

# Augmented Reality

## Lecture 2

Manuela Chessa – [manuela.chessa@unige.it](mailto:manuela.chessa@unige.it)

Fabio Solari – [fabio.solari@unige.it](mailto:fabio.solari@unige.it)

# Credits

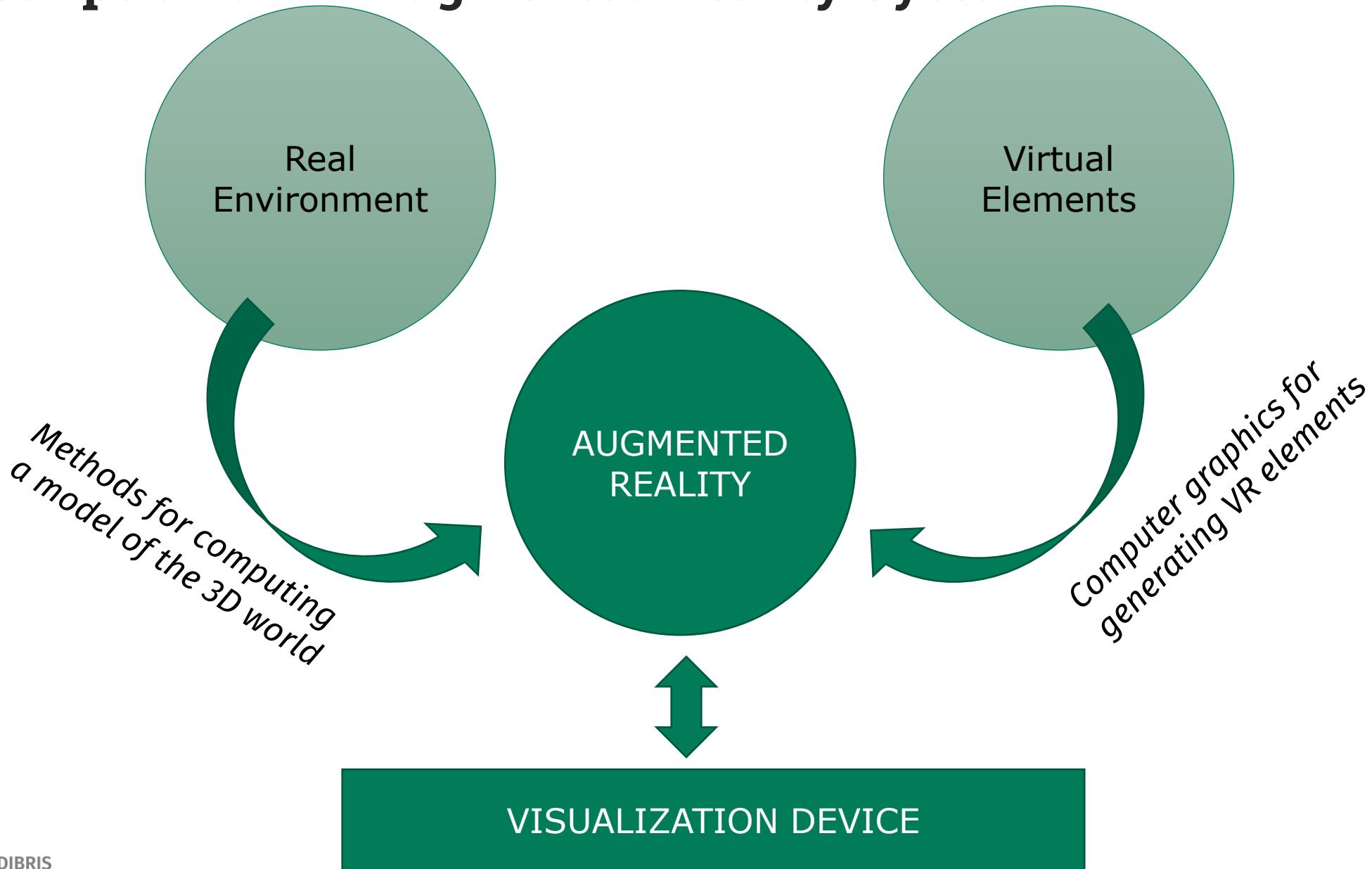
Augmented Reality: Principles and Practice  
(Usability) - Dieter Schmalstieg Tobias  
Hollerer

PRINCIPLES AND PRACTICE

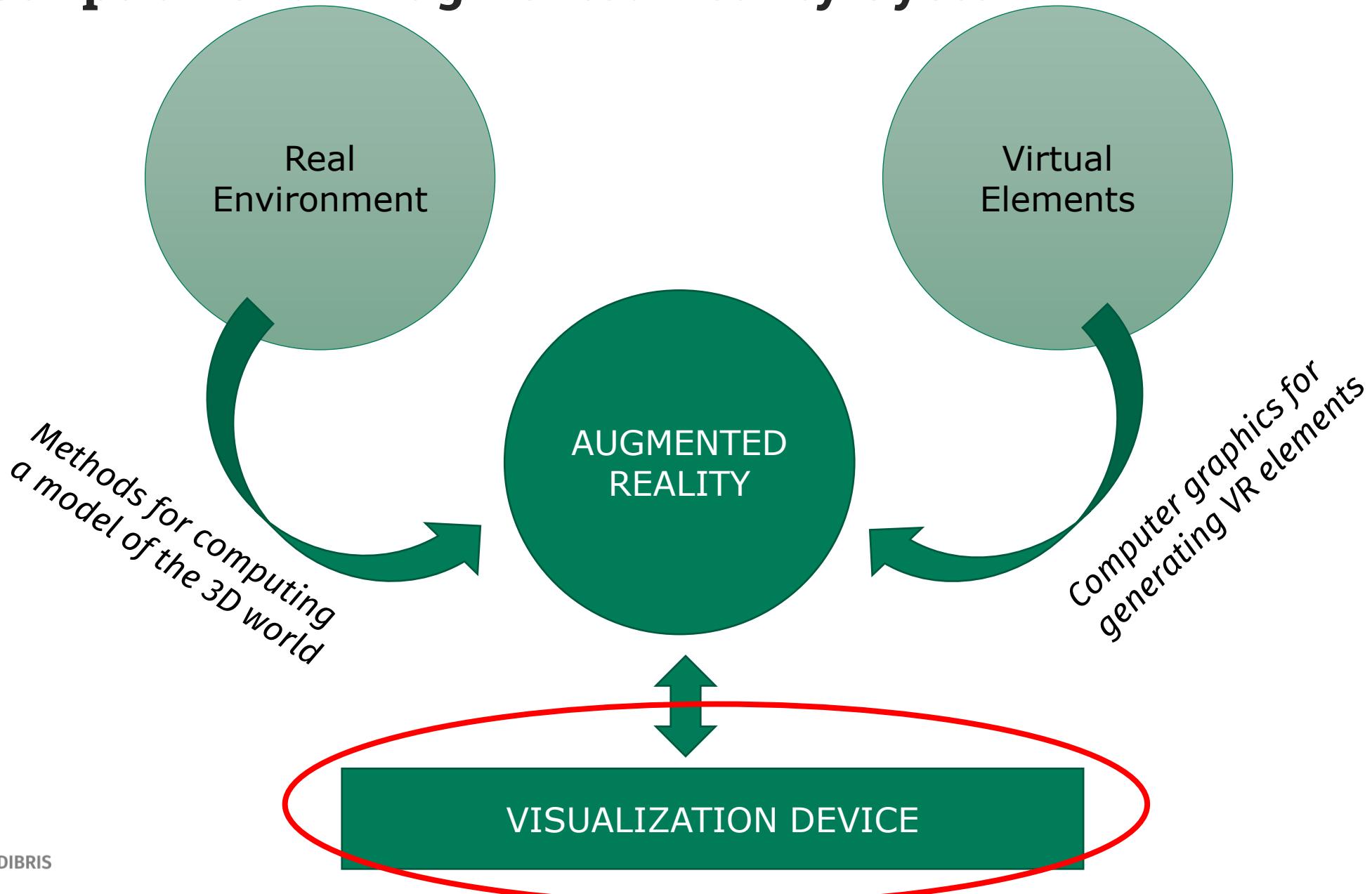


Dieter **SCHMALSTIEG**  
Tobias **HÖLLERER**

# Description of an Augmented Reality System



# Description of an Augmented Reality System





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# Visualization Devices

# AR devices: head up displays



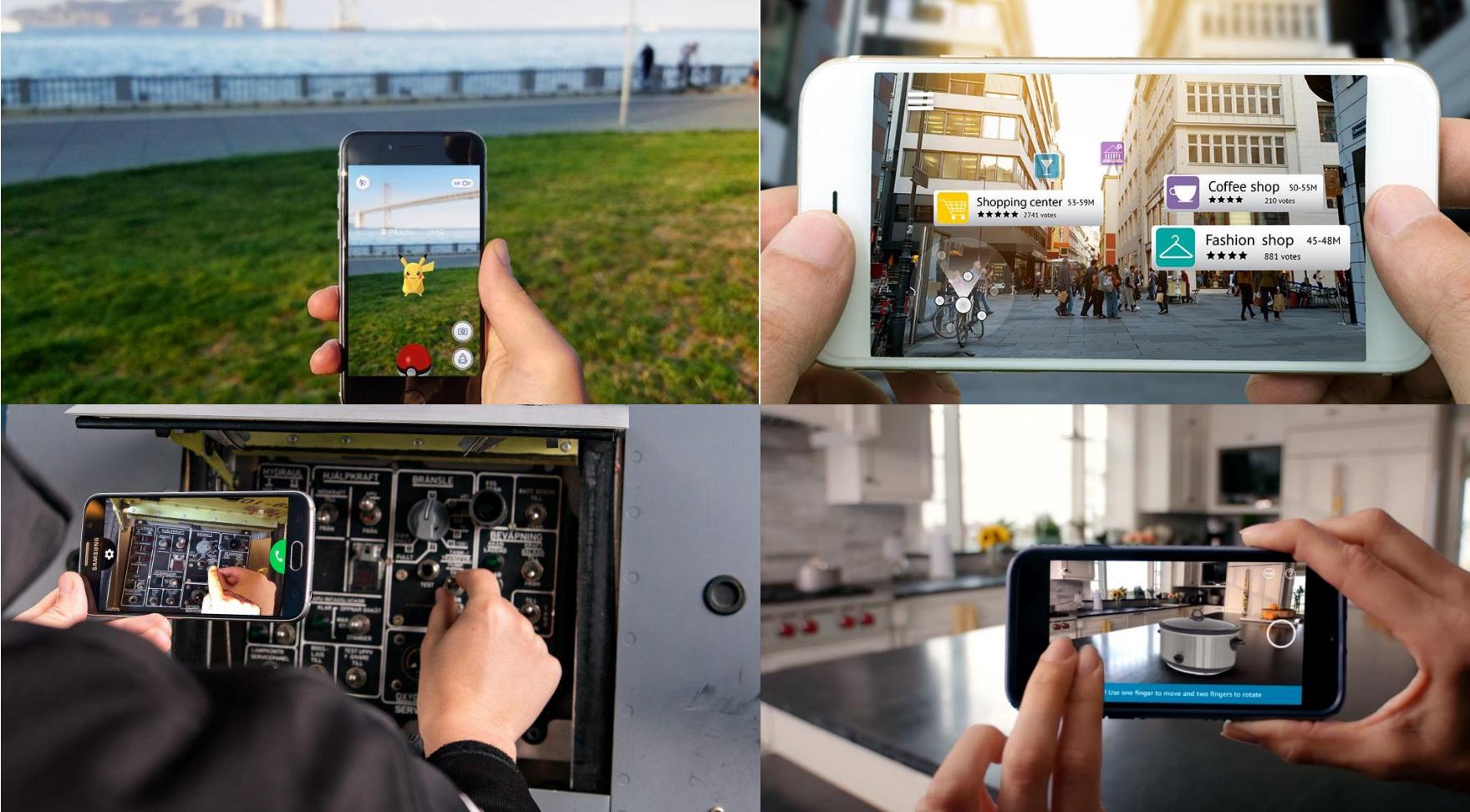
*Devices that are worn by the user*

# AR devices: smart glasses



Similar to head-up, usually simpler and lighter

# AR devices: handled/smartphone based



# AR devices: holographic displays



No device required by the user



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# AR Displays

# AR Displays

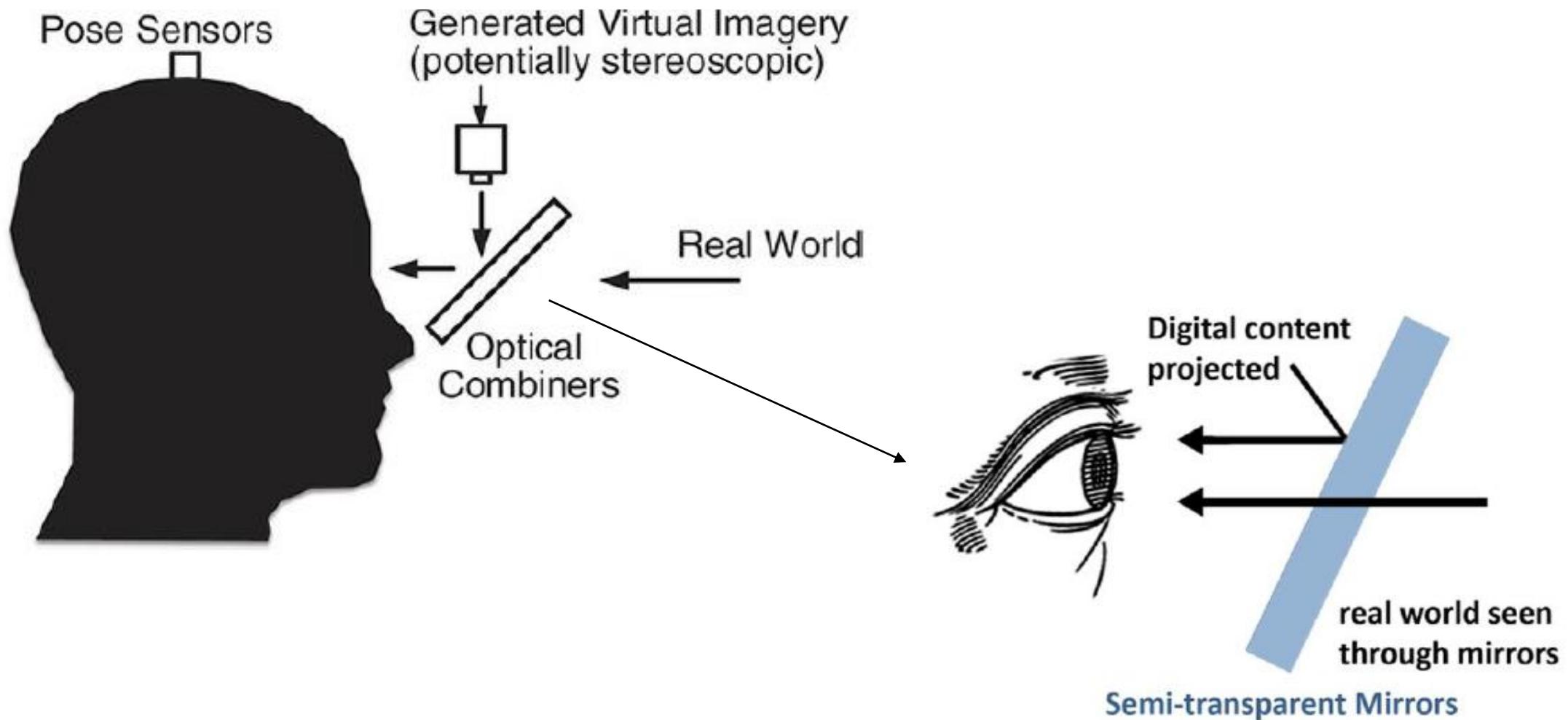
**Requirement: the real environment and the virtual environment need to be combined.**

1. When this combination of real and virtual content happens via a lens through which the user is viewing the environment, the result is described as a ***see-through*** display.
  - ***optical see-through display***
  - ***video see-through display***
2. If the ***augmentations*** are ***projected*** onto ***actual physical geometry*** (be it dummy placeholder objects or natural parts of the real world), the technology is described as ***spatial AR***, ***projection-based AR***, or ***spatial projection***.

# Optical see-through (OST) displays

- They rely on an **optical element** that is partially **transmissive** and partially **reflective** to achieve the combination of virtual and real.
- A half-silvered mirror is a simple example of it.
- The mirror lets a sufficient amount of light from the real-world pass through so that the **real world can be viewed directly**.
- A computer-generated display showing virtual images is placed overhead or to the side of the mirror, so that **the virtual images are reflected in the mirror** and overlaid on the real image.

