

Generate dinosaur names  
with RNN

# Background

- データの紹介

dino.txt

```
['turiasaurus',  
'pandoravenator',  
'ilokelesia',  
'chubutisaurus',  
'quaesitosaurus',  
'orthomerus',  
'selimanosaurus',  
'thecocoelurus',  
'postosuchus',  
'lirainosaurus',  
'acheroraptor',  
'ignavusaurus',  
'koreanosaurus',
```

1537 samples

- 恐竜の名前にはパターンがある

1. 名前は意味のあるwordで構成

ex) dinosaur → dino + saur

巨大 トカゲ

ex) tyrannosaurus → tyranno + saurus

暴君 トカゲ

2. 各wordは母音と子音で構成

ex) dino → d + i + n + o

3. 子音と母音はセットで出る

目的：RNNに恐竜の名前のパターンを学習させる  
→ 適当な入力を与えると名前を生成してくれる

# Preprocessing

- Alphabet to number
- Number to one-hot vector
- Example of the word 'dinosaur'

$$\backslash n = 0$$
$$a = 1$$
$$b = 2$$
$$c = 3$$
$$d = 4$$

...

$$Z = 26$$
$$\mathbf{n} = [0, 0, 0, \dots, 0]^T$$
$$\mathbf{a} = [0, 1, 0, \dots, 0]^T$$
$$\mathbf{b} = [0, 0, 1, \dots, 0]^T$$

...

$$\mathbf{z} = [0, 0, 0, \dots, 1]^T$$
$$n_x = 27$$

d i n o s a u r

$$\overline{0}, \overline{0}, \overline{0}, \overline{0}, \overline{0}, \overline{0}, \overline{0}, \overline{0}$$

0 0 0 0 0 1 0 0

0 0 0 0 0 0 0 0

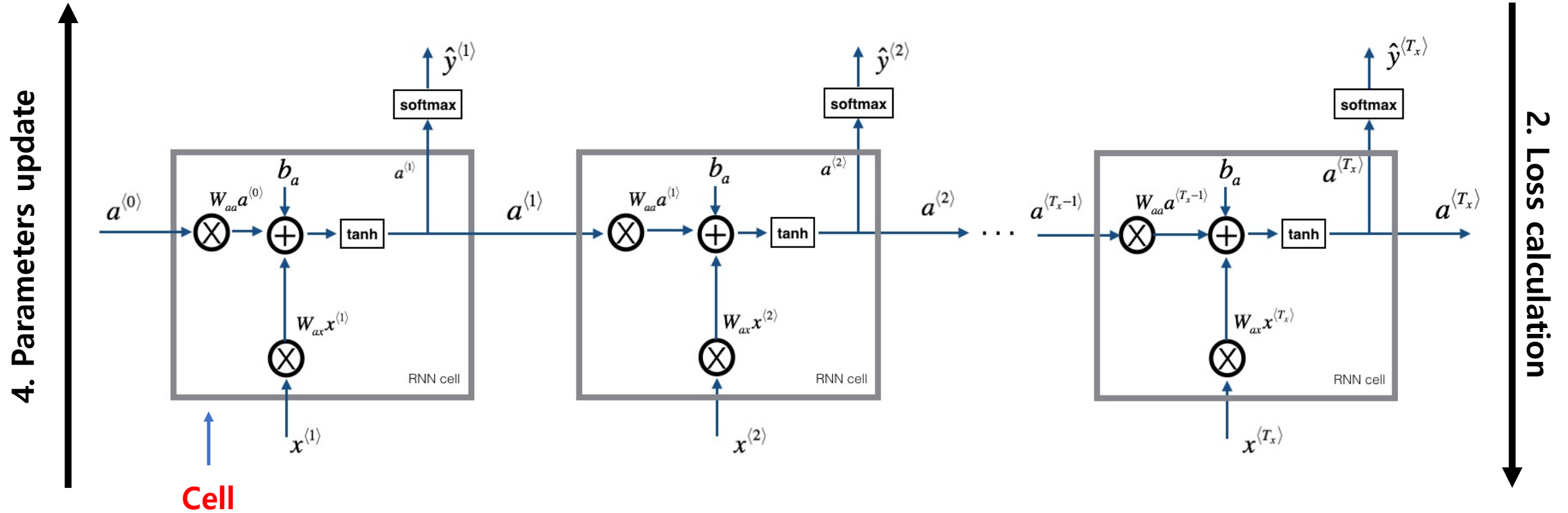
$$\begin{matrix} 0 & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ , & , & , & , & , & , & , \end{matrix}$$
$$\begin{matrix} & 1 & 1 & 1 & 1 & 1 & 0 & 1 & 1 \\ \downarrow & & & & & & & & \end{matrix}$$
$$\begin{array}{cccccccc} \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ / & / & / & / & / & / & / & / \end{array}$$

