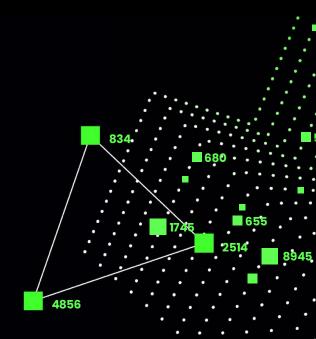




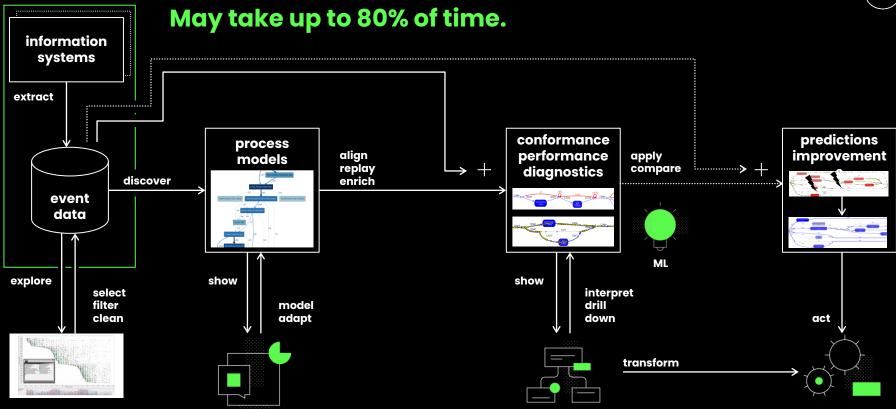
Process mining: From Theory to Execution

prof.dr.ir. Wil van der Aalst www.vdaalst.com @wvdaalst | www.pads.rwth-aachen.de





