Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



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## 1 Introduction

This project aims to optimize a database by reducing secondary storage accesses and shortening runtime. The process begins by analyzing the current database design, focusing on its structure and common workload patterns to identify resource-intensive processes. Using this information, a new design will be proposed to enhance performance and decrease unnecessary storage access. After implementing the new design, performance will be measured and compared against the original setup to evaluate the improvements.

First, we need to remove all existing database objects (tables, views, triggers, packages, procedures and functions) to clear the database. Next, we'll use the scripts from the "aula global" platform to create and populate the database. With the database reset to its initial state, we'll create the specified package and run the "RUN\_TEST" procedure with a parameter set to '10'. This will measure baseline time consumption and consistent gets, serving as a reference for comparing the results after implementing the proposed modifications.

Here are the results from the initial test run:

```
exec PKG_COSTES.RUN_TEST(10);
Iteration 1
Iteration 2
Iteration 3
Iteration 4
Iteration 5
Iteration 6
Iteration 7
Iteration 8
Iteration 9
Iteration 10
RESULTS AT 29/04/2024 17:40:48
TIME CONSUMPTION (run): 40,6 milliseconds.
CONSISTENT GETS (workload):7083 acc
CONSISTENT GETS (weighted average):708,3 acc
Procedimiento PL/SQL terminado correctamente.
```

Academic year: 2023/24 - 2nd year, 2nd term

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



# 2 Analysis

Firstly, we will start by reviewing Oracle SQL's default physical design to understand our test results and determine how to implement a more efficient setup. The key characteristics of Oracle's default design include:

- Serial non-consecutive organization: Records are organized within buckets.
- PCTFREE set to 10%
- By default, its bucket size (BS) is 8KB.
- PCTUSED at 60%.
- No auxiliary external structures.

Lowering PCTFREE increases data density within buckets but may lead to more frequent block splits, slowing down insertion and update processes. Regarding block size, increasing it allows more rows to be read with fewer block accesses, potentially enhancing read performance. However, larger block sizes can negatively impact insertion processes due to writing larger blocks and more bytes.

Secondly, we will analyze the workload. This workload consists of 5 processes with different frequencies.

# • Process 1: First query (10%)

### Table involved: Posts

```
SQL> desc posts;
Nombre
                                             ?Nulo?
                                                       Tipo
USERNAME
                                             NOT NULL VARCHAR2(30)
POSTDATE
                                             NOT NULL DATE
 BARCODE
                                                       CHAR(15)
 PRODUCT
                                             NOT NULL VARCHAR2(50)
 SCORE
                                             NOT NULL NUMBER(1)
                                                       VARCHAR2(50)
 TITLE
                                             NOT NULL VARCHAR2(2000)
 TEXT
LIKES
                                             NOT NULL NUMBER(9)
 ENDORSED
```

### **Execution Plan:**

This query probably triggers a full table scan because there's no index on the 'barcode' field, resulting in high consistent gets and slower query performance. Its weakness is that full table scans are inefficient for queries that run frequently.

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



```
Plan de Ejecucion
Plan hash value: 3606309814
 Id | Operation | Name | Rows | Bytes | Cost (%CPU) | Time
   0 | SELECT STATEMENT | 8 | 9072 | 136 (0) | 00:00:01 | 1 | TABLE ACCESS FULL | POSTS | 8 | 9072 | 136 (0) | 00:00:01 |
Predicate Information (identified by operation id):
   1 - filter("BARCODE"='0II044550419282')
Note

    dynamic statistics used: dynamic sampling (level=2)

Estadisticas
         13 recursive calls
         0 db block gets
        508 consistent gets
0 physical reads
0 redo size
       9692 bytes sent via SQL*Net to client
        381 bytes received via SQL*Net from client
          2 SQL*Net roundtrips to/from client
          0 sorts (memory)
0 sorts (disk)
          9 rows processed
```

After setting the autotrace traceonly to see what is happening during the execution, the results indicate that a significant amount of resources are being used by the Posts table, which is subjected to "FULL ACCESS" operation. To optimize performance, we should consider implementing indexes to reduce the resource usage associated with this full table scan.

