

# Supplementary Material for StreamFP: Learnable Fingerprint-guided Data Selection for Efficient Stream Learning

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## A APPENDIX

### A.1 Gradient Correlations between different Tasks

As demonstrated in Fig. 1, the gradients of both fingerprints and the last layer demonstrate strong orthogonality across distinct tasks, as evidenced by near-zero correlation coefficients in off-diagonal elements. This gradient orthogonality indicates that fingerprint parameters evolve along task-specific trajectories during streaming learning. The gradient correlation analysis of the last layer further reveals that independently optimized fingerprints, leveraging model-specific knowledge, effectively minimize cross-task interference in the final layer outputs.

### A.2 Comparison of Different Pretrained Models.

As *StreamFP* is based on the pretrained ViT, we also experiment with varying pretrained models based on the supervised (ImageNet-1K [4] and ImageNet-21K [3]) and the self-supervised (iBOT [5], DINO [1], and MoCo v3 [2]) datasets. Results are shown in Table 1. *StreamFP* consistently outperforms the *ER\** method across different pretrained models in terms of accuracy and forgetting. Specifically, when using the ImageNet-1K pretrained model, *StreamFP* achieves the highest accuracy of 64.44%, compared to *ER\**'s 59.99%. With ImageNet-21K, *StreamFP* even achieves the 0% forgetting that preserves all learned knowledge in the streaming setting. Notably, the performance differences highlight the impact of different pretraining strategies on stream learning outcomes, with *StreamFP* consistently providing significant improvements in accuracy, albeit with varying degrees of forgetting. These results underscore the robustness and versatility of *StreamFP* across different pretraining contexts.

### A.3 Proof of Coreset Quality Guarantee

We prove that our fingerprint-based coreset selection method satisfies the standard definition of coreset with rigorous theoretical guarantees.

**Theorem 1** (Coreset Quality Guarantee). *With probability at least  $1 - \delta$ , the coreset  $C^t$  satisfies:*

$$(1 - \epsilon) \text{cost}(B^t) \leq \text{cost}(C^t) \leq (1 + \epsilon) \text{cost}(B^t),$$

where  $\text{cost}(X) = \frac{1}{|X|} \sum_{x \in X} d(x)$ , representing the average angular distance,  $d(x) = \arccos(\text{sim}(x, P))$ , and  $\epsilon = O(\sqrt{\log(1/\delta)/(\sigma b)})$ .

PROOF. Let us first define the notations:

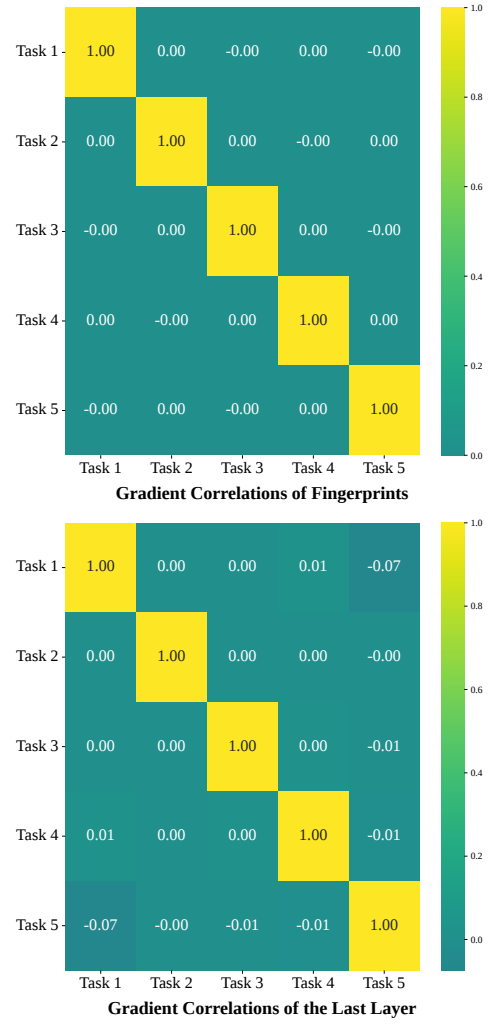


Figure 1: Heatmap of gradient correlations for diverse tasks on Stream-51.

