## Recurrent Neural Network

Sequential data handling

## Agenda

Review

Sequential data

Words vector representation & embedding

Recurrent neural network (RNN)

Applications

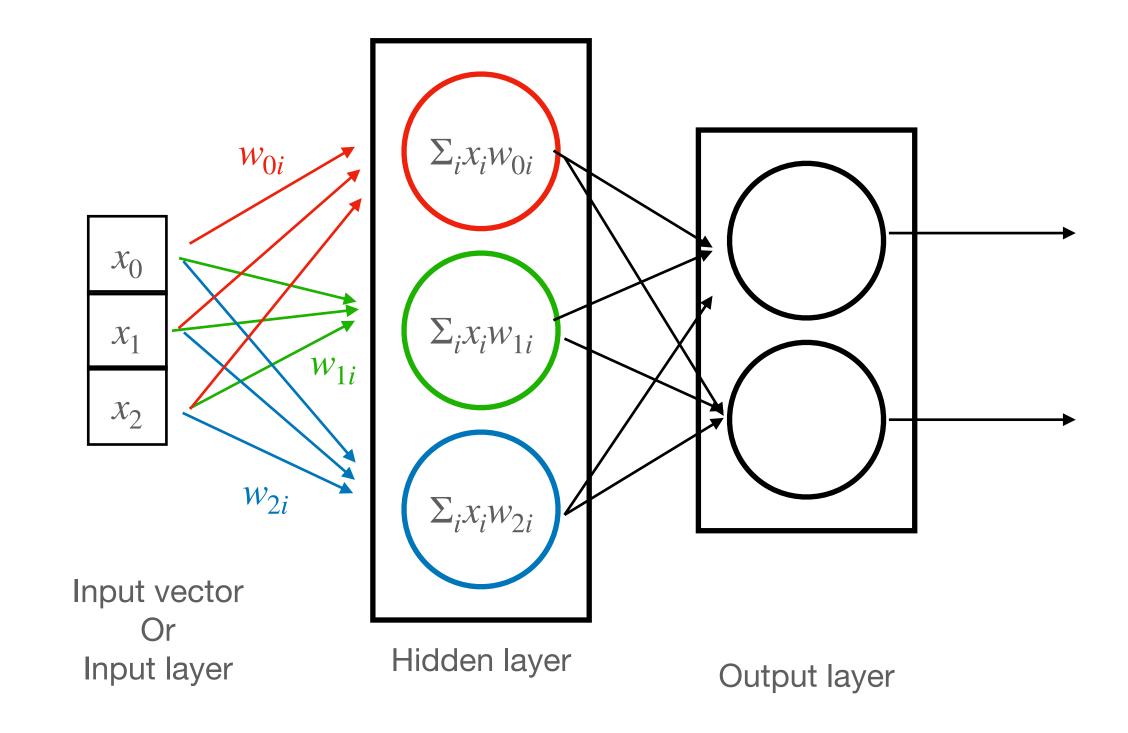
Fancy RNNs

Summary

## Review

#### Neural network

- Layer: multiple neurons
- Multiple layers: connecting many layers
- Neurons in each layers are connected
  - Also called FC (fully) connected) layers or MLP (multi-layer perceptron)