## • Process 2: Second query (10%)

#### Table involved: Posts

```
SQL> desc posts;
Nombre
                                            ?Nulo?
                                                     Tipo
USERNAME
                                            NOT NULL VARCHAR2(30)
POSTDATE
                                            NOT NULL DATE
                                                     CHAR(15)
BARCODE
PRODUCT
                                            NOT NULL VARCHAR2(50)
                                            NOT NULL NUMBER(1)
SCORE
TITLE
                                                     VARCHAR2(50)
                                            NOT NULL VARCHAR2(2000)
TEXT
LIKES
                                            NOT NULL NUMBER(9)
ENDORSED
                                                     DATE
```

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



### **Execution Plan:**

An execution plan without an index on 'product' can lead to a full table scan, causing high consistent gets and degraded performance. The lack of an index forces the database to scan every row, which is highly inefficient for queries, resulting in longer processing times. This weakness can severely impact query performance and overall database efficiency.

```
Plan de Ejecucion
Plan hash value: 3606309814
 Id | Operation | Name | Rows | Bytes | Cost (%CPU)| Time
    0 | SELECT STATEMENT | | 1 | TABLE ACCESS FULL POSTS |
                                          63 | 71442 |
63 | 71442 |
                                                           136 (0)| 00:00:01
136 (0)| 00:00:01
Predicate Information (identified by operation id):
   1 - filter("PRODUCT"='Compromiso')
Note

    dynamic statistics used: dynamic sampling (level=2)

Estadisticas
         97 recursive calls
          2 db block gets
        619 consistent gets
6 physical reads
0 redo size
      54499 bytes sent via SQL*Net to client
         405 bytes received via SQL*Net from client
          5 SQL*Net roundtrips to/from client
          5 sorts (memory)
0 sorts (disk)
          57 rows processed
```

After setting the autotrace traceonly to see what is happening during the execution, the results indicate that a significant amount of resources are being used by the Posts table, which is subjected to "FULL ACCESS" operation. To optimize performance, we should consider implementing indexes to reduce the resource usage associated with this full table scan.

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



# • Process 3: Third query (10%)

### <u>Table involved:</u> Posts

```
SQL> desc posts;
Nombre
                                            ?Nulo?
                                                     Tipo
USERNAME
                                            NOT NULL VARCHAR2(30)
POSTDATE
                                            NOT NULL DATE
BARCODE
                                                     CHAR(15)
                                            NOT NULL VARCHAR2(50)
PRODUCT
                                            NOT NULL NUMBER(1)
SCORE
TITLE
                                                     VARCHAR2(50)
                                            NOT NULL VARCHAR2(2000)
TEXT
LIKES
                                            NOT NULL NUMBER(9)
ENDORSED
                                                     DATE
```

#### **Execution Plan:**

The execution plan for this query involves a range-based condition. Without an index on the 'score' field, the database may resort to a full table scan, which is inefficient. This lack of an index can lead to slower query performance and higher consistent gets, impacting overall database efficiency.

```
Plan de Ejecucion
Plan hash value: 3606309814
  Id | Operation | Name | Rows | Bytes | Cost (%CPU)| Time
    0 | SELECT STATEMENT |
                                   | 1189 | 1316K| 136 (0)| 00:00:01
   1 | TABLE ACCESS FULL | POSTS | 1189 | 1316K | 136 (0) | 00:00:01
Predicate Information (identified by operation id):
   1 - filter("SCORE">=4)
Note

    dynamic statistics used: dynamic sampling (level=2)

Estadisticas
         20 recursive calls
        0 db block gets
578 consistent gets
0 physical reads
0 redo size
    1098428 bytes sent via SQL*Net to client
       1218 bytes received via SQL*Net from client
         80 SQL*Net roundtrips to/from client
         0 sorts (memory)
         0 sorts (disk)
       1173 rows processed
```

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



After setting the autotrace traceonly to see what is happening during the execution, the results indicate that a significant amount of resources are being used by the Posts table, which is subjected to "FULL ACCESS" operation. To optimize performance, we should consider implementing indexes to reduce the resource usage associated with this full table scan.