- $B^t$ : original dataset with  $b$  points,
- $C^t$ : selected coreset with  $c = \sigma b$  points,
- $\mu = \text{sim}(x, P)$ : cosine similarity between the embeddings of point  $x$  and fingerprints  $P$ ,

**Table 1: Comparison of ER\* and StreamFP based on different pretrained models on Stream-51 with  $\lambda=6028$ .**

Method	ImageNet-1K		ImageNet-21K		DINO-1K		iBOT-1K		iBOT-21K		MoCo-1K	
	Acc	Fgt	Acc	Fgt	Acc	Fgt	Acc	Fgt	Acc	Fgt	Acc	Fgt
ER*	59.99	3.70	55.68	2.09	44.83	5.43	39.07	2.50	2.12	9.71	2.00	10.03
StreamFP	<b>64.44</b>	<b>2.25</b>	<b>60.45</b>	<b>0.00</b>	<b>47.44</b>	<b>4.91</b>	<b>41.79</b>	<b>1.35</b>	<b>3.12</b>	<b>8.00</b>	<b>2.52</b>	<b>7.01</b>

- $\mu[1] \geq \mu[2] \geq \dots \geq \mu[b]$ : similarity values sorted in descending order,
- $k = \lfloor \frac{b}{2} \rfloor$ : median position,
- $d(x) = \arccos(\text{sim}(x, P))$ : angular distance,
- $\text{cost}(X) = \frac{1}{|X|} \sum_{x \in X} d(x)$ : average angular distance.

We first establish four key lemmas:

**Lemma 1** (Selection Interval Bounds). *For all  $x \in C^t$ :*

$$\mu[k + \lfloor \frac{c}{2} \rfloor] \leq \text{sim}(x, P) \leq \mu[k - \lfloor \frac{c}{2} \rfloor].$$

**Lemma 2** (Single Point Change Bound). *For any single point change in  $C^t$ :*

$$|\text{cost}_{\text{new}}(C^t) - \text{cost}(C^t)| = \frac{1}{c} |d(x') - d(x)| \leq \frac{\pi}{c},$$

since  $d(x) = \arccos(\text{sim}(x, P)) \in [0, \pi]$ .

**Lemma 3** (Similarity Difference Bound). *For any  $x_1 \in C^t, x_2 \in B^t$ :*

$$|\text{sim}(x_1, P) - \text{sim}(x_2, P)| \leq \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\}.$$

**Lemma 4** (Expected Value Approximation). *For coreset  $C^t$  selected from the middle region of sorted similarity sequence and original batch  $B^t$ :*

$$|E[\text{cost}(C^t)] - \text{cost}(B^t)|$$

$$\leq L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} = M,$$

where  $L$  is the Lipschitz constant of arccos function and  $M$  is constant.

PROOF. Let us partition  $B^t$  based on similarity values:

$$B_l = \{x \mid \mu[b] < \text{sim}(x, P) < \mu[k + \lfloor \frac{c}{2} \rfloor]\},$$

$$B_m = \{x \mid \mu[k + \lfloor \frac{c}{2} \rfloor] \leq \text{sim}(x, P) \leq \mu[k - \lfloor \frac{c}{2} \rfloor]\},$$

$$B_h = \{x \mid \mu[k - \lfloor \frac{c}{2} \rfloor] < \text{sim}(x, P) < \mu[1]\}.$$

Then, we have:

$$\text{cost}(B^t) = \frac{|B_l|}{b} \cdot \text{cost}(B_l) + \frac{|B_m|}{b} \cdot \text{cost}(B_m) + \frac{|B_h|}{b} \cdot \text{cost}(B_h).$$

Given Lemma 1, for any point  $x \in B_m$ :

$$\mu[k + \lfloor \frac{c}{2} \rfloor] \leq \text{sim}(x, P) \leq \mu[k - \lfloor \frac{c}{2} \rfloor],$$

based on the monotonicity of arccos function, we have:

$$\arccos(\mu[k - \lfloor \frac{c}{2} \rfloor]) \leq d(x) \leq \arccos(\mu[k + \lfloor \frac{c}{2} \rfloor]).$$

Therefore, for any randomly selected point from  $B_m$ :

$$E[d(x)] \in [\arccos(\mu[k - \lfloor \frac{c}{2} \rfloor]), \arccos(\mu[k + \lfloor \frac{c}{2} \rfloor])].$$

By Lipschitz property of arccos function: for any  $x_1 = \text{sim}(x'_1, P)$  and  $x_2 = \text{sim}(x'_2, P)$  where  $x_1, x_2 \in [-1, 1]$ , there exists a Lipschitz constant  $L$  such that:

$$|\arccos(x_1) - \arccos(x_2)| \leq L|x_1 - x_2|.$$

This implies for the expected value:

$$\begin{aligned} & |E[\text{cost}(B_m)] - \text{cost}(B_m)| \\ & \leq \arccos(\mu[k + \lfloor \frac{c}{2} \rfloor]) - \arccos(\mu[k - \lfloor \frac{c}{2} \rfloor]) \\ & \leq L \cdot |\mu[k + \lfloor \frac{c}{2} \rfloor] - \mu[k - \lfloor \frac{c}{2} \rfloor]|, \end{aligned}$$

where  $L$  is the Lipschitz constant of arccos function. Similarly, for the differences between expected costs:

$$|E[\text{cost}(B_m)] - \text{cost}(B_l)| \leq L|\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|,$$

$$|E[\text{cost}(B_m)] - \text{cost}(B_h)| \leq L|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|.$$

