



# 컴퓨터 공학 특강

## FaceNet

A Unified Embedding for Face Recognition and Clustering



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인공지능 연구실  
김지성, 조건희

# Introduction

## FaceNet



Identification



```
array([-0.07440512, 0.13833548, 0.01550988, -0.04143589, -0.11708137,  
0.01741652, -0.09219746, -0.0411015, 0.12901564, -0.03510666,  
0.26017255, 0.01175268, -0.23214489, -0.10993981, -0.06433399,  
0.14533579, -0.18374404, -0.0706907, 0.01960303, 0.03927957,  
0.17917837, 0.10840175, 0.06728961, 0.01386871, -0.0921066,  
-0.32157087, -0.06875613, -0.11010353, 0.02105946, -0.09806988,  
-0.09223023, -0.01714757, -0.16107245, -0.04865564, 0.05062422,  
0.04144309, -0.03346116, -0.03011878, 0.15263124, 0.01069992,  
-0.23673587, 0.05740198, 0.03050802, 0.23846291, 0.20607698,  
0.01160336, 0.00998583, -0.15661725, 0.09741502, -0.11773828,  
0.08130169, 0.15210505, 0.14222656, 0.02321066, 0.00600557,  
-0.07693202, -0.02959017, 0.15295519, -0.13042481, 0.03233573,  
0.1088061, -0.05199346, -0.01501178, -0.08011279, 0.17588341,  
0.02202863, -0.12124902, -0.26303217, 0.06608438, -0.123976,  
-0.14779539, 0.1516934, -0.16154116, -0.1716671, -0.25228465,  
0.01856503, 0.36660314, 0.04856375, -0.18907131, 0.05604936,  
-0.05154709, -0.04398936, 0.08519959, 0.14640823, 0.00993889,  
0.02739849, -0.11102622, 0.01848426, 0.23328975, -0.11351117,  
-0.04641433, 0.22538319, -0.00492372, 0.10828383, 0.02823116,  
0.02502055, -0.02946196, 0.0702677, -0.09549975, -0.0334047,  
0.00996118, -0.08729131, -0.04567208, 0.09973253, -0.14260028,  
0.10422572, -0.00379006, 0.05201333, 0.02785442, -0.05933321,  
-0.09983464, -0.02418252, 0.13822252, -0.24259827, 0.2536535,  
0.12104575, 0.14091188, 0.07011457, 0.10865125, 0.04752614,  
0.02150964, -0.04581762, -0.23496597, -0.01055925, 0.11252803,  
-0.05433004, 0.10194337, -0.02596316])
```

128d 벡터

# Introduction

## 목표



A인물의 사진1



FaceNet



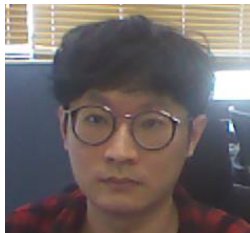
128d 벡터

Euclidean distance

```
array([-0.07440512, 0.13833548, 0.01550988, -0.04143589, -0.11708137,
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        0.26017255, -0.01175268, -0.23214489, -0.10993981, -0.06433399,
        0.14533579, 0.18374404, -0.0706907, 0.01960303, 0.03927957,
        0.17917837, 0.10840175, 0.06728961, 0.01386871, -0.0921066,
        -0.32157087, -0.06875613, -0.11070253, 0.02105946, -0.09806988,
        -0.09232023, -0.01714757, -0.16107245, -0.04865564, 0.05062422,
        0.04144309, -0.03346116, -0.03011878, 0.15263124, 0.01069992,
        -0.23673587, 0.05740198, 0.03050802, 0.23846291, 0.20607698,
        0.01160336, 0.00998583, -0.15661725, 0.09741502, -0.11738028,
        0.08130169, 0.15210505, 0.14222656, 0.02321066, 0.00600557,
        -0.07693202, -0.02959017, 0.15295519, -0.13042481, 0.03232573,
        0.1088061, -0.05199346, -0.01501178, -0.08011279, 0.17588341,
        0.02202863, 0.12124902, -0.26303217, 0.06608438, -0.123976,
        -0.14779539, 0.1516934, -0.16154116, -0.1716671, -0.25228465,
        0.01856503, 0.36660314, 0.04856375, -0.18907131, 0.05604936,
        -0.05154709, -0.04399936, 0.08519959, 0.14640823, 0.00993883,
        0.02799449, 0.11102622, 0.01848426, 0.23328975, -0.1151117,
        -0.04641433, 0.22538319, -0.00492372, 0.10828383, 0.02823116,
        0.02050055, 0.02946196, 0.0702077, -0.09549975, -0.034047,
        0.00996118, -0.08729131, -0.04567208, 0.09973253, -0.14260028,
        0.10422572, -0.00379006, 0.05201333, 0.02785442, -0.05933321,
        -0.09984644, -0.02418252, 0.13822252, -0.24259827, 0.2536359,
        0.12104575, 0.14091188, 0.07011457, 0.10865125, 0.04752614,
        0.02150964, -0.04581762, -0.23495997, -0.01055925, 0.11252803,
        -0.05433004, 0.10194337, -0.02596316])
```



