

# Eol Reviews by TRC

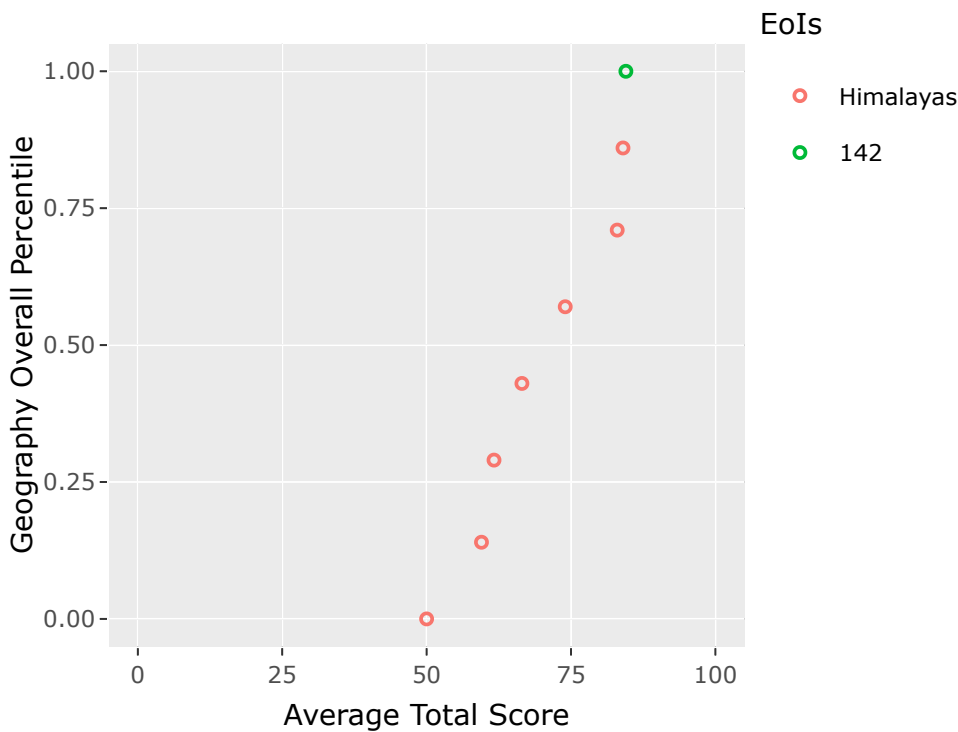
## Assessment of Eol: 142

Organization: NEPAL FEDERATION OF INDIGENOUS NATIONALITIES (NEFIN)

### Eol Metadata

name	Eol	Geography	state	Section 1	Section 2	Section 3	Total
Jennifer Rubis	142	Himalayas	Nepal	29	37	29	95
Jeffrey Y Campbell	142	Himalayas	Nepal	20	30	24	74

Performance of Eol 142 in Himalayas - Percentile by Average Score



## Section 1 - Experience & strengths relevant to the proposed Indigenous territory, landscape/seascape (Total Points: 30)

### A) Importance of the landscape/seascape/indigenous territory for biodiversity, with additional consideration to climate benefits.

1. Is the proposed territory/landscape/seascape a globally important area for biodiversity?

Scoring:

- Not significant;
- Low Significance;
- Moderate Significance;
- Medium-high Significance;
- High Significance;
- Exceptional Significance

*Reviewer A: 5/5 Reviewer B: 5/5*

**Average: 5/5**

*Evidence A:* As part of the Himalaya region, the Annapurna Conservation Area is a globally important biodiversity area.

*Evidence B:* Largest contiguous conservation area in a country with extremely high biodiversity.

2. Is the area important for climate mitigation?

Scoring:

- >50 t/ha - Low;
- 50 - 100 t/ha - Moderate;
- >100 t/ha - High

*Reviewer A: 1/2 Reviewer B: 2/2*

**Average: 1.5/2**

*Evidence A:* In accordance with the spatial resource provided.

*Evidence B:* Carbon sequestration data provided with high figures - especially for forested area.

