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Full title: Mixed language processing increases cross-language phonetic transfer in Bengali- English bilinguals

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Abstract:

This study investigates the phonetic outcome of mixed-language speech in Bengali and Indian English, towards understanding cross-language interaction in highly proficient bilinguals. We compare spectral properties of L2 vowels [æ] (common to L1, L2) and [2] (absent in L1) in code-switched (mixed) vs. non-switched productions. Results reveal asymmetrical shifts in both vowels during mixed productions, towards related L1 categories. We interpret this as a temporary increase in cross-language phonetic interaction during mixed-language use, leading to a shift towards L1 norms, evidencing transfer effects on L2 vowels. We elicit mixed productions through two tasks: cued picture-naming and code-switching, to assess if experi- mental paradigm independently influences the behavior under study. Results reveal parallel patterns, but small magnitude differences, across paradigms. We discuss these findings in light of recent proposals about asymmetries in short-term phonetic interaction, postulated discursive factors in code-switching, and the issue of comparability between paradigms in transfer studies.

Keywords: phonetic transfer; vowel quality; bilingual speech production; L1-L2 interaction; Indian English; Bengali

# Introduction: Phonetic transfer

Bi/multilingual speakers can distinguish between the phonetic norms of their languages and maintain separate sound categories for each language ([Bosch & Sebastián-Gallés](#_bookmark20), [2003](#_bookmark20); [Caramazza, Yeni-Komshian, Zurif, & Carbone](#_bookmark23), [1973](#_bookmark23); [MacLeod & Stoel-Gammon](#_bookmark47), [2010](#_bookmark47)). However, these categories are not autonomous— they influence each other across languages in both perception and production (e.g. [Flege](#_bookmark28), [1995](#_bookmark28); [Flege, MacKay, & Piske](#_bookmark30), [2002](#_bookmark30); [Fowler,](#_bookmark32) [Sramko, Ostry, Rowland, & Hallé](#_bookmark32), [2008](#_bookmark32)), and the nature of such interaction provides crucial insights into how language ‘systems’ are cognitively represented and processed. Based on the productions of proficient bilingual speakers of Bengali and English, this study reports that cross-language phonetic influence temporarily increases during mixed-language use.

Cross-language influence at the level of sounds can be studied in broadly two kinds of conditions: (i) While a bilingual speaker is operating in any one of their languages, by comparing bilingual speech to monolingual norms (e.g. [Caramazza et al.](#_bookmark23), [1973](#_bookmark23); [Flege](#_bookmark27), [1987](#_bookmark27); [Guion](#_bookmark40), [2003](#_bookmark40)); (ii) When both languages of a bilingual speaker are co-activated, by comparing speakers’ mixed-language speech to their own norms while using a single language (e.g. [Bullock & Toribio](#_bookmark22), [2009](#_bookmark22); [Elias, McKinnon, & Milla-Muñoz](#_bookmark26), [2017](#_bookmark26); [Grosjean & Miller](#_bookmark39), [1994](#_bookmark39); [Simonet](#_bookmark67), [2014](#_bookmark67)). The present study is concerned with the latter. In production, this kind of influence has been variously termed “transfer”, “drift”, “accommodation”, and “interference”. We use the term “transfer” here, to indicate any interaction between two sets of phonetic norms.

Existing research on the phonetic effects of mixed-language production has largely fo- cused on a limited set of phonologically related languages. A majority of these studies use temporal properties of consonants (in particular, voice onset time or VOT) to measure transfer. However, reported results vary greatly across studies, as discussed in the following subsections, and appear to be contingent upon both language-specific features and language

experience of the participants. This emphasizes the importance of considering data from a wider variety of populations, language pairs, and phonetic features in order to make mean- ingful generalizations. Widespread multilingualism in the Indian subcontinent suggests that phonetic behavior in these populations can be particularly valuable towards understanding the nature of such cross-language interactions, as it is likely to reflect real-world experi- ence with mixed-language processing. However, there is no work yet on short-term phonetic transfer in the Indian subcontinent, or in any Indo-Aryan language.

The present study examines phonetic transfer between Bengali and English in a group of highly proficient bilingual speakers in India. We measure spectral properties, F1 and F2, of two English vowels to ascertain if L1 influence on L2 increases during mixed-language use, relative to a participant’s baseline production of L2. Mixed-language data is elicited in two switching paradigms: cued picture-naming and code-switching. We compare these results to assess if differences between the paradigms independently influence the outcome of phonetic interaction. The results demonstrate a shift in L2 vowel quality during mixed- language production, with parallel patterns but different magnitude of transfer between the two paradigms. We discuss these findings in light of recent proposals about asymmetries in short-term phonetic interaction and the role of connected speech in introducing discursive factors to transfer studies.

Existing studies have studied phonetic transfer along different acoustic features. While discussing the literature in subsequent sections, we will often indicate the acoustic feature in parentheses following a citation, for clarity.

## Bengali and English in India

Demography

Bengali is an Indo-Aryan language primarily spoken in India and Bangladesh. In India, more than 97 million people speak Bengali as a first language (Census of India, 2011), mostly in the state of West Bengal. A majority of this population also speaks additional languages.

Indian English (IE) refers to the variety of English spoken in the Indian subcontinent. It is spoken as an L2 by 129 million people (Census of India, 2011). English is one of two official languages, used in education, law, media, as a lingua franca mainly by an educated elite in most metropolitan regions, and carries high prestige value ([Kachru](#_bookmark42), [1983](#_bookmark42); [Kachru &](#_bookmark43) [Smith](#_bookmark43), [1981](#_bookmark43); [Pandey](#_bookmark56), [2015](#_bookmark56); [Tollefson & Tsui](#_bookmark72), [2014](#_bookmark72)).

Indian English is not a monolithic entity; there are large regional variations in the pop- ulation, and the literature on IE phonology is thus neither “standardized” nor uncontested. Recent research suggests that IE has a target phonology that is distinct from the varied L1s of its speakers, as well as from other native varieties of English (see [Sirsa and Redford](#_bookmark69) ([2013](#_bookmark69)) for a review). For the present study, we focus on “General(ized) Indian English” ([Masica](#_bookmark49), [1972](#_bookmark49)) ([1.1](#_bookmark2)). We focus specifically on short-term phonetic interaction during mixed-language use, because:

* + 1. In multilingual populations, long-term representations of sound categories are expected to be affected by multiple languages.
    2. Given the rarity of IE phonology without L1 ‘influence’ (it is almost exclusively spoken as an L2) it is more meaningful to think of cross-language transfer in L2 as relative to a speaker’s own production in a baseline condition.

The socio-linguistic facts around English in India also suggest that mixed-language pro- cessing of English and an L1 is an ecologically valid paradigm to understand short-term

phonetic interaction.

Vowel systems

The vowel inventory of Western Bangla consists of [i, e, æ, a:, O, o, u], and their nasalized counterparts ([Rubino & Garry](#_bookmark62), [2001](#_bookmark62)). The vowel chart in figure [1](#_bookmark79) shows their distribution in the F1×F2 formant space. Note that there is no mid-central vowel category.

The vowel system of IE contains the monophthongs [i, i, E, e, æ, @/2/3, a, O, o, u, u] ([Masica](#_bookmark49), [1972](#_bookmark49); [Wells & Wells](#_bookmark74), [1982](#_bookmark74))1. The vowel chart in figure [2](#_bookmark80) shows their distribution in the F1×F2 formant space. A single mid-central vowel corresponds to the categories [2,@,3:], which are treated as distinct in many native varieties of English ([Bansal](#_bookmark15), [1969](#_bookmark15); [Hickey](#_bookmark41), [2005](#_bookmark41); [Nihalani, Tongue, Hosali, & Crowther](#_bookmark53), [1979](#_bookmark53); [Wells & Wells](#_bookmark74), [1982](#_bookmark74)). Since the English items used in this study are traditionally transcribed with [2], we use this symbol to indicate the mid-central vowel throughout.

<Insert figure [1](#_bookmark79) about here>

<Insert figure [2](#_bookmark80) about here>

## What causes transfer and what does it affect?

Existing research has distinguished changes in category representations due to the acqui- sition of multiple sound systems (Speech Learning Model ([Flege](#_bookmark28), [1995](#_bookmark28), [2007](#_bookmark29)); Perceptual Assimilation Model-L2 ([Best & Tyler](#_bookmark17), [2007](#_bookmark17))), from interaction during accessing, processing, or articulation of these categories (transfer vs. interference ([Grosjean](#_bookmark38), [2012](#_bookmark38)); competence vs. performance interference ([Paradis](#_bookmark57), [1993](#_bookmark57))). Given their transient nature, dynamic changes in production during mixed-language use are generally attributed to the latter, e.g. online pro- cessing costs ([Olson](#_bookmark54), [2013](#_bookmark54); [Šimáčková & Podlipskỳ](#_bookmark64), [2015](#_bookmark64); [Tsui, Tong, & Chan](#_bookmark73), [2019](#_bookmark73), VOT),

1[@, 2, and 3] are treated as a single phoneme in many descriptions of IE; the slashes between the symbols indicate possible notations for the same category.

language mode ([Simonet](#_bookmark67), [2014](#_bookmark67), vowel quality), context-awareness ([Khattab](#_bookmark45), [2013](#_bookmark45), phono- logical variables). What triggers this interaction? [Olson](#_bookmark55) ([2016](#_bookmark55)) argues that cross-language phonetic effects could have two potential sources: (i) the local point of switch, and (ii) global co-activation of two languages: bilingual language mode ([Grosjean](#_bookmark37), [1998](#_bookmark37)).