 $x_0$ 

 $\mathcal{X}_1$ 

 $W_{02}$ 

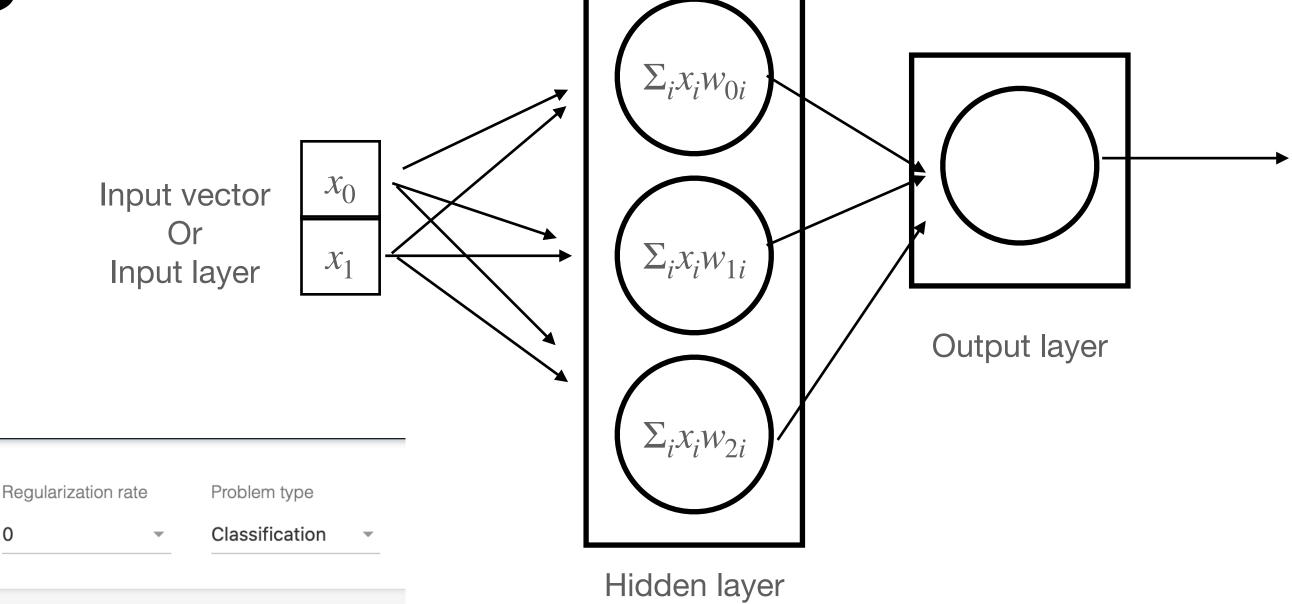
 $W_{12}$ 

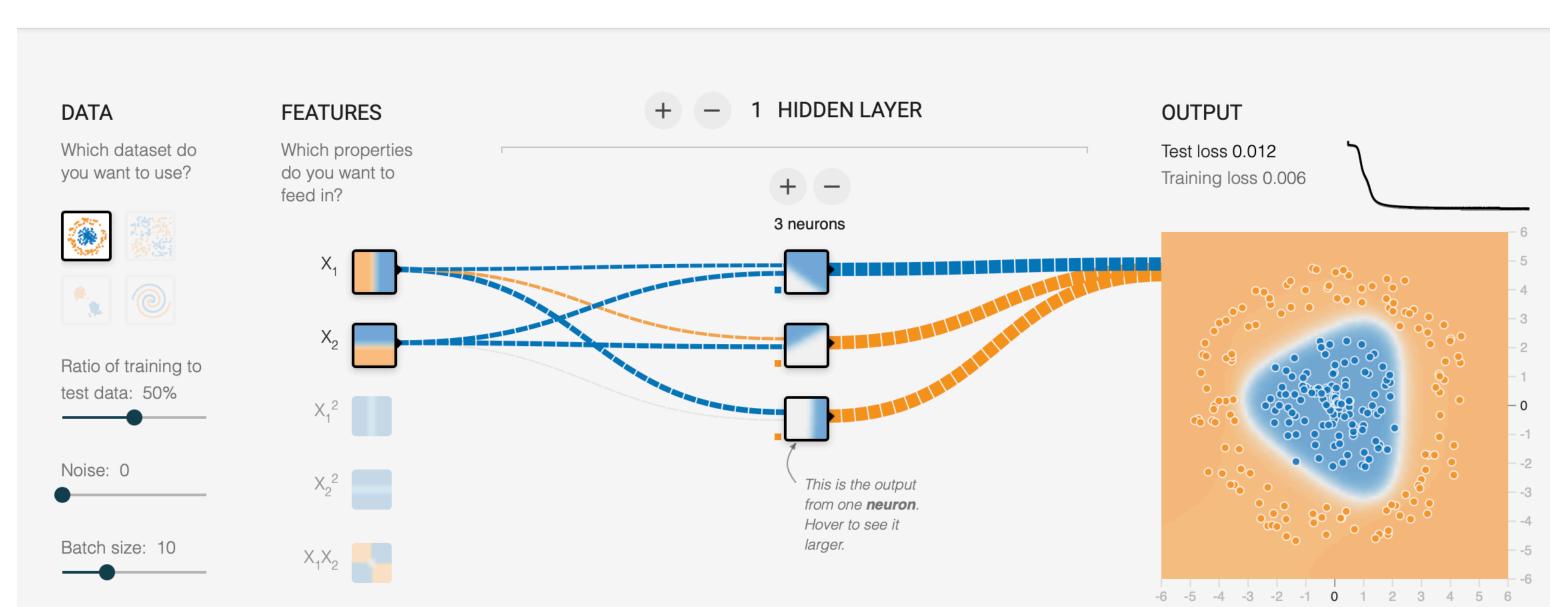
$$\begin{bmatrix} w_{00}x_0 + w_{01}x_1 + w_{02}x_2 \\ w_{10}x_0 + w_{11}x_1 + w_{12}x_2 \\ w_{20}x_0 + w_{21}x_1 + w_{22}x_2 \end{bmatrix} = \begin{bmatrix} w_{00} & w_{01} & w_{02} \\ w_{10} & w_{11} & w_{12} \\ w_{20} & w_{21} & w_{22} \end{bmatrix} \begin{bmatrix} x_0 \\ x_1 \\ x_2 \end{bmatrix}$$

Neural network playground

- Great way to gain intuition
- http://playground.tensorflow.org

001,475





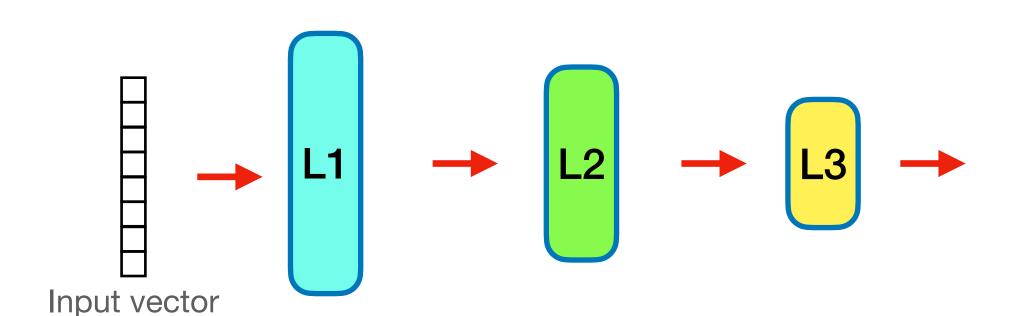
Activation

Sigmoid

Regularization

## Simple neural network example

- Simple 3 layers neural network
- In each layer:
  - Input: vector
  - Output: vector
  - Learned parameters: matrix
  - Operations:
    - Multiply the input vector with weight matrix
    - Apply element-wise non-linear operations: ReLU \*



- Neural network training
  - Forward propagation
  - Backward propagation
  - Weight update

## Sequential data

#### Sequential problems

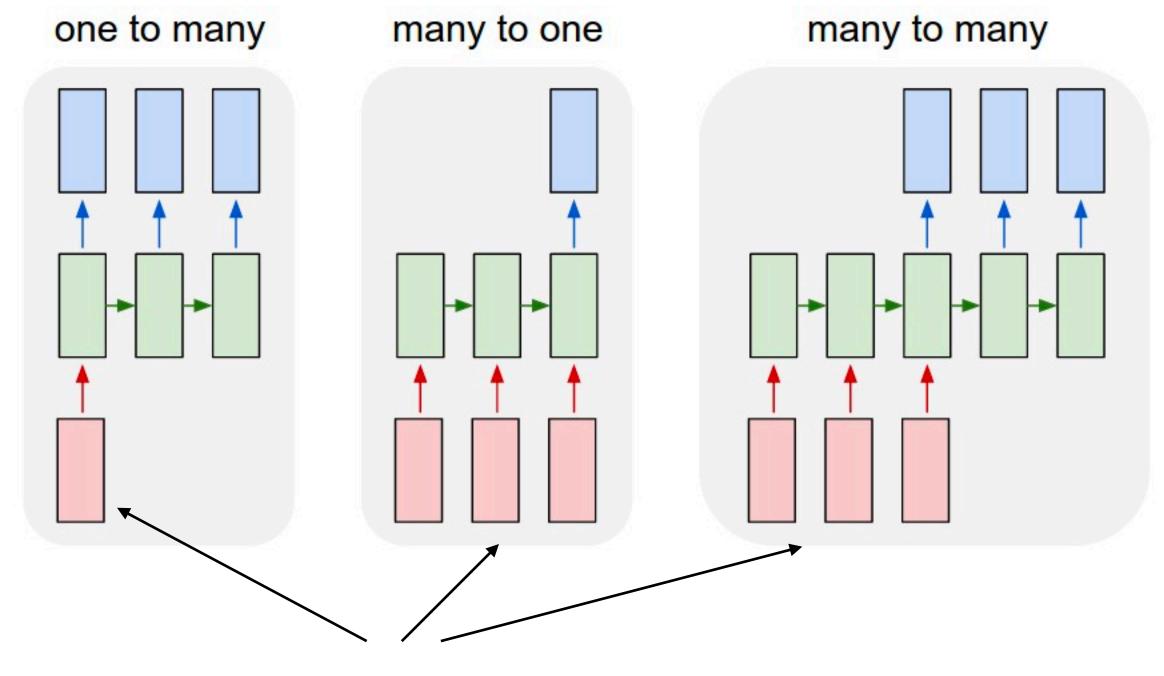
- There are many applications requires sequential data processing
- Sequential data: data at time t has relationship with previous time steps
- Various types of input and outputs used in sequential applications
- A regular neural network might not use the sequential nature of the input data
- A neural network might not generate sequential outputs

