

# Probabilistic Context-Free Grammar

COMP90042

Natural Language Processing

Lecture 15

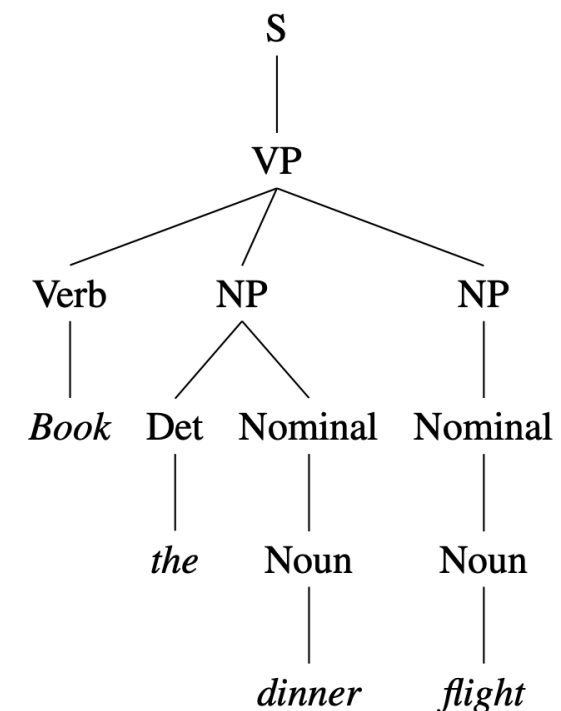
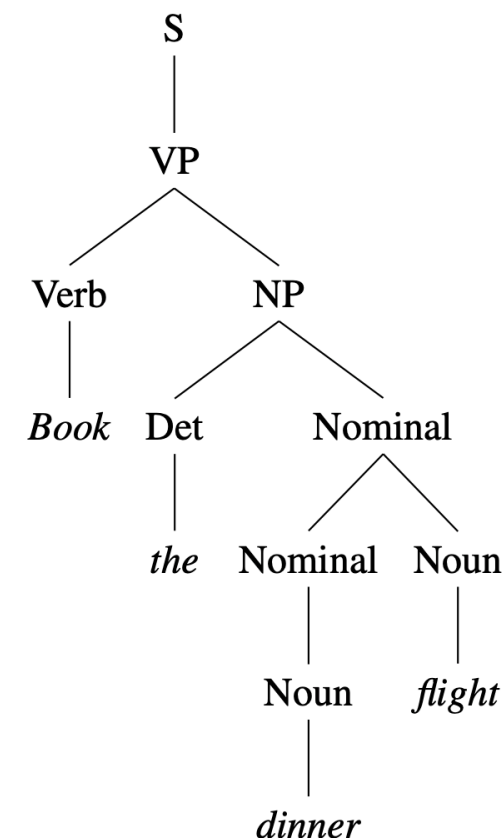
Semester 1 2021 Week 8  
Jey Han Lau



THE UNIVERSITY OF  
MELBOURNE

# Ambiguity In Parsing

- Context-free grammars assign hierarchical structure to language
  - ▶ Formulated as generating all strings in the language
  - ▶ Predicting the structure(s) for a given string
- Raises problem of ambiguity — which is better?
  - Probabilistic CFG!



# Outline

- Basics of Probabilistic CFGs (PCFGs)
- PCFG parsing
- Limitations of CFG

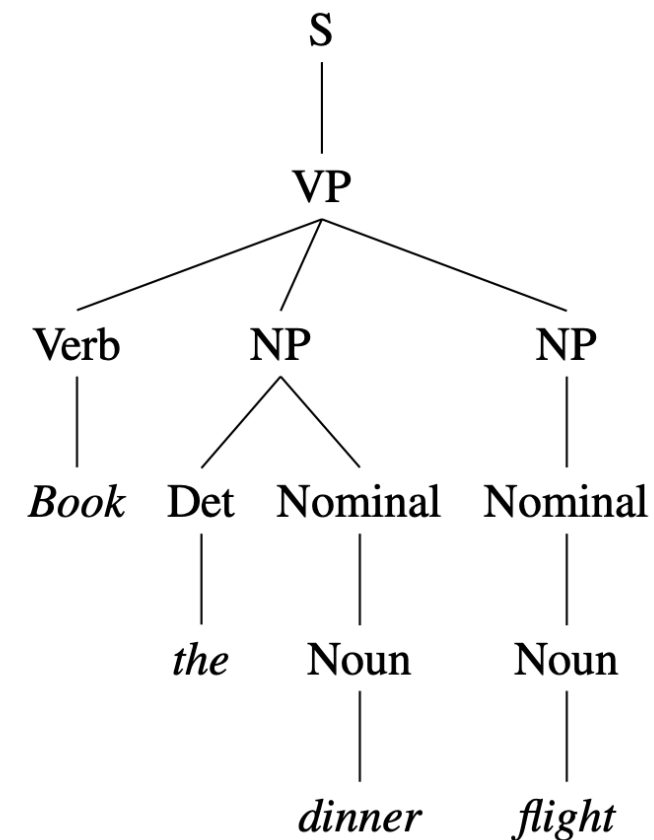
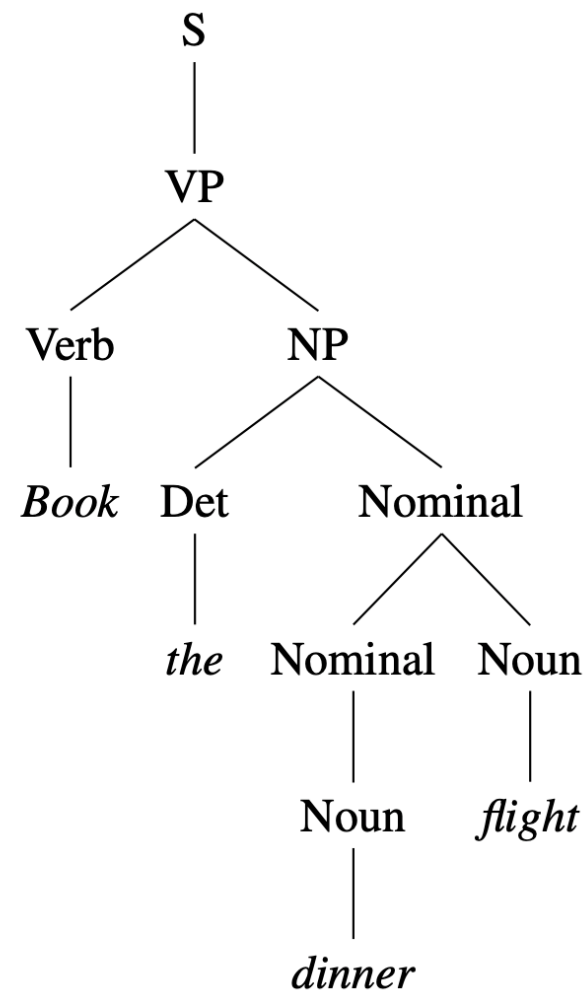
# Basics of PCFGs

# Basics of PCFGs

- Same symbol set:
  - ▶ Terminals: words such as *book*
  - ▶ Non-terminal: syntactic labels such as NP or NN
- Same productions (rules)
  - ▶ LHS non-terminal → ordered list of RHS symbols
- In addition, store a **probability** with each production
  - ▶ NP → DT NN [p = 0.45]
  - ▶ NN → cat [p = 0.02]
  - ▶ NN → leprechaun [p = 0.00001]
  - ▶ ...

# Basics of PCFGs

- Probability values denote **conditional**
  - ▶  $P(\text{LHS} \rightarrow \text{RHS})$
  - ▶  $P(\text{RHS} \mid \text{LHS})$
- Consequently they:
  - ▶ must be positive values, between 0 and 1
  - ▶ must sum to one for given LHS
- E.g.,
  - ▶  $\text{NN} \rightarrow \text{aadvark}$  [p = 0.0003]
  - ▶  $\text{NN} \rightarrow \text{cat}$  [p = 0.02]
  - ▶  $\text{NN} \rightarrow \text{leprechaun}$  [p = 0.0001]
  - ▶  $\sum_x P(\text{NN} \rightarrow x) = 1$



Rules			P	Rules			P
S	→	VP	.05	S	→	VP	.05
VP	→	Verb NP	.20	VP	→	Verb NP NP	.10
NP	→	Det Nominal	.20	NP	→	Det Nominal	.20
Nominal	→	Nominal Noun	.20	NP	→	Nominal	.15
Nominal	→	Noun	.75	Nominal	→	Noun	.75
Verb	→	book	.30	Nominal	→	Noun	.75
Det	→	the	.60	Verb	→	book	.30
Noun	→	dinner	.10	Det	→	the	.60
Noun	→	flight	.40	Noun	→	dinner	.10
				Noun	→	flight	.40

# Stochastic Generation with PCFGs

Almost the same as for CFG, with one twist:

1. Start with S, the sentence symbol
2. Choose a rule with S as the LHS
  - ▶ **Randomly select a RHS** according to  $P(\text{RHS} \mid \text{LHS})$   
e.g.,  $S \rightarrow VP$
  - ▶ Apply this rule, e.g., substitute VP for S
3. Repeat step 2 for each non-terminal in the string  
(here, VP)
4. Stop when no non-terminals remain

Gives us a tree, as before, with a sentence as the yield



# How Likely Is a Tree?

- Given a tree, we can compute its probability
  - Decomposes into probability of each production

- $P(\text{tree}) =$

$P(S \rightarrow VP) \times$

$P(VP \rightarrow \text{Verb NP}) \times$

$P(\text{Verb} \rightarrow \textit{Book}) \times$

$P(NP \rightarrow \text{Det Nominal}) \times$

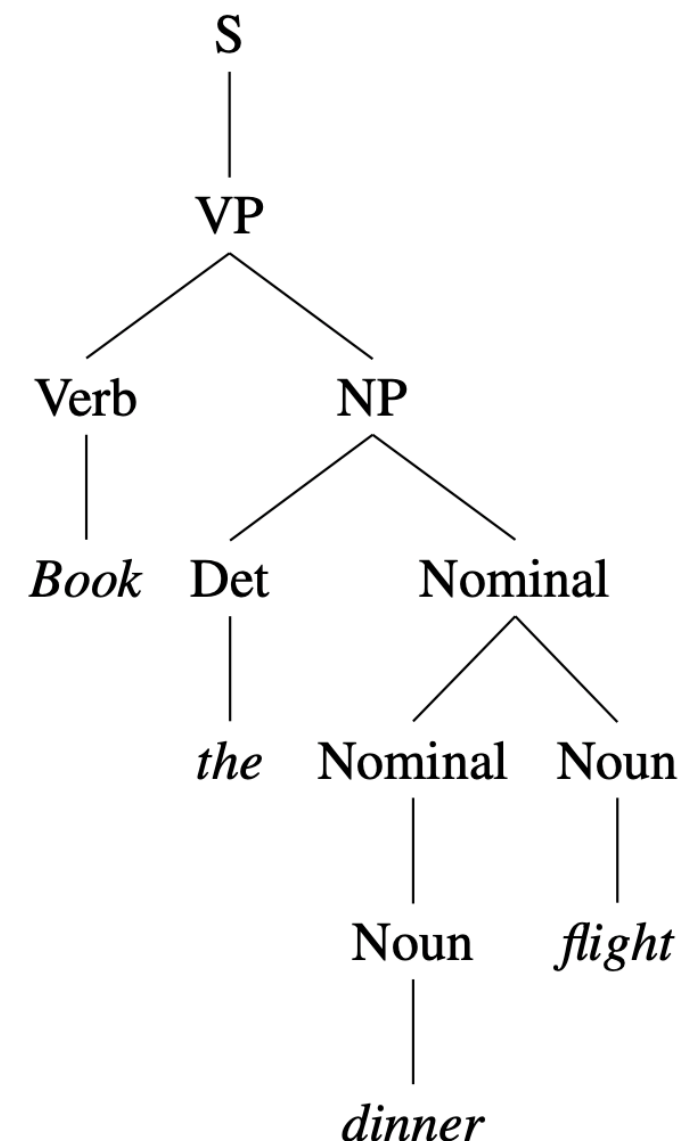
$P(\text{Det} \rightarrow \textit{the}) \times$

$P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times$

$P(\text{Nominal} \rightarrow \text{Noun}) \times$

$P(\text{Noun} \rightarrow \textit{dinner}) \times$

$P(\text{Noun} \rightarrow \textit{flight})$



# How Likely Is a Tree?

$P(\text{tree})$

$$= P(S \rightarrow VP) \times P(VP \rightarrow \text{Verb NP}) \times P(\text{Verb} \rightarrow \text{Book}) \times \\ P(NP \rightarrow \text{Det Nominal}) \times P(\text{Det} \rightarrow \text{the}) \times P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times \\ P(\text{Nominal} \rightarrow \text{Noun}) \times P(\text{Noun} \rightarrow \text{dinner}) \times P(\text{Noun} \rightarrow \text{flight})$$

