

KNOW YOUR CLIENT (KYC) Application Form - For Individual

☒ NEW ☐ CHANGE REQUEST (Please tick ✓ the appropriate)

DP ID : IN300351



Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

(Please tick ✓ the box on left margin of appropriate row where **CHANGE/CORRECTION** is required and provide the details in the corresponding row)

Acknowledgement No.

A IDENTITY DETAILS

1. Name of the Applicant **ISSABELLA MARIE MARTINEZ**

2. Father's/Spouse Name

3a. Gender ☐ Male ☒ Female 3b. Marital status ☐ Single ☒ Married 3c. Date of Birth **25 / 09 / 1972**

4a. Nationality ☐ Indian ☒ Other (Please specify) **MEXICAN**

4b. Status ☐ Resident Individual ☐ Non Resident ☒ Foreign National

5a. PAN

5b. Unique Identification Number (UID) / Aadhaar, if any:

6. Specify Proof of Identity submitted ☐ PAN card ☐ Other (Please specify)

PHOTOGRAPH

Please affix
your recent passport
size photograph and
sign across it

B ADDRESS DETAILS

1. Address for Correspondence
567 PALM AVENUE
City / Town / Village **MEXICO** Country Pin Code
State

2. Specify the Proof of Address submitted for Correspondence Address:

3. Contact Details
Tel. (Off.) Fax
Tel. (Res.) Mobile No
E-Mail Id

4. Permanent Address (If different from above or overseas address, mandatory for Non-Resident Applicant)
City / Town / Village Pin Code
State Country

5. Specify the Proof of Address submitted for Permanent Address:

C OTHER DETAILS

1. Gross Annual Income Details (Please Specify) Income range per annum: ☐ Below ₹ 1 Lac ☒ ₹ 1-5 Lac ☐ ₹ 5-10 Lac ☐ ₹ 10-25 Lac ☐ More than ₹ 25 Lacs
OR
Net-worth (Net worth should not be older than 1 year) Amount ₹ as on (date) **DD / MM / YYYY**

2. Occupation (Please tick ✓ any one and give brief details):
☐ Private Sector ☒ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
☐ Housewife ☐ Student ☐ Others(Please specify)

3. Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

4. Any other information:

D DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date: **DD / MM / YYYY**


Signature of the Applicant

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the person who has done the IPV:
Designation: Employee ID:
Name of the Organization:
Date of IPV: **DD / MM / YYYY**
Signature of the person who has done the IPV

Seal/Stamp of the Intermediary

☐ (Originals Verified) True copies of Documents received

☐ (Self Attested) Self Certified Document copies received

Date

Signature of the Authorised Signatory

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorised to deal in securities on behalf of company/firm/others.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorised for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

- Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- PAN card with photograph.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

- Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.

- Bank Account Statement/Passbook -- Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorised to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorised officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Partnership firm	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/ List of coparceners. <input type="checkbox"/> Bank pass-book/bank statement in the name of HUF. <input type="checkbox"/> Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body & Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Banks/ Institutional Investors	<input type="checkbox"/> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Army/ Government Bodies	<input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Registered Society	<input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorised to act as authorised signatories with specimen signatures. <input type="checkbox"/> True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Please open an account at your: _____ (Branch Name)

Sole / First Applicant's Personal Details

Customer Type: ☒ Public ☐ Staff **Senior Citizen:** ☐ Yes **Minor:** ☐ Yes
Status: ☐ Non-Resident Indian (NRI) ☐ Person of Indian Origin (PIO) ☐ Overseas Citizen of India (OCI) ☐ Foreign Tourist
Name: ☐ Mr. ☐ Ms. ☒ Mrs. ☐ Other _____
 First Name: Issabella Middle Name: Mayie Last Name / Surname: Martinez
Name of Father / Guardian (For minors only): ☐ Mr. ☐ Ms. ☐ Mrs. ☐ Other _____
Date of Birth: 25/09/1972 **Gender:** ☐ Male ☒ Female **Nationality:** Mexican
Mother's Maiden Name: _____ **Marital Status:** ☐ Married ☐ Unmarried ☐ Others _____
PAN No.: _____

