### **Fair Division**

Cake Cutting Algorithms: Be Fair if You Can

Iniyan Joseph University of Texas at Dallas

### Overview I

- 1. Introduction to Fair Division
- 2. Cut and Choose
- **3.** Fair Division for *n* 
  - 3.1 Banach-Knaster Last Diminisher
  - 3.2 Dubins-Spanier Moving Knife
  - 3.3 Even-Paz Divide and Conquer

#### 4. Other Fair and Envy-Free Schemes

- 4.1 Stromquist Envy-Free Moving Knife
- 4.2 Austin's Perfect Division for n=2
- 4.3 Aziz-Mackenzie Envy-Free Procedure

### 5. Paper Reading

- 5.1 Intentions
- 5.2 Structure
- 5.3 Illustrated Guide to PhD Matt Might

#### 6. Unequal Division

### **Overview II**

- 6.1 Anecdote
- 6.2 Naïve Method
- 6.3 Cutting Ones
- 6.4 Ramsey
- 6.5 Halving

### 7. Disagreement

### 8. Other Interpretations

- 8.1 Strong Fair Division
- 8.2 Classes of Fair Division

### 9. Graph Theory

- 9.1 Bipartite Matching
- 9.2 Pareto Optimality

## Meeting 1

### Agenda

- Introduction
- Fair Division for n Players
  - Banach Knaster
  - Dubins Spanier
  - Even Paz

### Introduction

Imagine two people want to share this cake.



### Introduction

- The cake is complicated
- The two people may value different parts of the cake differently

### Introduction

- The cake is complicated
- The two people may value different parts of the cake differently
- Can we come up with an algorithm where both people are happy?

## **Cut and Choose**

- 1. Player 1 cuts the cake into what they believe is half
- 2. Player 2 chooses the piece which they think is better

### **Proof of Correctness**

- Player 1 recieves  $\frac{1}{2}$  of the cake
- Player 1 values Player 2's allocation to also be worth  $\frac{1}{2}$

### **Proof of Correctness**

- Player 1 recieves  $\frac{1}{2}$  of the cake
- Player 1 values Player 2's allocation to also be worth  $\frac{1}{2}$
- Player 2 recieved the piece which they thought was better
- Player 2 must value their piece to be at least  $\frac{1}{2}$  of the cake

## Banach-Knaster Last Diminisher

- 1. Player 1 cuts  $\frac{1}{n}$  of the cake
- 2. Player 2 through n
  - If they believe the piece is worth  $> \frac{1}{n}$  of the cake, they may trim it
  - If they believe the piece is worth  $\leq \frac{1}{n}$  of the cake, they may pass it to the next person

- 1. Player 1 cuts  $\frac{1}{n}$  of the cake
- 2. Player 2 through n
  - If they believe the piece is worth  $> \frac{1}{n}$  of the cake, they may trim it
  - If they believe the piece is worth  $\leq \frac{\hat{1}}{n}$  of the cake, they may pass it to the next person
- 3. The last person to trim the piece recieves it and drops out

- 1. Player 1 cuts  $\frac{1}{n}$  of the cake
- 2. Player 2 through n
  - If they believe the piece is worth  $> \frac{1}{n}$  of the cake, they may trim it
  - If they believe the piece is worth  $\leq \frac{1}{n}$  of the cake, they may pass it to the next person
- 3. The last person to trim the piece recieves it and drops out
- 4. Repeat until no players remain

### **Proof of Correctness**

- Cutting a piece to be  $> \frac{1}{n}$  can cause further division to be limited to  $< \frac{1}{n}$  of the cake
- This is most easily seen with an extreme example

### **Proof of Correctness**

- Cutting a piece to be  $> \frac{1}{n}$  can cause further division to be limited to  $< \frac{1}{n}$  of the cake
- This is most easily seen with an extreme example
  - 1. Person 1 cuts 98% of the cake, with the goal of taking it for themselves.
  - 2. After passing the cake around, the last diminisher has only cut the piece down to 97% of the value of the cake
  - 3. Person 1 now cannot receive more than 3% of the cake.