0 0 0 0 0 0 0 0

$$T_x = 8$$

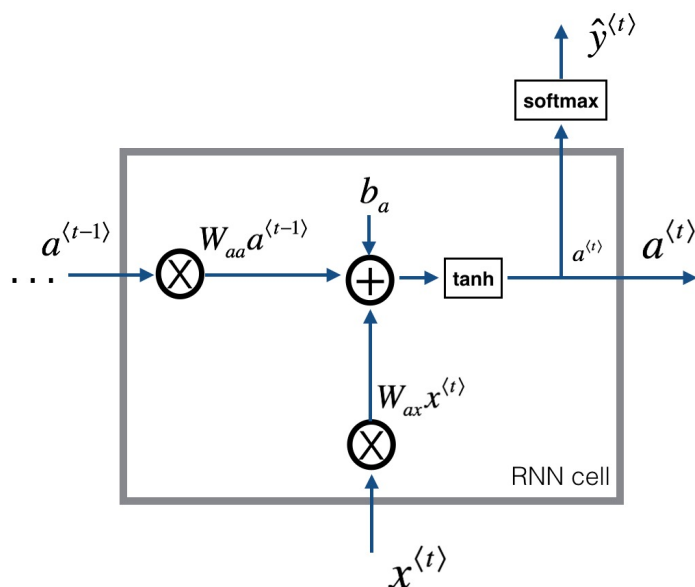
# Algorithm

## 1. Forward propagation



# 1. Forward propagation (cell)

- RNN cell structure



- Python code

```
# 3rd method
def rnn_cell_forward(self, xt, a_prev):
    # Retrieve parameters from "parameters"
    Wax = self.parameters["Wax"]
    Waa = self.parameters["Waa"]
    1. Wya = self.parameters["Wya"]
    ba = self.parameters["ba"]
    by = self.parameters["by"]

    # compute next activation state using the formula given above
    2. a_next = np.tanh(np.dot(Waa, a_prev) + np.dot(Wax, xt) + ba)
    # compute output of the current cell using the formula given above
    yt_pred = softmax(np.dot(Wya, a_next) + by)

    # store values you need for backward propagation in cache
    3. cache = (a_next, a_prev, xt)
    return a_next, yt_pred, cache
```