Note that:

$$|\mu[k - \lfloor \frac{c}{2} \rfloor] - \mu[k + \lfloor \frac{c}{2} \rfloor]| \leq |\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|,$$

$$|\mu[k - \lfloor \frac{c}{2} \rfloor] - \mu[k + \lfloor \frac{c}{2} \rfloor]| \leq |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|.$$

By selection strategy:

$$E[\text{cost}(C^t)] = E[\text{cost}(B_m)],$$

we can get:

$$\begin{aligned}
& |E[\text{cost}(C^t)] - \text{cost}(B^t)| \\
&= |E[\text{cost}(B_m)] - \left[ \frac{|B_l|}{b} \cdot \text{cost}(B_l) + \frac{|B_m|}{b} \cdot \text{cost}(B_m) \right. \\
&\quad \left. + \frac{|B_h|}{b} \cdot \text{cost}(B_h) \right]| \\
&= \left| \frac{|B_l|}{b} \cdot E[\text{cost}(B_m)] - \frac{|B_l|}{b} \cdot \text{cost}(B_l) \right| \\
&\quad + \left| \frac{|B_m|}{b} \cdot E[\text{cost}(B_m)] - \frac{|B_m|}{b} \cdot \text{cost}(B_m) \right| \\
&\quad + \left| \frac{|B_h|}{b} \cdot E[\text{cost}(B_m)] - \frac{|B_h|}{b} \cdot \text{cost}(B_h) \right| \\
&\leq \frac{|B_l|}{b} \cdot L|\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]| \\
&\quad + \frac{|B_m|}{b} \cdot L|\mu[k - \lfloor \frac{c}{2} \rfloor] - \mu[k + \lfloor \frac{c}{2} \rfloor]| \\
&\quad + \frac{|B_h|}{b} \cdot L|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]| \\
&\leq \frac{|B_l|}{b} \cdot L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} \\
&\quad + \frac{|B_m|}{b} \cdot L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} \\
&\quad + \frac{|B_h|}{b} \cdot L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} \\
&= L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} \\
&\quad \cdot \left( \frac{|B_l|}{b} + \frac{|B_m|}{b} + \frac{|B_h|}{b} \right) \\
&= L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\} = M. \quad \square
\end{aligned}$$

Now we proceed with the main proof:

**Step 1.** We have two bounds: by McDiarmid's inequality from Lemma 2 where  $c = \sigma b$ , for any  $\xi > 0$ :

$$\mathbb{P}(|\text{cost}(C^t) - E[\text{cost}(C^t)]| \geq \xi) \leq 2 \exp(-2c\xi^2/\pi^2).$$

Then, with probability at least  $1 - 2 \exp(-2c\xi^2/\pi^2)$ :

$$|\text{cost}(C^t) - E[\text{cost}(C^t)]| \leq \xi.$$

By Lemma 4:

$$|E[\text{cost}(C^t)] - \text{cost}(B^t)| \leq M.$$

**Step 2.** Let  $\xi + M = \varepsilon \cdot \text{cost}(B^t)$ , with probability at least  $1 - 2 \exp(-2c\xi^2/\pi^2) = 1 - 2 \exp(-2c(\varepsilon \cdot \text{cost}(B^t) - M)^2/\pi^2)$ :

$$\begin{aligned}
& |\text{cost}(C^t) - \text{cost}(B^t)| \\
&= |\text{cost}(C^t) - E[\text{cost}(C^t)] + E[\text{cost}(C^t)] - \text{cost}(B^t)| \\
&\leq |\text{cost}(C^t) - E[\text{cost}(C^t)]| + |E[\text{cost}(C^t)] - \text{cost}(B^t)| \\
&\leq \xi + M \\
&= \varepsilon \cdot \text{cost}(B^t).
\end{aligned}$$

**Step 3.** Setting this probability to be at least  $1 - \delta$ :

$$1 - 2 \exp(-2c(\varepsilon \cdot \text{cost}(B^t) - M)^2/\pi^2) = 1 - \delta.$$

**Step 4.** Solving for  $\varepsilon$ :

$$\varepsilon = M/\text{cost}(B^t) + (\pi/\text{cost}(B^t))\sqrt{-\ln(\delta/2)/(2c)}.$$

Since:

- $M = L \cdot \max\{|\mu[1] - \mu[k + \lfloor \frac{c}{2} \rfloor]|, |\mu[b] - \mu[k - \lfloor \frac{c}{2} \rfloor]|\}$  is constant,
- $0 < \text{cost}(B^t) \leq \pi$ ,
- $c = \sigma b$ ,
- $-\ln(\delta/2) = O(\log(1/\delta))$ ,

we have:

$$\varepsilon = O(\sqrt{\log(1/\delta)/(\sigma b)}).$$

**Step 5.** Therefore, with probability  $\geq 1 - \delta$  and  $\varepsilon = O(\sqrt{\log(1/\delta)/(\sigma b)})$ :

$$\begin{aligned}
& |\text{cost}(C^t) - \text{cost}(B^t)| \leq \varepsilon \cdot \text{cost}(B^t) \iff \\
& -\varepsilon \cdot \text{cost}(B^t) \leq \text{cost}(C^t) - \text{cost}(B^t) \leq \varepsilon \cdot \text{cost}(B^t) \iff \\
& (1 - \varepsilon)\text{cost}(B^t) \leq \text{cost}(C^t) \leq (1 + \varepsilon)\text{cost}(B^t). \quad \square
\end{aligned}$$

#### A.4 Proof of Buffer Update Quality Guarantee

**Theorem 2.** (Buffer Update Quality Guarantee) With probability at least  $1 - \delta$ , the distribution  $P_M$  obtained from the buffer satisfies:

$$D(P_M, P_t) \leq \varepsilon,$$

where  $D(\cdot, \cdot)$  denotes the Maximum Mean Discrepancy (MMD) between distributions with kernel function  $k(x, y) = \text{sim}(x, P)\text{sim}(y, P)$ ,  $P_M$  is the distribution of buffer data updated by StreamFP,  $P_t$  is the distribution of all seen data until time  $t$ , and  $\varepsilon = O((m \ln(1/\delta))^{1/4})$  with  $m$  being the buffer size.

**PROOF.** Let us first define the notations:

- $M^t$ : updated buffer with  $m$  points,
- $P_M$ : distribution of buffer data,
- $P_t$ : distribution of all seen data until time  $t$ ,
- $k(x, y) = \text{sim}(x, P)\text{sim}(y, P)$ : kernel function, where  $\text{sim}(\cdot)$  is the cosine similarity,
- $H_m$ :  $m$ -th harmonic number:  $\sum_{i=1}^m 1/i$ ,
- $w_i = 1 - \frac{1}{\sum_{j=1}^m 1/j} = 1 - \frac{1}{jH_m}$ : based on the rank probability to get the weight for point  $i$ ,
- $w_{ij} = (1 - \frac{1}{iH_m})(1 - \frac{1}{jH_m})$ ,
- $\mathbb{E}_{x, x' \sim P_M}[k(x, x')] = \sum_{i, j=1} w_{ij} k(x, x')$ : since  $x \sim P_M$  is sampled based on the rank probability,
- $\mathbb{E}_{y, y' \sim P_t}[k(y, y')] = \sum_{i, j=1} \frac{1}{n^2} k(y, y')$ : since  $x \sim P_M$  is sampled based on the unity probability,
- $\mathbb{E}_{x \sim P_M, y \sim P_t}[k(x, y)] = \sum_{i, j=1} w_i \frac{1}{n} k(x, y)$ ,
- $\text{MMD}^2(P_M, P_t) = \mathbb{E}_{x, x' \sim P_M}[k(x, x')] + \mathbb{E}_{y, y' \sim P_t}[k(y, y')] - 2\mathbb{E}_{x \sim P_M, y \sim P_t}[k(x, y)]$ .

**Lemma 5** (MMD<sup>2</sup> Change Bound). When changing the  $i$ -th sample in the buffer from  $x_i$  to  $x'_i$ , the change in MMD<sup>2</sup> satisfies:

$$|\Delta \text{MMD}^2| \leq 13. \quad (1)$$

**PROOF.** We analyze the change in MMD<sup>2</sup> in 4 steps:

**Step 1:** This step is to analyze MMD<sup>2</sup> changes. Given the MMD<sup>2</sup>:

$$\begin{aligned} \text{MMD}^2(P_M, P_t) &= \mathbb{E}_{x_1, x_2 \sim P_M} [k(x_1, x_2)] + \mathbb{E}_{y_1, y_2 \sim P_t} [k(y_1, y_2)] \\ &\quad - 2\mathbb{E}_{x \sim P_M, y \sim P_t} [k(x, y)] \\ &= \sum_{i,j=1}^m w_{ij} k(x_i, x_j) + \mathbb{E}_{y_1, y_2 \sim P_t} [k(y_1, y_2)] \\ &\quad - 2 \sum_{i=1}^m w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)]. \end{aligned}$$

