

# Eol Reviews by TRC

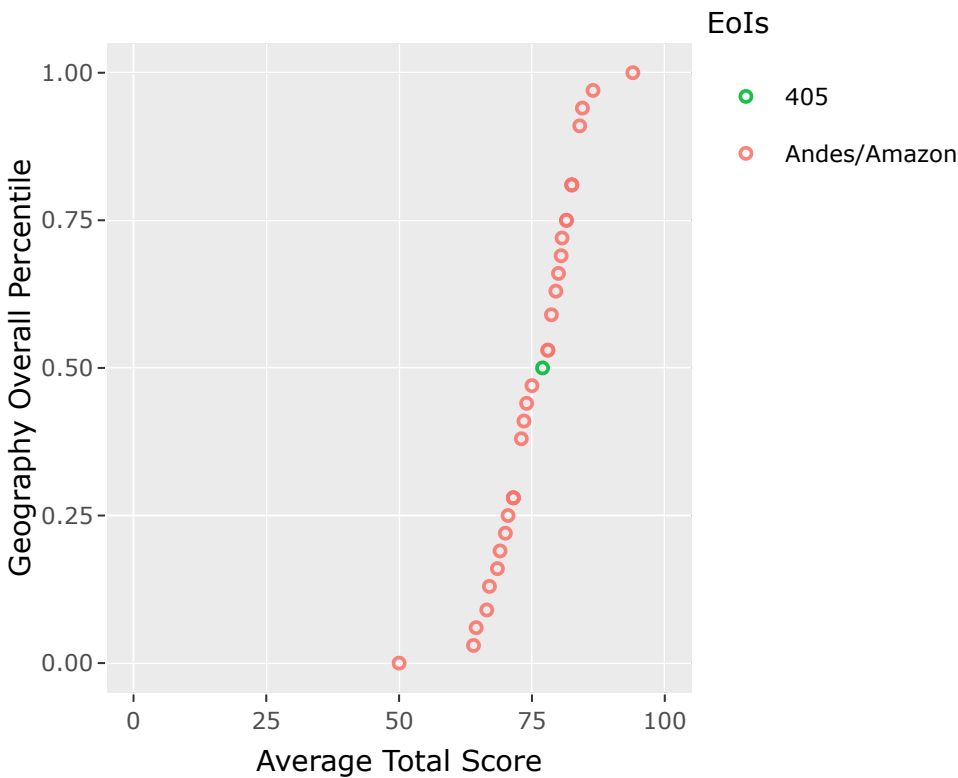
## Assessment of Eol: 405

Organization: Federación de Centros Awá del Ecuador

### Eol Metadata

| name                 | Eol | Geography    | state   | Section 1 | Section 2 | Section 3 | Total |
|----------------------|-----|--------------|---------|-----------|-----------|-----------|-------|
| Carlos César Durigan | 405 | Andes/Amazon | Ecuador | 21        | 29        | 27        | 77    |
| Claudio C. Maretti   | 405 | Andes/Amazon | Ecuador | 23        | 30        | 24        | 77    |

Performance of Eol 405 in Andes/Amazon - Percentile by Average Score



## Section 1 - Experience & strengths relevant to the proposed Indigenous territory, landscape/seascape (Total Points: 30)

### A) Importance of the landscape/seascape/indigenous territory for biodiversity, with additional consideration to climate benefits.

1. Is the proposed territory/landscape/seascape a globally important area for biodiversity?

Scoring:

- Not significant;
- Low Significance;
- Moderate Significance;
- Medium-high Significance;
- High Significance;
- Exceptional Significance

*Reviewer A: 5/5 Reviewer B: 4/5*

**Average: 4.5/5**

*Evidence A:* As presented in the proposal, the landscapes included in it are part of important conservation hotspots: Chocó and Andes Tropicales, including protected areas and Awá binational corridor and Chiles - Mataje corridor. Including KBA approach, the area is important for endemic and threatened species. amenazadas y endémicas en el país.

*Evidence B:*NA

2. Is the area important for climate mitigation?

Scoring:

- >50 t/ha - Low;
- 50 - 100 t/ha - Moderate;
- >100 t/ha - High

*Reviewer A: NA/2 Reviewer B: 1/2*

**Average: 1/2**

*Evidence A:* Relatively low value globally, considering the irrecoverable carbon estimates.