### B) Geographical focus in an area under IPLC governance.

3. Is the area held and managed by IPLC under community-based governance systems?

Scoring:

- IPLC governance (rights and institutions) not evident;

- Project areas are marginally under IPLC governance (spatially or politically);
- Project areas are partially under IPLC systems of governance (spatially or politically);
- Project areas are largely under IPLC governance, but IPLC rights and/or institutions face significant constraints;
- Project areas are held and managed under IPLC governance systems, with some limitations;
- Project areas are held and managed under strong and active IPLC governance systems

*Reviewer A: 5/5 Reviewer B: 2/5*

**Average: 3.5/5**

*Evidence A:* Uses a community stewardship model with a roadmap for transferring management to indigenous peoples and local communities through a Council.

*Evidence B:* Still managed by an NGO - but project is led by IPLCs with intention to hand over management to them.

#### 4. Does the proposal explain the unique cultural significance of the area to IPLCs?

*Scoring:*

- No explanation given of unique significance to IPLCs;
- Significance of site(s) vaguely described;
- Unique significance of project site(s) clearly explained

*Reviewer A: 2/2 Reviewer B: 2/2*

**Average: 2/2**

*Evidence A:* Relationship between communities and the project site well described, including in terms of religious significance.

*Evidence B:* Well described.

#### C) Vulnerability of the proposed IPLCs as well as their lands/waters/natural resources to threats.

##### 5. Is the area vulnerable to threats/current risk of negative impacts to IPLC and biodiversity without action?

*Scoring:*

- No evident threats;
- Low threats;
- Moderate threats;
- Medium-high threats;
- High threats;
- Requires urgent action

*Reviewer A: 5/5 Reviewer B: 3/5*

**Average: 4/5**

*Evidence A:* Highlights urgent action in the light of the threats described including from climate change, large infrastructure projects including hydropower and roads.

*Evidence B:* Climate change, COVID-19 and additional infrastructure based incursions into the region will continue to cause stresses and threats.

D) Opportunities for ICI results - including enabling policy conditions, positive government support and presence of successful IPLC-led conservation initiatives that could be scaled up.

6. Are enabling policy conditions in place for IPLC-led conservation in the proposed area?

*Scoring:*

- Legal and policy frameworks in project areas undermine IPLC governance (either actively or through absence);
- Legal and policy frameworks recognize limited rights for IPLCs over their lands and/or resources;
- Legal and policy frameworks recognize rights over lands and resources but with constraints (e.g., lack implementing regulations);
- Legal and policy frameworks actively promote the recognition of IPLC governance

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* Strong customary law at the community level is coupled with national forestry and environmental policies

*Evidence B:* Enabling policies in place but implementation continuously delayed. Time for the transfer of rights to take place.

7. Is there active government support for IPLC-led conservation in the proposed country/area?

*Scoring:*

- National or sub-national governments are actively opposed to IPLC-led conservation;
- National or sub-national governments have recognized the importance of IPLC-led conservation;
- National or sub-national governments have implemented some support for IPLC-led conservation;
- National or sub-national governments are actively engaged in the promotion of IPLC rights and IPLC-led conservation

*Reviewer A: 3/3 Reviewer B: 1/3*

**Average: 2/3**

*Evidence A:* Strong customary law at the community level is coupled with national forestry and environmental policies

*Evidence B:* Policies are positive - implementation slower than desired.

8. Are there successful IPLC-led conservation initiatives in the proposed area that provide a foundation for scaling up?

*Scoring:*

- No IPLC-led conservation initiatives have been implemented;

- Few IPLC-led conservation projects have been implemented in pilot stages only;
- Some IPLC-led conservation projects have been implemented beyond pilot stages;
- Relevant IPLC-led conservation projects have been well established for many years

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* NEFIN describes relevant projects for undertaking IPLC conservation.

*Evidence B:* A number of projects have taken place - the need now is to go to scale and have a genuine devolution of rights.

### E) Synergies with existing investments.

9. Are there other initiatives (relevant projects) that provide complementary support for IPLC-led conservation in the geography?

