

Neural Networks for Recommendation Systems



#### Advanced ML with TensorFlow on GCP

End-to-End Lab on Structured Data ML

Production ML Systems

Image Classification Models

Sequence Models

**Recommendation Systems** 



## Learn how to...

Combine content-based, knowledge-based, and collaborative filtering recommendation systems

Use neural networks to make hybrid recommendation systems



# Real-world recommendation systems are a hybrid of three broad theoretical approaches

**Recommendation Systems** 

#### Content-Based

Recommend items based on content features.

- No need for data about other users
- Can recommend niche items
- Need domain knowledge
- Only safe recommendations

#### Collaborative Filtering

Based on user behavior only. Recommend items based on users with similar patterns.

- No domain knowledge
- Serendipity
- Great starting point
- Cold start, fresh items/ users
- Sparsity
- No context features

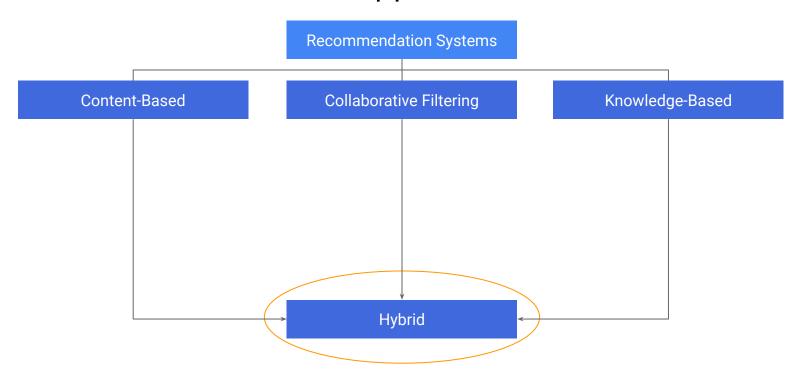
#### Knowledge-Based

Ask users for preferences.

- No interaction data needed
- Usually high-fidelity data from user self-reporting
- Need user data
- Need to be careful with privacy concerns



# Real-world recommendation systems are a hybrid of three broad theoretical approaches





- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- A Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- 4 Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- 4 Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- User reviews about experience with item.
- User-answered questions about item.
- A Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- A Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- 4 Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
- E. A & C
- F. B & C
- G. A, B, & C

- User ratings of item between 1 to 5 stars.
- 2 User reviews about experience with item.
- 3 User-answered questions about item.
- 4 Number of times user added item to cart.

- A. Content-based
- B. Collaborative filtering
- C. Knowledge-based
- D. A & B
  - E. A&C
- F. B & C
- G. A, B, & C

## Lab

# Design a hybrid recommendation system

In this lab, you will learn how to design a hybrid recommendation system to recommend movies to users.



# Lab Steps

- Think of datasets we can use for each type: Content-based Collaborative Filtering Knowledge-based
- 2. Structured and unstructured
- 3. Explicit and implicit feedback





