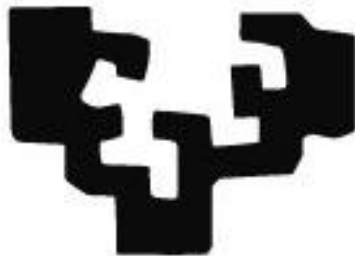


# XML Schema

eman ta zabal zazu



informatika  
fakultatea



facultad de  
informática

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**Esp. Software Ingeniaritza**

**Lengoiak eta Sistema Informatikoak saila**

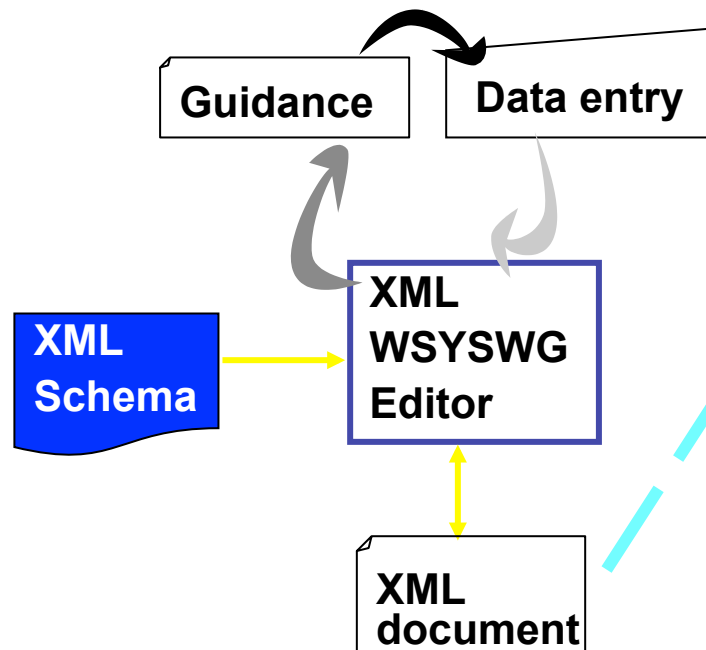
2017 urtarrila

# The big picture!



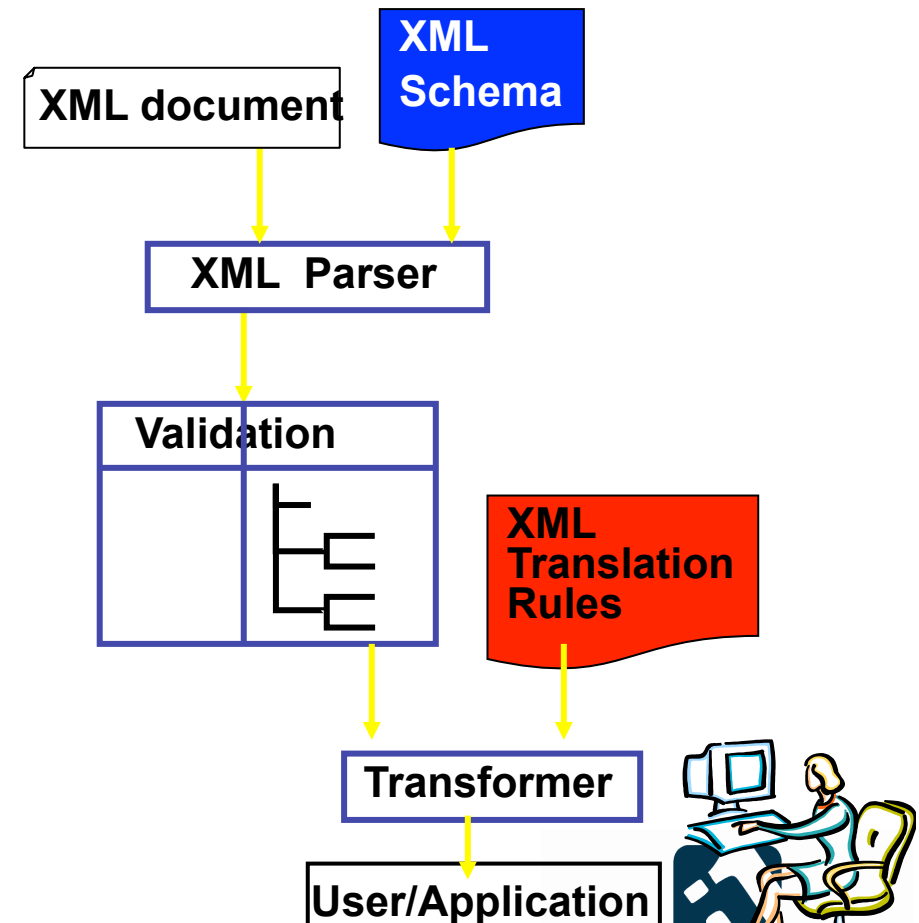
## Sender

Composition and Validation  
(Message Preparation)

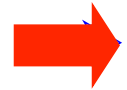


## Receiver

Parsing, Validation, & Translation  
(Message Processing)



# Contents



## Motivation

- Schema: basics
- Schemas and documents
  - Schema is a document
  - Associating a schema to a document
- Schema definition
  - Attributes, Elements, Types
  - Database-like restrictions (Null value, key, foreign key)
- Schema variability
- Handling Schema complexity
- Schema extensibility
- UML and XML Schema



# Motivation: Is this document valid?

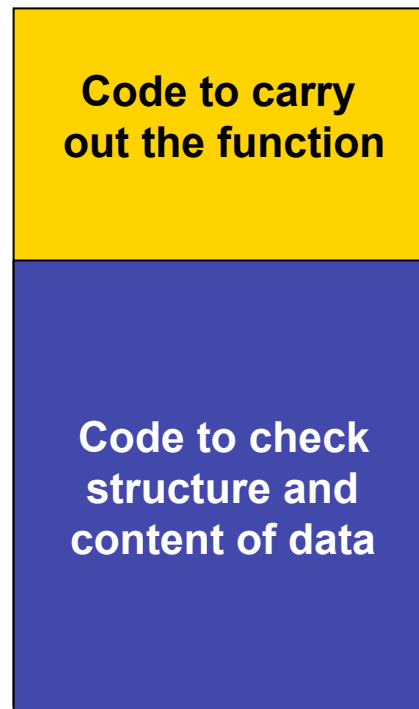
```
<location>  
  <latitude>32.904237</latitude>  
  <longitude>73.620290</longitude>  
  <uncertainty unit="meter">2</uncertainty>  
</location>
```

To be valid it must satisfy the following conditions:

1. Location is composed of latitude followed by longitude and then an indication of the precision of these measures
2. Latitude must be a decimal between -90 and +90
3. Longitude must be a decimal between -180 and +180
4. Both latitude and longitude must have exactly six numbers after the decimal point
5. The precision value must be positive
6. Precision is measured in meters or feet

**All these restrictions can be expressed with XML Schema**

# Who validates? The receiving application



On average, up to **60%** of the code is used to verify the data

# Who validates?

## The validator, a general-purpose application

Data document

```
<location>  
  <latitude>32.904237</latitude>  
  <longitude>73.620290</longitude>  
  <uncertainty unit="meter">2</uncertainty>  
</location>
```

**SCHEMA  
VALIDATOR**

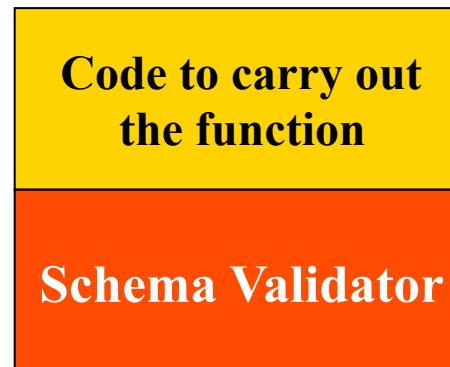
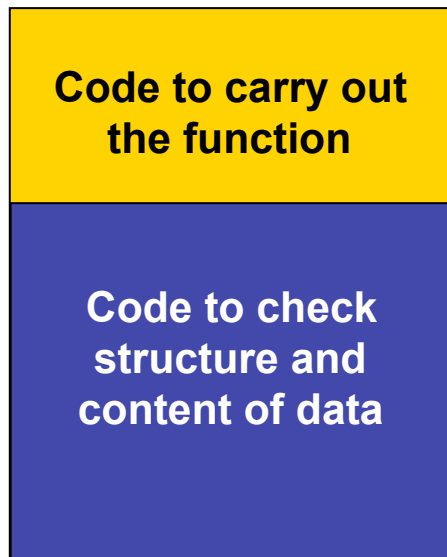
Data O.K.!!

Restrictions ([schema](#)) described using XML Schema

- check that latitude is between -90 and +90
- check that longitude is between -180 and +180
- ...

# Advantage

- Code is reduced and therefore, development and maintenance cost



# Some terminology

## ➤ Validation

- process that checks if an XML document follows the rules stated by a given schema

## ➤ Validator

- a general purpose program for conducting validations from a declarative schema

## ➤ “Well formed” document

- one that follows XML rules

## ➤ “Valid” document

- one that follows rules of a schema (if there is one)



# Schema determines

- What sort of elements can appear in the document
- What elements **MUST** appear
- Which elements can appear as part of another element
- What attributes can appear or must appear
- What kind of values can/must be in an attribute

# What is *XMLSchema*?

- W3C standard to define schemas  
(recommendation since May 2001)

<http://www.w3.org/2001/XMLSchema>

- This namespace allows specifying a schema, i.e.:
  - structure of data
  - type of each element/attribute
- The schema is also an XML document

# Contents

➤ Motivation

➔ Schema: basics

➤ Schemas and documents

- Schema is a document
- Associating a schema to a document

➤ Schema definition

- Attributes, Elements, Types
- Database-like restrictions (Null value, key, foreign key)

➤ Schema variability

➤ Handling Schema complexity

➤ Schema extensibility

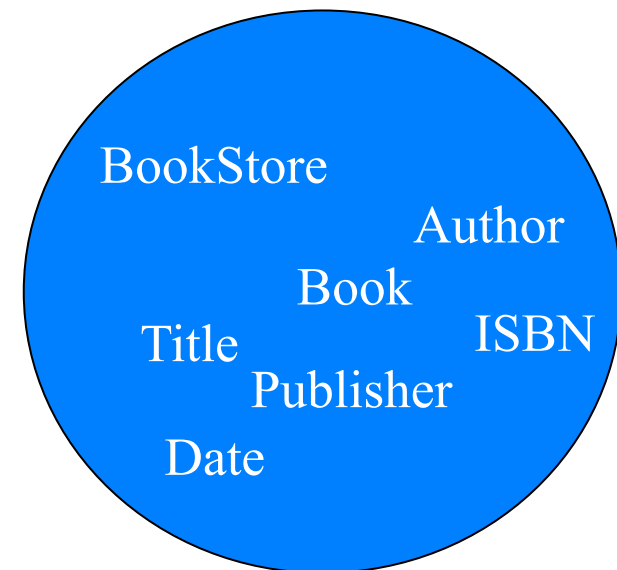
➤ UML and XML Schema



# Schema = identifier + vocabulary

- Schema or **vocabulary** or namespace
  - for expressing the business rules of one's data
- This vocabulary is used to describe “**instance**” documents

**Namespace**



**Namespace identifier**

<http://www.books.org>

# Schema = identifier + vocabulary

- A namespace is only a string... unique in the whole wide world
- Syntax: Uniform Resource Identifier (URI) Norm
- Two options
  - Uniform Resource Locator (URL)
    - <http://www.onekin.org/myBooks>
  - Uniform Resource Names (URN)
    - <urn:www-onekin-org:myBooks>

# Identifier: uniqueness

- A namespace must guarantee that **each term defined in the space is unique**
- But, to single out each “term” in the “whole wide world”, **the namespace identifier must be unique too**
- Guarantee: “*Internet Naming Authority*”

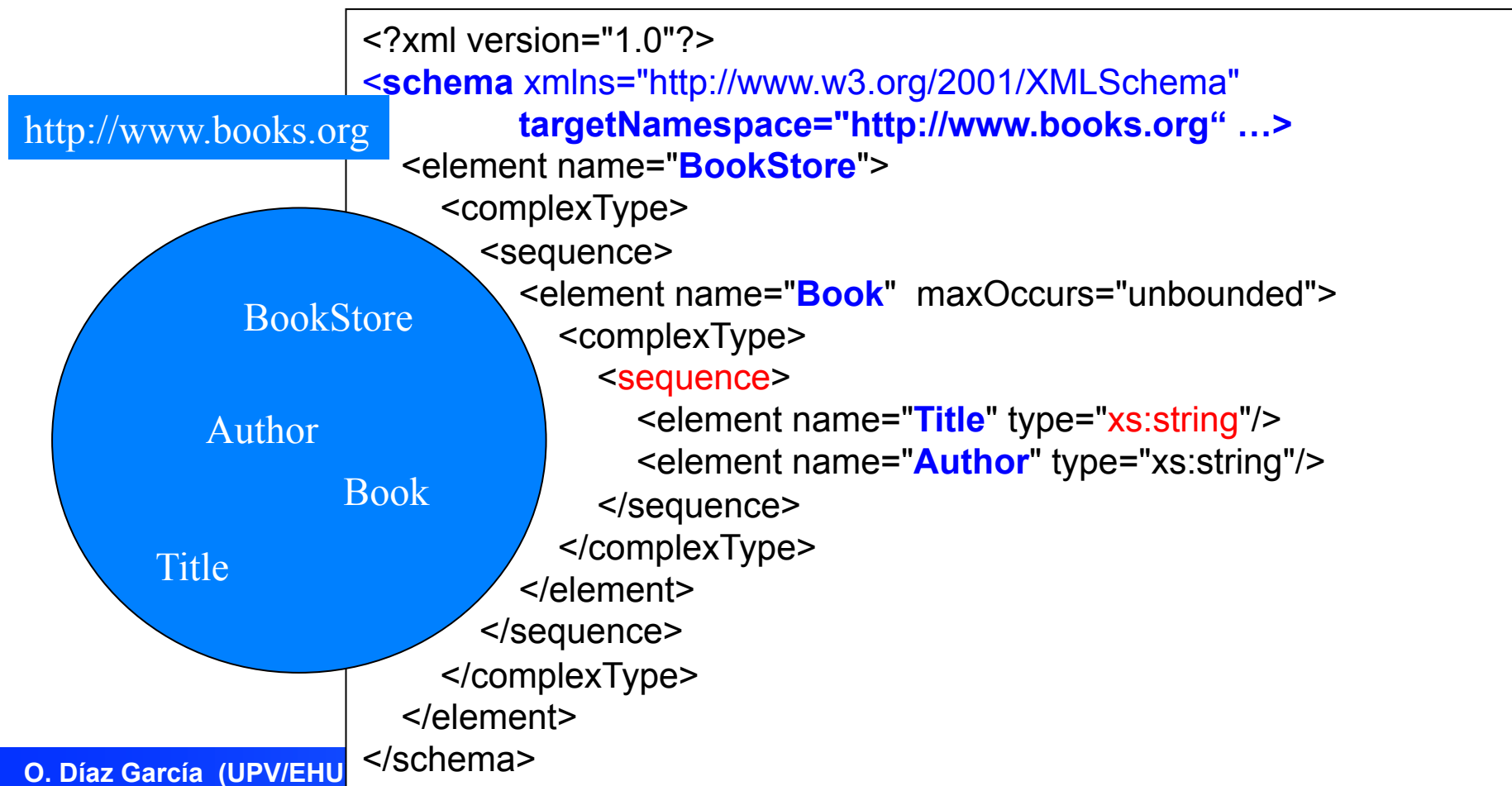
<http://www.onekin.org/myBooks/science>

Uniqueness guaranteed by  
the “Internet Naming  
Authority”

Uniqueness guaranteed  
by yourself

# Schema = identifier + vocabulary

A schema defines the **vocabulary** and **restrictions** that control the creation of new “document instances”



# Contents

➤ Motivation

➤ Schema: basics

➔ Schemas and documents

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- Associating a schema to a document

➤ Schema definition

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➤ Schema variability

➤ Handling Schema complexity

➤ Schema extensibility

➤ UML and XML Schema





# Schema is a XML document

- Extension: “.xsd”
- Being an XML document,
  - the XML rules must be followed
  - an XML editor can be used to write them
  - DOM can be used to manipulate them
  - XSLT can be used to transform them

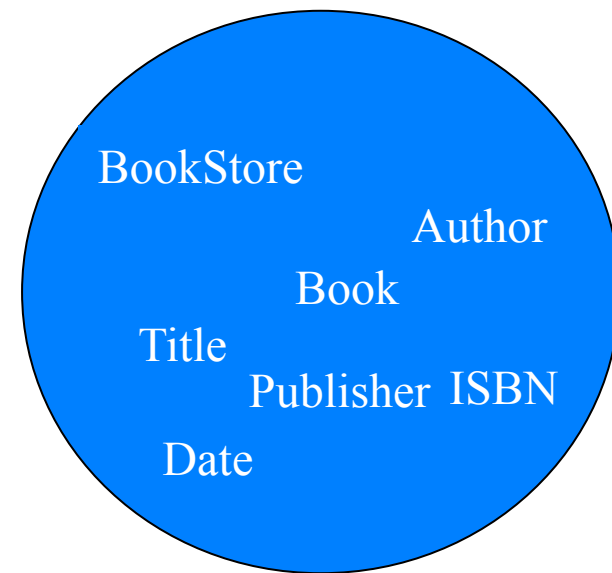
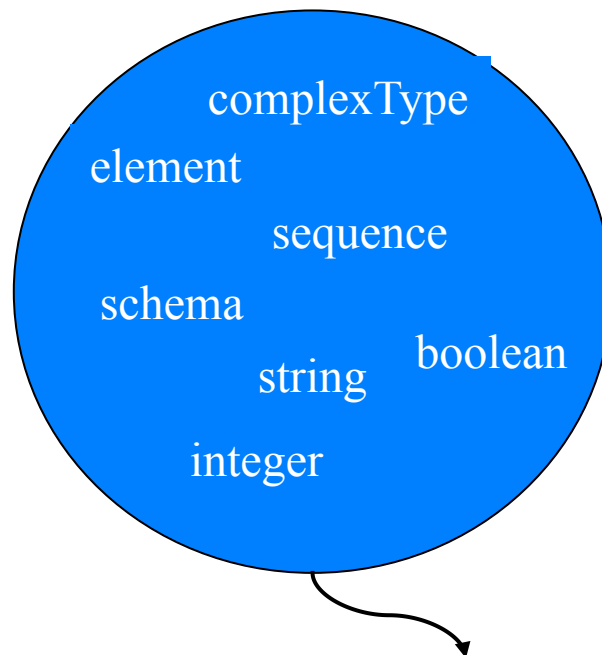
**Its structure/vocabulary is dictated by a (meta) schema defined by W3C**