# Optical see-through (OST) displays



# Optical see-through (OST) displays: examples



Microsoft HoloLens



Epson Moverio

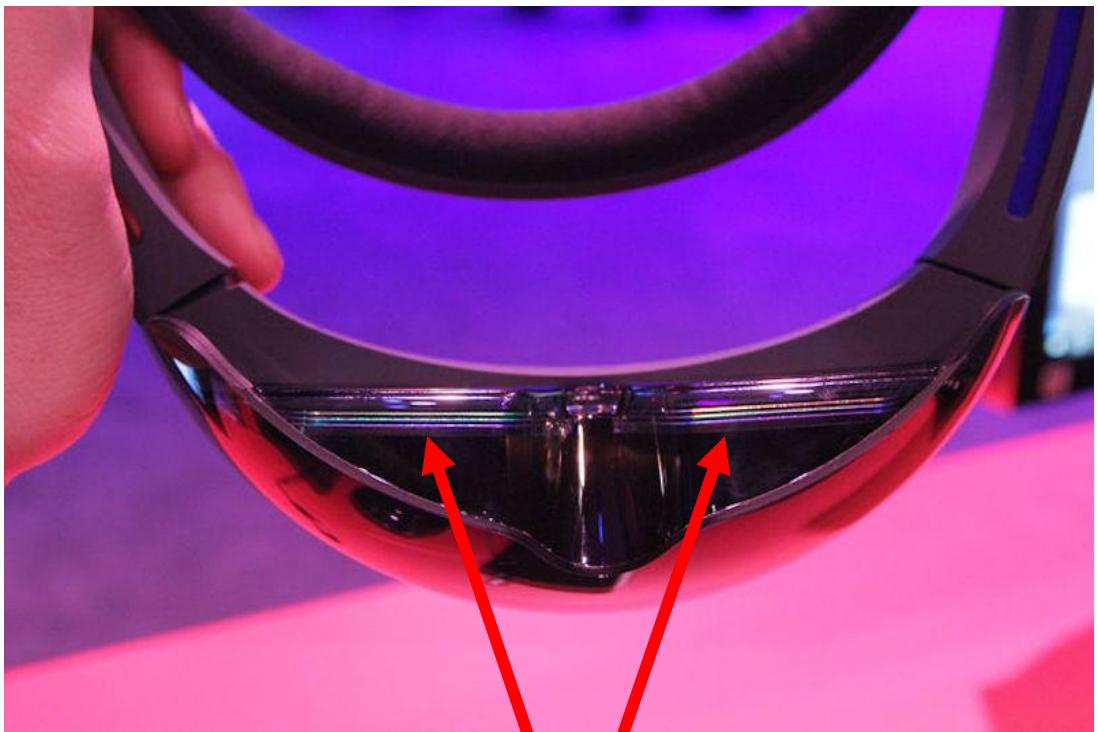


Meta Vision

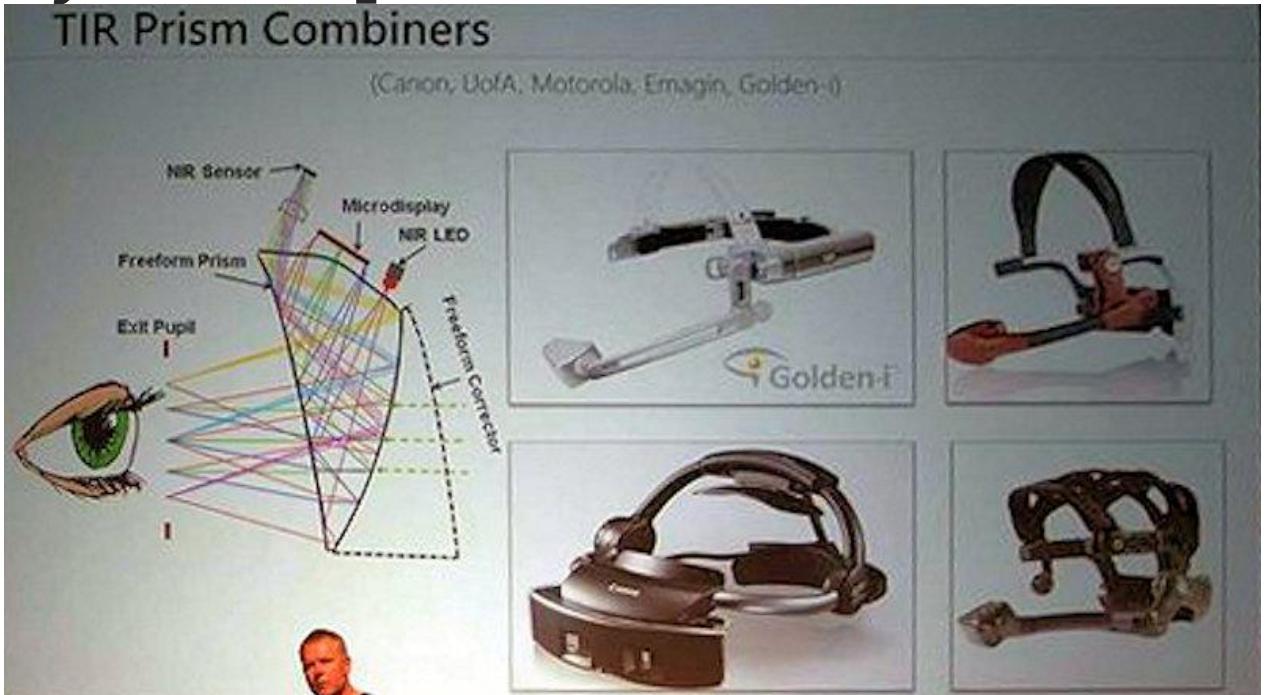


Vusix

# Optical see-through (OST) displays: example the HoloLens



DISPLAYS and semitransparent MIRROR



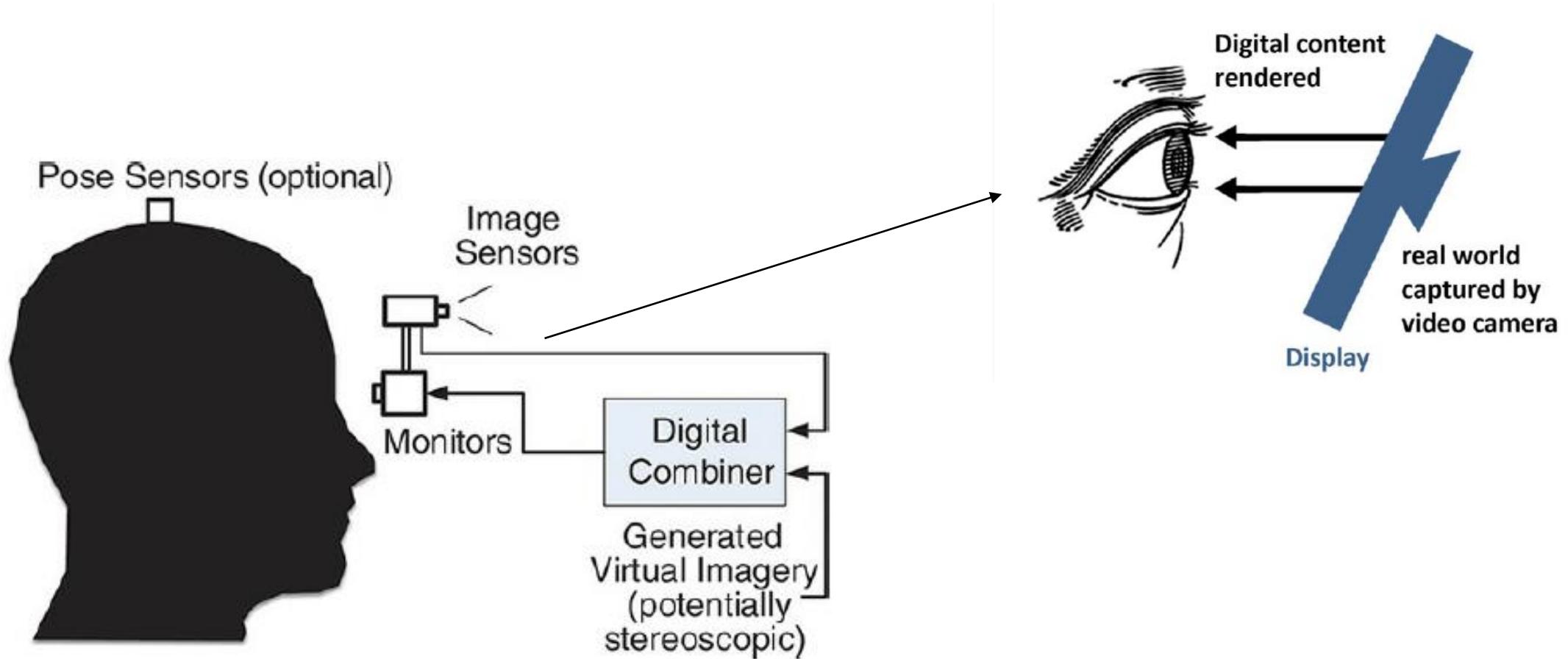
# Optical see-through (OST) displays: examples



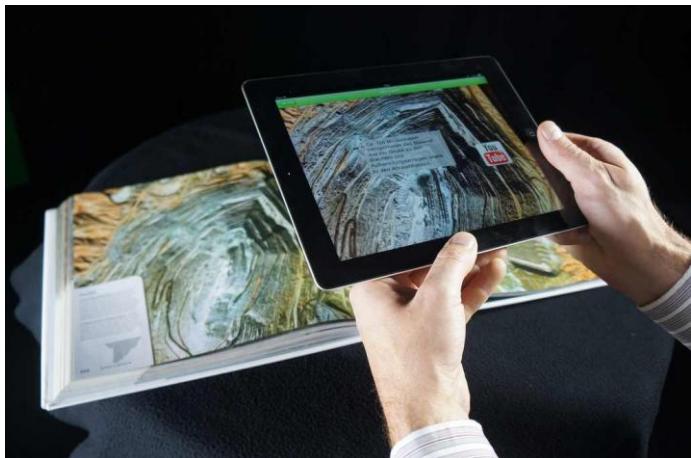
# Video see-through (VST) displays

- They achieve the **combination of virtual and real electronically**.
- A **digital video image of the real world** is captured through a video camera and transferred to the graphics processor.
- The graphics processor **combines the video image with the computer-generated images**, often by simply copying the video image into the frame buffer as a background image, with the computer-generated elements drawn on top.
- The **combined image** is then presented using a **conventional viewing display**.

# Video see-through (VST) displays



# Video see-through (VST) displays: examples



# Video see-through (VST) displays: examples (HMD)



Meta Quest 3



Apple Vision Pro

# Video see-through (VST) displays: examples (HMD)

HTC Vive

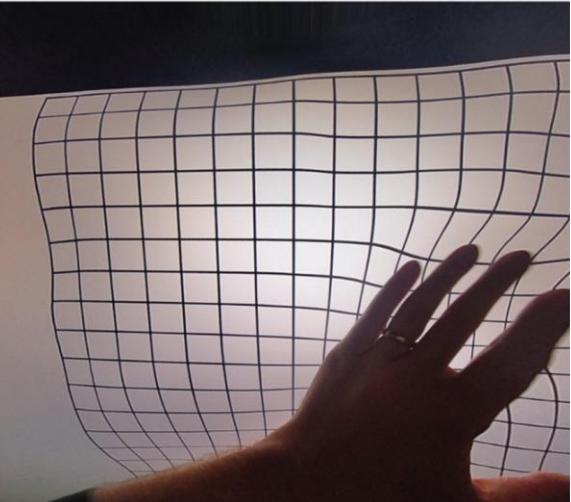


# Video see-through (VST) displays: examples



# Video see-through (VST) displays: examples (and issues)

Meta Quest 3



**Journal Article**  
*In Press, Technology, Mind and Behavior*

## Seeing the World through Digital Prisms: Psychological Implications of Passthrough Video Usage in Mixed Reality

**Authors:**

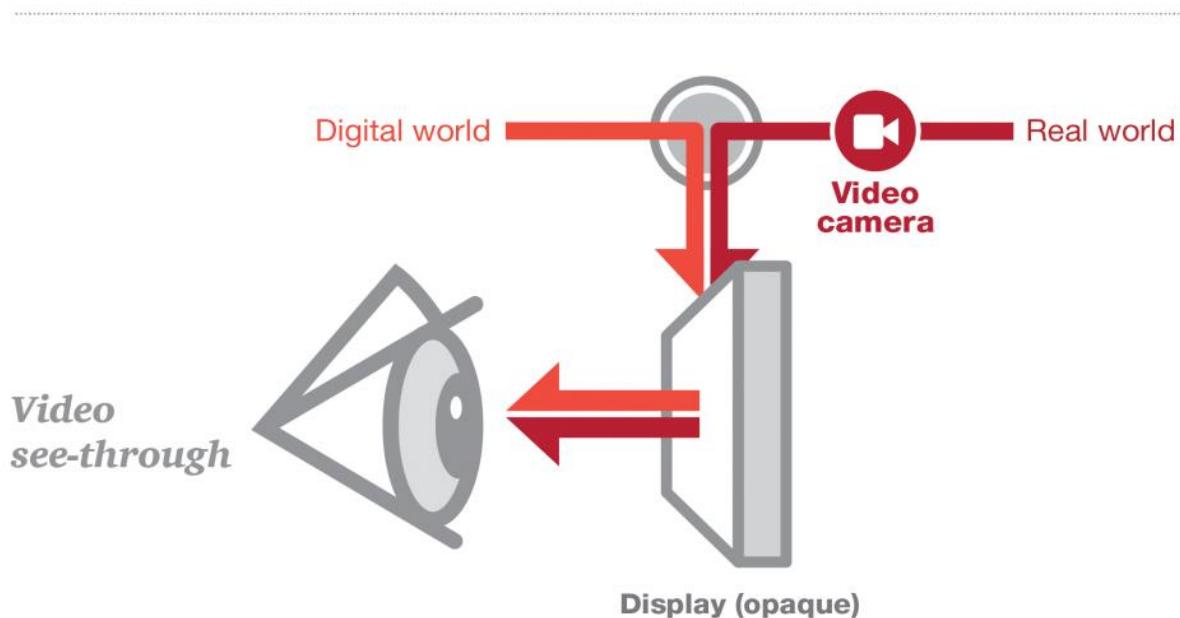
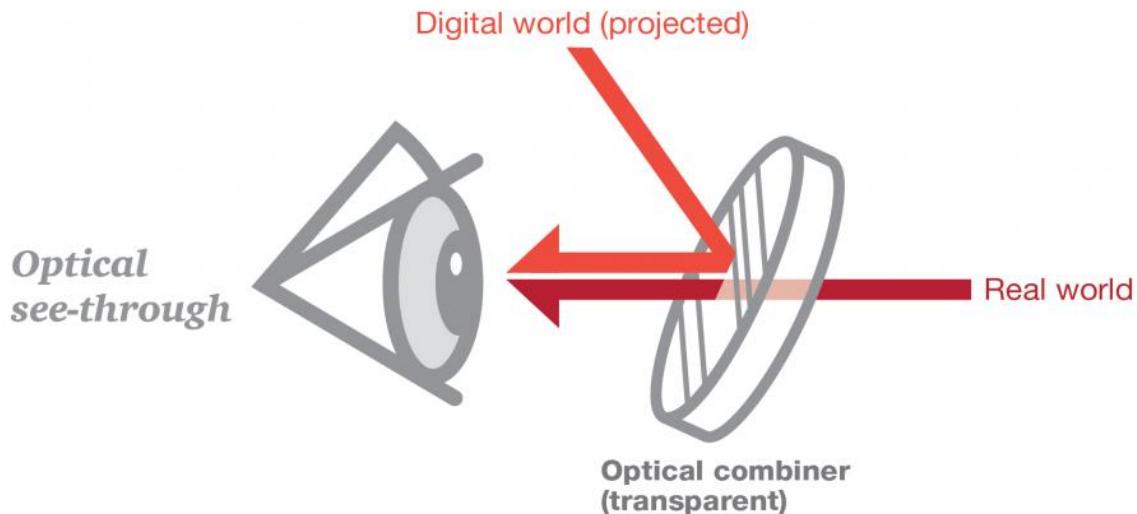
Jeremy N. Ballenson  
Brian Barnes  
James Brown  
Cyan DeWeese  
Eugy Han  
Anna C. M. Queiroz  
Rabindra Ratan  
Monique Santoso  
Tara Srinivasarajan  
Yajie Tao  
Pompa Wang