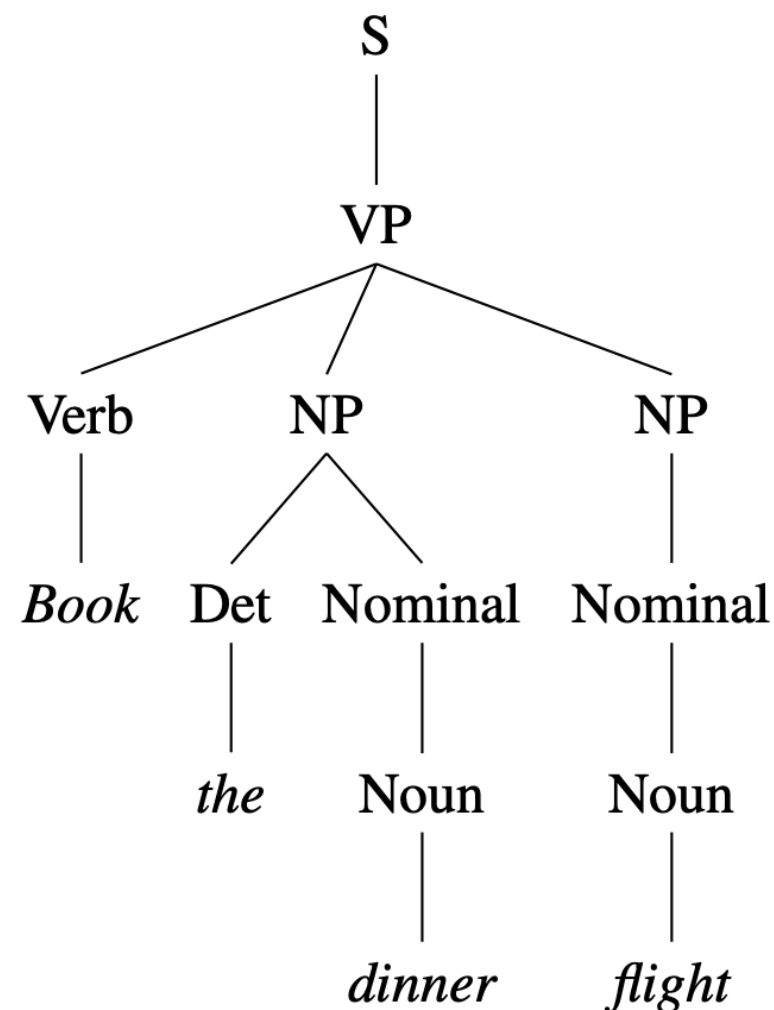
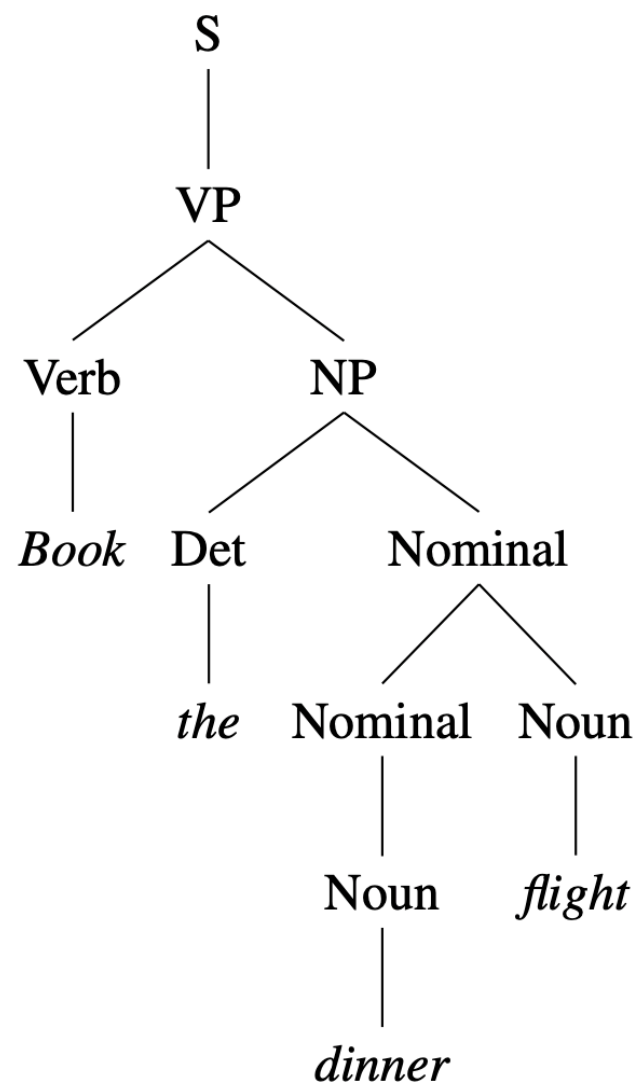
$$= 0.05 \times 0.20 \times 0.30 \times \\ 0.20 \times 0.60 \times 0.20 \times \\ 0.75 \times 0.10 \times 0.40$$

$$= 2.2 \times 10^{-6}$$

Rules		P
S	$\rightarrow$ VP	.05
VP	$\rightarrow$ Verb NP	.20
NP	$\rightarrow$ Det Nominal	.20
Nominal	$\rightarrow$ Nominal Noun	.20
Nominal	$\rightarrow$ Noun	.75
Verb	$\rightarrow$ book	.30
Det	$\rightarrow$ the	.60
Noun	$\rightarrow$ dinner	.10
Noun	$\rightarrow$ flight	.40

# Resolving Parse Ambiguity

- Can select between different trees based on  $P(T)$
- $P(T_{\text{left}}) = 2.2 \times 10^{-6}$   $P(T_{\text{right}}) = 6.1 \times 10^{-7}$



# PCFG Parsing

# Parsing PCFGs

- Before we looked at
  - ▶ CYK
  - ▶ for unweighted grammars (CFGs)
  - ▶ finds **all possible trees**
- But there are often 1000s, many completely nonsensical
- Can we solve for the **most probable tree**?

# CYK for PCFGs

- CYK finds **all trees** for a sentence; we want **best** tree
- Prob. CYK follows similar process to standard CYK
- Convert grammar to Chomsky Normal Form (CNF)
  - ▶  $VP \rightarrow \text{Verb NP NP}$  [0.10]
  - ▶  $VP \rightarrow \text{Verb NP+NP}$  [0.10]  
 $NP+NP \rightarrow NP NP$  [1.0]
  - ▶ where NP+NP is a new symbol.

we	eat	sushi	with	chopsticks
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]
	[1,2]	[1,3]	[1,4]	[1,5]
		[2,3]	[2,4]	[2,5]
			[3,4]	[3,5]
				[4,5]

- S → NP VP1
- NP → NP PP1⁄8
- we1⁄4
- sushi1⁄8
- chopsticks1⁄2
- PP → IN NP1
- IN → with1
- VP → V NP1⁄2
- VP PP1⁄4
- MD V1⁄4
- V → eat1

we	eat	sushi	with	chopsticks
NP 1/4 [0,1]	[0,2]	[0,3]	[0,4]	[0,5]
	V 1 [1,2]	[1,3]	[1,4]	[1,5]
		NP 1/8 [2,3]	[2,4]	[2,5]
			IN 1 [3,4]	[3,5]
				NP 1/2 [4,5]

- S

→ NP VP

1
- NP

→ NP PP

1/8
- we

1/4
- sushi

1/8
- chopsticks

1/2
- PP

→ IN NP

1
- IN

→ with

1
- VP

→ V NP

1/2
- VP PP

1/4
- MD V

1/4
- V

→ eat

1

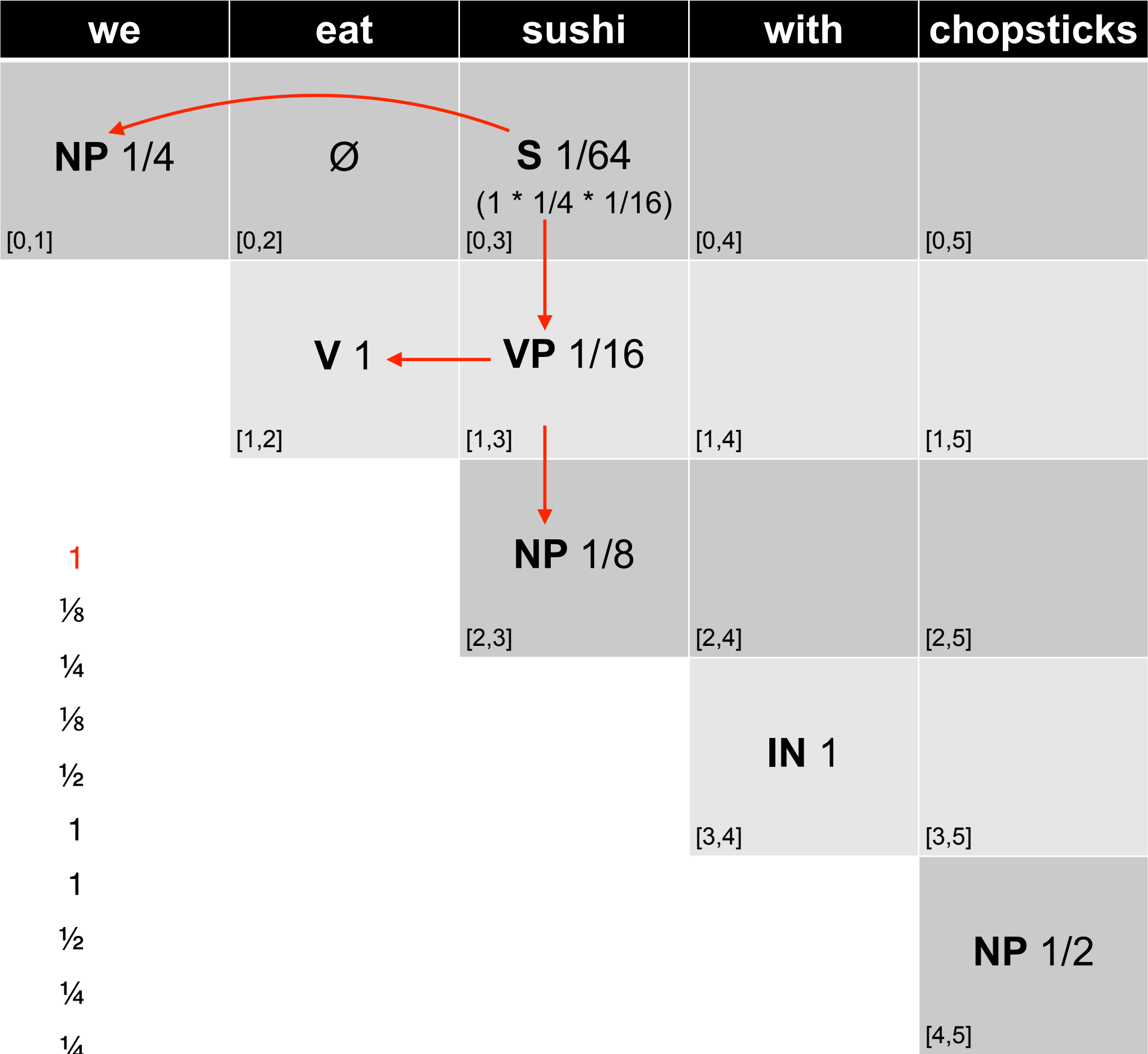


we	eat	sushi	with	chopsticks
<div>NP 1/4</div> <div>[0,1]</div>	<div>Ø</div> <div>[0,2]</div>	<div></div> <div>[0,3]</div>	<div></div> <div>[0,4]</div>	<div></div> <div>[0,5]</div>
	<div>V 1</div> <div>[1,2]</div>	<div></div> <div>[1,3]</div>	<div></div> <div>[1,4]</div>	<div></div> <div>[1,5]</div>
		<div>NP 1/8</div> <div>[2,3]</div>	<div></div> <div>[2,4]</div>	<div></div> <div>[2,5]</div>
			<div>IN 1</div> <div>[3,4]</div>	<div></div> <div>[3,5]</div>
				<div>NP 1/2</div> <div>[4,5]</div>