# The schema as an XML document

A schema is defined using a (meta) schema: *XML Schema*

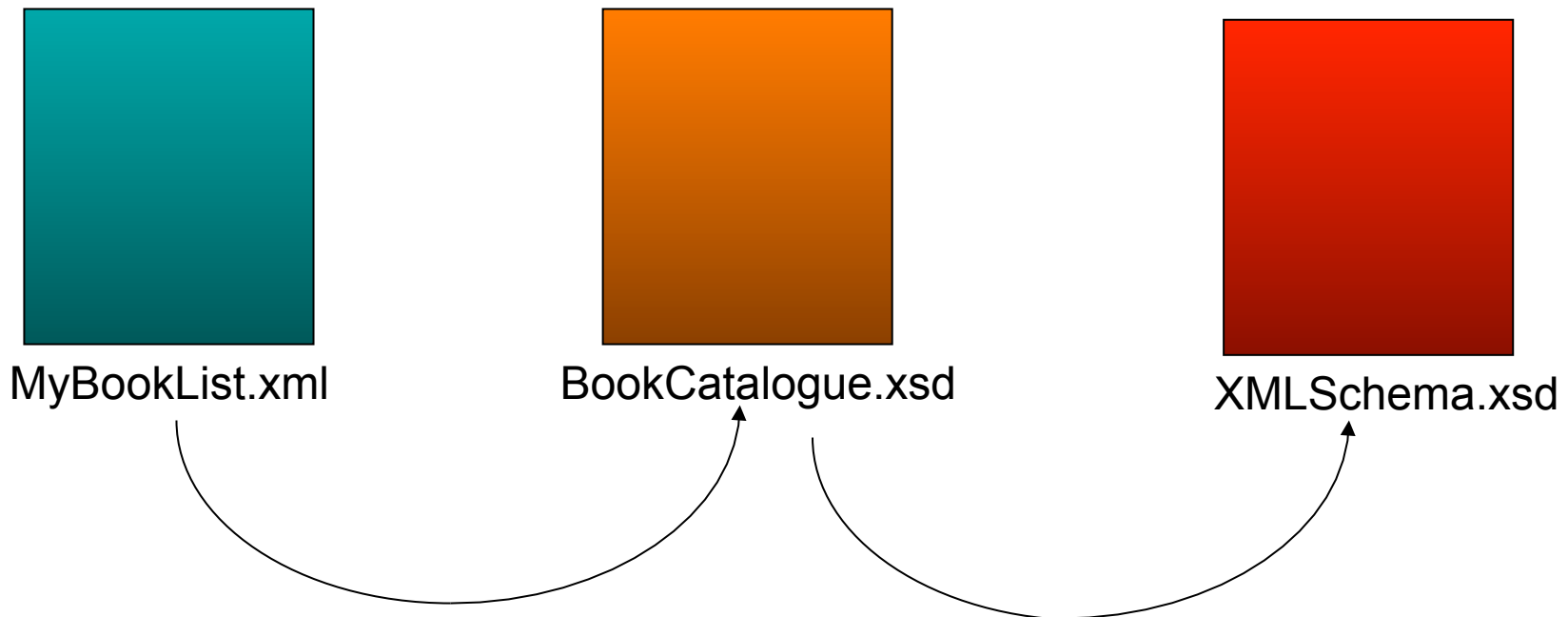
<http://www.w3.org/2001/XMLSchema>

<http://www.books.org> (*targetNamespace*)



This is the XML Schema vocabulary that allows you to define YOUR OWN schema to describe books

# The schema as an XML document (2)



Is this XML document **valid** according to the rules defined in **BookCatalogue.xsd**?

Is this document **valid** according to the rules defined in en **XMLSchema.xsd**?

# The schema as an XML document (3)

```
<? xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.books.org" ...>
  <element name="BookStore">
    <complexType>
      <sequence>
        <element name="Book" maxOccurs="unbounded">
          <complexType>
            <sequence>
              <element name="Title" type="string"/>
              <element name="Author" type="string"/>
              <element name="Date" type="string"/>
              <element name="ISBN" type="string"/>
              <element name="Publisher" type="string"/>
            </sequence>
          </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
</schema>
```

The root is always a *schema* element

The schema is an XML document, therefore it has an associated schema

URL where the vocabulary being defined will be left

Root element of "book" documents

**BookCatalogue.xsd**

# Association a schema to a document.

## Schema location

- Aim: providing hints for the validator to locate the schema
- If no location is provided it is up to the validator to find the schema on its own

```
<? xml version="1.0"?>
<BookStore xmlns="http://www.books.org"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.books.org
    BookCatalogue.xsd">

  <Book>
    <Title>My Life and Times</Title>
    <Author>Paul McCartney</Author>
    <Date>1998</Date>
    <ISBN>1-56592-235-2</ISBN>
    <Publisher>McMillin Publishing</Publisher>
  </Book>
  <Book>
    <Title>Illusions: The Adventures of a Reluctant Messiah</Title>
    <Author>Richard Bach</Author>
    <Date>1977</Date>
    <ISBN>0-440-34319-4</ISBN>
    <Publisher>Dell Publishing Co.</Publisher>
  </Book>
</BookStore>
```

**MyBookList.xml**

<http://www.w3.org/TR/xmlschema-1/#schema-loc>

```

<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.w3schools.com"
  xmlns="http://www.w3schools.com"
  elementFormDefault="qualified">
  <xs:element name="note">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="to" type="xs:string"/>
        <xs:element name="from" type="xs:string"/>
        <xs:element name="heading" type="xs:string"/>
        <xs:element name="body" type="xs:string"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>

```

**note.xsd**

## Association a schema to a document: Example

```

<?xml version="1.0"?>
<note
  xmlns="http://www.w3schools.com"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.w3schools.com note.xsd">

  <to>Jon</to>
  <from>Mikel</from>
  <heading>Gogoratu</heading>
  <body>Astebukaera honetan Bilbora goaz</body>
</note>

```

**adib.xml**

© [http://www.w3schools.com/schema/schema\\_howto.asp](http://www.w3schools.com/schema/schema_howto.asp)

# Association a schema to a document.

## Option 1

```
<?xml version="1.0"?>
<BookSeller xmlns="http://www.BookRetailers.org"/>
```

```
<Book>
```

```
  <Title>My Life and Times</Title>
```

```
  <Author>Paul McCartney</Author>
```

```
  <Date>1998</Date>
```

```
  <ISBN>1-56592-235-2</ISBN>
```

```
  <Publisher>McMillin Publishing</Publisher>
```

```
  <Reviewer xmlns="http://www.books.org" cod="12">
```

```
    <name>
```

```
      <First>Roger</First>
```

```
      <Last>Costello</Last>
```

```
    </name>
```

```
  </Reviewer>
```

```
</Book>
```

```
<Book>
```

```
  <Title>Illusions: The Adventures of a Reluctant Messiah</Title>
```

```
  <Author>Richard Bach</Author>
```

```
  <Date>1977</Date>
```

```
  <ISBN>0-440-34319-4</ISBN>
```

```
  <Publisher>Dell Publishing Co.</Publisher>
```

```
</Book>
```

```
</BookSeller>
```

Using the *xmlns* attribute  
It indicates the vocabulary in  
which the element is defined

The attribute does  
not “inherit” the new  
vocabulary !!!

The subelements with no *xmlns*  
attribute “inherit” it from the  
element that contains them

# Association a schema to a document. Option 2

```
<?xml version="1.0"?>
<BookSeller xmlns="http://www.BookRetailers.org"
  xmlns:bns="http://www.books.org" />
<Book bns:id="P.M.">
  <Title>My Life and Times</Title>
  <Author>Paul McCartney</Author>
  <Date>1998</Date>
  <ISBN>1-56592-235-2</ISBN>
  <Publisher>McMillin Publishing</Publisher>
  <bns:Reviewer bns:cod="12">
    <bns:name>
      <bns:First>Roger</bns:First>
      <bns>Last>Costello</bns>Last>
    </bns:name>
  </bns:Reviewer>
</Book>
<Book bns:id="R.B.">
  <Title>Illusions: The Adventures of a Reluctant Messiah</Title>
  <Author>Richard Bach</Author>
  <Date>1977</Date>
  <ISBN>0-440-34319-4</ISBN>
  <Publisher>Dell Publishing Co.</Publisher>
</Book>
</BookSeller>
```

Using a qualifier.  
The qualifier indicates that we are using the “*www.books.org*” vocabulary

The subelements and attributes MUST be qualified, if they also come from the vocabulary of the element that contains them



# XML Schema

```

- <xs:complexType name="element" abstract="true">
+ <xs:annotation></xs:annotation>
- <xs:complexContent>
- <xs:extension base="xs:annotated">
- <xs:sequence>
- <xs:choice minOccurs="0">
- <xs:element name="simpleType" type="xs:localSimpleType"/>
- <xs:element name="complexType" type="xs:localComplexType"/>
- </xs:choice>
- <xs:element name="alternative" type="xs:altType" minOccurs="0" maxOccurs="unbounded"/>
- <xs:group ref="xs:identityConstraint" minOccurs="0" maxOccurs="unbounded"/>
- </xs:sequence>
- <xs:attributeGroup ref="xs:defRef"/>
- <xs:attribute name="type" type="xs:QName"/>
- <xs:attribute name="substitutionGroup">
- <xs:simpleType>
- <xs:list itemType="xs:QName"/>
- </xs:simpleType>
- </xs:attribute>
- <xs:attributeGroup ref="xs:occurs"/>
- <xs:attribute name="default" type="xs:string"/>
- <xs:attribute name="fixed" type="xs:string"/>
- <xs:attribute name="nillable" type="xs:boolean" use="optional"/>
- <xs:attribute name="abstract" type="xs:boolean" default="false" use="optional"/>
- <xs:attribute name="final" type="xs:derivationSet"/>
- <xs:attribute name="block" type="xs:blockSet"/>
- <xs:attribute name="form" type="xs:formChoice"/>
- <xs:attribute name="targetNamespace" type="xs:anyURI"/>
- </xs:extension>
- </xs:complexContent>

```

```
<? xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
        targetNamespace="http://www.books.org" ...>
  <element name="BookStore">
    <complexType>
      <sequence>
        <element name="Book" maxOccurs="unbounded">
          <complexType>
            <sequence>
              <element name="Title" type="string"/>
              <element name="Author" type="string"/>
              <element name="Date" type="string"/>
              <element name="ISBN" type="string"/>
              <element name="Publisher" type="string"/>
            </sequence>
          </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
</schema>
```

```
- <xs:attributeGroup name="defRef">
  + <xs:annotation></xs:annotation>
    <xs:attribute name="name" type="xs:NCName"/>
    <xs:attribute name="ref" type="xs:QName"/>
  </xs:attributeGroup>
```

```

<?xml version="1.0" encoding="UTF-8" ?>
- <xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform" version="1.0">
- <xsl:template match="/">
- <html>
- <head>
- <title>Ekoizleak</title>
- </head>
- <body>
- <h2>Ekoizleak</h2>
- <xsl:apply-templates select="movies/movie/producer[not(.=preceding::producer)]" />
- </body>
- </html>
- </xsl:template>
- <xsl:template match="producer">
- <xsl:choose>
- <xsl:when test="name and surname">
- <li>
- <xsl:value-of select="name" />
- <xsl:text />
- <xsl:value-of select="surname" />
- </li>
- </xsl:when>
- <xsl:when test="name and not(surname)">
- <li>
- <xsl:value-of select="name" />
- </li>
- </xsl:when>
- <xsl:otherwise>
- <li>
- <xsl:value-of select="." />
- </li>
- </xsl:otherwise>
- </xsl:choose>
- </xsl:template>
- </xsl:stylesheet>

```

## Example with xslt...

```

▼<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  targetNamespace="http://www.w3.org/1999/XSL/Transform" elementFormDefault="qualified">
  ▶<!--...-->
  ▶<xs:annotation>...</xs:annotation>
  ▶<!--...-->
  ▶<!--...-->
  <xs:import namespace="http://www.w3.org/XML/1998/namespace" schemaLocation="http://www.w3.org/2001/xml.xsd"/>
  ▶<!--...-->
  <xs:import namespace="http://www.w3.org/2001/XMLSchema" schemaLocation="http://www.w3.org/2001/XMLSchema.xsd"/>
  ▶<!--...-->
  ▶<xs:annotation>...</xs:annotation>
  ▶<!--...-->
  ▶<xs:complexType name="generic-element-type" mixed="true">...</xs:complexType>
  ▼<xs:complexType name="versioned-element-type" mixed="true">
    ▼<xs:complexContent>
      ▼<xs:extension base="xsl:generic-element-type">
        <xs:attribute name="version" type="xs:decimal" use="optional"/>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
  ▶<xs:complexType name="element-only-versioned-element-type" mixed="false">...</xs:complexType>

```

## Example with xslt...

```

- <xs:element name="apply-templates" substitutionGroup="xsl:instruction">
- <xs:complexType>
- <xs:complexContent>
- <xs:extension base="xsl:element-only-versioned-element-type">
- <xs:choice minOccurs="0" maxOccurs="unbounded">
  <xs:element ref="xsl:sort"/>
  <xs:element ref="xsl:with-param"/>
</xs:choice>
  <xs:attribute name="select" type="xsl:expression" default="child::node()"/>
  <xs:attribute name="mode" type="xsl:mode"/>
</xs:extension>
</xs:complexContent>
</xs:complexType>
</xs:element>

```

<http://www.w3.org/2007/schema-for-xslt20.xsd>

## Example with xslt...

```
- <xs:element name="choose" substitutionGroup="xsl:instruction">
- <xs:complexType>
- <xs:complexContent>
- <xs:extension base="xsl:element-only-versioned-element-type">
- <xs:sequence>
  <xs:element ref="xsl:when" maxOccurs="unbounded" />
  <xs:element ref="xsl:otherwise" minOccurs="0" />
</xs:sequence>
</xs:extension>
</xs:complexContent>
</xs:complexType>
</xs:element>
```

```
- <xs:element name="when">
- <xs:complexType>
- <xs:complexContent mixed="true">
- <xs:extension base="xsl:sequence-constructor">
  <xs:attribute name="test" type="xsl:expression" use="required" />
</xs:extension>
</xs:complexContent>
</xs:complexType>
</xs:element>
```

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- UML and XML Schema



# What is in an schema?

```
<?xml version="1.0"?>
```

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org" ...>
```

## ➤ Elements

```
<xs:element name="BookStore">
```

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element name="Book" maxOccurs="unbounded">
```

## ➤ Attributes

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element name="Title" type="string"/>
```

```
<xs:element name="Author" type="string"/>
```

```
<xs:element name="Date" type="string"/>
```

```
<xs:element name="ISBN" type="string"/>
```

```
<xs:element name="Publisher" type="string"/>
```

```
</xs:sequence>
```

## ➤ Simple types

```
<xs:attribute name="href" type="xs:anyURI" use="required"/>
```

## ➤ Complex types

```
</xs:complexType>
```

```
</xs:element>
```

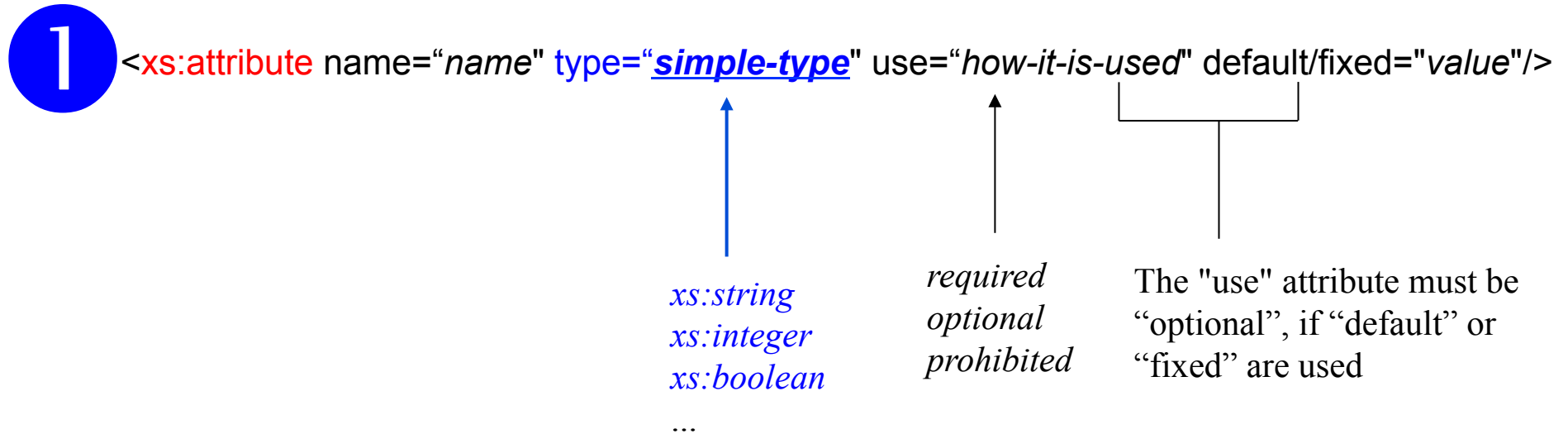
```
</xs:sequence>
```