A number of studies have specifically manipulated language mode, both in the presence and absence of switching. Overall, results suggest that: (i) In the absence of other manip- ulations, productions in a bilingual language mode show increased cross-language influence compared to a monolingual mode ([Simonet](#_bookmark67), [2014](#_bookmark67); [Simonet & Amengual](#_bookmark68), [2020](#_bookmark68), vowel quality);

(ii) However, language mode is not the sole source of influence during mixed language use— studies comparing switched and non-switched tokens produced in the same test block (iden- tical language mode) ([Olson](#_bookmark55), [2016](#_bookmark55); [Tsui et al.](#_bookmark73), [2019](#_bookmark73), VOT) or spontaneous conversation ([Piccinini & Arvaniti](#_bookmark59), [2015](#_bookmark59), VOT), have still reported a difference, suggesting that inde- pendently of mode, switching between languages triggers a local increase in cross-language transfer. (iii) How the two sources interact to influence the final outcome of transfer is not fully understood: [Olson](#_bookmark55) ([2016](#_bookmark55), VOT) found no additive effects of language mode, [Olson](#_bookmark54) ([2013](#_bookmark54), VOT) found a balanced language context to inhibit transfer compared to unbalanced contexts. Other studies have not analyzed the two separately, eliciting switched tokens in a bilingual test block and non-switched tokens in a monolingual test block, separated by a few hours to days ([Elias et al.](#_bookmark26), [2017](#_bookmark26), vowel quality), ([Antoniou, Best, Tyler, & Kroos](#_bookmark10), [2011](#_bookmark10); [Bullock & Toribio](#_bookmark22), [2009](#_bookmark22); [Schwartz, Balas, & Rojczyk](#_bookmark65), [2015](#_bookmark65); [Šimáčková & Podlipskỳ](#_bookmark64), [2015](#_bookmark64), [2018](#_bookmark66), VOT).

Since participants of this study are in an environment that largely contains mixed- language input and multilingual interlocutors, we expect that phonetic transfer during ev- eryday language use, if any, takes place in a bilingual mode (see [Grosjean](#_bookmark37), [1998](#_bookmark37)). Thus, we elicited all utterances in the same bilingual test block. Any observed differences in this paradigm would arguably result from interaction during online processing of sounds while

switching between languages.

In a bilingual mode, both language systems are expected to be nearly equally accessible throughout the test block. Thus, a consistent difference between switched and non-switched tokens in such a paradigm would be possible only if the effects were highly localized— if not, we should expect a gradual convergence over the course of the experiment. This is discussed in the next section.

## Duration of transfer effects

Longitudinal studies and between-subject comparisons of speakers with different durations of L2 exposure suggest that interaction between bilingual sound systems is dynamic (e.g. [Bohn & Flege](#_bookmark21), [1992](#_bookmark21)). Moreover, changes due to transfer are not necessarily unidirectional or irreversible. [Sancier, Fowler, et al.](#_bookmark63) ([1997](#_bookmark63), VOT) first demonstrated that spending 2-5 months in an L1 or L2 environment causes productions in both languages to “drift” towards the ambient language, showing that cross-language interaction can be triggered in an order of months, and also reversed within a similar time range. A more recent study by [Tobin,](#_bookmark71) [Nam, and Fowler](#_bookmark71) ([2017](#_bookmark71), VOT) reported comparable effects in an even shorter duration (2-4 weeks). Based on a short-term longitudinal study, [Chang](#_bookmark24) ([2012](#_bookmark24), VOT) demonstrates that over the course of the first five weeks of learning an L2, there is a gradual convergence of L1 towards L2. For some sounds and features (but not others, see sec.[1.5](#_bookmark6)), transfer was additive over time. How long these effects last in the absence of regular L2 input was not tested.

The research discussed above concerns situations where a speaker is operating in any one of their languages. When it comes to the phonetics of mixed-language use, the majority of existing studies only analyze the switched (target) token. Thus, there are few direct measurements of the duration of short-term transfer effects. One study which measured this in a code-switching paradigm ([Bullock & Toribio](#_bookmark22), [2009](#_bookmark22), VOT) did not find any residual

effects on the matrix language following a switch, suggesting that transfer during code- switching is localized. Indirect evidence in support of this comes from experiments which have elicited switched and non-switched tokens in the same test block and still reported differences between the two (e.g. [Olson](#_bookmark54), [2013](#_bookmark54); [Tsui et al.](#_bookmark73), [2019](#_bookmark73), VOT), suggesting that changes due to transfer are quickly ‘reset’— in an order of seconds.

Note that all the studies of mixed-language production discussed above measure VOT, which is a temporal feature. We have no a priori reason to assume that these durations generalize to vowel quality. However, findings from sub-categorical phonetic shifts triggered by other factors (such as convergence towards an interlocutor) do evidence rapid shifts in vowel quality within comparable time-frames (e.g. [Babel](#_bookmark12), [2010](#_bookmark12), [2012](#_bookmark13); [Pardo](#_bookmark58), [2010](#_bookmark58)). In this study, we present switched and non-switched tokens randomly within the same test block to induce a bilingual mode. We expect the intervening words between two subsequent targets to undo any residual effects of transfer.

## Asymmetries between languages in extent and direction of transfer

A recurring pattern that emerges from the literature on short-term phonetic transfer is that the extent and patterns of phonetic shift are not equivalent across the two languages of the bilingual speaker. Studies vary greatly in the exact differences they report. The sources of such asymmetry are of interest because they point towards the factors that mediate cross- language phonetic interaction. In this section, we discuss two proposed sources of such asymmetry that are relevant to the present study:

L1 vs. L2 status

Flege’s Speech Learning Model (SLM) ([1995](#_bookmark28); [2007](#_bookmark29)) proposes that the sound categories of a bilingual speaker exist in a common phonological space— in principle, both L1 and L2

categories can influence one another. Thus, patterns of cross-language transfer depend on how L2 phonemes are mapped in relation to the existing L1 categories. In non-switched production, this is evidenced through pervasive L1 influence on non-native contrasts that are perceptually linked to an existing L1 contrast (see equivalence classification: [Flege](#_bookmark27), [1987](#_bookmark27); [Flege & Hillenbrand](#_bookmark31), [1984](#_bookmark31)), and the observation that both L1 and L2 sound systems of bilinguals differ from those of corresponding monolingual speakers (e.g. [Guion](#_bookmark40), [2003](#_bookmark40), vowel quality).

In mixed-language production, the role of language status is less clear— studies have reported unidirectional influence of L1 on L2 ([Antoniou et al.](#_bookmark10), [2011](#_bookmark10); [Balukas & Koops](#_bookmark14), [2015](#_bookmark14); [Goldrick, Runnqvist, & Costa](#_bookmark34), [2014](#_bookmark34); [Šimáčková & Podlipskỳ](#_bookmark64), [2015](#_bookmark64), VOT), L2 on L1 ([Olson](#_bookmark54), [2013](#_bookmark54); [Tsui et al.](#_bookmark73), [2019](#_bookmark73), VOT), ([Elias et al.](#_bookmark26) ([2017](#_bookmark26)), vowel quality), bidirectional con- vergence ([Bullock & Toribio](#_bookmark22), [2009](#_bookmark22); [Olson](#_bookmark55), [2016](#_bookmark55), VOT), divergence ([Bullock & Toribio](#_bookmark22), [2009](#_bookmark22); [Šimáčková & Podlipskỳ](#_bookmark66), [2018](#_bookmark66), VOT), and no influence ([Muldner, Hoiting, Sanger, Blumen-](#_bookmark51) [feld, & Toivonen](#_bookmark51), [2019](#_bookmark51), vowel quality), ([Schwartz et al.](#_bookmark65), [2015](#_bookmark65), phonological process). Since most existing studies measure shifts in VOT, the observed asymmetries between languages have been variously explained either in terms of their L1 vs L2 status, or language-specific differences between long- and short-lag VOT languages.

[Olson](#_bookmark54) ([2013](#_bookmark54)) first reported a unidirectional VOT shift of L1 towards L2 in two different groups: native speakers of Spanish (short-lag) and English (long-lag), matched for proficiency and age of L2 acquisition. This established that beyond language-specific differences, the L1 vs L2 status of the language does mediate transfer. They interpret this asymmetry in terms of the Inhibitory Control Model (ICM) ([Green](#_bookmark36), [1998](#_bookmark36)): to select a phonetic realization from one language, the other must be inhibited. Greater inhibition is required on L1 while using an L2. This greater initial inhibition on L1 means that switching into L1 incurs a greater “switch cost” (more cross-language influence) than switching into L2. Lower switch costs translate to a lack of visible cross-language transfer effects on L2. [Tsui et al.](#_bookmark73) ([2019](#_bookmark73))

report comparable results, but only in participants who were not equally dominant in both languages. Balanced bilinguals did not demonstrate any transfer effects in VOT. They propose that this is because balanced bilinguals have better inhibitory control (low switch cost in both languages) due to greater experience with language switching.

Since differences between long-lag and short-lag languages independently affect the pho- netic realization of VOT, focusing on other phonetic features as sites for transfer can avoid this conflation, and clarify the precise effect of language status. However, given the impli- cations of the ICM, it is necessary to first establish that these L2 categories can indeed be affected by dynamic interference, particularly in bilinguals with extensive language-switching experience. There are relatively few studies on other phonetic features such as vowel quality (see [Elias et al.](#_bookmark26), [2017](#_bookmark26); [Muldner et al.](#_bookmark51), [2019](#_bookmark51); [Simonet](#_bookmark67), [2014](#_bookmark67)) or phonological processes (see [Schwartz et al.](#_bookmark65), [2015](#_bookmark65); [Simonet & Amengual](#_bookmark68), [2020](#_bookmark68)), and many of these focus on L1 categories as the target for transfer. This study builds on existing work by examining whether the L2 vowel quality of proficient bilinguals can be affected by mixed-language processing.

Differences between sound systems of the languages

In addition to the cognitive factors discussed above, many researchers have attributed asym- metries to language-internal factors, such as the shape, size, and composition of the sound inventory. For example, frequently reported asymmetries between long- and short-lag VOT languages (see, e.g. [Antoniou et al.](#_bookmark10), [2011](#_bookmark10); [Bullock & Toribio](#_bookmark22), [2009](#_bookmark22); [Chang](#_bookmark24), [2012](#_bookmark24); [Olson](#_bookmark55), [2016](#_bookmark55); [Tobin et al.](#_bookmark71), [2017](#_bookmark71)) and postulated frequency effects ([Antoniou et al.](#_bookmark10), [2011](#_bookmark10)) highlight that beyond interaction during the cognitive processing of sounds, phonetic transfer is ultimately a linguistic phenomenon, subject to language-specific phonological constraints. Therefore, it is imperative to consider data from a variety of language pairs. Existing research on phonetic transfer largely focuses on a limited set of phonologically related languages. The present study extends the scope of this research to a new pair of languages— Indian English

and Bengali.