*Evidence B:*NA

### B) Geographical focus in an area under IPLC governance.

3. Is the area held and managed by IPLC under community-based governance systems?

Scoring:

- IPLC governance (rights and institutions) not evident;
- Project areas are marginally under IPLC governance (spatially or politically);
- Project areas are partially under IPLC systems of governance (spatially or politically);
- Project areas are largely under IPLC governance, but IPLC rights and/or institutions face significant constraints;
- Project areas are held and managed under IPLC governance systems, with some limitations;
- Project areas are held and managed under strong and active IPLC governance systems

*Reviewer A: 3/5 Reviewer B: 4/5*

**Average: 3.5/5**

*Evidence A:* The Awá Federation seems to be evolving in organization, mobilization and official recognition, but considering the difficulties, threats and challenges, the Federation seems to face true challenges to do a good and well structured governance at scale.

*Evidence B:*NA

#### 4. Does the proposal explain the unique cultural significance of the area to IPLCs?

*Scoring:*

- No explanation given of unique significance to IPLCs;
- Significance of site(s) vaguely described;
- Unique significance of project site(s) clearly explained

*Reviewer A: 1/2 Reviewer B: 1/2*

**Average: 1/2**

*Evidence A:* I felt a better description about the cultural significance. As far as I can understand, there is a huge pressure over communities, including cultural impacts driving communities to change some aspects of their livelihoods and affecting good mobilization to generate a stronger participatory governance systems. Cultural elements worked in a more strong way, would be interesting to strenght the process to create a more effective governance. Then is important to be more clear the importance of cultural aspects.

*Evidence B:*NA

#### C) Vulnerability of the proposed IPLCs as well as their lands/waters/natural resources to threats.

#### 5. Is the area vulnerable to threats/current risk of negative impacts to IPLC and biodiversity without action?

*Scoring:*

- No evident threats;
- Low threats;
- Moderate threats;
- Medium-high threats;
- High threats;

- Requires urgent action

*Reviewer A: 3/5 Reviewer B: 3/5*

### **Average: 3/5**

*Evidence A:* Considering the threats growing fast in the region and changes related to local production patterns due increase of cattle ranching and logging, and seeing what is presented in Global Forest Change map, I consider a relatively medium to high threats to the area if not related to strenght governance and management is done.

*Evidence B:*NA

## D) Opportunities for ICI results - including enabling policy conditions, positive government support and presence of successful IPLC-led conservation initiatives that could be scaled up.

6. Are enabling policy conditions in place for IPLC-led conservation in the proposed area?

*Scoring:*

- Legal and policy frameworks in project areas undermine IPLC governance (either actively or through absence);
- Legal and policy frameworks recognize limited rights for IPLCs over their lands and/or resources;
- Legal and policy frameworks recognize rights over lands and resources but with constraints (e.g., lack implementing regulations);
- Legal and policy frameworks actively promote the recognition of IPLC governance

*Reviewer A: 2/3 Reviewer B: 3/3*

### **Average: 2.5/3**

*Evidence A:* Seeing all the information presented, a very well established plan to strenght governance and management over the proposed area is crucial to local Awá leadership move forward. I understand they already have a good and important recognition in terms of land uses and in cultural terms.

*Evidence B:*NA

7. Is there active government support for IPLC-led conservation in the proposed country/area?

*Scoring:*

- National or sub-national governments are actively opposed to IPLC-led conservation;
- National or sub-national governments have recognized the importance of IPLC-led conservation;
- National or sub-national governments have implemented some support for IPLC-led conservation;
- National or sub-national governments are actively engaged in the promotion of IPLC rights and IPLC-led conservation

*Reviewer A: 2/3 Reviewer B: 3/3*

### **Average: 2.5/3**

*Evidence A:* I understood from the text presented that there is some political and technical support and including some levels of government agencies involvement in Awá Federation efforts. Then, there is a good potential to move on to strenght governance and management through some of the proposed activities.

8. Are there successful IPLC-led conservation initiatives in the proposed area that provide a foundation for scaling up?

Scoring:

- No IPLC-led conservation initiatives have been implemented;
- Few IPLC-led conservation projects have been implemented in pilot stages only;
- Some IPLC-led conservation projects have been implemented beyond pilot stages;
- Relevant IPLC-led conservation projects have been well established for many years

Reviewer A: 3/3 Reviewer B: 2/3

**Average: 2.5/3**

*Evidence A:* Awá Federation has a solid history of mobilizations and coordination of a series of interesting projects and initiatives and I understand they have been evolving well in recent years in different and complementary ways, having a well established basis to scaling up to create a strong governance system.