```
</xs:complexType>
```

```
</xs:element>
```

```
</xs:schema>
```

# Attributes: declaration



2 `<xs:attribute name="name" use="how-its-used" default/fixed="value">`  
    `<xs:simpleType>`  
        `<xs:restriction base="simple-type">`  
            `<xs:facet value="value"/>`  
            ...  
        `</xs:restriction>`  
    `</xs:simpleType>`  
`</xs:attribute>`

# Attributes: Examples

```
<xs:attribute name="language" type="xs:string" default="EN"/>
```

```
<xs:attribute name="language" type="xs:string" fixed="EN"/>
```

```
<xs:attribute name="language" type="xs:string" use="required"/>
```



# Element: declaration

## ➤ Simple element

- Only text
- No attributes, neither subelements

## ➤ Complex element

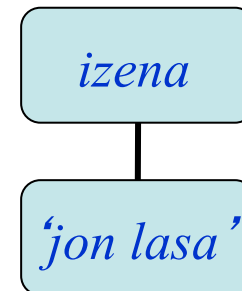
- Four kinds
  - empty elements
  - elements that contain only other elements
  - elements that contain only text
  - elements that contain both other elements and text. Each of these elements
- All of them may content attributes

### Definition:

- Inline
- By reference
- With type

# Simple element

<izena>jon lasa</izena>



<xs:element name="izena" type="mota" />

Name of the element

data type of the element.  
XML Schema has a lot of  
built-in data types  
(**xs:string**, **xs:decimal**,  
**xs:integer**, **xs:boolean**,  
**xs:date**, **xs:time**)

# Complex element

```
<product pid="1345"/>
```

```
<employee>  
  <firstname>John</firstname>  
  <lastname>Smith</lastname>  
</employee>
```

```
<food type="dessert">Ice cream</food>
```

```
<description>  
  It happened on <date lang="euskera">12-10-30</date>  
  ....  
</description>
```

# Complex element: Empty

```
<img href="http://www.xfront.com/InSubway.gif"/>
```

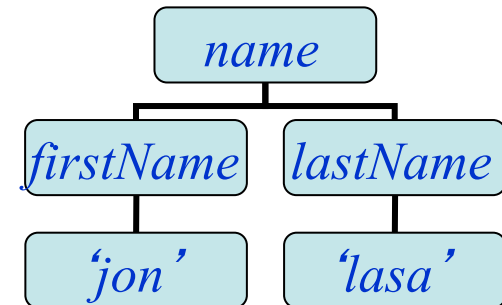
Instance example

```
<xs:element name="img">  
  <xs:complexType>  
    <xs:attribute name="href" type="xs:anyURI" use="required"/>  
  </xs:complexType>  
</xs:element>
```

An empty element does not have content but it can have attributes

# Complex element: with subelements

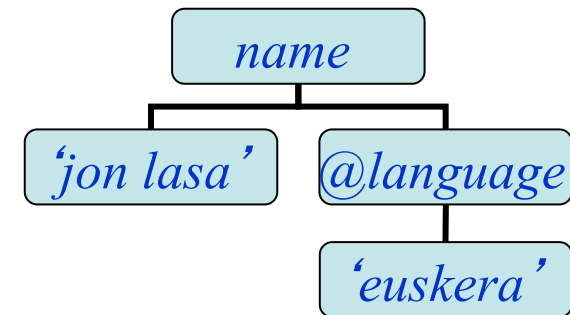
```
<name>  
  <firstName>jon</firstName>  
  <lastName>lasa</lastName>  
</name>
```



```
<xs:element name="name">  
  <xs:complexType>  
    <xs:sequence>  
      <xs:element name="firstName" type="xs:string"/>  
      <xs:element name="lastName" type="xs:string"/>  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>
```

# Complex element: with text

```
<name language="euskera">  
    jon lasa  
</name>
```



```
<xs:element name="name">  
  <xs:complexType>  
    <xs:simpleContent>  
      <xs:extension base="xs:string">  
        <xs:attribute name="language" type="xs:string" />  
      </xs:extension>  
    </xs:simpleContent>  
  </xs:complexType>  
</xs:element>
```

# Complex element: mixed content

Content of an element can be:

- a basic value (e.g. a string, an integer)
- other sub-elements
- a mixture of both

```
<book isbn="0836217462">Nafarroa Behereko Garaziko eskualdekoa  
zen <author>Bernat Etxepare</author>, eta 1545ean Bordelen  
plazaratu zuen bere <title>Linguae vasconum primitiae</title> liburua.  
Euskarari "kanpora, plazara dantzara" irteteko agintzen dio, eta....  
</book>
```

# Complex element: mixed content (2)

```
<book>Nafarroa Behereko Garaziko eskualdekoa zen  
<author>Bernat Etxepare</author>, eta 1545ean Bordelen plazaratu  
zuen bere <title>Linguae vasconum primitiae</title> liburua. Euskarari  
"kanpora, plazara dantzara" irteteko agintzen dio, eta....  
</book>
```



Schema definition

```
<xs:element name="book"  
  <xs:complexType mixed="true">  
    <xs:sequence>  
      <xs:element name="author" type="xs:string"/>  
      <xs:element name="title" type="xs:string"/>  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>
```



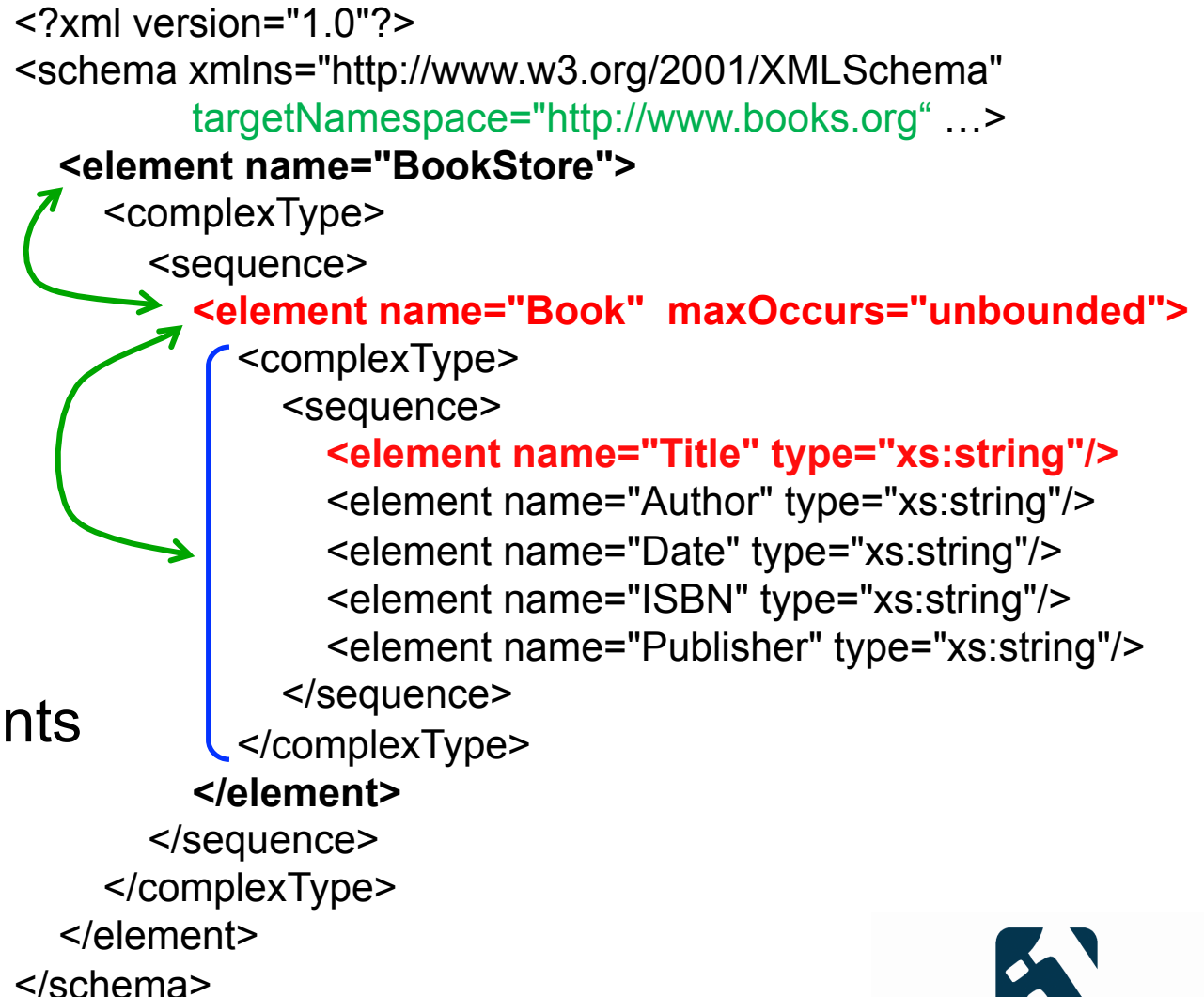
# Element definition: Inline definition (a.k.a russian-doll approach)

- Element and type definition is done inside an element

- Title
- Book's type

- Drawback: the definition of elements cannot be reused

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org" ...>
  <element name="BookStore">
    <complexType>
      <sequence>
        <element name="Book" maxOccurs="unbounded">
          <complexType>
            <sequence>
              <element name="Title" type="xs:string"/>
              <element name="Author" type="xs:string"/>
              <element name="Date" type="xs:string"/>
              <element name="ISBN" type="xs:string"/>
              <element name="Publisher" type="xs:string"/>
            </sequence>
          </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
</schema>
```



# Element definition: by reference

- The **element** is defined separately
- It is used by reference
- It can be **reused**

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  elementFormDefault="qualified">
  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element ref="Book" minOccurs="1" maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:element name="Book" type="BookT"/>

  <xs:element name="Title" type="xs:string"/>
  <xs:element name="Author" type="xs:string"/>
  <xs:complexType name="BookT">
    <xs:sequence>
      <xs:element ref="Title" minOccurs="1" maxOccurs="1"/>
      <xs:element ref="Author" minOccurs="1" maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

# Element definition: with type

- A **complex type** is defined separately
- The element refers to the name of the complex type
- It can be **reused**
- A complex type can base on another existing complex type and add some elements

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
            targetNamespace="http://www.books.org"
            xmlns="http://www.books.org"
            elementFormDefault="qualified">

  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element ref="Book" minOccurs="1" maxOccurs="unbounded"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>

  <xs:element name="Book" type="BookT"/>

  <xs:element name="Title" type="xs:string"/>
  <xs:element name="Author" type="xs:string"/>

  <xs:complexType name="BookT">
    <xs:sequence>
      <xs:element ref="Title" minOccurs="1" maxOccurs="1"/>
      <xs:element ref="Author" minOccurs="1" maxOccurs="1"/>
    </xs:sequence>
  </xs:complexType>

</xs:schema>
```

# Data Types

## ➤ Named types

- Those that have a name, and they are used by reference

```
<xs:complexType name="BookT">  
  <xs:sequence>  
    ...  
  </xs:sequence>  
</xs:complexType>  
  
<xs:element name="Book" type="BookT"/>
```

## ➤ Anonymous types

- Those that have no name, and they are used in line

```
<xs:element name="Book">  
  <xs:complexType>  
    <xs:sequence>  
      ...  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>
```

```

<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" targetNamespace="http://www.ehu.es/eskema"
  xmlns="http://www.ehu.es/eskema" elementFormDefault="qualified">
  <xs:element name="zerrenda">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="ikaslea" minOccurs="1" maxOccurs="unbounded">
          <xs:complexType mixed="true">
            <xs:sequence>
              <xs:element name="name" type="xs:string"/>
              <xs:element name="postakodea" type="bostdigituT" default="2000"/>
              <xs:element name="irakasgaia" type="bostdigituT"/>
              <xs:element name="kontaktua" type="gipuzkoaT"/>
            </xs:sequence>
            <xs:attribute name="ident" type="bostdigituT" use="required"/>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:simpleType name="bostdigituT">
    <xs:restriction base="xs:string">
      <xs:pattern value="\d{5}"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="gipuzkoaT">
    <xs:restriction base="bostdigituT">
      <xs:pattern value="20\d{3}"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>

```

## Data type vs. Element

Defektuzko balioa,  
'postakodea' -ren  
ezaugarria ala datu-  
motarena?

```

<?xml version="1.0"?>
<zerrenda
  xmlns="http://www.ehu.es/eskema"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.ehu.es/eskema eskemaelementvstypexsd":

  <ikaslea ident="30456">
    <name>Jon Lasa</name>
    <postakodea>20240</postakodea>
    <irakasgaia>26240</irakasgaia>
    <kontaktua>20345</kontaktua>
  </ikaslea>
  <ikaslea ident="12345">
    <name>Miren Lopez</name>
    <postakodea>20349</postakodea>
    <irakasgaia>94320</irakasgaia>
    <kontaktua>20355</kontaktua>
  </ikaslea>
</zerrenda>

```

Document is valid.

```

<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" targetNamespace="http://www.ehu.es/eskema"
  xmlns="http://www.ehu.es/eskema" elementFormDefault="qualified">
  <xs:element name="zerrenda">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="ikaslea" minOccurs="1" maxOccurs="unbounded">
          <xs:complexType mixed="true">
            <xs:sequence>
              <xs:element name="name" type="xs:string"/>
              <xs:element name="postakodea" type="bostdigituT" default="2000"/>
              <xs:element name="irakasgaia" type="bostdigituT"/>
              <xs:element name="kontaktua" type="gipuzkoaT"/>
            </xs:sequence>
            <xs:attribute name="ident" type="bostdigituT" use="required"/>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:simpleType name="bostdigituT">
    <xs:restriction base="xs:string">
      <xs:pattern value="\d{5}"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="gipuzkoaT">
    <xs:restriction base="bostdigituT">
      <xs:pattern value="20\d{3}"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>

```

## Data type vs. Element

Eta datu-mota  
anonimoekin?  
(*element* erabiliz)