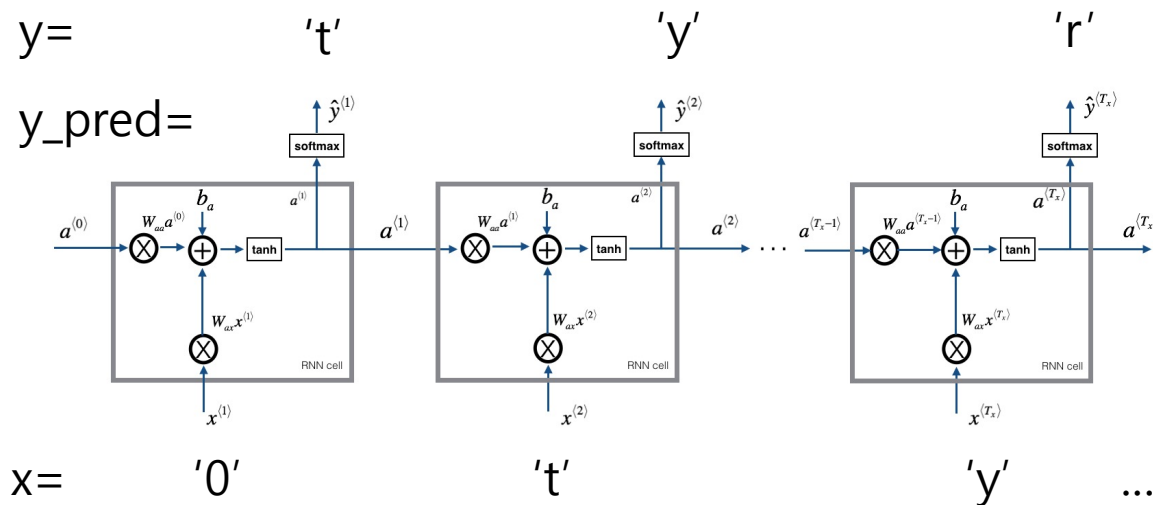
$$a^{(t)} = \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b_a)$$

$$\hat{y}^{(t)} = \text{softmax}(W_{ya}a^{(t)} + b_y)$$

1. パラメータ初期化
2.  $a^{(t)}, \hat{y}^{(t)}$  を計算
3.  $a^{(t)}, a^{(t-1)}, \hat{y}^{(t)}$  をstore

# 1. Forward propagation (RNN)

- RNN structure



- Python code

```
# 4th method
def rnn_forward(self, x, a_prev):

    # Initialize "caches" which will contain the list of all caches
    caches = []

    # Retrieve dimensions from shapes of x and parameters["Wya"]
    n_x, m, T_x = x.shape
    n_y, n_a = self.parameters["Wya"].shape

    # initialize "a" and "y" with zeros
    a = np.zeros((n_a, m, T_x))
    y_pred = np.zeros((n_y, m, T_x))

