                 99 63 143 6#99: 0
                                                             68 44 104 4#68; D 100 64 144 4#100; d
 4 4 004 EOT (end of transmission)
                                       36 24 044 4#36: $
 5 5 005 ENQ (enquiry)
                                       37 25 045 4#37: %
                                                             69 45 105 a#69; E 101 65 145 a#101; 6
 6 6 006 ACK (acknowledge)
                                       38 26 N46 6#38; 6
                                                             70 46 106 4#70; F
                                                                               102 66 146 a#102; f
 7 7 007 BEL (bell)
                                       39 27 047 6#39; 1
                                                             71 47 107 6#71; 6 103 67 147 6#103; 9
                                                             72 48 110 6#72; H 104 68 150 6#104; h
 8 8 010 BS (backspace)
                                       40 28 050 6#40;
 9 9 011 TAB (horizontal tab)
                                       41 29 051 6#41; )
                                                             73 49 111 6#73; I 105 69 151 6#105; 1
                                                             74 4A 112 6#74; J 106 6A 152 6#106; 1
10 A 012 LF (NL line feed, new line) 42 2A 052 4#42; *
                                       43 2B 053 4#43; 4
                                                             75 4B 113 4#75; K 107 6B 153 4#107; k
11 B 013 VT (vertical tab)
12 C 014 FF (NP form feed, new page)
                                       44 2C 054 6#44;
                                                             76 4C 114 4#76; L 108 6C 154 4#108; J
13 D 015 CR (carriage return)
                                       45 2D 055 4#45;
                                                             77 4D 115 4#77; M 109 6D 155 4#109; M
14 E 016 SO (shift out)
                                       46 2F 056 6#46:
                                                             78 AF 116 A#78: N 110 SF 156 A#110: D
15 F 017 SI (shift in)
                                       47 2F 057 6#47; /
                                                             79 4F 117 4#79; 0 111 6F 157 4#111; 0
16 10 020 DLE (data link escape)
                                       48 30 060 4#48; 0
                                                             80 50 120 6#80; P 112 70 160 6#112; F
17 11 021 DC1 (device control 1)
                                       49 31 061 4#49; 1
                                                             81 51 121 4#81; 0 113 71 161 4#113; 0
18 12 022 DC2 (device control 2)
                                       50 32 062 4#50: 2
                                                             82 52 122 4#82; R 114 72 162 4#114; E
19 13 023 DC3 (device control 3)
                                       51 33 063 4#51: 3
                                                             83 53 123 4#83; $ 115 73 163 4#115; $
20 14 024 DC4 (device control 4)
                                       52 34 064 6#52: 4
                                                             84 54 124 6#84; T | 116 74 164 6#116; t
                                                             85 55 125 6#85; U 117 75 165 6#117; U
21 15 025 NAK (negative acknowledge)
                                       53 35 065 6#53; 5
                                                             86 56 126 6#86; V 118 76 166 6#118; V
22 16 026 SYN (synchronous idle)
                                       54 36 066 6#54; 6
23 17 027 ETB (end of trans, block)
                                       55 37 067 4#55; 7
                                                             87 57 127 4#87; W 119 77 167 4#119; W
                                                             88 58 130 4#88; X 120 78 170 4#120; >
24 18 030 CAN (cancel)
                                       56 38 070 4#56; 8
25 19 031 EM (end of medium)
                                                             89 59 131 4#89; Y 121 79 171 4#121; Y
                                       57 39 071 4#57: 9
26 1A 032 SUB (substitute)
                                       58 3A 072 4#58; :
                                                             90 5A 132 4#90; Z 122 7A 172 4#122; Z
27 1B 033 ESC (escape)
                                       59 3B 073 4#59; ;
                                                             91 5B 133 6#91; [ 123 7B 173 6#123;
28 1C 034 FS (file separator)
                                       60 3C 074 < <
                                                             92 5C 134 6#92; \ 124 7C 174 6#124;
29 1D 035 GS
             (group separator)
                                       61 3D 075 &#61: =
                                                             93 5D 135 4#93: 1 125 7D 175 4#125:
30 IE 036 RS
             (record separator)
                                       62 3E 076 4#62:>
                                                             94 SE 136 4#94: A 126 TE 176 4#126:
31 1F 037 US (unit separator)
                                       63 3F 077 4#63: 2
                                                             95 SF 137 4#95; 127 7F 177 4#127; DEL
                                                                           Source: www.LookupTables.com
```

## What is a Phred quality meant to be?

- In an ideal world a quality of x means a probability  $10^{-x/10}$  that the call is wrong.