## Asymmetries between sounds

Asymmetries in the extent and patterns of transfer have not only been observed between languages, but also between different sounds/features of a language, in both long-term and transient interactions. Studies that have examined multiple sound categories have found that interactions between individual sound pairs do not necessarily parallel the overall pattern of global (system-wide) shift (e.g. [Chang](#_bookmark24), [2012](#_bookmark24); [Elias et al.](#_bookmark26), [2017](#_bookmark26), vowel quality), suggesting that ‘extent of transfer’ cannot treated as an atomic measure. In light of the discussion about VOT in section [1.4](#_bookmark5), this is not surprising — if a general linguistic principle of ‘room for movement’ and contrast constrains transfer, then we should expect it to apply to individual sounds too. Once again, this emphasizes the importance of examining a wider range of sound contrasts.

In the present study, we focus on two vowel categories in Indian English: the mid-central vowel [2] and the low front vowel [æ]. There are two plausible sources of asymmetry between these:

1. Position in the IE vowel space: compared to [æ], [2] exists in a part of the vowel space that has a lower vowel density. This affords a greater latitude for movement without risking the loss of a contrast, particularly in the vowel height (F1) dimension. Thus, considering purely phonological constraints on IE, we expect that a greater degree of shift is possible in [2] compared to [æ]. Any movement in [æ] is expected to be primarily in the backness (F2) dimension.
2. Target of transfer: existing research on cross-language phonetic interaction suggests that changes in production during mixed-language use are not random, but rather targeted with respect to categories in the other language. Thus, another source of

asymmetry is the fact that the category [2] is absent in Bengali, whereas [æ] is a common category across both languages (see sec. [1.1](#_bookmark2)).

Flege’s Speech Learning Model (SLM) ([1995](#_bookmark28); [2007](#_bookmark29)) posits that sound categories which are common across languages influence each other because they share a common acoustic- phonetic space. Thus, if Bengali and English differ in their canonical realizations of [æ], then we should expect the English [æ] to shift towards the corresponding Bengali category in the mixed condition.

There is no obvious competing L1 category during the production of [2]. However, it is unlikely that this should altogether preclude a shift in [2], since at least one existing study has reported transfer effects on a non-common vowel category in a comparable paradigm ([Simonet](#_bookmark67), [2014](#_bookmark67)). Here, participants’ Catalan [O] shifted towards an acoustically close cat- egory [o], which is common to Catalan and Spanish, suggesting that movement might be towards a set of phonetic norms rather than a specific corresponding category.

We expect the vowel [2] to shift towards an acoustically/perceptually close category in IE— a probable candidate being the low vowel [a:], as anecdotal observations of Bengali- accented English suggest that the sounds are perceived as close by Bengali speakers.

Our research questions in this study concern whether L2 vowels are produced differently in nonswitch vs switch conditions. Existing literature suggests that such shifts are directional and targeted. Although our primary concern is whether productions in the two conditions differ, we wanted to understand how the direction of shift, if any, relates to corresponding Bengali vowel categories. To estimate average baseline differences in vowel quality between the relevant English and Bengali categories, we processed and used existing speech data from a freely available Bengali corpus, SHRUTI ([Das, Mandal, & Mitra](#_bookmark25), [2011](#_bookmark25)).

We extracted formant values from syllable-initial productions of [æ] and [a:] at 55% into the vowel, and compared these to our participants’ productions in the non-switched English

condition. This is visualized in figure [3](#_bookmark81). The F1×F2 plot indicates that the Bengali category

[æ] is more fronted, and [a:] lower and more fronted, compared to the English categories [æ] and [2] respectively. We elaborate on the corpus details and procedure in the Supplementary materials.

## A note on paradigms: connected speech or not?

This section motivates a secondary aim of this study: to verify if the extent and patterns of phonetic interaction in bilingual participants are independently mediated by the choice of experimental paradigm. Studies of phonetic transfer during mixed-language use have largely employed spontaneous or scripted code-switching (CS) to elicit mixed productions. CS is defined as the use of multiple languages within a single utterance, i.e. in connected speech ([Myers-Scotton](#_bookmark52), [1993](#_bookmark52)). Other paradigms which have included cued picture-naming, delayed repetition, interpreting across languages, reading word-lists etc. [Olson](#_bookmark54) ([2013](#_bookmark54)) argues that code-switching introduces discursive factors such as discourse planning and pragmatic considerations, which ultimately reflect patterns of language practice, rather than cognitive behavior. This finds support from studies that report transfer effects before the switch point ([Bullock & Toribio](#_bookmark22), [2009](#_bookmark22)), or other evidence for planning such as hyperarticulation ([Muldner](#_bookmark51) [et al.](#_bookmark51), [2019](#_bookmark51)) and interlocutor-awareness in spontaneous CS ([Khattab](#_bookmark45), [2013](#_bookmark45)). To avoid such confounds, [Olson](#_bookmark54) ([2013](#_bookmark54)) endorses cued picture-naming (where pictures are named in rapid succession in either language, based on the given language cue) as an alternative paradigm to isolate purely phonetic effects in controlled setups. This observation has important im- plications for how the existing literature on short-term phonetic interaction is interpreted: if the discursive properties of a CS paradigm independently affect the phonetic outcome of mixed-language use, this calls to question the comparability of results across studies using these different paradigms. Because the reported outcomes of short-term phonetic interac-

tion vary so greatly across studies (see section [1.4](#_bookmark4)), generalizations about the mechanisms that underlie these patterns often rely crucially on the assumption of such comparability. Therefore, identifying paradigm-specific differences is particularly relevant for this line of research.

Note that while there is evidence for planning in CS, precisely how this affects phonetic interaction cannot be predicted from the existing literature: while [Bullock and Toribio](#_bookmark22) ([2009](#_bookmark22)) found tokens immediately preceding the switch point to be phonetically distinct from the rest, one participant group converged towards the switch language (explained in terms of proficiency) while the other showed divergence (explained in terms of extra-linguistic factors). The participants in Muldner et al.’s ([2019](#_bookmark51)) study hyperarticulated switched tokens (indicating some degree of planning), and did not evidence a significant shift during mixed-language use. Moreover, recall that the findings of [Olson](#_bookmark55) ([2016](#_bookmark55)) suggest that there is a limit on the extent of transfer— multiple factors do not necessarily result in additive effects. Thus, the presence of additional factors in CS does not necessitate that this should alter the extent of observable transfer compared to any other paradigm. It is possible that the outcomes in the studies discussed above are better attributed to some other aspect of the experimental setup, rather than the act of code-switching in particular.

The precise contribution of various (linguistic and extra-linguistic) factors and their in- teractions to the phonetics mixed-language use merits detailed investigation in its own right. In the present study, however, our focus is limited to verifying whether, in an experimental setting, the postulated discursive elements of a CS paradigm alter the extent of observable transfer compared to a paradigm that arguably lacks these: cued picture-naming. We are less concerned with the exact nature of differences than with their comparability. While researchers have successfully employed each of these paradigms to induce phonetic interac- tion, comparison across studies is difficult since they also differ in other factors. We provide a starting point for such comparison by eliciting productions of the same tokens from the

same set of participants, in both paradigms. A difference in results will suggest that the planning involved in connected speech and code-switching affects transfer above and beyond the phonetic outcome of switching itself, which has implications that future work should consider.

## Research questions and hypotheses

* RQ1—L2 vowels: Are L2 vowel categories of proficient bilingual speakers affected by dynamic phonetic transfer during mixed-language production?
* H1—L2 vowels: We expect to see differences in the spectral properties of L2 vowels in switched vs non-switched tokens
* RQ2—Asymmetry: Do patterns of transfer differ between the two vowel categories?
* H2—Asymmetry: Since existing literature demonstrates targeted and phonologically- constrained shifts, we expect transfer effects to be category-specific. Based on the position of IE vowels compared to those of Bengali vowels from [Das et al.](#_bookmark25) ([2011](#_bookmark25)), we expect [2] to be lowered and fronted in the mixed condition. [æ] is expected to be fronted. We hypothesize that the extent of shift in [æ] to be lesser than [2], as it is in a part of the IE vowel space that has greater vowel density.
* RQ3—Paradigm: Is there an observable difference between the extent of transfer in a cued picture-naming vs. a code-switching paradigm?
* H3—Paradigm: While researchers have discussed code-switching as a source of discur- sive factors likely to affect transfer behavior, this has not been systematically studied. Existing literature does not allow us to predict precisely what form this effect might take (see discussion in Section [1.6](#_bookmark7)). Thus, this question is exploratory, and meant to serve as a starting point for more within-study comparisons.

# Methodology

The experiment had the following factorial design: 10 participants\* 20 target words\* 2 conditions\* 2 tasks\* 4 iterations = 3200 target tokens.

## Participants

Participants were recruited through an open call at the EFL University campus in Hyder- abad. Interested volunteers completed a brief language background questionnaire which was used to screen participants as described below.

As noted in section [1.1](#_bookmark1), the population is multilingual and linguistically diverse. The university campus comprises of students from different parts of the country and world, with varying L1s and different varieties of English. Our aim in screening participants was to minimize between-participant random variability, while maintaining ecological validity con- sidering the actual day-to-day settings in which transfer behaviors likely take place. We chose two metrics to do this: (i) where the participant grew up; and (ii) amount of for- mal education in Bengali. The first ensures that the variety of Bengali that individuals are exposed to in their surroundings is similar across participants. This would mean that the target representations for Bengali vowels would be expected to be similar. This was espe- cially important because we were not eliciting unilingual L1 Bengali data in this study, and were using an existing data source to estimate the position of Bengali vowels in the formant space. We expect that L2 vowels shift towards related L1 categories during mixed language utterances. Thus, we wanted to ensure that any between-participant asymmetries in the pattern of shift reflected individual differences in transfer, rather than different acoustic targets. Another reason to control for location was that it is a fairly reliable predictor for language(s) of education(see Supplementary materials for details).

The second metric is Medium of Instruction (MoI) in school. Sustained formal education

in a language is a reliable predictor of LSRW (listening, speaking, reading, writing) skills. Since this study uses written stimuli, we wanted to ensure that all participants were suffi- ciently comfortable with reading and speaking both Bengali and English, to avoid variability due to reading/task effort.

Given these considerations, we only recruited participants who had grown up in the state of West Bengal and lived there for the majority of their lives, had parents who both spoke Bengali, and had received at least 5 years of formal education in Bengali (all respondents had received 5+ years of education in English and were pursuing university degrees that are taught in English), and self-reported being proficient in speaking, understanding, and reading Bengali.