```

?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.ehu.es/eskema"
  xmlns="http://www.ehu.es/eskema"
  elementFormDefault="qualified">
  <xs:element name="zerrenda">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="ikaslea" minOccurs="1" maxOccurs="unbounded">
          <xs:complexType mixed="true">
            <xs:sequence>
              <xs:element name="name" type="xs:string"/>
              <xs:element ref="postakodea"/>
              <xs:element name="irakasgaia" ...../>
              <xs:element name="kontaktua" />
            </xs:sequence>
            <xs:attribute name="ident" .... />
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:element name="postakodea" default="20000">
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:pattern value="\d{5}"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
  <xs:simpleType name="gipuzkoaT">
    <xs:restriction base="postakodea">
      <xs:pattern value="20\d{3}"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>

```

## Data type vs. Element

‘irakasgaia’,  
‘kontaktua’, ‘ident’  
nola definitzen ditugu?

Defektuzko balioa,  
‘postakodea’  
erreferentziatzen duten  
elementu guztietarako !!!

**Errorea!!**

# Types

## ➔ Simple types

- Basic types (pre-defined by XML Schema)
- Simple types, derived from basic types
- Simple types, derived from derived simple types
- Simple types, obtained as lists/unions
- ID and IDREF

## ➤ Complex types

- Complex types with attributes or subelements
- Complex derived types with simple content
- Complex derived types with complex content
- Complex types with mixed content



# Simple types

## ➤ For elements

- Only have content
- No structure
- No attributes

## ➤ For attributes

Example: only *ISBN*, *First* and *Last* are simple type elements

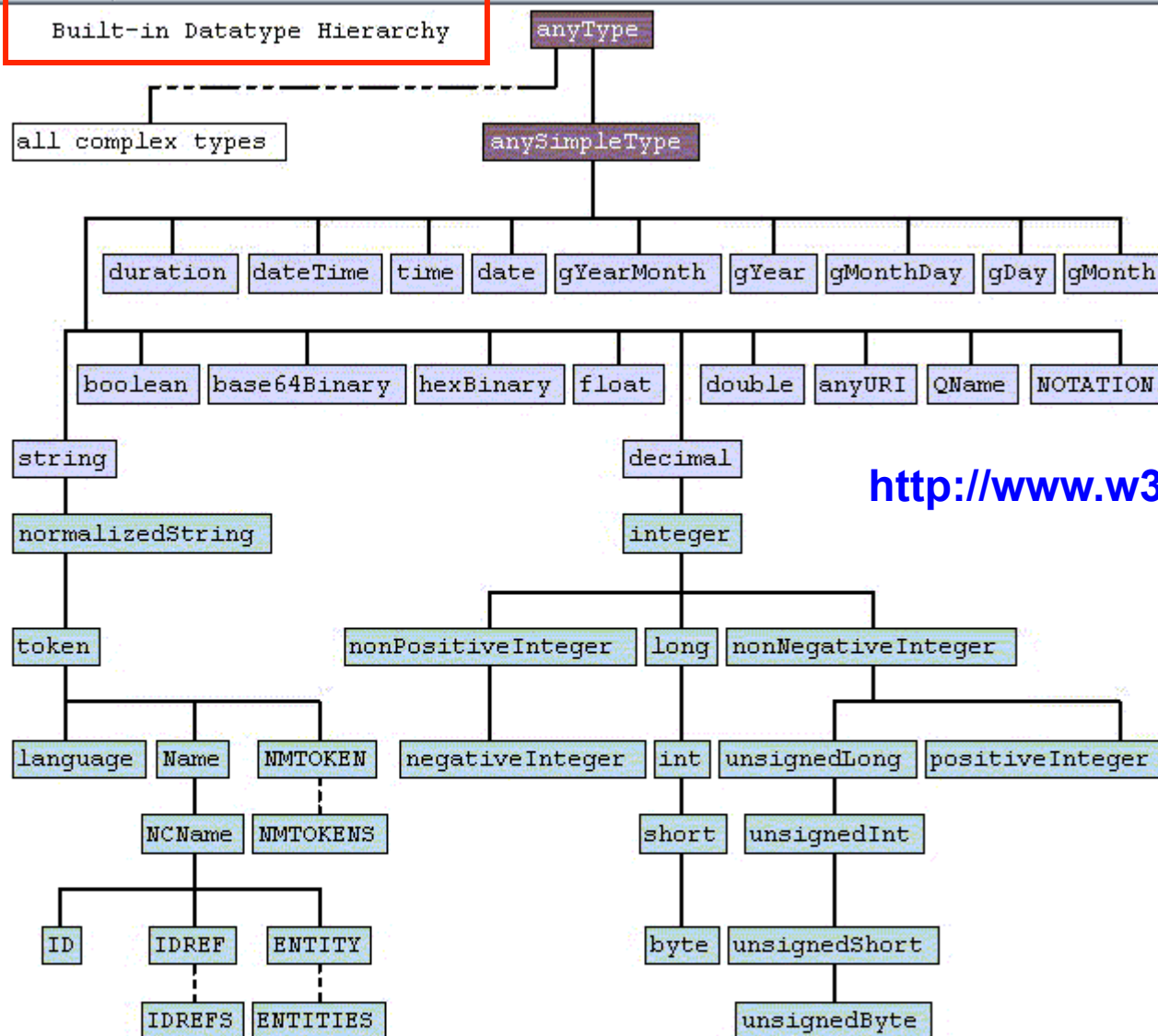
```
<ISBN>1-56592-235-2</ISBN>  
<Publisher country = "Spain">McMillan Publishing</Publisher>  
<name>  
  <First>Roger</First>  
  <Last>Costello</Last>  
</name>
```

# Simple types: some basic types

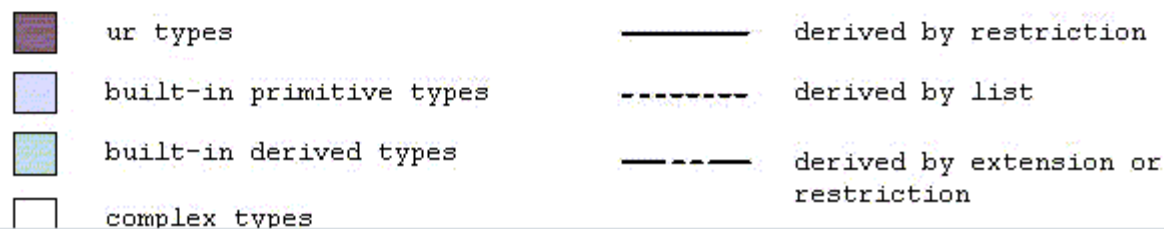
- string → • "Hello World"
- boolean → • {true, false, 1, 0}
- decimal → • 7.08
- float → • 12.56E3, 12, 12560, 0, -0, INF, -INF, NAN
- double → • 12.56E3, 12, 12560, 0, -0, INF, -INF, NAN
- duration → • P1Y2M3DT10H30M12.3S
- dateTime → • format: CCYY-MM-DDThh:mm:ss
- time → • format: hh:mm:ss.sss
- date → • format: CCYY-MM-DD
- gYearMonth → • format: CCYY-MM
- gYear → • format: CCYY
- gMonthDy → • format: --MM-DD

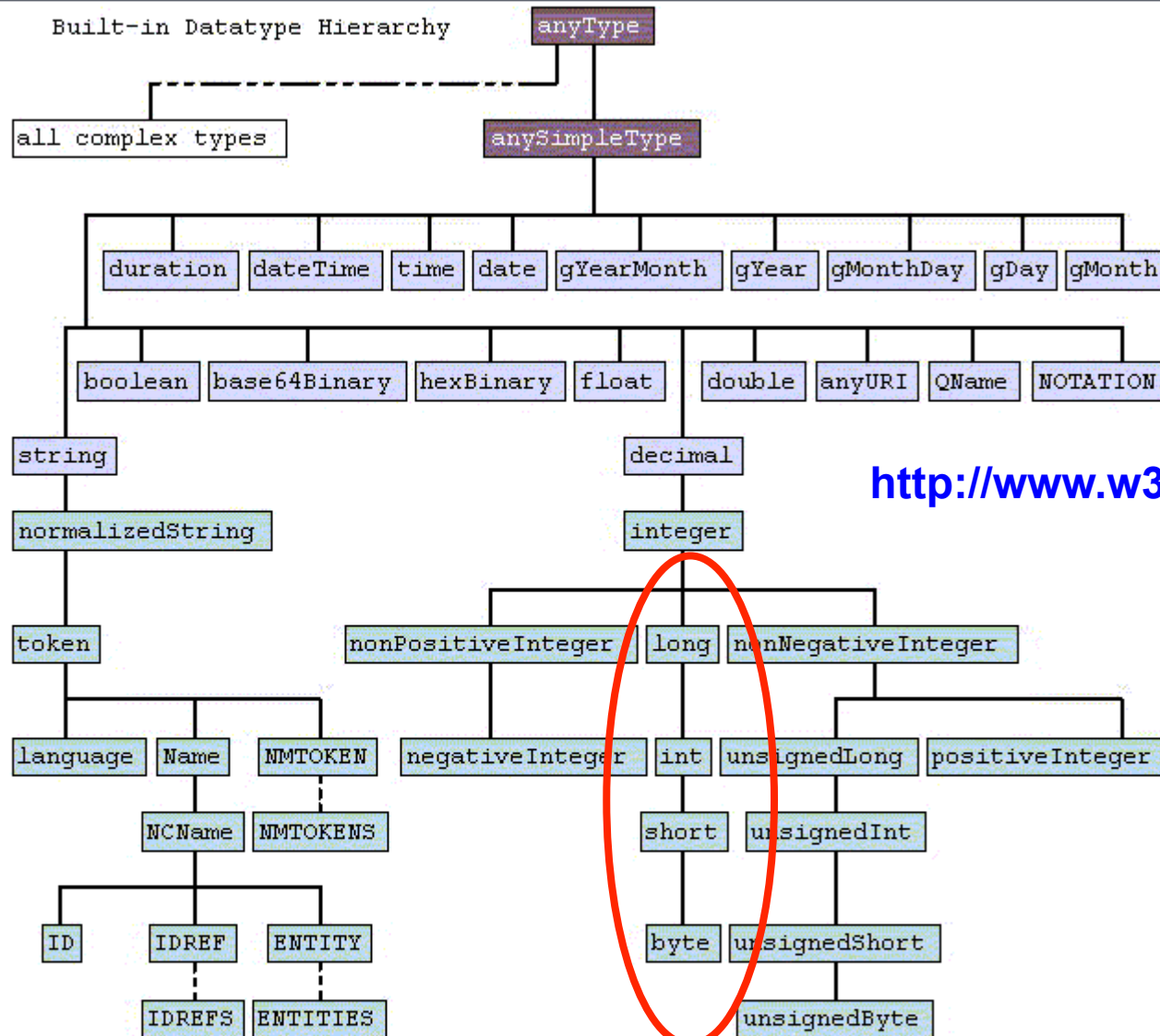
Note: 'T' is the date/time separator  
INF = infinity  
NAN = not-a-number

## Built-in Datatype Hierarchy

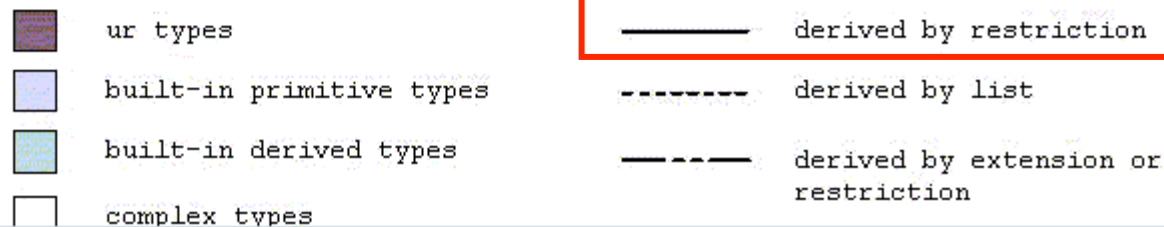
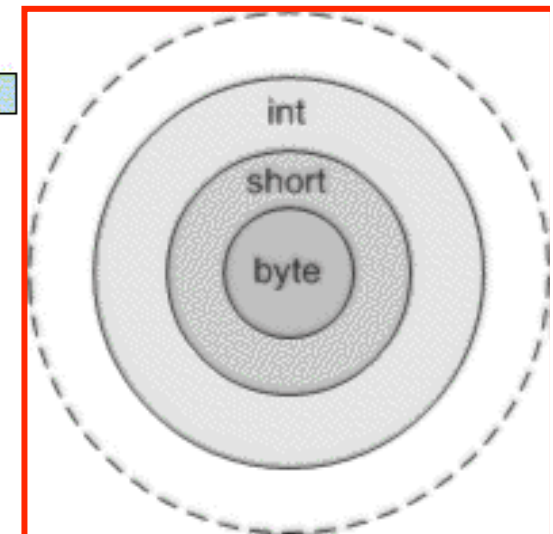


<http://www.w3.org/2001/XMLSchema>





<http://www.w3.org/2001/XMLSchema>



# SimpleType. Syntax

```
<simpleType  
  final = (#all | List of (list | union | restriction))  
  id = ID  
  name = NCName  
  {any attributes with non-schema namespace . . .}>  
  Content:  
    (annotation?, (restriction | list | union))  
</simpleType>
```

# Simple types: lists

- Defined using the “**list**” (meta) element

```
<xs:simpleType name="listOfMyIntT">  
  <xs:list itemType="myInteger"/>  
</xs:simpleType>
```

```
<element name="myList" type="listOfMyIntT" />
```

```
<myList>20003 15037 95977 95945</myList>
```

# Simple Types: Derived

## <restriction>

- Each type has a set of valid values
- A type can be derived from another type (the “base” type) by restricting its value set
- This restriction is expressed in terms of “facets” of the basic type
  - Example “facets” of the “string” type
    - length
    - minLength
    - maxLength
    - pattern
    - enumeration
    - whitespace ({preserve, replace, collapse})

# Simple types: Derived. Syntax

```
<xs:simpleType name= "name">  
  <xs:restriction base= "xs:source">  
    <xs:facet value= "value"/>  
    <xs:facet value= "value"/>  
    ...  
  </xs:restriction>  
</xs:simpleType>
```

Facets:

- length
- minlength
- maxlength
- pattern
- enumeration
- minInclusive
- maxInclusive
- minExclusive
- maxExclusive

Sources:

- string
- boolean
- number
- float
- double
- duration
- dateTime
- time

...

...



# Types derived from built-in types

## “String” type facets. Example

```
<xs:simpleType name="shapeT">  
  <xs:restriction base="xs:string">  
    <xs:enumeration value="circle"/>  
    <xs:enumeration value="triangle"/>  
    <xs:enumeration value="square"/>  
  </xs:restriction>  
</xs:simpleType>
```

An element of type “*shapeT*” can only hold a string out of {circle, triangle, square}

# Types derived from built-in types

## “String” type facets. Example (2)

```
<xs:simpleType name="TelephoneNumberT">
  <xs:restriction base="xs:string">
    <xs:length value="10"/>
    <xs:pattern value="\d{3}-\d{6}"/>
  </xs:restriction>
</xs:simpleType>
```

- The new ‘*TelephoneNumberT*’ type is created
  - Elements of this type contain “strings”
  - But the length of the “string” is restricted to 10 characters, and
  - The “string” must follow the ddd-dddddd pattern, where 'd' corresponds to a 'digit'
- (Note: In this example the regular expression makes the length restriction redundant)

# Types derived from built-in types

## “String” type facets. Example (3)

```
<xs:simpleType name = "passwordType">  
  <xs:restriction base="xs:string">  
    <xs:pattern value="[a-zA-Z0-9]{8}"/>  
  </xs:restriction>  
</xs:simpleType> >
```

The regular expression restricts passwords to be eight-length alpha-numeric characters

# Types derived from built-in types

## Some String pattern examples

### Regular expression

- Chapter \d
- a\*b
- [xyz]b
- a?b
- a+b
- [a-c]x
- [-ac]x
- [ac-]x
- [^0-9]x
- \Dx
- Chapter\s\d
- (ho){2} there
- (ho\s){2} there
- .abc
- (a|b)+x

### Example

- Chapter 1
- b, ab, aab, aaab, ...
- xb, yb, zb
- b, ab
- ab, aab, aaab, ...
- ax, bx, cx
- -x, ax, cx
- ax, cx, -x
- *any non-digit char followed by x*
- *any non-digit char followed by x*
- *Chapter* followed by a blank followed by a digit
- hoho there
- ho ho there
- *any (one) char followed by abc*
- ax, bx, aax, bbx, abx, bax,...



# Types derived from built-in types.

## *“String”* type facets: Whitespace

- It controls **how white space in the element will be processed**
- There are three possible values
  - **“preserve”** causes the processor to keep all whitespace as-is
  - **“replace”** causes the processor to replace all whitespace characters (tabs, carriage returns, line feeds, spaces) with space characters
  - **“collapse”** causes the processor to replace all strings of whitespace characters (tabs, carriage returns, line feeds, spaces) with a single space character

```
<xs:simpleType name="addressType">  
  <xs:restriction base="xs:string">  
    <xs:whitespace value="replace"/>  
  </xs:restriction>  
</xs:simpleType>
```

# Types derived from built-in types

## *“Integer”* type facets. Example

```
<xs:element name="prezioa" type="prezioaT" />  
  
<xs:simpleType name="prezioaT">  
  <xs:restriction base="xs:integer">  
    <xs:minInclusive value="1000"/>  
    <xs:maxInclusive value="10000"/>  
  </xs:restriction>  
</xs:simpleType>
```

We want to restrict possible values of the element

<prezioa>5440</prezioa>



<prezioa>540</prezioa>



# Derived types. “Integer” type facets

Facet	Description
enumeration	Defines a list of acceptable values
fractionDigits	The maximum number of decimal places allowed. $\geq 0$
length	The exact number of characters or list items allowed. $\geq 0$
maxExclusive	The upper bounds for numeric values (the value must be less than the value specified)
maxInclusive	The upper bounds for numeric values (the value must be less than or equal to the value specified)
maxLength	The maximum number of characters or list items allowed. $\geq 0$
minExclusive	The lower bounds for numeric values (the value must be greater than the value specified)
minInclusive	The lower bounds for numeric values (the value must be greater than or equal to the value specified)
minLength	The minimum number of characters or list items allowed $\geq 0$
pattern	The sequence of acceptable characters based on a regular expression
totalDigits	The exact number of digits allowed. $> 0$
whiteSpace	Specifies how white space (line feeds, tabs, spaces, and carriage returns) is handled

# Types derived from other simple types

- A derived type can be used as the “base” type
- The new type must be **more restrictive than the “base” type**

```
<xs:simpleType name= "latitudeaT">  
  <xs:restriction base="xs:integer">  
    <xs:minInclusive value="-90"/>  
    <xs:maxInclusive value="90"/>  
  </xs:restriction>  
</xs:simpleType>
```

```
<xs:simpleType name= "latitudeaEHT">  
  <xs:restriction base="latitudeaT">  
    <xs:minInclusive value="42"/>  
    <xs:maxInclusive value="44"/>  
  </xs:restriction>  
</xs:simpleType>
```

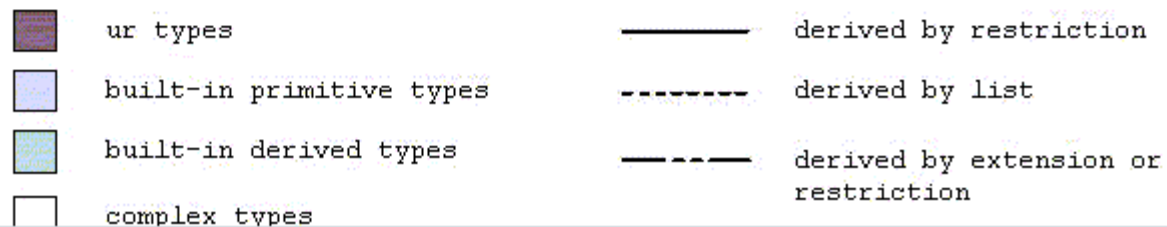
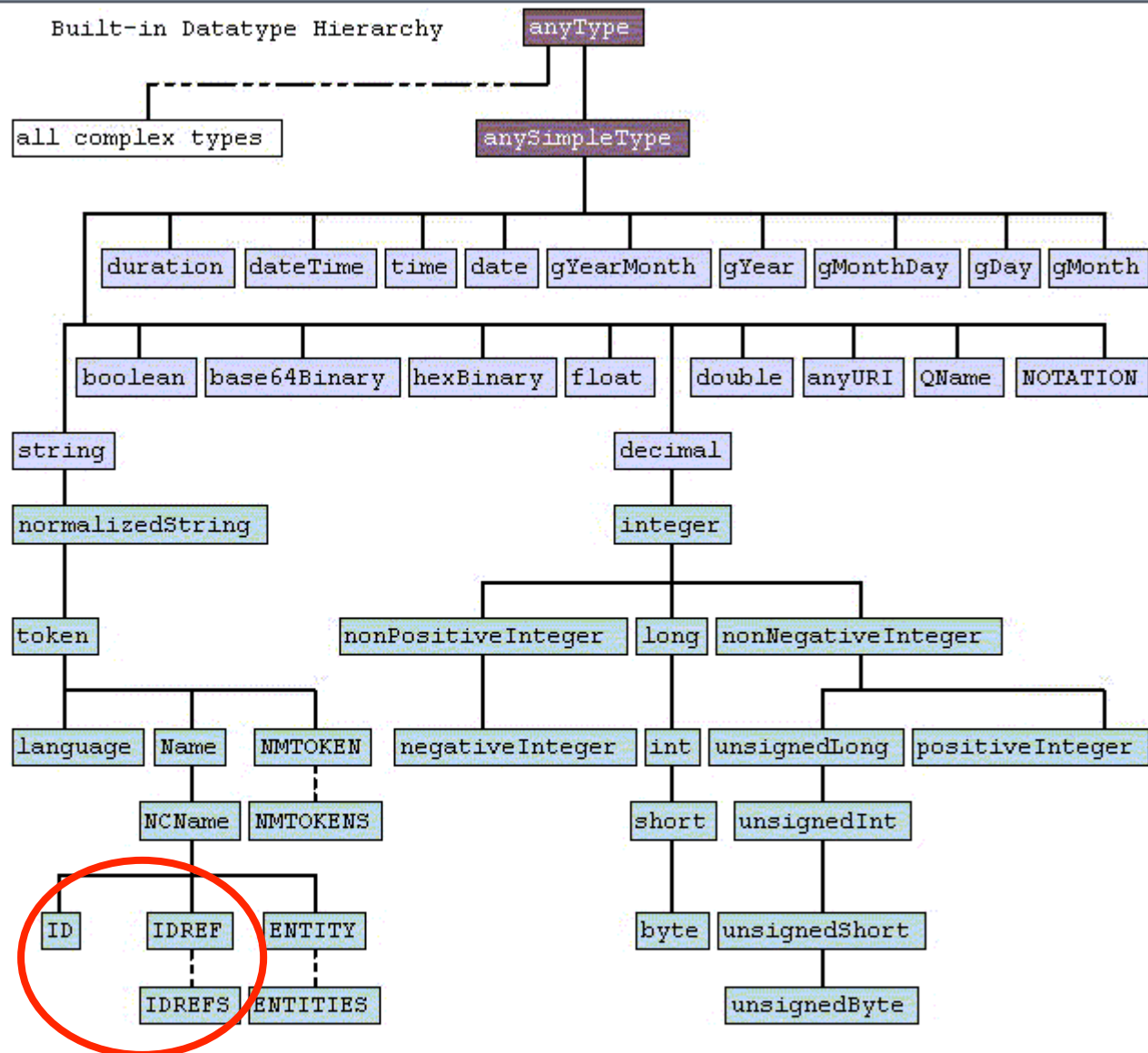


# Simple derived types

## Setting the value of a “facet”