*Scoring:*

- Few to no complementary projects/investment;
- Complementary projects/investments are small, or are tangentially related to project goals;
- Complementary Projects/investments align strongly with project goals and investments are substantial

*Reviewer A: 2/2 Reviewer B: 1/3*

**Average: 1.5/2**

*Evidence A:* Provision of co-financing comes from the organization as well as synergies with potential local government investments. Needless to say in the further development of the proposal, these will need to be concrete.

*Evidence B:* Local funding from municipalities and community match and contributions should not be underestimated in terms of importance.

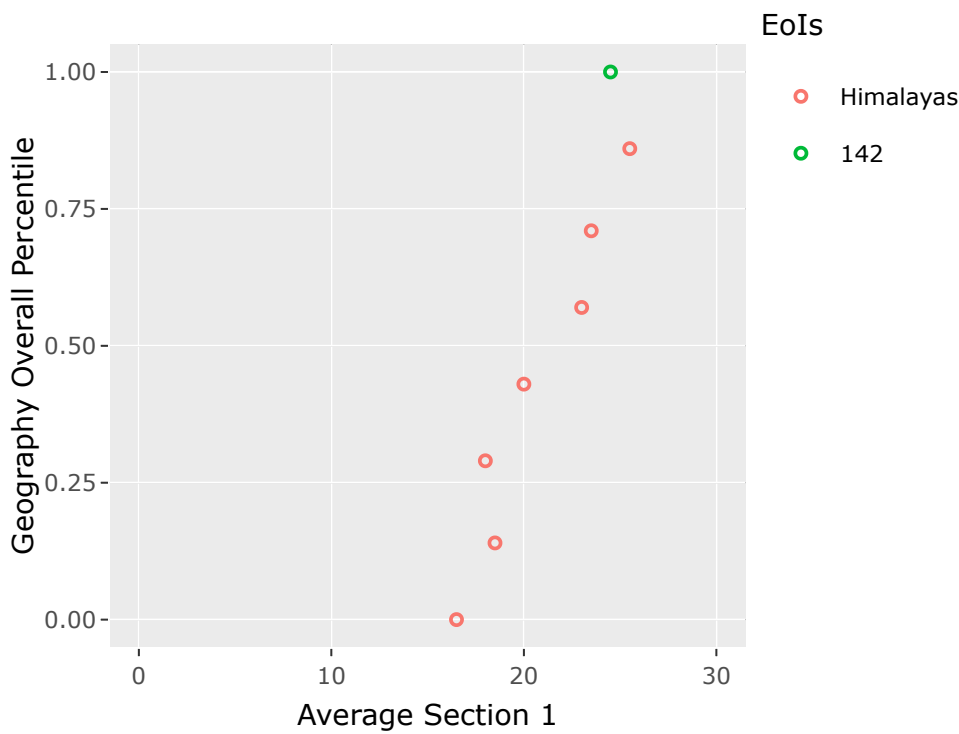
Section 1:

Reviewer A Total Score: 29/30

Reviewer B Total Score: 20/30

Average Total Score: 24.5/30

Performance of Eol 142 in Himalayas - Percentile by Average Score (Section 1)



## Section 2 - Quality and ability of the proposed approach and interventions to achieve transformational impact that generate the global environmental benefits (Total Points: 40)

### A) Quality of proposed approach and ability to support traditional structures, knowledge and community practices in the delivery of global environmental benefits.

1. Is the proposed approach well aligned with the overall objective of the ICI to: Enhance Indigenous Peoples' and Local Communities' (IPLCs) efforts to steward land, waters and natural resources to deliver global environmental benefits?

Scoring:

- Weakly aligned;
- Partially aligned;
- Well aligned;
- Exceptionally well aligned

*Reviewer A: 3/3 Reviewer B: 3/3*

**Average: 3/3**

*Evidence A:* The approach seeks to promote local indigenous institutions to achieve a variety of responsible environmental stewardship goals for global benefit.

*Evidence B:* Strong justification from an indigenously led organization.