Types	Input	Output		
Time series forecasting	Time series	Next time step prediction		
Sentiment analysis	movie review Retten Tomatoes	Predicted sentiment		
Language translation	English text	日本語 普通话 한국어		
Speech recognition	Apple of the state	Transcribed text		
Document summarization	Document text	Summarized text		
Image captioning		Describing scene, planes flying		
Question answering	what is the tallest building in the world?	Shanghai Tower		

Adapted from: "RNNs" section of Full Stack Deep Learning

#### Sequential problems

- Problem setup of sequential problems
- One to many:
  - Image captioning
- Many to one:
  - Sentiment analysis, time series forecast
- Many to many:
  - Machine translation



Input sequence vectors

# Words vector representation & embedding

#### Text and one-hot encoding

#### Input needs to be vector

- Text
- Tokenization:
- Vocabulary: fixed sized dictionary
- Token (word): can be converted to number using the vocabulary
- One hot encoding, all 0s, except one 1

```
the time machine by h g wells

['the', 'time', 'machine', 'by', 'h', 'g', 'wells']
```

```
[1, 19, 50, 40, 2183, 2184, 400]
```

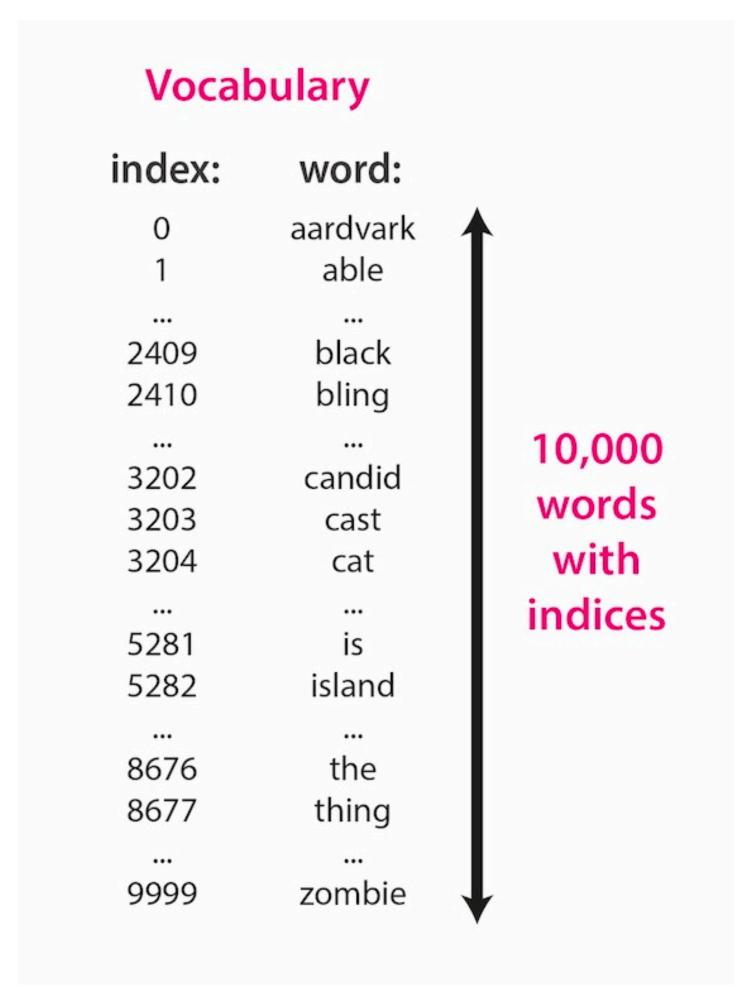
Tokens example with vocab size 10:[1, 5, 9, 3]

One-hot encodings of [1, 5, 9, 3]