```
<xs:simpleType name= "ikasleAdinaT">  
  <xs:restriction base="xs:nonNegativeInteger">  
    <xs:minInclusive value="18" fixed="true"/>  
    <xs:maxInclusive value="90"/>  
  </xs:restriction>  
</xs:simpleType>
```

Those types derived from *ikasleAdinaT* cannot  
change the lower limit



# Types ID and IDREF

- **ID** type restricts the value to be **unique** within the whole document
- **IDREF** restricts the value to **coincide with another value that is ID typed**
  - **IDREFS**, the same but with a **list of values**

## ID and IDREF: Example

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <xs:element name="orders">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="order" type="orderDetails" />
        <xs:element name="orderlist" type="orderLists" />
      </xs:sequence>
    </xs:complexType>
  </xs:element>
  <xs:complexType name="orderDetails">
    <xs:sequence>
      <xs:element name="customerName" type="xs:string"/>
      <xs:element name="customerAddress" type="xs:string"/>
      <xs:element name="customerContact" type="xs:string"/>
      <xs:element name="orderIDREF" type="xs:IDREF"/>
      <xs:element name="orderIDREFS" type="xs:IDREFS"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="orderLists">
    <xs:sequence>
      <xs:element name="orderID" type="xs:ID" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

```
<?xml version="1.0" encoding="UTF-8"?>
<orders>
  <order>
    <customerName>Test</customerName>
    <customerAddress>Test Address</customerAc
    <customerContact>12345678</customerConta
    <orderIDREF>k1</orderIDREF>
    <orderIDREFS>k1 k2</orderIDREFS>
  </order>
  <orderlist>
    <orderID>k1</orderID>
    <orderID>k2</orderID>
  </orderlist>
</orders>
```

# ID/IDREFS vs. Database keys

- ID unique **within the entire document** (like oids),
  - while a key needs only to uniquely identify a tuple **within a relation**
- IDREF **untyped**: one has no control over what it points to
  - You point to something, but you don't know what it is!

```
<student id="01" name="John" taking="CS2"/>  
<student id="02" name="Peter" taking="01"/>  
<course id="CS2"/>
```

- IDs are **based on a single element**
  - While keys can be based on more than one attribute (e.g. enroll (sid: string, cid: string, grade: string))
- An element can have **at most one ID** (primary)
  - While a relation may have multiple keys

# ID/IDREFS vs. Database keys

## Example

```
<xs:element name="school">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="student" maxOccurs="unbounded">
        <xs:complexType>
          <xs:attribute name="id" type="xs:ID" />
          <xs:attribute name="name" type="xs:string" />
          <xs:attribute name="taking" type="xs:IDREF" />
        </xs:complexType>
      </xs:element>
      <xs:element name="course" maxOccurs="unbounded">
        <xs:complexType>
          <xs:attribute name="id" type="xs:ID" />
        </xs:complexType>
      </xs:element>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

# Types

## ➤ Simple types

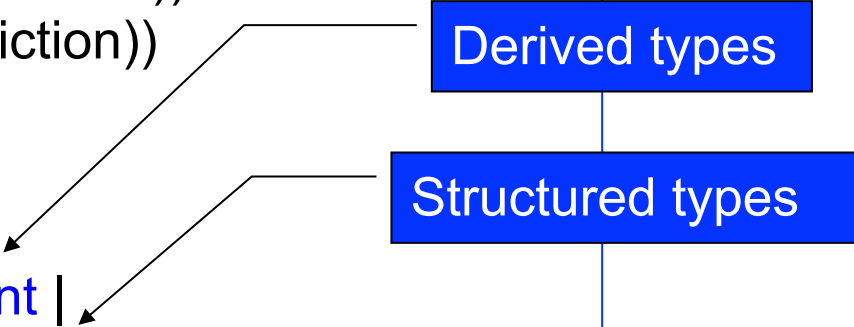
- Basic types (pre-defined by XML Schema)
- Simple types, derived from basic types
- Simple types, derived from derived simple types
- Simple types, obtained as lists/unions
- ID and IDREF

## ➔ Complex types

- Complex types with attributes or subelements
- Complex derived types with **extension**
- Complex derived types with **restriction**
- Type Substitution
- Control mechanisms for type derivation and substitution

# ComplexType. Syntax

```
<complexType
  id=ID
  name=NCName
  abstract=true | false
  mixed=true | false
  block=(#all | list of (extension | restriction))
  final=(#all | list of (extension | restriction))
  any attributes
>
  (annotation?,
    (simpleContent | complexContent |
      ((group | all | choice | sequence)?,
        ((attribute | attributeGroup)*, anyAttribute?))))
</complexType>
```





# Structured complex types

- Sub-elements are structured through restrictions ...
  - *<all>*: all subelements must be present
  - *<sequence>*: all subelements must be present in a given order
  - *<choice>*: there are several choices of subelements
- ... and **occurrence** indicators (how often an element can occur)
  - *minOccurs* and *maxOccurs*

# Structured complex types: minOccurs / maxOccurs indicators

- **maxOccurs** indicator specifies the maximum number of times an element can occur
- **minOccurs** indicator specifies the minimum number of times an element can occur
- **Default values: 1**

	minOccurs	maxOccurs
sequence	0/ <b>1</b> /...	<b>1</b> /.../unbounded
all	0/ <b>1</b>	<b>1</b>
choice	0/ <b>1</b> /...	<b>1</b> /.../unbounded

# Structured types: <sequence>

```
<xs:complexType name="ikasleaT">
```

```
  <xs:sequence>
```

```
    <xs:element name="izena" type="xs:string"/>
```

```
    <xs:element name="abizena" type="xs:string"/>
```

```
    <xs:element name="adina" type="adinaT"/>
```

```
    <xs:element name="ikasmaila" type="mailaT"/>
```

```
  </xs:sequence>
```

```
</xs:complexType>
```

Sequence: the order is meaningful

```
<xs:complexType name="ikasleaT">
```

```
  <xs:sequence minOccurs="0" maxOccurs="unbounded">
```

```
    <xs:element name="izena" type="xs:string"/>
```

```
    <xs:element name="abizena" type="xs:string"/>
```

```
    <xs:element name="adina" type="adinaT"/>
```

```
    <xs:element name="ikasmaila" type="mailaT"/>
```

```
  </xs:sequence>
```

```
</xs:complexType>
```

Cardinality restrictions can be set

# Structured types: <choice>

*Choice: alternatives*

```
<xs:complexType name="komunikabideaT"> >  
  <xs:choice>  
    <xs:element name="telefonoa" type="telefonoT"/>  
    <xs:element name="postaElektronikoa" type="epostaT"/>  
    <xs:element name="postaHelbidea" type="helbideT"/>  
  </xs:choice>  
</xs:complexType>
```

# Structured types: <all>

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  elementFormDefault="qualified">
  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Book" maxOccurs="unbounded">
          <xs:complexType>
            <xs:all>
              <xs:element name="Title" type="xs:string"/>
              <xs:element name="Author" type="xs:string"/>
              <xs:element name="Date" type="xs:string"/>
              <xs:element name="ISBN" type="xs:string"/>
              <xs:element name="Publisher" type="xs:string"/>
            </xs:all>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

A book must have the 5 elements, in any order

- Elements inside <all>: maxOccurs = "1", minOccurs = "0" or "1"
- <all> cannot be nested to <sequence>, <choice>, or another <all>
- Content of <all> must be elements. <sequence> and <choice> are NOT allowed

# Structured types: <sequence> & <choice>

Sequence, choice: they  
can be nested

```
<xs:complexType name="lifeT"> >  
  <xs:sequence minOccurs="0" maxOccurs="unbounded">  
    <xs:sequence minOccurs="0" maxOccurs="unbounded">  
      <xs:element name="work" type="xs:string"/>  
      <xs:element name="eat" type="xs:string"/>  
    </xs:sequence>  
    <xs:choice>  
      <xs:element name="read" type="xs:string"/>  
      <xs:element name="play" type="xs:string"/>  
    </xs:choice>  
    <xs:element name="sleep" type="xs:string"/>  
  </xs:sequence>  
  <xs:attribute name="category" type="xs:string" use="required"/>  
</xs:complexType>
```

Attributes are defined *after* sub-elements

# Complex derived types

- A new type can be derived ...
  - extending an existing type: **<extension>**
    - simpleType or complexType
    - ➡ The result is a complexType
  - restricting an existing type: **<restriction>**
    - simpleType, simpleContent, or complexContent
    - ➡ The result is a simpleType or a complexType (with simpleContent or complexContent)
- Substitution mechanisms between parent-derived types

# Complex derived types: extension

```
<xs:complexType name="...">
  <xs:complexContent>
    <xs:extension base="X">
      ...
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
```

**X** must be a *complexType* with  
*complexContent*

```
<xs:complexType name="...">
  <xs:simpleContent>
    <xs:extension base="Y">
      ...
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
```

**Y** must be a *simpleType* or  
*complexType* with *simpleContent*

**complexContent**: defines extensions or restrictions on a complex type that contains mixed content or elements only

**simpleContent**: contains extensions or restrictions on a text-only complex type or on a simple type as content and contains no elements



# Types derived from simple types: <extension>

```
<xs:element name="preziosa" type="preziosaT"/>
<xs:complexType name="preziosaT">
  <xs:simpleContent>
    <xs:extension base="xs:integer">
      <xs:attribute name="currency" type="xs:string" use="required"/>
    </xs:extension>
  </xs:simpleContent>
</xs:complexType>
```

We want to extend  
“integer” with a  
“currency” attribute

Example of element  
with *preziosaT* type

```
<preziosa currency="dolar">5440</preziosa>
```

# Types derived from complex types: <extension>

```
<xs:complexType name="PublicationT">
  <xs:sequence>
    <xs:element name="title" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="author" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="date" type="xs:gYear"/>
  </xs:sequence>
</xs:complexType>
```

*BookPublicationT* has the elements of *PublicationT* + its own

The latter are always added at the end

```
<xs:complexType name="BookPublicationT">
  <xs:complexContent>
    <xs:extension base="PublicationT">
      <xs:sequence>
        <xs:element name="ISBN" type="xs:string"/>
        <xs:element name="publisher" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
```

# Types derived from complex types: <extension>

```
<xs:complexType name="PublicationT">
  <xs:sequence>
    <xs:element name="title" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="author" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="date" type="xs:gYear"/>
  </xs:sequence>
</xs:complexType>
```

*BookPublicationT* has the  
elements of *PublicationT* +  
its own

```
<xs:complexType name="BookPublicationT">
  <xs:complexContent>
    <xs:extension base="PublicationT">
      <xs:sequence>
        <xs:element name="ISBN" type="xs:string"/>
        <xs:element name="publisher" type="xs:string"/>
      </xs:sequence>
      <xs:attribute name="id" type="xs:string"/>
    </xs:extension>
  </xs:complexContent>
```

The latter are always added  
at the end

# Complex derived types: restriction

```
<xs:simpleType name="...">  
  <xs:restriction base="X">  
    ...  
  </xs:restriction>  
</xs:simpleType>
```

**X** must be a *built-in* or a *simpleType*

```
<xs:complexType name="...">  
  <xs:simpleContent>  
    <xs:restriction base="Y">  
      ...  
    </xs:restriction>  
  </xs:simpleContent>  
</xs:complexType>
```

**Y** must be a *complexType* with *simpleContent*

```
<xs:complexType name="...">  
  <xs:complexContent>  
    <xs:restriction base="Z">  
      ...  
    </xs:restriction>  
  </xs:complexContent>  
</xs:complexType>
```

**Z** must be a *complexType* with  
*complexContent*

# Types derived from simple content types: `<restriction>`

```
<xs:complexType name="SizeT">  
  <xs:simpleContent>  
    <xs:extension base="xs:integer">  
      <xs:attribute name="system" type="xs:string"/>  
    </xs:extension>  
  </xs:simpleContent>  
</xs:complexType>
```

```
<xs:complexType name="SmallSizeT">  
  <xs:simpleContent>  
    <xs:restriction base="SizeT">  
      <xs:minInclusive value="2"/>  
      <xs:maxInclusive value="6"/>  
      <xs:attribute name="system" type="xs:string"  
                    use="required"/>  
    </xs:restriction>  
  </xs:simpleContent>  
</xs:complexType>
```

# Types derived from complex types: <restriction>

```
<xs:complexType name="PublicationT">
  <xs:sequence>
    <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="Author" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="Date" type="xs:gYear"/>
  </xs:sequence>
</xs:complexType>

<xs:complexType name="SingleAuthorPublicationT">
  <xs:complexContent>
    <xs:restriction base="PublicationT">
      <xs:sequence>
        <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
        <xs:element name="Author" type="xs:string" maxOccurs="1"/>
        <xs:element name="Date" type="xs:gYear"/>
      </xs:sequence>
    </xs:restriction>
  </xs:complexContent>
</xs:complexType>
```

*SingleAuthorPublicationT* has PublicationT's three elements but one *author* only. Note that you have to repeat all elements

# Types derived from complex types: <restriction>

```
<xs:complexType name="PublicationT">
  <xs:sequence>
    <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="Author" type="xs:string" minOccurs="0" maxOccurs="1"/>
    <xs:element name="Date" type="xs:gYear"/>
  </xs:sequence>
</xs:complexType>

<xs:complexType name="ZeroAuthorPublicationT">
  <xs:complexContent>
    <xs:restriction base="PublicationT">
      <xs:sequence>
        <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
        <xs:element name="Date" type="xs:gYear"/>
      </xs:sequence>
    </xs:restriction>
  </xs:complexContent>
</xs:complexType>
```

↑

*If the inherited element is optional (minOccurs=0), the derived element can remove it. To attain this, it is enough not to repeat it.*

# Types derived from complex types: <restriction>

```
<xs:complexType name="PublicationT">
  <xs:sequence>
    <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
    <xs:element name="Author" type="xs:string" minOccurs="0" maxOccurs="1"/>
    <xs:element name="Date" type="xs:gYear"/>
  </xs:sequence>
</xs:complexType>

<xs:complexType name="SmithPublicationT">
  <xs:complexContent>
    <xs:restriction base="PublicationT">
      <xs:sequence>
        <xs:element name="Title" type="xs:string" maxOccurs="unbounded"/>
        <xs:element name="Author" type="xs:string" minOccurs="1" fixed="Smith"/>
        <xs:element name="Date" type="xs:gYear"/>
      </xs:sequence>
    </xs:restriction>
  </xs:complexContent>
</xs:complexType>
```



# Substitution mechanisms

- Type substitution **xsi:type**
  - similar to OO polymorphism
  - one base type can be replaced with any of its derived types

# Type substitution. Example

```
<?xml version="1.0"?>
<xs:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  elementFormDefault="unqualified">
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="title" type="xs:string"/>
      <xs:element name="author" type="xs:string" maxOccurs="unbounded"/>
      <xs:element name="date" type="xs:year"/>
    </xs:sequence> </xs:complexType>
    <xs:complexType name="BookType">
      <xs:complexContent>
        <xs:extension base="PublicationType">
          <xs:sequence>
            <xs:element name="ISBN" type="xs:string"/>
            <xs:element name="publisher" type="xs:string"/>
          </xs:sequence>
        </xs:extension> </xs:complexContent> </xs:complexType>
      <xs:element name="BookStore">
        <xs:complexType>
          <xs:sequence>
            <xs:element name="Publication" maxOccurs="unbounded" type="PublicationType"/>
          </xs:sequence>
        </xs:complexType>
      </xs:element>
    </xs:schema>
```

*PublicationType is the base type*

*BookType extends PublicationType*

The *Publication* element is of type *PublicationType*

# Type substitution. Example

```
<?xml version="1.0"?>
<xs:schema ... targetNamespace="http://www.books.org" ...>
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="title" type="xs:string"/>
      <xs:element name="author" type="xs:string" maxOccurs="unbound"/>
      <xs:element name="date" type="xs:year"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="BookType">
    <xs:complexContent>
      <xs:extension base="PublicationType">
        <xs:sequence>
          <xs:element name="ISBN" type="xs:string"/>
          <xs:element name="publisher" type="xs:string"/>
        </xs:sequence>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Publication"
          maxOccurs="unbounded" type="PublicationType"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