2. Does the EoI present a clear and convincing set of activities and results?

Scoring:

- The objectives and approach for this project lack clarity and cohesion, and/or do not appear to be realistic for the context;
- Activities & results defined but logic (Theory of Change) is incomplete;
- Activities and results are well-defined and cohesive but some aspects require clarification;
- The project has clear objectives and a cohesive approach with relevant activities for the context and timeline

*Reviewer A: 6/6 Reviewer B: 6/6*

**Average: 6/6**

*Evidence A:* A series of concrete activities at different scales with logical sequencing, with an impressive focus on community partnerships. The organization might be encouraged to also activities that increase the uptake of the project with national government structures.

*Evidence B:* Further clarity on livelihood and green enterprise opportunities could be further developed.

3. Will the project (objectives and activities) contribute to overcoming identified threats and putting in place necessary enabling opportunities for IPLC-led conservation?

*Scoring:*

- Objectives and activities do not clearly address identified threats and opportunities;
- Contributions to addressing the threats and opportunities are low;
- Contributions to addressing threats and enabling conditions are slightly over-ambitious;
- The impact on threats and enabling conditions can be realistically accomplished and are sufficiently ambitious for the projects' context

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* A series of concrete activities at different scales with logical sequencing, with an impressive focus on community partnerships. The organization might be encouraged to also activities that increase the uptake of the project with national government structures.

*Evidence B:* A great deal depends on government willingness to transfer rights to local conservation committees.

4. Are the activities achievable within a \$500,000 to \$2,000,000 USD budget range in a period of 5 years of project execution?

*Scoring:*

- Activities/results not aligned with Eol range of investment;
- Activities/results Partially aligned with Eol range of investment ;
- Activities/results Well aligned with Eol range of investment ;
- Activities/results Exceptionally well aligned with Eol range of investment

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* With the budget range, the organization might be encouraged to also activities that increase the uptake of the project with national government structures.

*Evidence B:* Well aligned - potential for impacts is very good.

5. Does the Eol include significant and concrete sources of co-financing?

*Scoring:*

- None;
- Small;
- Moderate;
- Significant

*Reviewer A: 2/3 Reviewer B: 1/3*

**Average: 1.5/3**



*Evidence A:* These will need to be developed in the proposal

*Evidence B:* Co-financing beyond local municipal and community inputs and small projects remains a challenge. links to GCF or other GEF programmes would be a good idea.

**B) Potential of the proposed activities to achieve IPLC-led transformational impact that generate global environmental benefits.**

**6. Are the estimated Global Environmental Benefits (GEF core indicators) substantial and realistic?**

*Scoring:*

- Not provided;
- Very Low (below 10,000 Ha);
- Moderate (between 100,000 - 500,000 Ha);
- High (between 500,000 - 1,000,000 Ha);
- Very high above 1,000,000 Ha

*Reviewer A: 4/5 Reviewer B: 3/5*

**Average: 3.5/5**

*Evidence A:* As per the values given in the proposal

*Evidence B:* Moderate targets - partially constituted by existing forests and conserved areas.

**7. Are the additional cultural and livelihoods results contributing to project objectives?**

*Scoring:*

- No provided cultural or livelihood indicators for the project;
- Indicators proposed but are not clearly aligned with project goals;
- Indicators proposed and are moderately aligned with project goals;
- Additional cultural and/or livelihood indicators clearly derive from project goals

*Reviewer A: 3/3 Reviewer B: 3/3*

**Average: 3/3**

*Evidence A:* The organization might be encouraged to identify a few key indicators to make monitoring more streamlined.

*Evidence B:* Good planning - more detail needed on green enterprises perhaps.

**8. Does the EoI provide a clear and robust vision for long-term sustainability?**

*Scoring:*

- Vision for long-term sustainability not provided;

- This project does not seem to have a clear long-term impact;
- This project will create medium-term benefits for biodiversity and IPLC governance, which future funding will hopefully build upon;
- This project will ensure long-term benefits to biodiversity and IPLC systems of governance

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* NA

*Evidence B:* Highly dependent on degree of transfer of rights and ownership

### C) IPLC-led conservation that advances national and global environmental priorities.

9. Does the EoI build on and contribute to national priorities as defined in NBSAPs and/or NDCs?

*Scoring:*

- Contributions not provided;
- The project is weakly related to either national priorities;
- The project appears to be tangentially related to national priorities;
- The proposal reflects an understanding of the national policy priorities and clearly positions the project in relation to those priorities

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* As per the information provided in the proposal

*Evidence B:* Well assessed and positioned.