Video Companion Piece to Peer-Reviewed Journal Article

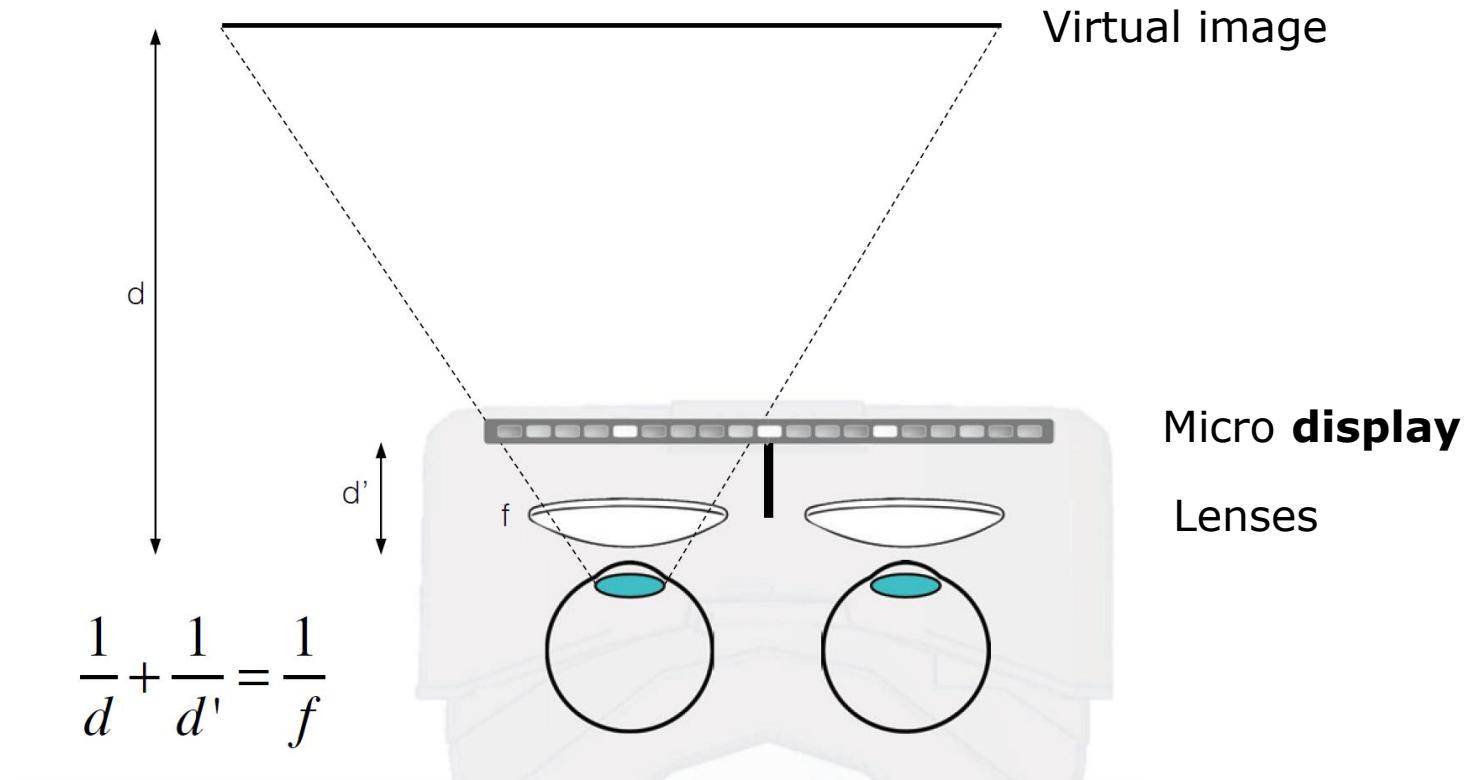
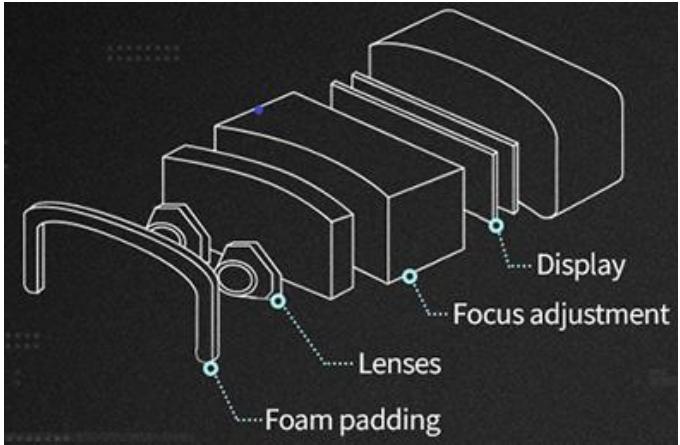


Figure 1: A sample of activities experienced through passthrough by the authors. (Coming a meal in strange due to distortion of the food [a], in public, people in the background seem less present [b], after 10-15 min such as pushing a button in an elevator become challenging [c]. A closely supervised author rides a bike while wearing the Meta Quest 3 [d]. Changing from bright to dark scenes are particularly jarring [e] and [f].)

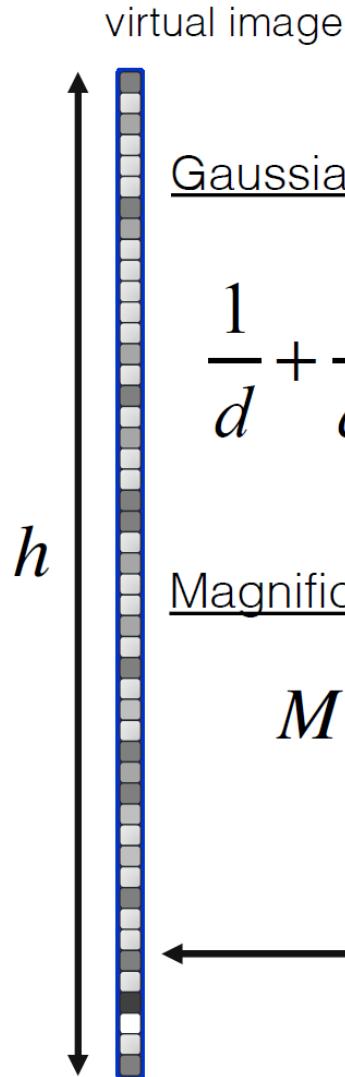
# OST and VST displays



# Virtual reality and VST displays



# Virtual reality and VST displays



## Image Formation

Gaussian thin lens formula:

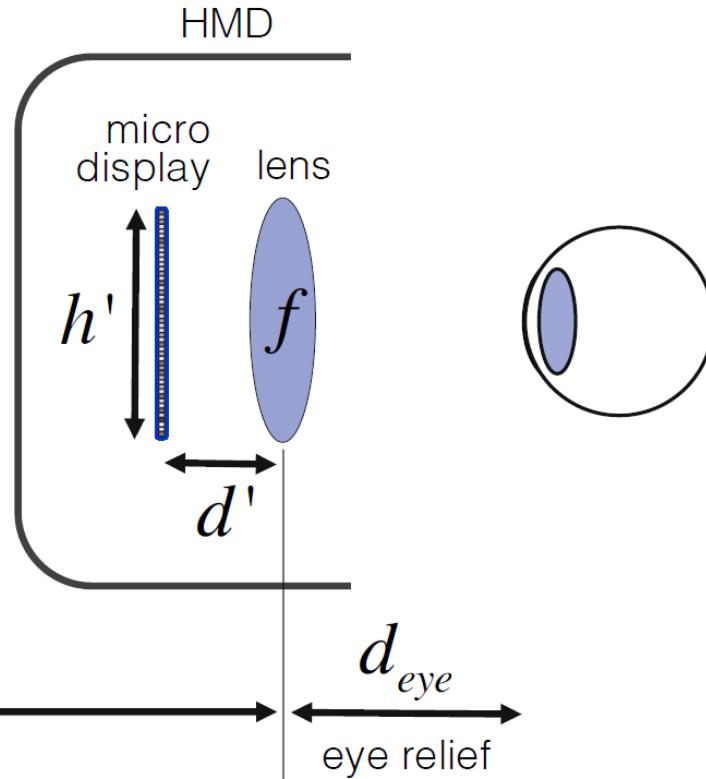
$$\frac{1}{d} + \frac{1}{d'} = \frac{1}{f} \Leftrightarrow d = \left| \frac{1}{\frac{1}{f} - \frac{1}{d'}} \right|$$

Magnification:

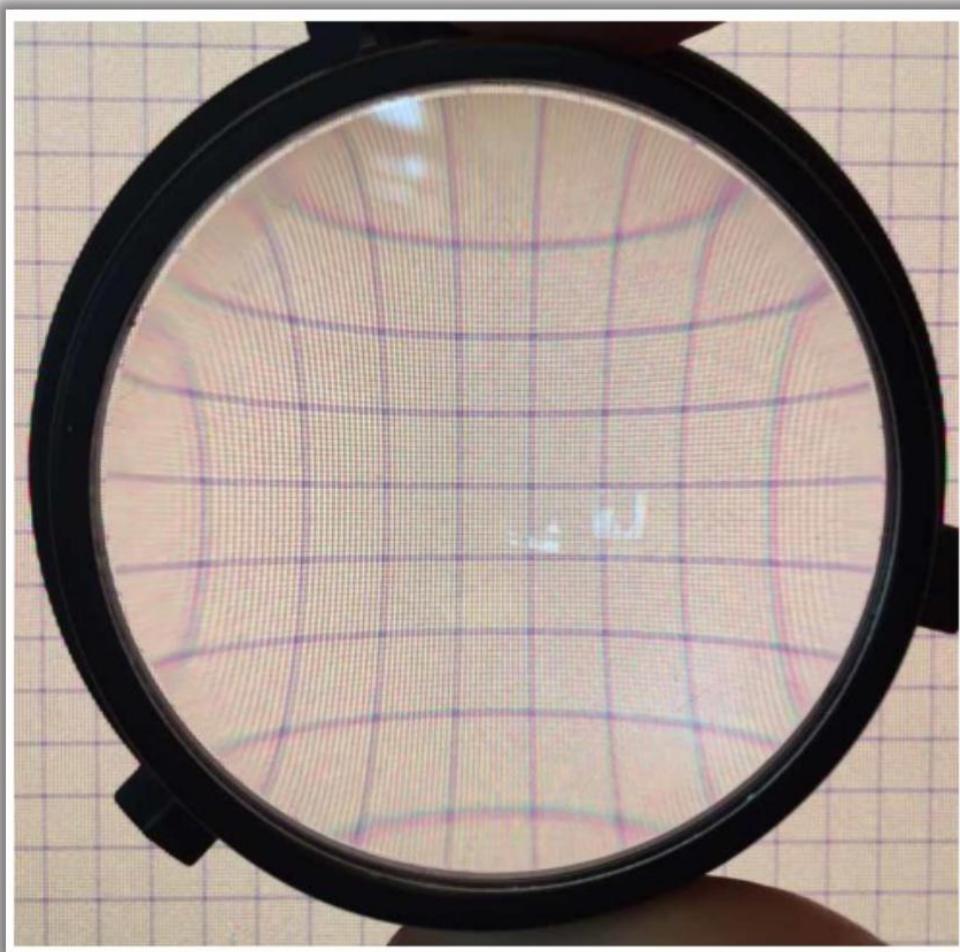
$$M = \frac{f}{f - d'} \Rightarrow h = Mh'$$

$d$

Side View



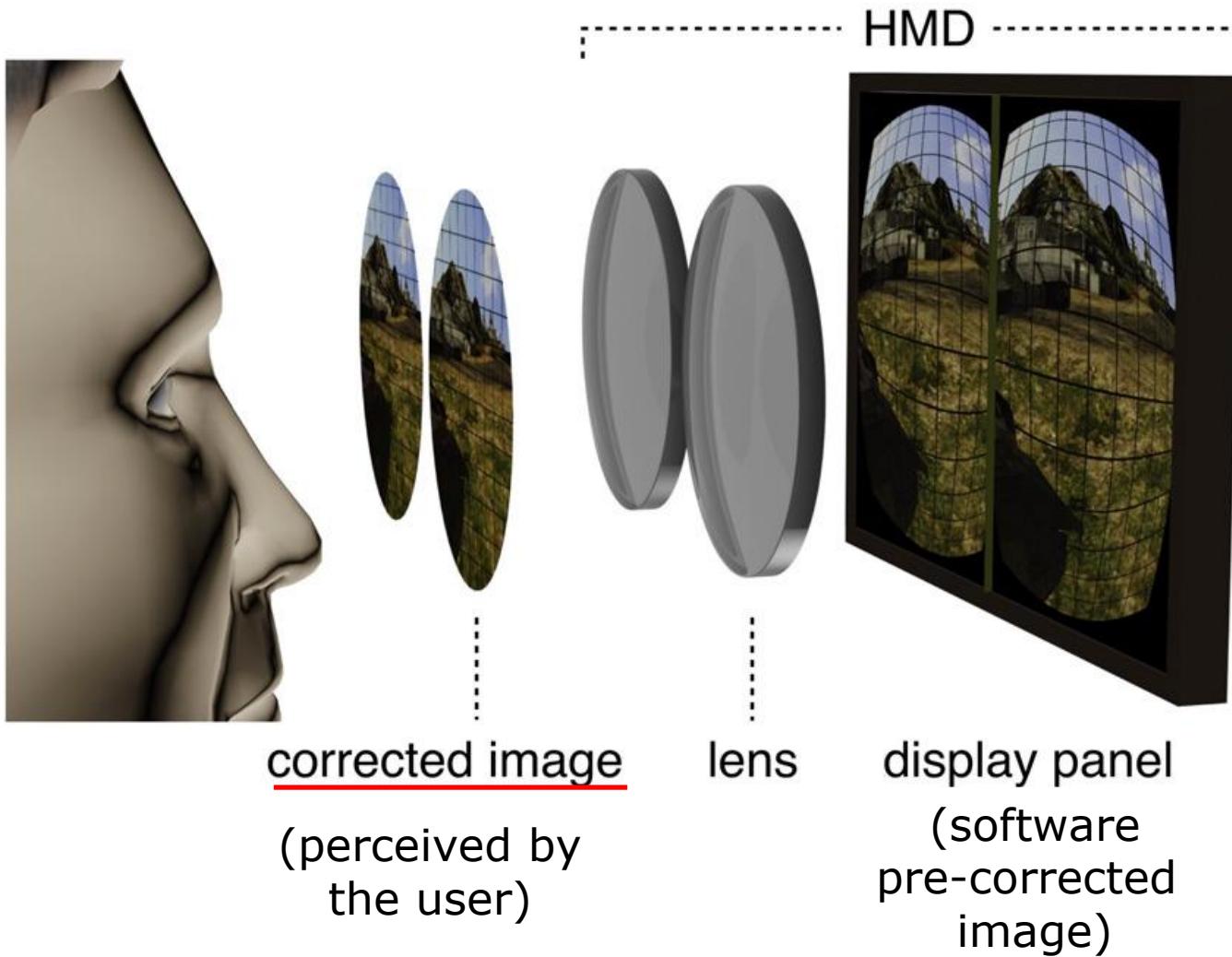
# Virtual reality and VST displays



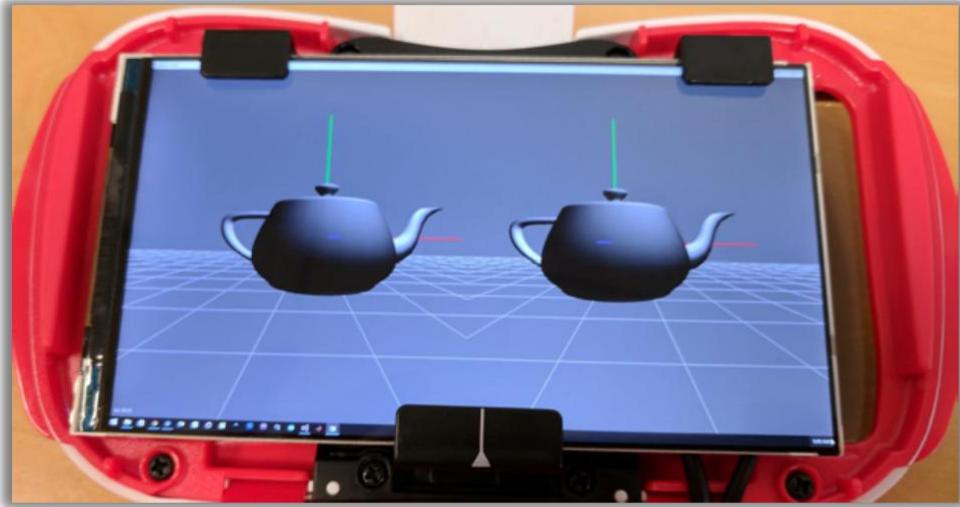
## Lens distortion

- grid seen through HMD lens
- lateral (xy) distortion of the image
- chromatic aberrations: distortion is wavelength dependent!