0.78



A인물의 사진2



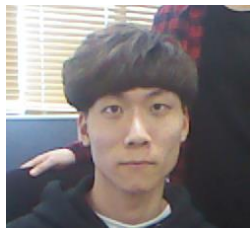
FaceNet



```
array([-0.08902847, 0.14762324, 0.05718813, -0.05012994, -0.09676401,
        0.02028476, -0.08040992, -0.0386999, 0.10365386, -0.037321,
        0.2729257, -0.0259607, -0.23816103, -0.09732635, -0.03333118,
        0.1333721, -0.19538365, -0.07668579, 0.00275577, 0.03931012,
        0.15075588, 0.08741103, 0.0678171, 0.01460525, -0.0829172,
        -0.33090472, -0.06750867, -0.10570621, 0.01662678, -0.11775655,
        -0.10916033, -0.04655602, -0.17818785, -0.05166518, 0.04875493,
        0.0426253, -0.0591871, -0.04563718, 0.16380328, 0.00791238,
        -0.22012679, 0.04299945, 0.04911528, 0.23999002, 0.21590501,
        0.00269029, 0.00836444, -0.13134141, 0.08846345, -0.162596,
        0.11051578, 0.15520622, 0.12857951, 0.09687075, 0.01556353,
        -0.09530104, -0.04209765, 0.14815718, 0.10712323, 0.03637652,
        0.11120091, -0.05348029, -0.02427355, -0.06907345, 0.17457779,
        0.04438576, -0.1614309, -0.27176958, 0.04076207, -0.10192671,
        -0.13134097, 0.16820309, 0.17274556, -0.1703801, -0.25191919,
        0.0466072, 0.38125145, 0.03306871, -0.19173753, 0.01305585,
        -0.07093189, -0.05639979, 0.07098941, 0.15101001, 0.02202412,
        0.0188837, -0.10916664, 0.04692536, 0.23276467, -0.10933174,
        -0.06033619, 0.2151441, -0.01290991, 0.10794014, 0.02028765,
        0.05325544, -0.03414371, 0.095746, -0.1088978, -0.0816046,
        0.01456824, -0.07452301, -0.06755616, 0.11638117, -0.13528842,
        0.01066828, 0.00977207, 0.02836292, 0.02860941, -0.05801094,
        0.10640397, -0.03940248, 0.10243178, -0.23706993, 0.24780291,
        0.14584672, 0.13665006, 0.05027201, 0.09039105, 0.03249723,
        0.04752003, -0.02310374, -0.21511188, -0.00798578, 0.091917771,
        -0.01307906, 0.06952387, -0.03653527])
```