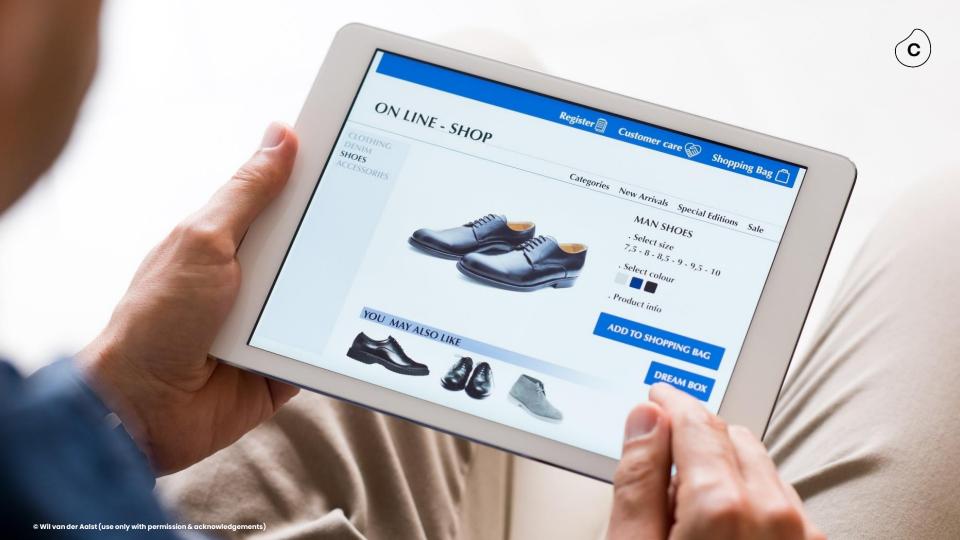




Remember: Event data



Case ID	Activity	Resource	Timestamp	Product	Prod-price	Quantity	Address
6350	place order	Aiden	2018/02/13 14:29:45.000	APPLE iPhone 6 16 GB	639,00 €	5	NL-7751DG-21
6283	pay	Lily	2018/02/13 14:39:25.000	SAMSUNG Galaxy S6 32 GB	543.99€		NL-7828AM-11a
6253	prepare delivery	Sophia	2018/02/13 15:01:33.000	APPLE i Tu-e	,00€	3	NL-7887AC-13
6257	prepare delivery	Aiden	2018/02/13 15:03:43.000	SAMSUNG Galaxy S6 32 GB	543.99€		NL-9521KJ-34
6185	confirm payment	Emily	2018/02/13 15:05:36.000	SAMSUNG Galaxy S4	329,00 €		NL-9521GC-32
6218	confirm payment	Emily	2018/02/13 15:08:11.000	APPLE ICACIONAL PROPERTIES APPLICATOR PROPERTIES APPLICA	969,00€	2	NL-7948BX-10
6245	make delivery	Michael	2018/02/13 15:14:04.000	APPLE I LE CO	639,00 €	3	NL-7905AX-38
6272	pay	Emily	2018/02/13 15:20:36.000	APPLE iPhone 6 16 GB	639,00€		NL-7821AC-3
6269	pay	Charlotte	2018/02/13 15:25:21.000	SAMSUNG Galaxy S <mark>4</mark>	329,00€	1	NL-7907EJ-42
6212	prepare delivery	Sophia	2018/02/13 15:43:39.000	HUAWE & i	70°	1	NL-7905AX-38
6323	send invoice	Alexander	2018/02/13 15:46:08.000	APPLE iPnone 6 16 GB	6 4,00 €	1	NL-7833HT-15
6246	confirm payment	Jack	2018/02/13 15:56:03.000	SAMSUNG Galaxy S4	329,00 €		NL-7833HT-15
6347	send invoice	Jack	2018/02/13 15:57:42.000	SAMSULE Galaxy S4	329,00 €	3	N4-7905AX-38
6351	place order	Zoe	2018/02/13 16:17:37.000	APPLE IF O P S1 G (🔾			L-9521GC-32
6204	prepare delivery	Sophia	2018/02/13 16:31:28.000	SAMSUNG Core Prime G361	135,00 €		NL-7828AM-11a
6204	make delivery	Kaylee	2018/02/13 16:51:54.000	SAMSUNG Core Prime G361	135,00 €		NL-7828AM-11a
6265	confirm payment	Lily	2018/02/13 16:55:55.000	SAMSUNG Galaxy S4	329,00 €		NL-9521GC-32
6250	confirm payment	Jack	2018/02/13 17:03:26.000	MOTOR⊕' ⊜oto G	199,00€		NL-7942GT-2
6328	send invoice	Lily	2018/02/13 17:30:16.000	APPLE iPhone 6s 64 GB	858,00€		NL-9514BV-16
6352	place order	Aiden	2018/02/13 17:53:22.000	APPLE iPhone 6 16 GB	639,00 €		NL-9514BV-16
6317	send invoice	Jack	2018/02/13 18:45:30.000	APPLE iPhone 6s 64 GB	858,00€	5	NL-7907EJ-42
6353	place order	Sophia	2018/02/13 20:16:20.000	APPLE iPhone 5s 16 GB	449,00 €		NL-7751AR-19
•••	•••		•••				