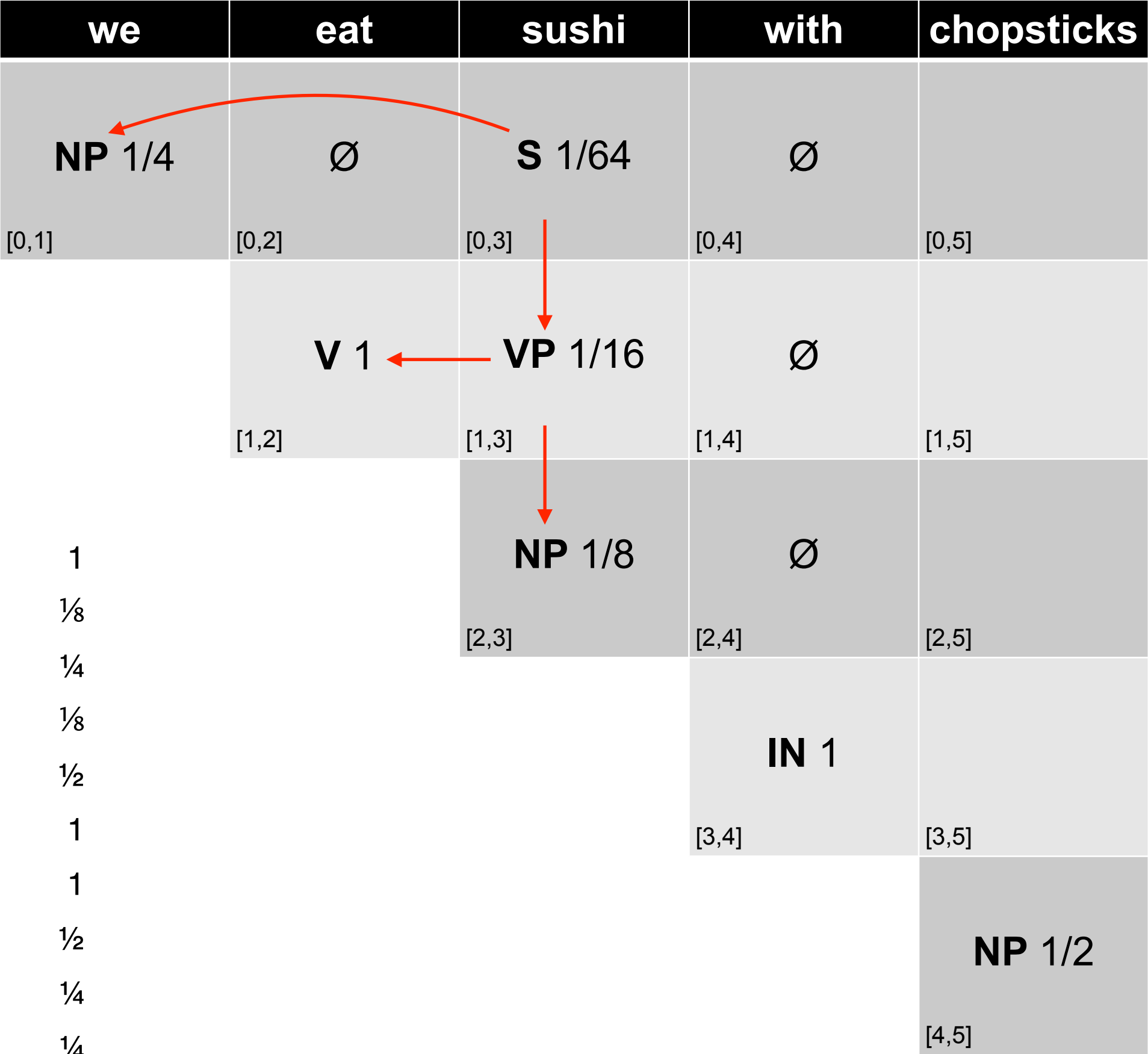
S	→	NP VP	1
NP	→	NP PP	1/8
	→	we	1/4
	→	sushi	1/8
	→	chopsticks	1/2
PP	→	IN NP	1
IN	→	with	1
VP	→	V NP	1/2
	→	VP PP	1/4
	→	MD V	1/4
V	→	eat	1

we	eat	sushi	with	chopsticks
<div>NP 1/4</div> <div>[0,1]</div>	<div>Ø</div> <div>[0,2]</div>	<div></div> <div>[0,3]</div>	<div></div> <div>[0,4]</div>	<div></div> <div>[0,5]</div>
	<div>V 1</div> <div>[1,2]</div>	<div>VP 1/16 (1/2 * 1 * 1/8)</div> <div>[1,3]</div>	<div></div> <div>[1,4]</div>	<div></div> <div>[1,5]</div>
		<div>NP 1/8</div> <div>[2,3]</div>	<div></div> <div>[2,4]</div>	<div></div> <div>[2,5]</div>
			<div>IN 1</div> <div>[3,4]</div>	<div></div> <div>[3,5]</div>
				<div>NP 1/2</div> <div>[4,5]</div>

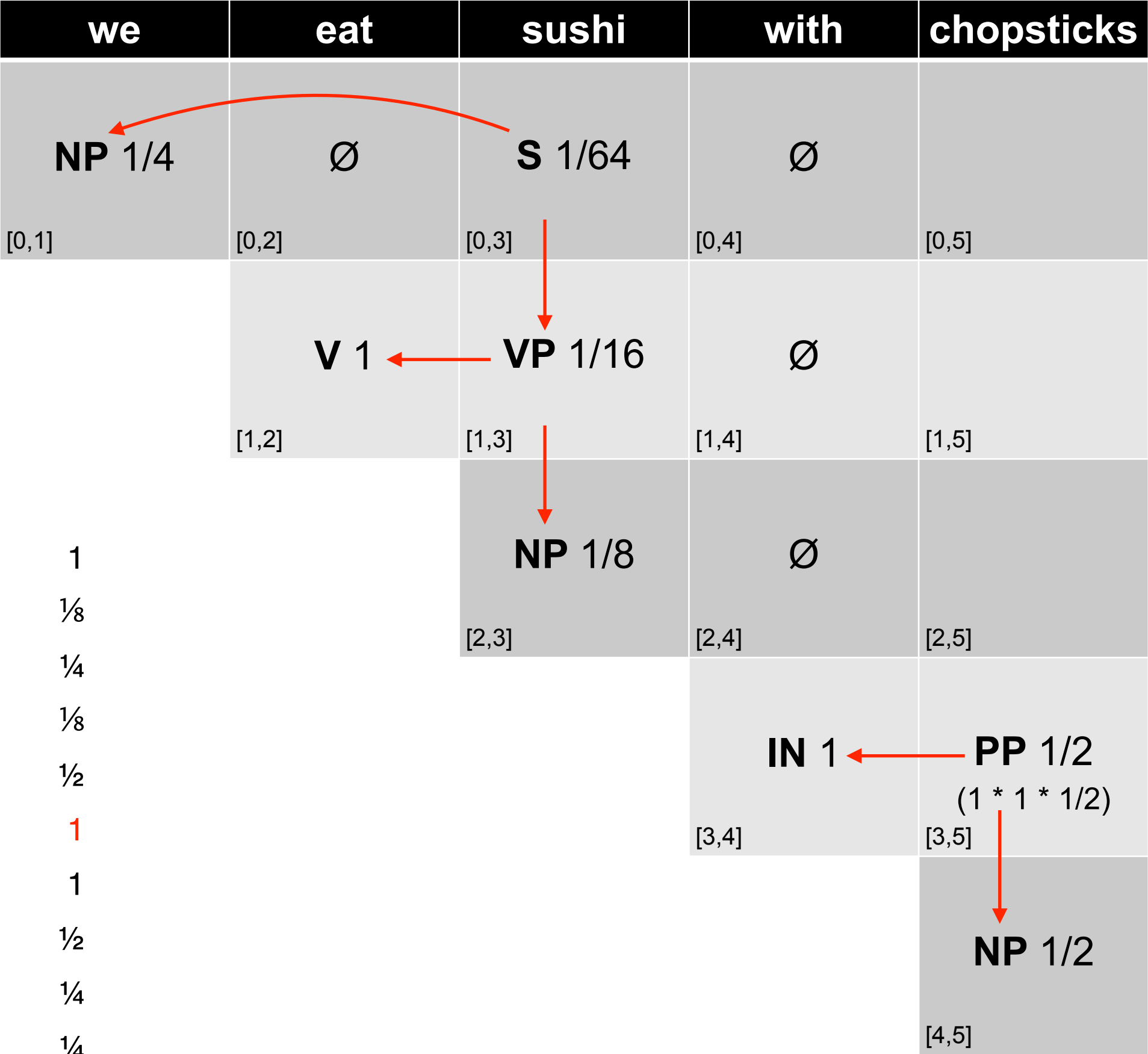
S	→	NP VP	1
NP	→	NP PP	1/8
	→	we	1/4
	→	sushi	1/8
	→	chopsticks	1/2
PP	→	IN NP	1
IN	→	with	1
VP	→	V NP	1/2
	→	VP PP	1/4
	→	MD V	1/4
V	→	eat	1



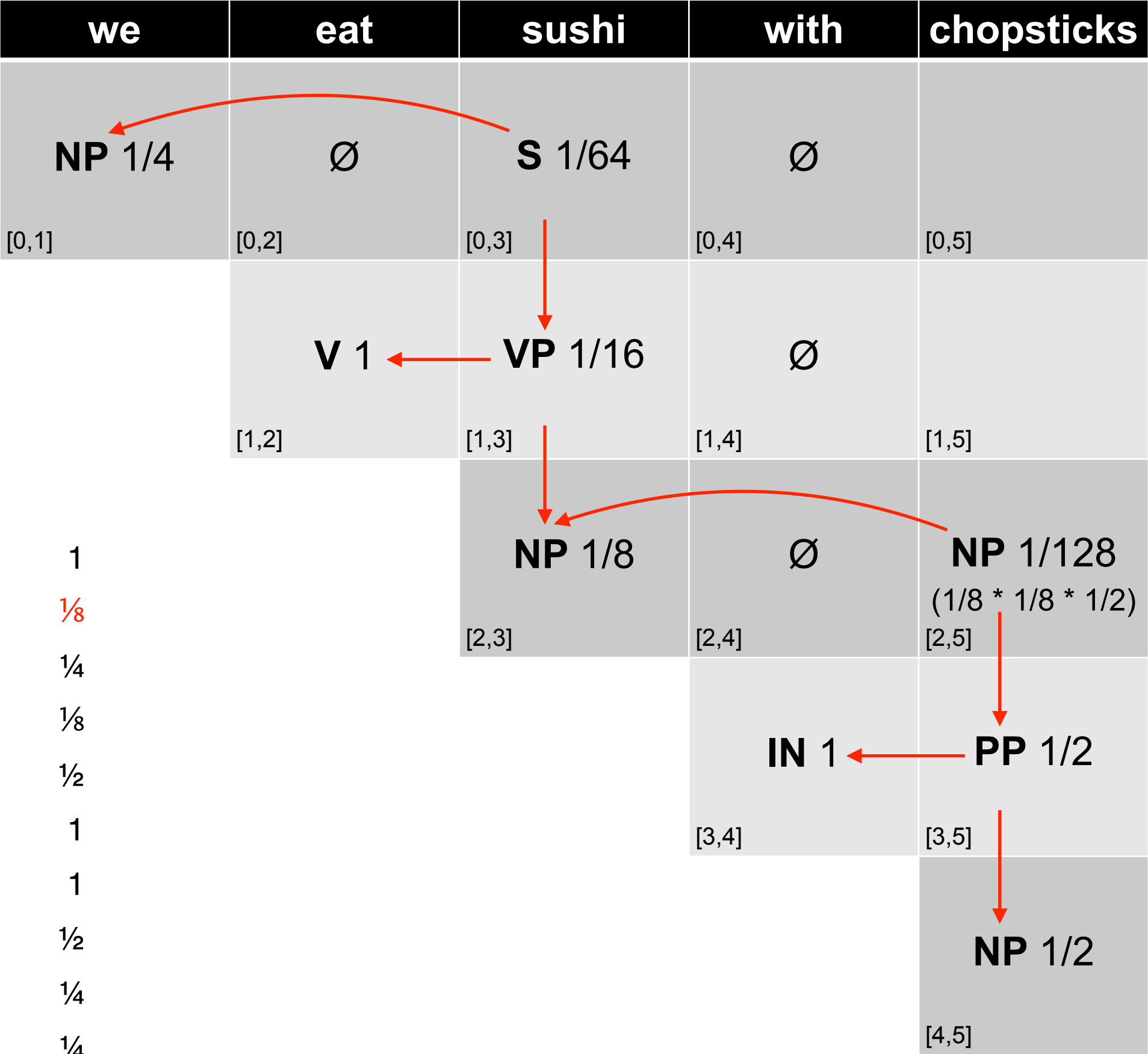
- S** → **NP VP** 1
- NP** → **NP PP** 1/8
  - **we** 1/4
  - **sushi** 1/8
  - **chopsticks** 1/2
- PP** → **IN NP** 1
  - **with** 1
- VP** → **V NP** 1/2
  - **VP PP** 1/4
    - **MD V** 1/4
  - **eat** 1