A total of 28 bilingual speakers of Bengali and English (18 female) responded to the recruitment call. Using the inclusion criteria reported above, 10 volunteers (5 female, 5 male, age range 19 to 28) were invited to participate in a speech production study, and were compensated for their time. After completion of the study, we followed up with a detailed language background survey at a later date to learn more about the language profiles of the participants. This survey was a modified and consolidated version of three popular bilingual- ism profiling tools: the Bilingual Language Profile (BLP) ([Birdsong, Gertken, & Amengual](#_bookmark18), [2012](#_bookmark18)), the Language Experience and Proficiency Questionnaire (LEAP-Q) ([Marian, Blu-](#_bookmark48) [menfeld, & Kaushanskaya](#_bookmark48), [2007](#_bookmark48)) which incorporates language history and self-assessed pro- ficiency, and the Bilingual Switching Questionnaire (BSWQ) ([Rodriguez-Fornells, Kramer,](#_bookmark61) [Lorenzo-Seva, Festman, & Münte](#_bookmark61), [2012](#_bookmark61)). Together, these incorporate questions about lan- guage history, usage, attitudes, and switching experience. Table [1](#_bookmark75) summarizes some key biographical data from the survey. The complete questionnaire and responses are available in the Data component of the OSF repository for this project (see section [5](#_bookmark9)). A more de- tailed discussion of the linguistic situation in India and language profiles of participants is included as Supplementary material.

Given our inclusion criteria, we were able to recruit 10 participants for this study. Al- though existing studies in the field have used comparable participant numbers, we acknowl- edge that this is a small sample size. As detailed above, even after a recruitment call that already controlled for language background and education level, we were able to include only 10 out of 28 volunteers (35.7%) in the final study. The criteria used here establish only a minimal level of between-participant similarity in exposure, dominance, habits, and proficiency, such as is extremely common in most comparable studies. This demonstrates the degree of linguistic variability in the present population. Norms for experimental re- search on bilingualism have largely been established in a Western context. In settings where linguistic variability at the population level is much greater than these canonically studied groups, establishing comparable levels of experimental control requires significantly greater resources. Given that small sample sizes are likely to have low statistical power, replication with larger sample sizes are important to confirm the observed effects, and provide much- needed data diversity. A more detailed discussion of power analyses in bilingualism research is included as Supplementary material. We hope that this can facilitate more conversation around establishing norms for statistical power and sample size in our field.

## Stimuli

Twenty monosyllabic English words, ten containing the vowel [2] and ten containing [æ], were selected as target words. To minimize coarticulatory effects on the vowel, the pre- vocalic consonants were all voiced plosives ([b] or [d]). 10 monosyllabic English words that do not contain the target vowel served as filler items. We avoided English words that are already lexicalized loanwords in Bengali. The complete list of target words can be found in the “Materials” component of the OSF repository for this project (see section [5](#_bookmark9)).

## Procedure

Participants were recorded individually in a sound attenuated room. Productions were recorded in Praat ([Boersma & Weenink](#_bookmark19), [2016](#_bookmark19)) at a 44.1K sampling rate. Each partici- pant completed four iterations each of two tasks— cued picture-naming and code-switching. Utterances in the two conditions (nonswitch and switch/mixed) were randomly interspersed within each iteration. In the nonswitch condition, the target word was preceded by another English word in the utterance. In the mixed condition, the target was preceded by a Bengali word and thus produced as a switch from Bengali. Every target word appeared twice during a test block; once in a nonswitch and once in a switch condition. Participants alternated between the two tasks, and were allowed to take a short break after each test block. The tasks are described below:

1. Cued picture-naming: Participants were presented with slides in the following sequence (see fig. [4a](#_bookmark82),[4b](#_bookmark82)):
   * Language cue: a word in either English or Bengali orthography, read silently
   * A picture displayed for 50 ms; named aloud by the participant in either English or Bengali, depending on the language cue. The picture of a fish was used in all trials, prompting the participant to say either [fiS] (English) or [ma: Ùh] (Bengali)
   * Target: an English word; read aloud by the participant
   * Distracter math problem

The language cue was used to manipulate the word preceding the target, giving target words in non-switched and switched (mixed) utterances.

1. Code-switching: Each slide contained one English target word embedded in either an English (nonswitch) or a Bengali (mixed; target produced as a switch from Bengali)

carrier sentence which was read out by the participant. The carrier sentences are given below:

* + Unilingual sentence: That is a yellow [target word].
  + Mixed-language sentence: o-úa æk-úa kalo [target word].

Gloss and translation for Bengali sentence:

o-úa æk-úa kalo [target word] that-clf one-clf black [target word] “that is a black [target word]”

Carrier sentences were chosen such that the sound preceding the target word was uni- form across all sentences (the final sound in yellow is produced as [o] in Indian English), to minimize differences due to coarticulatory effects on the target vowel. To ensure identical word order in both languages, we chose a specifier-noun construction, as this has a parallel structure in Bengali and English. This gave a uniform prosodic context for the target word across conditions. While presenting the mixed-language utterances, we used mixed orthography.

<insert fig. [4a](#_bookmark82),[4b](#_bookmark82) about here>

## Acoustic analysis

The first iteration of each task was treated as a trial, and excluded from further analyses. An additional 67 tokens (2.79%) were excluded due to errors in presentation/production, giving 2333 target word tokens ([2]: 1137, [æ]: 1196) for analysis. Recordings were manually segmented and annotated in Praat ([Boersma & Weenink](#_bookmark19), [2016](#_bookmark19)), and the first and second

formant frequencies (F1 and F2) of the target vowels [2, æ] were measured at five points: in 10% increments starting at 5% into the vowel. This gave measures of F1 and F2 at 5%, 15%, 25%, 35% and 45% into the vowel, allowing us to observe any dynamic changes in the extent of transfer over the course of producing the vowel. Individual vocal tract differences affect formant frequencies across speakers. To reduce between-speaker differences, we used z-score normalization (using the PhonR package ([McCloy](#_bookmark50), [2016](#_bookmark50)) in R ([R Core Team](#_bookmark60), [2013](#_bookmark60)), representing each speaker’s formant frequencies as the scaled distance from their own mean).

2 Plotting these vowels shows a difference between male and female speakers, such that male

speakers have overall lower formant frequencies (figure [6a](#_bookmark84)). Since gender-related differences in formant frequencies are not of interest in this study, we normalized individual values by gender (representing each value as a scaled distance from the group mean; figure [6b](#_bookmark84)). Statistical analyses were performed on these normalized values.

< Insert figure [6a](#_bookmark84),[6b](#_bookmark84) about here >

# Results

All statistical analyses were performed in R ([R Core Team](#_bookmark60), [2013](#_bookmark60)). We used linear mixed effects models to test the effect of experimental conditions on vowel height (F1) and backness (F2) respectively. Since formants were extracted from five points over the course of articu- lating the vowel, time was coded numerically (1-5), and treated as an independent variable. This was scaled and centered prior to analysis and treated as a continuous predictor. We fitted models using the lme4 package ([Bates, Mächler, Bolker, & Walker](#_bookmark16), [2015](#_bookmark16)), and used lmerTest ([Kuznetsova, Brockhoff, & Christensen](#_bookmark46), [2017](#_bookmark46)) to obtain estimates of p values for individual variables. For all mixed models, the alpha criterion was set at |*t*| *>* 2. The de-

2Since our experimental treatments were between-subject and statistical analyses use mixed-effects re- gression, this step would not affect the results, as pointed out by a reviewer. This was done to allow for more intuitive values and visualizations, rather than statistical necessity. The code and analyses using non-normalized values can be found in the OSF repository.

pendent variables were normalized F1 and F2, and we fitted separate models for these. The independent variables or fixed factors, along with their levels, are given in Table [2](#_bookmark76). Subject and Item were treated as random factors.

< Insert Table [2](#_bookmark76) about here >

## Models

Fixed effects

Our study involved two IE vowels. Vowel is a fixed effect, since it is expected to systemati- cally affect formant frequencies (FFs). The experimental treatment is Context, i.e. whether the English target item was presented in an English (nonswitch) or Bengali (switch/mixed) context. Our first research question (RQ1—L2 vowels) asks whether L2 vowel quality of pro- ficient bilinguals is affected by the Context of utterance. We hypothesize that the direction of such shift in the mixed condition, if any, is towards a corresponding L1 category. There- fore, we expect the effect of Context on formant frequencies, i.e. the direction of shift, to be moderated by the vowel category (see Section [1.5](#_bookmark6), leading to our second research question RQ2—Asymmetry). Thus, the main effect of interest is the interaction term Context\*Vowel. This corresponds to the transfer pattern. Our third research question (RQ3—Paradigm) asks whether the language-mixing paradigm, or Task, affects the extent and direction of transfer. If this is the case, we expect Task to interact with the transfer term, giving a three way Task\*Context\*Vowel interaction. Since we measured FFs at five points in the vowel, Time is expected to affect the FF. However, the precise effect of formant dynamics is expected to depend on the vowel category. Thus, we expect the interaction of Vowel\*Time to predict formant frequency. If transfer patterns change across time, then we should expect it to

interact with the transfer term, giving a Context\*Vowel\*Time interaction.

## Vowel Height – F1

The sequence of models fitted can be found in the Supplementary materials. The optimal model was: f1 ∼ time\*vowel + vowel\*context\*task + time\*task + (1 + context|word) + (1 + context + vowel|subject). Table [3](#_bookmark77) summarizes the model coefficient estimates (*β*), standard errors (S.E.), t-values, and p-values for this model. p-vales smaller than 0.05 are starred.

Model interpretation

We see that F1 values are significantly predicted by Time in the model, reflecting the dynamic nature of articulation which causes acoustic variation over the course of time. The positive slope of the estimate for Time indicates that F1 increases, i.e. the two categories in the study show lowering, over the course of articulation. Moreover, the significant Time\*Vowel interaction shows that the F1 trajectory is different for the two vowels. This is expected, as the categories are expected to present different articulatory targets.

A boxplot visualization (Figure [7](#_bookmark85)) shows that the participants in this study vary greatly both in the relative heights of the two IE categories [2, æ] and the extent of height difference between them. These measures are only from the nonswitch condition, suggesting that this variability is a feature of the participants’ IE vowel categories, independent of the effects of switching.