## **Dubins-Spanier Moving Knife**

- Rather than having many cuts, a "moving knife" can be used to allocate chunks of cake.
  - 1. A knife moves over the cake continuously from one side to the opposite side (for example from left to right)
  - 2. When a person thinks that the portion remaining from the starting side/previous cut is worth  $\frac{1}{n}$ , then they may say "Cut", and they will take the portion on the left side.

### **Proof of Correctness**

• The same person who said "Cut" at any given point would have been the last diminisher in in the Banach-Knaster Last Diminisher Method.

### **Proof of Correctness**

- The same person who said "Cut" at any given point would have been the last diminisher in in the Banach-Knaster Last Diminisher Method.
- On a surface level, this seems to take n-1 cuts, but this is incorrect. Instead, it takes an infinite number of cuts perpendicular to the direction of movement.

## **Even-Paz Divide and Conquer**

- 1. Players 1...n-1 cut the cake in half
- 2. Player n compares the cake to the left and to the right of middle cut and chooses the piece which they think is bigger.

- 1. Players 1...n-1 cut the cake in half
- 2. Player n compares the cake to the left and to the right of middle cut and chooses the piece which they think is bigger.
- 3. Player n and the players on the side n chose repeat the procedure on that side
- 4. The remaining players repeat the procedure on the other side

## Meeting 2

#### Agenda

- Stromquist Envy-Free Moving Knife
- Austin's Perfect Division for n=2
- Aziz-Mackenzie Envy-Free Procedure

# Stromquist Envy Free Moving Knife

## Austin's Perfect Division for n=2

### **Defining Perfect Division**

Perfect Division is the allocation where

$$\forall_i V_i(A_i) = \frac{1}{n}$$

No bounded algorithm exists for perfect division

- 1. Knife moves from left to right
- 2. A player may call stop

- 1. Knife moves from left to right
- 2. A player may call stop
- 3. A second knife is placed on the left edge
- 4. Both knives move parallely
- 5. The other player calls stop

- 1. Knife moves from left to right
- 2. A player may call stop
- 3. A second knife is placed on the left edge
- 4. Both knives move parallely
- 5. The other player calls stop
- 6. Assign pieces arbitrarily

# Aziz-Mackenzie Envy-Free Procedure

### Aziz-Mackenzie Envy-Free Procedure for n

https://youtu.be/fvM8ow6zNw4?si=AGrOGF7vSZSGt4QK&t=711

## Meeting 3

#### Agenda

- HOWTO: Reading Papers
- Unequal Division Naïvely
- Cutting into 1-sized parts
- Ramsey Partitions
- Halving

Next Week: Finish Chapter 3 & Chapter 4

### **Reading Papers**

Let's be honest Most papers are dryer than the Sahara Desert

### **Reading Papers**

Let's be honest Most papers are dryer than the Sahara Desert

So why read papers?

• Understanding the current research better

- Understanding the current research better
- To gain background knowledge

- Understanding the current research better
- To gain background knowledge
- To find interesting questions to work on

- Understanding the current research better
- To gain background knowledge
- To find interesting questions to work on
- Because the professor said so

- Understanding the current research better
- To gain background knowledge
- To find interesting questions to work on
- Because the professor said so

Fundamentally, we are learning: But what are we trying to learn?

But what are we trying to learn?

But what are we trying to learn? Our goal when reading a paper is to contextualize that paper's findings in the field.

28 / 53

Thankfully, scientists are aware of this, so they write about it.

Thankfully, scientists are aware of this, so they write about it.

Parts of a Paper

• Title & Authors

Thankfully, scientists are aware of this, so they write about it.

- Title & Authors
- Abstract

Thankfully, scientists are aware of this, so they write about it.

- Title & Authors
- Abstract
- Introduction

Thankfully, scientists are aware of this, so they write about it.

- Title & Authors
- Abstract
- Introduction
- Related Works

Thankfully, scientists are aware of this, so they write about it.