### D) Demonstrated gender mainstreaming in all activities.

10. Does the EoI provide a clear and robust approach to gender mainstreaming?

*Scoring:*

- Gender mainstreaming approach is absent;
- Gender mainstreaming approach is weak;
- Gender mainstreaming approach is moderately thought through (if there are a few activities as 'add ons');
- Significant and well-thought through approach to gender mainstreaming

*Reviewer A: 2/3 Reviewer B: 3/3*

**Average: 2.5/3**

*Evidence A:* Provides a plan for gender mainstreaming - in the development of a full proposal, this might be better guided to also include an understanding of any gender differentiated livelihood approaches, and how the eventual programme will nurture women-centered approaches that may be different from a typical mainstream approach.

*Evidence B:* Good thought process and articulation of gender strategy.

E) Innovation and potential to scale up.

11. Do the proposed activities and results demonstrate innovation and potential for transformative results at scale?

*Scoring:*

- None demonstrated;
- Low demonstrated potential;
- Moderate demonstrated potential;
- Medium-high demonstrated potential;
- High demonstrated potential;
- Exceptional demonstrated potential

*Reviewer A: 5/5 Reviewer B: 3/5*

**Average: 4/5**

*Evidence A:* Potential for replication in other areas.

*Evidence B:* Potential for some transfer to other protected areas and conservation zones

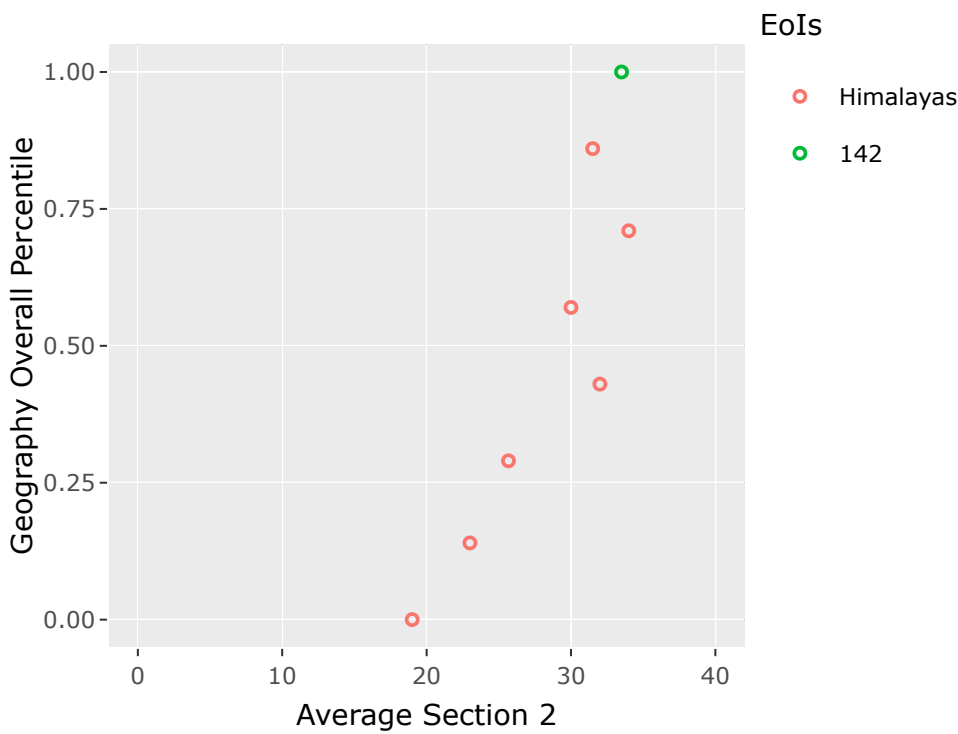
Section 2:

Reviewer A Total Score: 37/40

Reviewer B Total Score: 30/40

Average Total Score: 33.5/40

Performance of Eol 142 in Himalayas - Percentile by Average Score (Section 2)