The negative slope of the estimate for Context shows that F1 is lower, i.e. vowels are higher, in the nonswitch (e) condition compared to the mixed (b) condition. Put more intuitively, vowels are lowered in the mixed condition. However, the lack of significance indicates that using English words in mixed utterances does not lead to a uniform lower-

ing/raising across vowels. There is an asymmetrical shift in the categories [2] and [æ] in the mixed-language condition, as shown by the significant interaction between Vowel and Context. Specifically, the Vowel\*Context interaction has a negative slope, showing that the lowering is greater in [2]. The Vowel\*Context\*Time interaction is not significant: transfer patterns do not change across time.

Independent of experimental condition, there is a significant effect of Task: the sentence task (code-switching) leads to higher F1 values in both vowels relative to the cued picture- naming task. This is surprising, as we have no a priori reason to expect a uniform difference in vowel quality across tasks. A significant interaction with Time is strongest at the begin- ning of the vowel, indicating a local effect. A significant Vowel\*Context\*Task interaction indicates a difference in transfer patterns such that transfer in the F1 (height) dimension is greater in the code-switching (s) task compared to the picture-naming (p) task.

< Insert Figure [7](#_bookmark85) about here >

< Insert Table [3](#_bookmark77) about here >

## Vowel backness – F2

The optimal model was: f2 ∼ vowel\*time + vowel\*context\*task + task\*time + (1+con- text|word) + (1+context+vowel|subject). Table [4](#_bookmark78) summarizes the model coefficient esti- mates (*β*), standard errors (S.E.), t-values, and p-values for this model. p-values smaller than 0.05 are in starred.

Model interpretation

As with F1, we find that Time significantly influences F2 values as expected. Unlike in the F1 dimension, Vowel is a significant predictor of F2, with [2] having significantly lower F2,

i.e. being produced as more backed, than [æ]. There is no significant independent effect

of Context, showing that mixed language use does not lead to uniform fronting or backing across vowels. This is not surprising, as we expect movement to be towards the corresponding L1 category, and thus differ across vowels. The negative slope of the estimate indicates that on average, vowels are fronted in the mixed condition. This effect is greater in [æ]. The lack of a significant Vowel\*Context\*Time interaction shows that transfer patterns do not change across time, suggesting that the underlying acoustic targets are different in the two conditions.

We see a significant effect of Task on F2: vowels in the code-switching (s) task have lower F2, i.e. are more backed than those in the picture-naming (p) task. As with F1, this is an unexpected result. The significant interaction with Time shows that this effect is local and decreases over time. The Vowel\*Context\*Task interaction is of interest, as it relates to our research question of how the test paradigm affects transfer. Unlike vowel height, we do not find patterns of shift in vowel backness (F2) to differ across paradigms, as indicated by the lack of a significant effect for the fixed effect.

< Insert Table [4](#_bookmark78) about here >

Statistical analyses reveal that the vowel [2] is lowered and fronted, while [æ] is fronted, in the mixed condition. As discussed in section [1.5](#_bookmark6), we wanted to understand how the direction of this shift compares to corresponding Bengali categories. Figure [3](#_bookmark81) shows the

non-switched IE productions of the participants in relation to average positions of related vowel categories in Bengali. Figure [5](#_bookmark83) overlays productions in the mixed condition over

figure [3](#_bookmark81), showing F1×F2 vowel plots for IE vowel productions in the nonswitch and mixed

conditions, in comparison to the related Bengali categories from the SHRUTI corpus ([Das](#_bookmark25) [et al.](#_bookmark25), [2011](#_bookmark25)). Given that the Bengali corpus data represent average vowel positions, and are not from the same set of speakers as the participants of this study, we refrained from including these in statistical analyses. However, figure [5](#_bookmark83) provides a heuristic confirmation for our informal hypotheses in section [1.5](#_bookmark6) that the IE [æ] moves towards the corresponding [æ] category in Bengali, whereas the shift in [2] is towards the related Bengali category [a:]. The following sections discuss the implications of these results.

< Insert Figure [5](#_bookmark83) about here >

# Discussion

## Phonetic transfer in L2 vowels

The aim of this study was to examine short-term phonetic transfer in L2, towards under- standing language processing in proficient bilinguals. Two interrelated questions, discussed in section [1](#_bookmark0), bear on this: (i) what causes transfer in mixed-language speech; and (ii) what causes observed asymmetries between transfer in L1 vs L2.

What causes transfer—language mode vs switching: In this study, we presented non- switched English and code-switched Bengali-English stimuli in the same session and found consistent differences between switched and non-switched tokens. This confirms that the local act of switching does trigger transfer, even in a bilingual language mode, paralleling results from [Olson](#_bookmark55) ([2016](#_bookmark55)); [Piccinini and Arvaniti](#_bookmark59) ([2015](#_bookmark59)); [Tsui et al.](#_bookmark73) ([2019](#_bookmark73)). These studies focused on a temporal feature, VOT. Our results indicate that the same happens in vow-

els. The exact effect of transfer is interesting: since VOT is a one-dimensional measure, observing a change in VOT in a mixed language condition makes it difficult to infer whether a consonant has moved towards a specific L1/L2 counterpart, or reflects a more general system-wide shift in articulatory norms. Vowel quality measures, showing both directional- ity and magnitude, facilitate this. We find that productions of English vowels do not merely shift towards a Bengali counterpart, but rather towards a set of Bengali-like norms: a vowel category that doesn’t exist in Bengali nonetheless moves towards a related (perceptually close) Bengali category. This parallels results from [Simonet](#_bookmark67) ([2014](#_bookmark67)) for Catalan and Spanish (they examined language mode, without code-switching). The [Simonet](#_bookmark67) ([2014](#_bookmark67)) study showed that co-activating multiple languages within a discourse causes a transient increase in cross- language phonetic interaction, leading to changes in both L1 and L2. This is interpreted as a follows: a bilingual mode temporarily co-activates both language systems, making both L1 and L2 representations available during processing, whose interactions lead to cross-language transfer. But what does this interaction look like, and how are these abstract representations processed during speech? Examining transfer during switching can provide insights into this. The time course of such shifts is telling: we see a difference between conditions right from the start of articulation, suggesting different acoustic targets. This suggests that such interaction involves the speech planning stage. The pattern of transfer observed in this study, particularly for [2], also demonstrates that beyond a simple L2-L1 mapping, transfer during online processing makes reference to entities beyond the level of the individual sound, demonstrating system-level interaction: a sound that does not exist in the L1 repertoire nonetheless acquires a different target, and the target is related to the L1 system in a meaningful way. This cannot be reasonably explained as articulatory inertia carried over from uttering L1 sounds. It is also difficult to account for in a purely exemplar framework,

as the discourse does not contain any instances of “L1 [2]” that could affect the L2 category.

If representations are combined in creative ways during online speech processing within an

utterance, then can we think of intermediate representations not just for individual sounds, but rather for sound systems? While more detailed empirical data is needed to answer such questions, this approach aligns with sociophonetic understandings of bilingual phonetic variation, for example as discussed by [Khattab](#_bookmark44) ([2009](#_bookmark44), [2013](#_bookmark45)) based on the use of phonological variables by Arabic-English bilingual children. They visualize the bilingual phonetic system as “a rich store of phonological representations”, comprising of both language-internal and cross-language variation, from which the bilingual speaker is constantly choosing, guided by a variety of pressures. We propose that vowels are a good feature to examine the plausibility of such systems as cognitive models for language processing: in addition to continuous measures (unlike phonological variables), they also provide two-dimensional data (unlike VOT) that is suited to further test and refine predictions from such a model.

Differences between L1 vs L2 transfer: Previous studies of language-switching have often reported phonetic transfer from L2 to L1, but a lack of parallel transfer from L1 to L2. One postulated model that is consistent with this finding is the Inhibitory Control Model (ICM) [Green](#_bookmark35) ([1986](#_bookmark35)); [Olson](#_bookmark54) ([2013](#_bookmark54)); [Tsui et al.](#_bookmark73) ([2019](#_bookmark73)). This proposes that the lack of transfer effects on L2 reflects the lower inhibition required on L2 representations in the first place, which makes switching into L2 easier, compared to the converse situation, when the high initial inhibition on L1 induces phonetic transfer while switching back into L1. As discussed in section [1.2](#_bookmark3), vowels provide a fertile testing ground for this theory at the level of sounds, as they avoid the confound of independent language-specific differences between long- and short-lag VOT languages. Both vowels in this study showed systematic shifts in the mixed- language condition compared to baseline non-switched productions. In the context of an ICM-based analysis of between-language asymmetries in phonetic transfer as proposed by [Olson](#_bookmark54) ([2013](#_bookmark54)), this is a significant finding: it demonstrates that the postulated lower switch cost on an L2 does not entirely mask transfer effects on L2 vowels. There are a few possible

explanations for this. The present study focuses solely on L1 (Bengali) to L2 (English) transfer, and not the converse. It is theoretically possible that these participants are L2- dominant. That would mean that switching from L1 into L2 induces a greater switch cost, leading to transfer effects on switched L2 productions. This would lead us to expect that if the same participants are asked to switch from English to Bengali, we would not see any evidence of transfer. While more empirical data could clarify this, the language background of the present participant pool makes this scenario unlikely. All participants report regularly using both English and Bengali in a variety of settings, and feeling equally comfortable using both languages. Listening and speaking skills are expected to be most relevant to phonetic transfer in daily language use, and participants’ self-ratings for these skills are at ceiling for both languages. One point to note is that participants on average rated their reading proficiency in Bengali to be lower than that in English. Given that the stimuli in this task were presented visually, differences in reading proficiency are a potential source of explanation. However, anecdotal observation suggests that phonetic transfer in L2 productions is common in daily language use too, even in the absence of reading. This raises questions about an ICM- based analysis of cross-language asymmetries in phonetic transfer that future research should investigate.