1.33



B인물의 사진1



FaceNet



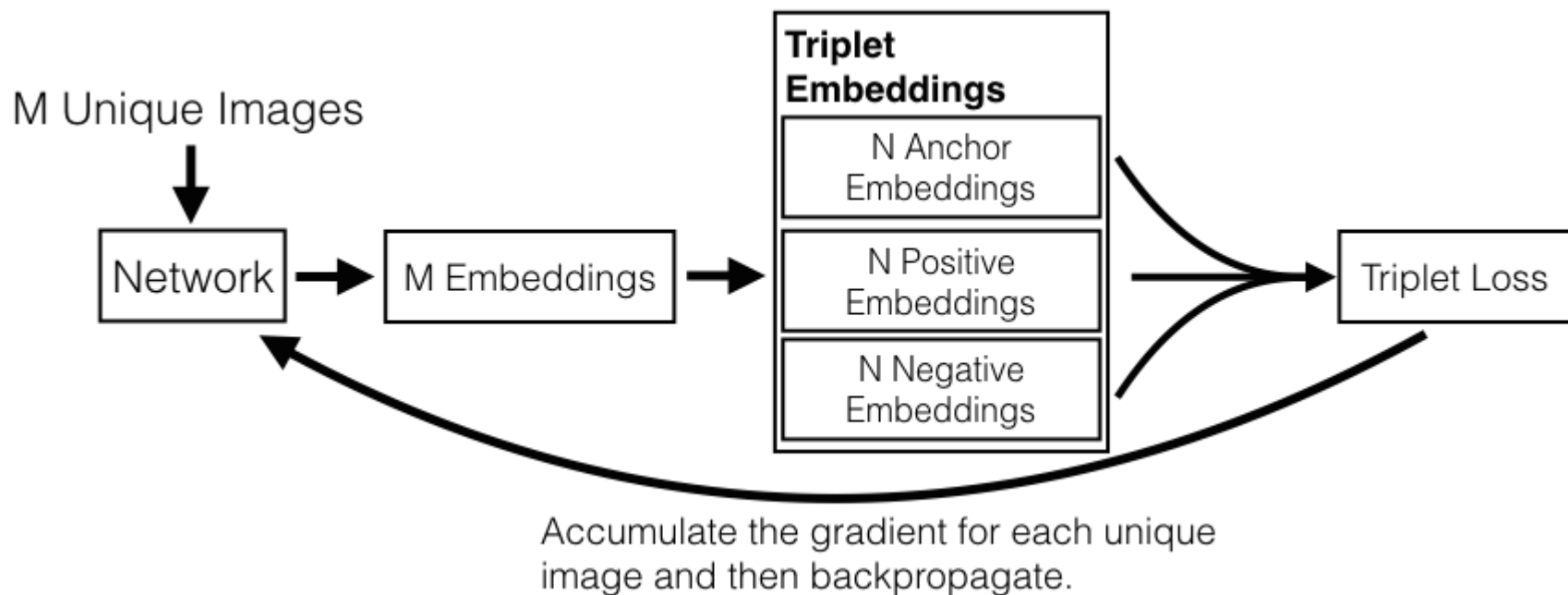
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array([-0.07440512, 0.13833548, 0.01550988, -0.04143589, -0.11708137,
        0.01741652, -0.09219746, -0.0411015, 0.12901564, -0.03510666,
        0.26017255, -0.01175268, -0.23214489, -0.10993981, -0.06433399,
        0.14533579, 0.18374404, -0.0706907, 0.01960303, 0.03927957,
        0.17917837, 0.10840175, 0.06728961, 0.01386871, -0.0921066,
        -0.32157087, -0.06875613, -0.11070253, 0.02105946, -0.09806988,
        -0.09232023, -0.01714757, -0.16107245, -0.04865564, 0.05062422,
        0.04144309, -0.03346116, -0.03011878, 0.15263124, 0.01069992,
        -0.23673587, 0.05740198, 0.03050802, 0.23846291, 0.20607698,
        0.01160336, 0.00998583, -0.15661725, 0.09741502, -0.11738028,
        0.08130169, 0.15210505, 0.14222656, 0.02321066, 0.00600557,
        -0.07693202, -0.02959017, 0.15295519, -0.13042481, 0.03232573,
        0.1088061, -0.05199346, -0.01501178, -0.08011279, 0.17588341,
        0.02202863, 0.12124902, -0.26303217, 0.06608438, -0.123976,
        -0.14779539, 0.1516934, -0.16154116, -0.1716671, -0.25228465,
        0.01856503, 0.36660314, 0.04856375, -0.18907131, 0.05604936,
        -0.05154709, -0.04399936, 0.08519959, 0.14640823, 0.00993883,
        0.02799449, 0.11102622, 0.01848426, 0.23328975, -0.1151117,
        -0.04641433, 0.22538319, -0.00492372, 0.10828383, 0.02823116,
        0.02050055, -0.02946196, 0.0702077, -0.09549975, -0.034047,
        0.00996118, -0.08729131, -0.04567208, 0.09973253, -0.14260028,
        0.10422572, -0.00379006, 0.05201333, 0.02785442, -0.05933321,
        -0.09984644, -0.02418252, 0.13822252, -0.24259827, 0.2536359,
        0.12104575, 0.14091188, 0.07011457, 0.10865125, 0.04752614,
        0.02150964, -0.04581762, -0.23495997, -0.01055925, 0.11252803,
        -0.05433004, 0.10194337, -0.02596316])
```



1.27

# Introduction

구조



FaceNet Architecture

# Method

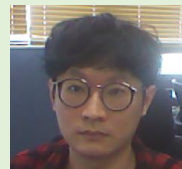
## FaceNet - Triplet

Triplet : 세 개의 데이터

- Anchor( $x_i^a$ ) : 기준 얼굴의 벡터
- Positive( $x_i^p$ ) : 기준과 같은 인물의 얼굴의 벡터
- Negative( $x_i^n$ ) : 기준과 다른 인물의 얼굴의 벡터



Anchor



Positive

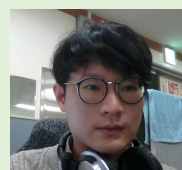


Negative

Triplet 1



Anchor



Positive



Negative

Triplet 2

...



Anchor



Positive



Negative

Triplet N

## FaceNet - Triplet

Triplet : 세 개의 데이터

- Anchor( $x_i^a$ ): 기준 얼굴의 벡터
- Positive( $x_i^p$ ): 기준과 같은 인물의 얼굴의 벡터
- Negative( $x_i^n$ ): 기준과 다른 인물의 얼굴의 벡터

trmf-0071642, 0.0133066, 0.0149175, 0.0200466, 0.0098117001  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
 0.1453593, 0.1304873, 0.0070888, 0.0019603, 0.0087957  
 0.1917193, 0.1584673, 0.0073995, 0.0018471, 0.0095426  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
 0.0212933, 0.0171497, 0.1617243, 0.0070888, 0.0019603, 0.0087957  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
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 0.0116336, 0.0099565, 0.1569172, 0.0070888, 0.0019603, 0.0087957  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
 0.0070888, 0.0019603, 0.0087957, 0.0018471, 0.0095426  
 0.0071642, 0.0292396, 0.0419175, 0.1200466, 0.0098117001  
 0.0212933, 0.0171497, 0.1617243, 0.0070888, 0.0019603, 0.0087957  
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 0.0121045, 0.1426918, 0.0071482, 0.1866912, 0.0071482, 0.1866912  
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[illegible]