#### Content-based recommendation models

Structured

Genres

Themes

Actors/directors involved

Professional ratings

Unstructured

Movie summary text

Stills from movie

Movie trailer

Professional reviews



#### Collaborative filtering

Structured

User ratings

User views

User wishlist/cart history

User purchase/return history

Unstructured

User reviews

User-answered questions

User-submitted photos

User-submitted videos



#### Knowledge-based

Structured

Demographic information

Location/country/language

Genre preferences

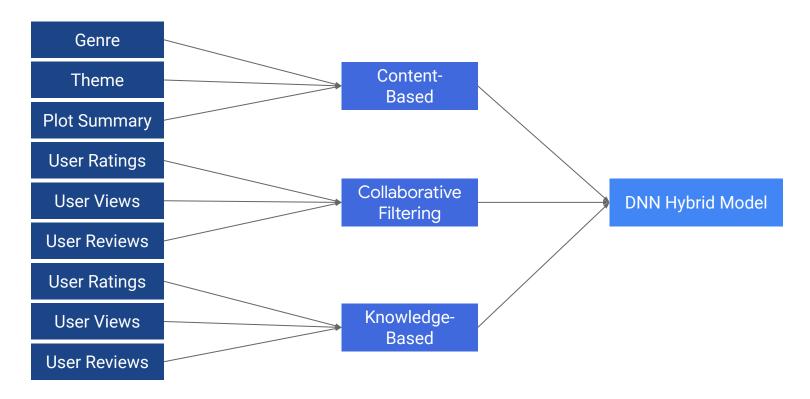
Global filters

Unstructured

User "about me" snippets



### Hybrid model





#### Deep learning for product recommendations

- No new concepts (it's just a structured data model).
- There's a lot of data to bring together.
- Need multiple ML models (an ML pipeline).



#### Quiz: Hybrid recommendation systems

What is important to have when making a hybrid recommendation system?

- A. Data collection with recommendation in mind
- B. Many different datasets
- C. More than one recommendation model type
- D. An ML model pipeline
- E. All of the above

#### Quiz: Hybrid recommendation systems

What is important to have when making a hybrid recommendation system?

- A. Data collection with recommendation in mind
- B. Many different datasets
- C. More than one recommendation model type
- D. An ML model pipeline
- E. All of the above

## Lab

Neural network hybrid recommendation system with Google Analytics data

In this lab, you will learn how to complete TODOs in hybrid\_recommendations.ipynb.

# Lab Steps

- 1. Create input layer for feature columns.
- 2. Create neural network layers.
- 3. Create output layer with our labels.

#### Adding context

- An item is not just an item.
- A user is not just a user.
- The context it is experienced in changes perception.
- This affects sentiment.



#### Mood at the time?

Who else experiencing item with?

Where experiencing item?

When experiencing item?







Mood at the time?

#### Who else experiencing item with?

Where experiencing item?

When experiencing item?







Mood at the time?

Who else experiencing item with?

#### Where experiencing item?

When experiencing item?







Mood at the time?

Who else experiencing item with?

Where experiencing item?

#### When experiencing item?







Mood at the time?

Who else experiencing item with?

Where experiencing item?

When experiencing item?







#### Context-aware recommendation systems (CARS)

**Traditional CF RS:** 

Users x Items → Ratings

**Contextual CF RS:** 

Users x Items x Contexts → Ratings



### User-item-context example data

User	Item	Who	Where	When	Rating
U1	M1	Kids	Home	Weekend	5
U1	M2	Family	Theater	Weekend	4
U1	M3	Partner	Event	Weekday	5
U2	M1	Friends	Home	Weekend	3
U2	M2	Family	Home	Weekday	4
U3	M2	Kids	Theater	Weekday	2
U3	M3	Partner	Home	Weekend	1
U2	M3	Partner	Home	Weekday	?



### User-item-context example data

User	Item	Who	Where	When	Rating
U1	M1	Kids	Home	Weekend	5
U1	M2	Family	Theater	Weekend	4
U1	M3	Partner	Event	Weekday	5
U2	M1	Friends	Home	Weekend	3
U2	M2	Family	Home	Weekday	4
U3	M2	Kids	Theater	Weekday	2
U3	M3	Partner	Home	Weekend	1
U2	M3	Partner	Home	Weekday	?



### User-item-context example data

User	Item	Who	Where	When	Rating
U1	M1	Kids	Home	Weekend	5
U1	M2	Family	Theater	Weekend	4
U1	M3	Partner	Event	Weekday	5
U2	M1	Friends	Home	Weekend	3
U2	M2	Family	Home	Weekday	4
U3	M2	Kids	Theater	Weekday	2
U3	M3	Partner	Home	Weekend	1
U2	M3	Partner	Home	Weekday	?



#### Quiz: The effect of context on user-item interactions

We learned that context can be important when thinking about user-item interactions. Which is NOT an example of context for a user-item interaction?