Moreover, our findings differ from [Tsui et al.](#_bookmark73) ([2019](#_bookmark73)), who report on the basis of VOT measurements that balanced bilinguals do not evidence an effect of language-switching. [Tsui](#_bookmark73) [et al.](#_bookmark73) attribute the absence of transfer effects to greater experience with language switching in these participants, leading to lower switch-costs in both languages. As noted in sec. [2.1](#_bookmark8), the participants in this study are highly proficient bilinguals with extensive experience of lan- guage switching. Moreover, they live in an environment where they are constantly exposed to mixed-language stimuli: we expected that they are habituated to drawing on multiple language systems during online language processing. They nonetheless demonstrate cross- language transfer effects in L2 vowel production. One way to think about these differences

is that vowels are generally more prone phonetic transfer than temporal features such as VOT. Thus, following from the discussion in sec. [1.4](#_bookmark4), it might be fruitful for future studies to focus on vowels as a potential site for transfer. This would both expand the empirical scope of existing research (see sec. [1.5](#_bookmark6)) and avoid confounding factors arising from indepen- dent differences between long- and short-lag VOT languages. More generally, these findings point to a need for expanding the range of features in which transfer is studied, in order to make robust generalizations about the mechanisms underlying observed patterns. This is an example where studying different features of speech (e.g. VOT vs vowel quality) allows us to compare the predictions of different underlying process models. Transfer studies usually focus on a single feature. Measuring multiple features within a single design, with the same group of participants, promises to be a powerful tool to compare different models at little added cost to researchers. This would sidestep the unavoidable confounds that come with comparing results across studies, or even replication.

Beyond methodological considerations, we argue that these differences ultimately raise a broader question about a purely inhibition-based understanding of transfer. In spite of a compelling body of literature on the sociophonetic and communicative implications of phonetic variation along the L1-L2 continuum (e.g. [Agnihotri](#_bookmark11), [1979](#_bookmark11); [Khattab](#_bookmark45), [2013](#_bookmark45); [Stuart-](#_bookmark70) [Smith et al.](#_bookmark70), [2011](#_bookmark70)), is it wise to think of transfer as resulting from an inability to suppress and separate language systems? Given that young children are able to use minute pho- netic variation in sophisticated ways, it might be more intuitive to think of transfer as a communication-enabling feature of language, even at a purely cognitive level. Research on the cognitive mechanisms underlying cross-language interaction and variation in bilingual speech is still an emerging and exciting line of inquiry. We believe that a communication- centered approach to bilingual variation is essential to understand how bilingual language processing functions in the real world. To this end, highly proficient native multilingual populations serve as an important source of data on language behavior.

## Asymmetries in Phonetic Transfer

Our results indicate differing effects of experimental conditions across the two vowels in both magnitude and direction of shift. Overall, shift is greater in [2]. Recall (figure [2](#_bookmark80)) that the category [æ] is in a denser part of the IE vowel space than [2]. Specifically: [æ] is already at the lowermost part of the vowel space. Moreover, large movements upwards in the F1 (vowel height) dimension would risk a conflation of the category with the neighboring [E]. In contrast, [2] (indicated as [@] in the chart) is in the central part of the vowel space and is not flanked by any immediate neighboring categories. Thus, the difference in magnitude of transfer aligns with our hypothesis H2—Asymmetry, that the extent of shift in individual categories is constrained by the linguistic principle of contrast preservation. An alternative way to interpret this result is that the initial distance between IE [2] and its target L1 category [a:] was greater than that between the IE and Bengali [æ] categories, leading to a greater visible shift in the former. However, our results find parallels in previous studies with VOT that have consistently observed greater shifts in the long-lag VOT language (which has a greater acceptable range of VOT) compared to the short-lag language (e.g. [Antoniou et](#_bookmark10) [al.](#_bookmark10), [2011](#_bookmark10); [Bullock & Toribio](#_bookmark22), [2009](#_bookmark22)). This suggests that a general principle of “room for movement” does play a role in moderating transfer. More broadly, these results suggest that phonetic transfer (i) makes reference to system-level representations that go beyond individual sounds; and (ii) respects linguistic principles. This has implications both for our understanding of phonetic transfer as a phenomenon (e.g. which mental representations and at what level of processing are involved?) and language as a system (e.g. how have transfer phenomena shaped phonological systems in multilingual settings, where languages have co-existed for long periods of time? What are the linguistic implications of increasingly cosmopolitan urban spaces and identities?).

Our results also indicate asymmetry in the direction of shift: the category [2] is lowered

and fronted in the mixed language condition, while [æ] is fronted. On the basis of previous literature indicating that both long-term and short-term shifts in phonological categories of multilingual speakers are targeted with respect to the other language, we hypothesized that participants’ IE [æ] would shift towards the Bengali [æ], and [2] towards the Bengali [a:]. As figure [5](#_bookmark83) indicates, comparing transfer patterns with Bengali categories from [Das et al.](#_bookmark25) ([2011](#_bookmark25)) suggests that this is borne out.

## Switching paradigm

[Olson](#_bookmark54) ([2013](#_bookmark54)) posits that in both scripted and spontaneous code-switching paradigms, “dis- cursive properties may partially drive the production of code-switches, masking underlying effects of interaction”, proposing cued picture-naming as an alternative. We tested whether this might independently affect the outcome of transfer, by comparing productions from the same participants in these two tasks. We found that the direction of transfer did not change across paradigms. However, there was a difference in the magnitude of transfer: in the F1 dimension, the extent of phonetic transfer is greater in the code-switching task compared to the cued picture-naming task. This asymmetry is not seen in the F2 dimension. One way to account for this is that during code-switching, there is a greater degree of language co- activation because switches are being made within an utterance, where planning is required: Since participants see the entire utterance and then read it aloud, there is room for utterance planning. Compared to this, in the cued picture-naming paradigm participants see the lan- guage cue immediately prior the target word, inducing extremely “local” switches and thus a smaller degree of language co-activation. While both of these are local switches and take place in a bilingual language mode, it is possible that this minute difference in time-frame induces slightly different degrees of cross-language phonetic interaction. This aligns with the findings of [Bullock and Toribio](#_bookmark22) ([2009](#_bookmark22)), who found evidence of transfer in pre-switch tokens,

suggesting planning effects. In our study specifically, orthography was another factor that could have potentially affected this. In both tasks, Bengali and English words were pre- sented in their respective orthographies. In the picture-naming task, participants saw one word at a time. The CS task in contrast presented mixed utterances in mixed orthography. It is possible that seeing mixed orthography, particularly for participants accustomed to processing mixed-language visual input in their surroundings, triggered increased language co-activation, leading to greater cross-language interaction.

We do not find any differences in the pattern of transfer, or the relative behaviors of the two categories. Our study used a frame sentence to induce code switching, and not all the items led to pragmatically meaningful sentences. Thus, one possible explanation for the overall lack of asymmetry is that the discursive effects discussed by [Olson](#_bookmark54) ([2013](#_bookmark54)) are triggered by more naturalistic paradigms where attention to meaning and interlocutor are important. More within-study comparisons with less structured paradigms, and spontaneous code-switching data, can clarify this. The results of the present study indicate that for the purposes of observing dynamic phonetic transfer in an experimental setup, elicitation through cued-picture-naming vs. (controlled) code-switching does not significantly alter the behavior under study. However, elicitation through code-switching allows for more planning, potentially increasing the magnitude of observable effects.

An unexpected result in this study is that the paradigm directly affected vowel quality: all vowels were more backed and lowered in the code-switching task. There is no principled reason to expect this. A closer look at the dynamics of this effect indicate that it is greatest at the beginning of articulation, and decreases steadily with time. Given that it is localized at the beginning of the target vowel, it seems likely that this lowering is an artifact of the particular stimuli used here— resulting from some corarticulatory effect with the preceding word in the carrier phrase that was absent in the picture-naming task. While intriguing, a more detailed investigation of this effect is beyond the scope of the present study.

Although the findings of this study are interesting, we acknowledge that it has a number of limitations, including (i) a small sample size; (ii) a frame sentence for eliciting code- switching such that not all the sentences were pragmatically well-formed. This could have blocked some of the discursive effects that might result from speech planning in ordinary connected speech, thus obscuring differences between the two paradigms under study; (iii) focus on a single acoustic feature; (iv) the absence of unilingual Bengali data from the same set of speakers as the participants of the study, which would have allowed us to compare each individual’s transfer patterns to their own Bengali productions, rather than to average Bengali vowel formants. Future work should address these. Our results also raise a number of open questions for future research, particularly concerning the paradigm differences and between-sound asymmetries in phonetic transfer.

# Conclusion

This study investigated how the phonetic systems of highly proficient bilingual speakers interact during online speech processing, by examining the phonetic outcome of mixed- language production in speakers of Bengali and Indian English. Specifically, we examined the spectral quality of two L2 vowel categories [2] and [æ] produced in two experimen- tal conditions: nonswitch (English) and switch/mixed (switching from Bengali to English). Comparisons of F1 and F2 across conditions reveal that mixed language processing tem- porarily increases phonetic interaction between the languages, causing the English [æ] of participants to converge towards the Bengali category [æ], and [2] to converge towards a related L1 category [a:]. The extent and direction of shift is asymmetrical across the two categories, which parallels findings in prior studies. This asymmetry appears to be mediated by the language-specific distribution of vowel categories. These findings evidence an effect of phonetic transfer on L2 vowels, and raise questions for proposed inhibition-based accounts

of cross-language phonetic interaction. Mixed productions were elicited in two switching paradigms: code-switching and cued picture-naming, to verify whether the postulated dis- cursive elements in code-switching independently influence the outcome of phonetic transfer. Results suggest that in an experimental setup, elicitation through cued-picture-naming vs. (controlled) code-switching does not significantly alter the behavior under study. However, the magnitude of observed transfer is greater in the code-switching paradigm. These find- ings add to empirical data, highlight the need for diversifying the set of language pairs and populations in transfer studies, and encourage more within-study comparisons of paradigms and features, towards establishing better methodological norms. Ultimately, these results contribute to ongoing discussion about the implications of short-term phonetic interaction as a window to understanding language as a mental and social system.

# Abbreviations

clf = classifier

# Data availability

The materials, data files, and analysis code for this study are openly available in OSF at: <https://doi.org/10.17605/OSF.IO/DSB2X>.

# Competing interests

The authors declare none.