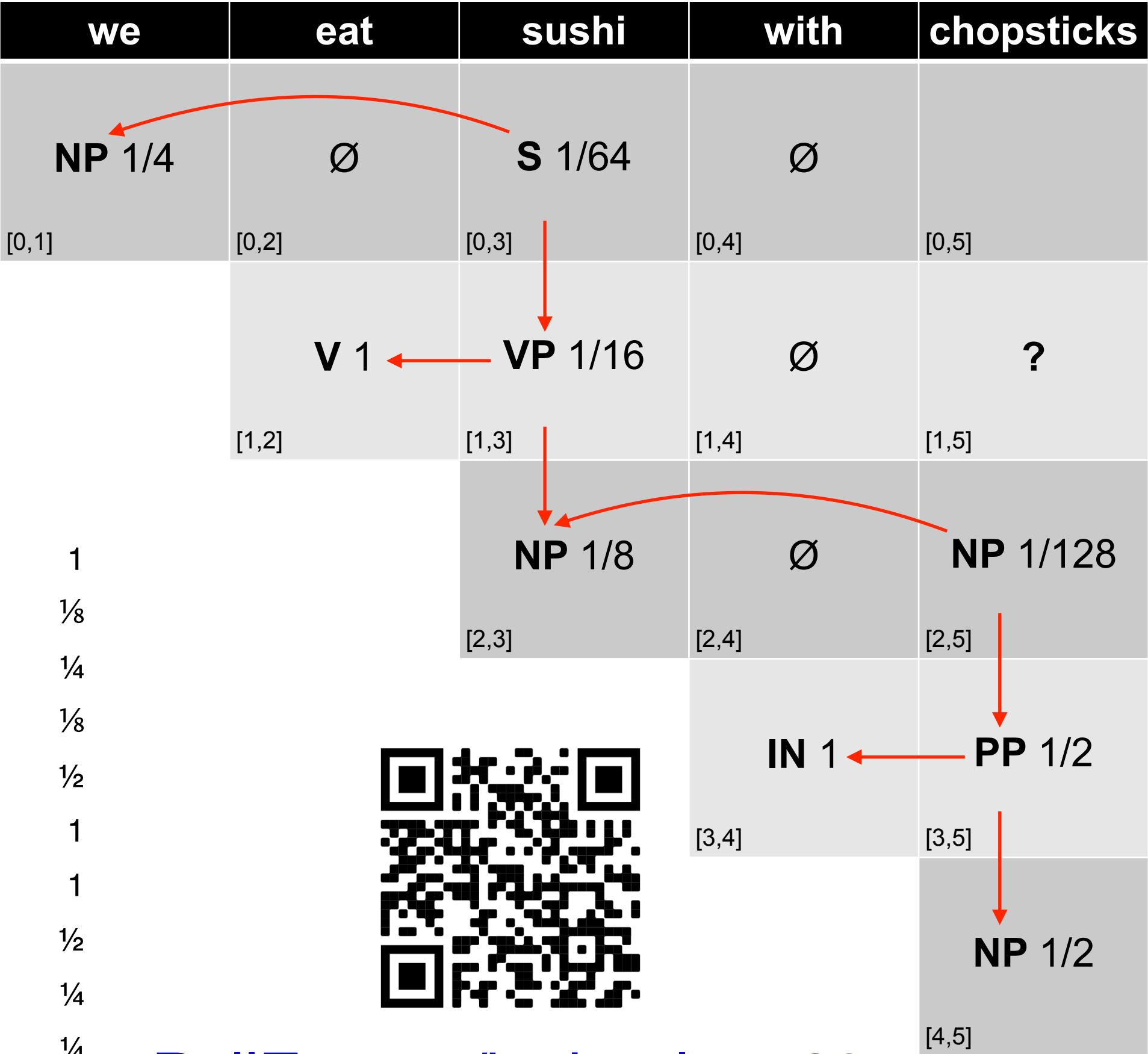
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  - we 1/4
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  - chopsticks 1/2
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



- S → NP VP 1
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  - VP PP 1/4
  - MD V 1/4
- V → eat 1



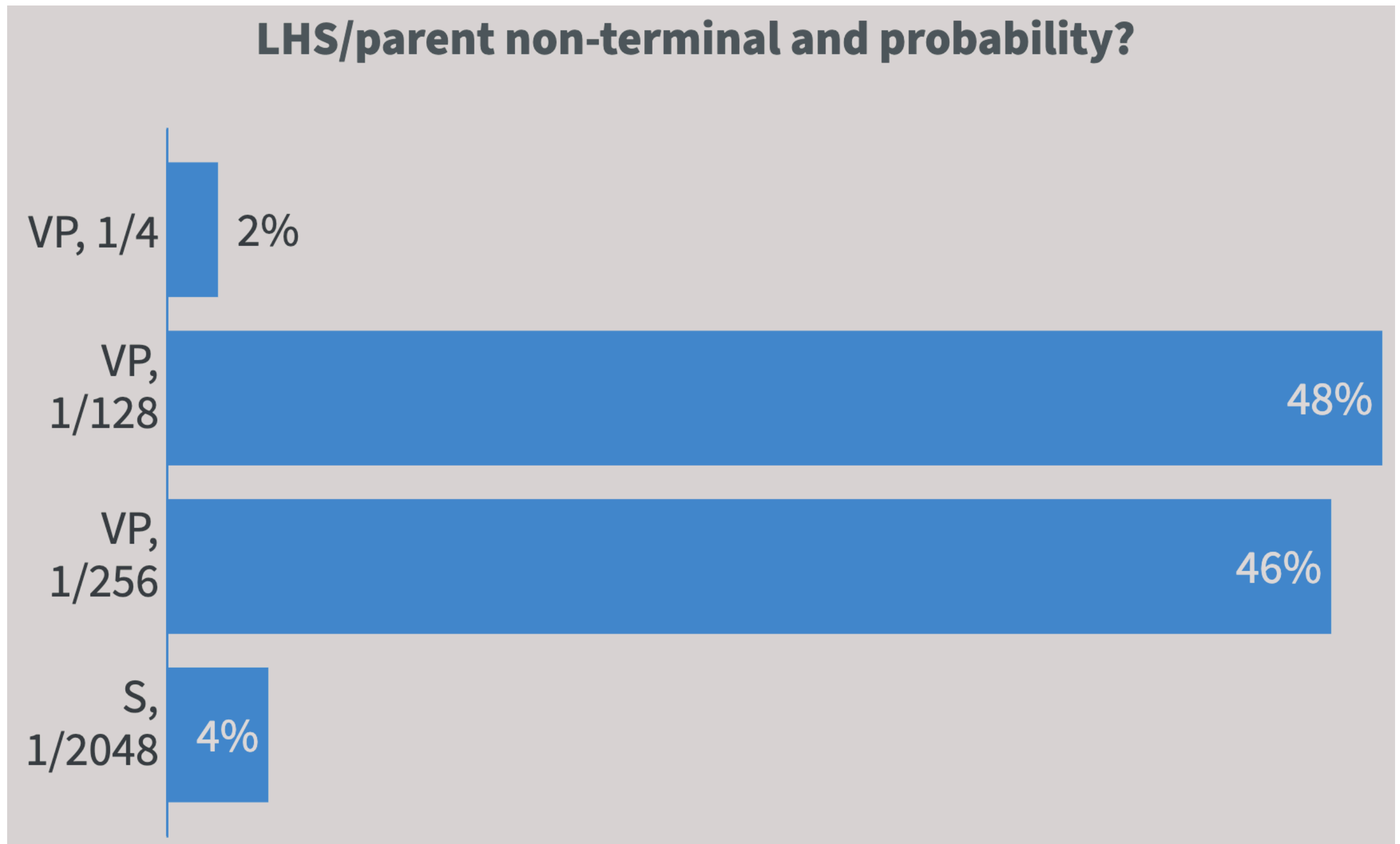
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- PP → IN NP 1
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- VP → V NP 1/2
- VP PP 1/4
- MD V 1/4
- V → eat 1



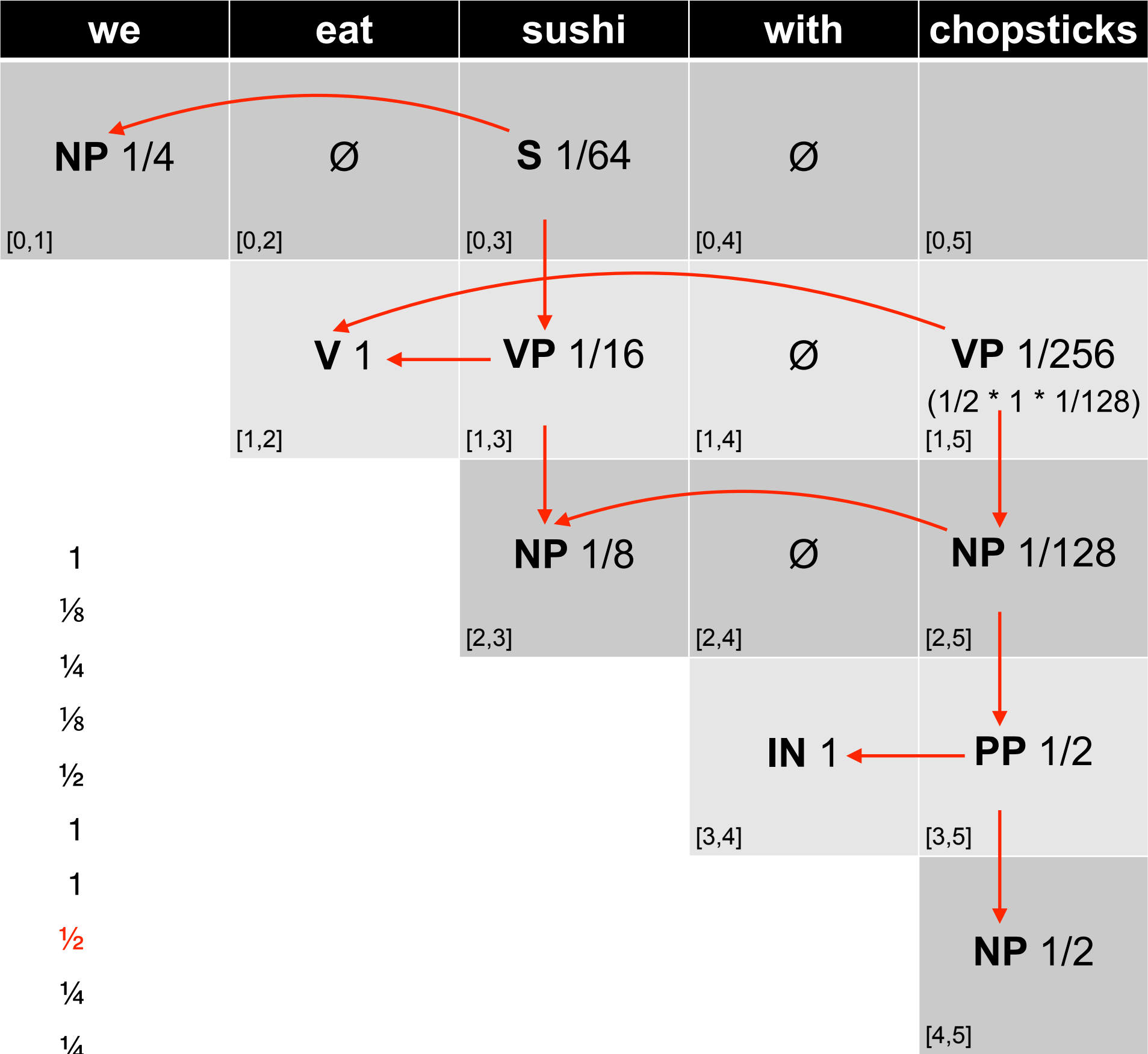
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  - we 1/4
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- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