## **Process 4: Fourth query (20%)**

### Table involved: Posts

```
SQL> desc posts;
Nombre
                                             ?Nulo?
                                                       Tipo
USERNAME
                                             NOT NULL VARCHAR2(30)
POSTDATE
                                             NOT NULL DATE
BARCODE
                                                       CHAR(15)
                                             NOT NULL VARCHAR2(50)
PRODUCT
                                             NOT NULL NUMBER(1)
SCORE
 TITLE
                                                       VARCHAR2(50)
                                             NOT NULL VARCHAR2 (2000)
 TEXT
                                             NOT NULL NUMBER(9)
 LIKES
ENDORSED
                                                       DATE
```

### **Execution Plan:**

The execution plan for this query indicates a full table scan because it retrieves all records from the table. This approach can become a significant performance bottleneck, especially as the table size grows, leading to longer query times and increased resource usage.

```
Plan de Ejecucion
Plan hash value: 3606309814
 Id | Operation | Name | Rows | Bytes | Cost (%CPU)| Time
    0 | SELECT STATEMENT | | 3582 | 3966K| 136 (0)| 00:00:01
1 | TABLE ACCESS FULL| POSTS | 3582 | 3966K| 136 (0)| 00:00:01
                                               3966K | 136 (0) | 00:00:01 |
Note

    dynamic statistics used: dynamic sampling (level=2)

Estadisticas
          7 recursive calls
         0 db block gets
        711 consistent gets
        0 physical reads
         0
             redo size
    3222320 bytes sent via SQL*Net to client
       2853 bytes received via SQL*Net from client
        230 SQL*Net roundtrips to/from client
          0 sorts (memory)
       0 sorts (disk) 3429 rows processed
```

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



After setting the autotrace traceonly to see what is happening during the execution, the results indicate that a significant amount of resources are being used by the Posts table, which is subjected to "FULL ACCESS" operation. To optimize performance, we should consider implementing a hint.

## • Process 5: Fifth query (50%)

Tables involved: CLIENT LINES, ORDERS CLIENTS

```
SQL> desc client_lines;
Nombre
                                             ?Nulo?
                                                       Tipo
ORDERDATE
                                             NOT NULL DATE
USERNAME
                                             NOT NULL VARCHAR2(30)
 TOWN
                                             NOT NULL VARCHAR2(45)
                                             NOT NULL VARCHAR2(45)
COUNTRY
BARCODE
                                             NOT NULL CHAR(15)
                                             NOT NULL NUMBER(12,2)
PRICE
QUANTITY
                                             NOT NULL VARCHAR2(2)
                                             NOT NULL VARCHAR2(15)
PAY_TYPE
PAY_DATETIME
                                                       DATE
 CARDNUM
                                                       NUMBER(20)
SQL> desc orders_clients;
Nombre
                                             ?Nulo?
                                                       Tipo
ORDERDATE
                                             NOT NULL DATE
USERNAME
                                             NOT NULL VARCHAR2(30)
 TOWN
                                             NOT NULL VARCHAR2(45)
 COUNTRY
                                             NOT NULL VARCHAR2(45)
                                                       DATE
DLIV_DATETIME
BILL_TOWN
BILL_COUNTRY
                                             NOT NULL VARCHAR2(45)
                                             NOT NULL VARCHAR2(45)
 DISCOUNT
                                                       NUMBER(2)
```

### **Execution Plan:**

The execution plan for this query includes a join operation, but there's no indication of appropriate indexing or clustering. Without indexes or clustering, the join process can be highly inefficient, requiring more resources and time to complete. This lack of optimization can significantly affect query performance, leading to longer execution times and increased database workload.