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# Tables

Table 1: Key biographical information summarized across participants. Scales: age 0(since birth)—5(since age 5) etc.; likert scale: 0(not proficient at all)—6(highly proficient)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | Bengali |  |  | English |  | |
| Mean | SD | Range | Mean | SD | Range |
| Age of acquisition | 0.25 | 0.71 | 0 - 2 | 2.6 | 2.13 | 0 - 6 |
| Years of formal education | 11.8 | 4.92 | 8 - 15 | 16+ | 4.15 | 7 - 20+ |
| Self-rated proficiency speaking | 6 | 0 | 6 - 6 | 6 | 0 | 6 - 6 |
| listening | 5.87 | 0.35 | 5 - 6 | 6 | 0 | 6 - 6 |
| reading | 4.87 | 1.24 | 3 - 6 | 6 | 0 | 6 - 6 |

Table 2: Fixed effects

Variable name Levels

Vowel [2], [æ]

Task (corresponding to the two paradigms) p (Picture: cued picture-naming), s (Sentence: code-switching) Context (corresponding to the experimental conditions) e (English: nonswitch), b (Bengali: switch/mixed)

Time continuous variable, range -1.6 to 1.6, scaled and centered

contexte -0.013 0.068 -0.196 0.846

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Table 3: Optimal model: F1 |  | | | |
|  | Estimate | Std. Error | t value | p value |
| (Intercept) | -0.053 | 0.179 | -0.300 | 0.769 |
| time | 0.139 | 0.012 | 12.040 | < 0.001 \*\*\* |
| vowel2 | -0.147 | 0.223 | -0.661 | 0.519 |

tasks 0.330 0.027 12.414 < 0.001 \*\*\*

time:vowel2 -0.171 0.014 -12.688 < 0.001 \*\*\*

vowel2:contexte -0.137 0.060 -2.251 0.031 \*

vowel2:tasks 0.009 0.038 0.234 0.815

contexte:tasks 0.073 0.038 1.951 0.051 .

time:tasks -0.122 0.013 -9.028 < 0.001 \*\*\*

vowel2:contexte:tasks -0.196 0.054 -3.600 < 0.001 \*\*\*

time 0.043 0.008 5.63 < 0.001 \*\*\*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Table 4: Optimal model: F2 |  |  |  |  |
|  | Estimate | Std. Error | t value | p value |
| (Intercept) | 0.232 | 0.221 | 1.05 | 0.304 |
| vowel2 | -0.386 | 0.148 | -2.60 | 0.015 \* |

contexte -0.017 0.028 -0.61 0.548

tasks -0.087 0.017 -5.00 < 0.001 \*\*\*

vowel2:time -0.163 0.009 -18.35 < 0.001 \*\*\*

vowel2:contexte 0.110 0.028 4.01 < 0.001 \*\*\*

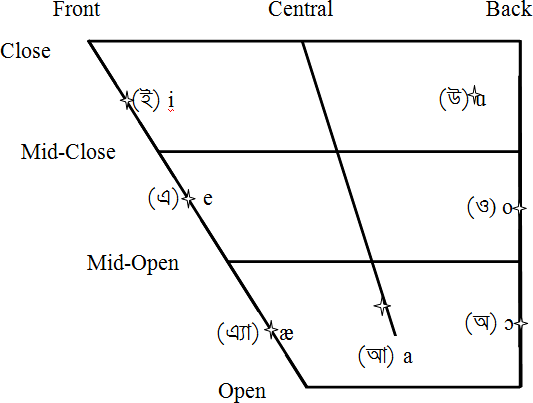
vowel2:tasks -0.090 0.025 -3.55 < 0.001 \*\*\*

contexte:tasks -0.110 0.025 -4.44 < 0.001 \*\*\*

time:tasks 0.062 0.009 7.03 < 0.001 \*\*\*

vowel2:contexte:tasks 0.033 0.036 0.94 0.34652

# Figures

Figure 1: Vowel chart for oral monophthongs in Bengali, from [Ghosh](#_bookmark33) ([2016](#_bookmark33))

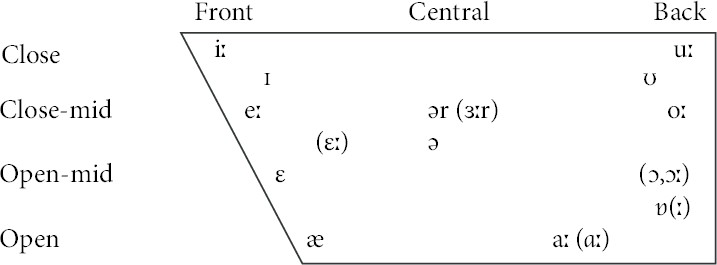


Figure 2: Vowel chart for monophthongs in General(ized) Indian English, from [Masica](#_bookmark49) ([1972](#_bookmark49))

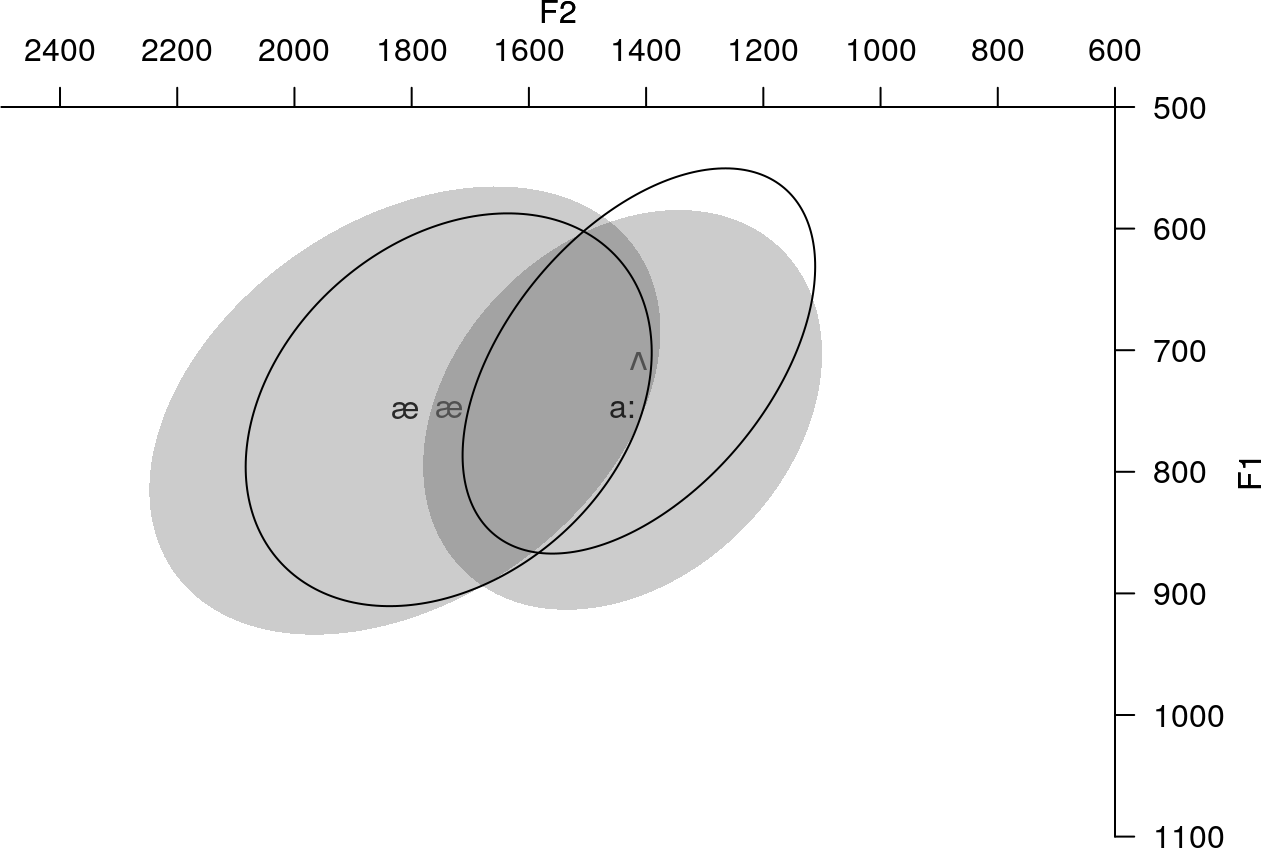
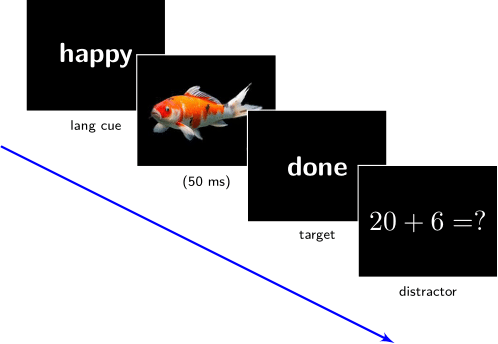
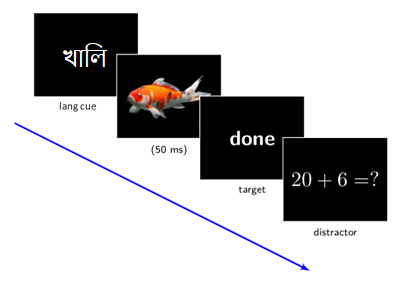


Figure 3: Participants’ unilingual English vowels (solid ellipse) compared to Bengali cate- gories from the SHRUTI corpus ([Das et al.](#_bookmark25), [2011](#_bookmark25))

1. English (nonswitch) trial (b) Bengali-English (switch/mixed) trial

Figure 4: Picture-naming task sample sequence: language cue (not read out), picture (named as per lang cue), target (read out), distractor