*Evidence B:*NA

E) Synergies with existing investments.

9. Are there other initiatives (relevant projects) that provide complementary support for IPLC-led conservation in the geography?

Scoring:

- Few to no complementary projects/investment;
- Complementary projects/investments are small, or are tangentially related to project goals;
- Complementary Projects/investments align strongly with project goals and investments are substantial

Reviewer A: 2/2 Reviewer B: 2/3

**Average: 2/2**

*Evidence A:* I believe yes, they already are implementing actions related to ecotourism, sustainable management and protection of territory. The challenge now is how to implement a management and governance plan to link all activities and includes production activities to promote sustainability in livelihoods and agriculture/cattle ranching and other subsistence activities.

*Evidence B:*NA

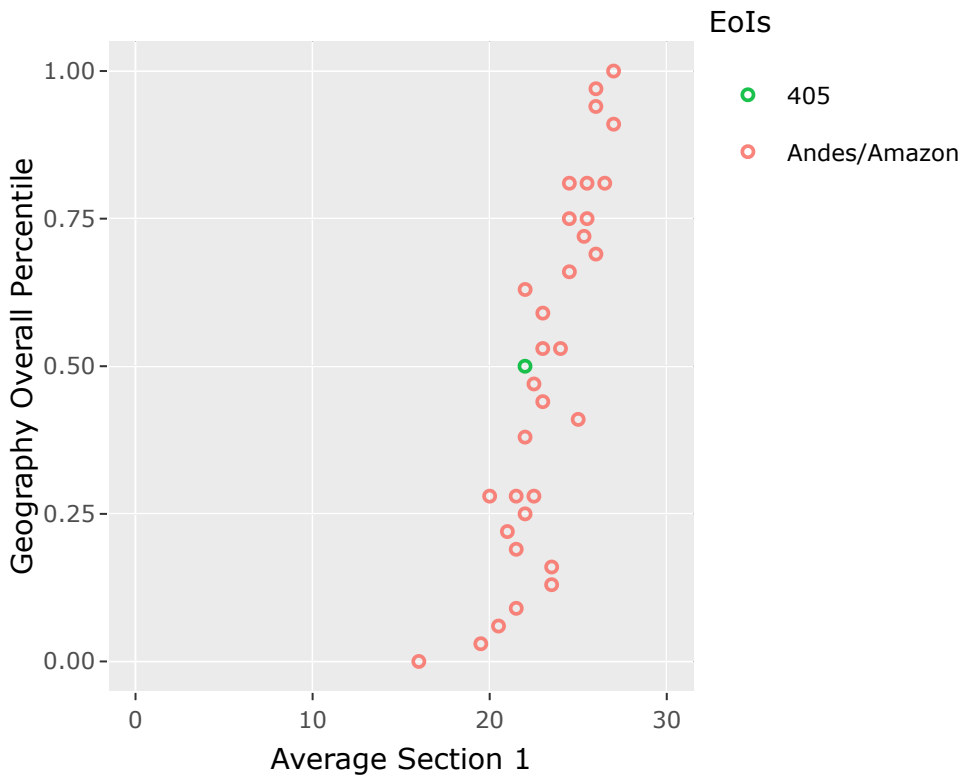
Section 1:

Reviewer A Total Score: 21/30

Reviewer B Total Score: 23/30

Average Total Score: 22/30

Performance of Eol 405 in Andes/Amazon - Percentile by Average Score (Section 1)



## Section 2 - Quality and ability of the proposed approach and interventions to achieve transformational impact that generate the global environmental benefits (Total Points: 40)

### A) Quality of proposed approach and ability to support traditional structures, knowledge and community practices in the delivery of global environmental benefits.

1. Is the proposed approach well aligned with the overall objective of the ICI to: Enhance Indigenous Peoples' and Local Communities' (IPLCs) efforts to steward land, waters and natural resources to deliver global environmental benefits?

Scoring:

- Weakly aligned;
- Partially aligned;
- Well aligned;
- Exceptionally well aligned

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* Yes, is a well based proposal including strenghtening of IPLCs led by Awá Federation, considering the main line is protection and conservation of traditionally occupied land, including ecosystem services

*Evidence B:*NA

2. Does the EoI present a clear and convincing set of activities and results?

Scoring:

- The objectives and approach for this project lack clarity and cohesion, and/or do not appear to be realistic for the context;
- Activities & results defined but logic (Theory of Change) is incomplete;
- Activities and results are well-defined and cohesive but some aspects require clarification;
- The project has clear objectives and a cohesive approach with relevant activities for the context and timeline

*Reviewer A: 4/6 Reviewer B: 4/6*

**Average: 4/6**

*Evidence A:* The proposal is pretty ambitious and includes a series of inter-related line of actions. But is not clear the link to a previous Plan, already existed and briefly mencioned. In a detailed proposal, will be important to better define what is objectives and what would be better to be sub-objective or activities. The Updated Plan, for instance, would be an objective and includes a series of actions listed. This Plan, well done, would includes zonning, rules, protections, education, communication, etc, to guide pilot activities through the years, including fund raising during the following years.