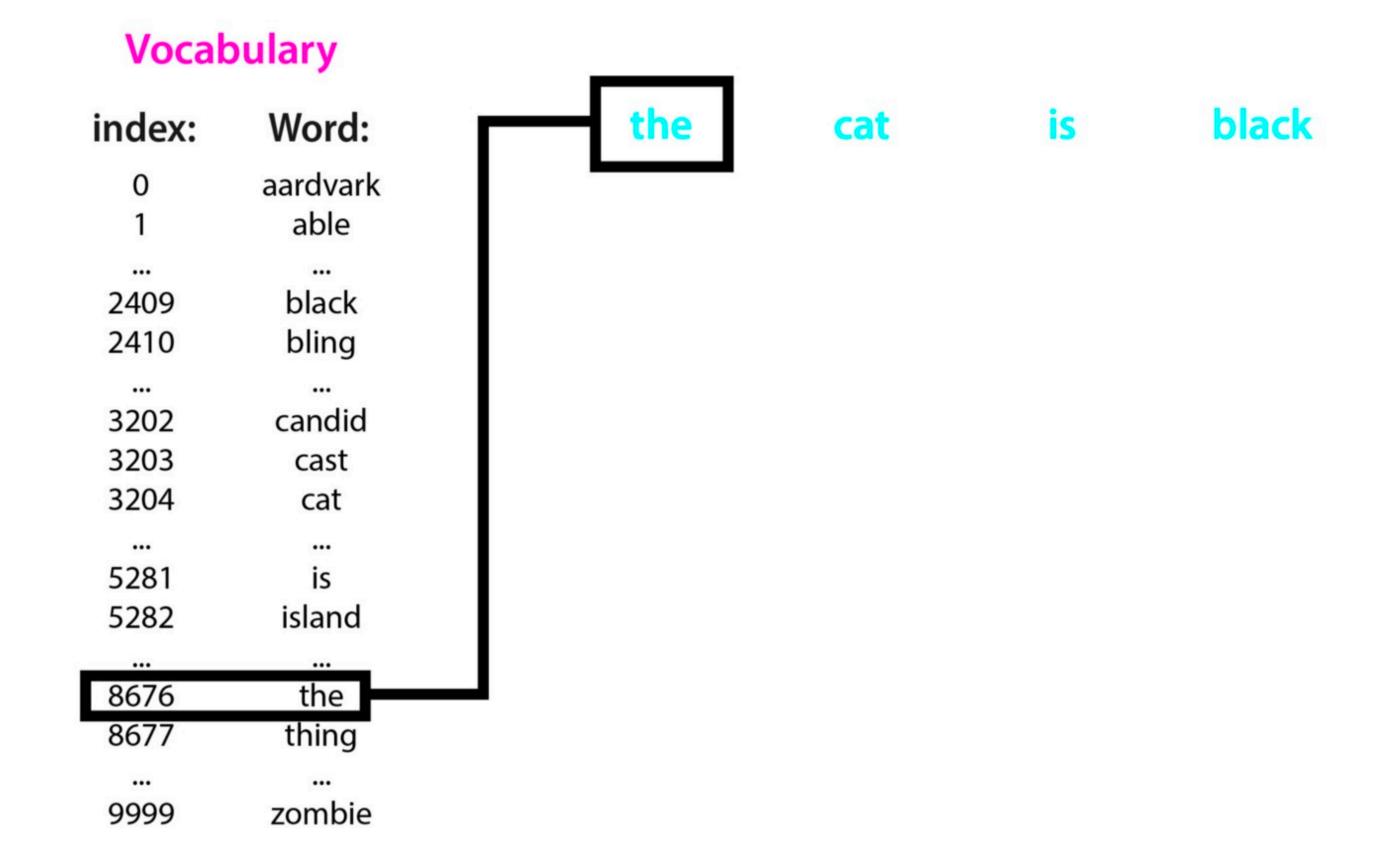
```
[0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0]
[0, 0, 0, 0, 0, 1, 0, 0, 0, 0]
[0, 0, 0, 0, 0, 0, 0, 0, 0, 1]
[0, 0, 0, 1, 0, 0, 0, 0, 0, 0]
```

Adapted from: https://d2l.ai/chapter\_recurrent-neural-networks/text-preprocessing.html