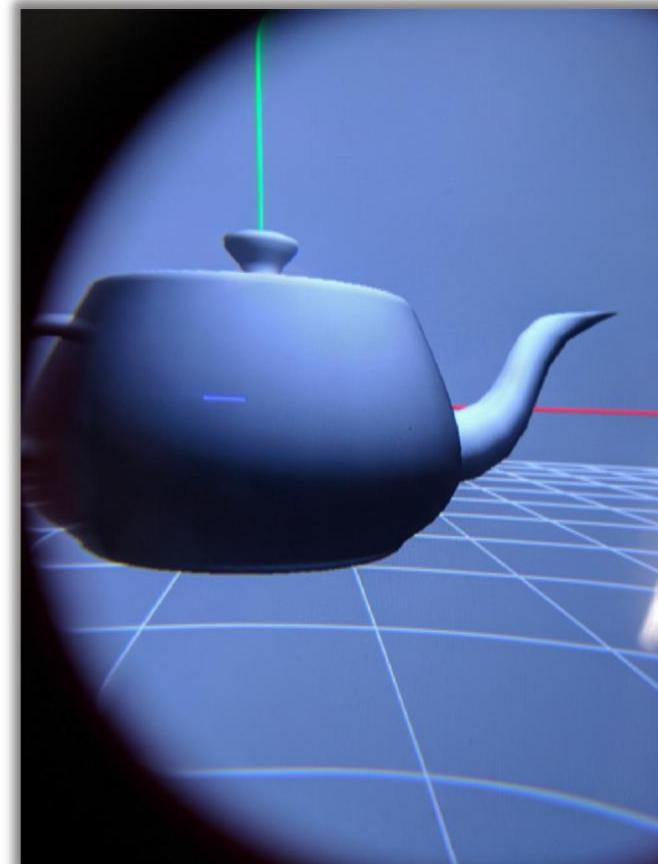
# Virtual reality and VST displays



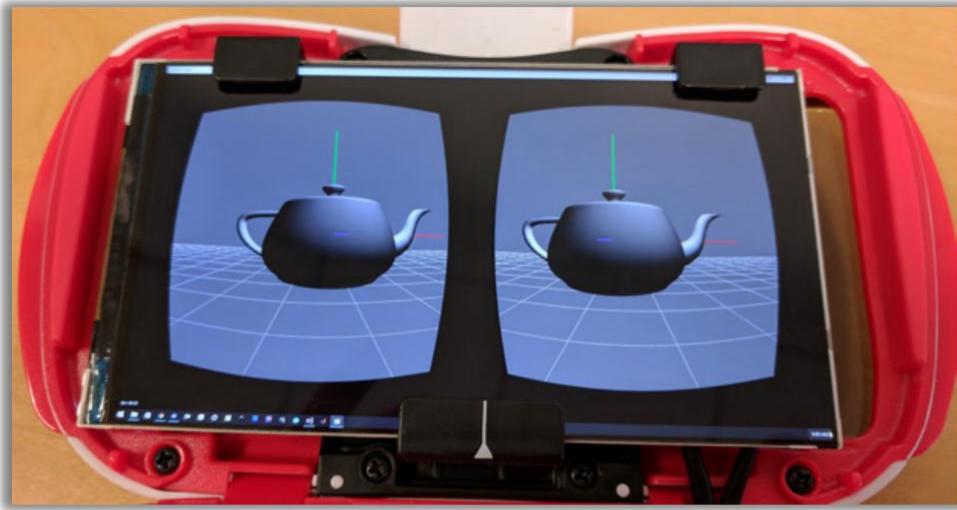
# Virtual reality and VST displays



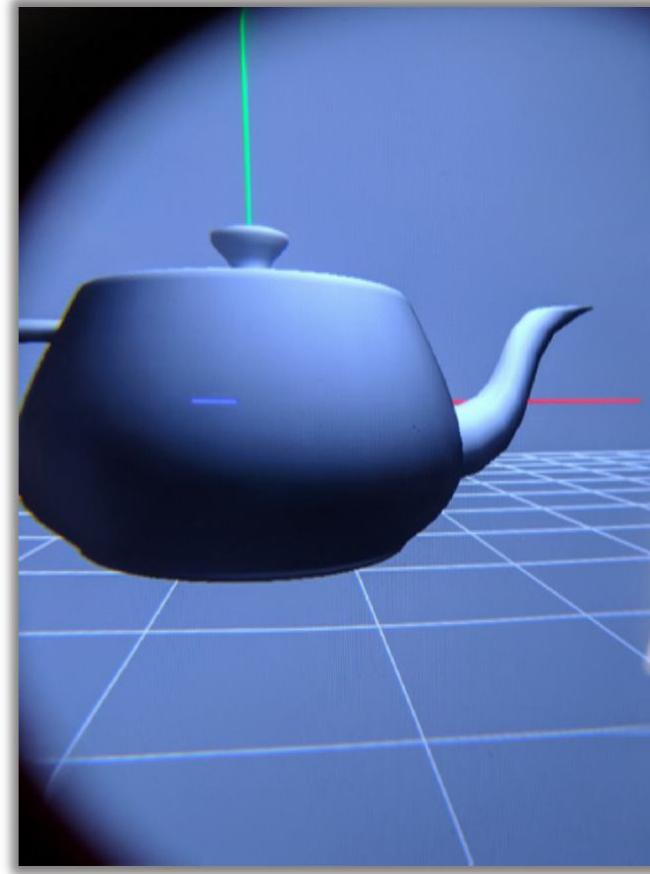
stereo rendering without lens  
distortion correction



# Virtual reality and VST displays



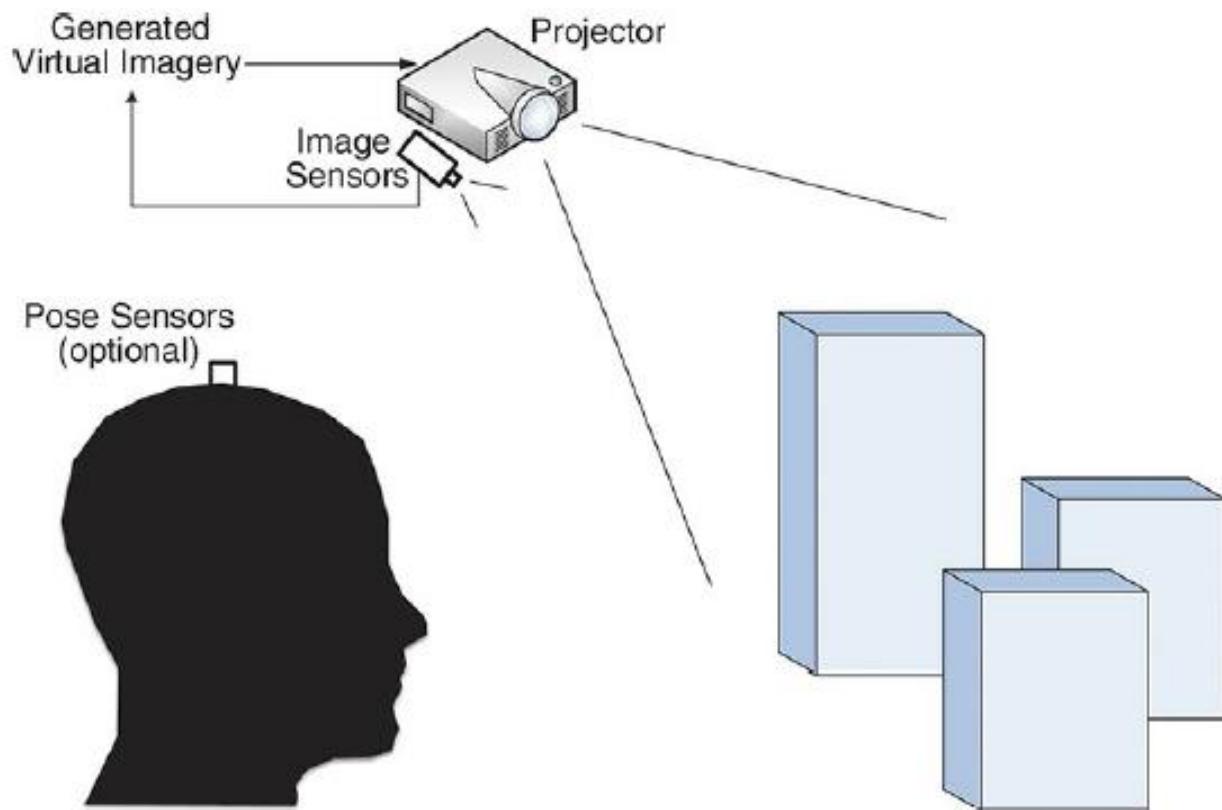
stereo rendering with lens  
distortion correction



# Spatial Projection (SAR)

- The **virtual part** of the AR display is generated by a **light projector**.
- Instead of using a special screen the **virtual image is projected directly on real-world objects**.
- This is also a form of **optical combination**, but here we do not need a separate optical combiner, and no electronic screen is involved.
- This **display paradigm** is an example of a **volumetric 3D display** for which *the points of light defining perceivable objects are physically distributed throughout a 3D volume*.

# Spatial Projection (SAR)



# SAR: examples

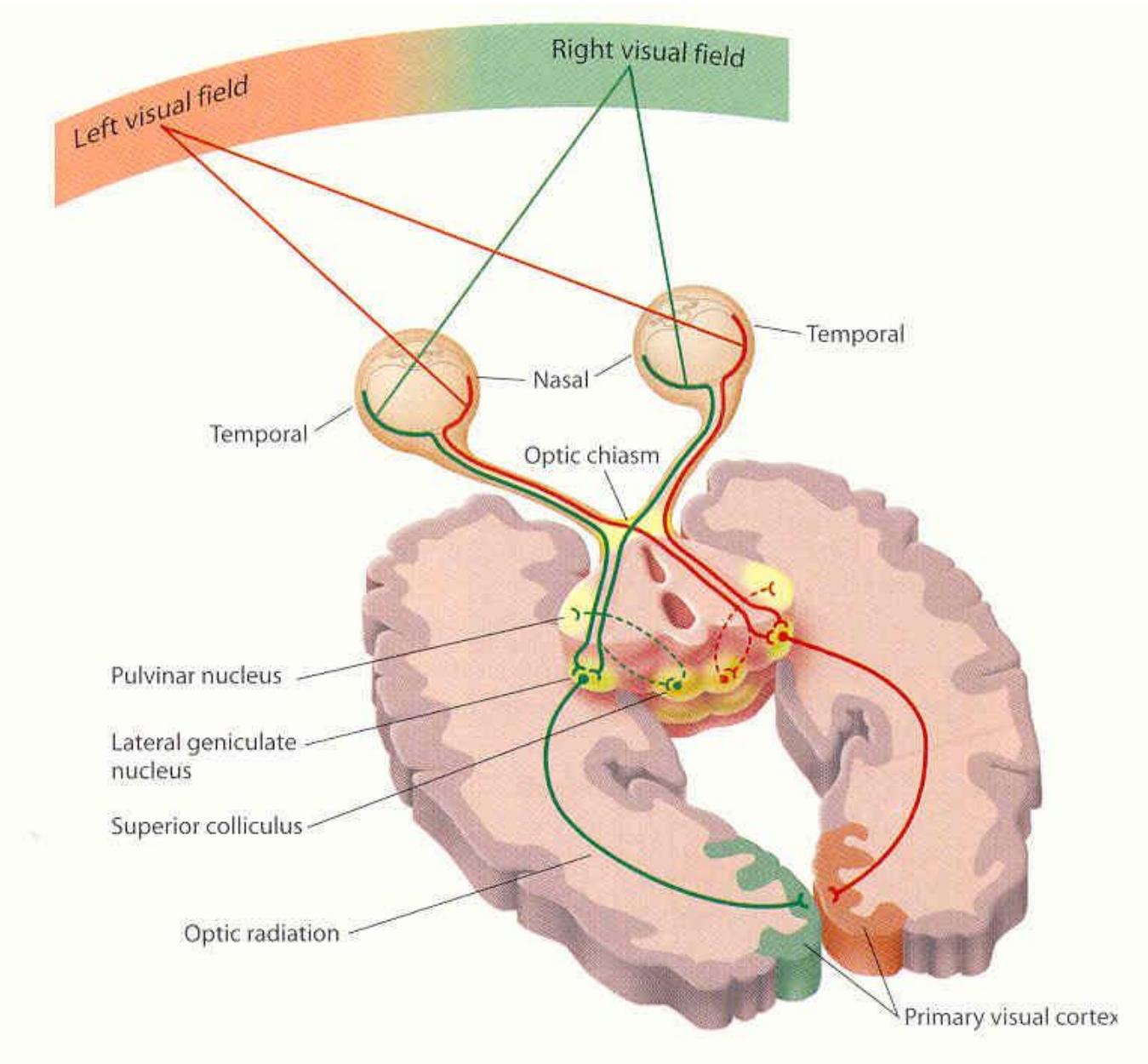


plus sound effects, lights, etc...



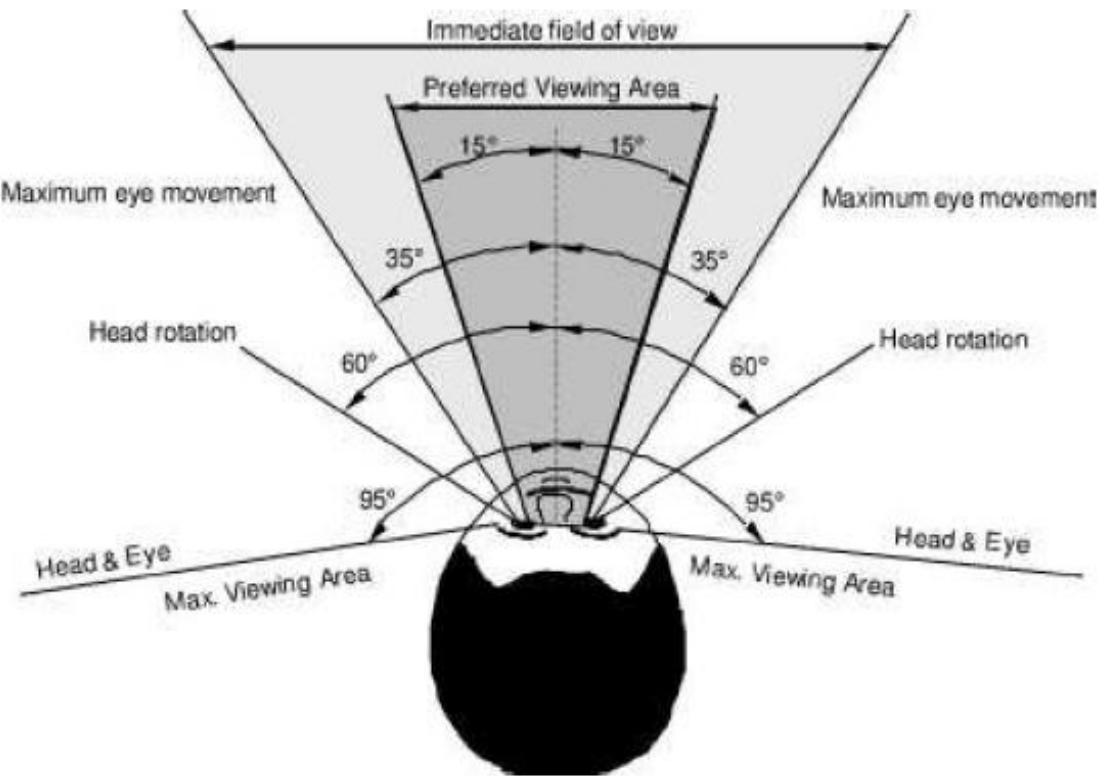
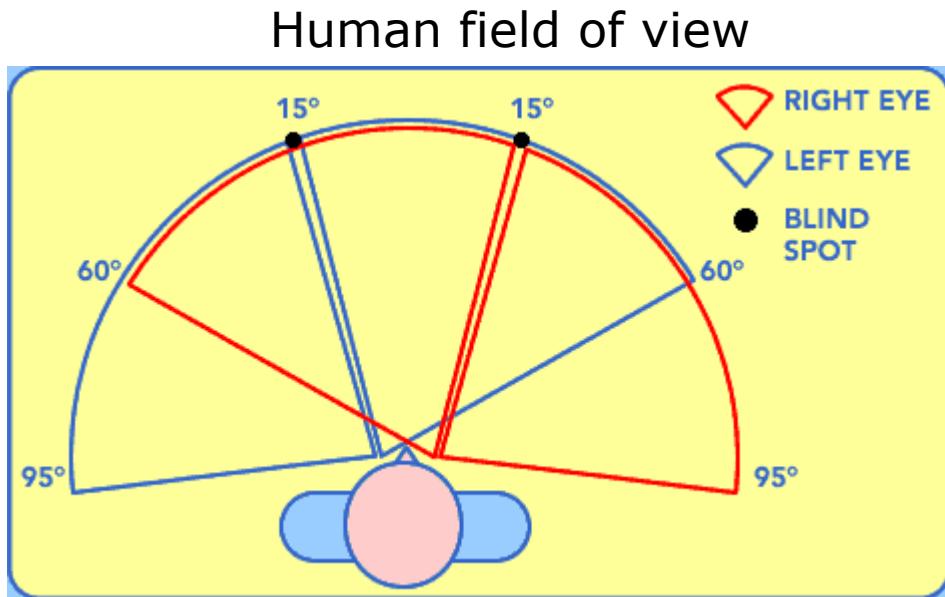
# AR devices and the human visual system