*Evidence B:*NA

### 3. Will the project (objectives and activities) contribute to overcoming identified threats and putting in place necessary enabling opportunities for IPLC-led conservation?

Scoring:

- Objectives and activities do not clearly address identified threats and opportunities;
- Contributions to addressing the threats and opportunities are low;
- Contributions to addressing threats and enabling conditions are slightly over-ambitious;
- The impact on threats and enabling conditions can be realistically accomplished and are sufficiently ambitious for the projects' context

*Reviewer A: 3/3 Reviewer B: 2/3*

**Average: 2.5/3**

*Evidence A:* I understand once the objectives and actions are better structured, the proposal would contribute in a significant way to accomplish good results on related threats.

*Evidence B:* NA

### 4. Are the activities achievable within a \$500,000 to \$2,000,000 USD budget range in a period of 5 years of project execution?

Scoring:

- Activities/results not aligned with EoI range of investment;
- Activities/results Partially aligned with EoI range of investment ;
- Activities/results Well aligned with EoI range of investment ;
- Activities/results Exceptionally well aligned with EoI range of investment

*Reviewer A: 1/3 Reviewer B: 3/3*

**Average: 2/3**

*Evidence A:* The proposal presents a large list of objectives and related actions demanding each one an impressive quantity of work and engagement of many people (technicians, leaders, community members, etc) and I think the organization could have difficulties to deliver all the proposed products considering the available amount. In my view, the proposal will demand at least a value close to the maximum cover and maybe will be necessary to review the objectives/actions for this. So, an exercise to review objectives and activities would be good to a better planning, trying to prioritize actions to focus on what is relevant for this phase of Federation activities to construct a good basis and then some secondary actions would be considered to be made in a second project or phase.

*Evidence B:* According to the EoI.

### 5. Does the EoI include significant and concrete sources of co-financing?

Scoring:

- None;



- Small;
- Moderate;
- Significant

*Reviewer A: 3/3 Reviewer B: 3/3*

### **Average: 3/3**

*Evidence A:* Considering the Awá Federation already has about US\$ 400k per year and has support from government agencies and local governments, I believe they have a significant existing budget to co-financing the actions proposed. But of course, considering the possibility to them to get the total offered ammount for 5 years and considering to cut/reformulate actions/objectives to fit better.

*Evidence B:*NA

## **B) Potential of the proposed activities to achieve IPLC-led transformational impact that generate global environmental benefits.**

6. Are the estimated Global Environmental Benefits (GEF core indicators) substantial and realistic?

*Scoring:*

- Not provided;
- Very Low (below 10,000 Ha);
- Moderate (between 100,000 - 500,000 Ha);
- High (between 500,000 - 1,000,000 Ha);
- Very high above 1,000,000 Ha

*Reviewer A: 2/5 Reviewer B: 2/5*

### **Average: 2/5**

*Evidence A:* the proposal includes an area over 40,000 ha.

*Evidence B:*NA

7. Are the additional cultural and livelihoods results contributing to project objectives?

*Scoring:*

- No provided cultural or livelihood indicators for the project;
- Indicators proposed but are not clearly aligned with project goals;
- Indicators proposed and are moderately aligned with project goals;
- Additional cultural and/or livelihood indicators clearly derive from project goals

*Reviewer A: 2/3 Reviewer B: 3/3*

### **Average: 2.5/3**

*Evidence A:* I felt a lack of a more robust list of cultural/livelihoods indicator. For me, indicator is always better when measurable, e.g.: at least 30 women participating in decision making processes etc..., or at least 150 people capacitated in one year of project. I felt a lack of some project activities, for instance, the ecotourism activities, and felt a lack of mentioning cultural and livelihoods aspects to be considered in a more strong way in the listed indicators.