    # Initialize a_next (~1 line)
    a_next = a_prev

    # loop over all time-steps
    for t in range(T_x):
        # Update next hidden state, compute the prediction, get the cache
        a_next, yt_pred, cache = self.rnn_cell_forward(xt=x[:, :, t], a_prev=a_next)
        # Save the value of the new "next" hidden state in a (~1 line)
        a[:, :, t] = a_next
        # Save the value of the prediction in y (~1 line)
        y_pred[:, :, t] = yt_pred

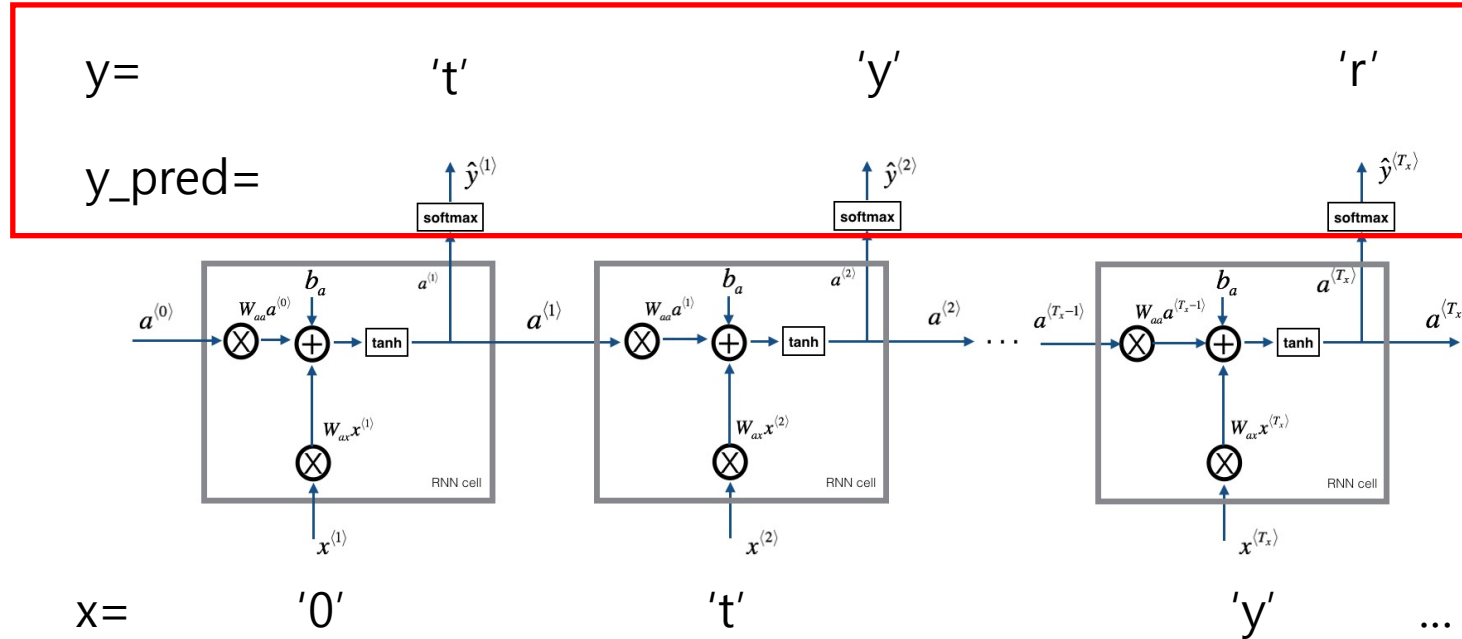
        # Append "cache" to "caches" (~1 line)
        caches.append(cache)

    # store values needed for backward propagation in cache
    caches = (caches, x)

    return a, y_pred, caches
```