When  $x_i$  in  $P_M$  changes to  $x'_i$ , the change in MMD<sup>2</sup>:

$$\begin{aligned} |\Delta \text{MMD}^2| &\leq \left| \sum_{i,j=1}^m w'_{ij} k'(x_i, x_j) - \sum_{i,j=1}^m w_{ij} k(x_i, x_j) \right| \\ &\quad + 2 \left| \sum_{i=1}^m w'_i \mathbb{E}_{y \sim P_t} [k'(x_i, y)] - \sum_{i'=1}^m w_{i'} \mathbb{E}_{y \sim P_t} [k(x_{i'}, y)] \right| \\ &= \Delta(\text{first term}) + (\Delta \text{third term}), \end{aligned}$$

specifically:

$$\begin{aligned} (\text{first term})' &= w'_{ii} k(x'_i, x'_i) && (\text{self term}) \\ &\quad + \sum_{j \neq i}^m w'_i w_j k(x'_i, x_j) && (x'_i \text{ as the 1-st sample}) \\ &\quad + \sum_{j \neq i}^m w_j w'_i k(x_j, x'_i) && (x'_i \text{ as the 2-nd sample}) \\ &\quad + \sum_{p \neq i, q \neq i}^m w_p w_q k(x_p, x_q). && (\text{has no } x_i) \\ (\text{third term})' &= \sum_{i=1}^m w'_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)]. \end{aligned}$$

Therefore, the change in MMD<sup>2</sup> can be decomposed as:

$$\begin{aligned} |\Delta \text{MMD}^2| &\leq \Delta(\text{first term}) + \Delta(\text{third term}) \\ &= [w'_{ii} k(x'_i, x'_i) - w_{ii} k(x_i, x_i)] \\ &\quad + 2[w'_i \sum_{j \neq i}^m w_j k(x'_i, x_j) - w_i \sum_{j \neq i}^m w_j k(x_i, x_j)] \\ &\quad + 2[w'_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)] - w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)]] \\ &= \text{Self-term change} + 2(\text{Cross-term change}) \\ &\quad + \text{Expectation-term change}. \end{aligned}$$

**Step 2:** This step is to analyze weight changes. When a sample changes, its rank may also change. Then:

$$\begin{aligned} |w'_i - w_i| &= \left| \frac{1}{iH_m} - \frac{1}{(i + \Delta r)H_m} \right| \\ &\leq \frac{\Delta r}{i(i + \Delta r)H_m} \\ &\leq \frac{1}{iH_m}. \end{aligned}$$

**Step 3:** Bound the change of each term.

**1) Self-term change:**

$$\begin{aligned} &|w'_{ii} k(x'_i, x'_i) - w_{ii} k(x_i, x_i)| \\ &= |(1 - \frac{1}{iH_m})^2 \text{sim}^2(x'_i, P) - (1 - \frac{1}{iH_m})^2 \text{sim}^2(x_i, P)| \\ &= |(1 - \frac{1}{iH_m})^2| \cdot |\text{sim}^2(x'_i, P) - \text{sim}^2(x_i, P)| \\ &= |1 - \frac{2}{iH_m} + \frac{1}{i^2 H_m^2}| \cdot |\text{sim}^2(x'_i, P) - \text{sim}^2(x_i, P)|. \end{aligned}$$

Using the inequality  $\frac{1}{i^2 H_m^2} \leq \frac{1}{iH_m}$  (since  $H_m \geq 1$  for  $i \geq 1$ ):

$$|1 - \frac{2}{iH_m} + \frac{1}{i^2 H_m^2}| \leq |1 - \frac{2}{iH_m} + \frac{1}{iH_m}| = |1 - \frac{1}{iH_m}|.$$

Therefore:

$$\begin{aligned} &|w'_{ii} k(x'_i, x'_i) - w_{ii} k(x_i, x_i)| \\ &\leq |1 - \frac{1}{iH_m}| \cdot |\text{sim}^2(x'_i, P) - \text{sim}^2(x_i, P)|. \end{aligned}$$