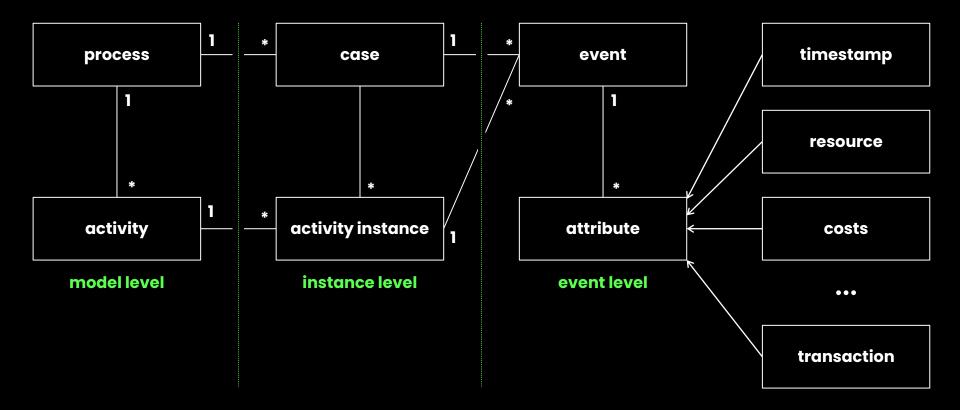






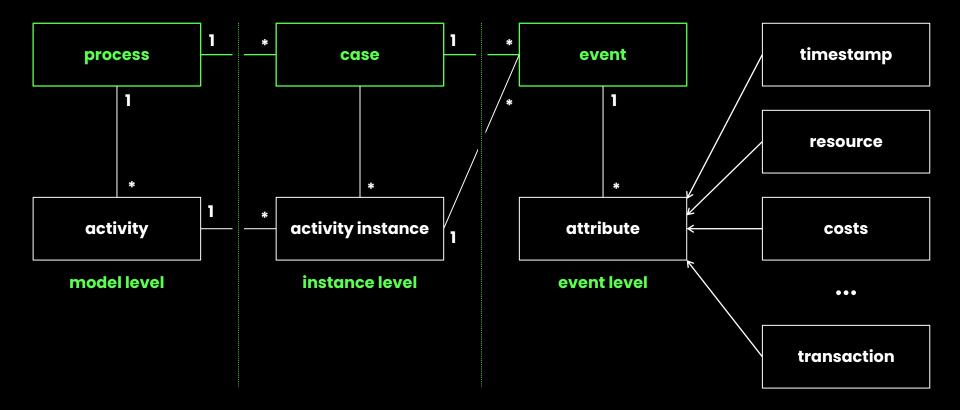
A more refined view





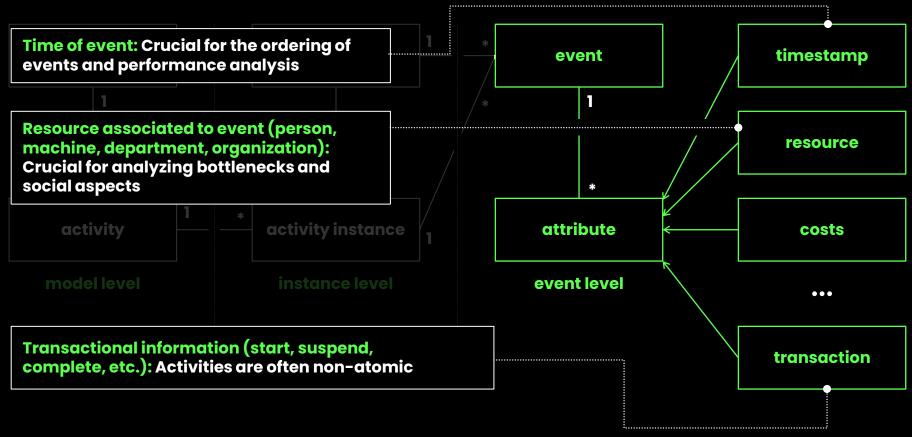
Process - case - event





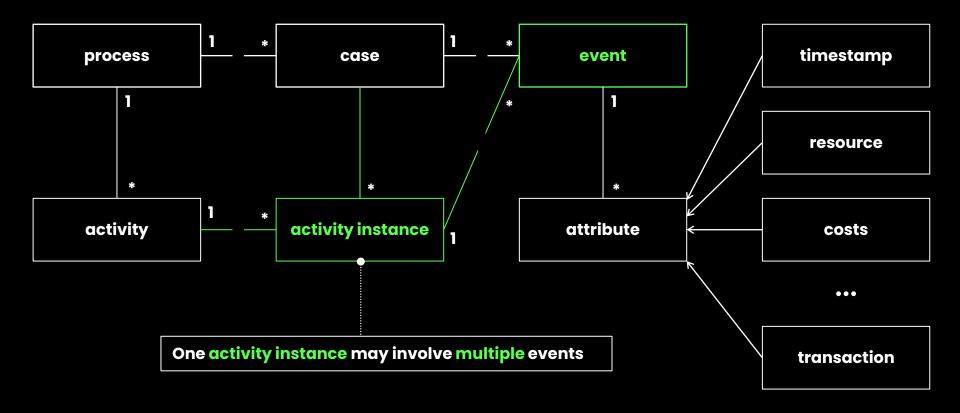
Events have attributes





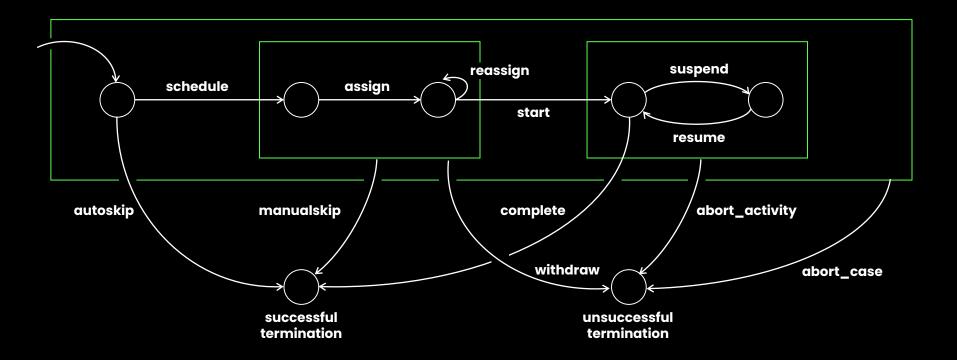
Activity instances





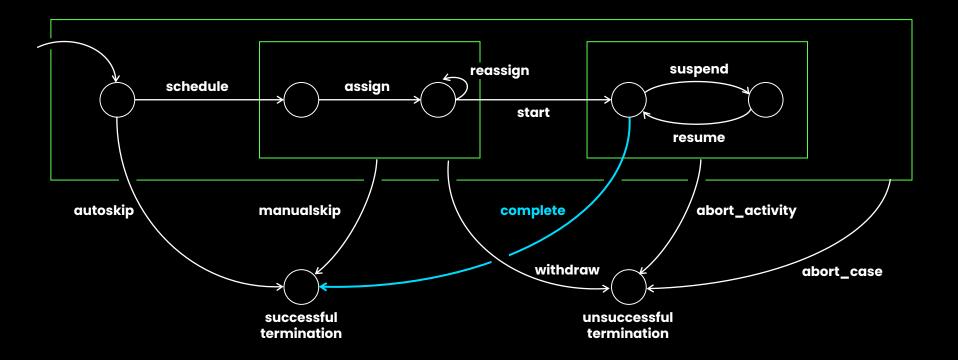
Transactional model for activities





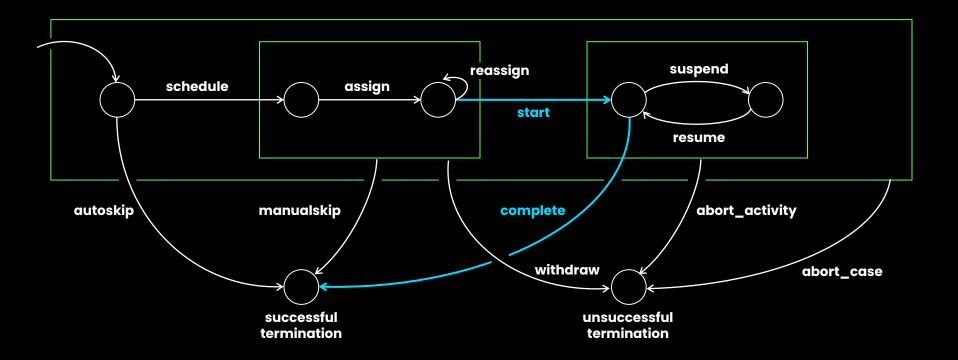
Atomic activities





Activities that have a duration

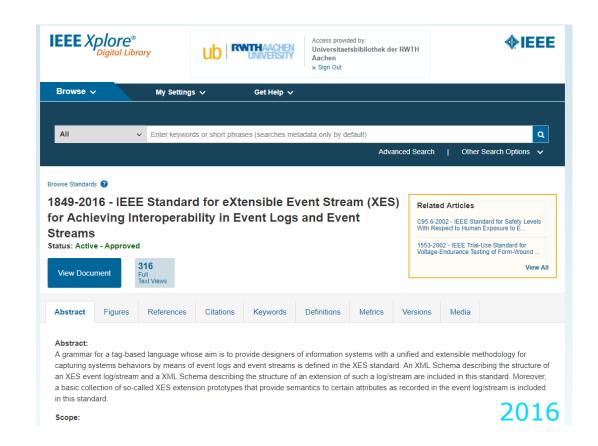






XES Extensible Event Stream

xes-standard.org





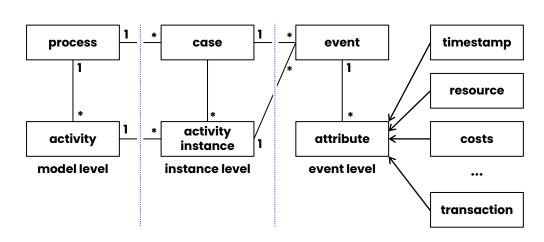