1. パラメータの設定
2. 変数をstoreする変数を宣言
3. 1番目から  $T_x$  番目のcellの計算を行う  
 $a^{(t)}$ ,  $\hat{y}^{(t)}$  を計算

## 2. Loss calculation



- 損失関数 (Cross entropy function)

$$H(p, q) = - \sum_x p(x) \log q(x).$$

$y$                        $y_{\text{pred}}$

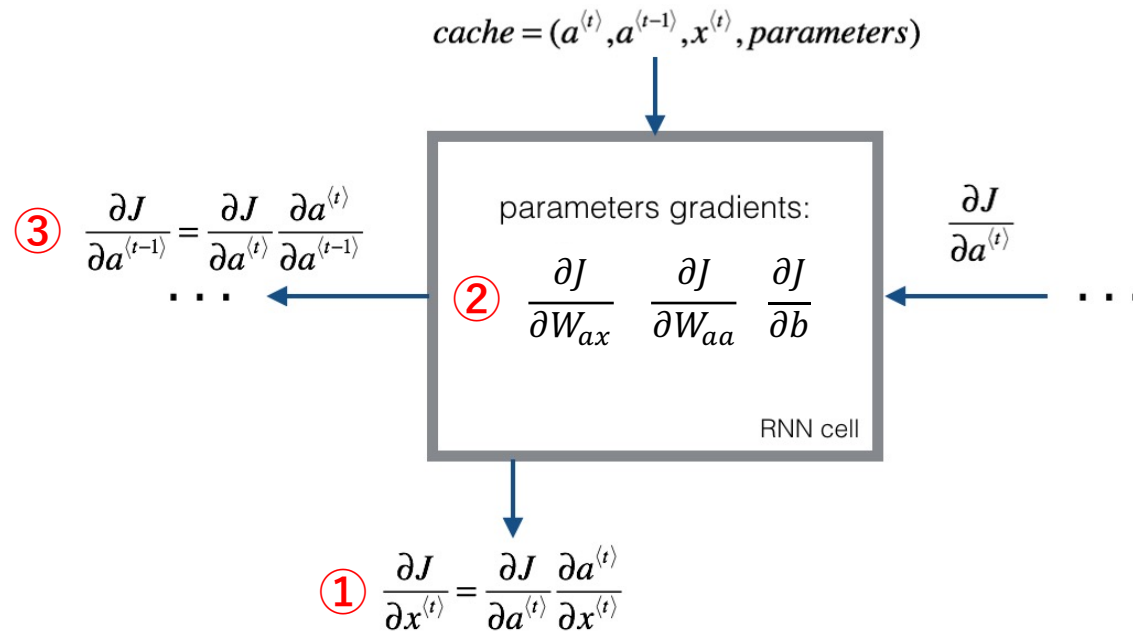
ex)  $p(x) \log q(x).$

't'                       $y_{\text{pred}_1}$

```
# 5th method
def compute_loss(self, y_hat, y):
    """
    損失関数の定義
    損失関数 -- Cross Entropy
    """
    n_y, m, T_x = y.shape
    for t in range(T_x):
        self.loss -= 1/m * np.sum(np.multiply(y[:, :, t], np.log(y_hat[:, :, t])))
    return self.loss
```

$y$                        $y_{\text{pred}}$

# 3. Backward propagation (cell)



$$a^{(t)} = \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b)$$

$$\frac{\partial \tanh(x)}{\partial x} = 1 - \tanh(x)^2$$

$$\frac{\partial a^{(t)}}{\partial W_{ax}} = (1 - \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b)^2) x^{(t)T}$$

$$\frac{\partial a^{(t)}}{\partial W_{aa}} = (1 - \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b)^2) a^{(t-1)T}$$

$$\frac{\partial a^{(t)}}{\partial b} = \sum_{batch} (1 - \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b)^2)$$

$$\frac{\partial a^{(t)}}{\partial x^{(t)}} = W_{ax}^T \cdot (1 - \tanh(W_{ax}x^{(t)} + W_{aa}a^{(t-1)} + b)^2)$$

$$\frac{\partial a^{(t)}}{\partial a^{(t-1)}} = W_{aa}^T \cdot (1 - \tanh(W_{ax}x^{(t-1)} + W_{aa}a^{(t-1)} + b)^2)$$

①

$$\frac{\partial J}{\partial x^{(t)}} = \frac{\partial J}{\partial a^{(t)}} * \frac{\partial a^{(t)}}{\partial x^{(t)}}$$

$$\frac{\partial J}{\partial a^{(t)}} = da_{next}$$

$$\frac{\partial a^{(t)}}{\partial x^{(t)}} = W_{ax}^T (1 - a^{(t)2})$$

$$\frac{\partial J}{\partial x^{(t)}} = W_{ax}^T (1 - a^{(t)2}) da_{next}$$

```
dtanh = (1 - a_next**2) * da_next
dxt = np.dot(Wax.T, dtanh)
```

②

$$\frac{\partial J}{\partial W_{ax}} = \frac{\partial J}{\partial a^{(t)}} * \frac{\partial a^{(t)}}{\partial W_{ax}}$$

$$\frac{\partial J}{\partial a^{(t)}} = da_{next}$$

$$\frac{\partial a^{(t)}}{\partial W_{ax}} = (1 - a^{(t)2}) x^{(t)T}$$

$$\frac{\partial J}{\partial W_{ax}} = (1 - a^{(t)2}) da_{next} x^{(t)T}$$

```
dWax = np.dot(dtanh, xt.T)
```