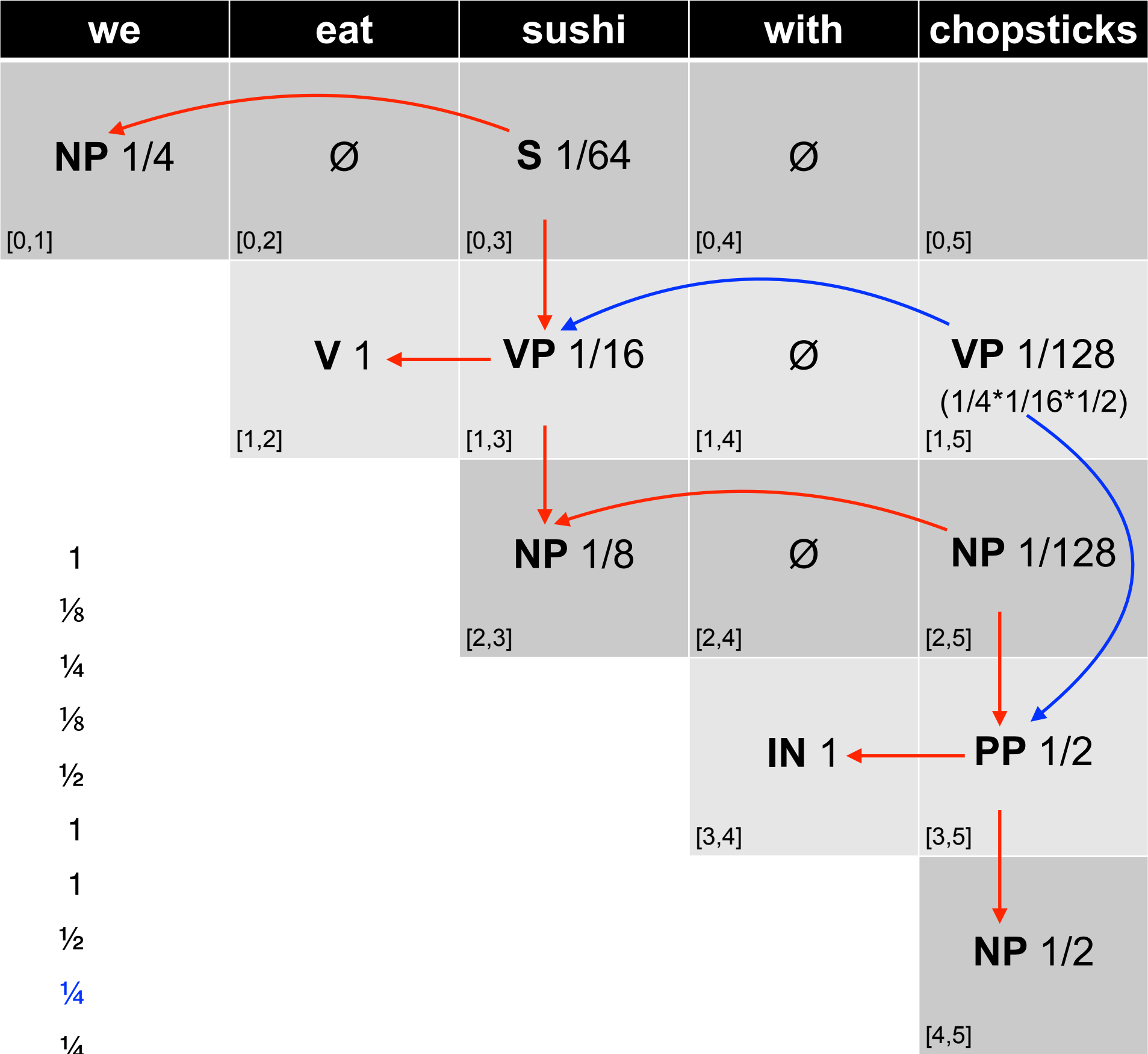
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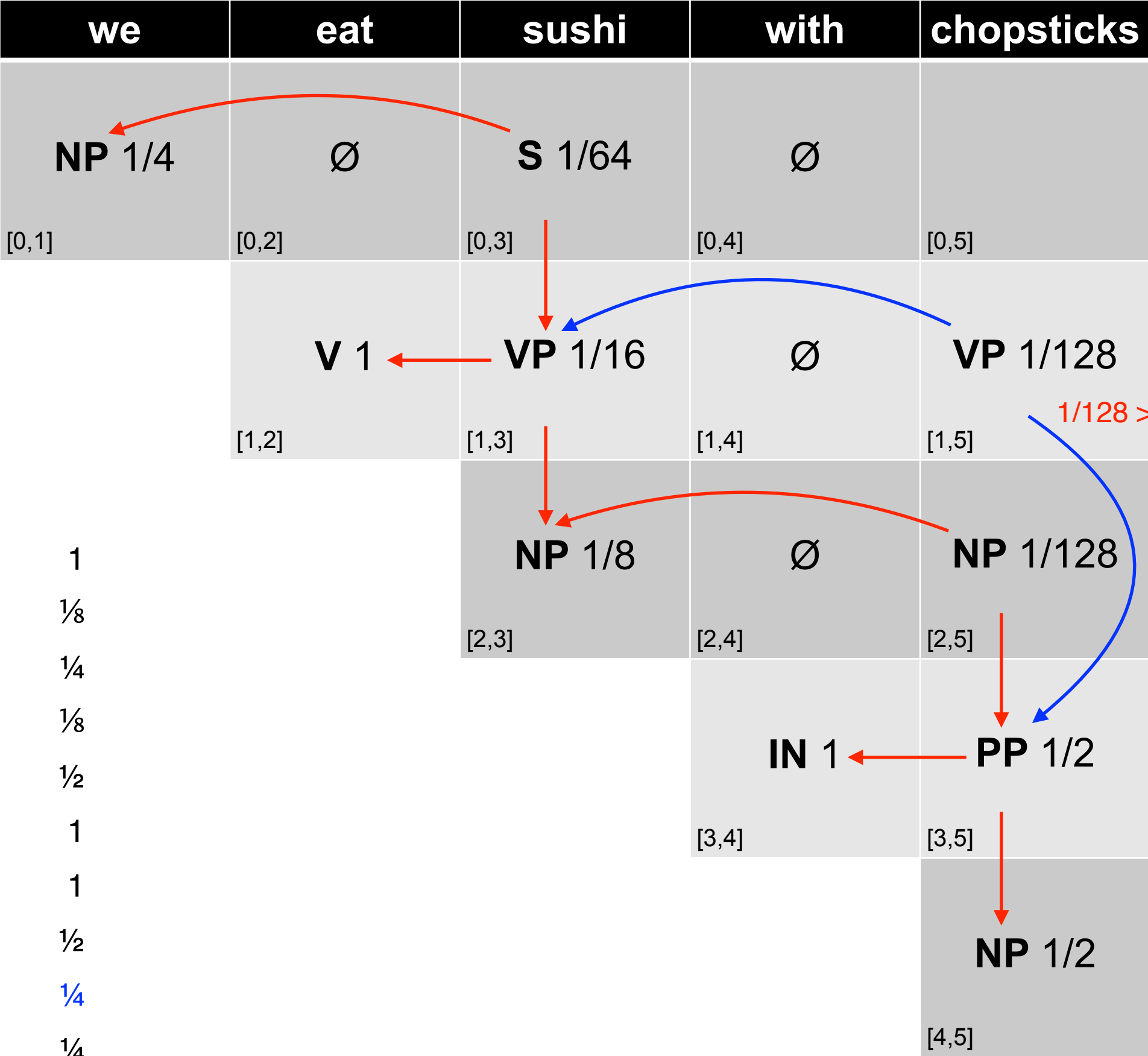




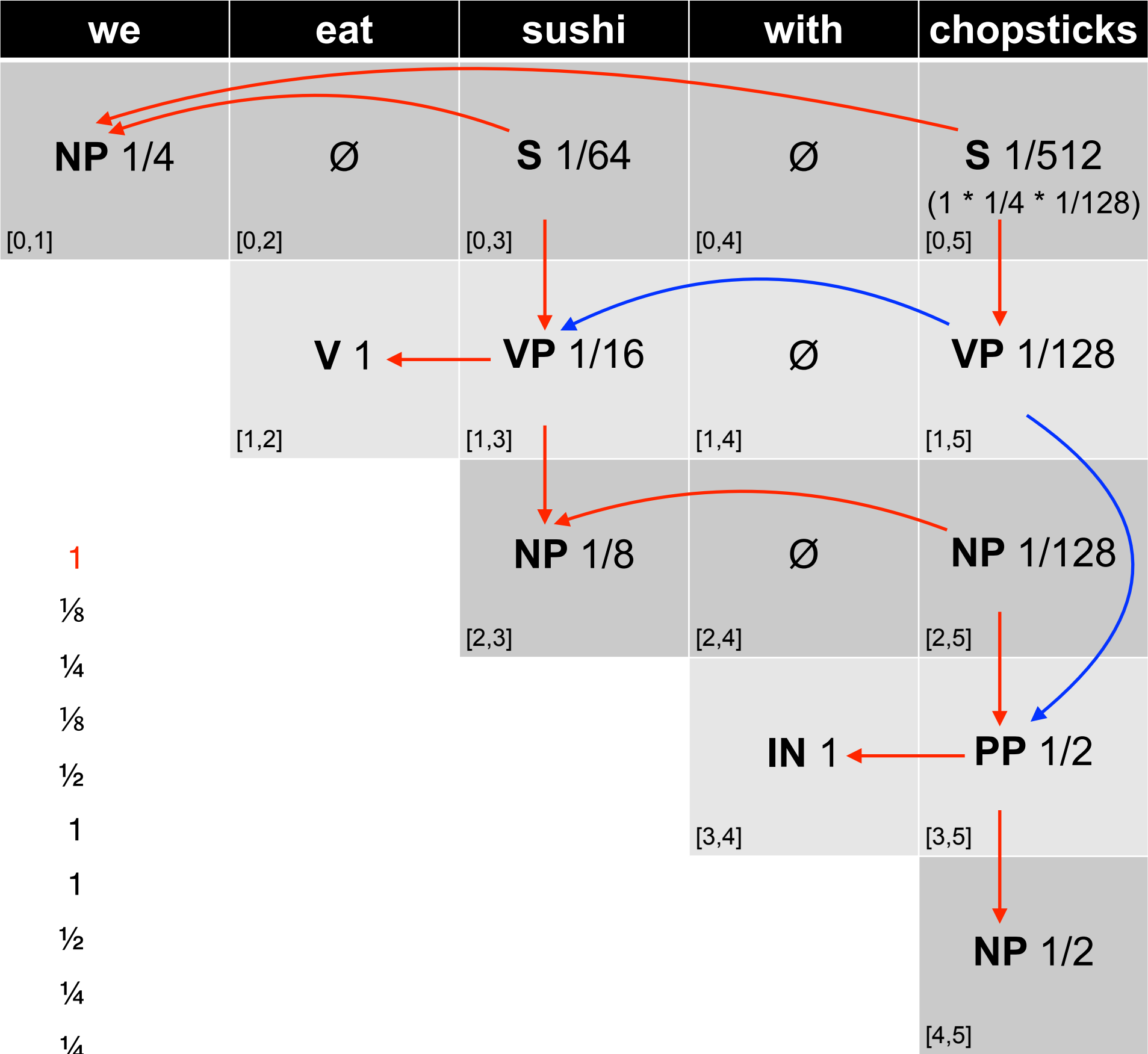
- S → NP VP 1
- NP → NP PP 1/8
  - we 1/4
  - sushi 1/8
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- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