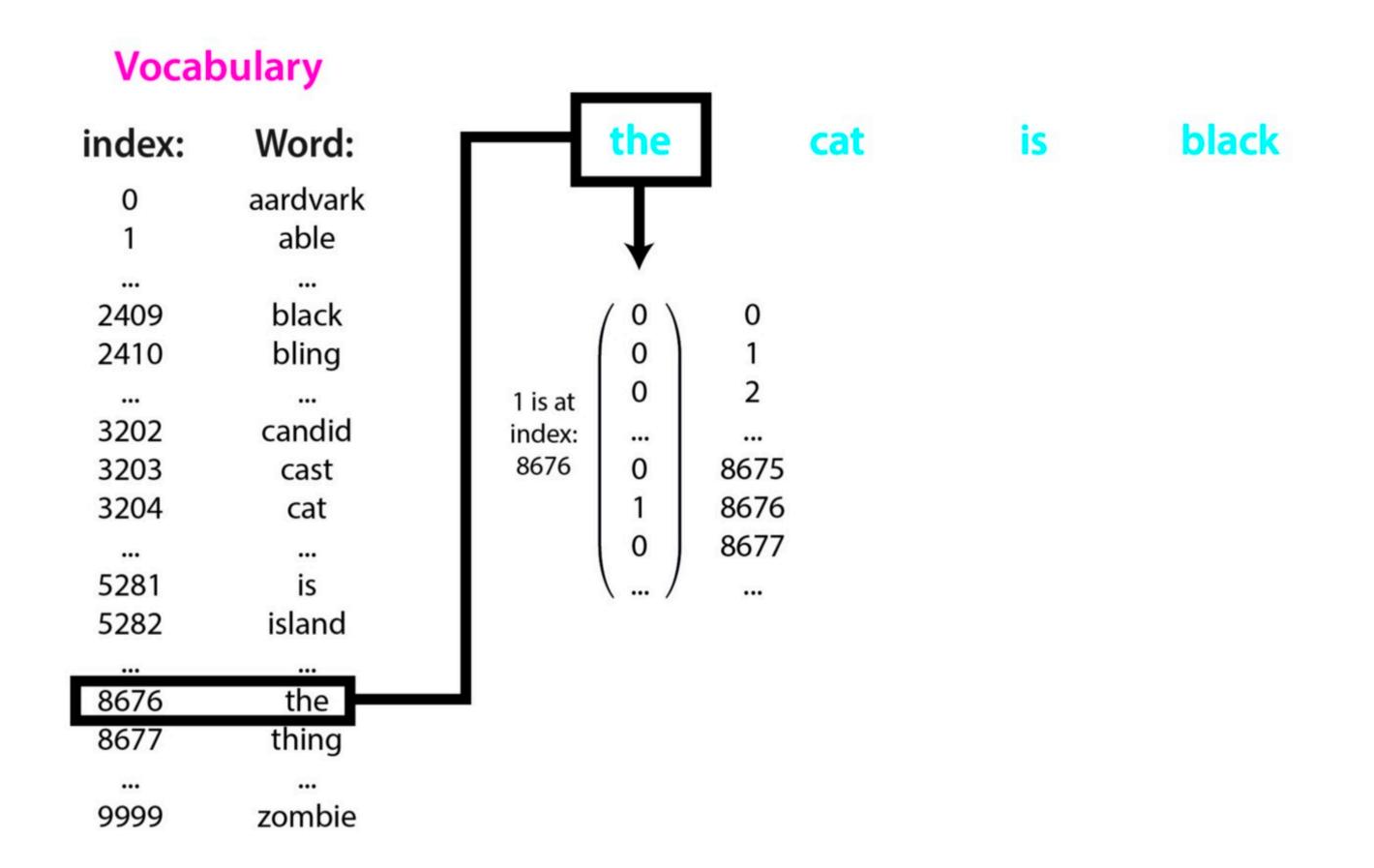
 Define vocabulary with index



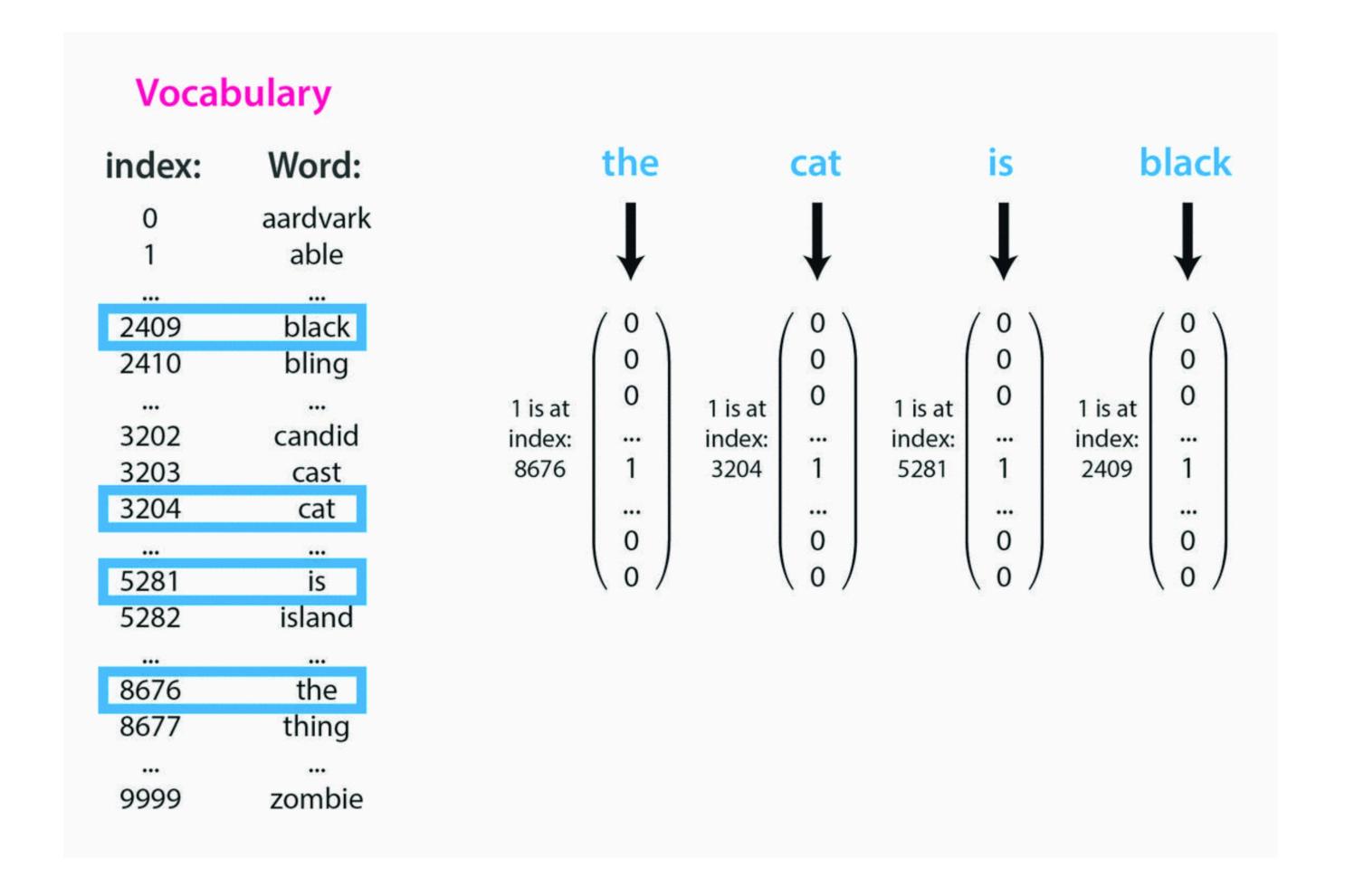
 Define vocabulary with index



- Define vocabulary with index
- Represent the word as onehot vector based on vocabulary index



- Define vocabulary with index
- Represent the word as onehot vector based on vocabulary index



#### One-hot encoding

- Issue of one-hot encoding
  - Using this discrete encoding, hard to find the relationship (similar or dissimilar) between tokens
  - Scale poorly as vocab. size grows
  - NN works poorly since input is very sparse

#### One hot encoding:

```
Computers: [0, 0, 0, 0, 0, 0, 0, 0, 0, 1]
Hardware: [0, 1, 0, 0, 0, 0, 0, 0, 0, 0]
```

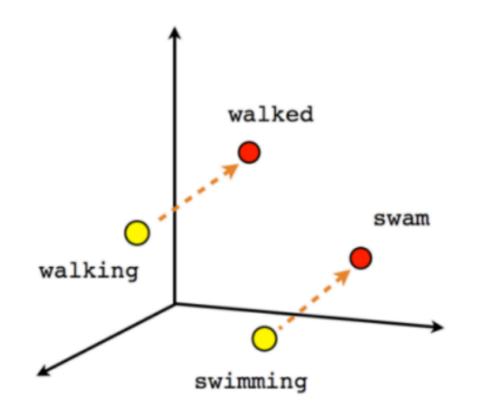
#### One-hot vector to dense vector

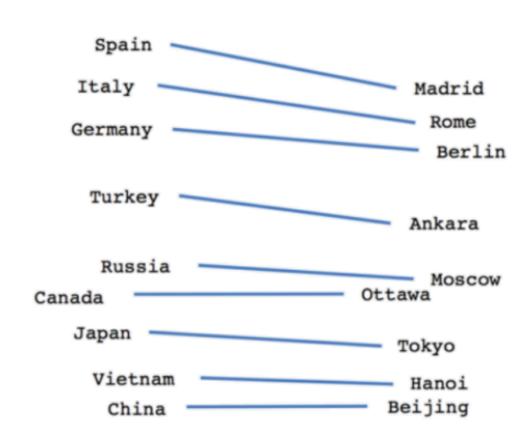
- As a thought exercise
- Hand crafted vectors per word based on some features
- This is called embedding
  - Convert sparse vector to dense vector
- Issues:
  - Limitation in hand craft
  - Any other way to generate these types of vectors?

	animal	RIVERIA	ess danos	ROOMS
aardvark	0.97	0.03	0.15	0.04
black	0.07	0.01	0.20	0.95
cat	0.98	0.98	0.45	0.35
duvet	0.01	0.84	0.12	0.02
zombie	0.74	0.05	0.98	0.93