```

mrfv/014461.01313964.01505896.01424369.0117001
007146162.-0.0827964.0151159.01269766.0101361
014535363.-0.1837644.-0.0000000.01990303.0078797
01791787.01504376.00730661.0158871.-0000000
01504376.01504376.01504376.01504376.01504376
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01504376.01504376.01504376.01504376.01504376
2.3667397.00571068.0000000.0238429.0000000
01010306.0009964.-0.1566732.014781762.01777808
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0000000.0000000.0000000.0000000.0000000
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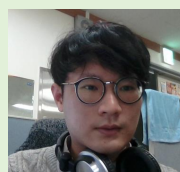
```

## Anchor

Positive

Negative

Triplet 1



Anchor

Positive

Negative

Triplet 2

...



## Anchor

Positive

Negative

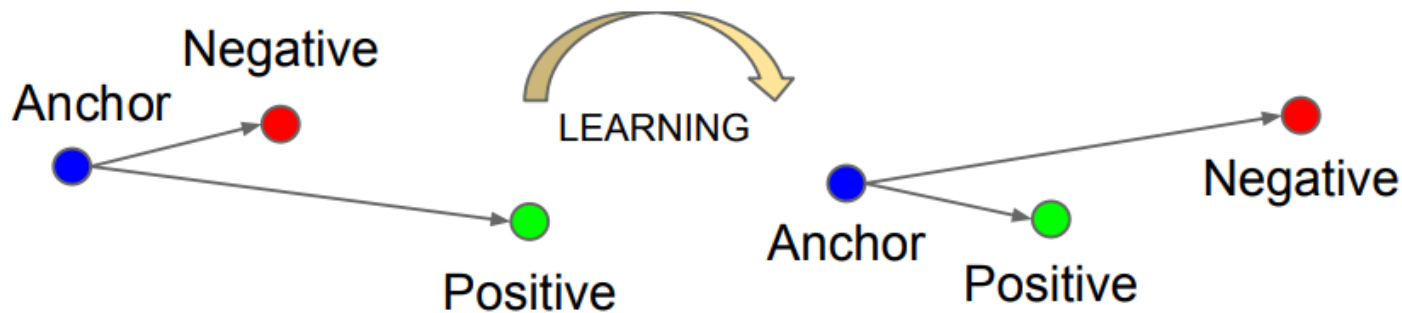
Triplet N

# Method

## FaceNet - Triplet

Triplet : 세 개의 데이터

- Anchor( $x_i^a$ ) : 기준 얼굴의 벡터
- Positive( $x_i^p$ ) : 기준과 같은 인물의 얼굴의 벡터
- Negative( $x_i^n$ ) : 기준과 다른 인물의 얼굴의 벡터



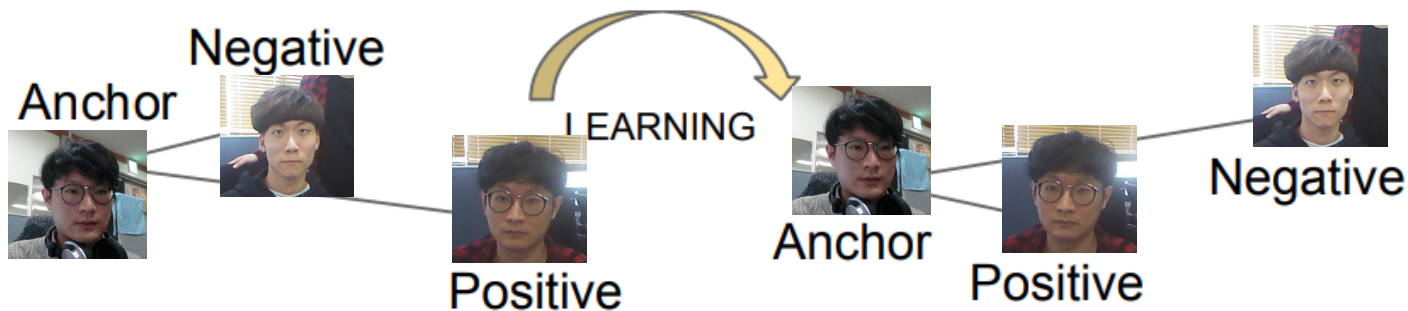
기준 얼굴과 같은 얼굴은 가깝도록, 기준 얼굴과 다른 얼굴은 멀도록

# Method

## FaceNet - Triplet

Triplet : 세 개의 데이터

- Anchor( $x_i^a$ ) : 기준 얼굴의 벡터
- Positive( $x_i^p$ ) : 기준과 같은 인물의 얼굴의 벡터
- Negative( $x_i^n$ ) : 기준과 다른 인물의 얼굴의 벡터



기준 얼굴과 같은 얼굴은 가깝도록, 기준 얼굴과 다른 얼굴은 멀도록



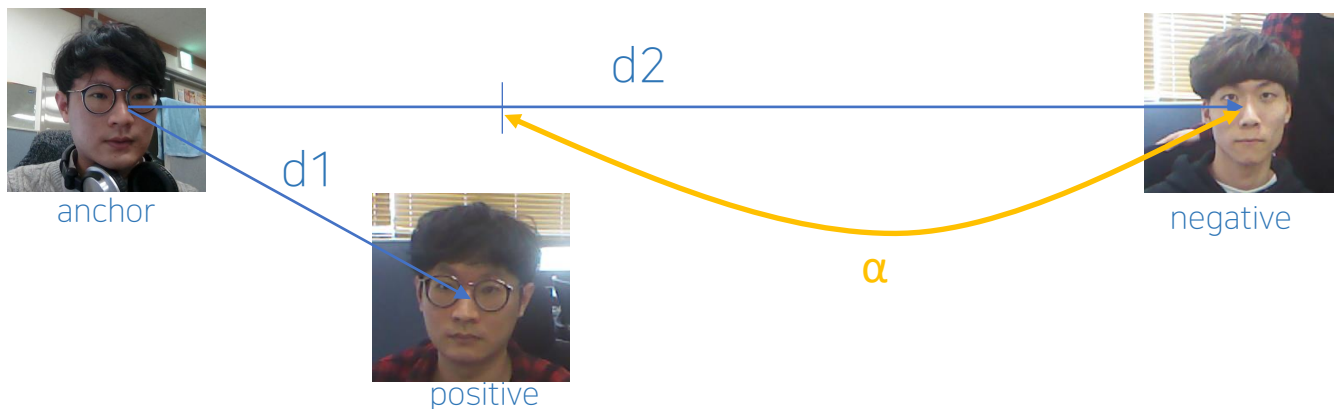
# Method

## Triplet - Loss

$$\overset{d1}{\|f(x_i^a) - f(x_i^p)\|_2^2} + \alpha < \overset{d2}{\|f(x_i^a) - f(x_i^n)\|_2^2}, \quad (1)$$