- So a completely random call means 75% chances to be wrong.
- This more or less matches a minimum score of 2 as  $10^{-0.2}$  is equal to 0.63.
- In practice Phred scores are usually poorly calibrated so the interpretation is not straightforward.
  - See this blog post for example.

# What does the maximum Phred quality mean?

- Phred scores are typically capped at 40.
- It represents a best case scenario of 1/10,000 error rate.
- Indeed, of the error was introduced at the PCR stage, the scanner will not capture this information and can give a perfect quality.
- As a consequence, the maximum Phred score is a measure of the accuracy of the library preparation, more than the sequencing itself.

## Several flavors of fastq formats

- The Sanger fastq: qualities = ASCII code 33
- The Illumina fastq: qualities = ASCII code 64 (mostly historical)
- The latest Illumina fastq (CASAVA 1.8): qualities = ASCII code 33
  - This format adds other technical refinements, including a Y/N flag for each read (Y means failed QC).
  - Best is to look at some examples and see the differences.

## Splitting the fastq files into smaller chunks

Still today, some version of the standard Illumina pipeline splits the fastq into a large number of smaller fastq files.

```
vincentplagnol@ugi-151040 Sergey Illumina|$ ls -ltrh CamFid 039F0 GCCAAT L004 R*
                                              2012 CamFid 039FO GCCAAT L004 R1 008.fastg.gz
rwxrwxrwx 1 vincentplagnol users 358M Feb 9
                                              2012 CamFid 039F0 GCCAAT L004 R1 001.fastq.qz
rwxrwxrwx 1 vincentplagnol users 345M Feb
rwxrwxrwx 1 vincentplagnol users 356M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R1 002.fastq.qz
rwxrwxrwx 1 vincentplagnol users 357M Feb
                                              2012 CamFid_039FQ_GCCAAT_L004_R1_003.fastq.gz
rwxrwxrwx 1 vincentplagnol users 355M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R1 004.fastg.gz
rwxrwxrwx 1 vincentplagnol users 361M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R1 005.fastq.qz
rwxrwxrwx 1 vincentplagnol users 348M Feb 9
                                              2012 CamFid 039F0 GCCAAT L004 R1 006.fastq.qz
rwxrwxrwx 1 vincentplagnol users 354M Feb 9
                                              2012 CamFid_039FQ_GCCAAT_L004_R1_007.fastq.gz
rwxrwxrwx 1 vincentplagnol users 355M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R1 009.fastq.qz
rwxrwxrwx 1 vincentplagnol users 206M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R1 010.fastq.qz
rwxrwxrwx 1 vincentplagnol users 340M Feb
                                              2012 CamFid 039FO GCCAAT L004 R2 001.fastq.gz
rwxrwxrwx 1 vincentplagnol users 346M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 002.fastq.qz
rwxrwxrwx 1 vincentplagnol users 345M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 003.fastq.qz
rwxrwxrwx 1 vincentplagnol users 343M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 004.fastq.qz
rwxrwxrwx 1 vincentplagnol users 347M Feb
                                              2012 CamFid 039FO GCCAAT L004 R2 005.fastq.gz
rwxrwxrwx 1 vincentplagnol users 340M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 006.fastg.gz
rwxrwxrwx 1 vincentplagnol users 344M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 007.fastq.qz
rwxrwxrwx 1 vincentplagnol users 345M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 008.fastq.qz
rwxrwxrwx 1 vincentplagnol users 341M Feb
                                              2012 CamFid 039FQ GCCAAT L004 R2 009.fastq.gz
rwxrwxrwx 1 vincentplagnol users 195M Feb
                                              2012 CamFid 039F0 GCCAAT L004 R2 010.fastq.qz
```

■ This is apparently useful for the standard CASAVA pipeline that comes with Illumina instruments.