Challenges

- Finding the data
- Extracting the data
- Understand the meaning
- Dealing with quality problems
- Scalability
- Picking a case identifier



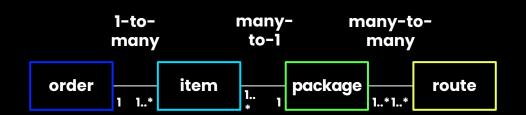
Hundreds of systems Thousands

of tables



Example: Amazon







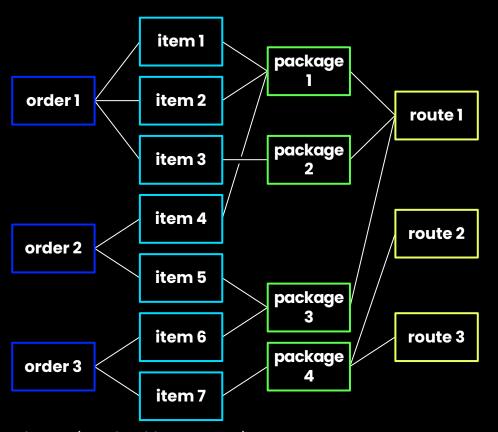






Example objects









3 orders

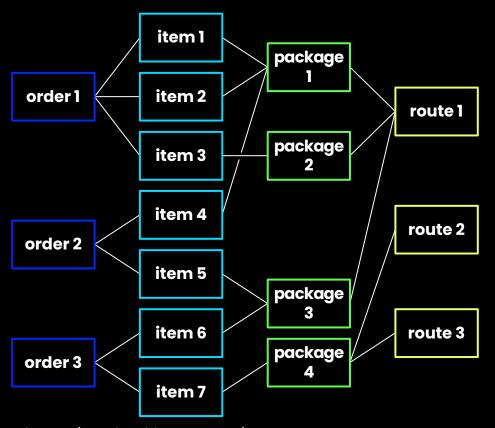






Example objects





Items of order 1 end up in packages 1 and 2

Package 1 contains items of orders 1 and 2

Etc.

What is a suitable case notion?

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Let's make the following assumption