- A. Watching a movie at the theater
- B. Watching a movie with family
- C. Relaxing on the weekend with a movie
- D. The length of a movie
- E. Your mood while watching a movie
- F. Watching a movie late at night
- G. Watching a movie at a big watch party

#### Quiz: The effect of context on user-item interactions

We learned that context can be important when thinking about user-item interactions. Which is NOT an example of context for a user-item interaction?

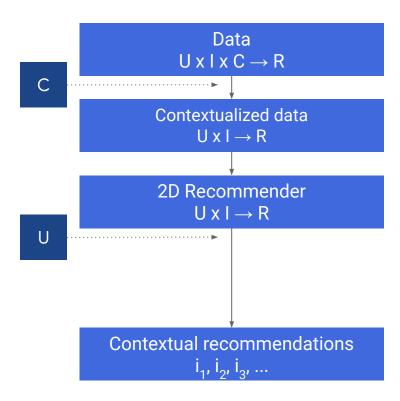
- A. Watching a movie at the theater
- B. Watching a movie with family
- C. Relaxing on the weekend with a movie
- D. The length of a movie
- E. Your mood while watching a movie
- F. Watching a movie late at night
- G. Watching a movie at a big watch party

# There are three main types of context-aware recommendation systems, or CARS, algorithms

- Contextual prefiltering
- Contextual postfiltering
- 3 Contextual modeling



### Contextual prefiltering





### Contextual prefiltering

- Reduction-Based Approach, 2005
- Exact and Generalized Prefiltering, 2009
- Item Splitting, 2009
- User Splitting, 2011
- Dimension as Virtual Items, 2011
- User-Item Splitting, 2014



## Item splitting

User	Item	Time	Rating
U1	M1	Weekend	5
U2	M1	Weekend	5
U3	M1	Weekend	4
U4	M1	Weekend	5
U1	M1	Weekday	2
U2	M1	Weekday	3
U3	M1	Weekday	2
U4	M1	Weekday	2



## Item splitting

User	Item	Time	Rating
U1	M1	Weekend	5
U2	M1	Weekend	5
U3	M1	Weekend	4
U4	M1	Weekend	5
U1	M1	Weekday	2
U2	M1	Weekday	3
U3	M1	Weekday	2
U4	M1	Weekday	2



### Item splitting

User	ltem	Rating
U1	M1,1	5
U2	M1,1	5
U3	M1,1	4
U4	M1,1	5
U1	M1,2	2
U2	M1,2	3
U3	M1,2	2
U4	M1,2	2

$$t_{mean} = \left| \frac{\mu_{i_c} - \mu_{i_{\overline{c}}}}{\sqrt{s_{i_c}/n_{i_c} + s_{i_{\overline{c}}}/n_{i_{\overline{c}}}}} \right|$$



## User splitting

User	Item	Time	Rating
U1	M1	Weekend	5
U1	M1	Weekday	2
U2	M1	Weekend	5
U2	M1	Weekday	3
U3	M1	Weekend	4
U3	M1	Weekday	2
U4	M1	Weekend	5
U4	M1	Weekday	2



## User splitting

User	Item	Rating
U1,1	M1	5
U1,2	M1	2
U2,1	M1	5
U2,2	M1	3
U3,1	M1	4
U3,2	M1	2
U4,1	M1	5
U4,2	M1	2



### User-item splitting

User	Item	Time	Location	Rating
U1	M1	Weekend	Home	5
U1	M1	Weekday	Theater	2
U2	M1	Weekend	Theater	5
U2	M1	Weekday	Home	3
U3	M1	Weekend	Home	4
U3	M1	Weekday	Theater	2
U4	M1	Weekend	Theater	5
U4	M1	Weekday	Home	2