#### Word2vec

- Word2vec embeddings (Ref [3])
  - Project one-hot encodings to high dimensional vector space so that tokens with similar meaning to be close to each other
  - Trained with language model
  - Example
    - Man is to king, and woman is to Queen
      - $king man + woman \approx queen$
    - Country to capital





Verb tense

man woman queen

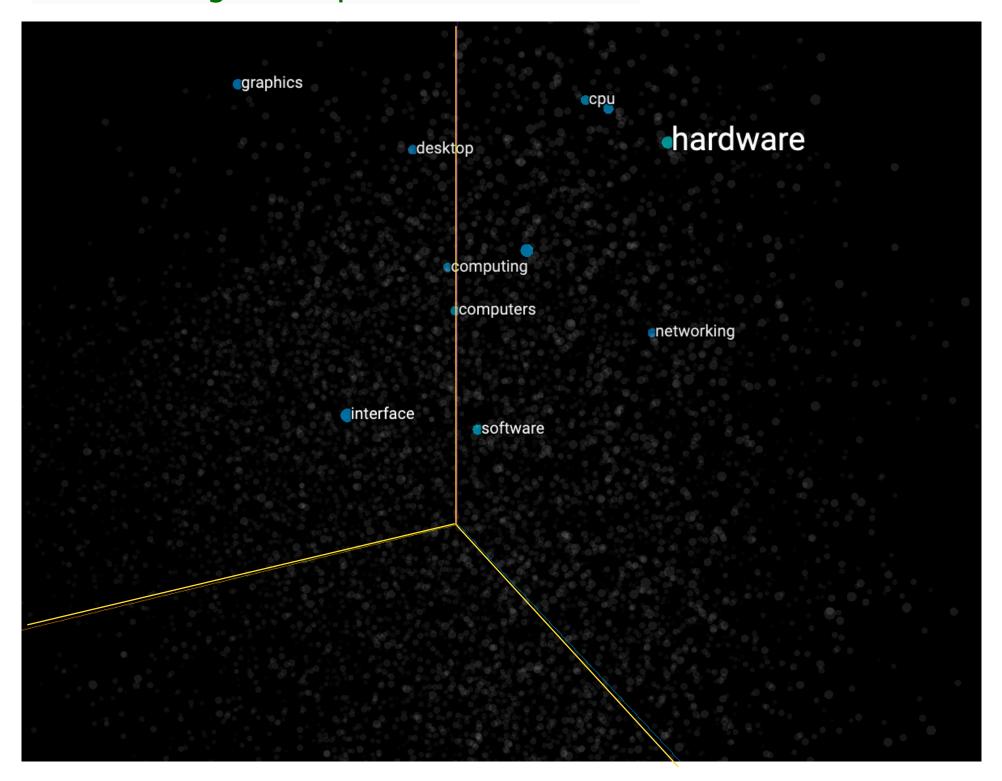
Male-Female

Country-Capital

#### Word2vec

- Word2vec embeddings (Ref [3])
  - Visualization: <a href="https://">https://</a>
     projector.tensorflow.org