*Evidence B:*NA

## 8. Does the EoI provide a clear and robust vision for long-term sustainability?

*Scoring:*

- Vision for long-term sustainability not provided;
- This project does not seem to have a clear long-term impact;
- This project will create medium-term benefits for biodiversity and IPLC governance, which future funding will hopefully build upon;
- This project will ensure long-term benefits to biodiversity and IPLC systems of governance

*Reviewer A:* 2/3 *Reviewer B:* 3/3

**Average: 2.5/3**

*Evidence A:* As presented, I understand the sustainability of this proposal will be assured for other potential policies and initiatives, but in my view, this sustainability will be directly linked to good results from the proposed activities and good governance and fund raising procedures to be done in parallel, not assured by now, but with a good potential, considering the words presented.

*Evidence B:*NA

## C) IPLC-led conservation that advances national and global environmental priorities.

### 9. Does the EoI build on and contribute to national priorities as defined in NBSAPs and/or NDCs?

*Scoring:*

- Contributions not provided;
- The project is weakly related to either national priorities;
- The project appears to be tangentially related to national priorities;
- The proposal reflects an understanding of the national policy priorities and clearly positions the project in relation to those priorities

*Reviewer A:* 3/3 *Reviewer B:* 3/3

**Average: 3/3**

*Evidence A:* NA

*Evidence B:*NA

## D) Demonstrated gender mainstreaming in all activities.

### 10. Does the EoI provide a clear and robust approach to gender mainstreaming?

*Scoring:*

- Gender mainstreaming approach is absent;
- Gender mainstreaming approach is weak;
- Gender mainstreaming approach is moderately thought through (if there are a few activities as 'add ons');
- Significant and well-thought through approach to gender mainstreaming

*Reviewer A: 2/3 Reviewer B: 2/3*

**Average: 2/3**

*Evidence A:* Despite the proposal presents a worry about a gender perspective and necessity to strenght this approach, I felt the presentation of a well based list of activities related to this approach. It is crucial to plan clear activities to ensure a gender perspective close to local communities, actively doing and incentivating a gender based protagonism in all expected activities.

*Evidence B:*NA

E) Innovation and potential to scale up.

11. Do the proposed activities and results demonstrate innovation and potential for transformative results at scale?

*Scoring:*

- None demonstrated;
- Low demonstrated potential;
- Moderate demonstrated potential;
- Medium-high demonstrated potential;
- High demonstrated potential;
- Exceptional demonstrated potential

*Reviewer A: 4/5 Reviewer B: 3/5*

**Average: 3.5/5**

*Evidence A:* NA

*Evidence B:*NA

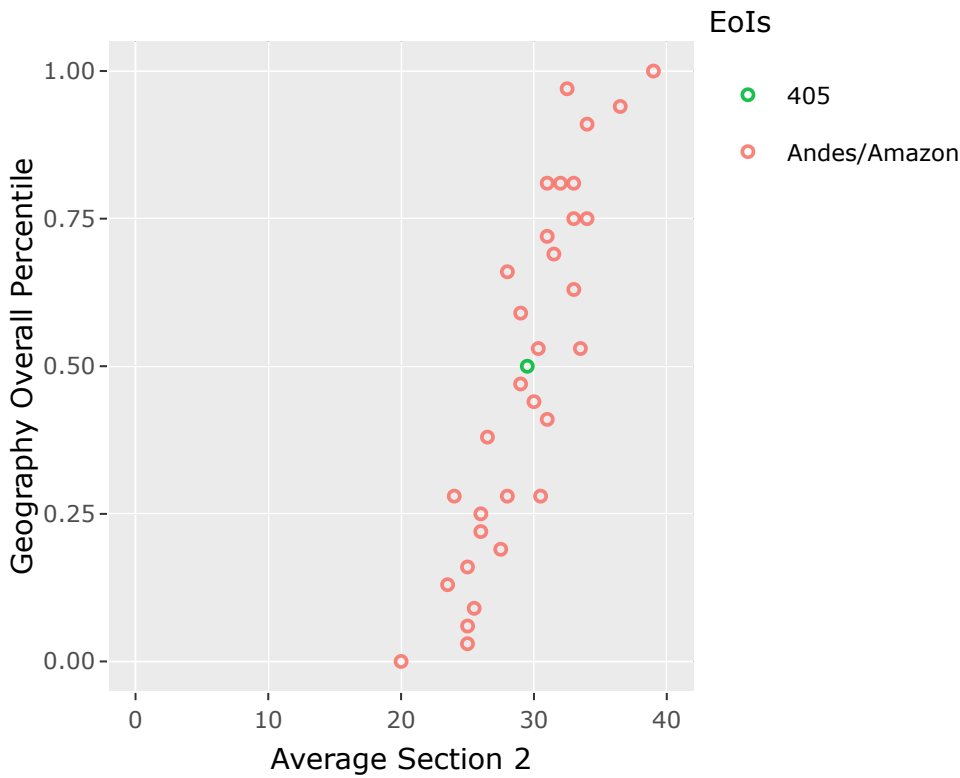
Section 2:

Reviewer A Total Score: 29/40

Reviewer B Total Score: 30/40

Average Total Score: 29.5/40

Performance of Eol 405 in Andes/Amazon - Percentile by Average Score (Section 2)



## Section 3 - Qualifications and experience of the Organization (Total Points: 30)

### A) Indigenous Peoples or Local Community organization legally recognized under national laws.

1. Is the EoI led by an IPLC organization?

Scoring:

- IPLC appear to be beneficiaries only;
- Combination/partnership of IPLC organizations and NGOs, and plans to build IPLC capacity over the project term are clear;
- IPLC-led approach, NGOs in more limited, defined roles (such as fiduciary);
- Fully IPLC composed and led approach

*Reviewer A: 6/6 Reviewer B: 6/6*

**Average: 6/6**

*Evidence A: NA*

*Evidence B:NA*

### B) Demonstrated on the ground leadership related to Indigenous Peoples and/or Local Community Conservation.

2. Does the lead proponent demonstrate on-ground leadership relevant to the proposed work?

Scoring:

- None demonstrated;
- Limited demonstration of relevant on-ground leadership;
- Demonstrated on-ground leadership relevant to the proposed work;
- Exceptional and long-standing on-ground leadership relevant to the proposed work

*Reviewer A: 6/6 Reviewer B: 6/6*

**Average: 6/6**

*Evidence A: NA*

*Evidence B:NA*

### C) Proven relevant experience in working with IPLC networks, alliances and organizations/ strength of partnerships on the ground.

3. Does EoI demonstrate that the lead proponent has strong partnerships, particularly with other IPLC organizations, to carry out the work?

Scoring:

- No partners defined;

- No IPLC partners identified;
- IPLC organizations are listed as implementing partners but without clear scope (roles in project design or governance);
- IPLC organizations are listed as implementing partners with clear roles (in project design or governance);
- Strong IPLC partnerships that play a central role in design, governance, and implementation of the project;
- Strong IPLC partnerships have a central role in design, governance and implementation of the project and linkages with national or regional IPO networks

*Reviewer A: 5/5 Reviewer B: 4/5*

**Average: 4.5/5**

*Evidence A: NA*

*Evidence B:NA*

#### D) Technical expertise and capacity to address environmental problems, root causes and barriers.

4. Does EoI demonstrate technical capacity of lead proponent and partners to deliver the proposed results?

*Scoring:*

- No skills demonstrated;
- The skills and experiences outlined have little or no relation to the project activities;
- There is some lack of clarity or some gaps in the capacities necessary to implement the project;
- The activities clearly show how they plan to fill capacity gaps over the course of the project;
- They seem to have adequate skills and capacity for the project but do not have experience with GEF projects;
- The lead organization and project partners clearly communicate that they have all the skills and experience necessary to implement the project activities. Also, have past experience with GEF funded projects.

*Reviewer A: 4/5 Reviewer B: 4/5*

**Average: 4/5**

*Evidence A: NA*

*Evidence B:NA*

#### E) Project Management capacity.

5. Does the EoI demonstrate project & financial management capacity needed for scale of proposed effort?

*Scoring:*

- Very limited (no criteria met);
- Some capacity but would require support (1/3 criteria);
- Moderate capacity (2/3 criteria met);

- Very strong (all criteria met) with demonstrated past performance

*Reviewer A: 6/6 Reviewer B: 4/6*

**Average: 5/6**

*Evidence A: NA*

*Evidence B:NA*

6. Does lead organization have experience with safeguards and other standards required by GEF?

*Scoring:*

- Answered no;
- Answered yes but with weak or lacking explanation to the extent;
- Answered yes with clear explanation of the extent

*Reviewer A: NA/2 Reviewer B: NA/2*

**Average: NaN/2**

*Evidence A: NA*

*Evidence B:NA*

Section 3:

Reviewer A Total Score: 27/30

Reviewer B Total Score: 24/30

Average Total Score: 25.5/30

Performance of Eol 405 in Andes/Amazon - Percentile by Average Score (Section 3)