- $x$  : 이미지
- $f(x)$  : 임베딩 함수
- $\alpha$  : 마진
- $x_i^a$  : 기준 얼굴(anchor) 이미지
- $x_i^p$  : 기준과 같은 인물(positive)의 얼굴 이미지
- $x_i^n$  : 기준과 다른 인물(negative)의 얼굴 이미지

Anchor와 Negative의 제공거리가 Anchor와 Positive의 제공거리보다  $\alpha$  만큼 떨어져 있고 싶다!



# Method

## Triplet - Loss

$$\|f(x_i^a) - f(x_i^p)\|_2^2 + \alpha < \|f(x_i^a) - f(x_i^n)\|_2^2, \quad (1)$$

- $x$  : 이미지
- $f(x)$  : 임베딩 함수
- $\alpha$  : 마진
- $x_i^a$  : 기준 얼굴(anchor) 이미지
- $x_i^p$  : 기준과 같은 인물(positive)의 얼굴 이미지
- $x_i^n$  : 기준과 다른 인물(negative)의 얼굴 이미지

Anchor와 Negative의 제곱거리가 Anchor와 Positive의 제곱거리보다  $\alpha$  만큼 떨어져 있고 싶다!

$$\begin{aligned} \text{LOSS} &= \sum_i^N \left[ \|f(x_i^a) - f(x_i^p)\|_2^2 - \|f(x_i^a) - f(x_i^n)\|_2^2 + \alpha \right]_+ . \\ &= \sum_i^N \text{Max} \left( \|f(x_i^a) - f(x_i^p)\|_2^2 - \|f(x_i^a) - f(x_i^n)\|_2^2 + \alpha, 0 \right) \end{aligned} \quad (2)$$

# Method

## Triplet – Triplet Selection

각 Triplet이 학습하는데 미치는 영향은 전부 다르다.  
학습에 미치는 영향이 큰 Triplet들을 학습하는 것이 수렴속도를 높인다.  
따라서 어려운 문제를 골라서 해결하기 위해 Hard Negative, Hard Positive가 있는 Triplet 선택해야 한다.

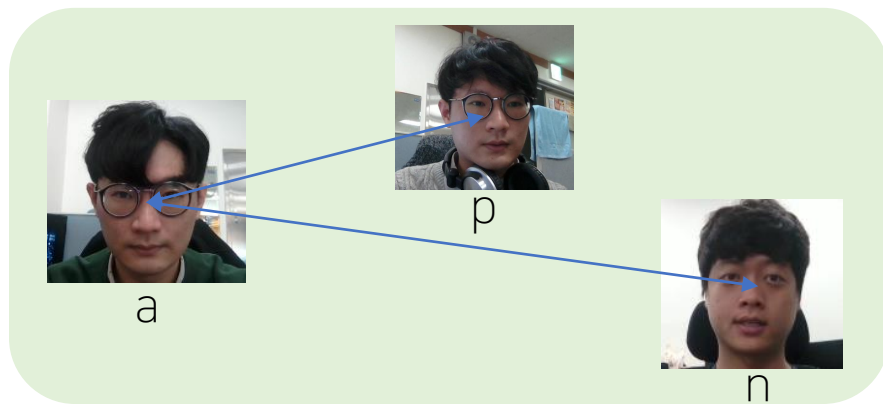
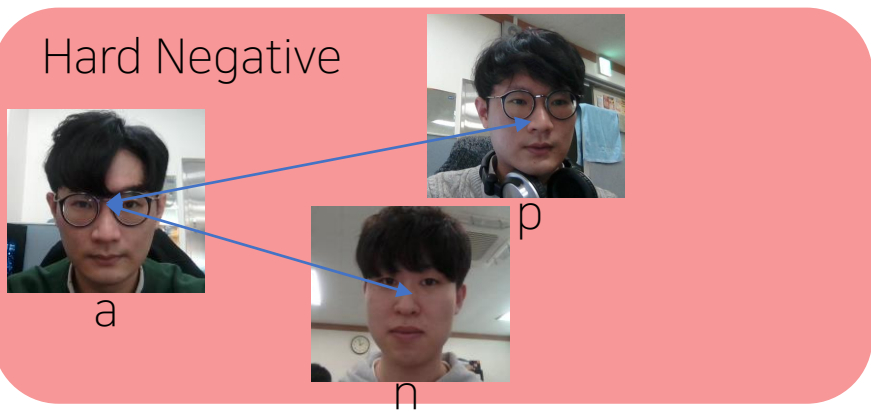
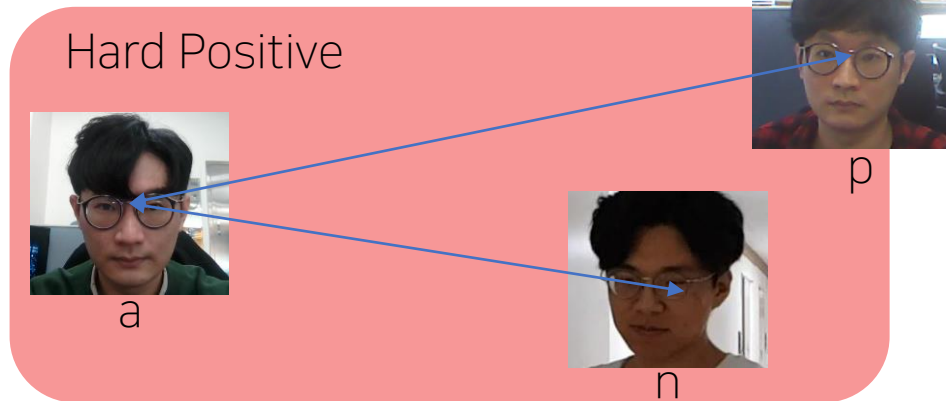
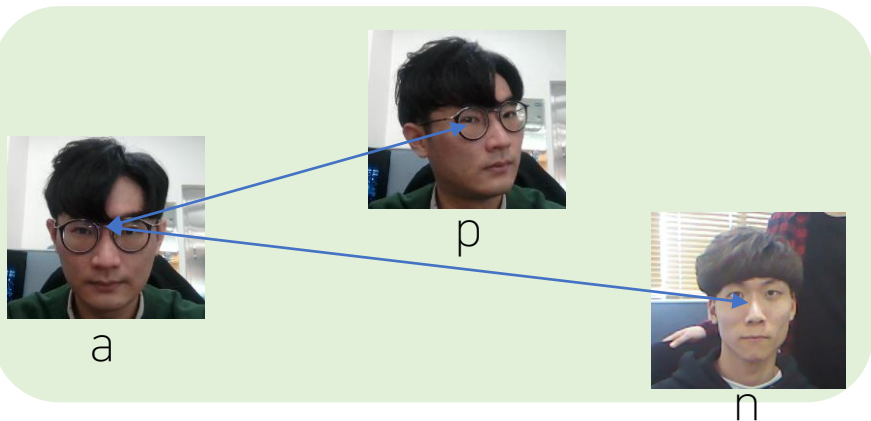
$x_i^a$  Anchor가 주어졌을 때

$\operatorname{argmin}_{x_i^n} \|f(x_i^a) - f(x_i^n)\|_2^2$  Hard Negative : 가장 가까이 있는 Negative