Since  $\frac{1}{iH_m}$  is positive,  $1 - \frac{1}{iH_m} < 1$ , and  $\text{sim}^2(x'_i, P)$ ,  $\text{sim}^2(x_i, P)$  are in  $[0, 1]$ , their absolute difference is at most 1:

$$|w'_{ii} k(x'_i, x'_i) - w_{ii} k(x_i, x_i)| \leq 1.$$

**2) Cross-term change:**

$$\begin{aligned} &|w'_i \sum_{j \neq i}^m w_j k(x'_i, x_j) - w_i \sum_{j \neq i}^m w_j k(x_i, x_j)| \\ &= |w'_i \sum_{j \neq i}^m w_j k(x'_i, x_j) - w_i \sum_{j \neq i}^m w_j k(x'_i, x_j) \\ &\quad + w_i \sum_{j \neq i}^m w_j k(x'_i, x_j) - w_i \sum_{j \neq i}^m w_j k(x_i, x_j)| \\ &\leq |(w'_i - w_i) \sum_{j \neq i}^m w_j k(x'_i, x_j)| + |w_i \sum_{j \neq i}^m w_j (k(x'_i, x_j) - k(x_i, x_j))| \\ &\leq |w'_i - w_i| \sum_{j \neq i}^m w_j k(x'_i, x_j) + |w_i| \sum_{j \neq i}^m w_j (k(x'_i, x_j) - k(x_i, x_j)). \end{aligned}$$

To solve this, we have:

- $|w'_i - w_i| \leq 1/(iH_m)$ ,
- $|k(x'_i, x_j)| = |\text{sim}(x'_i, P)\text{sim}(x_j, P)| \leq 1$ ,
- $|w_i| = 1/(iH_m)$ ,
- $|k(x'_i, x_j) - k(x_i, x_j)| = |\text{sim}(x'_i, P) - \text{sim}(x_i, P)| |\text{sim}(x_j, P)| \leq |\text{sim}(x'_i, P) - \text{sim}(x_i, P)| \leq 2$ .

Therefore:

$$\begin{aligned} &|w'_i - w_i| \sum_{j \neq i}^m w_j k(x'_i, x_j) + |w_i| \sum_{j \neq i}^m w_j (k(x'_i, x_j) - k(x_i, x_j)) \\ &\leq \frac{1}{iH_m} \left| \sum_{j \neq i}^m \frac{1}{jH_m} \cdot 1 \right| + \frac{1}{iH_m} \left| \sum_{j \neq i}^m \frac{1}{jH_m} \cdot 2 \right| \\ &\leq \frac{1}{iH_m} \cdot \frac{H_m}{H_m} + \frac{1}{iH_m} \cdot \frac{2H_m}{H_m} \\ &= \frac{3}{iH_m} \leq \frac{3}{H_m}. \end{aligned}$$

### 3) Expectation term change:

$$\begin{aligned}
& 2|w'_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)] - w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)]| \\
&= 2|w'_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)] - w_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)] \\
&\quad + w_i \mathbb{E}_{y \sim P_t} [k(x'_i, y)] - w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)]| \\
&\leq 2|w'_i - w_i| \mathbb{E}_{y \sim P_t} [k(x'_i, y)] + 2|w_i| |\mathbb{E}_{y \sim P_t} [k(x'_i, y)] - k(x_i, y)|.
\end{aligned}$$

To solve this, we have:

- $k(x'_i, y) = \text{sim}(x'_i, P) \text{sim}(y, P) \leq 1$ ,
- $\mathbb{E}_{y \sim P_t} [k(x'_i, y)] \leq \max(k(x'_i, y)) \leq 1$ ,
- $|E_{y \sim P_t} [k(x'_i, y)] - k(x_i, y)|$   
 $= |E_{y \sim P_t} [\text{sim}(x'_i, P) \text{sim}(y, P)] - \text{sim}(x_i, P) \text{sim}(y, P)|$   
 $= |E_{y \sim P_t} [(\text{sim}(x'_i, P) - \text{sim}(x_i, P)) \text{sim}(y, P)]|$   
 $= |\text{sim}(x'_i, P) - \text{sim}(x_i, P)| \mathbb{E}_{y \sim P_t} [\text{sim}(y, P)] \leq 2$ .

Therefore:

$$\begin{aligned}
& 2|w'_i - w_i| \mathbb{E}_{y \sim P_t} [k(x'_i, y)] + 2|w_i| |\mathbb{E}_{y \sim P_t} [k(x'_i, y)] - k(x_i, y)| \\
&\leq \frac{2}{iH_m} \cdot 1 + \frac{2}{iH_m} \cdot 2 = \frac{6}{H_m}.
\end{aligned}$$

**Step 4:** Combine all the above bounds:

$$\begin{aligned}
|\Delta \text{MMD}^2| &= \text{Self-term change} + 2(\text{Cross-term change}) \\
&\quad + \text{Expectation-term change} \\
&\leq 1 + 2 \cdot \frac{3}{H_m} + \frac{6}{H_m} \\
&= 1 + \frac{12}{H_m} \leq 13. \quad // H_m \geq 1 \quad \square
\end{aligned}$$

**Lemma 6** (MMD<sup>2</sup> Expectation Bound). For  $\mathbb{E}[\text{MMD}^2]$ , it satisfies:  
 $\mathbb{E}[\text{MMD}^2] \leq A$ , where  $A = \frac{\pi^2}{6H_m^2} + 1 - \frac{2}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}$ .