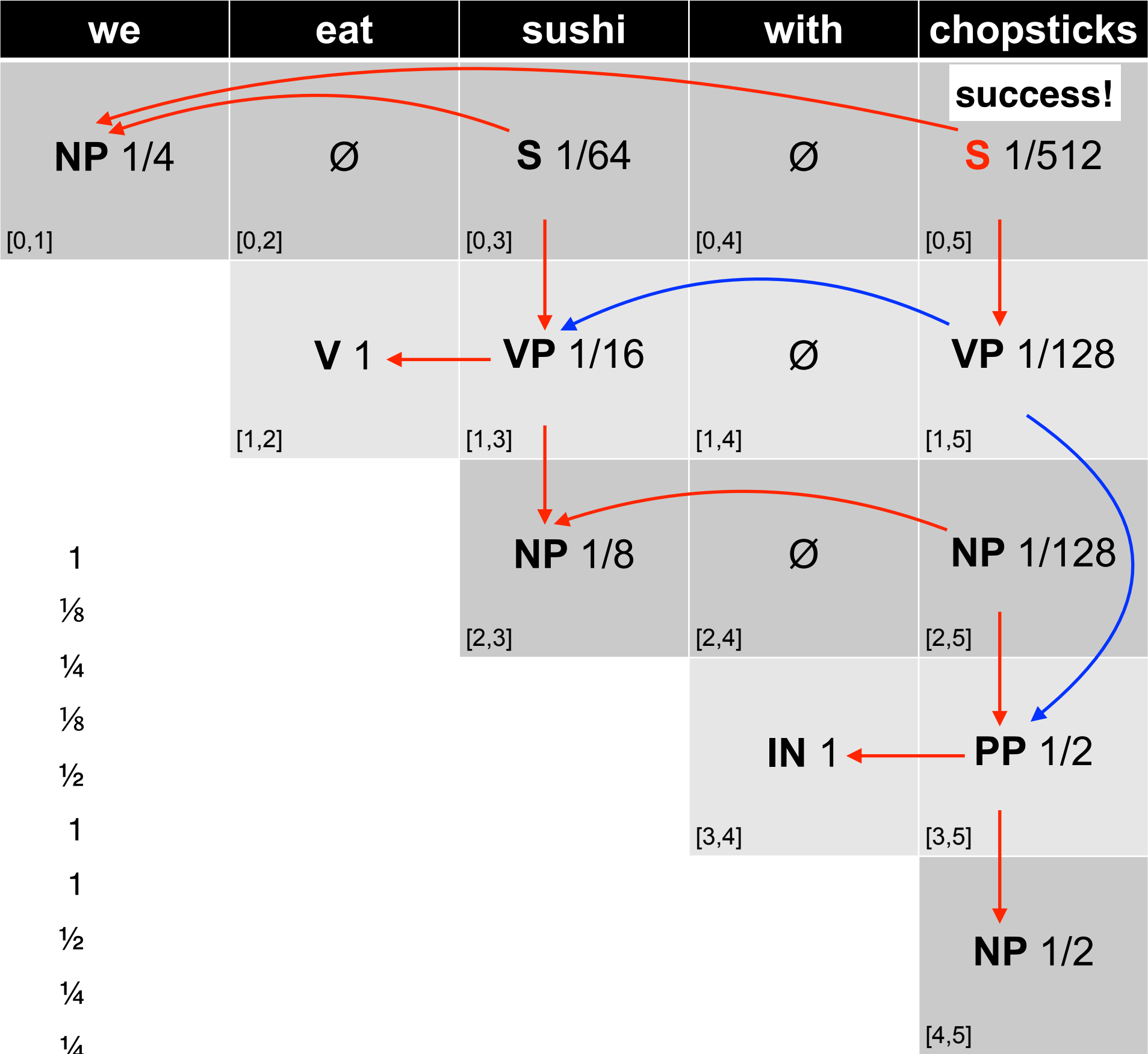
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  - MD V 1/4
- V → eat 1



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- NP → NP PP 1/8
  - we 1/4
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- S** → **NP VP** 1
- NP** → **NP PP** 1/8
  - we 1/4
  - sushi 1/8
  - chopsticks 1/2
- PP** → **IN NP** 1
  - with 1
- VP** → **V NP** 1/2
  - **VP PP** 1/4
    - **MD V** 1/4
  - eat 1

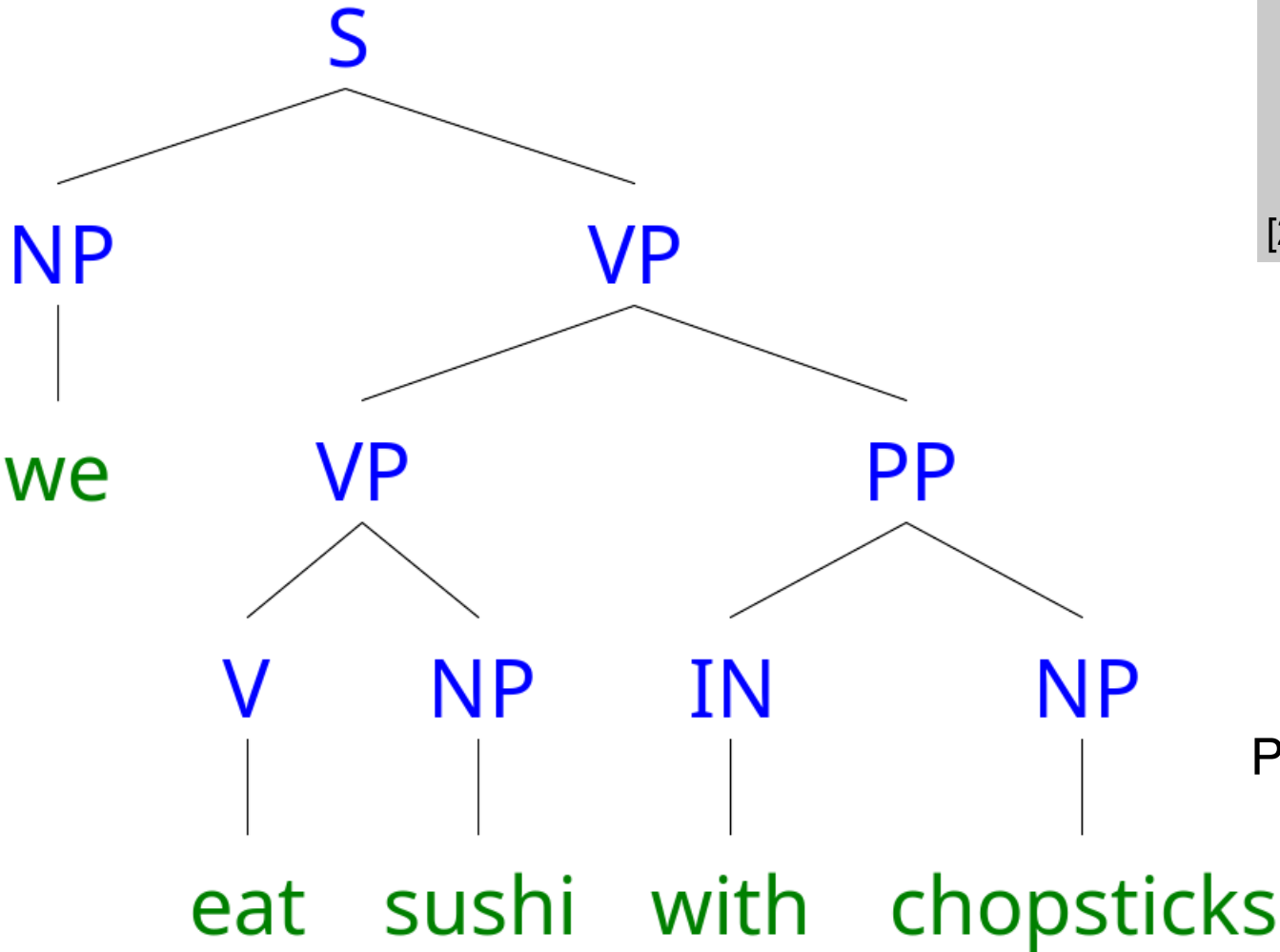


- S → NP VP 1
- NP → NP PP 1/8
  - we 1/4
  - sushi 1/8
  - chopsticks 1/2
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1

# Prob CYK: Retrieving the Parses

- S in the top-right corner of parse table indicates success
- Retain back-pointer to best analysis
- To get parse(s), follow pointers back for each match
- Convert back from CNF by removing new non-terminals

we	eat	sushi	with	chopsticks
<b>NP</b> 1/4 [0,1]	∅ [0,2]	<b>S</b> 1/64 [0,3]	∅ [0,4]	<b>S</b> 1/512 [0,5]
	<b>V</b> 1 [1,2]	<b>VP</b> 1/16 [1,3]	∅ [1,4]	<b>VP</b> 1/128 [1,5]
		<b>NP</b> 1/8 [2,3]	∅ [2,4]	<b>NP</b> 1/128 [2,5]
			<b>IN</b> 1 [3,4]	<b>PP</b> 1/2 [3,5]
				<b>NP</b> 1/2 [4,5]



$P(T) = 1/512$

# Prob. CYK

```
function PROBABILISTIC-CYK(words, grammar) returns most probable parse
                                     and its probability

for  $j \leftarrow$  from 1 to LENGTH(words) do
  for all  $\{ A \mid A \rightarrow words[j] \in grammar \}$ 
     $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ 
  for  $i \leftarrow$  from  $j-2$  downto 0 do
    for  $k \leftarrow i+1$  to  $j-1$  do
      for all  $\{ A \mid A \rightarrow BC \in grammar,$ 
                and  $table[i, k, B] > 0$  and  $table[k, j, C] > 0 \}$ 
        if ( $table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ ) then
           $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ 
           $back[i, j, A] \leftarrow \{k, B, C\}$ 
  return BUILD_TREE( $back[1, LENGTH(words), S]$ ),  $table[1, LENGTH(words), S]$ 
```



**function** CKY-PARSE(*words*, *grammar*) **returns** *table*

**for**  $j \leftarrow$  **from** 1 **to** LENGTH(*words*) **do**

**for all**  $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

$\text{table}[j-1, j] \leftarrow \text{table}[j-1, j] \cup A$

**for**  $i \leftarrow$  **from**  $j-2$  **downto** 0 **do**

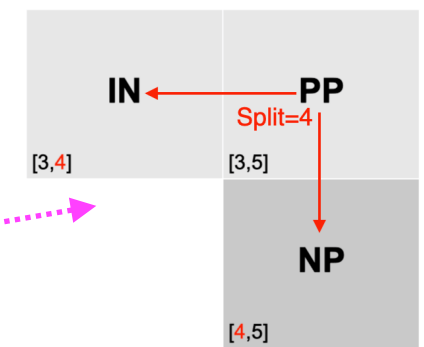
**for**  $k \leftarrow i+1$  **to**  $j-1$  **do**

**for all**  $\{A \mid A \rightarrow BC \in \text{grammar} \text{ and } B \in \text{table}[i, k] \text{ and } C \in \text{table}[k, j]\}$

$\text{table}[i, j] \leftarrow \text{table}[i, j] \cup A$

**CYK**

Both CYK and  
prob. CYK store all  
possible NTs



validity test now looks to  
see that the child chart cells  
have non-zero probability

**function** PROBABILISTIC-CKY(*words*, *grammar*) **returns** most probable parse  
and its probability

**for**  $j \leftarrow$  **from** 1 **to** LENGTH(*words*) **do**

**for all**  $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

$\text{table}[j-1, j, A] \leftarrow P(A \rightarrow \text{words}[j])$

**for**  $i \leftarrow$  **from**  $j-2$  **downto** 0 **do**

**for**  $k \leftarrow i+1$  **to**  $j-1$  **do**

**for all**  $\{A \mid A \rightarrow BC \in \text{grammar},$   
**and**  $\text{table}[i, k, B] > 0 \text{ and } \text{table}[k, j, C] > 0 \}$

**if**  $(\text{table}[i, j, A] < P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C])$  **then**

$\text{table}[i, j, A] \leftarrow P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C]$

$\text{back}[i, j, A] \leftarrow \{k, B, C\}$

**return** BUILD\_TREE( $\text{back}[1, \text{LENGTH}(\text{words}), S]$ ),  $\text{table}[1, \text{LENGTH}(\text{words}), S]$

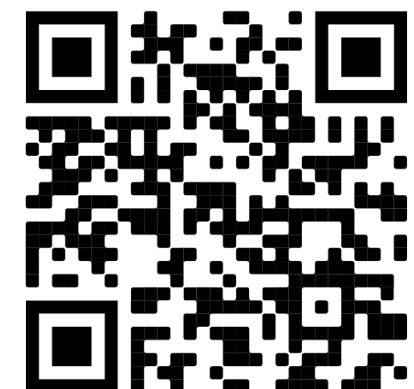
Instead of storing set  
of symbols, store the  
probability of best scoring  
tree fragment covering span  
 $[i, j]$  with root symbol  $A$

Overwrite lower scoring  
analysis if this one is better,  
and record the best production