Overseas Address (Mandatory)

567 Palm Avenue Mexico City
City: _____ **PIN:** 12345 **Country:** Mexico
Tel. No. ISD STD NUMBER **Mob. No.** ISD NUMBER **Fax. No.** ISD STD NUMBER

Indian Address

City: _____ **PIN:** _____ **Tel. No.** ISD STD NUMBER
Mob. No. ISD NUMBER **Fax. No.** ISD STD NUMBER
Please tick where you would like to receive all communications - ☐ Overseas address ☐ Indian address

E-mail Address
Passport Details

Passport No.	Issue Date	Place of Issue	Nationality	Valid upto
<u>1234567</u>	<u>12-05-2010</u>	<u>Mexico</u>		<u>12-05-2020</u>

VISA Details

Visa No.	Issue Date	Place of Issue	Valid upto

Identification Details

Status	Proof of Identity (Both mandatory)	Address Proof mentioning the current overseas address (Any one)	Additional Document for the customers not visiting our Branch (Any one)
NRI	<input type="checkbox"/> Copy of the relevant pages of Passport <input type="checkbox"/> Copy of valid Visa / Work Permit	<input type="checkbox"/> Copy of relevant pages of Passport <input type="checkbox"/> Electricity Bill <input type="checkbox"/> Telephone Bill	<input type="checkbox"/> Cheque drawn on your overseas Bank account <input type="checkbox"/> Cancelled / Paid Cheque of your overseas Bank account
PIO / OCI	<input type="checkbox"/> Copy of the relevant pages of Passport <input type="checkbox"/> Any one of the following: <input type="radio"/> Copy of PIO Card <input type="radio"/> Copy of OCI Card <input type="checkbox"/> Copy of relevant pages of Passport of parents or grand-parents, establishing them as Indian Origin <input type="checkbox"/> Copy of Marriage Certificate establishing your spouse as Indian Origin	<input type="checkbox"/> Original copy of latest bank statement of account overseas <input type="checkbox"/> Copy of Employee ID Card <input type="checkbox"/> Copy of Labour Card <input type="checkbox"/> Copy of Social Security Card	<input type="checkbox"/> Copy of Proof of Income / Pay Slip / Tax return
Foreign Tourist	<input type="checkbox"/> Copy of the relevant pages of Passport <input type="checkbox"/> Copy of valid Visa	<input type="checkbox"/> Copy of Social Security Card	Not applicable

All the documents are duly attested by (Only for non face to face customers):

☐ Authorised official at SBI Foreign Office ☐ Notary Public ☐ Indian Embassy or High Commission

Additional Details (Please tick (✓) wherever applicable)

Income (In USD equivalent): _____ ☐ Monthly ☐ Annually **Assets (In USD equivalent):** _____
Religion: ☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Others _____ **Category:** ☐ General ☐ OBC ☐ SC ☐ ST
Qualification: ☐ Non-Graduate ☐ Graduate ☐ Post-Graduate ☐ Others _____ **Designation / Profession:** _____
Occupation Type: ☐ Salaried ☐ Business ☐ Self-Employed ☐ Retired ☐ Student ☐ Others _____

Marketing Offers

In a continuous endeavor to serve you better, the State Bank of India communicates relevant products or services or promotional offers. Please tick on below mode (s) to receive such communications.

☐ Email ☐ SMS ☐ Telephone ☐ None

Date dd/mm/yyyy

Place: _____

Signature/ Thumb impression of sole/1st Applicant

FOR OFFICE USE

Verifying Officer/Open CIF/Account	CIF/Account Generated
Signature: _____ Name: _____ SS No.: _____ Designation: _____ Date <u>dd/mm/yyyy</u>	(Authorised signatory) Date <u>dd/mm/yyyy</u> SS No.: _____