PROOF. We analyze the expectation bound of MMD<sup>2</sup> in 2 steps:

**Step 1:** Analyze the MMD<sup>2</sup> expectation:

$$\begin{aligned}
\mathbb{E}[\text{MMD}^2] &= \mathbb{E} \left[ \sum_{i,j=1}^m w_i w_j k(x_i, x_j) \right] + \mathbb{E}[\mathbb{E}_{y, y' \sim P_t} [k(y, y')]] \\
&\quad - 2\mathbb{E} \left[ \sum_{i=1}^m w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)] \right].
\end{aligned}$$

#### 1) First term expectation:

$$\begin{aligned}
& \mathbb{E} \left[ \sum_{i=1}^m \sum_{j=1}^m w_i w_j k(x_i, x_j) \right] \\
&= \mathbb{E} \left[ \sum_{i=1}^m w_i^2 k(x_i, x_i) \right] + \mathbb{E} \left[ \sum_{i=1}^m \sum_{j=i+1}^m w_i w_j k(x_i, x_j) \right] \\
&\quad + \mathbb{E} \left[ \sum_{j=1}^m \sum_{i=j+1}^m w_i w_j k(x_i, x_j) \right] \\
&= \mathbb{E} \left[ \sum_{i=1}^m w_i^2 k(x_i, x_i) \right] + 2\mathbb{E} \left[ \sum_{i=1}^m \sum_{j=i+1}^m w_i w_j k(x_i, x_j) \right].
\end{aligned}$$

We can get that:

$$\begin{aligned}
\mathbb{E} \left[ \sum_{i=1}^m w_i^2 k(x_i, x_i) \right] &\leq \mathbb{E} \left[ \sum_{i=1}^m \left( \frac{1}{iH_m} \right)^2 \right] \quad // k(x_i, x_i) \leq 1 \\
&= \mathbb{E} \left[ \frac{1}{H_m^2} \sum_{i=1}^m \frac{1}{i^2} \right] \\
&\leq \mathbb{E} \left[ \frac{1}{H_m^2} \frac{\pi^2}{6} \right] \quad // \sum_{i=1}^m \frac{1}{i^2} \leq \sum_{i=1}^{\infty} \frac{1}{i^2} = \frac{\pi^2}{6} \\
&= \frac{\pi^2}{6H_m^2}, \quad // H_m^2 \text{ is deterministic}
\end{aligned}$$

$$\begin{aligned}
& \mathbb{E} \left[ \sum_{i=1}^m \sum_{j=i+1}^m w_i w_j k(x_i, x_j) \right] \\
&= \sum_{i=1}^m \sum_{j=i+1}^m w_i w_j \mathbb{E}[k(x_i, x_j)] \quad // w \text{ are deterministic} \\
&\leq \sum_{i=1}^m \sum_{j=i+1}^m \frac{1}{iH_m} \cdot \frac{1}{jH_m} \cdot 1 \\
&= \frac{1}{H_m^2} \sum_{i=1}^m \frac{1}{i} \sum_{j=i+1}^m \frac{1}{j} \\
&= \frac{1}{H_m^2} \sum_{i=1}^m \frac{1}{i} (H_m - H_i) \\
&= \frac{1}{H_m^2} \left( \sum_{i=1}^m \frac{H_m}{i} - \sum_{i=1}^m \frac{H_i}{i} \right) \\
&= \frac{1}{H_m^2} (H_m \cdot H_m - \sum_{i=1}^m \frac{H_i}{i}) \\
&= 1 - \frac{1}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}.
\end{aligned}$$

Therefore:

$$\begin{aligned}
\mathbb{E} \left[ \sum_{i=1}^m \sum_{j=1}^m w_i w_j k(x_i, x_j) \right] &\leq \frac{\pi^2}{6H_m^2} + 2 \left( 1 - \frac{1}{H_m^2} \sum_{i=1}^m \frac{H_i}{i} \right) \\
&= \frac{\pi^2}{6H_m^2} + 2 - \frac{2}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}.
\end{aligned}$$

#### 2) Second term expectation:

$$\mathbb{E}[\mathbb{E}_{y, y' \sim P_t} [k(y, y')]] = \mathbb{E}_{y, y' \sim P_t} [k(y, y')] \leq 1.$$

#### 3) Third term expectation:

$$\begin{aligned}
& \mathbb{E} \left[ \sum_{i=1}^m w_i \mathbb{E}_{y \sim P_t} [k(x_i, y)] \right] \leq \mathbb{E} \left[ \sum_{i=1}^m w_i \right] \\
&= \sum_{i=1}^m \frac{1}{iH_m} \\
&= \frac{1}{H_m} \cdot H_m = 1.
\end{aligned}$$