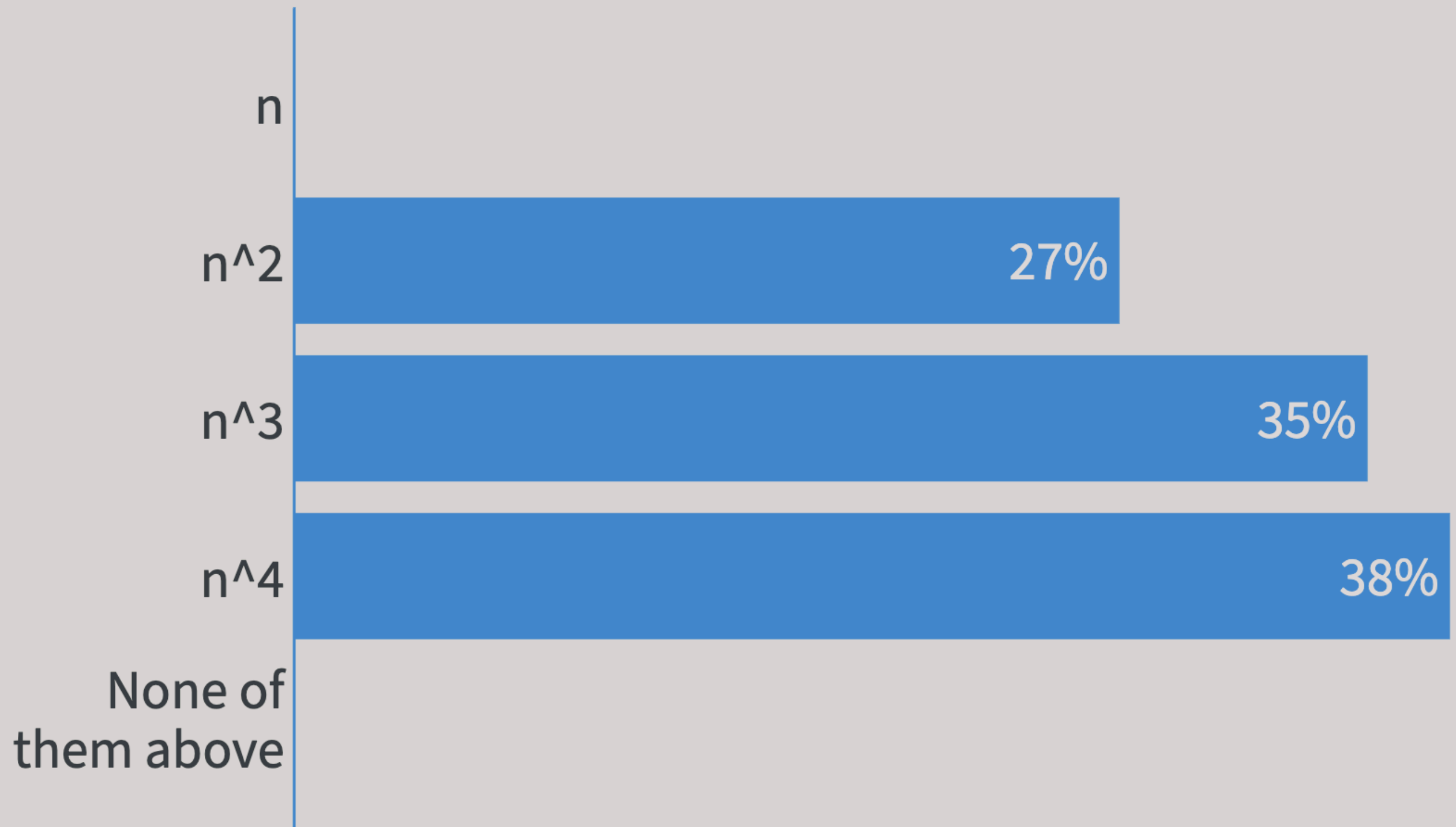
**Probabilistic CYK**

Complexity in terms of sentence length  $n$ ?

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## Complexity in terms of sentence length $n$ ?



# Limitations of CFG

# CFG Problem 1:

## Poor Independence Assumptions

- Rewrite decisions made independently, whereas inter-dependence is often needed to capture global structure.
  - NP → DT NN [0.28]
  - NP → PRP [0.25]
  - Probability of a rule independent of rest of tree
  - No way to represent this contextual differences in PCFG probabilities

	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

# Poor Independence Assumptions

	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

NP statistics in the Switchboard corpus

$NP \rightarrow DT\ NN \ .28$

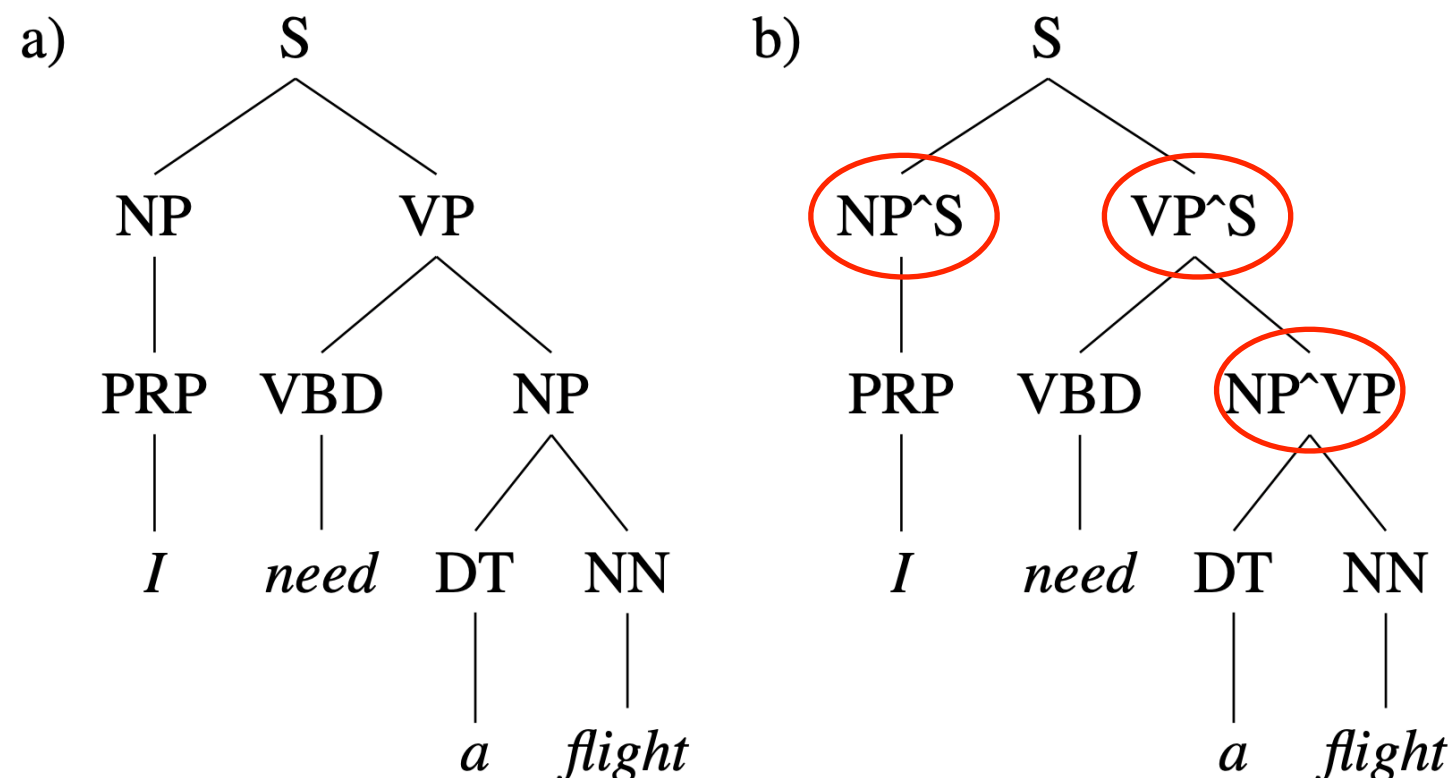
$NP \rightarrow PRP \ .25$

PCFG probabilities based on Switchboard corpus

- $NP \rightarrow PRP$  should go up to 0.91 as a subject
- $NP \rightarrow DT\ NN$  should be 0.66 as an object
- Solution: add a condition to denote whether NP is a subject or object

# Solution: Parent Conditioning

- Make non-terminals more explicit by incorporating parent symbol into each symbol

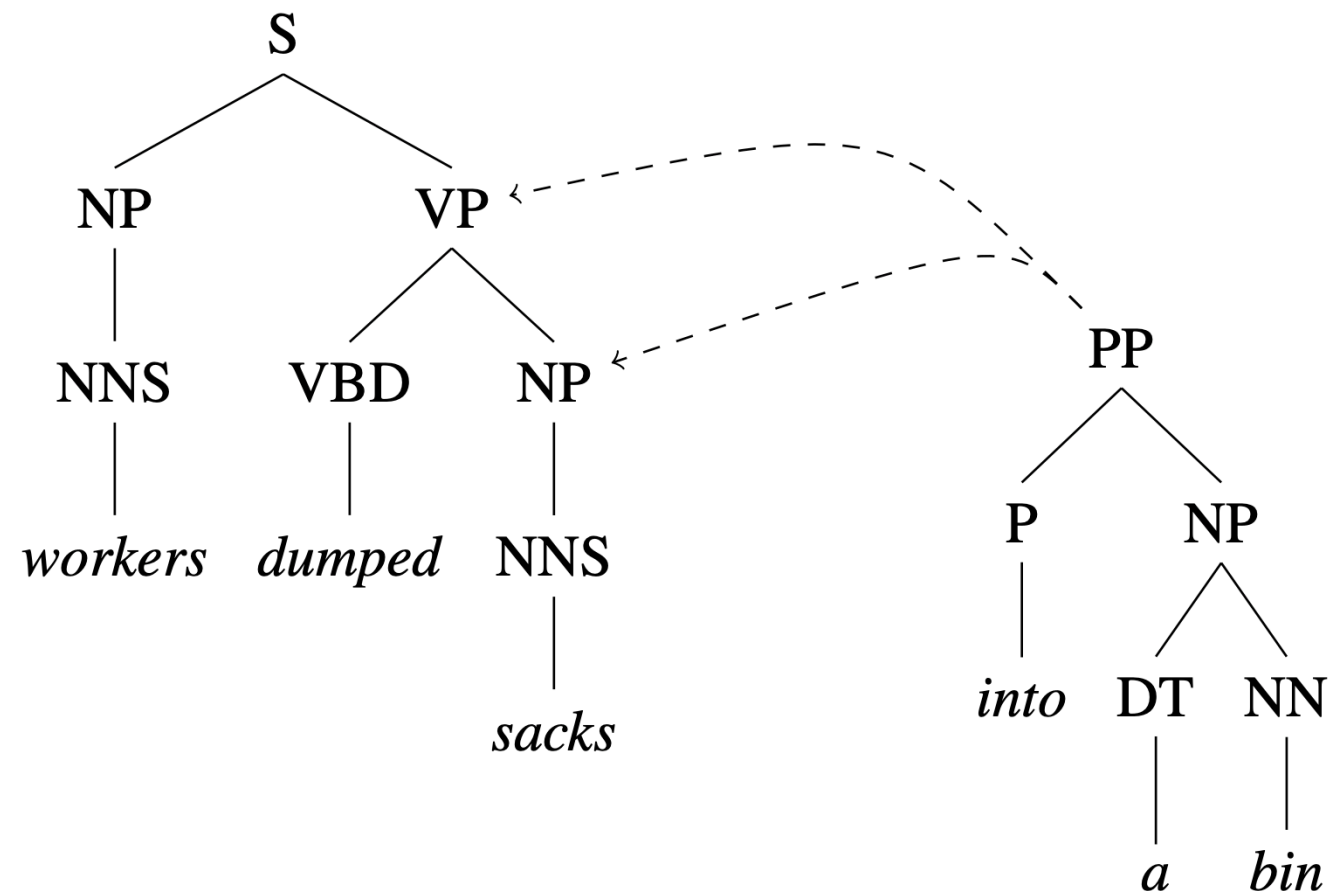


- $NP^S$  represents subject position (left)
- $NP^{VP}$  denotes object position (right)