### User-item splitting

User	Item	Time	Location	Rating
U1,1	M1,1	Weekend	Home	5
U1,2	M1,2	Weekday	Theater	2
U2,1	M1,2	Weekend	Theater	5
U2,2	M1,1	Weekday	Home	3
U3,1	M1,1	Weekend	Home	4
U3,2	M1,2	Weekday	Theater	2
U4,1	M1,2	Weekend	Theater	5
U4,2	M1,1	Weekday	Home	2

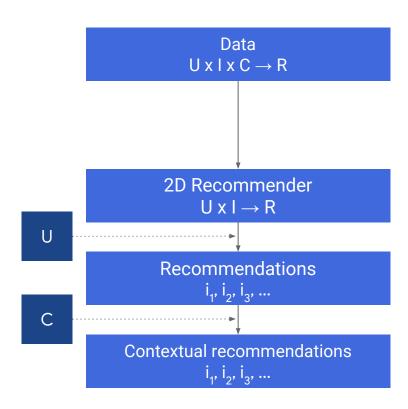


### User-item splitting

User	Item	Rating
U1,1	M1,1	5
U1,2	M1,2	2
U2,1	M1,2	5
U2,2	M1,1	3
U3,1	M1,1	4
U3,2	M1,2	2
U4,1	M1,2	5
U4,2	M1,1	2



### Contextual postfiltering





### Contextual postfiltering

Weight, 2009

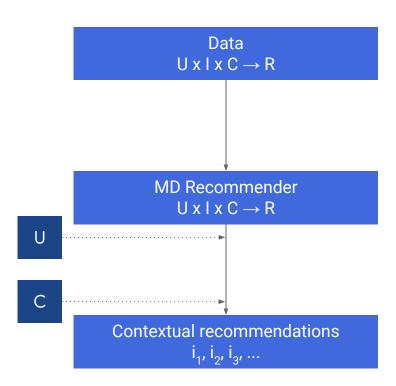
$$r'_{ij} = r_{ij} * P$$

Filter, 2009

$$P < P_*$$



### Contextual modeling





### Contextual modeling

- Tensor Factorization, 2010
- Factorization Machines, 2011
- Deviation-Based Context-Aware Matrix Factorization, 2011
- Deviation-Based Sparse Linear Method, 2014
- Similarity-Based Context-Aware Matrix Factorization, 2015
- Similarity-Based Sparse Linear Method, 2015



- How is user's rating deviated?
- Contextual rating deviation (CRD)
- Looks at the deviations of users across context dimensions



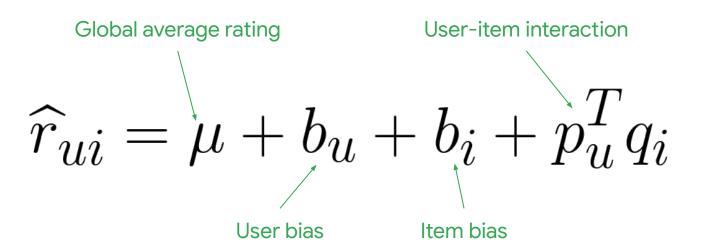
Context	Location	Time	Who
C1	Home	Weekend	Family
C2	Home	Weekend	Friend
C3	Home	Weekday	Family
C4	Home	Weekday	Friend
C5	Theater	Weekend	Family
C6	Theater	Weekend	Friend
C7	Theater	Weekday	Family
C8	Theater	Weekday	Friend



Context	Location	Time	Who
C1	Home	Weekend	Family
C8	Theater	Weekday	Friend
CRD(Dim)	0.8	-0.2	0.1