③

$$\frac{\partial J}{\partial a^{(t-1)}} = \frac{\partial J}{\partial a^{(t)}} * \frac{\partial a^{(t)}}{\partial a^{(t-1)}}$$

$$\frac{\partial J}{\partial a^{(t)}} = da_{next}$$

$$\frac{\partial a^{(t)}}{\partial a^{(t-1)}} = W_{aa} (1 - a^{(t)2})$$

$$\frac{\partial J}{\partial a^{(t-1)}} = W_{aa} (1 - a^{(t)2}) da_{next}$$

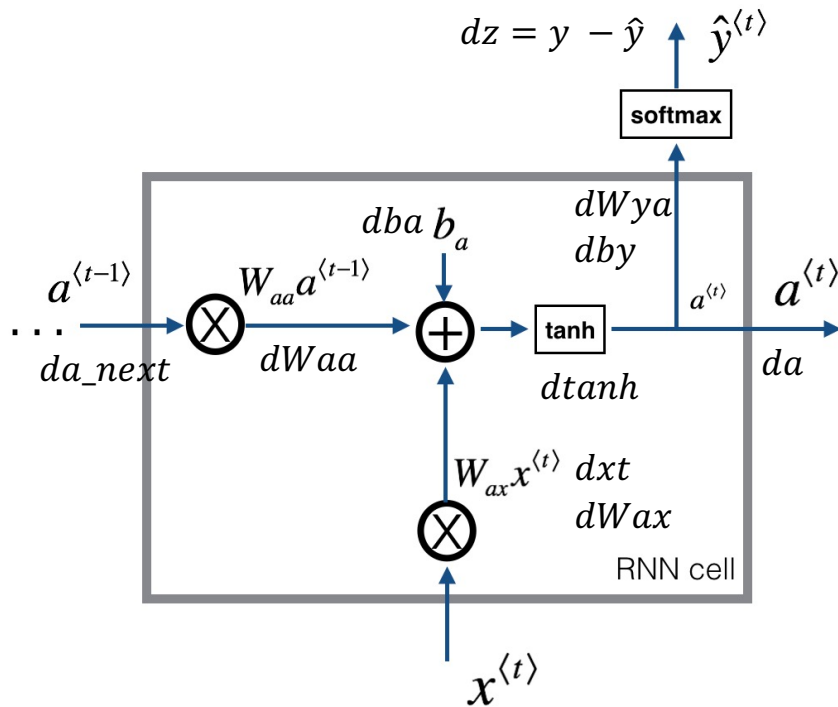
```
da_prev = np.dot(Waa.T, dtanh)
```

```
def rnn_cell_backward(da_next, cache):
```



# 3. Backward propagation (cell)

- RNN cell structure



1. パラメータの設定
2. Gradientを計算

- Python code

```
# 6th method
def rnn_cell_backward(self, dz, gradients, cache):
    # Retrieve values from cache
    (a_next, a_prev, xt) = cache

    # Retrieve values from parameters
    Wax = self.parameters["Wax"]
    Waa = self.parameters["Waa"]
    Wya = self.parameters["Wya"]
    ba = self.parameters["ba"]
    by = self.parameters["by"]

    # compute the gradient of tanh with respect to a_next (~1 line)
    dtanh = np.multiply(da, 1 - np.square(a_next))
    # compute the gradient of the loss with respect to Wax (~2 lines)
    gradients['dxt'] = np.dot(Wax.T, dtanh)
    gradients['dWax'] += np.dot(dtanh, xt.T)

    # compute the gradient with respect to Waa (~2 lines)
    gradients['dWaa'] += np.dot(dtanh, a_prev.T)

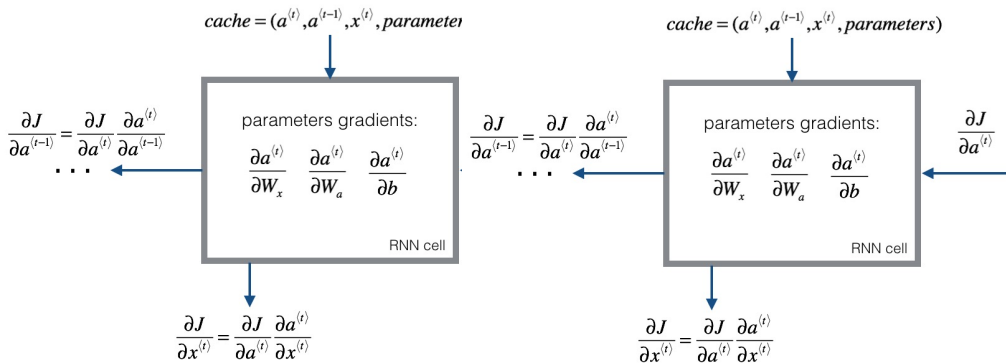
    # compute the gradient with respect to b (~1 line)
    gradients['dba'] += np.sum(dtanh, axis=1, keepdims=True)

    # compute the gradient with respect to da_next
    gradients['da_next'] = np.dot(Waa.T, dtanh)

    return gradients
```

