[MS-WSDS]: WS-Enumeration: Directory Services Protocol Extensions

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Revision Summary

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1 Introduction

The WS-Enumeration Directory Services Protocol Extensions [MS-WSDS] are a set of extensions to the Web Services Enumeration (WS-Enumeration) [WSENUM] protocol for facilitating SOAP-based search operations against directory servers. This protocol makes it easy for client applications that currently use non-Web services protocols, such as **Lightweight Directory Access Protocol** (LDAP) version 3 [RFC2251], to instead use Web service protocols for such operations.

The extensions to the SOAP-based Enumeration protocol specify dialect for expressing the search filter for an enumeration. It also provide a means of requesting and receiving selected fragments of resultant objects in the context of a specific enumeration and an additional set of **SOAP faults** for various WS-Enumeration [WSENUM] operations.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [MS-GLOS]:

Active Directory Active Directory Domain Services (AD DS) directory attributes (or attributes) directory object (or object) directory server (or server) distinguished name (DN) domain naming context (domain NC) globally unique identifier (GUID) **Hypertext Transfer Protocol (HTTP) Lightweight Directory Access Protocol (LDAP)** naming context (NC) relative distinguished name (RDN) schema security principal **SOAP SOAP** body **SOAP fault SOAP** header **SOAP** message **Transmission Control Protocol (TCP)** Web Services Description Language (WSDL) WSDL port type **XML** XML namespace XML schema (XSD)

The following terms are specific to this document:

Active Directory Lightweight Directory Services (AD LDS): A general-purpose network directory service that is an independent mode of Active Directory and that provides dedicated directory services for applications. See [MS-ADTS].

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Active Directory Web Services (ADWS): Active Directory Web Services (ADWS) provides a Web Service interface to Active Directory Domain Services (AD DS) and Active Directory Lightweight Directory Services (AD LDS).

constructed attribute: See [MS-ADTS] section 3.1.1.1.4.

default attribute: An attribute of an object that is not a constructed attribute.

enumeration context: A session context that represents a specific traversal through a logical sequence of XML element information items using the Pull operation defined in WS-Enumeration specification. See [WSENUM].

endpoint: In the context of a Web service, a network target to which a SOAP message can be addressed. See [WSADDR].

object reference property: In **Active Directory Web Services**, this is the property that uniquely identifies a directory object. It can be expressed as either a GUID or as a distinguished name. See [MS-ADDM].

requestor: The client application that is requesting the specific objects from the Web Service.

session: An authenticated communication channel between the client and server correlating a group of messages into a conversation.

snapshot store instance: A read-only copy of an Active Directory instance or an Active Directory Lightweight Directory Services instance at some point in time.

Uniform Resource Identifier (URI): A string of characters in a standardized format that identifies a resource on a network.

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[MS-ADDM] Microsoft Corporation, "<u>Active Directory Web Services: Data Model and Common Elements</u>".

[MS-ADTS] Microsoft Corporation, "Active Directory Technical Specification".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.rfc-editor.org/rfc/rfc2119.txt

[RFC2251] Wahl, M., Howes, T., and Kille, S., "Lightweight Directory Access Protocol (v3)", RFC 2251, December 1997, http://www.ietf.org/rfc/rfc2251.txt

[RFC2254] Howes, T., "The String Representation of LDAP Search Filters", RFC 2254, December 1997, http://www.ietf.org/rfc/rfc2254.txt

[SOAP1.1] Box, D., Ehnebuske, D., Kakivaya, G., et al., "Simple Object Access Protocol (SOAP) 1.1", May 2000, http://www.w3.org/TR/2000/NOTE-SOAP-20000508/

[SOAP1.2-1/2003] Gudgin, M., Hadley, M., Mendelsohn, N., et al., "SOAP Version 1.2 Part 1: Messaging Framework", W3C Recommendation, June 2003, http://www.w3.org/TR/2003/REC-soap12-part1-20030624

[WSADDR] Gudgin, M., Hadley, M., and Rogers, T., "Web Services Addressing (WS-Addressing) 1.0", W3C Recommendation, May 2006, http://www.w3.org/2005/08/addressing

[WSAddressing] Box, D., Christensen, E., Ferguson, D., et al., "Web Services Addressing (WS-Addressing)", August 2004, http://www.w3.org/Submission/ws-addressing/

[WSENUM] Alexander, J., Box, D., Cabrera, L.F., et al., "Web Services Enumeration (WS-Enumeration)", March 2006, http://www.w3.org/Submission/2006/SUBM-WS-Enumeration-20060315/

[WSDL] Christensen, E., Curbera, F., Meredith, G., and Weerawarana, S., "Web Services Description Language (WSDL) 1.1", W3C Note, March 2001, http://www.w3.org/TR/2001/NOTE-wsdl-20010315

[WSASB] Gudgin, M., Hadley, M., and Rogers, T., "Web Services Addressing 1.0 - SOAP Binding", W3C Recommendation, May 2006, http://www.w3.org/TR/2006/REC-ws-addr-soap-20060509/

[XMLNS-2ED] World Wide Web Consortium, "Namespaces in XML 1.0 (Second Edition)", August 2006, http://www.w3.org/TR/2006/REC-xml-names-20060816/

[XMLSCHEMA1] Thompson, H.S., Beech, D., Maloney, M., and Mendelsohn, N., Eds., "XML Schema Part 1: Structures", W3C Recommendation, May 2001, http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/

[XMLSCHEMA2] Biron, P.V., and Malhotra, A., Eds., "XML Schema Part 2: Datatypes", W3C Recommendation, May 2001, http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/

1.2.2 Informative References

[MC-NMF] Microsoft Corporation, ".NET Message Framing Protocol".