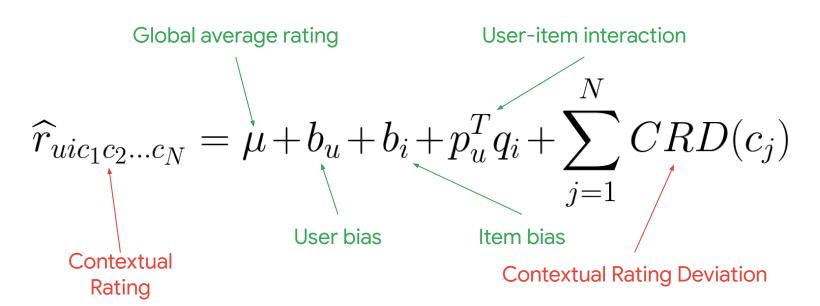


#### Biased matrix factorization in traditional RS





#### CAMF\_C approach





#### CAMF\_CU approach

$$\widehat{r}_{uic_1c_2...c_N} = \mu + \sum_{j=1}^{N} CRD(c_j, u) + b_i + p_u^T q_i$$

#### CAMF\_CI approach

$$\widehat{r}_{uic_1c_2...c_N} = \mu + b_u + \sum_{j=1}^{N} CRD(c_j, i) + p_u^T q_i$$



# Quiz: Context-aware recommendation system algorithms

Which context-aware recommendation system type produces non-contextual recommendations that it later adjusts via context into contextual recommendations?

F.

- A. Contextual modeling
- B. Contextual postprocessing
- C. Contextual prefiltering
- D. Contextual adjustment

- E. Contextual postfiltering
  - Contextual aggregation
- G. None of the above

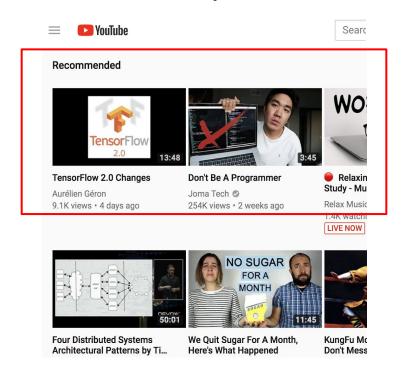
# Quiz: Context-aware recommendation system algorithms

Which context-aware recommendation system type produces non-contextual recommendations that it later adjusts via context into contextual recommendations?

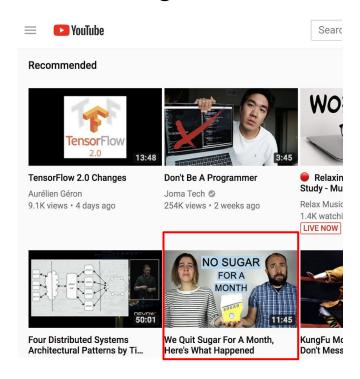
- A. Contextual modeling
- B. Contextual postprocessing
- C. Contextual prefiltering
- D. Contextual adjustment

- E. Contextual postfiltering
- F. Contextual aggregation
- G. None of the above

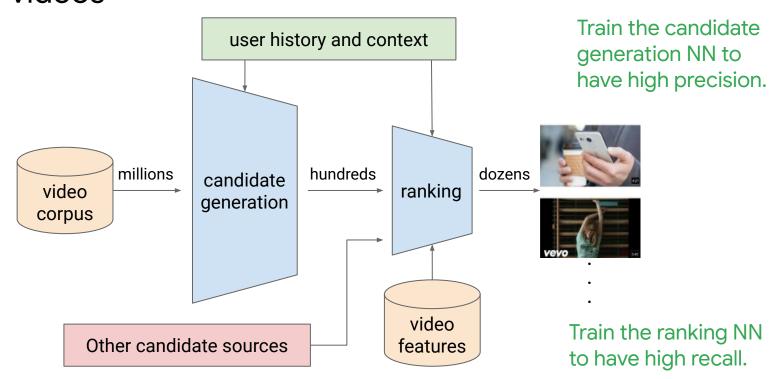
### Recommendation engines identify things that a user may like based on what they've watched in the past



## Recommendation engines suggest new items that a user might not have thought to search for



## YouTube uses two neural networks to recommend videos





### Quiz: Hybrid recommendation systems

YouTube uses two neural networks connected in an ML pipeline. What metric is the candidate generation network trained to maximize? What metric is the ranking network trained to maximize?