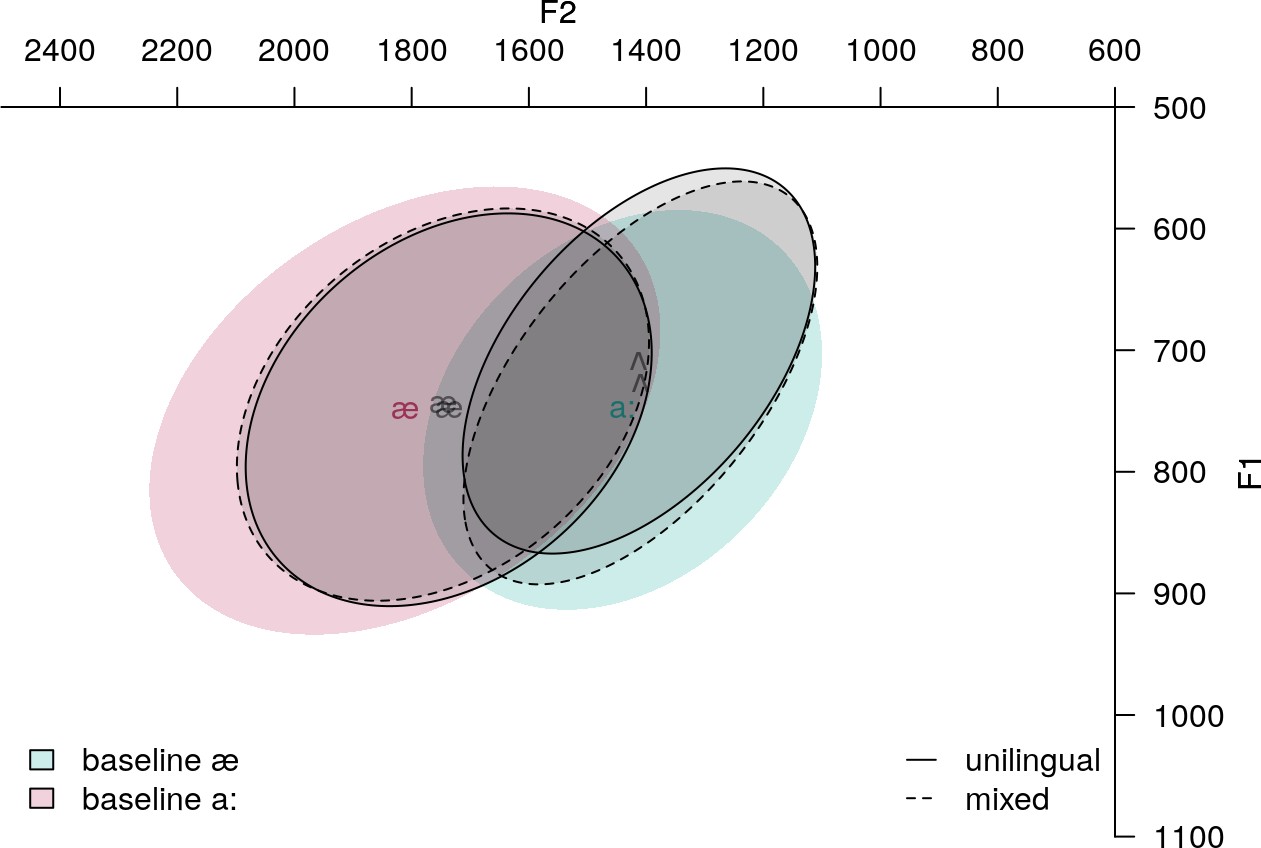
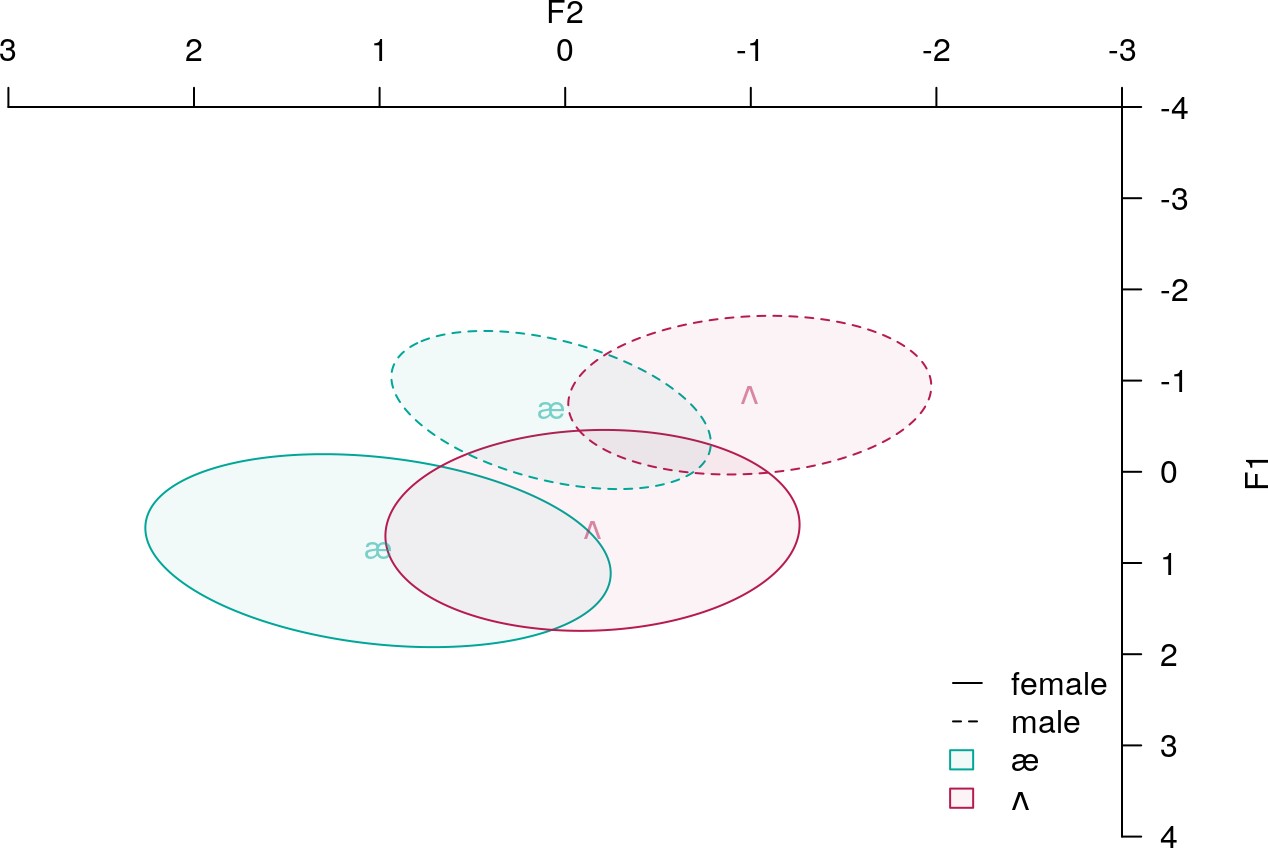
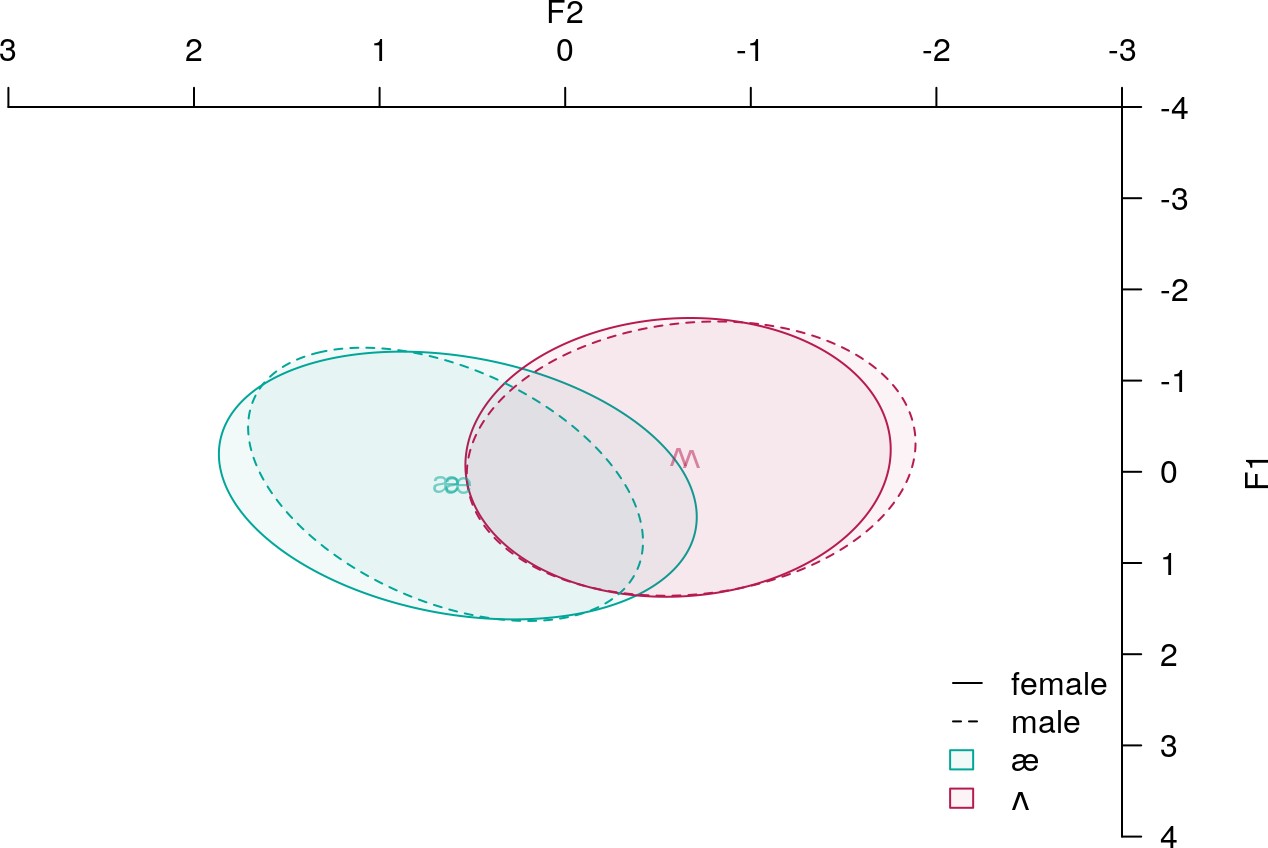


Figure 5: Vowel quality in unilingual English, mixed, and baseline Bengali productions



* 1. before gender normalization



* 1. after gender normalization

Figure 6: Gender differences in Lobanov-normalized vowels

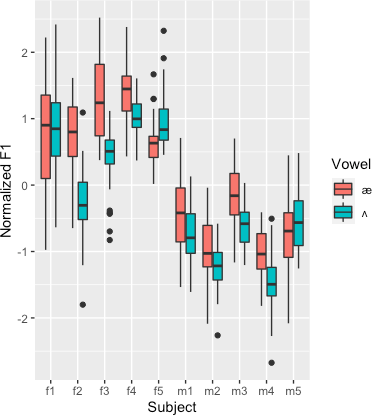


Figure 7: Subject-wise mean F1 in unilingual English productions