Activity	Time	Orders	Items	Packages	Customers	Products	Price	Weight
pick item	2019/12/2612:04:46	{991224}	{884803}	{}	Wil van der Aalst	iPhone 8	529.0	0.21
reorder item	2019/12/2612:27:26	{991271}	{885002}	{}	Mohammanadreza Fani Sani	Kindle Paperwhite	129.0	0.495
place order	2019/12/2612:44:23	{991283}	{885038, 885039}	{}	Luis Santos	MacBook Air, iPad	2700.0	1.733
pick item	2019/12/2614:01:16	{991266}	{884983}	{}	Marco Pegoraro	Macbook Air	2200.0	1.25
create package	2019/12/2614:01:16	{991264}	{884975, 884974, 884978, 884971,884970, 884973)	{660798}	Seran Uysal	Fire Stick 4K, iPad Pro, iPad Pro, iPad Pro, Fire Stick, Kindle	3506.97	2.412
send package	2019/12/2614:16:11	{991264}	{884975, 884974, 884978, 884971, 884970, 884973}	{660798}	Seran Uysal	Fire Stick 4K, iPad Pro, iPad Pro, iPad Pro, Fire Stick, Kindle	3506.97	2.412
pick item	2019/12/2614:16:48	{991279}	{885027}	{}	Claudia Graf	iPhone 11	799.0	0.166
confirm order	2019/12/2614:26:01	{991283}	{885038, 885039}	1	Luis Santos	MacBook Air, iPad	2700.0	1.733
reorder item	2019/12/2614:32:43	{991251}	{884912}	_	- •	Stick	39.99	0.2
confirm order	2019/12/2614:32:44	{991272}	* products	• 1	ocations	o, Echo Dot	134.98	1.16
pick item	2019/12/2614:33:28	{885024}	[885024] PIOGUCTS		Judions	:Book Pro	2500.0	1.37
place order	2019/12/2614:48:33	{991284}	{885040, 885041, 885042 {884879, 884561, 884873} • customer	e · n	ackanes	ne, Fire Stick, MacBook Air, Echo Show 8, ne 11 Pro	4222.98	2.79
failed delivery	2019/12/2615:04:53	{991240,991168}				l Air, Echo Studio, Echo Studio, Kindle, Ikle Echo, iPad mini, iPad Pro, iPad Pro	5982.95	7.642
pick item	2019/12/2615:20:05	{991278}	(885025) (884938, 884939, 88494) • machines	• n	atients	ne 8	699.0	0.172
confirm order	2019/12/2615:25:00	{991248}	{884938, 884939, 884944	, ιρ	ationts	ile Paperwhite	3247.98	2.666
send package	2019/12/2615:26:49	{991247, 881253}	{884902, 884922, 884923	•		:Book Air, iPad	8496.0	4.054
failed delivery	2019/12/2615:36:16	{991264}	884975, 884974, 884978 8885008, 885009, 885010	• •	rders	book Air	3506.97	2.412
confirm order	2019/12/2615:40:51	{991274}	{885008, 885009, 885010		Idela	lle, iPhone X, Fire Stick, iPhone 8	1352.98	1.065
failed delivery	2019/12/2615:46:21	{991128, 991255}	{884426, 884932, 884999		·	o Show 8, Kindle Paperwhite, iPad mini, Kindle, iPhone X, iPhone 8, Echo Show	2145.97	3.6
playment reminder	2019/12/2615:54:44	{991169}	{884565, 884566, 884567, 884568}	{}	Gyunam Park	iPhone 8, Echo Plus, iPad Air, iPad mini	1608.99	2.21
pick item	2019/12/2615:55:38	{991201}	{884717}	{}	Seran Uysal	Echo Show 8	129.99	0.98
pick item	2019/12/2616:00:38	{991251}	{884912}	{}	Tobias Brockhoff	Fire Stick	39.99	0.2
reoder item	2019/12/2616:04:42	{991265}	{884977}	{}	Seran Uysal	Fire Stick 4K	89.99	0.28
paymentreminder	2019/12/2616:11:39	{991164}	{884542, 884543, 884544, 884545, 884546, 884547}	{}	Junxiong Gao	Kindle Paperwhite, iPad Air, iPhone 11, MacbBook Air, iPad mini, Echo Dot	4087.99	3.011
pick item	2019/12/2616:22:04	{991241}	{884882}	{}	Lisa Mannel	iPhone 8	529.0	0.21
create package	2019/12/2616:22:04	{991263, 99162}	{884967, 884964, 884966}	{660799}	Luis Santos	iPad Air, iPhone 8, iPad	1500.0	1.133

Let's make the following assumption



Activity	Time	Orders	Items		Packages	Customers	Products	Price	Weight
					ruckuges		Froducts	Frice	weignt
pick item	2019/12/2612:04:46	{991224}	{884803}		8	Wil van der Aglet	iPhone 8	529.0	0.21
reorder item	2019/12/2612:27:26	{991271}	{885002}		{}	this "	place order"	129.0	0.495
place order	2019/12/2612:44:23	{991283}	{885038, 885	039}				2700.0	1.733
pick item	2019/12/2614:01:16	{991266}	{884983}		Ü	OVAN	t refer to two	2200.0	1.25
create package	2019/12/2614:01:16	{991264}	{884975, 884	974, 884978, 884971,884970, 884973)	{660798}	eveni	reier to two	3506.97	2.412
send package	2019/12/2614:16:11	{991264}	{884975, 884	974, 884978, 884971, 884970, 884973}	{660798}		items	3506.97	2.412
pick item	2019/12/2614:16:48	{991279}	{885927}		{}	Claudia Graf	iPhone 11	799.0	0.166
confirm order	2019/12/2614:26:01	{991283}	038, 885 (912)	039}	{}	Luis Santos	MacBook Air, iPad	2700.0	1.733
reorder item	2019/12/2614:32:43	{991251}	4912 }		{}	Tobias Brockhoff	Fire Stick	39.99	0.2
confirm order	2019/12/2614:32:44	{991272}	#5036,885	037}	{}	Lisa Mannel	Echo, Echo Dot	134.98	1.16
pick item	2019/12/2614:33:28	{8850	85024}		{}	Junxiong Gao	MacBook Pro	2500.0	1.37
ev ev	ery "pic	k item	1 "	741, 885042, 885043, 885044}	Ð	Christine Dobbert	iPhone, Fire Stick, MacBook Air, Echo Show 8, iPhone 11 Pro	4222.98	2.79
fa				61, 884873, 884913, 884876, 884938, 884914, 884941,	{660790}	Tobias Brockhoff	iPad Air, Echo Studio, Echo Studio, Kindle, Kindkle Echo, iPad mini, iPad Pro, iPad Pro	5982.95	7.642
B eve	ent refe	rs to o	ne		{}	Junxiong Gao	iPhone 8	699.0	0.172
co				39, 884940, 884941, 884942, 884943}	{}	Tobias Brockhoff	this "failed del	iverv	2.666
pi eve	iter	n		22, 884923, 885004, 885005, 884901}	{660796}	Mohammadreza Fani Se	ani	7	2.666 1.054 2.412 1.065
fa				74, 884978, 884971, 884970, 884973}	{660798}	Seran Uysal	event refers to	2000	2.412
confirm order	2019/12/2615:40:51	{991274}	{885008, 885	009, 885010, 885011}	{}	Junxiong Gao	event refers to) OHE	1.065
failed delivery	2019/12/2615:46:21	{991128, 991255}	{884426,884	932, 884999, 885008, 885009, 885011, 884903}	{660797}	Junxiong Gao			3.6
playment reminder	2019/12/2615:54:44	{991169}	{884565.884	566, 884567, 884568}	1)	Gyunam Park	package, se	ven	2.21
pick item	2019/12/2615:55:38	{991201}	{884717}		ň	Seran Uysal			0.98
pick item	2019/12/2616:00:38	{991251}	{884912}		ń	Tobias Brockhoff	items, two or	ders.	0.98 0.2 0.28
reoder item	2019/12/2616:04:42	{991265}	{884977}		ń	Seran Uvsal			0.28
paymentreminder	2019/12/2616:11:39	{991164}		543, 884544, 884545, 884546, 884547}	Ö	Junxiong Gao	etc.		3.011
pick item	2019/12/2616:22:04	{991241}	{884882}			lian Mannal		F20.0	2.03
	<u> </u>			204 004000]	17 [000700]	Lisa Mannel	iPhone 8	529.0	0.21
create package	2019/12/2616:22:04	{991263, 99162}	{884967, 884	964, 884966}	{660799}	Luis Santos	iPad Air, iPhone 8, iPad	1500.0	1.133