- A. Precision, Similarity
- B. Similarity, Recall
- C. Recall, Precision
- D. F1 Score, Precision

- E. AUC, Recall
- F. Precision, Recall
- G. None of the above

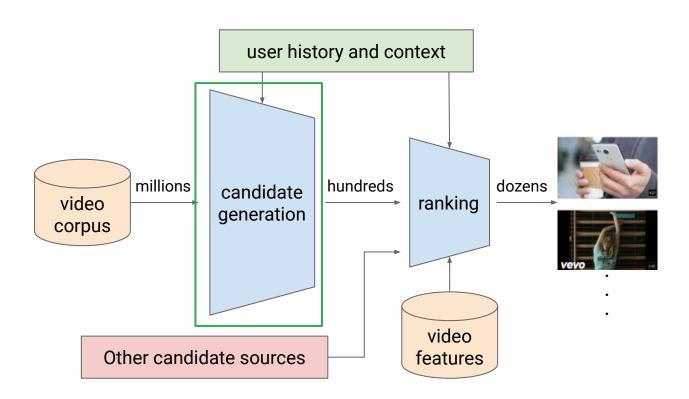
### Quiz: Hybrid recommendation systems

YouTube uses two neural networks connected in an ML pipeline. What metric is the candidate generation network trained to maximize? What metric is the ranking network trained to maximize?

- A. Precision, Similarity
- B. Similarity, Recall
- C. Recall, Precision
- D. F1 Score, Precision

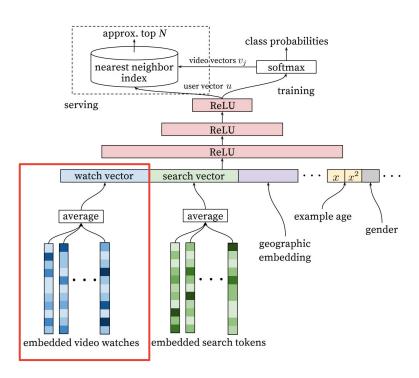
- E. AUC, Recall
- F. Precision, Recall
- G. None of the above

### Candidate generation



# Candidate generation consists of intelligently assembling many other ML models (1/3)

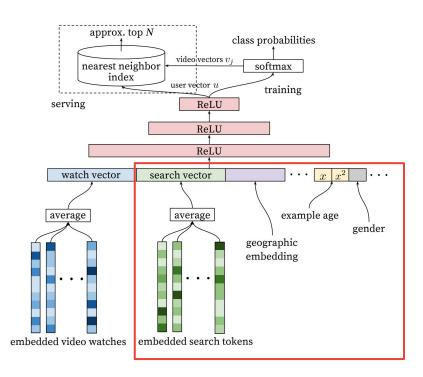
- 1. Get item embedding from, e.g., WALS.
- 2. Find last 10 videos watched by user.
- 3. Average the embeddings of those 10 videos.
- 4. This is the watch vector.





## Candidate generation consists of intelligently assembling many other ML models (2/3)

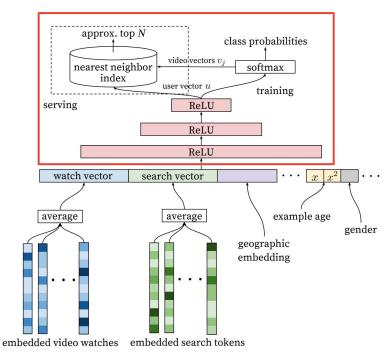
- 5. Do the same thing with past search queries (collaborative filtering for next search term).
- 6. Add knowledge about user (e.g., location, gender).
- 7. Add example age to avoid overemphasizing older videos.