```
<?xml version="1.0"?>
<bk:BookStore xmlns:bk="http://www.books.org"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="http://www.books.org BookStore.xsd">
  <bk:Publication>
    <bk:title>Staying Young Forever</bk:title>
    <bk:author>Karin Jordan, M.D.</bk:author>
    <bk:date>1999</bk:date>
  </bk:Publication>

  <bk:Publication xsi:type="bk:BookType">
    <bk:title>The First and Last Freedom</bk:title>
    <bk:author>J. Krishnamurti</bk:author>
    <bk:date>1954</bk:date>
    <bk:ISBN>0-06-064831-7</bk:ISBN>
    <bk:publisher>Harper Row</bk:publisher>
  </bk:Publication>
</bk:BookStore>
```

The default type is the base type *PublicationType*  
but any of its derived types can be used

# Controlling type derivation (and substitution)

➤ Three **properties** of complex types control their derivation:

- **final**: **limits** the definition of derived types in schemas
- **block**: **limits** the substitution of derived types in instances
- **abstract**: **forces** the definition of derived types

# Controlling type derivation: **final**

- Purpose: Final types can not be derived
  - Applies to a complex type
- Values: {**extension**, **restriction**, **#all**}

```
<xs:complexType name="PersonT" final="#all">
    ...
</xs:complexType>
```

The designer prevents other people from creating types derived from "PersonT"

- A **default value** can be assigned at schema level

```
<xs:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema"
    xmlns:tns="http://example.org/person"
    targetNamespace="http://example.org/person" finalDefault="restriction"
>
```

# Controlling type derivation: **block**

➤ Purpose: elements of type T can **restrict the subtypes of T to which they can be instantiated**

- Applies to elements
- To avoid substitutes/derivates of an element

```
<xs:element name="elementName" type="typeName" block="?????"/>
```

Example:

```
<xs:element name="Publication"
  maxOccurs="unbounded" type="PublicationT"
  block="extension"/>
</xs:sequence>
```

“Publication” can hold “PublicationT” instances except those whose type is an extension-constructed subtype of “PublicationT”

# Controlling type derivation: **block**

## ➤ Values:

- **block="substitution"**: Forbids element substitution
- **block="extension"**: Forbids type substitution using extension
- **block="restriction"**: Forbids type substitution using derivation
- **block="#all"**: Forbids element and type substitution (equivalent to: *block="restriction extension substitution"*)

## ➤ A **default value** can be assigned at schema level

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns:tns=http://example.org/person
  targetNamespace="http://example.org/person"
  blockDefault="#all" >
```

# Controlling type derivation: block Example

```
<xs:complexType name="PublicationType">
  <xs:sequence>
    <xs:element name="title" type="xs:string"/>
    <xs:element name="author" type="xs:string"
      maxOccurs="unbounded"/>
    <xs:element name="date" type="xs:year"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="BookType">
  <xs:complexContent>
    <xs:extension base="PublicationType">
      <xs:sequence>
        <xs:element name="ISBN" type="xs:string"/>
        <xs:element name="publisher" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
<xs:element name="catalogue">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Publication"
        maxOccurs="unbounded"
        type="PublicationType"
        block="extension"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

```
<?xml version="1.0"?>
<bk:bookStore xmlns:bk="http://www.books.org"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  >
  <Publication>
    <title>Staying Young Forever</title>
    <author>Karin Jordan, M.D.</author>
    <date>1999</date>
  </Publication>

  <Publication xsi:type="bk:BookType">
    <Title>Illusions </title>
    <author>Richard Bach</author>
    <date>1977</date>
    <ISBN>0-440-34319-4</ISBN>
    <publisher>Dell Publishing Co.</publisher>
  </Publication>
</bk:BookStore>
```



Avoids *Publication* instances to be of a derived type of *PublicationType*

The error is detected when the instance is created



# Controlling type derivation: block Example

```
<xs:complexType name="PublicationType" block="extension">
  <xs:sequence>
    <xs:element name="title" type="xs:string"/>
    <xs:element name="author" type="xs:string"
      maxOccurs="unbounded"/>
    <xs:element name="date" type="xs:year"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="BookType">
  <xs:complexContent>
    <xs:extension base="PublicationType">
      <xs:sequence>
        <xs:element name="ISBN" type="xs:string"/>
        <xs:element name="publisher" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
<xs:element name="catalogue">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Publication"
        maxOccurs="unbounded"
        type="PublicationType" />
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

```
<?xml version="1.0"?>
<bk:bookStore xmlns:bk="http://www.books.org"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  >
  <Publication>
    <title>Staying Young Forever</title>
    <author>Karin Jordan, M.D.</author>
    <date>1999</date>
  </Publication>

  <Publication xsi:type="bk:BookType">
    <Title>Illusions </title>
    <author>Richard Bach</author>
    <date>1977</date>
    <ISBN>0-440-34319-4</ISBN>
    <publisher>Dell Publishing Co.</publisher>
  </Publication>
</bk:BookStore>
```



# Controlling type derivation: **abstract**

- Purpose: Abstract types cannot be instantiated
  - Defines a specification that others will implement. At run time, the abstract type is replaced by one of its derived types
  - Applies to types

Example: `<xs:complexType name="TeachingStaffT" abstract="true">`

- “ReaderT”, “LecturerT”, “ProfessorT” are defined as derived types from “TeachingStaffT”
  - That means that there CANNOT exist instances of “TeachingStaffT”, but there could be of “ReaderT”, “LecturerT”, “ProfessorT”
- A *taughtBy* element of type “TeachingStaffT” can hold instances of one of its derived types
  - Thus, the designer of *taughtBy* abstracts away from the different “TeachingStaffT” situations that could exist

# Contents

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# DB-like restrictions

- **Nillable**: specifies whether an **explicit null value** can be assigned to the element
- **<unique>**: defines that an element or an attribute **value must be unique** within the scope
- **<key>**: specifies an attribute or element value as a key (**unique, non-nullable, and always present**) within the containing element
- **<keyref>**: specifies that an attribute or element value **correspond to those of the specified key or unique element**

# DB-like restrictions: “Null” value

```
<xs:element name="PersonName">
  <xs:complexType>
    <xs:element name="forename" type="xs:string"/>
    <xs:element name="middle" type="xs:string" nillable="true"/>
```

“middle” can contain  
the “null” value

```
<PersonName>
  <forename>John</forename>
  <middle xsi:nil="true"/>>
  <surname>Doe</surname>
</PersonName>
```

“null” assignment

*John does not have <middle>.  
It is not that I forgot to put it*

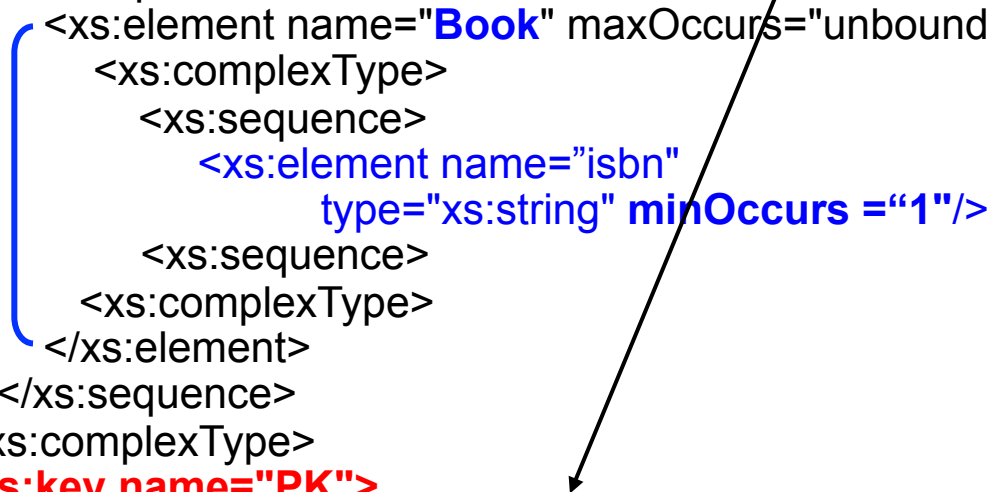
# DB-like restrictions: Element uniqueness <unique>

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  xmlns:bk="http://www.books.org"
  elementFormDefault="qualified">
  <xs:element name="Library">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Book" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="isbn"
                type="xs:string" minOccurs="0"/>
              <xs:sequence>
                <xs:complexType>
                  </xs:element>
                </xs:sequence>
              </xs:complexType>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
    <xs:unique name="UNIQ">
      <xs:selector xpath="bk:Book"/>
      <xs:field xpath="bk:isbn"/>
    </xs:unique>
  </xs:element>
</xs:schema>
```

Unlike the key restriction, unique allows for nulls

# DB-like restrictions: Key definition <key>

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  xmlns:bk="http://www.books.org"
  elementFormDefault="qualified">
  <xs:element name="Library">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Book" maxOccurs="unbounded"
          <xs:complexType>
            <xs:sequence>
              <xs:element name="isbn"
                type="xs:string" minOccurs="1"/>
            <xs:sequence>
              <xs:complexType>
            </xs:element>
          </xs:sequence>
        </xs:complexType>
      </xs:element>
      <xs:key name="PK">
        <xs:selector xpath="bk:Book"/>
        <xs:field xpath="bk:isbn"/>
      </xs:key>
    </xs:element>
  </xs:schema>
```



We want to guarantee that a library doesn't have two books with the same *ISBN* inside a *Library* element

Inside the *Library* element, the following key is defined

- name: *PK*
- inside: *Book*
- on field: *ISBN*

*The key element SHOULD be compulsory and MUST not be null i.e. (minOccurs > 0, nillable="false")*

Keys always go at the end of the element declaration on which they are defined

# DB-like restrictions: <key>. Example

```
<xs:schema xmlns:bk="http://www.books.org" ...>
```

```
<xs:element name="SpanishLibraries">
```

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element name="Library" maxOccurs="unbounded">
```

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element name="Book" maxOccurs="unbounded">
```

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element name="isbn" type="xs:string" minOccurs="1"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
</xs:element>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
</xs:element>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
<xs:key name="PK">
```

```
<xs:selector xpath="bk:Library/bk:Book"/>
```

```
<xs:field xpath="bk:isbn"/>
```

```
</xs:key>
```

```
</xs:element>
```

**Rule:** In the Spanish libraries,  
there cannot be two books with the  
same ISBN



# DB-like restrictions: <key>. Example

```
<xs:schema targetNamespace="http://www.meeting.org" xmlns:ns1="http://www.meeting.org" ...>
  <xs:element name="Meeting">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Participants" >
          <xs:complexType>
            <xs:sequence>
              <xs:element name="Participant" minOccurs="0" maxOccurs="unbounded">
                <xs:complexType>
                  <xs:sequence>
                    <xs:element name="First" type="xs:string"/>
                    <xs:element name="Last" type="xs:string"/>
                  </xs:sequence>
                </xs:complexType>
              </xs:element>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
    <xs:key name="PK">
      <xs:selector xpath="ns1:Participants/ns1:Participant"/>
      <xs:field xpath="ns1:First"/>
      <xs:field xpath="ns1:Last"/>
    </xs:key>
  </xs:element>
</xs:schema>
```

**Rule:** In a meeting, there cannot be two people with the same firstname+lastname

A key can be defined on more than one elements

Inside *XPath* always qualify the elements

# DB-like restrictions: <keyref>. Example

```
<xs:schema targetNamespace="http://www.books.org" xmlns:bk="http://www.books.org" ....>
  <xs:element name="Library">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Book" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="isbn" type="xs:string"/>
              <xs:element name="writer" type="xs:string"/>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
        <xs:element name="Author" maxOccurs="unbounded">
          <xs:complexType>
            <xs:sequence>
              <xs:element name="name" type="xs:string"/>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
    <xs:key name="PK">
      <xs:selector xpath="bk:Author"/>
      <xs:field xpath="bk:name"/>
    </xs:key>
    <xs:keyref name="FPK" refer="bk:PK">
      <xs:selector xpath="bk:Book"/>
      <xs:field xpath="bk:writer"/>
    </xs:keyref>
  </xs:element>
</xs:schema>
```

**Rule:** the name of the book writer must be one of the authors registered in the library

*Book.writer* is a foreign key on *Author.name* in the *Library* context

# Contents

- Motivation
- Schema: basics
- Schemas and documents
  - Schema is a document
  - Associating a schema to a document
- Schema definition
  - Attributes, Elements, Types
  - Database-like restrictions (Null value, key, foreign key)

## Schema variability

- Handling Schema complexity
- Schema extensibility
- UML and XML Schema



# Variability: the issue

- The X from XML stands for “extensible”
  - How to engineer content models for variability?
- Examples:
  - A name can be described by either a string or a compound of firstname and surname
  - A catalogue containing books, magazines, ...
  - A list of items of heterogeneous nature (shirt, hat, umbrella)

# Variability: Sample problem (1)

## ➤ Person names can take two forms:

- simple-name
- full-name

```
<simple-name>
  Snoopy
</simple-name>
```

```
<xs:element name="simple-name" type="string32"/>
```

```
<full-name>
  <last>
    Schulz
  </last>
  <first>
    Charles
  </first>
  <middle>
    M
  </middle>
</full-name>
```

```
<xs:element name="full-name">
  <xs:complexType>
    <xs:all>
      <xs:element name="first" type="string32" minOccurs="0"/>
      <xs:element name="middle" type="string32" minOccurs="0"/>
      <xs:element name="last" type="string32"/>
    </xs:all>
  </xs:complexType>
</xs:element>
```

# Variability: Sample problem (2)

## ➤ Catalogue items can be

- Publications
- Books
- Journals

```
<Publication>
```

```
<Title>Staying Young Forever</Title>
```

```
<Author>Karin Jordan, M.D.</Author>
```

```
<Date>1999</Date>
```

```
</Publication>
```

```
<Book>
```

```
<Title>Illusions</Title>
```

```
<Author>Richard Bach</Author>
```

```
<Date>1977</Date>
```

```
<ISBN>0-440-34319-4</ISBN>
```

```
<Publisher>Dell Publishing Co.</Publisher>
```

```
</Book>
```

# Variability: The approaches

- Choice structure
- Substitution groups (*element substitution*)
- Subtype mechanism (*type substitution*)

# Option 1: choice structure

```
<xs:element name="author">
  <xs:complexType>
    <xs:sequence>
      <xs:group ref="name"/>
      <xs:element ref="born"/>
      <xs:element ref="dead" minOccurs="0"/>
    </xs:sequence>
    <xs:attribute ref="id"/>
  </xs:complexType>
</xs:element>
```

```
<xs:group name="name">
  <xs:choice>
    <xs:element ref="simple-name"/>
    <xs:element ref="full-name"/>
  </xs:choice>
</xs:group>
```

```
<catalogue ...>
  <author id="1">
    <simple-name>William Shakespeare</simple-name>
    ...
  </author>
  <author id="2">
    <full-name>
      <first>James</first>
      <last>Joyce</last>
    </full-name>
    ...
  </author>
</catalogue>
```



# Option 1: choice structure

```
<xs:element name="Catalogue">
  <xs:complexType>
    <xs:sequence maxOccurs="unbounded">
      <xs:choice>
        <xs:element ref="Publication"/>
        <xs:element ref="Book"/>
      </xs:choice>
    </xs:sequence>
  </xs:complexType>
</xs:element>
<xs:element name="Publication">...</xs:element>
<xs:element name="Book"> ...</xs:element>
</xs:element>
```

```
<Catalogue>
  <Publication>
    <Title>Staying Young Forever</Title>
    <Author>Karin Jordan, M.D.</Author>
    <Date>1999</Date>
  </Publication>
  <Book>
    <Title>Illusions </Title>
    <Author>Richard Bach</Author>
    <Date>1977</Date>
    <ISBN>0-440-34319-4</ISBN>
    <Publisher>Dell Publishing Co.</Publisher>
  </Book>
</Catalogue>
```

# Option 2: substitutionGroup

- Group of elements that can be used wherever the base element shows
  - Substitution relation is **transitive**, but **not commutative**
  - Substitute elements have to be **global**
  - The **type of substitute** elements has to be **derived** from the type of the element to be substituted

Example:

```
<xs:element name="house" type="xs:string"/>
<xs:element name="casa" substitutionGroup="house" type="xs:string"/>
<xs:element name="etxe" substitutionGroup="house" type="xs:string"/>
<xs:element name="maison" substitutionGroup="house" type="xs:string"/>
```

Wherever the <house> element appears, any of its substitutes can appear

# Option 2: substitutionGroup

```
<xs:element name="name" type="xs:anyType" abstract="true"/>
```

## Example

```
<xs:element name="simple-name" type="string32"  
  substitutionGroup="name"/>>
```

```
<xs:element name="full-name" substitutionGroup="name">  
  <xs:complexType>  
    <xs:all>  
      <xs:element name="first" type="string32" minOccurs="0"/>  
      <xs:element name="middle" type="string32" minOccurs="0"/>  
      <xs:element name="last" type="string32"/>  
    </xs:all>  
  </xs:complexType>  
</xs:element>
```

```
<xs:element name="author">  
  ...  
  <xs:element ref="name" />  
  ...  
</xs:element>
```