No case notion



Activity	Time	Orders	Items	Packages	Customers	Products	Price	Weight
, , , , , , , , , , , , , , , , , , ,				ruckuges				
pick item	2019/12/2612:04:46	{991224}	{884803}	{}	Wil van der Aalst	iPhone 8	529.0	0.21
reorder item	2019/12/2612:27:26	{991271}	{885002}	{}	Mohammanadreza Fani Sani	Kindle Paperwhite	129.0	0.495
place order	2019/12/2612:44:23	{991283}	{885038, 885039}	{}	Luis Santos	MacBook Air, iPad	2700.0	1.733
pick item	2019/12/2614:01:16	{991266}	{884983}	{}	Marco Pegoraro	Machael Ar	2200.0	1.25
create package	2019/12/2614:01:16	{991264}	{884975, 884974, 884978, 884971,884970, 884973)	{660798}	Seran Uysal	Five object	T	412
send package	2019/12/2614:16:11	{991264}	{884975, 884974, 884978, 884971, 884970, 884973}	{660798}	Seran Uysal			412
pick item	2019/12/2614:16:48	{991279}	{885027}	{}	Claudia Graf	type.		166
confirm order	2019/12/2614:26:01	{991283}	{885038, 885039}	{}	Luis Santos	types:		166 733 0.2 1.16 1.37
reorder item	2019/12/2614:32:43	{991251}	{884912}	{}	Tobias Brockhoff			0.2
confirm order	2019/12/2614:32:44	{991272}	{885036, 885037}	{}	Lisa Mannel	A - 1 - 2 - 1 - 2 - 1 - 2		1.16
pick item	2019/12/2614:33:28	{885024}	{885024}	{}	Junxiong Gao	Orders,		1.37
place order	2019/12/2614:48:33	{991284}	{885040, 885041, 885042, 885043, 885044}	{}	Christine Dobbert	Cidcis,		2.79
failed delivery	2019/12/2615:04:53	{991240,991168}	{884879, 884561, 884873, 884913, 884876, 884938, 884914, 884941, }	{660790}	Tobias Brockhoff	Items,		642
pick item	2019/12/2615:20:05	{991278}	{885025}	{}	Junxiong Gao			.172 366 054 412 065 3.6
confirm order	2019/12/2615:25:00	{991248}	{884938, 884939, 884940, 884941, 884942, 884943}	{}	Tobias Brockhoff	-		666
send package	2019/12/2615:26:49	{991247, 881253}	{884902, 884922, 884923, 885004, 885005, 884901}	{660796}	Mohammadreza F	Dorolly or or or		054
failed delivery	2019/12/2615:36:16	{991264}	{884975, 884974, 884978, 884971, 884970, 884973}	{660798}	Seran Uysal		-	412
confirm order	2019/12/2615:40:51	{991274}	{885008, 885009, 885010, 885011}	{}	Junxiong Gao	i dokago	• 7	065
failed delivery	2019/12/2615:46:21	{991128, 991255}	{884426, 884932, 884999, 885008, 885009, 885011, 884903}	{660797}	Junxiong Gao	Packages ustomers,		
playment reminder	2019/12/2615:54:44	{991169}	{884565, 884566, 884567, 884568}	{}	Gyunam Park	listomers :		2,21
pick item	2019/12/2615:55:38	{991201}	{884717}	1	Seran Uysal	astolliols,		.98
pick item	2019/12/2616:00:38	{991251}	{884912}	{}	Tobias Brockhoff			2,21 .98 0.2 .28
reoder item	2019/12/2616:04:42	{991265}	{884977 }	{}	Seran Uysal	Draditate		.28
paymentreminder	2019/12/2616:11:39	{991164}	{884542, 884543, 884544, 884545, 884546, 884547}	{}	Junxiong Gao	Products Macobsockara Page minib sens bot	•	.011
pick item	2019/12/2616:22:04	{991241}	{884882}	{}	Lisa Mannel	iPhone 8	529.0	0.21
create package	2019/12/2616:22:04	{991263, 99162}	{884967, 884964, 884966}	{660799}	Luis Santos	iPad Air, iPhone 8, iPad	1500.0	1.133