# Human visual system



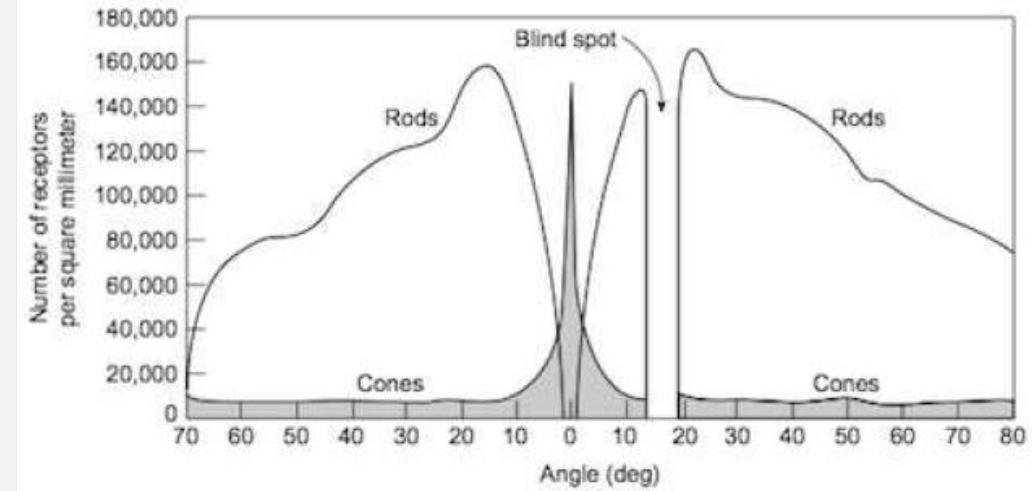
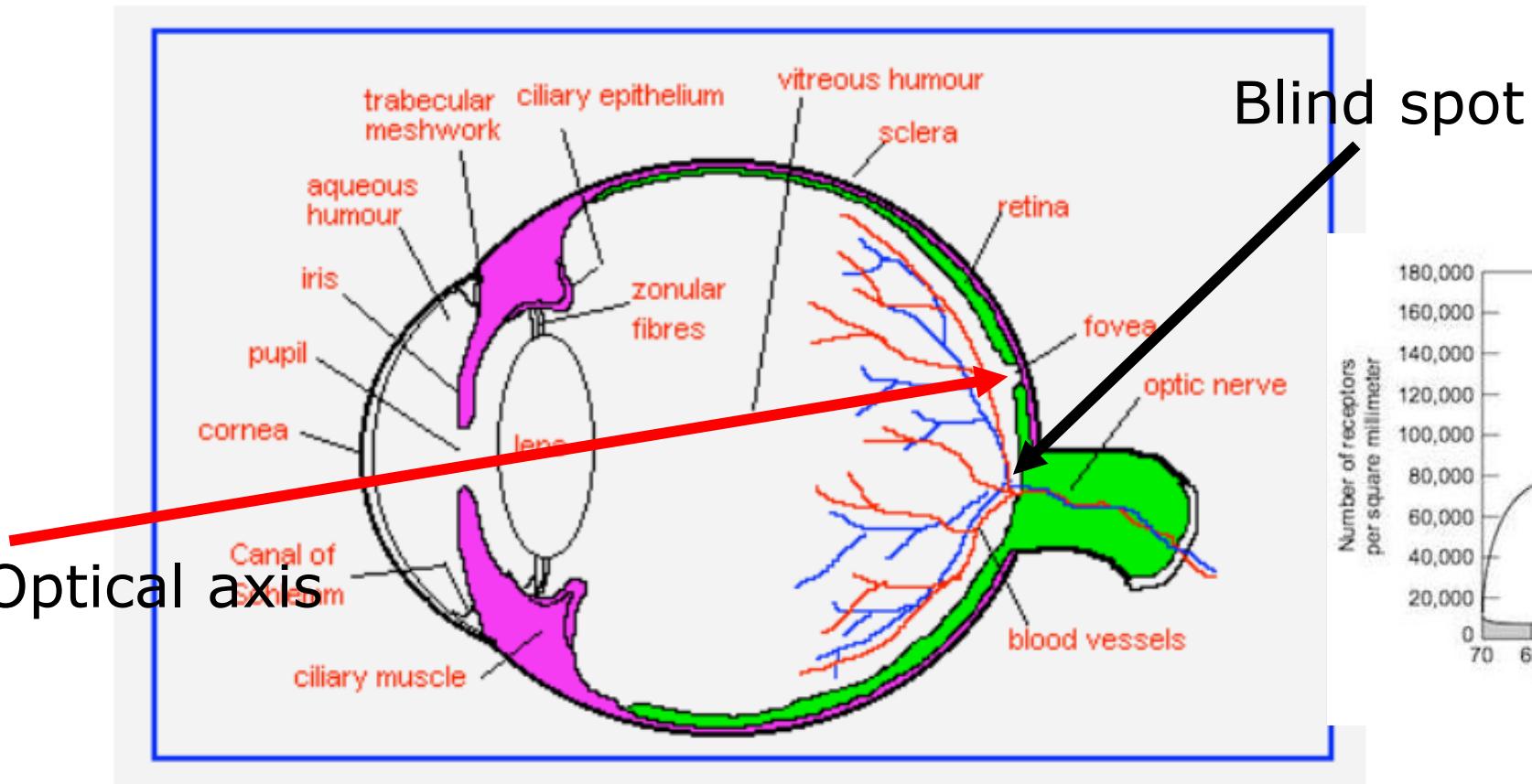
# Human visual system

The **human field of view (FoV)**, from both eyes combined, spans usually 200–220° horizontally



# Human visual system

- The **fovea** (i.e., the area of **best visual acuity**) covers only  $1\text{--}2^\circ$ , with acuity peaking in the center  $0.5\text{--}1^\circ$ .
- Outside the fovea, the **visual acuity falls off quickly** with increasing viewing angle.
- Humans compensate for this effect **by moving their eyes**: active vision



# AR devices and Human visual system

- The **fovea** (i.e., the area of **best visual acuity**) covers only  $1\text{--}2^\circ$ , with acuity peaking in the center  $0.5\text{--}1^\circ$ .
- Outside the fovea, the **visual acuity falls off quickly** with increasing viewing angle.
- Humans compensate for this effect **by moving their eyes**: active vision



The **perceived egocentric view** of the surrounding environment



The **standard device view** of the surrounding environment

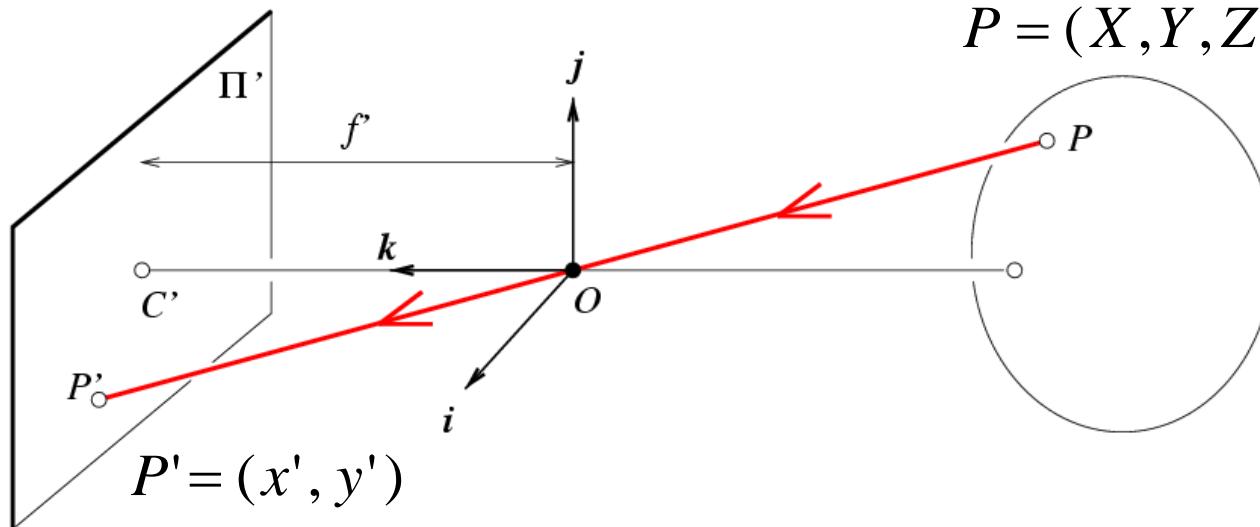
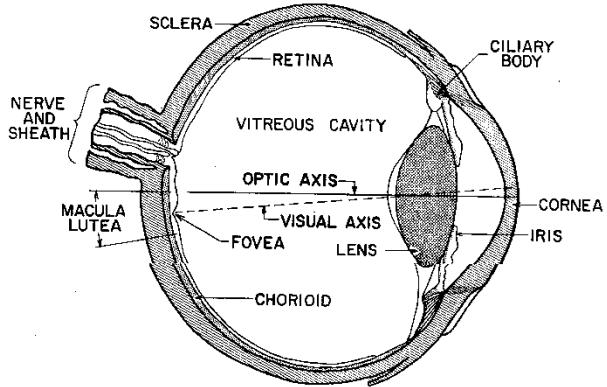
**High-quality AR**, therefore, requires a viewing **device** that can present **sufficient resolution** in the area of high acuity and a **sufficiently large field of view**.

# Human visual system

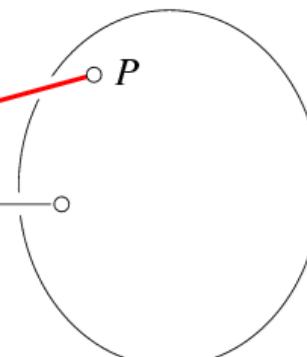
Human perception of the environments: **perspective** and **distance**.



## Pinhole Perspective Equation



$$P = (X, Y, Z)$$



$$\left\{ \begin{array}{l} x' = f' \frac{X}{Z} \\ y' = f' \frac{Y}{Z} \end{array} \right.$$

# Human visual system

- By adjusting the **pupil diameter**, humans can control the amount of light that enters the eye.
- This allows us to **accommodate a dynamic range** (the ratio of maximum and minimum perceivable light intensities) of up to  $10^{10}$ , covering viewing conditions from dim starlight to extremely bright sunlight.

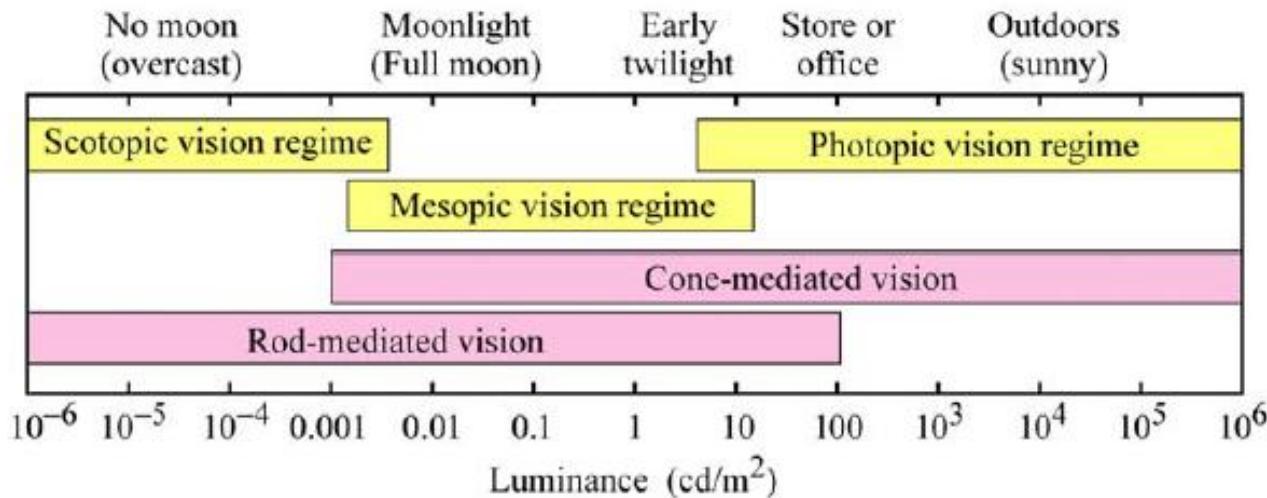
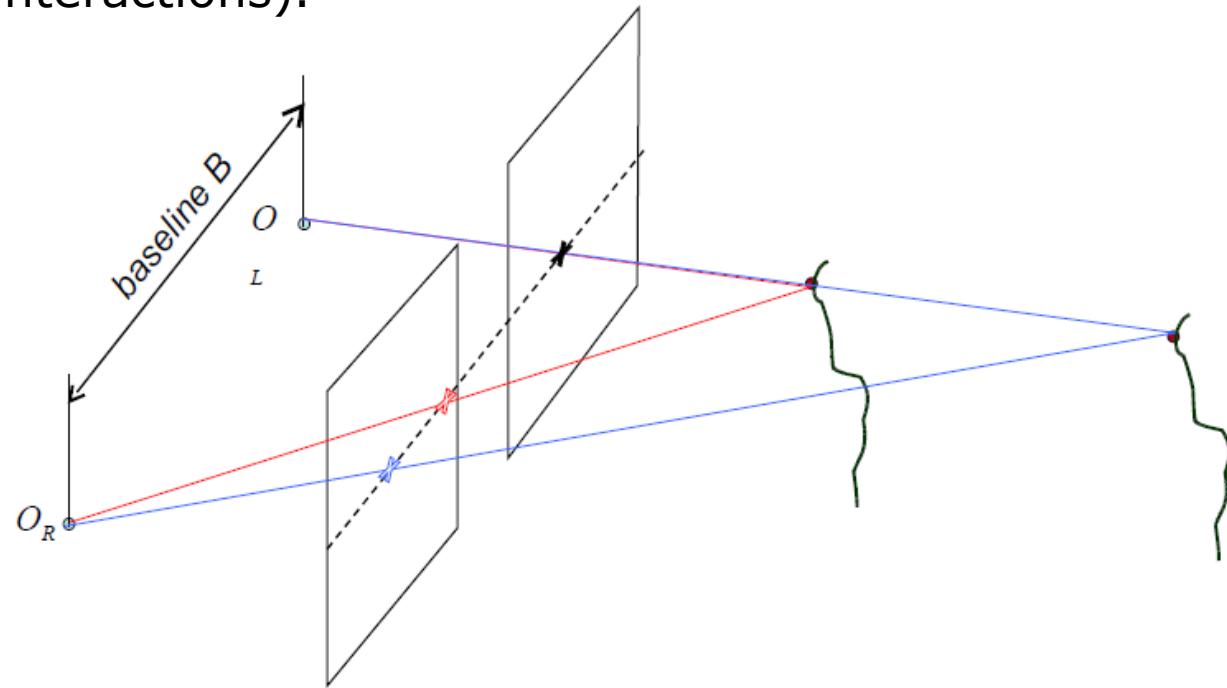


Fig. 16.2. Approximate ranges of vision regimes and receptor regimes (after Osram Sylvania, 2000).