#### Embedding example (word2vec)

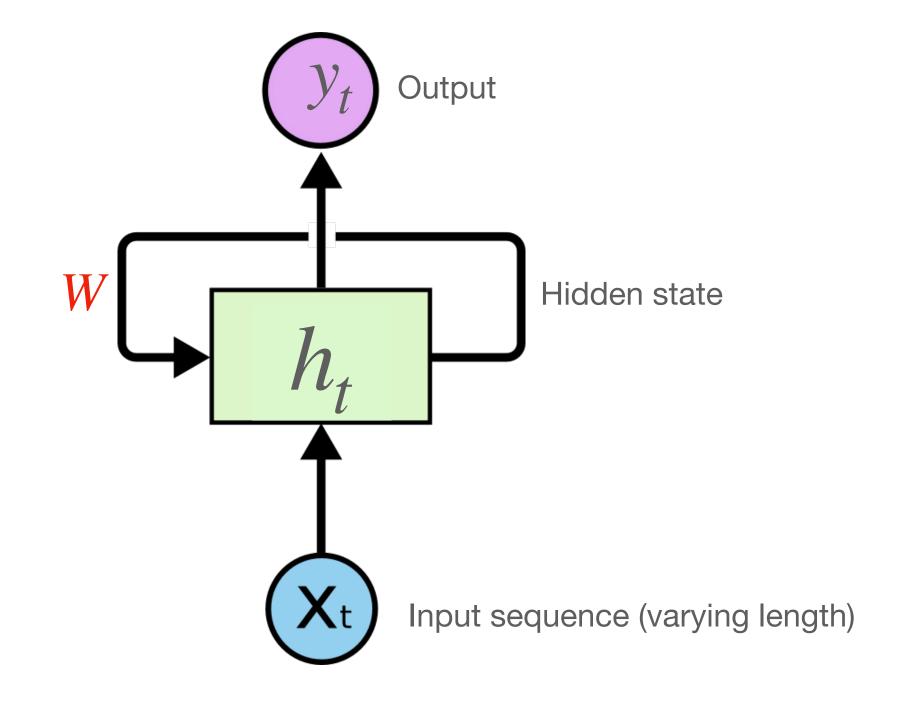


## RNN

#### Recurrent neural network

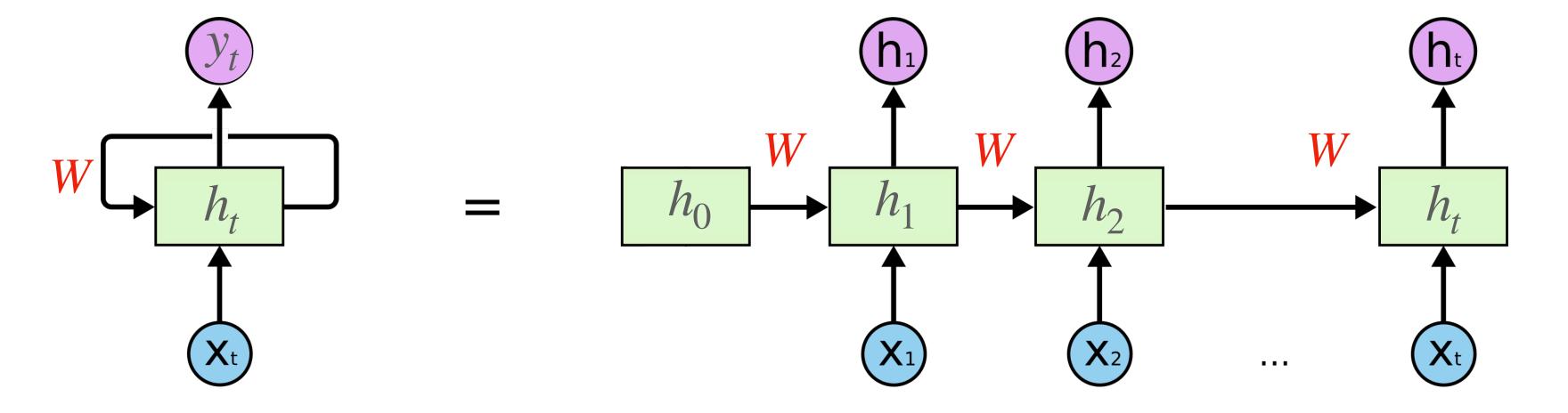
- Neural network has two inputs
  - Input from the current time t
  - Hidden state from time (t-1)
- Allows stateful computation
- Any input sequence lengths can be processed
  - This cannot be done with NN
- ullet Apply same W repeatedly

$$y_t, h_t = f(x_t, h_{t-1})$$



#### RNN

- The rollout representation
- Same model is used



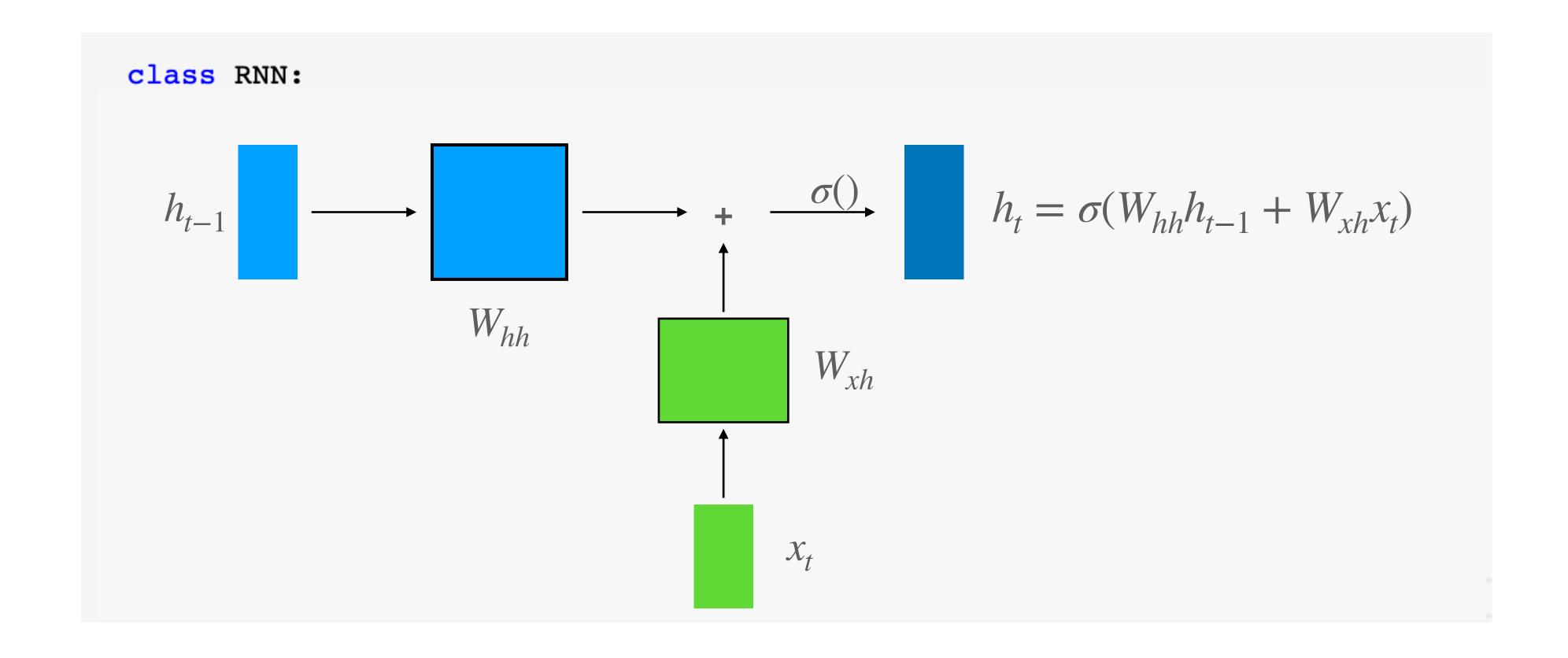
$$y_t, h_t = f(x_t, h_{t-1})$$

#### RNN in code

```
class RNN:
 # ...
  def compute_next_h(self, x):
   # Vanilar RNN hidden computation
    self.h = np.tanh(np.dot(self.W_hh, self.h) + np.dot(self.W_xh, x))
   return h
  def step(self, x):
   # update the hidden state
    self.h = self.compute_next_h(x)
   # compute the output vector
    y = np.dot(self.W_hy, self.h)
    return y
```