$\operatorname{argmax}_{x_i^p} \|f(x_i^a) - f(x_i^p)\|_2^2$  Hard Positive : 가장 멀리 있는 Positive

# Method

## Triplet – Triplet Selection



# Method

## Triplet - Triplet Selection(Detail)

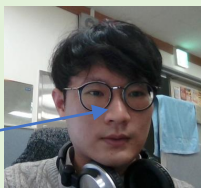
- 가장 Hard한 negative를 선택하면 local-minima에 빠지기 쉽다
  - 학습 초반에 너무 어려운 문제를 해결하기 힘들다
  - Semi-hard negative를 선택해야함
    - Positive보다는 먼 negative 가 있는 Triplet을 선택
    - Positive와 거리가 비슷해서 Hard라고 말할 수 있다

$$\|f(x_i^a) - f(x_i^p)\|_2^2 < \|f(x_i^a) - f(x_i^n)\|_2^2 . \quad (3)$$

너무 Hard하다



a



p

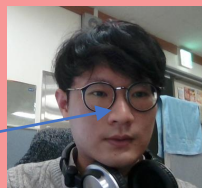


n

적당히 Hard하다  
(Semi-Hard)



a



p



n

# Method

## Triplet - Triplet Selection

두 명의 사람이 각 10장의 사진을 가질 때 가능한 Triplet의 수 :

$$\text{Class} \times \text{Anchor} \times \text{Positive} \times \text{Negative} = 2 \times 10 \times 9 \times 10 = 1800$$

매번 모든 triplet을 계산해서 Semi-Hard 한 문제를 찾는 것은 너무 비 효율적이다.

Mini Batch가 필요하다!

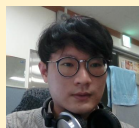
# Method

Triplet - mini batch



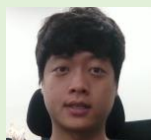
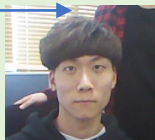
이미지셋

랜덤으로 한 ID에서 40개



40개

Negative faces 중 랜덤으로 1960개

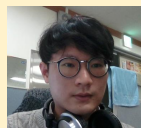


1960개

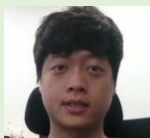
크기 2000의 미니배치

# Method

Triplet - mini batch



40개

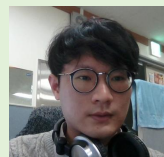


1960개

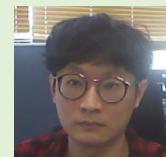
크기 2000의 미니배치



FaceNet



Anchor