**Step 2:** combine these expectation bounds:

$$\begin{aligned}\mathbb{E}[\text{MMD}^2] &\leq \left(\frac{\pi^2}{6H_m^2} + 2 - \frac{2}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}\right) + 1 - (2 \times 1) \\ &= \frac{\pi^2}{6H_m^2} + 1 - \frac{2}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}.\end{aligned}$$

Let  $A = \frac{\pi^2}{6H_m^2} + 1 - \frac{2}{H_m^2} \sum_{i=1}^m \frac{H_i}{i}$  and  $\mathbb{E}[\text{MMD}^2] \leq A$ .  $\square$

Now we proceed with the main proof:

**Step 1:** From Lemma 5 that  $|\Delta \text{MMD}^2| \leq 13$ , we can apply McDiarmid's inequality:

$$\mathbb{P}(|\text{MMD}^2 - \mathbb{E}[\text{MMD}^2]| \geq \xi) \leq 2\exp(-2\xi^2/169m),$$

where  $m$  is the buffer size. Then, with probability at least  $1 - 2\exp(-2t^2/169m)$ :

$$\begin{aligned}|\text{MMD}^2 - \mathbb{E}[\text{MMD}^2]| &\leq \xi \iff \\ \mathbb{E}[\text{MMD}^2] - \xi &\leq \text{MMD}^2 \leq \mathbb{E}[\text{MMD}^2] + \xi.\end{aligned}$$

Focus on upper bound, since MMD is non-negative:

$$\begin{aligned}\text{MMD}^2 &\leq \mathbb{E}[\text{MMD}^2] + \xi \implies \\ \text{MMD} &\leq \sqrt{\mathbb{E}[\text{MMD}^2] + \xi} \leq \sqrt{\mathbb{E}[\text{MMD}^2]} + \sqrt{\xi} \leq \sqrt{A} + \sqrt{\xi},\end{aligned}$$

where the last inequality follows from  $\sqrt{a+b} \leq \sqrt{a} + \sqrt{b}$  for  $a, b \geq 0$ . Combining this with McDiarmid's inequality above, we have:

$$\begin{aligned}\mathbb{P}(|\text{MMD}^2 - \mathbb{E}[\text{MMD}^2]| \leq \xi) &\geq 1 - 2\exp(-2\xi^2/169m) \iff \\ \mathbb{P}(\text{MMD} \leq \sqrt{A} + \sqrt{\xi}) &\geq 1 - 2\exp(-2\xi^2/169m).\end{aligned}$$

**Step 2:** Let  $\xi' = \sqrt{A} + \sqrt{\xi}$ :

$$\begin{aligned}\sqrt{\xi} &= \xi' - \sqrt{A}, \\ \xi &= (\xi' - \sqrt{A})^2.\end{aligned}$$

Hence, we have:

$$\begin{aligned}\mathbb{P}(\text{MMD} \leq \xi') &\geq 1 - 2\exp(-2\xi'^2/169m) \\ &= 1 - 2\exp(-2(\xi' - \sqrt{A})^4/169m)\end{aligned}$$

**Step 3:** Let  $\delta = 2\exp(-2(\xi' - \sqrt{A})^4/169m)$ , then:

$$\mathbb{P}(\text{MMD} \leq \epsilon) \geq 1 - \delta$$

**Step 4:** Solve for  $\xi'$ :

$$\begin{aligned}\delta &= 2\exp(-2(\xi' - \sqrt{A})^4/169m) \\ \ln(\delta/2) &= -2(\epsilon - \sqrt{A})^4/169m \\ \epsilon &= \sqrt{A} + (-84.5m \ln(\delta/2))^{1/4}\end{aligned}$$

**Step 5:** Note that, since  $H_m$  is the harmonic series:

$$A = \frac{\pi^2}{6H_m^2} + 1 - 2 \sum_{i=1}^m \frac{H_i}{iH_m^2} = O(1).$$

Therefore:

$$\sqrt{A} = O(1).$$

For the second term:

$$\begin{aligned}(-84.5m \ln(\delta/2))^{1/4} &= (84.5m \ln(2) - 84.5m \ln(\delta))^{1/4} \\ &= O((m \ln(1/\delta))^{1/4}).\end{aligned}$$

**Step 6:** Finally, renaming  $\xi'$  to  $\epsilon$ , we obtain:

$$\mathbb{P}(\text{MMD}(P_M, P_t) \leq \epsilon) \geq 1 - \delta,$$

where:

$$\epsilon = O(1) + O((m \ln(1/\delta))^{1/4}) = O((m \ln(1/\delta))^{1/4}). \quad \square$$

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