$$h_t = \sigma(W_{hh}h_{t-1} + W_{xh}x_t)$$

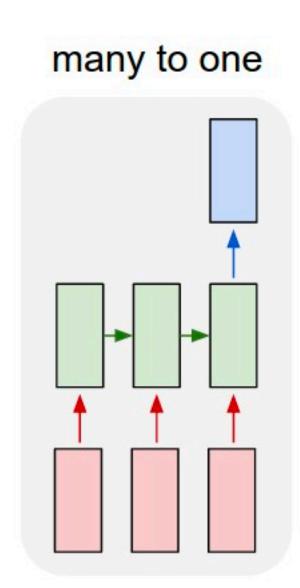
#### RNN in code



## Applications

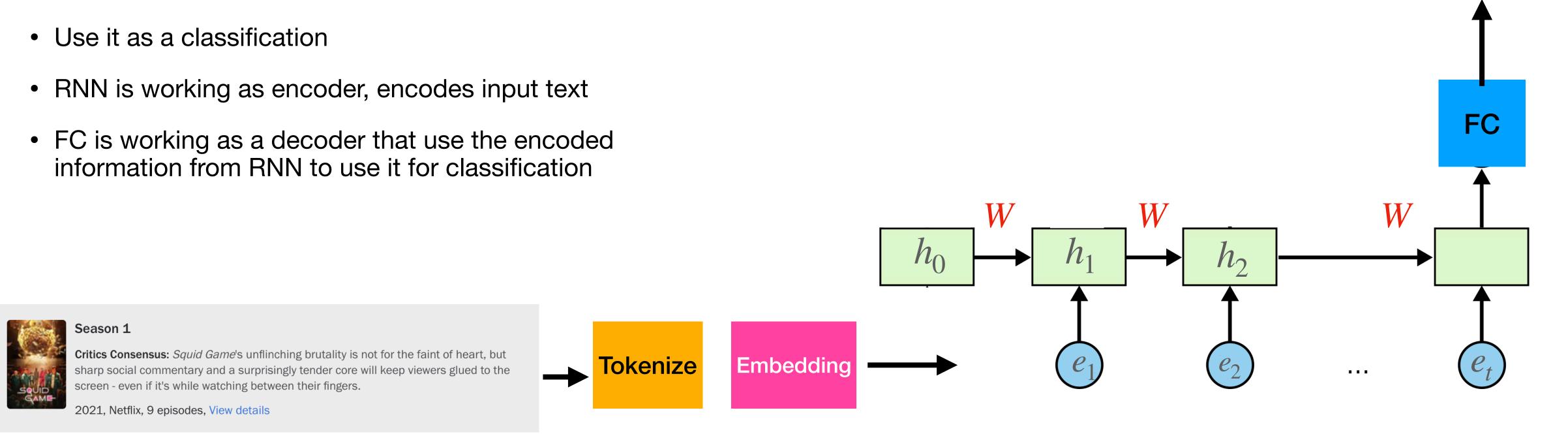
#### Many to one setup

- Give input sequence, compute a single output
- Example
  - sentiment analysis



#### Many to one setup

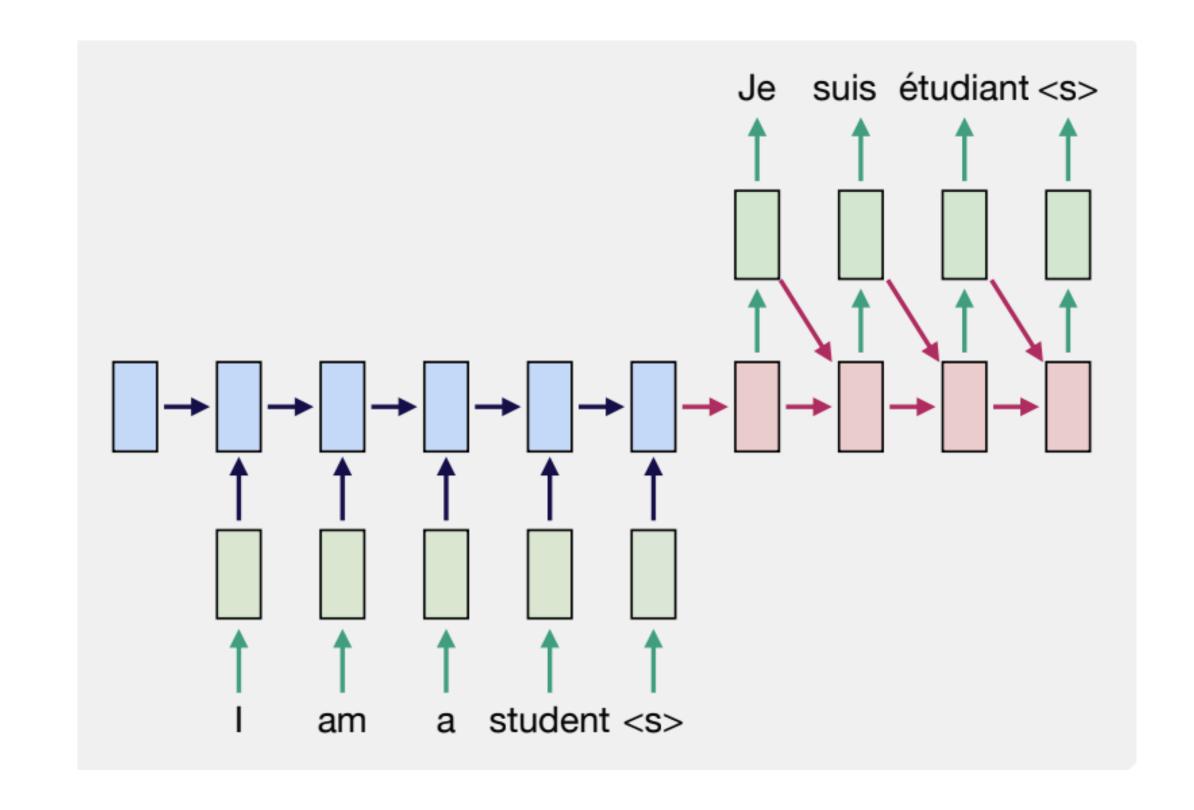
Add the fully connected layer at the last hidden state



Input text

#### Many to many setup

- Many to many setup consists of encoder RNN and decoder RNN
- Encoder decoder architecture
  - Also called sequence to sequence (seq2seq)
- Dramatically replace all statistical machine translation (SMT) method to RNN based neural machine translation (NMT) in 2014



# Fancy RNNs

#### Fancy RNNs

- Vanilla RNN has difficulty of capturing long term relations due to vanishing gradient
  - Fancy RNN that allows to capture past state better, LSTM (long short term memory), GRU (Gated recurrent unit)
- Stacked RNN: stacking RNNs to capture more complex information
- Bi-directional RNN: to capture in both forward and backward directional relations

# Summary

#### Summary

- RNN can capture sequential relations from input data
- There are several configurations to use RNN, many-to-one, many-to-many, etc.
- Text dataset will go through tokenization, and then use embedding to represent high-dim information efficiently
- There are advanced RNN models, LSTM, GRU, and stacked RNN and bidirectional RNN
- Spoiler alert: RNN based NMT is replaced with Transformer based NMT in 2019!

#### Credits

The following materials are used for this slide.

- 1. "Recurrent Neural Networks" section of Dive into Deep Learning
- 2. "RNNs" section of Full Stack Deep Learning
- 3. <u>Understanding LSTM Networks</u>
- 4. Why do we use word embeddings in NLP?

#### References

- 1. Neural network
- 2. Language Models and Recurrent Neural Networks
- 3. The Illustrated Word2vec