**Thus, a truly versatile AR display will need to be able to adapt to a wide range of viewing conditions.**

# Human visual system

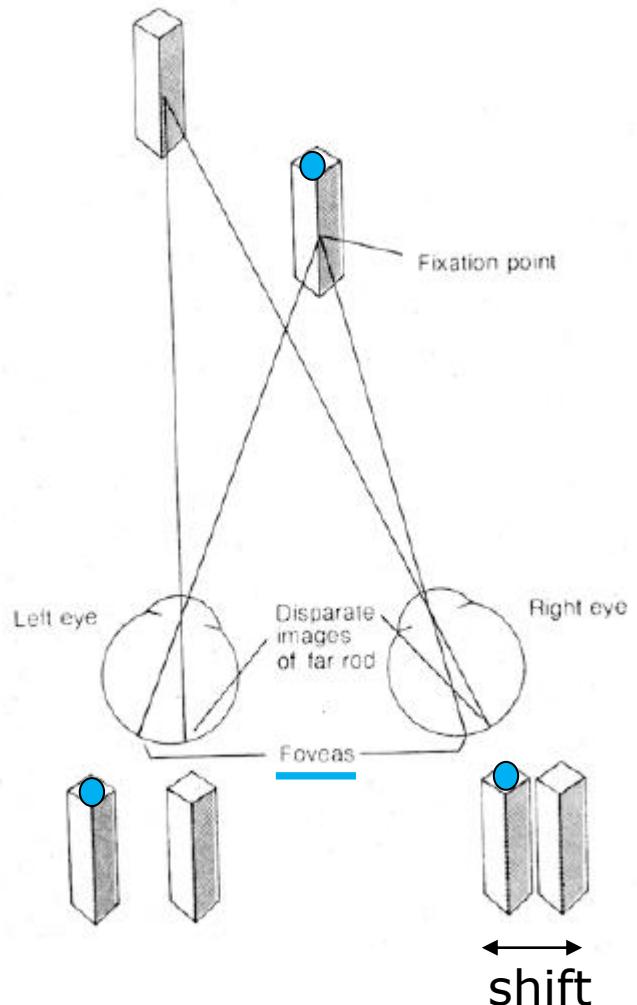
The use of **two eyes** means that humans are able to perceive **binocular depth** cues for 3D vision (e.g. useful for hand interactions).



**Pinhole Perspective Equation**

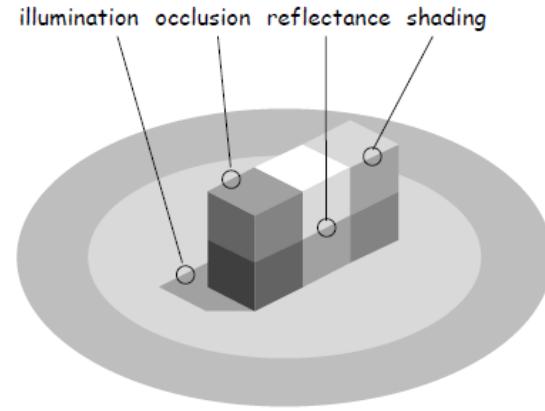
$$\begin{cases} x' = f' \frac{X}{Z} \\ y' = f' \frac{Y}{Z} \end{cases}$$

## Binocular disparity



# AR devices and Human visual system

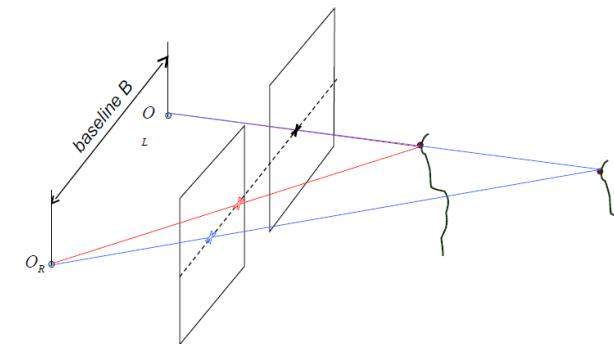
- **Monocular depth cues** (size in the image, linear perspective, height in the field of view, occlusions, or shadows and shading) can be encoded in a single image by **conventional computer graphics**.



- **Binocular depth cues** require **display hardware** that can present **separate images to both eyes simultaneously** (stereopsis)



- **Stereopsis:** the closer an object is to the eyes, the bigger the angular offset, or parallax, of the object's projections in the two image planes (see Lecture on Stereoscopy and Disparity)





# AR devices and perception

# Ocularity and Stereoscopy

If the physical world is seen through some kind of lens or mediated through cameras, two questions arise regarding **scene dimensionality**:

- (1) Is the three-dimensionality of the real world maintained?
- (2) Are the augmentations displayed with stereopsis, exploiting binocular vision?

# Ocularity and Stereoscopy (VST)

A **monocular** device presents images to only one eye.



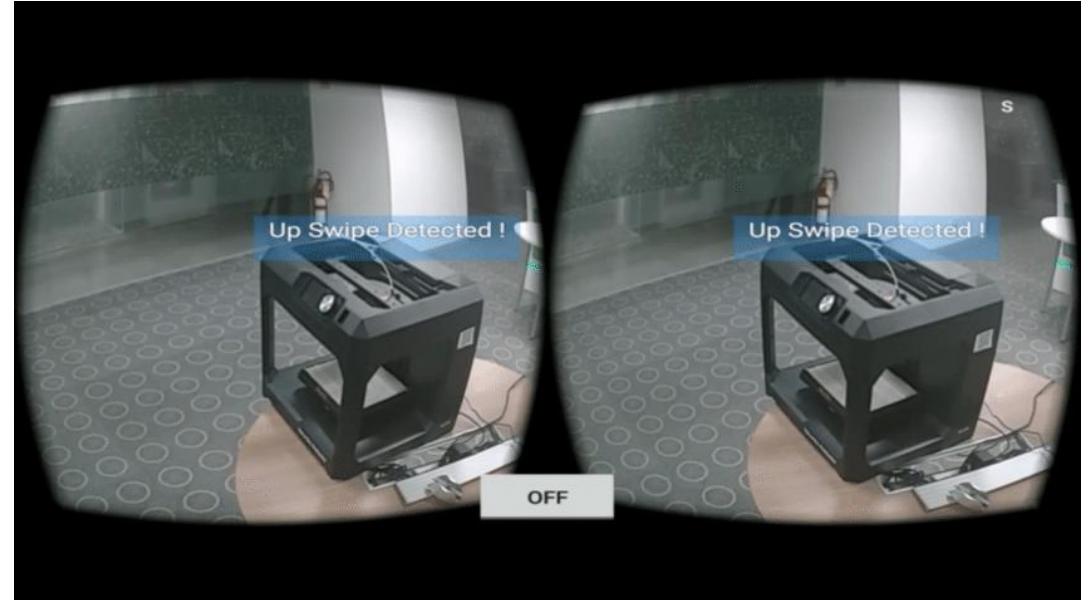
A monocular display can be used for AR, but **it lacks immersion (no binocular cues)**.



# Ocularity and Stereoscopy (VST)

A **bi-ocular** display presents the **same image to both eyes**, resulting in a **monoscopic impression**.

This approach is sometimes used for VST HMD, because *only a single camera stream is required*, and sensing and processing requirements are minimized.



# Ocularity and Stereoscopy (VST)

**Binocular HMD** presents a **separate image to each eye**, resulting in a **stereoscopic effect**.

They have a significantly increased technical cost.

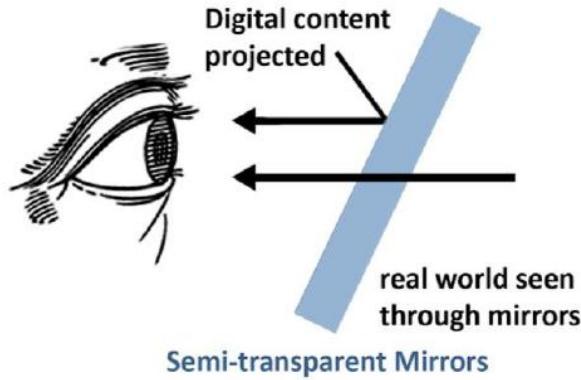
**Pairs of video cameras and pairs of displays** must be synchronized to deliver the images at the same time.



# Ocularity and Stereoscopy (VST)

- A VST display with two cameras, aligned with the eyes' optical axes can produce imagery that **lets the user perceive** the physical world under **stereopsis**.
- But, it is difficult to give a **realistic impression** of a three-dimensional space using stereoscopic camera feeds.
- **Humans** are used to viewing the physical world **unmediated and unencumbered** and have **high expectations for realism**, especially regarding the interplay of varying depth cues.
- **Unavoidable deviations from the ideal values for other immersion factors, such as field of view, resolution, and focus ability, will make for a slightly or even considerably unnatural experience.**

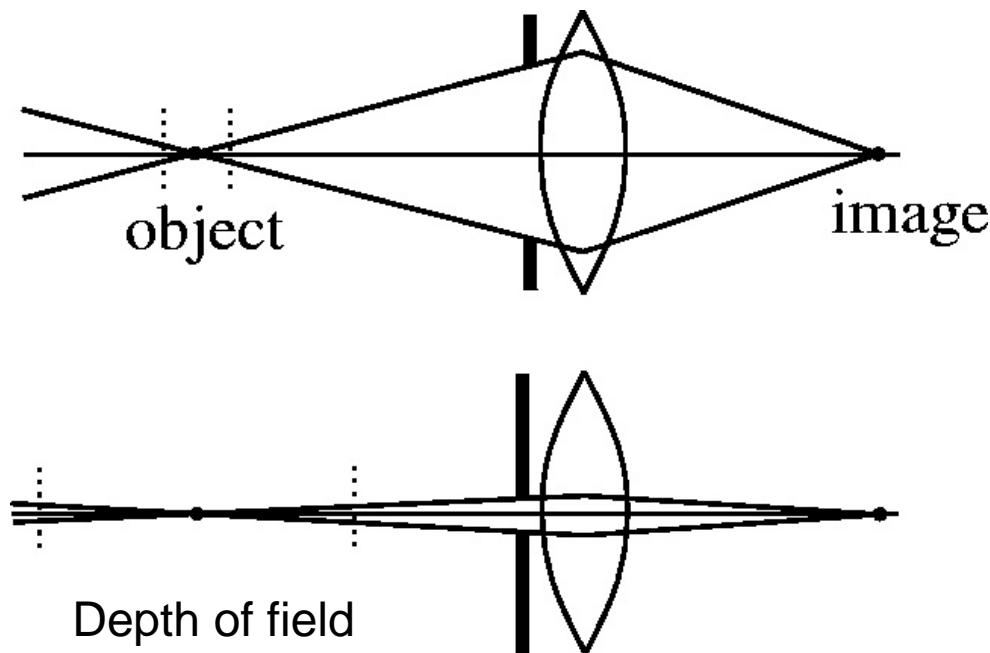
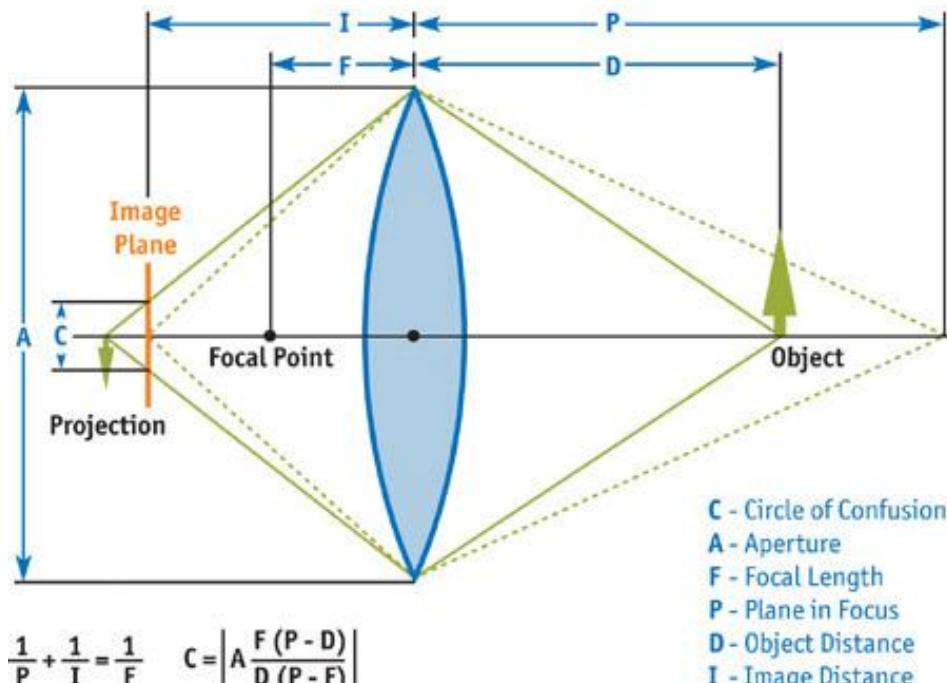
# Ocularity and Stereoscopy (OST)



- With OST displays, the **real-world** backdrop is **viewed directly**, and thus naturally **exhibits binocular disparity**.
- The **augmentations** can be rendered either **with or without stereopsis**.

# Focus

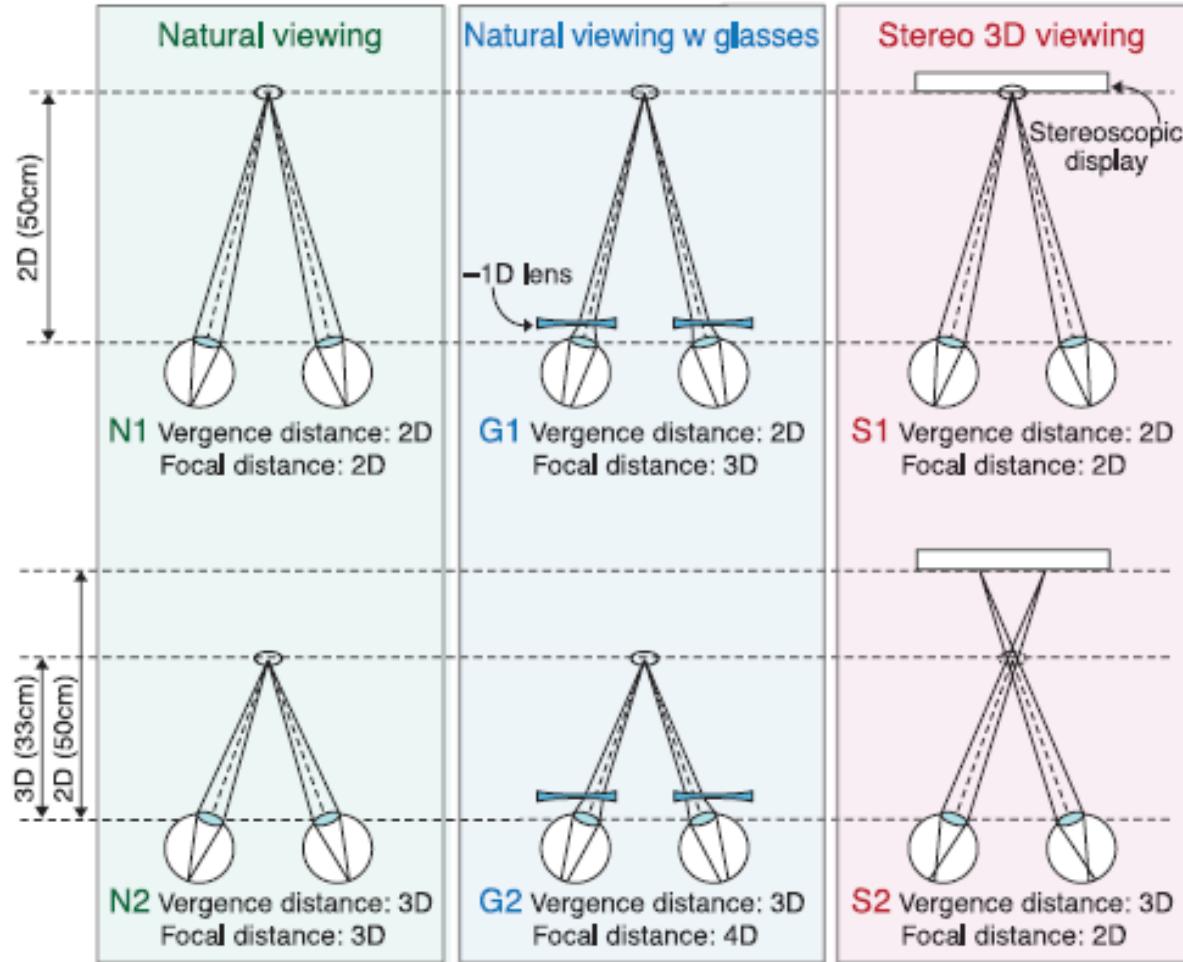
- **Rendering of virtual objects** with the pinhole camera model commonly used in computer graphics will result in **perfectly sharp images of all objects**, irrespective of the focal depth.
- **Our eyes** and actual cameras have a certain aperture size and, therefore, have to cope with the problem of limited **depth of field**.