- Title & Authors
- Abstract
- Introduction
- Related Works
- Content

Thankfully, scientists are aware of this, so they write about it.

- Title & Authors
- Abstract
- Introduction
- Related Works
- Content
- Discussion/Conclusion

#### **PhD**

```
https://matt.might.net/articles/phd-school-in-pictures/
IllustratedGuidePhD-Matt-Might.pdf
```

# **Dividing Camels**



First son gets  $\frac{1}{2}$ . Second son gets  $\frac{1}{3}$ . Third son gets  $\frac{1}{9}$ 

#### Naïve Method

• Duplicate each player proportional to their ratio.

#### Naïve Method

- Duplicate each player proportional to their ratio.
- We will say that
  - Player 1 recieves A<sub>1</sub> allocation
  - Player 2 recieves A<sub>2</sub> allocation

#### Naïve Method

- Duplicate each player proportional to their ratio.
- We will say that
  - Player 1 recieves A<sub>1</sub> allocation
  - Player 2 recieves A<sub>2</sub> allocation
- Using the Even-Paz method,  $\theta((\sum A)\log(\sum A))$  cuts are required.
- We can do better!

# **Cutting Ones**

• Similar to the cut-and-choose algorithm

### **Cutting Ones**

- Similar to the cut-and-choose algorithm
- Player 1 divides the cake into 1-sized parts
- Player 2 chooses  $A_2$  of the 1-sized parts

• Ramsey theory is simply the study of edge colorings of complete graphs

- Ramsey theory is simply the study of edge colorings of complete graphs
- We can observe this finding R(3,3)

- Ramsey theory is simply the study of edge colorings of complete graphs
- We can observe this finding R(3,3)
- The same property seen in Ramsey Theory can also be used to help partition

- Assume  $A_1 < A_2$
- Player 1 cuts what they percieve to be  $A_1$  of the cake.
- The smaller piece can be called  $X_1$  and the larger piece can be called  $X_2$
- If  $\mu_2(X_2) < A_2$  (If the person thinks they got less than they were supposed to)
- Player 2 takes  $X_1$  and division continues in the ratio  $A_2-A_1:A_1$  until no cake remains

- This can be simplified using ramsey partitions
- Person 1 cuts the Ramsey Partitions of  $\sum A$
- Person 2 marks all pieces  $\mu_2(X_i) > \mu_1(X_i)$  (Everything they are willing to accept)

- This can be simplified using ramsey partitions
- ullet Person 1 cuts the Ramsey Partitions of  $\sum A$
- Person 2 marks all pieces  $\mu_2(X_i) > \mu_1(X_i)$  (Everything they are willing to accept)
  - 1. If the sum of a subset of the marked pieces =  $A_2$ , we can give those pieces to Player 2 and give the rest to Player 1

- This can be simplified using ramsey partitions
- Person 1 cuts the Ramsey Partitions of  $\sum A$
- Person 2 marks all pieces  $\mu_2(X_j) > \mu_1(X_j)$  (Everything they are willing to accept)
  - 1. If the sum of a subset of the marked pieces =  $A_2$ , we can give those pieces to Player 2 and give the rest to Player 1
  - 2. Otherwise, Player 1 may choose pieces summing to  $A_1$  of the unmarked pieces.
  - 3. This works because of the Ramsey Partitioning!

## Meeting 4

#### Agenda

- Review
- Halving
- The Serendipity of Disagreement
- Summer

• Why were ramsey partitions more effective than Cutting Ones?

- Why were ramsey partitions more effective than Cutting Ones?
- They allow us to allocate more of the cake at once

- Why were ramsey partitions more effective than Cutting Ones?
- They allow us to allocate more of the cake at once
- We can get rid of more of the cake at once by cutting closer to  $\frac{1}{2}$  of the cake at once.

• Take the example of dividing 13 with a 8:5 ratio

- Take the example of dividing 13 with a 8:5 ratio
- Let's draw the tree

- Take the example of dividing 13 with a 8:5 ratio
- Let's draw the tree
- It can be shown that this is at least as good as Ramsey Partitioning.