## Section 3 - Qualifications and experience of the Organization (Total Points: 30)

### A) Indigenous Peoples or Local Community organization legally recognized under national laws.

1. Is the EoI led by an IPLC organization?

Scoring:

- IPLC appear to be beneficiaries only;
- Combination/partnership of IPLC organizations and NGOs, and plans to build IPLC capacity over the project term are clear;
- IPLC-led approach, NGOs in more limited, defined roles (such as fiduciary);
- Fully IPLC composed and led approach

*Reviewer A: 6/6 Reviewer B: 6/6*

**Average: 6/6**

*Evidence A: NA*

*Evidence B:* National IPLC organization (NEFIN) project - full engagement if local groups appears strong but not as well articulated.

### B) Demonstrated on the ground leadership related to Indigenous Peoples and/or Local Community Conservation.

2. Does the lead proponent demonstrate on-ground leadership relevant to the proposed work?

Scoring:

- None demonstrated;
- Limited demonstration of relevant on-ground leadership;
- Demonstrated on-ground leadership relevant to the proposed work;
- Exceptional and long-standing on-ground leadership relevant to the proposed work

*Reviewer A: 6/6 Reviewer B: 4/6*

**Average: 5/6**

*Evidence A: NA*

*Evidence B:* Fairly interesting track record of projects, and staff composition

### C) Proven relevant experience in working with IPLC networks, alliances and organizations/ strength of partnerships on the ground.

3. Does EoI demonstrate that the lead proponent has strong partnerships, particularly with other IPLC organizations, to carry out the work?

Scoring:

- No partners defined;

- No IPLC partners identified;
- IPLC organizations are listed as implementing partners but without clear scope (roles in project design or governance);
- IPLC organizations are listed as implementing partners with clear roles (in project design or governance);
- Strong IPLC partnerships that play a central role in design, governance, and implementation of the project;
- Strong IPLC partnerships have a central role in design, governance and implementation of the project and linkages with national or regional IPO networks

*Reviewer A: 5/5 Reviewer B: 4/5*

**Average: 4.5/5**

*Evidence A: NA*

*Evidence B: NEFIN is well linked to other organizations and IPLC networks.*

#### D) Technical expertise and capacity to address environmental problems, root causes and barriers.

4. Does EoI demonstrate technical capacity of lead proponent and partners to deliver the proposed results?

*Scoring:*

- No skills demonstrated;
- The skills and experiences outlined have little or no relation to the project activities;
- There is some lack of clarity or some gaps in the capacities necessary to implement the project;
- The activities clearly show how they plan to fill capacity gaps over the course of the project;
- They seem to have adequate skills and capacity for the project but do not have experience with GEF projects;
- The lead organization and project partners clearly communicate that they have all the skills and experience necessary to implement the project activities. Also, have past experience with GEF funded projects.

*Reviewer A: 4/5 Reviewer B: 4/5*

**Average: 4/5**

*Evidence A: NA*

*Evidence B: No GEF experience but rounded staff team.*

#### E) Project Management capacity.

5. Does the EoI demonstrate project & financial management capacity needed for scale of proposed effort?

*Scoring:*

- Very limited (no criteria met);
- Some capacity but would require support (1/3 criteria);
- Moderate capacity (2/3 criteria met);

- Very strong (all criteria met) with demonstrated past performance

*Reviewer A: 6/6 Reviewer B: 4/6*

**Average: 5/6**

*Evidence A: NA*

*Evidence B:Appears strong.*

6. Does lead organization have experience with safeguards and other standards required by GEF?

*Scoring:*

- Answered no;
- Answered yes but with weak or lacking explanation to the extent;
- Answered yes with clear explanation of the extent

*Reviewer A: 2/2 Reviewer B: 2/2*

**Average: 2/2**

*Evidence A: NA*

*Evidence B:Excellent response and good experience developing safeguards.*

## Section 3:

*Reviewer A Total Score: 29/30*

*Reviewer B Total Score: 24/30*

**Average Total Score: 26.5/30**

Performance of Eol 142 in Himalayas - Percentile by Average Score (Section 3)