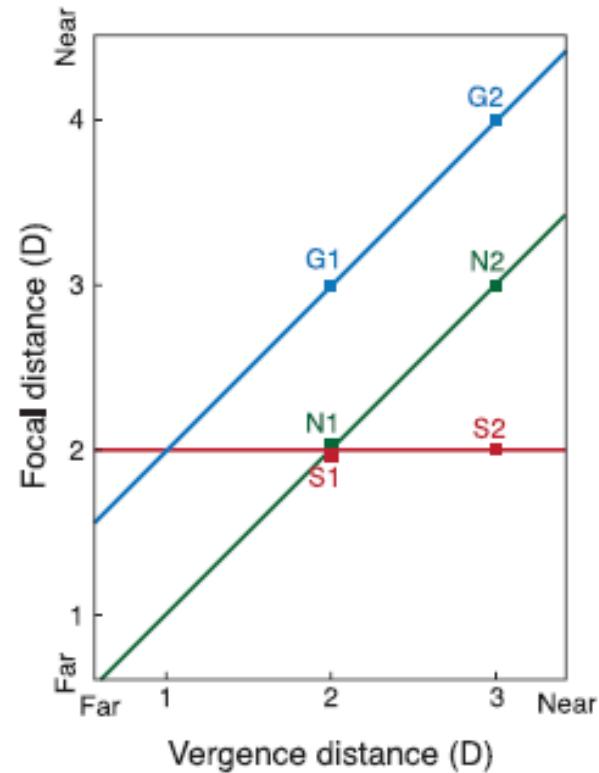
# Vergence-accommodation conflict

Journal of Vision (2011) 11(8):11, 1-29

Shibata, Kim, Hoffman, & Banks



- Our eyes can **accommodate (focus)** at varying distances.
- Accommodation can occur as a reflex to **vergence**, independent rotation of eyeballs to fixate a point in space: **vergence-accommodation reflex**.



$$D[\text{diopters}] = 1 / d [\text{metres}]$$

# Vergence-accommodation conflict

- **vergence distance** is the distance to which the eyes must converge for both to foveate the same point in space
- **focal distance** is the distance to which the eyes must accommodate to bring the image of that point in space to sharp focus
- the eyes of the observer have to maintain accommodation on the **display screen**, thus **lacking the natural relationship between accommodation and vergence** eye movements, and the distance of the objects

# Vergence-accommodation conflict

- NATURAL VIEWING: vergence and focal distance are equal to one another, so they lie on the green line of slope 1 in the plot on the right (In the optometric/ophthalmic literature, this line is called the demand line or Donders' line (Donders, 1864). Because **vergence and focal distance are the same** in natural viewing, it is not surprising that the responses are neurally coupled.
- OPTICAL CORRECTION: The introduction of the correction (-1D) increases the focal demand on the crystalline lens within the eye without changing the vergence distance. This creates a **constant difference** in magnitude between the vergence and focal stimuli. Thus, stimuli like G1 and G2 are shifted vertically from the natural viewing line by the dioptric power of the correction.

# Vergence-accommodation conflict

- **VIEWING ON STEREO DISPLAYS:** **Focal distance is fixed** at the distance from the eyes to the display screen. **Vergence distance varies** depending on the distance being simulated by the contents of the display. Thus, **vergence-accommodation conflict is created by viewing stereo displays**, but the magnitude of the conflict depends on the image contents relative to the viewer's distance from the display.
- Because of the neural coupling between vergence and accommodation, *the conflicts induced by optical correction and by stereo viewing need to be resolved*. The viewers must accommodate to a different distance than the distance to which they must converge.

# Vergence-accommodation conflict

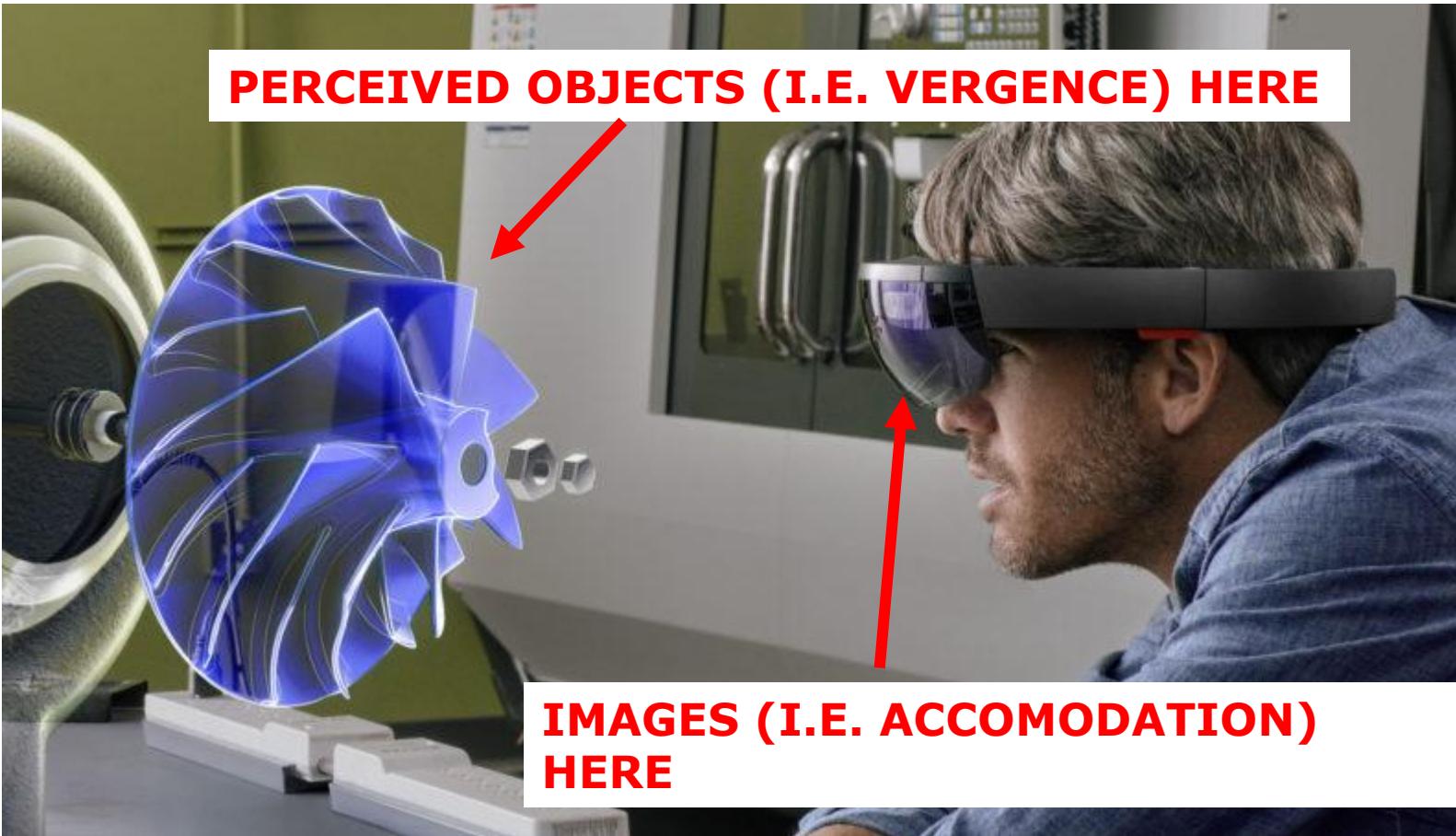
Possible solutions:

- A display that can ***shift the focus plane in real time*** [Liu et al., 2008]. One would need eye tracking to identify the objects the user is focusing on and then adjust the focus plane shift according to the user's attention.
- ***Multifocus displays*** [Schowengerdt and Seibel, 2012] present another possibility to avoid the accommodation–vergence conflict.
- ***Inverse blurring*** [Hussain, Chessa and Solari, 2023] a ***software solution*** for off-the-shelf HMD to mitigate the accommodation–vergence conflict.
- No such a problem in the case of ***volumetric displays*** [Blundell and Schwartz 1999], where light emanates or reflects from actual points in a 3D volume (a specific example of this is *spatial projection*)

# Vergence-accommodation conflict in OST AR

- For OST AR with such stereoscopic rendering there is an additional, related problem:
  - *The user will view the real world with correct accommodation cues, whereas to see the virtual annotations in focus, the user will need to accommodate to the display image plane.*
- **People manage to adjust accommodation at will quite effortlessly, but increased visual fatigue and discomfort might ensue with medium- to long-term use.**

# Vergence-accommodation conflict in OST AR

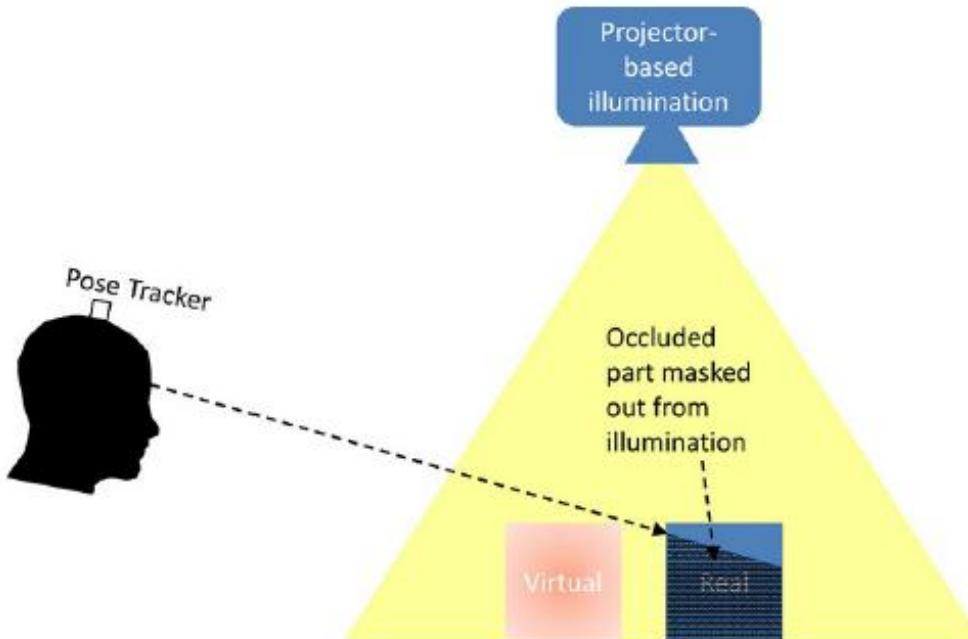


# Occlusions

- Occlusion between virtual and real objects is an important cue to convey the scene structure.
- Correct occlusion among real objects is naturally given.
- Correct **occlusion among virtual objects** is easily achieved by means of a **z-buffer**.
- **Correct occlusion of virtual in front of real, or vice versa, requires special consideration (later in the course)**
- In **OST systems**, where augmentations often appear as semi-transparent overlays, it is **more difficult** to make virtual objects appear as if they are truly in front of real objects.
  - Virtual objects can be rendered very brightly relative to the intensity at which real objects are visible, so the virtual objects will be dominant.

# Occlusions in OST

The relevant part of the real scene can be illuminated with a computer-controlled projector, while the rest of the scene (in particular, real objects that are occluded by virtual objects) remains in the dark and, therefore, imperceptible



**Figure 2.8** The occlusion shadows technique uses controlled illumination to blank out those portions of the real world where opaque computer graphics should be visible.

# Resolution and Refresh Rate

- **Spatial resolution** is restricted by the type of display and by the optical system.
- In **VST** systems, **the resolution of the real world** is additionally **restricted** by the resolution of the camera.
- **Sufficient resolution is desirable** to suppress disturbing artifacts of computer-generated images (such as *pixelated lines or text*) that stand out in comparison to the user's perception of the real world.
- *Take into account that humans have a space variant resolution.*

# Resolution and Refresh Rate

- The **temporal resolution**—that is, the native refresh rate of the display—is important to minimize perceived *flicker* and to avoid *image lag* and *ghosting*.
- The **flicker fusion threshold** is the frequency at which an intermittent light stimulus appears to be *completely steady* to the average human observer.
- The human flicker fusion threshold is usually taken as 16 Hz. TV cameras operate at 25 or 30 frames per second.
- For rendering **fast motions without blur** 120Hz.
- For **AR** and **VR** frame rates **above 60Hz** are commonly desired (take into consideration multiplexing).

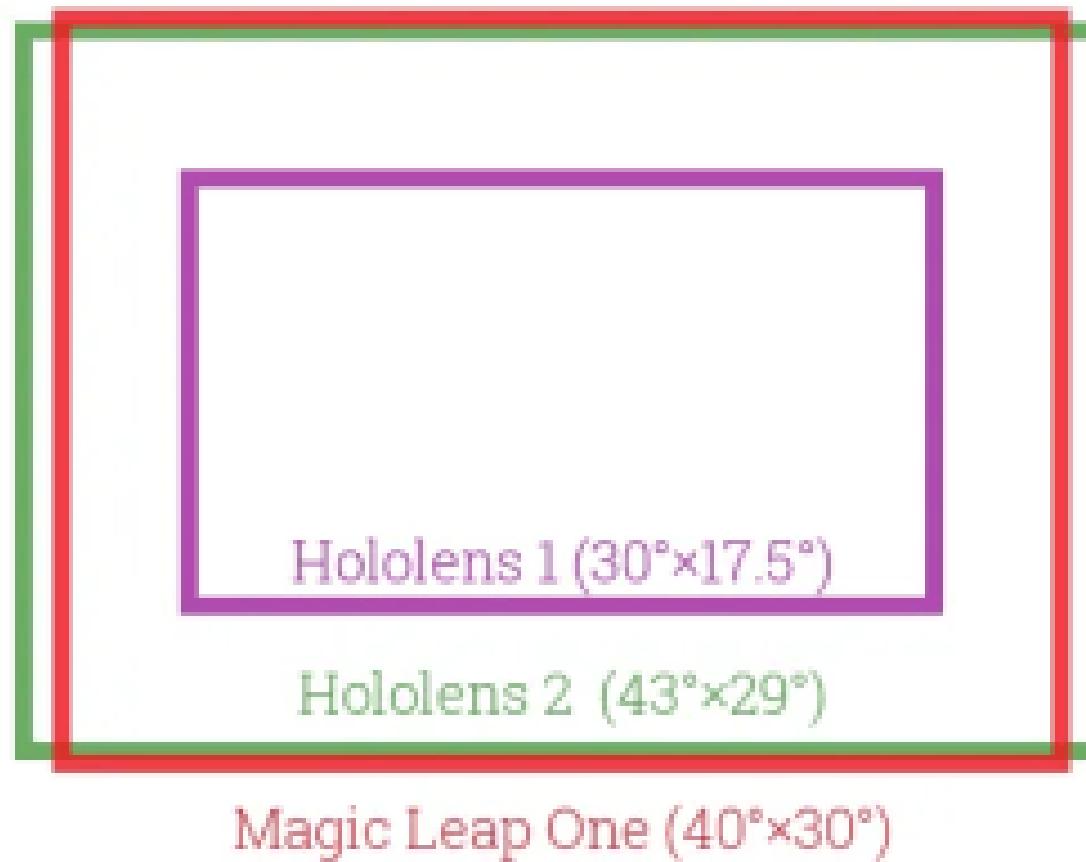
# Field of View

- FOV and resolution are interrelated, as more **pixels** at the same **density** are needed to fill a wider FOV
- In **AR**, we distinguish between an **overlay FOV** (where there is augmentation) and a **peripheral FOV** (non-augmented portion of the observed environment)
- **FOV limitations** are **common** in VR and, especially, AR displays
- The resulting reduction in immersion **limits the user's presence** in the displayed scenes and content.