#### Disagreement

- Sometimes it may feel as if differences of opinion cause conflict
- But through the existence of envy-free division, we can see this may actually lead to more social good

### Disagreement

- Each person divides the cake into n parts (n-1 lines)
- Each person can receive a piece of cake which they think is at least  $\frac{1}{n}$

### Disagreement

- Each person divides the cake into n parts (n-1 lines)
- Each person can receive a piece of cake which they think is at least  $\frac{1}{n}$
- If at least 1 person disagrees, there will be a way to allocate with excess

## Meeting 5

#### Agenda

- The Serendipity of Disagreement
- Strong Fair Division
- Classes of Fair Division

• All players think they got more than  $\frac{1}{n}$  of the cake

- All players think they got more than  $\frac{1}{n}$  of the cake
- It is clear to see that there may not always exist a strongly fair allocation

- All players think they got more than  $\frac{1}{n}$  of the cake
- It is clear to see that there may not always exist a strongly fair allocation
- If  $\exists_{i,j}\mu_i \neq \mu_i$ , we can always create a strongly fair allocation

This is the value of disagreement!

- Imagine a piece of cake  $A \subseteq X$
- If  $\mu_1(A) > \mu_2(A)$ , we may continue

- Imagine a piece of cake  $A \subseteq X$
- If  $\mu_1(A) > \mu_2(A)$ , we may continue
  - Let  $\mu_1(A) = a$
  - Let  $\mu_2(A) = b$
- Let X A = B

- p < q since  $\frac{p}{q} < 1$
- $1 b > 1 \frac{p}{q} = \frac{q p}{q}$

- p < q since  $\frac{p}{q} < 1$
- $1 b > 1 \frac{p}{q} = \frac{q p}{q}$
- Player 1 cuts A into p equal parts, each with value  $> \frac{1}{q}$
- Player 2 cuts B into q-p equal parts, each with value  $>\frac{1}{q}$

- p < q since  $\frac{p}{q} < 1$
- $1 b > 1 \frac{p}{q} = \frac{q p}{q}$
- Player 1 cuts A into p equal parts, each with value  $> \frac{1}{q}$
- Player 2 cuts B into q-p equal parts, each with value  $>\frac{1}{q}$
- ullet They each disagreed with the other person initially  $\Longrightarrow$
- $\exists_i \ \mu_2(A_i) < \frac{1}{q} \land \exists_j \ \mu_1(B_j) < \frac{1}{q}$ 
  - Each of them think the other person got  $< \frac{1}{q}$  for some piece

- p < q since  $\frac{p}{q} < 1$
- $1 b > 1 \frac{p}{q} = \frac{q p}{q}$
- Player 1 cuts A into p equal parts, each with value  $> \frac{1}{q}$
- Player 2 cuts B into q-p equal parts, each with value  $>\frac{1}{q}$
- ullet They each disagreed with the other person initially  $\Longrightarrow$
- $\exists_i \ \mu_2(A_i) < \frac{1}{q} \land \exists_j \ \mu_1(B_j) < \frac{1}{q}$ 
  - Each of them think the other person got  $<\frac{1}{q}$  for some piece
- Cut and choose all other piece of cake  $(X A_i B_i)$
- Give  $A_i$  to 1 and  $B_j$  to 2

- p < q since  $\frac{p}{q} < 1$
- $1 b > 1 \frac{p}{q} = \frac{q p}{q}$
- Player 1 cuts A into p equal parts, each with value  $> \frac{1}{q}$
- Player 2 cuts B into q-p equal parts, each with value  $>\frac{1}{q}$
- ullet They each disagreed with the other person initially  $\Longrightarrow$
- $\exists_i \ \mu_2(A_i) < \frac{1}{q} \land \exists_j \ \mu_1(B_j) < \frac{1}{q}$ 
  - Each of them think the other person got  $<\frac{1}{q}$  for some piece
- Cut and choose all other piece of cake  $(X A_i B_i)$
- Give  $A_i$  to 1 and  $B_j$  to 2
- q-1 cuts