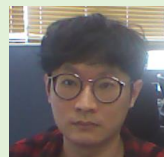


Positive

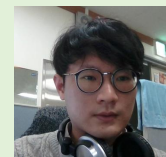


Negative

Triplet 1



Anchor



Positive



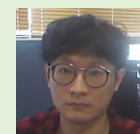
Negative

Triplet 2

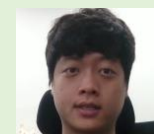
...



Anchor



Positive



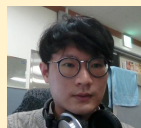
Negative

Triplet N

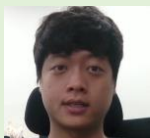


# Method

Triplet - mini batch



40개

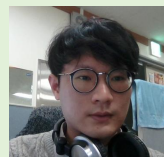


1960개

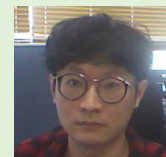
크기 2000의 미니배치



FaceNet



Anchor

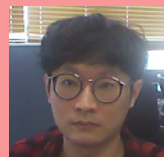


Positive



Negative

Triplet 1



Anchor



Positive



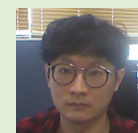
Negative

Triplet 2

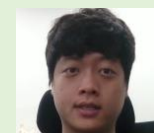
...



Anchor



Positive

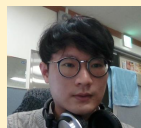


Negative

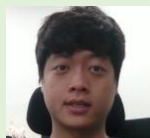
Triplet N

# Method

Triplet - mini batch

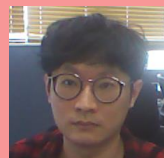


40개



1960개

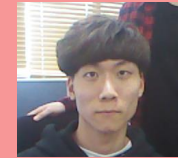
크기 2000의 미니배치



Anchor



Positive

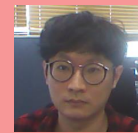


Negative

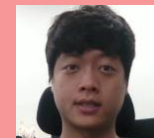
Triplet 2



Anchor



Positive

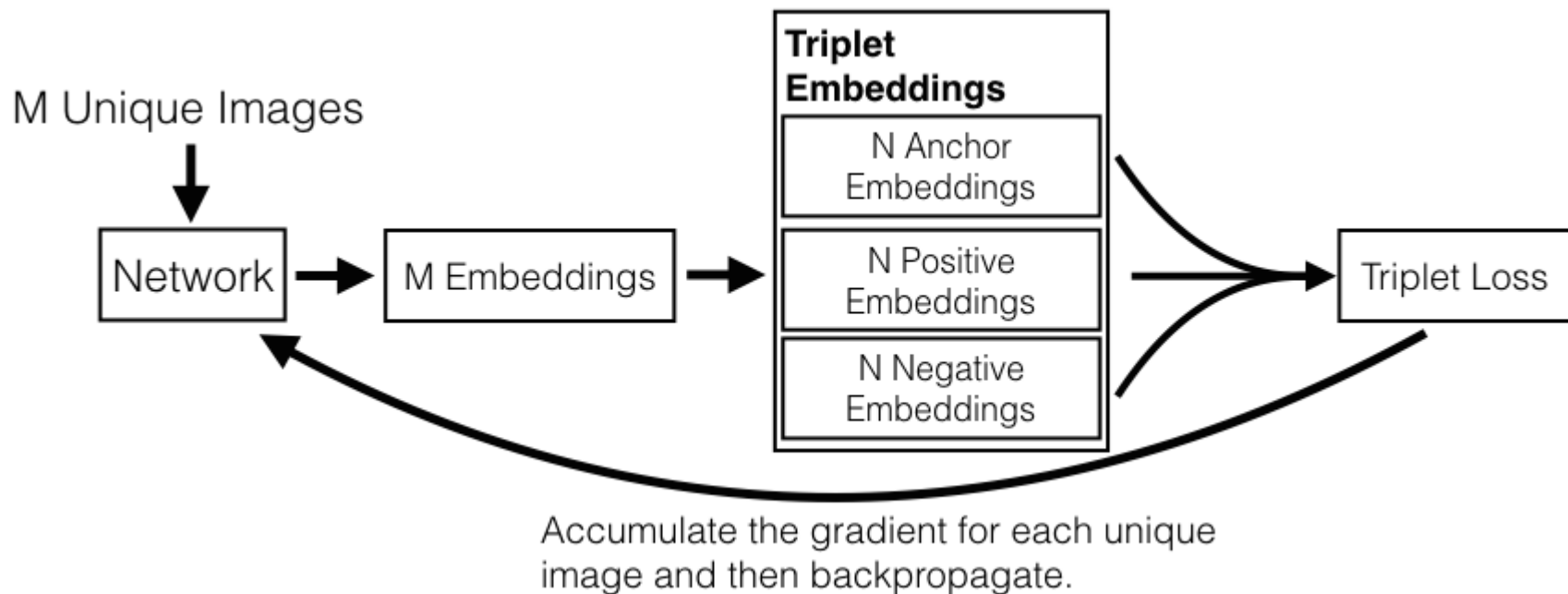


Negative

Triplet N

# Method

## Triplet – Triplet Selection(Detail)



FaceNet Architecture

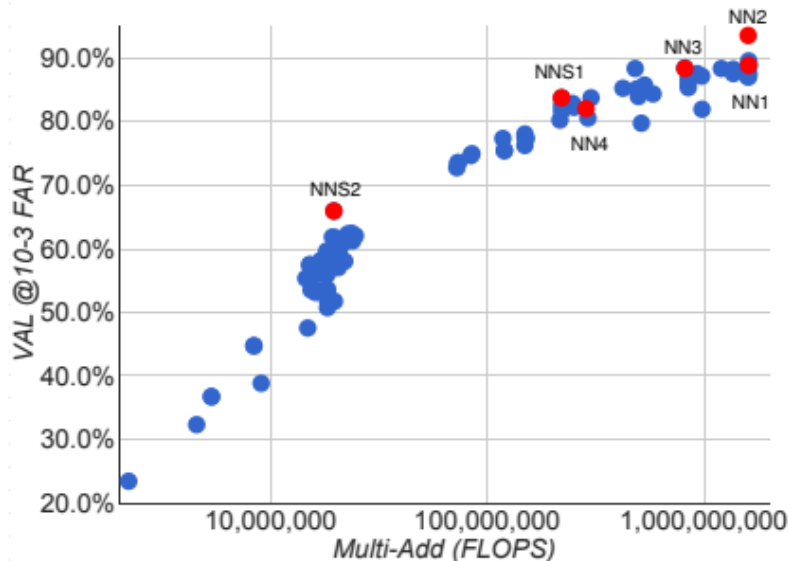