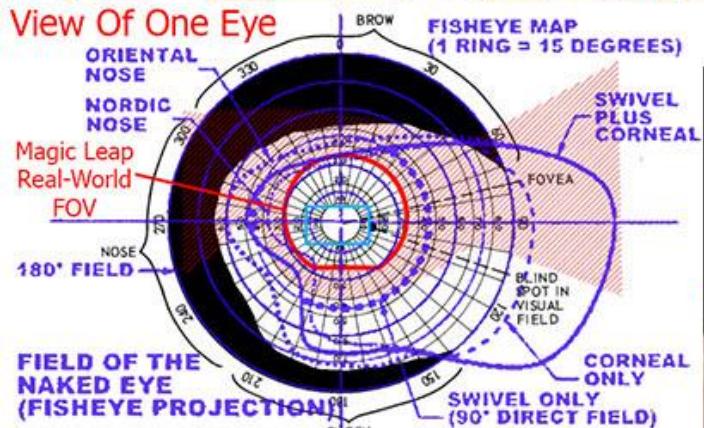
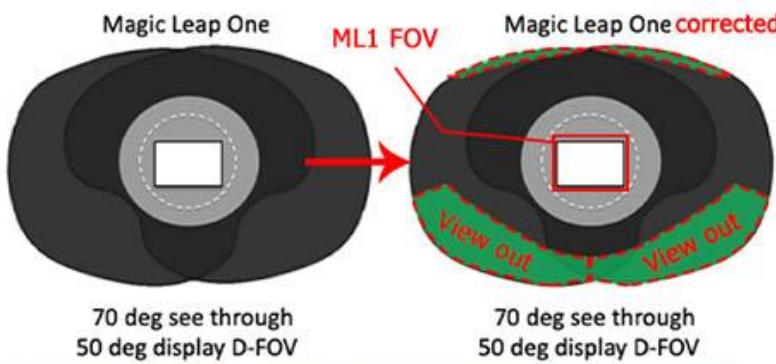
# Field of View

- In **VST systems**, the camera often has a larger FOV than the display, so that the camera image actually appears compressed. For HMDs, the goal is to have as wide an overlay FOV as possible.
- The field of view together with the spatial resolution of the display determines the **angular resolution**.
- A display with a very high pixel density can use rather simple magnification optics to present a wide field of view.
- *Pilots may prefer a wider field of view, while surgeons may require higher resolution.*

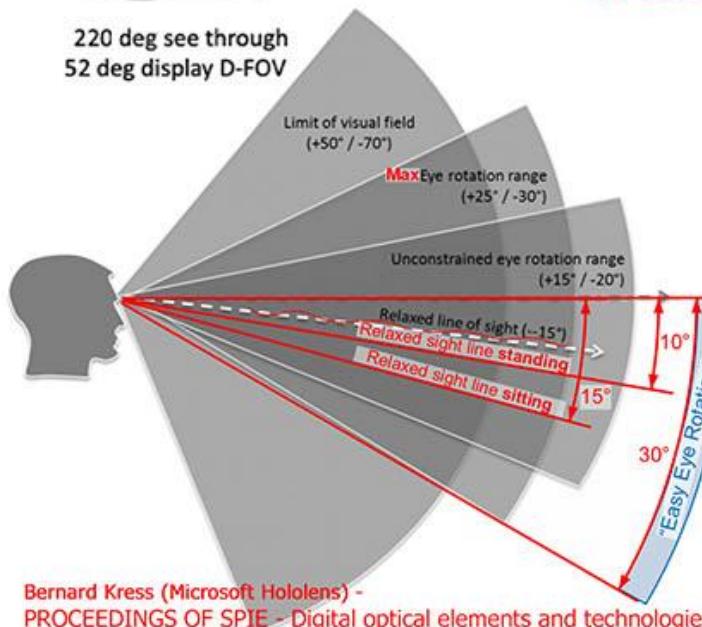
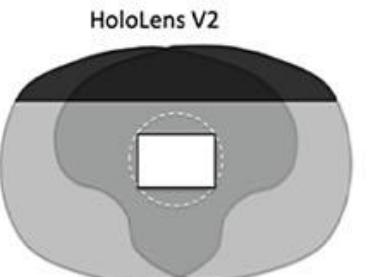
# Field of View



<https://uploadvr.com/hololens-2-field-of-view/>

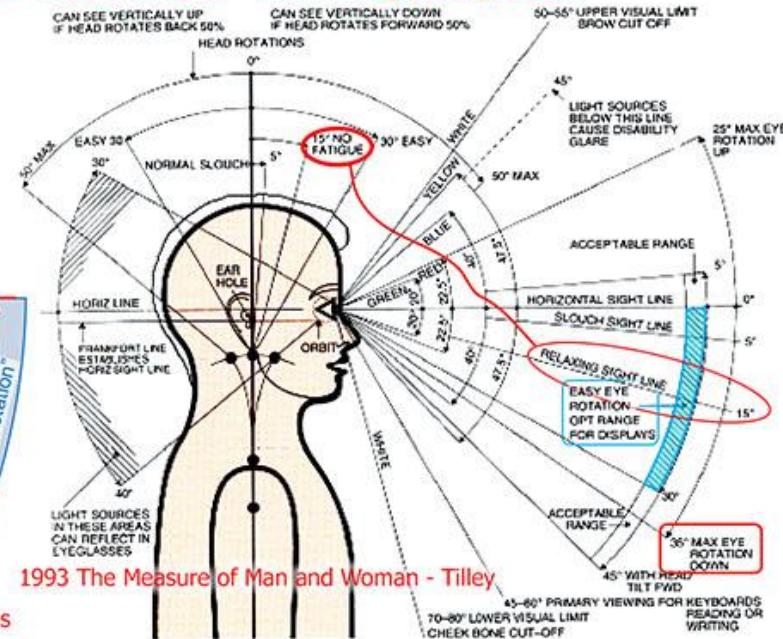


With eye swivel: Visual Field of the Naked Eye, section 3.1 of Howlett, SID 1992  
 Retina vision map: [www.are.na/david-boyd/human-vision](http://www.are.na/david-boyd/human-vision)  
 Magic Leap Real-World FOV: KGOnTech ([www.kguttag.com](http://www.kguttag.com))

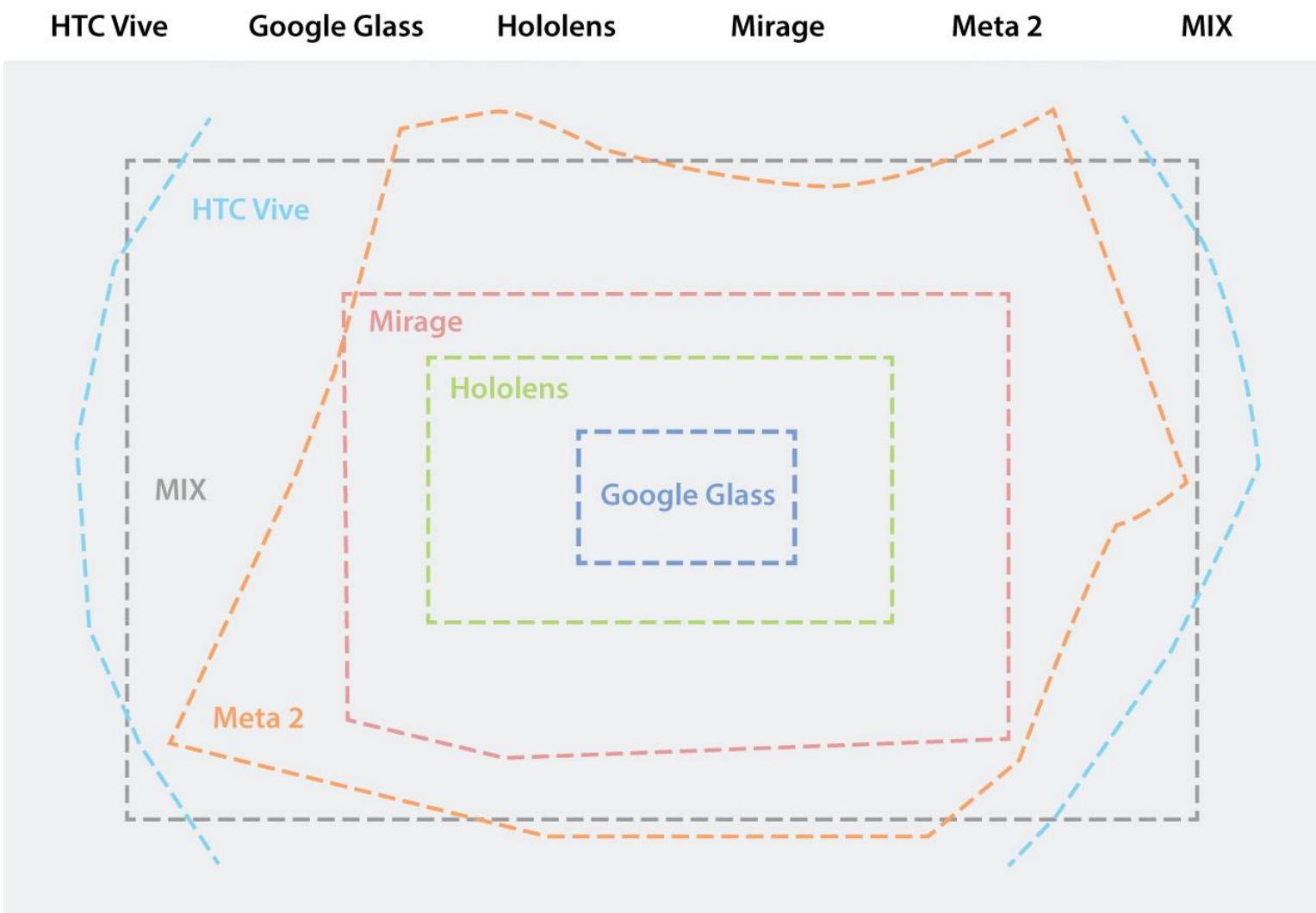
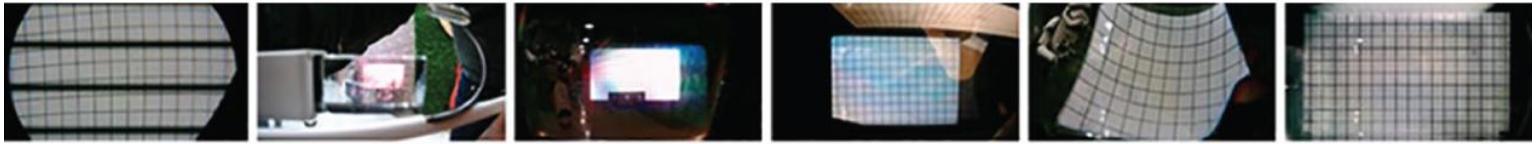


# FOV: AR and The View of the Real World

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# Field of View

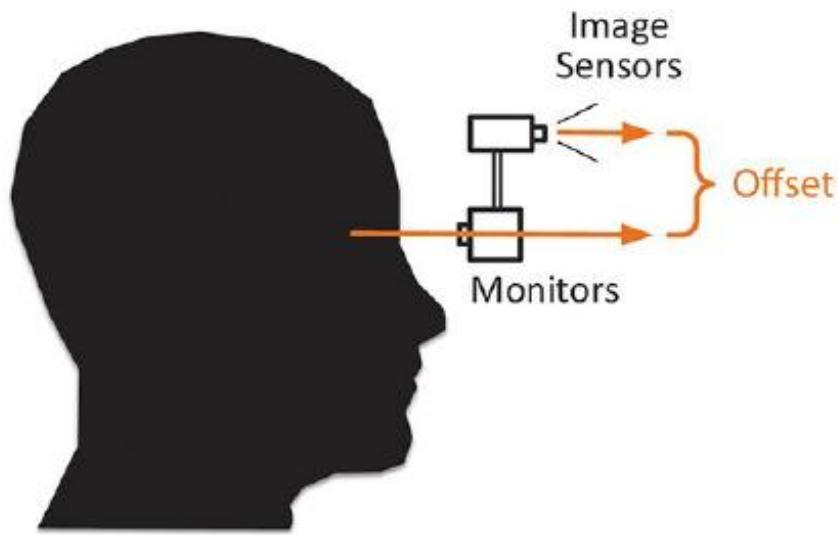


# Viewpoint Offset

- **OST displays** fuse the optical paths of the virtual and the real into one, so the resulting images are *aligned by design*.
- However, it requires **calibration** of the **virtual camera**, which is used to generate the virtual part of the AR display, **to the eye** of the user.
- In **VST displays**, the camera frame can be used for **computer-vision-based registration** (see later in the course) leading to pixel-accurate annotations

# Viewpoint Offset

**VST configurations** will often introduce a significant **offset** between the viewing direction of the camera and the viewing direction of the screen on which the camera image is presented.

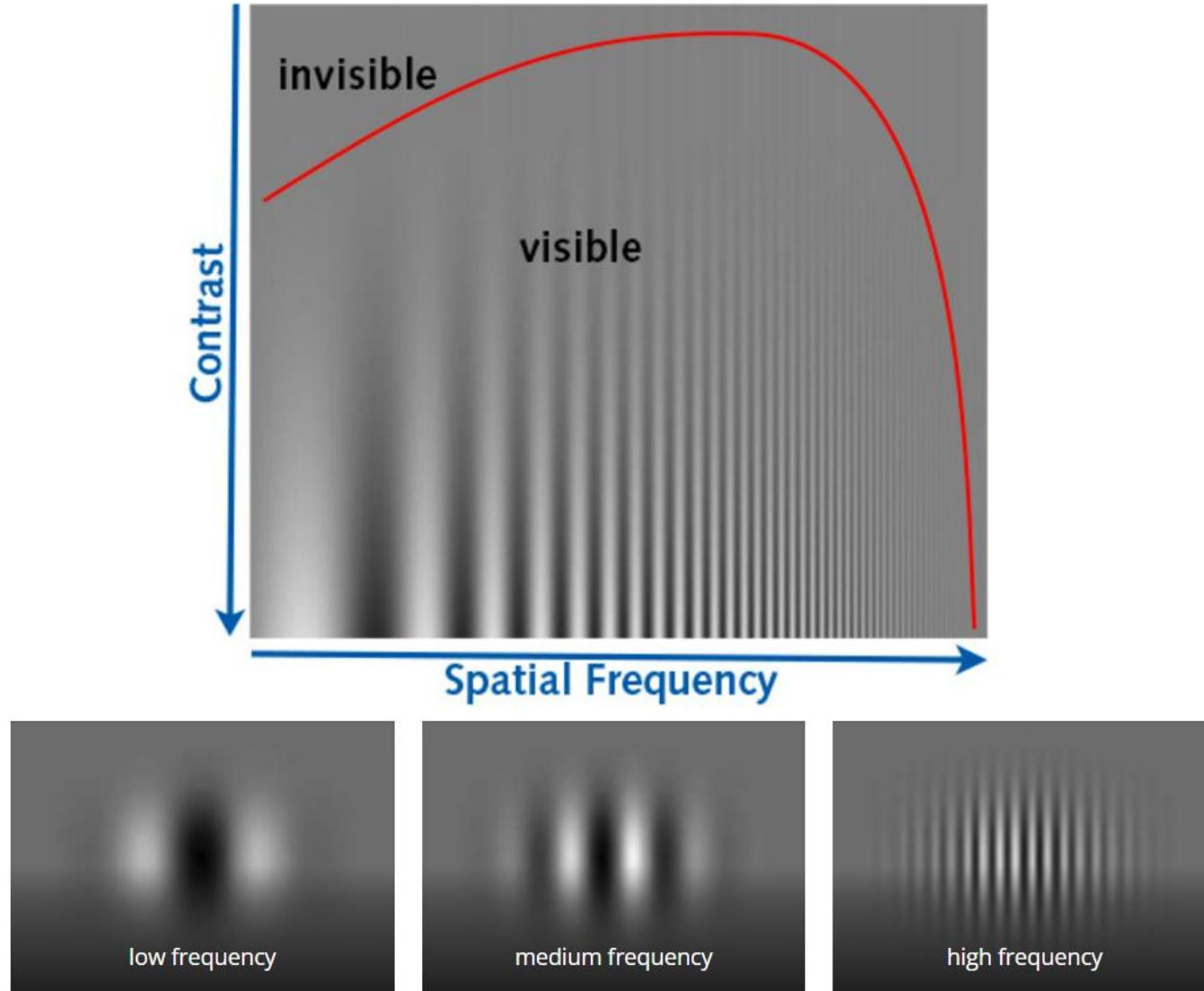


# Brightness and Contrast

- In outdoor situations or in situations with an abundance of natural light, **most computer displays are not bright enough** to achieve sufficient contrast.
- **OST displays** depend on the transparency of the optical combiner
- **VST displays** can change brightness and contrast arbitrarily, as long as the display itself can deliver sufficient contrast.
- Note that VST is critically dependent on working electronic components. *If the camera or the display fails, no meaningful image is shown at all (!)*

# Brightness and Contrast

Human contrast sensitivity functions



# Brightness and Contrast

Microsoft HoloLens



Hololens 2



Original Test Pattern

Meta Quest 3