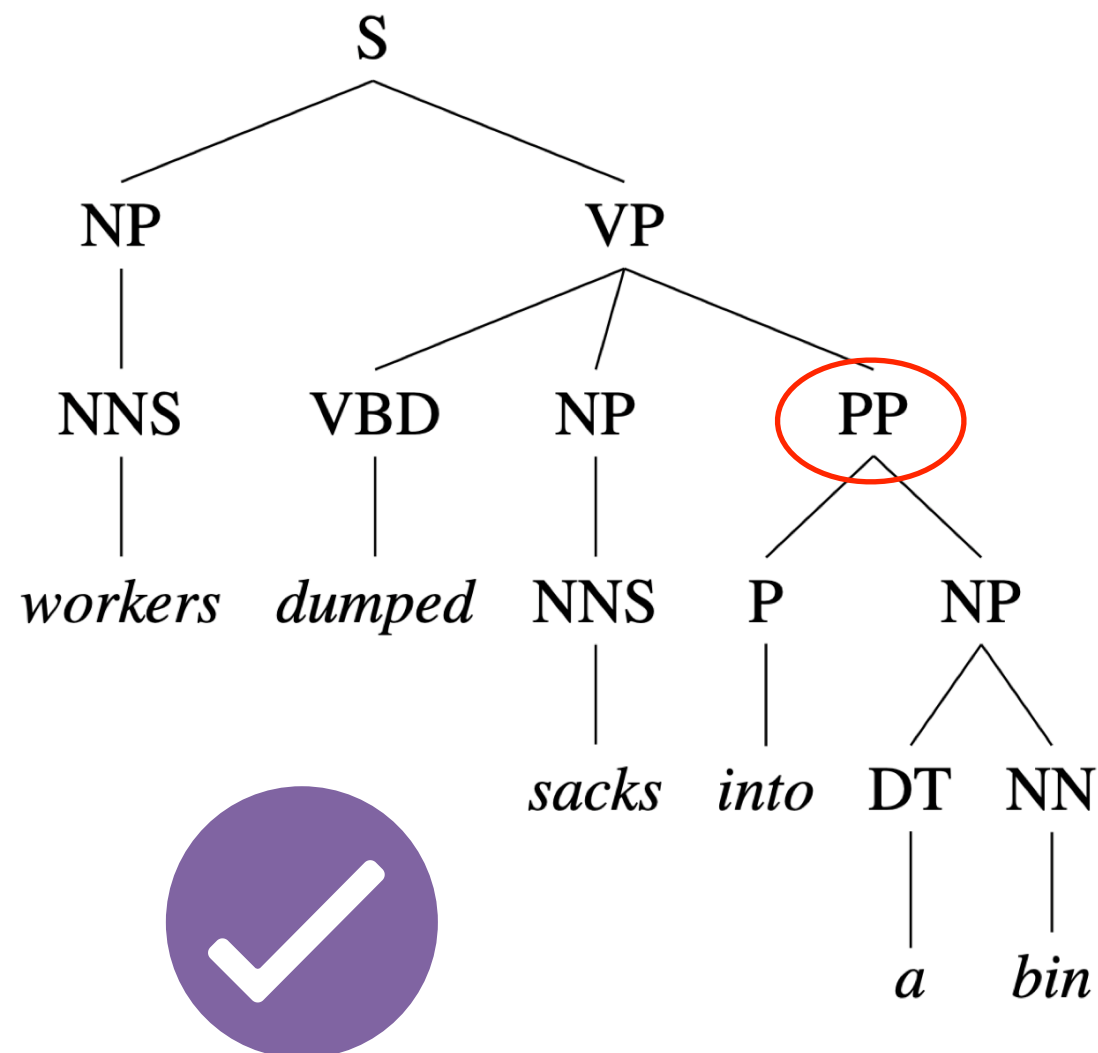
# CFG Problem 2:

## Lack of Lexical Conditioning

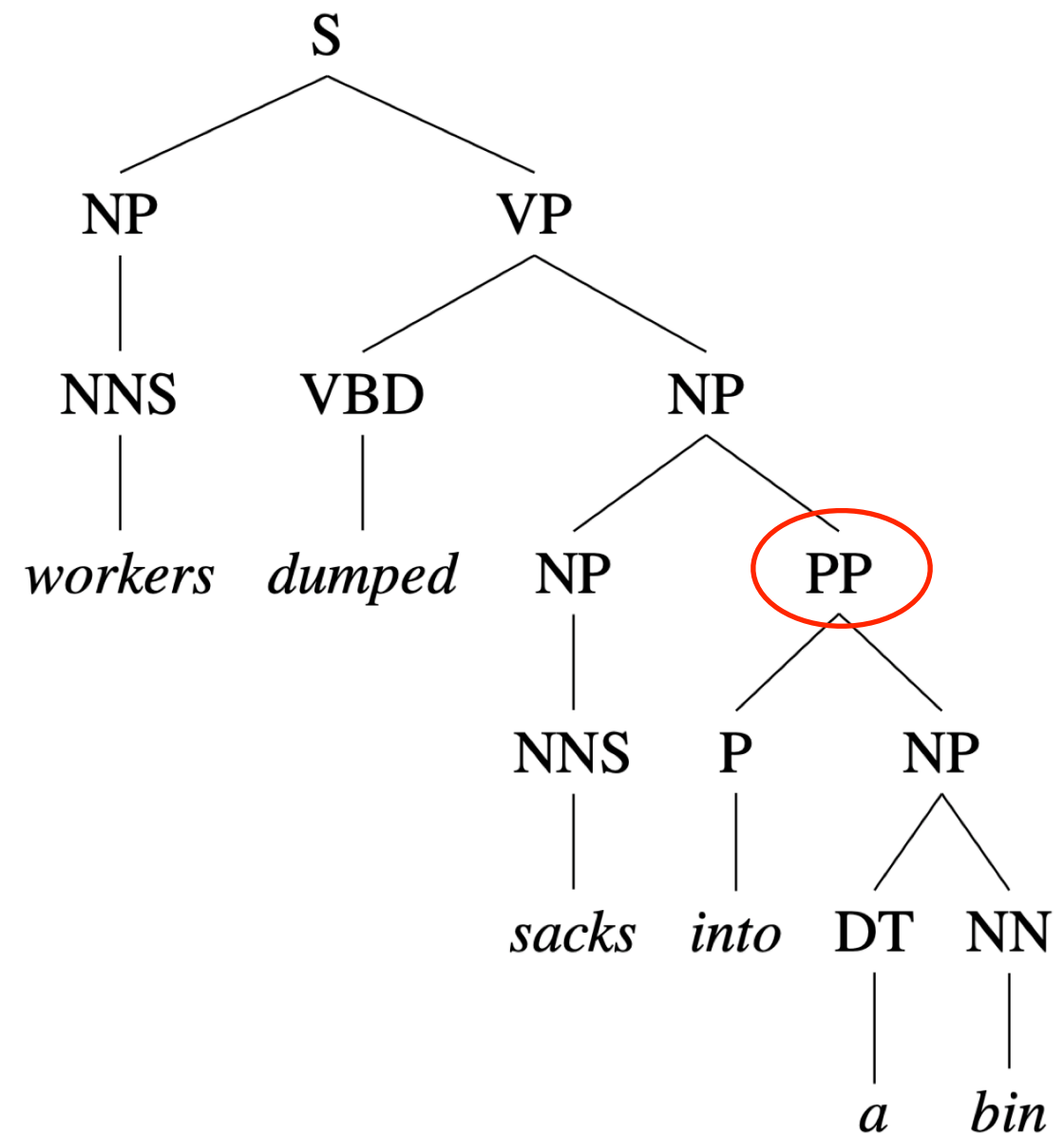
- Lack of sensitivity to words in tree
- Prepositional phrase (PP) attachment ambiguity
  - ▶ *Worker dumped sacks into a bin*



# PP Attachment Ambiguity



"into a bin" describes the resulting location of the sacks

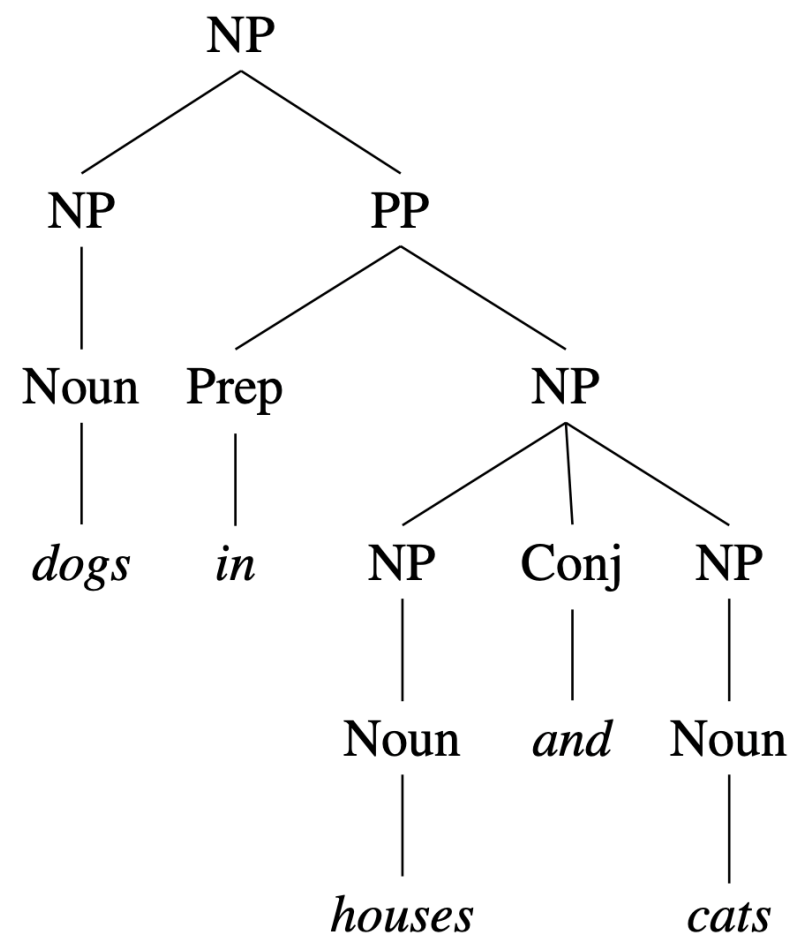
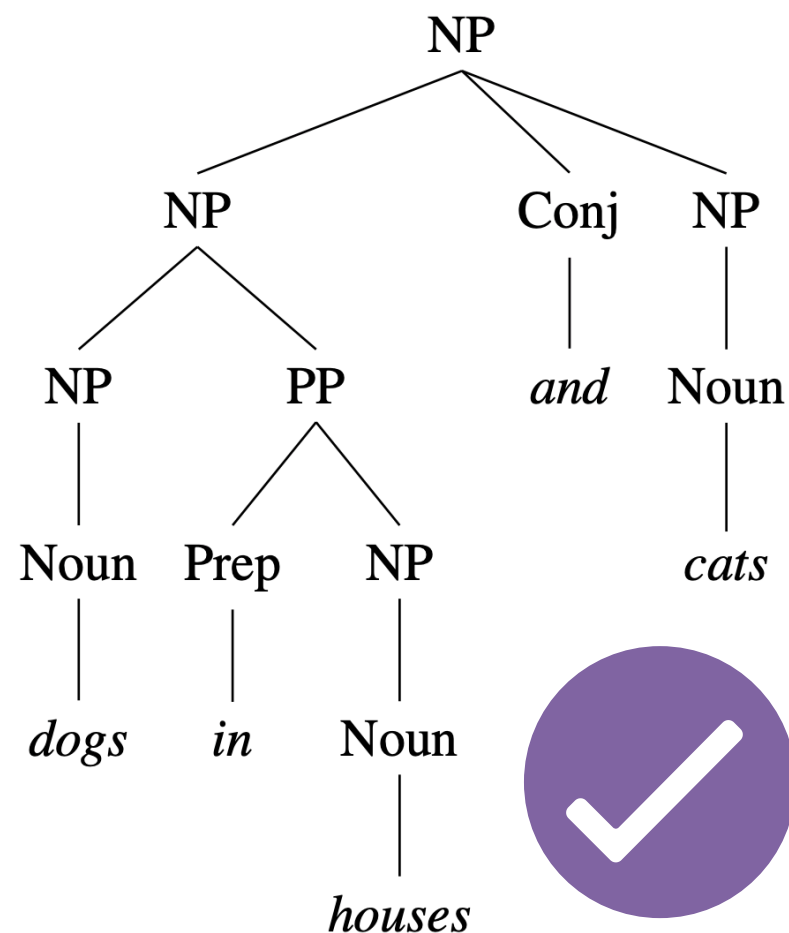


sacks to be dumped are the ones which are "into a bin"



# Coordination Ambiguity

- *dogs in houses and cats*



- *dogs* is semantically a better conjunct for *cats* than *houses* (dogs can't fit into cats!)



# Head Lexicalisation

- Incorporate head words into productions, to capture the most important links between words
  - ▶ Captures correlations between head words of phrases
  - ▶ PP(into): VP(dumped) vs. NP(sacks)
- Grammar symbol inventory expands massively!
  - ▶ Many of the productions too specific, rarely seen
  - ▶ Learning more involved to avoid sparsity problems (e.g., zero probabilities)

# A Final Word

- PCFGs widely used, and there are efficient parsers available.
  - ▶ Collins parser, Berkeley parser, Stanford parser
  - ▶ All use some form of lexicalisation
- But there are other grammar formalisms
  - ▶ Lexical function grammar
  - ▶ Head-driven phrase structure grammar
  - ▶ Next lecture: dependency grammar!

# Required Reading

- JM3 Ch. 13-13.2