## BS DEGREE IN INFORMATICS ENGINEERING Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases Third Assignment's Report: Physical Design on Oracle DB



```
Plan de Ejecucion
Plan hash value: 1654569925
 Id | Operation
                                 Name
                                                  | Rows | Bytes | Cost (%CPU)| Time
      SELECT STATEMENT
                                                            2544
                                                                               00:00:01
                                                                          (1)|
(1)|
(1)|
                                                       12
       NESTED LOOPS
                                                            2544
                                                                     223
                                                                               00:00:01
                                                       24
        NESTED LOOPS
                                                            2544
                                                                     223
                                                                              00:00:01
         TABLE ACCESS FULL
                                                       24
                                   CLIENT_LINES
                                                            2160
                                                                     205
                                                                              00:00:01
         INDEX UNIQUE SCAN
                                   PK CLIENTORDERS
                                                                      0
                                                                              00:00:01
                                                        1
                                                                          (0)
        TABLE ACCESS BY INDEX ROWID | ORDERS_CLIENTS
                                                                          (0) | 00:00:01
Predicate Information (identified by operation id):
  AND "ORDERS_CLIENTS"."COUNTRY"="CLIENT_LINES"."COUNTRY")
Note

    dynamic statistics used: dynamic sampling (level=2)

   this is an adaptive plan
Estadisticas
       129 recursive calls
        0 db block gets
      1166 consistent gets
       5 physical reads
      0 redo size
2171 bytes sent via SQL*Net to client
       548 bytes received via SQL*Net from client
        6 SQL*Net roundtrips to/from client
        6 sorts (memory)
           sorts (disk)
        74 rows processed
```

It has been set the autotrace traceonly to see what is happening during the execution, showing the performance in the execution plan that can be seen above. The autotrace results indicate that a significant amount of resources are being used by the Client\_Lines table, which is subjected to "FULL ACCESS" operation. To optimize performance, we should consider implementing indexes and clusters to reduce the resource usage associated with this full table scan.

BS DEGREE IN INFORMATICS ENGINEERING Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



## **Possible optimizations**

Element	Expected Benefits	Expected Drawbacks	
Index on 'barcode' in 'Posts'	We'll improve queries' performance based on the barcode. Reduced consistent gets and quicker retrieval.	Potential overhead for updates and insertions.	
Index on 'product' in 'Posts'	Queries' performance based on product will be faster. Reduced consistent gets.	Increased index maintenance during insertions/updates.	
Index on 'score' in 'Posts'	Efficient range-based queries. Reduced consistent gets and quicker performance.	Additional overhead for updates/insertions.	
Index on 'Client_Lines' by username	Faster joins with orders_clients. Improved retrieval for frequent join-based queries.	Increased complexity in maintaining composite indexes.	
Multi-table cluster for orders_clients and client_lines	We'll improve the join performance due to physical clustering of related data. Reduced consistent gets during join operations.	Potential impact on insertion performance. Increased storage requirements.	

# 3 Physical Design

```
Unset
DROP ...
DROP INDEX idx_posts_barcode;
DROP INDEX idx_posts_product;
alter table Client_Lines drop clustering;
DROP INDEX idx_client_lines_01;
-- VALIDATION TABLES - - - - - - -
CREATE TABLE ...
-- TABLES CREATION - - - - - - -
CREATE TABLE ...
select table_name from user_tables;
-- Indexes
CREATE INDEX idx_posts_barcode ON posts (barcode);
CREATE INDEX idx_posts_product ON posts (product);

SELECT /*+ FULL(posts) PARALLEL(posts, 2) */ * from posts;
```

BS DEGREE IN INFORMATICS ENGINEERING Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases Third Assignment's Report: Physical Design on Oracle DB



```
-- CREATE CLUSTER

ALTER TABLE Client_Lines add clustering by linear order (username);

ALTER TABLE Client_Lines move online;

-- CREATE INDEX FOR SUCH CLUSTER

CREATE INDEX idx_client_lines_01 ON client_lines (username);
```

## 4 Evaluation

## **Process 1: First query (10%)**

As we decided before, we created an index for the barcode in the Posts table, we expected the consistent gets and the time to get reduced. That was the conclusion:

```
Unset

CREATE INDEX idx_posts_barcode ON posts (barcode);
```

### Execution plan without the index:

```
13 recursive calls
0 db block gets
508 consistent gets
0 physical reads
0 redo size
9692 bytes sent via SQL*Net to client
381 bytes received via SQL*Net from client
2 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
9 rows processed
```

We'll use a hint to force ORACLE to use the index when doing the SELECT statement:

Third Assignment's Report: Physical Design on Oracle DB



### Execution plan with the index:

```
16 recursive calls
0 db block gets
20 consistent gets
0 physical reads
0 redo size

9712 bytes sent via SQL*Net to client
381 bytes received via SQL*Net from client
2 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
9 rows processed
```

At first, it wasn't clear which option was more efficient. However, after analyzing the data, we found that the index insertion resulted in fewer consistent gets. Although there was an increase in recursive calls and bytes sent via SQL\*Net to client, the overall operations seemed less costly after the index insertion.