# 3. Backward propagation (RNN)

- RNN cell structure



- Python code

```
# 7th method
def rnn_backward(self, y, y_hat, caches):

    # Retrieve values from the first cache (t=1) of caches
    (caches, x) = caches
    n_x, m, T_x = x.shape
    # initialize the gradients with the right sizes
    gradients = {}
    dx = np.zeros((n_x, m, T_x))
    gradients['dWax'] = np.zeros((self.n_a, self.n_x))
    gradients['dWaa'] = np.zeros((self.n_a, self.n_a))
    gradients['dba'] = np.zeros((self.n_a, 1))
    gradients['da_next'] = np.zeros((self.n_a, self.m))
    gradients['dWya'] = np.zeros((self.n_y, self.n_a))
    gradients['dby'] = np.zeros((self.n_y, 1))
    dz = y_hat - y # y_hat=softmax(z), dz=dI/dy_hat * dy_hat/dz

    # Loop through all the time steps
    for t in reversed(range(T_x)):
        gradients = self.rnn_cell_backward(dz=dz[:, :, t], gradients=gradients, cache=caches[t])
        dx[:, :, t] = gradients["dxt"]

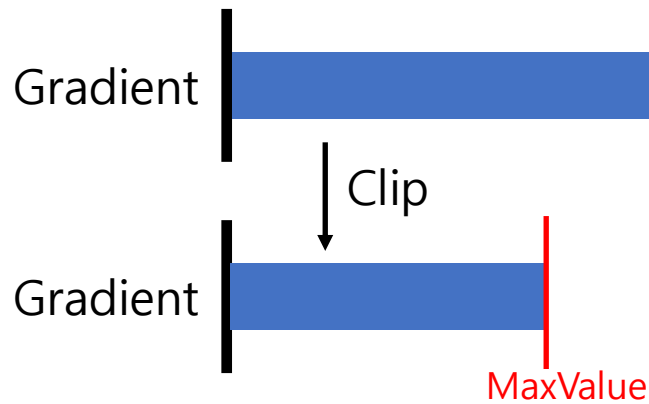
    return gradients
```

1. Gradientをstoreする変数を宣言
2. T\_x番目のcellから1番目のcellまでGradientを計算

# 4. Parameter update

- Clip function

Gradientが爆発することを防ぐ



- Python code

```
# 8th method
def clip(self, gradients, maxValue=5):
    """
    Clips the gradients' values between minimum and maximum.
    Arguments:
    gradients -- a dictionary containing the gradients "dWaa", "dWax", "dWya", "db",
    maxValue -- everything above this number is set to this number, and everything l
    Returns:
    gradients -- a dictionary with the clipped gradients.
    """

    dWaa, dWax, dWya, dba, dby = gradients['dWaa'], gradients['dWax'], gradients['dW
    # clip to mitigate exploding gradients, loop over [dWax, dWaa, dWya, db, dby]. (
    for gradient in [dWax, dWaa, dWya, dba, dby]:
        np.clip(gradient, -1*maxValue, maxValue, out=gradient)

    gradients = {"dWaa": dWaa, "dWax": dWax, "dWya": dWya, "dba": dba, "dby": dby}
```