```
<catalogue ...>  
  <author>  
    <simple-name>William Shakespeare</simple-name>  
    ...  
  </author>  
  <author>  
    <full-name>  
      <first>James</first>  
      <last>Joyce</last>  
    </full-name>  
    ...  
  </author>  
</catalogue>
```

# Option 2: substitutionGroup

## Example

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" ... >
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="Title" type="xs:string"/>
      <xs:element name="Author" type="xs:string" maxOccurs="unbounded"/>
      <xs:element name="Date" type="xs:year"/>
    </xs:sequence> </xs:complexType>
    <xs:complexType name="BookType">
      <xs:complexContent>
        <xs:extension base="PublicationType">
          <xs:sequence>
            <xs:element name="ISBN" type="xs:string"/>
            <xs:element name="Publisher" type="xs:string"/>
          </xs:sequence>
        </xs:extension> </xs:complexContent> </xs:complexType>
        <xs:complexType name="MagazineType">
          <xs:complexContent>
            <xs:extension base="PublicationType"> ...</xs:extension> ...
          </xs:complexContent>
        </xs:complexType>
      </xs:extension> </xs:complexType>
    <xs:element name="catalogue">
      <xs:complexType>
        <xs:sequence> <xs:element ref="publication" maxOccurs="unbounded"/> </xs:sequence>
      </xs:complexType> </xs:element>
    <xs:element name="publication" type="PublicationType"/>
    <xs:element name="book" substitutionGroup="publication" type="BookType"/>
    <xs:element name="journal" substitutionGroup="publication" type="MagazineType"/>
  </xs:schema>
```

# Option 2: substitutionGroup

## Example

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" ... >
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="Title" type="xs:string"/>
      <xs:element name="Author" type="xs:string" maxOccurs="unbounded"/>
      <xs:element name="Date" type="xs:year"/>
    </xs:sequence> </xs:complexType>
    <xs:complexType name="BookType" ... </xs:complexType>
    <xs:complexType name="MagazineType" ... </xs:complexType>
    <xs:complexType name="RegisteredBookType">
      <xs:complexContent>
        <xs:extension base="BookType">
          <xs:attribute name="signature" type="xs:string"/>
        </xs:extension> </xs:complexContent>
      </xs:complexType>
    <xs:element name="catalogue">
      <xs:complexType>
        <xs:sequence> <xs:element ref="publication" maxOccurs="unbounded"/> </xs:sequence>
      </xs:complexType> </xs:element>
      <xs:element name="publication" type="PublicationType"/>
      <xs:element name="book" substitutionGroup="publication" type="BookType"/>
      <xs:element name="journal" substitutionGroup="publication" type="MagazineType"/>
      <xs:element name="regbook" substitutionGroup="book" type="RegisteredBookType"/>
    </xs:schema>
```

## Option 2: substitutionGroup

### Attributes for substitution groups

- ***abstract***, to define abstract elements inside the substitution group

```
<xs:element name="house" type="xs:string" abstract = "true"/>
```

- There cannot exist documents with “house”. We can only use one of the substitutes

- ***block***, to avoid the use of substitutes

```
<xs:element name="house" type="xs:string" block = "substitution" />
```

- There cannot exist documents with substitutes for the “house” element

# Option 3: Subtype mechanisms

## ➤ Type substitution (*xsi:type*)

- similar to OO polymorphism
- one base type can be replaced with any of its derived types

# Type substitution. Example

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.books.org"
  xmlns="http://www.books.org"
  elementFormDefault="unqualified">
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="Title" type="xs:string"/>
      <xs:element name="Author" type="xs:string" maxOccurs="unbounded"/>
      <xs:element name="Date" type="xs:year"/>
    </xs:sequence> </xs:complexType>
  <xs:complexType name="BookType">
    <xs:complexContent>
      <xs:extension base="PublicationType">
        <xs:sequence>
          <xs:element name="ISBN" type="xs:string"/>
          <xs:element name="Publisher" type="xs:string"/>
        </xs:sequence>
      </xs:extension> </xs:complexContent> </xs:complexType>
  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Publication" maxOccurs="unbounded" type="PublicationType"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

*PublicationType* is the base type

*BookType* extends  
*PublicationType*

The *Publication* element is of  
type *PublicationType*



# Type substitution. Example

```
<?xml version="1.0"?>
<xs:schema ...>
  <xs:complexType name="PublicationType">
    <xs:sequence>
      <xs:element name="Title" type="xs:string"/>
      <xs:element name="Author" type="xs:string" maxOccurs="unbounded"/>
      <xs:element name="Date" type="xs:year"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="BookType">
    <xs:complexContent>
      <xs:extension base="PublicationType">
        <xs:sequence>
          <xs:element name="ISBN" type="xs:string"/>
          <xs:element name="Publisher" type="xs:string"/>
        </xs:sequence>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
  <xs:element name="BookStore">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Publication"
          maxOccurs="unbounded" type="PublicationType"/>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

```
<?xml version="1.0"?>
<bk:BookStore xmlns:bk="http://www.books.org"
  xmlns:xsi="..."
  xsi:schemaLocation="http://www.books.org
    BookStore.xsd">

  <Publication>
    <Title>Staying Young Forever</Title>
    <Author>Karin Jordan, M.D.</Author>
    <Date>1999</Date>
  </Publication>

  <Publication xsi:type="bk:BookType">
    <Title>The First and Last Freedom</Title>
    <Author>J. Krishnamurti</Author>
    <Date>1954</Date>
    <ISBN>0-06-064831-7</ISBN>
    <Publisher>Harper Row</Publisher>
  </Publication>
```

The default type is the base type *PublicationType* but any of its derived types can be used

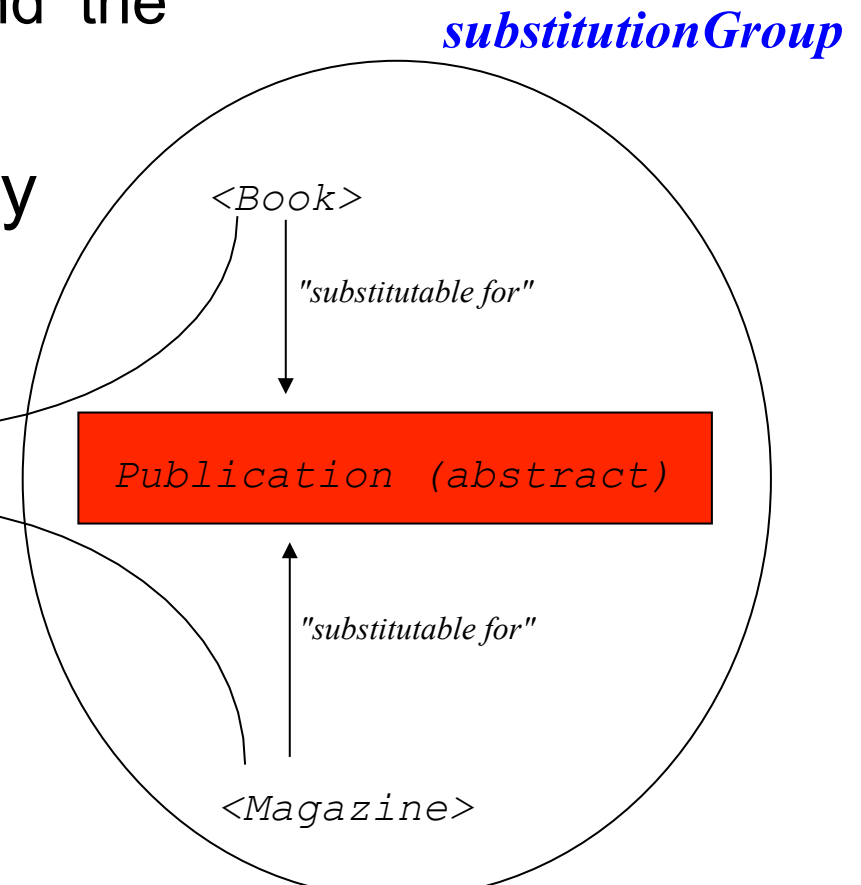
# Recap: using a choice element

- No semantic coherence
  - Items are not type related
  - Catalogue can be of books, bikes, breaks
- Non extensible (for read-only schemas)
  - You can not add new item types to the catalogue

# Recap: Abstract element and element substitution

- Semantic cohesion
- Extensibility
  - Other schemas can extend the element set
- Limited structural variability

```
<Catalogue>  
  - variable content section  
</Catalogue>
```



# Recap: Abstract type and *type substitution*

- Semantic cohesion
- Extensibility
  - Other schemas can extend the element set
- Limited structural variability

*PublicationType* (abstract)

*BookType*

*MagazineType*

*Only <Publication> elements but with variable content*

```
<Catalogue>
  <Publication xsi:type="...">
    - variable content section
  </Publication>
</Catalogue>
```

# Contents

- Motivation
- Schema: basics
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  - Schema is a document
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- Schema definition
  - Attributes, Elements, Types
  - Database-like restrictions (Null value, key, foreign key)
- Schema variability
- ➡ Handling Schema complexity
  - **<include>, <import>, <redefine>**
- Schema extensibility
- UML and XML Schema

# Schema complexity

A schema can be very complex

- Are there modularization mechanisms?
  - use of different schemas
- Are there reuse mechanisms?

# Different schemas.

## Perspective from the schema document

- A schema can be created using other schemas
- Two options:
  - **<include>**: one namespace spreads among several “.xsd”
  - **<import>**: one namespace that uses other namespaces

# <include>

*targetNamespace*: http://www.library.org

LibraryBook.xsd

LibraryEmployee.xsd

All the schemas must have the same *targetNamespace*

library.xsd

```
<xs:include schemaLocation="LibraryBook.xsd"/>  
<xs:include schemaLocation="LibraryEmployee.xsd"/>
```



# <include> : Schema perspective

## LibraryBook.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.library.org"
  xmlns="http://www.library.org"
  elementFormDefault="qualified">

  <xs:complexType name="BookT">
    <xs:sequence>
      <xs:element name="title" type="xs:string"/>
      <xs:element name="author" type="xs:string" />
      <xs:element name="date" type="xs:string"/>
      <xs:element name="ISBN" type="xs:string"/>
      <xs:element name="publisher" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
  <xs:element name="Book" type="BookT" />
</xs:schema>
```

## LibraryEmployee.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.library.org"
  xmlns="http://www.library.org"
  elementFormDefault="qualified">

  <xs:complexType name="EmployeeT">
    <xs:sequence>
      <xs:element name="tname" type="xs:string"/>
      <xs:element name="SSN" type="xs:string" />
    </xs:sequence>
  </xs:complexType>
  <xs:element name="Employee" type="EmployeeT" />
</xs:schema>
```

# <include> : Schema perspective

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.library.org"
  xmlns="http://www.library.org"
  elementFormDefault="qualified">
  <xs:include schemaLocation="LibraryBook.xsd"/>
  <xs:include schemaLocation="LibraryEmployee.xsd"/>
  <xs:element name="Library">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="Books">
          <xs:complexType>
            <xs:sequence>
              <xs:element ref="Book" maxOccurs="unbounded"/>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
        <xs:element name="Employees">
          <xs:complexType>
            <xs:sequence>
              <xs:element ref="Employee" maxOccurs="unbounded"/>
            </xs:sequence>
          </xs:complexType>
        </xs:element>
      </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>
```

**Library.xsd**

## **targetNamespace**

All the included schemas must have the same *targetNamespace*

## **<include>**

Has the same effect as if the schema was specified in this file. It can be overwritten

## **Use of included elements**

An included element/attribute is indicated by reference

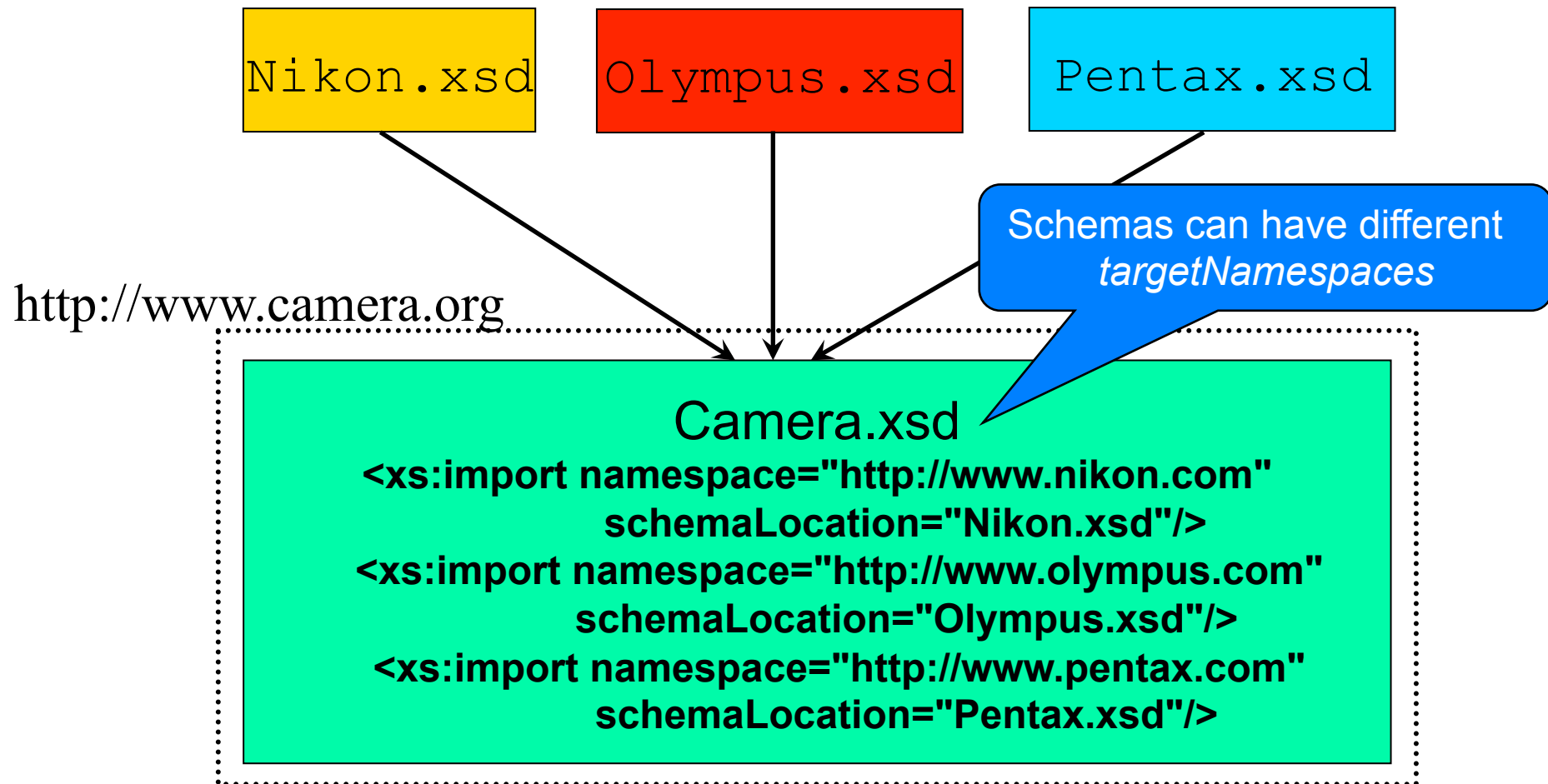
# <include> : Instance perspective

```
<?xml version="1.0"?>
<Library xmlns="http://www.library.org">
  <Books>
    <Book>
      <Title>My Life and Times</Title>
      <Author>Paul McCartney</Author>
      <Date>1998</Date>
      <ISBN>1-56592-235-2</ISBN>
      <Publisher>Macmillan Publishing</Publisher>
    </Book>
    <Book>
      <Title>The First and Last Freedom</Title>
      <Author>J. Krishnamurti</Author>
      <Date>1954</Date>
      <ISBN>0-06-064831-7</ISBN>
      <Publisher>Harper & Row</Publisher>
    </Book>
  </Books>
  <Employees>
    <Employee>
      <name>John Doe</name>
      <SSN>123-45-6789</SSN>
    </Employee>
  </Employees>
</Library>
```

The instance document indicates the *www.library.org* vocabulary

To sum up, *<include>* allows a modular approach when defining the same namespace

# <import>



Nikon.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.nikon.com"
  xmlns="http://www.nikon.com"
  elementFormDefault="qualified">
  <xs:complexType name="body_type">
    <xs:sequence>
      <xs:element name="name" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

Olympus.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.olympus.com"
  xmlns="http://www.olympus.com"
  elementFormDefault="qualified">
  <xs:complexType name="lens_type">
    <xs:sequence>
      <xs:element name="zoom" type="xs:string"/>
      <xs:element name="f-stop" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

Pentax.xsd

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.pentax.com"
  xmlns="http://www.pentax.com"
  elementFormDefault="qualified">
  <xs:complexType name="manual_adapter_type">
    <xs:sequence>
      <xs:element name="speed" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
</xs:schema>
```

Schemas have different  
*targetNamespaces*

# <import>: Schema perspective

```
<?xml version="1.0"?>
<xs:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  targetNamespace="http://www.camera.org"
  xmlns="http://www.camera.org"
  xmlns:nikon="http://www.nikon.com"
  xmlns:olympus="http://www.olympus.com"
  xmlns:pentax="http://www.pentax.com"
  elementFormDefault="qualified">
```

Camera.xsd

## *targetNamespace*

The imported schemas DON'T have the same *targetNamespace*

```
<xs:import namespace="http://www.nikon.com"
  schemaLocation="Nikon.xsd"/>
<xs:import namespace="http://www.olympus.com"
  schemaLocation="Olympus.xsd"/>
<xs:import namespace="http://www.pentax.com"
  schemaLocation="Pentax.xsd"/>
```

## <import>

Imports the definitions. As they have different *namespaces*, we have to indicate where each of them is located

```
<xs:element name="camera">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="body" type="nikon:body_type"/>
      <xs:element name="lens" type="olympus:lens_type"/>
      <xs:element name="manual_adapter" type="pentax:manual_adapter_type"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

*Including imported elements*  
*Using qualifiers*