Creating a conventional event log



Activity	Time	Orders	Items	Packages	Customers	Products		Price	Weight
pick item	2019/12/2612:04:46	{991224}	{884803}	f)	Wil van der Aalst	iPhone 8		529.0	0.21
reorder item	2019/12/2612:27:26	{991271}	{885002}	ň	Mohammanadreza Fani Sani	Kindle Paperwhite		129.0	0.495
place order	2019/12/2612:44:23	{991283}	{885038, 885039}	Ĭ)	Luis Santos	MacBook Air, iPad		2700.0	1.733
pick item	2019/12/2614:01:16	{991266}	{884983}	{}	Marco Pegoraro	Macbook Air		2200.0	1.25
create package	2019/12/2614:01:16	{991264}	{884975, 884974, 884978, 884971,884970, 884973)	{660798}	Seran Uysal	Fire Stick 4K, iPad Pro, iPad P Stick, Kindle	ro, iPad Pro, Fire	3506.97	2.412
send package	2019/12/2614:16:11	{991264}	{884975, 884974, 884978, 884971, 884970, 884973}	{660798}	Seran Uysal	Fire Stick 4K, iPad Pro, iPad P Stick, Kindle	ro, iPad Pro, Fire	3506.97	2.412
pick item	2019/12/2614:16:48	{991279}	{885027}	{}	Claudia Graf	iPhone 11		799.0	0.166
confirm order	2019/12/2614:26:01	{991283}	{885038, 885039}	{}	Luis Santos	MacBook Air, iPad	_	2700.0	1.733
reorder item	2019/12/2614:32:43	{991251}						39.99	0.2
confirm order	2019/12/2614:32:44	{991272}	Need to pick	a case	identifier			134.98	1.16
pick item	2019/12/2614:33:28	{885024}	need to pick	a case	idelitiisi.			2500.0	1.37
place order	2019/12/2614:48:33	{991284}					Echo Show 8,	4222.98	2.79
failed delivery	2019/12/2615:04:53	{991240,991168}		MC	7		io, Kindle, o, iPad Pro	5982.95	7.642
pick item	2019/12/2615:20:05	{991278}						699.0	0.172
confirm order	2019/12/2615:25:00	{991248}						3247.98	2.666
send package	2019/12/2615:26:49	{991247,881253}						8496.0	4.054
failed delivery	2019/12/2615:36:16	{991264}						3506.97	2.412
confirm order	2019/12/2615:40:51	{991274}	{885008, 885009, 885010, 885011}	{}	Junxiong Gao	Kindle, iPhone X, Fire Stick, iF	hone 8	1352.98	1.065
failed delivery	2019/12/2615:46:21	{991128, 991255}	{884426, 884932, 884999, 885008, 885009, 885011, 884903}	{660797}	Junxiong Gao	Echo Show 8, Kindle Paperwi Kindle, iPhone X, iPhone 8, Ec		2145.97	3.6
playment reminder	2019/12/2615:54:44	{991169}	{884565, 884566, 884567, 884568}	{}	Gyunam Park	iPhone 8, Echo Plus, iPad Air,	iPad mini	1608.99	2.21
pick item	2019/12/2615:55:38	{991201}	{884717}	{}	Seran Uysal	Echo Show 8		129.99	0.98
pick item	2019/12/2616:00:38	{991251}	{884912}	{}	Tobias Brockhoff	Fire Stick		39.99	0.2
reoderitem	2019/12/2616:04:42	{991265}	{884977}	{}	Seran Uysal	Fire Stick 4K		89.99	0.28
paymentreminder	2019/12/2616:11:39	{991164}	{884542, 884543, 884544, 884545, 884546, 884547}	{}	Junxiong Gao	Kindle Paperwhite, iPad Air, i MacbBook Air, iPad mini, Ech		4087.99	3.011
pick item	2019/12/2616:22:04	{991241}	{884882}	{}	Lisa Mannel	iPhone 8		529.0	0.21
create package	2019/12/2616:22:04	{991263, 99162}	{884967, 884964, 884966}	{660799}	Luis Santos	iPad Air, iPhone 8, iPad		1500.0	1.133

Need to flatten the event data when using a conventional process mining technique



Pick an object type as the case notion.