# Candidate generation consists of intelligently assembling many other ML models (3/3)

- 8. Train a DNN Classifier.
- 9. Treat the last-but-one layer as a user embedding.
- 10. Use output of DNN Classifier and user embedding to generate candidates.





### Quiz: Candidate generation network

During training of the candidate generation network, what output layer should we be using, and what should we be predicting with it?

G.

- A. Linear, Embeddings
- B. Linear, Probabilities
- C. Linear, Unbounded real numbers
- D. Softmax, Embeddings

- E. Softmax, Probabilities
- F. Softmax, Unbounded real numbers
  - None of the above

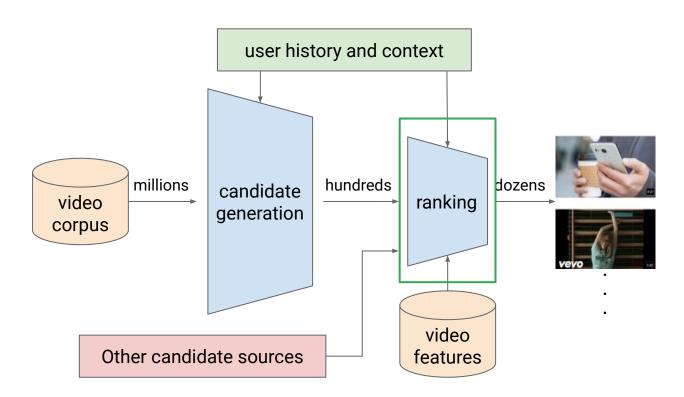
### Quiz: Candidate generation network

During training of the candidate generation network, what output layer should we be using, and what should we be predicting with it?

- A. Linear, Embeddings
- B. Linear, Probabilities
- C. Linear, Unbounded real numbers
- D. Softmax, Embeddings

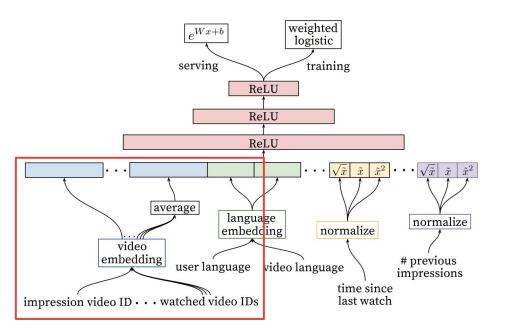
- E. Softmax, Probabilities
- F. Softmax, Unbounded real numbers
- G. None of the above

### Ranking



### The ranking network uses more tailored features (1/3)

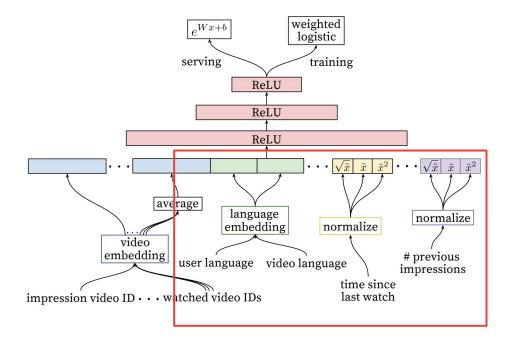
- 1. Videos suggested to user.
- 2. Videos watched by user.
- 3. Both individual and average embedding.





### The ranking network uses more tailored features (2/3)

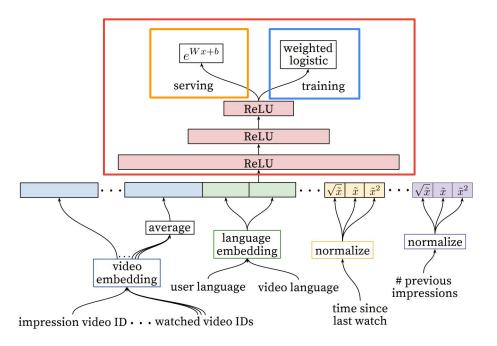
4. Hundreds of features including language embeddings and user behavior.