## Comparison with the State of the Art (LFW Unrestricted Protocol)

No.	Method	# Training Images	# Networks	Accuracy
1	Fisher Vector Faces	-	-	93.10
2	DeepFace	4 M	3	97.35
3	DeepFace Fusion	500 M	5	98.37
4	DeepID-2,3	Full	200	99.47
5	FaceNet	200 M	1	98.87
6	FaceNet+ Alignment	200 M	1	99.63
7	VGG Face	2.6 M	1	98.95

# Experiments

실험에 사용된 CNN 모델

architecture	VAL
NN1 (Zeiler&Fergus 220×220)	87.9% ± 1.9
NN2 (Inception 224×224)	89.4% ± 1.6
NN3 (Inception 160×160)	88.3% ± 1.7
NN4 (Inception 96×96)	82.0% ± 2.3
NNS1 (mini Inception 165×165)	82.4% ± 2.4
NNS2 (tiny Inception 140×116)	51.9% ± 2.9



FLOPS vs. Accuracy

Testset : Personal Photos

## Evaluation

- VAL(d) : Validation Rate  
같은 사람을 같다고 예측한 비율
- FAR(d) : False Accept Rate  
다른 사람을 같다고 예측한 비율

Category1 : Zeiler & Fergus : ZF Net 기반 모델

- NN1
  - 1x1 convolution

Category 2 : GoogLeNet 기반 모델(Inception v1)

- NN2
  - NN1과 비교
  - 약 20배 적은 파라미터
  - 최대 5배 까지 적은 FLOPS
- NN2~4
  - 입력 크기 220x220, 160x160, 96x96
- NNS1~4
  - 모바일을 위한 경량 모델

# Experiments

FLOPS vs. Accuracy

#pixels	val-rate
1,600	37.8%
6,400	79.5%
14,400	84.5%
25,600	85.7%
65,536	86.4%

이미지 크기에 따른 정확도

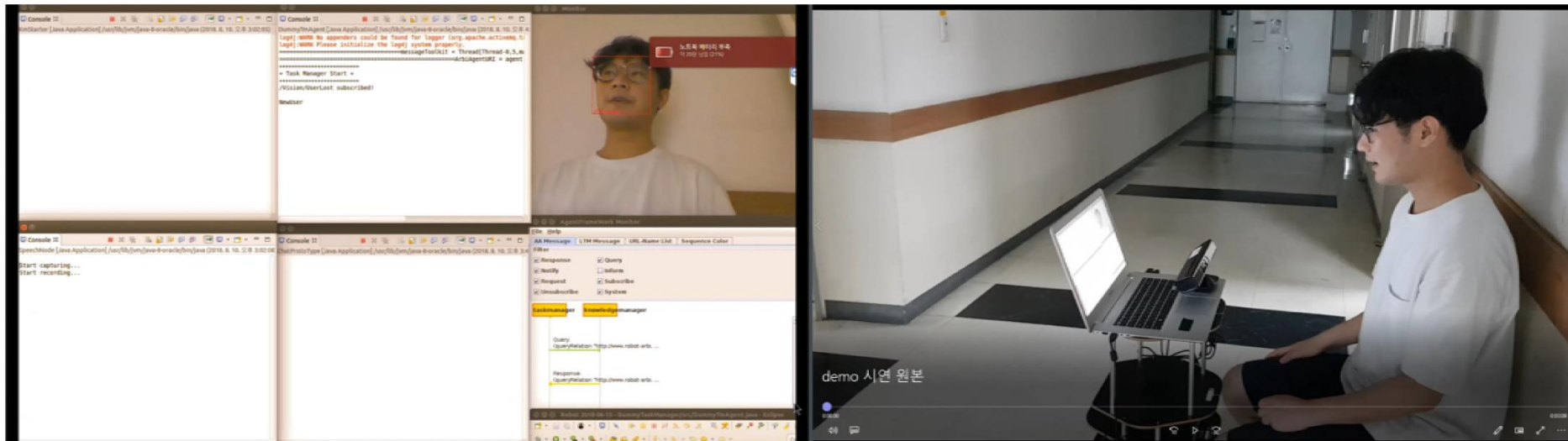
#dims	VAL
64	86.8% $\pm$ 1.7
128	87.9% $\pm$ 1.9
256	87.7% $\pm$ 1.9
512	85.6% $\pm$ 2.0

임베딩 벡터 차원에 따른 정확도

#training images	VAL
2,600,000	76.3%
26,000,000	85.1%
52,000,000	85.1%
260,000,000	86.2%

데이터셋 양에 따른 정확도

# Experiments





감사합니다.