Then, we run the process run test and obtain this result:

```
SQL> exec PKG COSTES.RUN TEST(10);
Iteration 1
Iteration 2
Iteration 3
Iteration 4
Iteration 5
Iteration 6
Iteration 7
Iteration 8
Iteration 9
Iteration 10
RESULTS AT 29/04/2024 17:31:36
TIME CONSUMPTION (run): 35,9 milliseconds.
CONSISTENT GETS (workload):6594 acc
CONSISTENT GETS (weighted average):659,4 acc
Procedimiento PL/SQL terminado correctamente.
```

The time consumption and the consistent gets (from 7083 acc to 6594 acc) have been reduced.

# **Process 2: Second query (10%)**

As we decided before, we created an index for the product in the Posts table, we expected the consistent gets to get reduced. That was the conclusion:

```
Unset

CREATE INDEX idx_posts_product ON posts (product);
```



### Execution plan without the index:

```
97 recursive calls
2 db block gets
619 consistent gets
6 physical reads
0 redo size
54499 bytes sent via SQL*Net to client
405 bytes received via SQL*Net from client
5 SQL*Net roundtrips to/from client
5 sorts (memory)
0 sorts (disk)
57 rows processed
```

We'll use a hint to force ORACLE to use the index when doing the SELECT statement:

### Execution plan with the index:

```
19 recursive calls
0 db block gets
72 consistent gets
1 physical reads
0 redo size
54606 bytes sent via SQL*Net to client
409 bytes received via SQL*Net from client
5 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
57 rows processed
```

It's evident that using this index makes the query more efficient. Although there was an increase in bytes sent via SQL\*Net to client and bytes received via SQL\*Net from client, the overall operations seemed less costly after the index insertion.

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



Then, we executed the 'RUN TEST' and got the following result:

```
SQL> exec PKG_COSTES.RUN_TEST(10);
Iteration 1
Iteration 2
Iteration 3
Iteration 4
Iteration 5
Iteration 6
Iteration 7
Iteration 8
Iteration 9
Iteration 10
RESULTS AT 29/04/2024 17:49:36
TIME CONSUMPTION (run): 34,4 milliseconds.
CONSISTENT GETS (workload):6147 acc
CONSISTENT GETS (weighted average):614,7 acc
Procedimiento PL/SQL terminado correctamente.
```

The time consumption and the consistent gets (from 6594 acc to 6147 acc) have been reduced.

## **Process 3: Third query (10%)**

As we decided before, we created an index for the score in the Posts table, we expected the consistent gets and the time execution to get reduced. That was the conclusion:

```
Unset

CREATE INDEX idx_posts_score ON posts (score);
```

### Execution plan without the index:

```
20 recursive calls
0 db block gets
578 consistent gets
0 physical reads
0 redo size
1098428 bytes sent via SQL*Net to client
1218 bytes received via SQL*Net from client
80 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
1173 rows processed
```

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



We'll use a hint to force ORACLE to use the index when doing the SELECT statement:

```
Unset
SELECT /*+ INDEX(posts idx_posts_score ) */ * FROM posts WHERE score >= 4;
```

### Execution plan with the index:

```
32 recursive calls
0 db block gets
590 consistent gets
3 physical reads
0 redo size
1098428 bytes sent via SQL*Net to client
1222 bytes received via SQL*Net from client
80 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
1173 rows processed
```

After executing the SELECT statement, as we can see, the values of each variable after execution have either stayed the same or increased, indicating that **this optimization method isn't effective**. Given that the number of rows with a score of 4 or higher is quite large, we'll still do a Range Scan throughout the index and this will make it less effective.

This indicates that there's no index that can optimize this query. The problem is the data distribution (too many rows meet the query's condition), reducing the effectiveness of any index.