### The ranking network uses more tailored features (3/3)

5. DNN Classifier whose output is used for ranking.





### Quiz: Ranking neural network

For the ranking neural network, why do we use a weighted logistic for training?

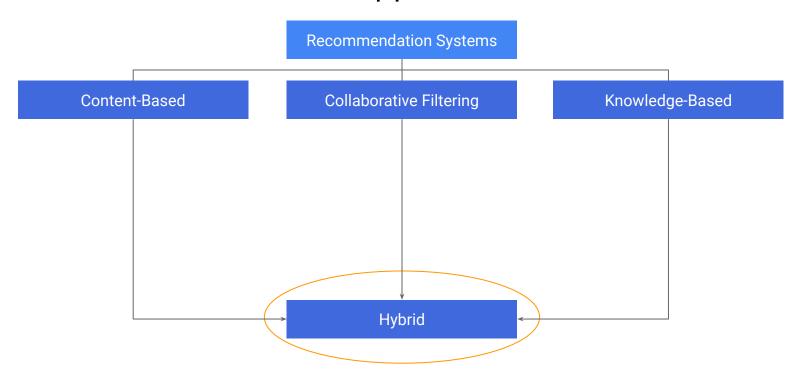
- A. The loss function is more numerically stable
- B. Positive and negative impression weights differ
- C. The probabilities need special formatting
- D. Reduces user noise better

### Quiz: Ranking neural network

For the ranking neural network, why do we use a weighted logistic for training?

- A. The loss function is more numerically stable
- B. Positive and negative impression weights differ
- C. The probabilities need special formatting
- D. Reduces user noise better

## Real-world recommendation systems are a hybrid of three broad theoretical approaches





## Context components





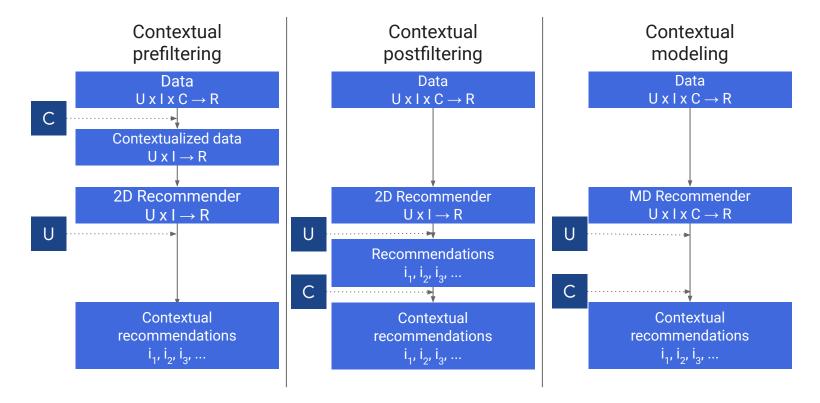






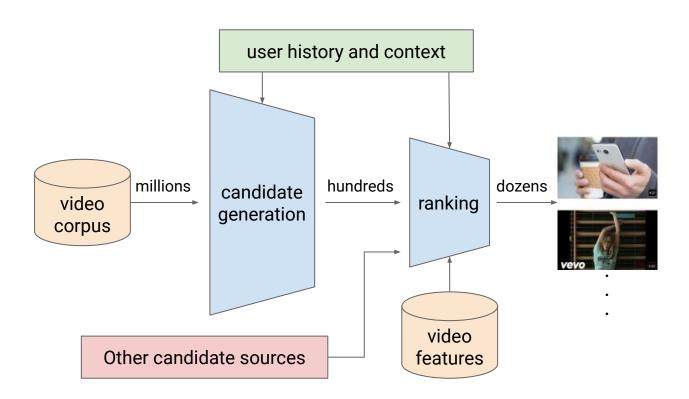


### Context-aware recommendation systems





### YouTube video recommendations



cloud.google.com