- We will take a bottom-up approach to adding a 3<sub>rd</sub> person
- Without loss of generality, we want  $\frac{2k-1}{3k-1}*\mu_1(X_1)>\frac{1}{3}$

- We will take a bottom-up approach to adding a  $3_{rd}$  person
- Without loss of generality, we want  $\frac{2k-1}{3k-1}*\mu_1(X_1)>\frac{1}{3}$
- Remember what we did for the bottom-up method: We cut the  $X_1$  into 3k-1 equal pieces
- Player 3 chooses k pieces

• 
$$1 - \frac{2k-1}{3k-1} = \frac{k}{3k-1}$$

- Player 1 must be content
- Player 3 must also be content

Let's look at the number of cuts needed -

- The number of cuts is dependent on k
- $\bullet \ \ \tfrac{1}{2} + \tfrac{\epsilon}{2} \quad \ 0 < \epsilon < 1$
- We must find k s.t  $\frac{(2k-1)}{2}*(1+\epsilon) > \frac{3k-1}{3}$
- $\epsilon(6k-1) > 1$
- k can grow to become quite large depending on  $\epsilon$ . Since we do not know  $\epsilon$  beforehand, we must choose a large number of cuts.

#### **Classes of Fair Division**

- Finite vs Infinite
  - Irrational Unequal Shares

#### Classes of Fair Division

- Finite vs Infinite
  - Irrational Unequal Shares
- Bounded vs Unbounded (Upper Bounding)

#### **Classes of Fair Division**

- Finite vs Infinite
  - Irrational Unequal Shares
- Bounded vs Unbounded (Upper Bounding)
- Continuous vs Discrete (Moving Knife?)

## Meeting 7

#### Agenda

- Motivations Indivisibility
- Bipartite Matching
- Pareto Optimality in cake

• What is a bipartite matching?

- What is a bipartite matching?
- Conditions for a matching to exist Hall's marriage theorem

- What is a bipartite matching?
- Conditions for a matching to exist Hall's marriage theorem
- Cake as a bipartite matching
  - What are the two sets?
  - When do we draw an edge?

- What is a bipartite matching?
- Conditions for a matching to exist Hall's marriage theorem
- Cake as a bipartite matching
  - What are the two sets?
  - When do we draw an edge?
  - The set of players and the set of cake
  - When a piece is acceptable to a person

- Envy Freeness
  - The value of the piece is at least as good as every other piece
  - $\mu_i(X_j) \geq \mu_i(X_k)$

- Envy Freeness
  - The value of the piece is at least as good as every other piece
  - $\mu_i(X_j) \geq \mu_i(X_k)$
- Fairness
  - The value of the piece is more than  $\frac{1}{n}$  of the cake
  - $\mu_i(X_j) \geq \frac{1}{n}$

- Checking for a Perfect Matching
  - Check all subsets to see if a perfect matching exists.
  - ullet For n players,  $2^n$  checks necessary when directly applying Hall's Marriage Theorem

## **Division with Bipartite Matching**

- Find the smallest subset for which a perfect matching can be found by Hall's Marriage Theorem (k pieces and k players)
- Have the remaining n-k players divide the remaining pieces of cake

• Someone would object in the case of a different allocation

- Someone would object in the case of a different allocation
- Find the permutation which maximizes the collective satisfaction
- *n*! permutations to brute force
- Maximum flow algorithm  $O((n+m)^{\epsilon})$

- Someone would object in the case of a different allocation
- Find the permutation which maximizes the collective satisfaction
- *n*! permutations to brute force
- Maximum flow algorithm  $O((n+m)^{\epsilon})$
- Greedy solutions

- Someone would object in the case of a different allocation
- Find the permutation which maximizes the collective satisfaction
- n! permutations to brute force
- Maximum flow algorithm  $O((n+m)^{\epsilon})$
- Greedy solutions
  - Repeatedly minimize worst disappointment
  - Repeatedly maximize most satisfaction