## **Process 4: Fourth query (20%)**

Execution plan without any optimization:

```
7 recursive calls
0 db block gets
711 consistent gets
0 physical reads
0 redo size
3222320 bytes sent via SQL*Net to client
2853 bytes received via SQL*Net from client
230 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
3429 rows processed
```

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Departs Physical Design on C





An index won't be much help for this query because it selects every row; therefore, to improve efficiency we'll use a hint:

```
Unset

SELECT /*+ FULL(posts) PARALLEL(posts, 2) */ * from posts;
```

### Execution plan with optimization:

```
6 recursive calls
0 db block gets
601 consistent gets
0 physical reads
0 redo size
3215804 bytes sent via SQL*Net to client
2895 bytes received via SQL*Net from client
230 SQL*Net roundtrips to/from client
0 sorts (memory)
0 sorts (disk)
3429 rows processed
```

As we can observe, the number of consistent gets has been reduced. Although there was an increase in bytes received via SQL\*Net from client, the overall operations seemed less costly after the index insertion.

Then, we executed the 'RUN TEST' and got the following result:

```
SQL> exec pkg_costes.run_test(10);
Iteration 1
Iteration 2
Iteration 3
Iteration 4
Iteration 5
Iteration 6
Iteration 7
Iteration 8
Iteration 9
Iteration 10
RESULTS AT 30/04/2024 13:40:11
TIME CONSUMPTION (run): 31,4 milliseconds.
CONSISTENT GETS (workload):5907 acc
CONSISTENT GETS (weighted average):590,7 acc
Procedimiento PL/SQL terminado correctamente.
```

The time consumption and the consistent gets (from 6147 acc to 5907 acc) have been reduced.

Academic year: 2023/24 - 2<sup>nd</sup> year, 2<sup>nd</sup> term Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



## **Process 5: Fifth query (50%)**

Without any index and cluster:

```
129 recursive calls
0 db block gets
1166 consistent gets
5 physical reads
0 redo size
2171 bytes sent via SQL*Net to client
548 bytes received via SQL*Net from client
6 SQL*Net roundtrips to/from client
6 sorts (memory)
0 sorts (disk)
74 rows processed
```

For this query, first we will use a cluster to optimize the Join between the two tables (orders clients and client lines) and the corresponding index:

```
Unset

ALTER TABLE Client_Lines add clustering by linear order (username);

ALTER TABLE Client_Lines move online;

CREATE INDEX idx_client_lines_01 ON client_lines (username);
```

The output obtained after creating such cluster is the following:

```
O recursive calls
O db block gets
159 consistent gets
O physical reads
O redo size
2171 bytes sent via SQL*Net to client
594 bytes received via SQL*Net from client
6 SQL*Net roundtrips to/from client
O sorts (memory)
O sorts (disk)
74 rows processed
```

As we can see, the consistent gets have been highly reduced, which indicates that the cluster and index have optimized the code properly.

Subject: File Structures and Databases

Third Assignment's Report: Physical Design on Oracle DB



Then, we executed the 'RUN TEST' and got the following result:

```
SQL> exec pkg costes.run test(10);
Iteration 1
Iteration 2
Iteration 3
Iteration 4
Iteration 5
Iteration 6
Iteration
Iteration 8
Iteration 9
Iteration 10
RESULTS AT 30/04/2024 14:06:49
TIME CONSUMPTION (run): 20,3 milliseconds.
CONSISTENT GETS (workload):2357 acc
CONSISTENT GETS (weighted average):235,7 acc
Procedimiento PL/SQL terminado correctamente.
```

The time consumption and the consistent gets (from 5907 acc to 2357 acc) have been reduced.

# 5 Concluding Remarks

In summary, we have developed an improved physical design based on a given workload. We began by analyzing the default setup and identified areas for improvement. Based on this analysis, we proposed a new design and selected specific structures to implement. After testing the changes, the results aligned with our expectations. We successfully improved the efficiency of workload processes by reducing the number of block accesses (7083  $\rightarrow$  2357).

This lab work has helped us understand how data is organized within file structures, along with methods for improving the efficiency of various processes.