```
</xs:schema>
```

# <import>: Instance perspective

```
<?xml version="1.0"?>
<c:camera xmlns:c="http://www.camera.org"
  xmlns:nikon="http://www.nikon.com"
  xmlns:olympus="http://www.olympus.com"
  xmlns:pentax="http://www.pentax.com"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation=
    "http://www.camera.org
    Camera.xsd">
  <c:body>
    <nikon:name>Ergonomically designed casing for easy handling</nikon:name>
  </c:body>
  <c:lens>
    <olympus:zoom>300mm</olympus:zoom>
    <olympus:f-stop>1.2</olympus:f-stop>
  </c:lens>
  <c>manual_adapter>
    <pentax:speed>1/10,000 sec to 100 sec</pentax:speed>
  </c>manual_adapter>
</c:camera>
```

The instance document must specify ALL the *namespaces*

However, it is enough to locate the “importing” schema, as it already has the other locations

To sum up. *<import>* allows using other vocabularies when defining a vocabulary

# <redefine>

```
<xs:complexType name="BookType">
  <xs:sequence>
    <xs:element name="Title" type="xs:string"/>
    <xs:element name="Author" type="xs:string"/>
    <xs:element name="Date" type="xs:string"/>
    <xs:element name="ISBN" type="xs:string"/>
    <xs:element name="Publisher" type="xs:string"/>
  </xs:sequence>
</xs:complexType>
```

LibraryBook.xsd

```
<xs:redefine schemaLocation="LibraryBook.xsd">
  <xs:complexType name="BookType">
    <xs:complexContent>
      <xs:extension base="BookType">
        <xs:sequence>
          <xs:element name="Summary" type="xs:string"/>
        </xs:sequence>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
</xs:redefine>
```

Library.xsd

## <redefine>

The same as *<include>* but it also allows redefining any “component”

- *simpleType*,
- *complexType*,
- *attributeGroup*
- *group*

Any reference to *BookType* both in *Library.xsd* and *LibraryBook.xsd* will be to the new redefined type



# Contents

- Motivation
- Schema: basics
- Schemas and documents
  - Schema is a document
  - Associating a schema to a document
- Schema definition
  - Attributes, Elements, Types
  - Database-like restrictions (Null value, key, foreign key)
- Schema variability
- Handling Schema complexity
- ➡ Schema extensibility
- UML and XML Schema



# Roles

- Global schema author
  - (e.g. a standardisation committee)
- Local schema author
  - (e.g. a local authority, the company's DB administrator)
- Document instance author
  - (e.g. a programmer)
- Notice that
  - the author of the schema (.xsd) and of the instance (.xml) are usually different
  - Global schemas tend to be adapted for local use

# Extensibility points: <any>

- They allow documents to contain **additional elements** that are **not declared in the main XML schema**
- Role “schema author”
  - indicates where **the schema** can be extended
- Role “document author”
  - can provide new elements **not declared in the schema**

# Role “schema author” where do I allow extending the schema?

**targetNamespace="http://www.BookRetailers.org">**

```
<xs:complexType name="BookT">
  <xs:sequence>
    <xs:element name="Title" type="xs:string"/>
    <xs:element name="Author" type="xs:string"/>
    <xs:element name="Date" type="xs:string"/>
    <xs:element name="ISBN" type="xs:string"/>
    <xs:element name="Publisher" type="xs:string"/>
    <xs:any namespace = "##any" minOccurs="0"/>
  </xs:sequence>
  <xs:anyAttribute namespace = "##any"/>
</xs:complexType>

<xs:element name="BookSeller">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Book" type="BookT" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

The author of schema Book allows adding new elements

The author of schema Book allows adding new attributes

# Role “document author”

## what do I want to extend the schema with?

- He defines a NEW schema with his own elements/attributes

```
<?xml version="1.0"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
            targetNamespace="http://www.myOwnSchema.org">
  <element name="Reviewer">
    <complexType>
      <sequence>
        <element name="name">
          <complexType>
            <sequence>
              <element name="First" type="xs:string"/>
              <element name="Last" type="xs:string"/>
            </sequence>
          </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
  <attribute name="recommendable" type="xs:boolean"/>
</xs:schema>
```

Thus:

1. creates his own vocabulary:  
*www.myOwnSchema.org*

# At the time the document is defined...

```
<?xml version="1.0"?>
<BookSeller xmlns="http://www.BookRetailers.org"
  xmlns:own="http://www.myOwnSchema.org" />
  <Book own:recommendable="false">
    <Title>My Life and Times</Title>
    <Author>Paul McCartney</Author>
    <Date>1998</Date>
    <ISBN>1-56592-235-2</ISBN>
    <Publisher>McMillin Publishing</Publisher>
    <Reviewer xmlns="http://www.myOwnSchema.org">
      <name>
        <First>Roger</First>
        <Last>Costello</Last>
      </name>
    </Reviewer>
  </Book>
  <Book own:recommendable="true">
    <Title>Illusions: The Adventures of a Reluctant M
    <Author>Richard Bach</Author>
    <Date>1977</Date>
    <ISBN>0-440-34319-4</ISBN>
    <Publisher>Dell Publishing Co.</Publisher>
  </Book>
</BookSeller>
```

Combine both vocabularies

creates the instance document  
using both vocabularies,  
knowing that elements  
from *repository* can be  
used wherever *Book*  
allows it

# Restricting the vocabularies that can extend the schema

**<anyAttribute namespace="##any"/>**

allows any new attribute. Default value

**<anyAttribute namespace="http://www.somewhere.com"/>**

allows new attributes, only if they come from the specified vocabulary

Note: more than one can be specified, separated by spaces

**<anyAttribute namespace="##targetNamespace"/>**

allows attributes from the vocabulary that is being defined  
(*targetNamespace*)

**<anyAttribute namespace="##other"/>**

allows the instances to have new attributes, providing that those attributes are NOT defined in the *targetNamespace*

**<anyAttribute namespace="##local"/>**

allows any attribute that is NOT defined in any vocabulary (i.e. with no namespace)

\* exactly the same for **<any>**

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 **UML and XML Schema**





# UML and XML Schema

- *UML* is visual → design tool
- *XML Schema* is code → implementation tool
- Use UML to design document schemas
- There is controversy about the correspondence between the primitives of UML and *XML Schema*
- Here some examples taken from **David Carlson, Ontogenics Corp.** are shown

# Example

## Class

name,  
*abstract*

## Association

optional name, 2..\* ends

## AssociationEnd

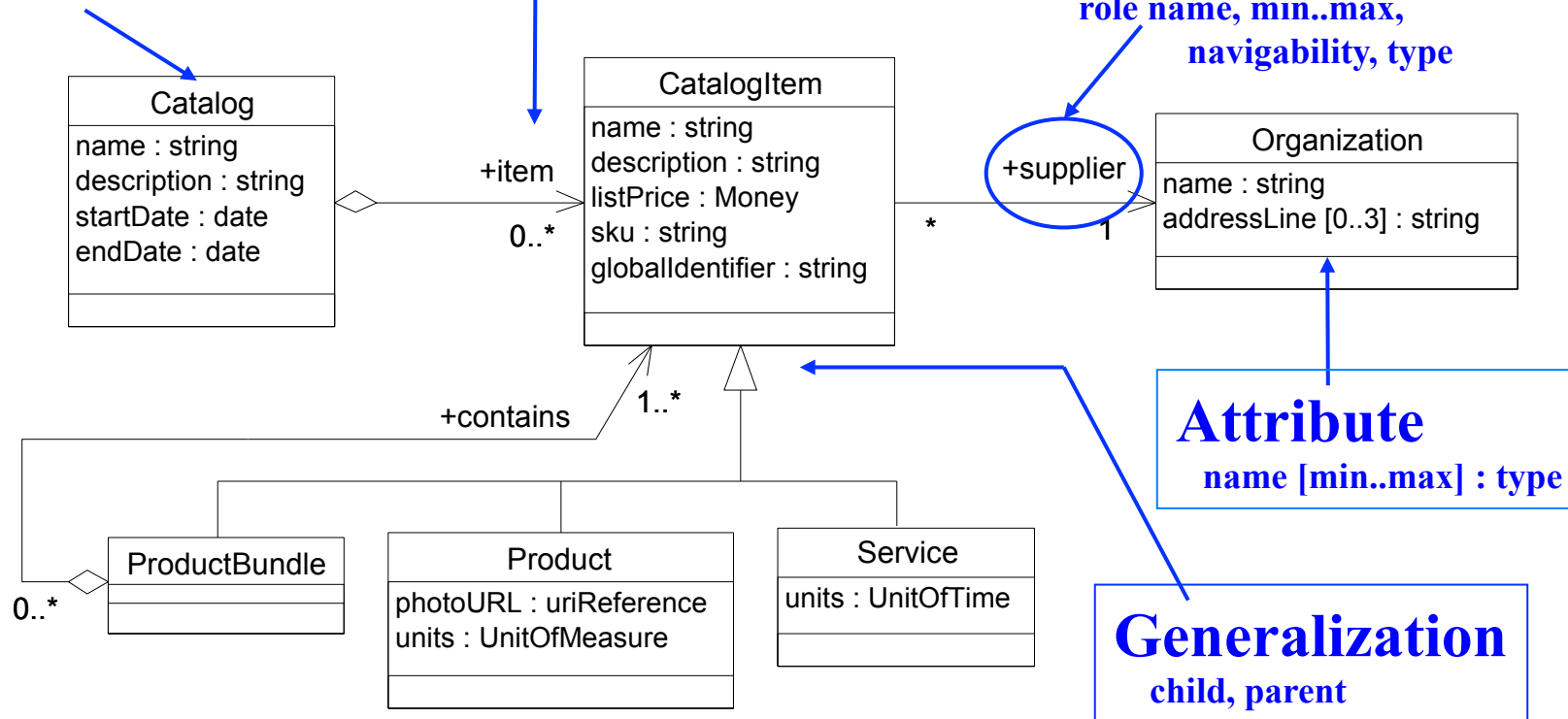
role name, min..max,  
navigability, type

## Attribute

name [min..max] : type

## Generalization

child, parent



# Enumeration: simple type with restriction

<<enumeration>> UnitOfMeasure
inch dozen meter kilogram

```
<xs:simpleType name="unitOfMeasure">  
  <xs:restriction base="xs:string">  
    <xs:enumeration value="inch"/>  
    <xs:enumeration value="dozen"/>  
    <xs:enumeration value="meter"/>  
    <xs:enumeration value="kilogram"/>  
  </xs:restriction>  
</xs:simpleType>
```

# Class: <sequence> type

```
<xs:element name="Organization" type="OrganizationT"/>
```

```
<xs:complexType name="OrganizationT">
```

```
<xs:sequence>
```

```
<xs:element name="name" type="xs:string"/>
```

```
<xs:element name="addressLine" type="xs:string"  
minOccurs="0" maxOccurs="3" />
```

```
</xs:sequence>
```

```
</xs:complexType>
```

Organization
name : string
addressLine [0..3] : string

# Class: <all> type

```
<xs:element name="CatalogItem" type="CatalogItemT"/>
```

```
<xs:complexType name="CatalogItemT">
```

```
<xs:all>
```

```
<xs:element name="name" type="xs:string"/>
```

```
<xs:element name="description" type="xs:string"/>
```

```
<xs:element name="listPrice">
```

```
<xs:complexType>
```

```
<xs:sequence>
```

```
<xs:element ref="Money" maxOccurs="unbounded"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
</xs:element>
```

```
<xs:element name="sku" type="xs:string"/>
```

```
<xs:element name="globalIdentifier" type="xs:string"/>
```

```
</xs:all>
```

```
</xs:complexType>
```

CatalogItem
name :string
description :string
listPrice :set of Money
sku :string
globalIdentifier :string

# Class: type with attribute

Product
photoURL : uriReference units : UnitOfMeasure

```
<xs:element name="Product" type="ProductT"/>  
<xs:complexType name = "ProductT">  
  <xs:attribute ref="photoURL" use="required"/>  
  <xs:attribute ref="units" use="required"/>  
</xs:complexType>
```

# Attribute vs. Element

Which  
definition style?



```
<karta>
  <item portzio-tamaina="250 mL">
    <izena>Arabar Errioxa</izena>
  </item>
  <item portzio-tamaina="500 gr">
    <izena>tortila pintxoa</izena>
  </item>
</karta>
```

```
<karta>
  <item portzio-tamaina="250" portzio-unitatea="mL">
    <izena>Arabar Errioxa</izena>
  </item>
  <item portzio-tamaina="500" portzio-unitatea="gr">
    <izena>tortila pintxoa</izena>
  </item>
</karta>
```

```
<karta>
  <item>
    <portzioa unitatea="mL">250</portzioa>
    <izena>Arabar Errioxa</izena>
  </item>
  <item>
    <portzioa unitatea="gr">500</portzioa>
    <izena>tortila pintxoa</izena>
  </item>
</karta>
```

# Attribute vs. Element. Guidelines

## ➤ Guide: syntactic restrictions

- Element
  - has structure
  - can be repeated
  - is not “normalized”
- Attribute
  - is atomic
  - cannot be repeated
  - is usually “normalized”



# Attribute vs. Element. Guidelines (2)

## ➤ Guide of data vs. meta-data

- Element

- data
- nuclear part of the document

- Attribute

- meta-data
- helps to understand, process, classify the document (e.g. author, creationDate, identifier..)

# Attribute vs. Element. Guidelines (3)

## ➤ Guide: **Target audience**

- **Element**

- If thought for person consumption

- **Attribute**

- If thought for processor consumption (e.g. URL, images, IDs, ...)

# Attribute vs. Element. Guidelines (4)

## ➤ Guide: **existence of qualifiers**

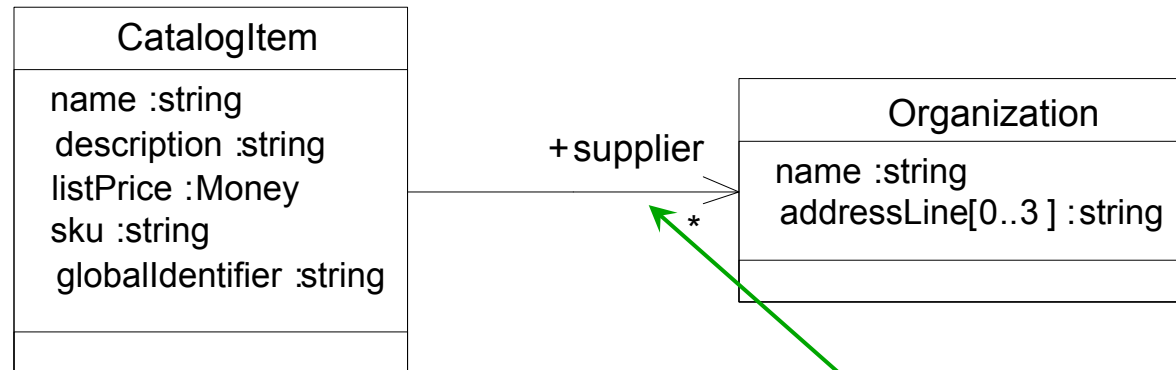
- **Element**

- If there are other elements that qualify or describe it

- **Attribute**

- If it is a qualifier or descriptor (e.g. measure)

# Association: element



```

<xs:complexType name="CatalogItemT" >
  <xs:all>

```

```

    ...
    <xs:element name="supplier">
      <xs:complexType>
        <xs:sequence>
          <xs:element ref="Organization" maxOccurs="unbounded" minOccurs="0" />
        </xs:sequence>
      </xs:complexType>
    </xs:element>

```

```

  </xs:all>

```

```

</xs:complexType>

```

```

<xs:complexType name="OrganizationT"> ... </xs:complexType>

```

```

<xs:element name="Organization" type="OrganizationT">

```

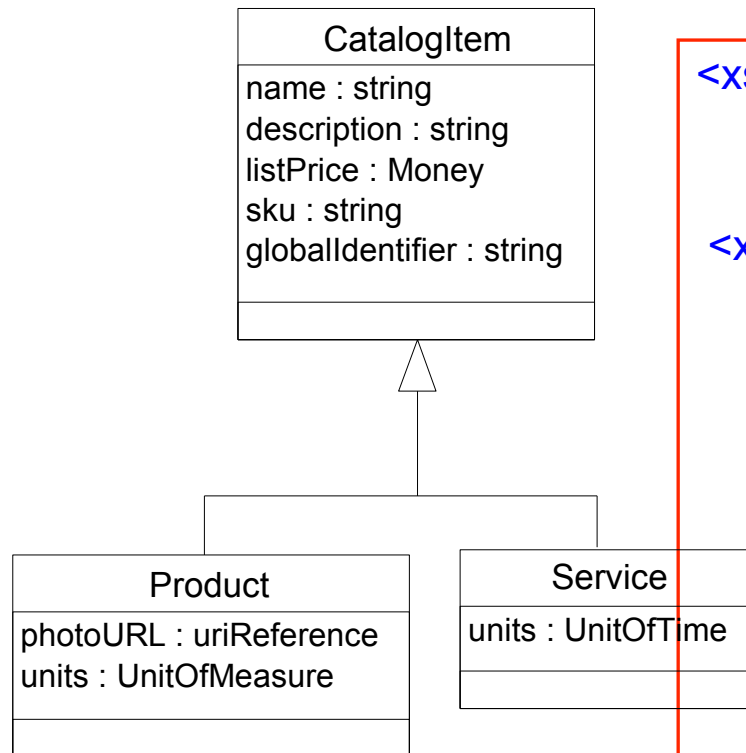
```

<xs:element name="CatalogItem" type="CatalogItemT">

```

**Guarantees the cardinality  
of the association**

# Specialization → substitutionGroup



```
<xs:element name="CatalogItem" type="CatalogItemT" />
<xs:complexType name="CatalogItemT"> ...</xs:complexType>
```

```
<xs:element name="Product" type="ProductT"
    substitutionGroup="CatalogItem" />
```

```
<xs:complexType name="ProductT">
  <xs:complexContent>
    <xs:extension base="CatalogItemT">
      <xs:all>
        <xs:element name="photoURL"
          type="xs:uriReference"/>
        <xs:element name="units"
          type="unitsOfMeasure"/>
      </xs:all>
    </xs:extension>
  </xs:complexContent>
</xs:complexType>
```

```
<xs:element name="Service" type="ServiceT"
    substitutionGroup="CatalogItem" />
```

```
<xs:complexType name="ServiceT"> ...</xs:complexType>
```