## A useful QC tool for fastq

- A researcher at the Babraham has put together some tools to check that a fastq file is OK.
- See the webpage: FastQC
- It does some useful checks for base quality, over-representation of k-mers...
- It can actually be used within Galaxy, among other things.

## Storage considerations

- How much a fastq file is of course a function of what is being sequenced.
- A standard human exome (38 Mb capture, 30x read depth) will require roughly 8 Gb fastq file.
- Compression is key: this storage requirement goes down to 3 Gb after using bzip2 compression.
  - Note that bzip2 compression is more effective than gzip.
- 1 Tb of data can store roughly 300 human exomes.
- Full human sequence is another challenge (easily 200 Gb per sample).

### Illumina internal read QC

- To remove the least reliable data from the analysis results, often derived from overlapping clusters, raw data is filtered to remove any reads that do not meet the overall quality as measured by the Illumina chastity filter.
- The chastity of a base call is calculated as the ratio of the brightest intensity divided by the sum of the brightest and second brightest intensities.
- Clusters pass filter if no more than one base call in the first 25 cycles has a chastity of > 0.6.
- Remaining cycles are ignored.
- More information on the Illumina support page: Support page

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## Why do we need a BAM format?

- As you will discuss extensively in the rest of this class, we typically want to map the short reads to a known reference genome.
- The BAM format is a binary format for storing aligned sequence data
  - Which means that it stores the reads plus the alignment position.
- BAM is the binary (compressed) version, and an equivalent uncompressed format exists (SAM format).

#### The BAM format is sorted and indexed

- A typical use of a BAM file is to extract information about a specific slice of sequence, not the whole genome or exome.
- It is therefore key to be able to access these slices very rapidly, without having to go through the whole file.
- To this end, BAM files can be sorted and indexed, which allows constant time access to any fraction of the BAM file.
- Best place to learn is the SAM/BAM reference file.

### The choice of the reference sequence matters

- A BAM file is dependent on the sequence it was aligned against.
- This information is encoded in the header of the BAM file.
- It is useful to get used to reading the headers of BAM files.
  - This can be done using samtools view -H.
  - All the information about what has happened to the BAM file can be found in the headers, as well as the reference genome.

## Basic samtools manipulation

- It is a good idea to read through the samtools manual.
- With the releases of samtools v1.0 and beyond, you should transition toward this location to update samtools.
- Most tools have sophisticated options but samtools view in particular can do useful thing:
  - Subset a specific gene/region/chromosome, potentially over the web.
  - Request specific flags for the reads.

# The flags associated with reads in BAM files

Flag	Chr	Description
0×0001	р	the read is paired in sequencing
0×0002	Р	the read is mapped in a proper pair
0×0004	u	the query sequence itself is unmapped
8000×0	U	the mate is unmapped
0×0010	r	strand of the query (1 for reverse)
0×0020	R	strand of the mate
0×0040	1	the read is the first read in a pair
0×0080	2	the read is the second read in a pair
0×0100	S	the alignment is not primary
0×0200	f	the read fails platform/vendor quality checks
0×0400	d	the read is either a PCR or an optical duplicate
From the samtools manual		

### Even more compression with CRAM

- CRAM is the next generation version of sequence data storage, meant to optimize storage.
  - This is more effective than BAM.
  - Similar handling, very much transparent use of BAM or CRAM for most purposes.
- Here is the news release, with full availability on June 2013.
- Recently released version of samtools fully incorporates the BAM format.
- More info on CRAM format:
  - A scientific paper.
  - And a more technical page about the CRAM format.