- Update equation

$$\theta_{new} = \theta_{old} - \eta * \frac{\partial \theta}{\partial J}$$

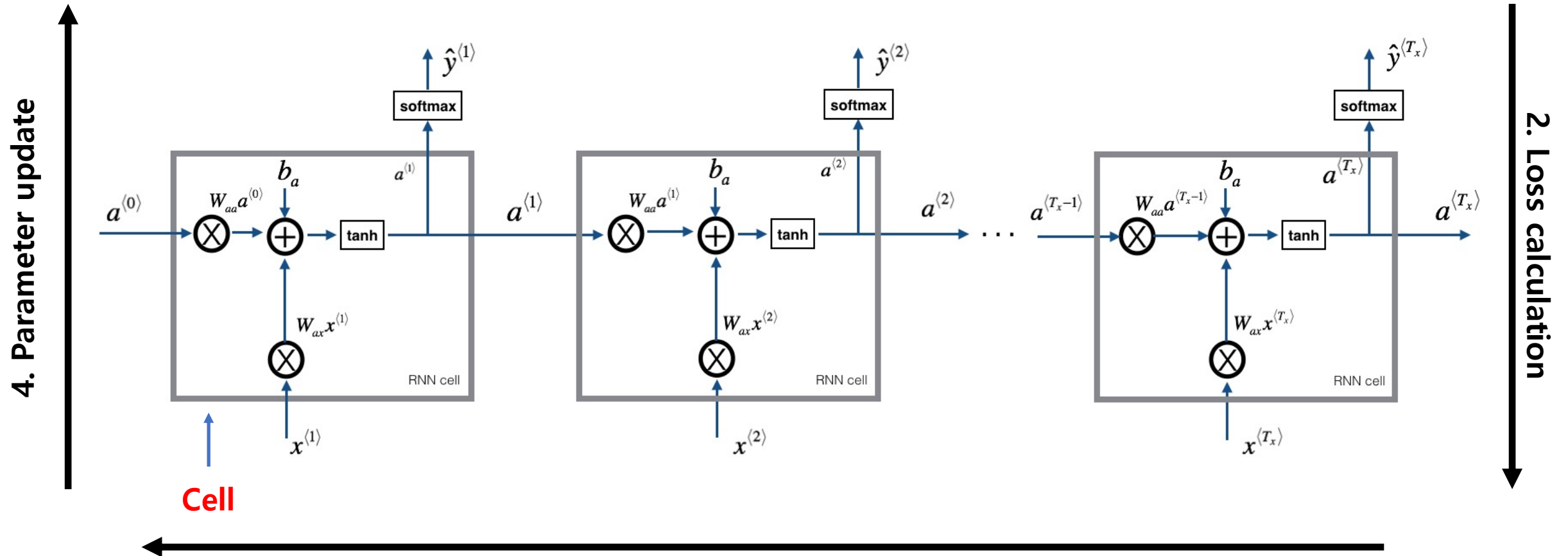
↑      ↑  
学習率   Gradient

```
# 9th method
def update_parameters(self, gradients):
    """
    パラメータをアップデート
    """

    self.parameters['Wax'] += -self.alpha * gradients['dWax']
    self.parameters['Waa'] += -self.alpha * gradients['dWaa']
    self.parameters['Wya'] += -self.alpha * gradients['dWya']
    self.parameters['ba'] += -self.alpha * gradients['dba']
    self.parameters['by'] += -self.alpha * gradients['dby']
```

# Function 'optimize'

## 1. Forward propagation



## 3. Back propagation

1-4をuserが指定した回数(num\_ter)実行する

# Generate names using a trained model

- Function 'sample'