Replicate each event for each object of the corresponding type.

time	orders	items	packages
		•••	••••
2020-6-20	99001	{88001, 88002} 2	{}
•••	•••	•••	•••
	 2020-6-20 	 2020-6-20 99001 1 	 2020-6-20 99001 1 {88001, 88002} 2

- one event if order is used as a case notion
- 2 two events if item is used as a case notion
- one event if package is used as a case notion

Order as a case notion



activity	time	orders	items	packages
place order	2020-6-20	{99001}	{88001, 88002}	8
pick items	2020-6-22	{99001}	{88001}	0
pick item	2022-6-23	{99001}	{88002}	0
•••	•••		•••	
send package	2020-6-25	{99001, 99002}	{88002, 88003, 88004}	{66001}

Events may be duplicated

case

activity	time	orders	items	packages
•••				••••
place order	2020-6-20	99001	{88001, 88002}	8
pick item	2022-6-22	99001	{88001}	{ }
pick item	2022-6-23	99001	{88002}	{ }
•••	•••	••••	•••	
send package	2020-6-25	99001	{88002, 88003, 88004}	{66001}
send package	2020-6-25	99002	88002, 88003, 88004)	{66001}

Item as a case notion



activity	time	orders	items	packages
place order	2020-6-20	{99001}	{88001, 88002}	{ }
pick items	2020-6-22	{99001}	{88001}	{}
pick item	2022-6-23	{99001}	{88002}	{}
•••	•••	•••	•••	
send package	2020-6-25	{99001, 99002}	{88002, 88003, 88004}	{66001}

Events may be duplicated

case

activity	time	orders	items	packages
place order	2020-6-20	{99001}	88001	8
place order	2020-6-20	{99001}	88002	{ }
pick item	2022-6-22	{99001}	88001	{ }
pick item	2022-6-23	{99001}	88002	{ }
	•••	••••		
send package	2020-6-25	{99001, 99002}	88002	{66001}
send package	2020-6-25	{99001, 99002}	88003	{66001}
send package	2020-6-25	{99001, 99002}	88004	{66001}
•••	•••	••••		

Package as a case notion



activity	time	orders	items	packages
			•••	
place order	2020-6-20	{99001}	{88001, 88002}	{ }
pick items	2020-6-22	{99001}	{88001}	{ }
pick item	2022-6-23	{99001}	{88002}	{ }
•••	•••	•••	•••	
send package	2020-6-25	{99001, 99002}	{88002, 88003, 88004}	{66001}

activity time orders items packages send package 2020-6-25 99002 88002 66001

Events may disappear

Possible problems

Deficiency

Events in the original event log that have no corresponding events in the flattened event log may unintentionally disappear from the data set.

Convergence

Events referring to multiple objects of the selected type are replicated, possibly leading to unintentional duplication.

Divergence

Two events referring to two different objects of a type not selected as the case notion many be considered to be causally related but are not.



Possible problems

Deficiency

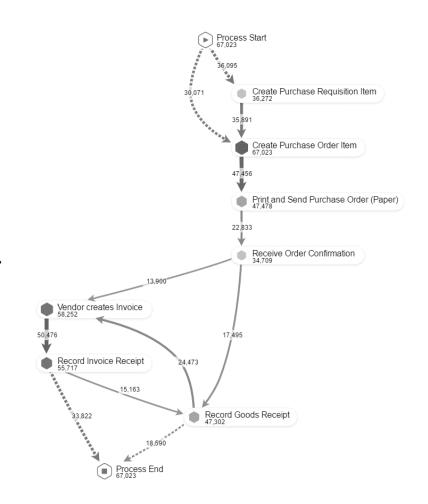
Events in the original event log that have no corresponding events in the flattened event log may unintentionally disappear from the data set.

Convergence

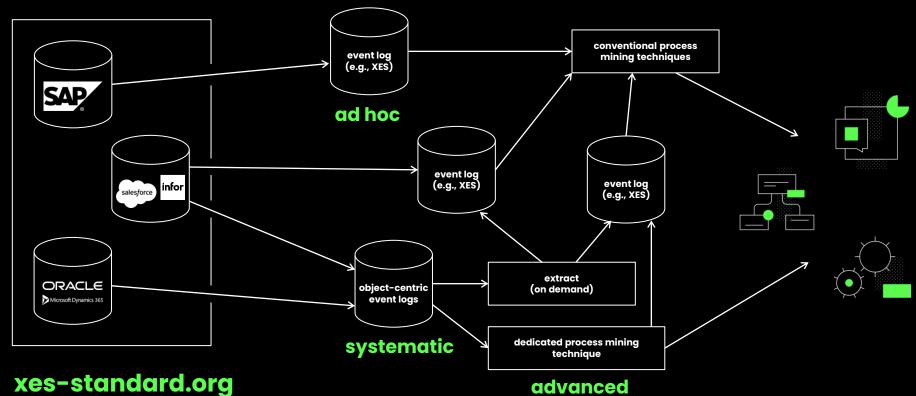
Events referring to multiple objects of the selected type are replicated, possibly leading to unintentional duplication.

Divergence

Two events referring to two different objects of a type not selected as the case notion many be considered to be causally related but are not.







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Importing data into Celonis

Supports XES and CSV formats

Connectors & Apps for a range of systems (SAP, Salesforce, servicenow, Oracle, etc.)

Generic or process specific (O2C, P2P, etc.)

Real-time data ingestion

Complex data models possible

Multi-event log aims to connect different processes

Excellent scalability