[MS-GLOS] Microsoft Corporation, "Windows Protocols Master Glossary".

1.3 Overview

The WS-Enumeration [WSENUM] specification describes how query operations performed against the directory server in the form of **SOAP messages** are initiated using the Enumerate operation. The Enumerate operation creates a new **enumeration context** for subsequent traversal/retrieval of result items by means of the Pull operation. [MS-WSDS] specifies the query filter language allowed for such enumeration operations.

WS-Enumeration [WSENUM] does not provide an explicit means to specify which fragments of the object to return for a certain enumeration in the Enumerate request. Since directory services like **Active Directory Web Services (ADWS)** use the filter expression to just specify which objects to return, and not what portions of those objects to return, this protocol specifies extensions to the WS-Enumeration [WSENUM] Enumerate operation, providing a means of requesting selected fragments out of the resultant objects. The extensions also include a way to specify which directory

attribute the sorting of the resultant objects or their fragments is based on and whether or not the order is ascending.

The specification of WS-Enumeration [WSENUM] provides only a small set of SOAP faults for a directory server to return. This set is insufficient for many error conditions that a server could need to report to the client, which forces the server to use its own nonstandard fault codes. This specification extends the WS-Enumeration [WSENUM] set of faults by specifying additional SOAP faults that a server is permitted to return to the client to indicate that an error occurred while processing the request. This improves interoperability between clients and servers by providing a standardized set of errors that both sides of the communications session can understand. [MS-WSDS] specifies SOAP faults for the Enumerate and Pull operation defined by WS-Enumeration [WSENUM].

1.4 Relationship to Other Protocols

[MS-WSDS] is an extension to the WS-Enumeration [WSENUM] protocol built on top of SOAP [SOAP1.2-1/2003] as shown in the following layering diagram.

WS-Enumeration Directory Services Protocol Extensions	This extension
WS-Enumeration	Industry-standard
SOAP	Industry-standard

1.5 Prerequisites/Preconditions

This protocol extension does not assume any prerequisites or preconditions.

1.6 Applicability Statement

Use of the WS-Enumeration: Directory Services protocol extensions is suitable when searching XML representations of directory objects by means of WS-Enumeration and the granularity of resultant items is required to be lesser than the entire directory object's representation. These extensions cannot be used independently of WS-Enumeration [WSENUM], so they may not be applicable in applications that have already standardized on a protocol other than WS-Enumeration [WSENUM] for querying directory services.

The XPath 1.0-derived selection language, defined in [MS-ADDM] section 2.4, is used to specify the fragments requested out of the resultant items in the enumerate operation. This is suitable only when the data stored in a directory service could be represented as an XML document.

There is an implicit assumption that the directory service exposes semantics similar to that of a Lightweight Directory Access Protocol (LDAP) version 3 directory service [RFC2251] facilitating the use of LdapOuery language for the filter expression in the enumerate request.

1.7 Versioning and Capability Negotiation

This document covers versioning issues in the following areas:

- **Supported Transports:** This protocol extension can be implemented using transports that support sending SOAP messages as described in section 2.1.
- **Protocol Versions:** This protocol extension is not versioned.

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- Capability Negotiation: This protocol does not support capability negotiation.
- **Localization:** This protocol includes text strings in various SOAP faults. Localization considerations for such strings are specified in section <u>3.1.4</u>.

1.8 Vendor-Extensible Fields

There are no vendor-extensible fields.

1.9 Standards Assignments

There are no standards assignments for this protocol extension.

2 Messages

2.1 Transport

[MS-WSDS] imposes no transport requirements or behaviors beyond those of the underlying WS-Enumeration [WSENUM] protocol. WS-Enumeration provides SOAP bindings for both SOAP 1.1 [SOAP1.1] and SOAP 1.2 [SOAP1.2-1/2003]. All messages MUST be formatted as specified by either SOAP 1.1 or SOAP 1.2.<1>

2.2 Common Message Syntax

This section contains common definitions that are used by this protocol. The syntax of the definitions uses an **XML schema**, as defined in [XMLSCHEMA1] and [XMLSCHEMA2], and Web Services Description Language (WSDL), as defined in [WSDL].

2.2.1 Namespaces

This specification defines and references various XML namespaces using the mechanisms specified in [XMLNS-2ED]. Although this specification associates a specific **XML namespace** prefix for each XML namespace that is used, the choice of any particular XML namespace prefix is implementation-specific and not significant for interoperability.

The prefixes and XML namespaces used in this specification include the following.

Prefix	Namespace URI	Reference
soapenv:	http://www.w3.org/2003/05/soap-envelope	[SOAP1.2- 1/2003]
soapenv11:	http://schemas.xmlsoap.org/soap/envelope	[SOAP1.1]
wsa:	http://www.w3.org/2005/08/addressing	[WSADDR]
wsa2004	http://schemas.xmlsoap.org/ws/2004/08/addressing	[WSAddressing]
wsen:	http://schemas.xmlsoap.org/ws/2004/09/enumeration	[WSENUM]
ad:	http://schemas.microsoft.com/2008/1/ActiveDirectory	[MS-ADDM]
addata:	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data	[MS-ADDM]
adlq:	http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery	The LdapQuery language URI , defined in section 3.1.4.1.1.1 of this specification
xsd:	http://www.w3.org/2001/XMLSchema	[XMLSCHEMA1]
xsi:	http://www.w3.org/2001/XMLSchema-instance	[XMLSCHEMA1]

2.2.2 Messages

This specification does not define any new messages.

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2.2.3 Elements

This specification does not define any common XML Schema element definitions.

2.2.4 Complex Types

This specification does not define any common XML Schema complex type definitions.

2.2.5 Simple Types

This specification does not define any common XML Schema simple type definitions.

2.2.6 Attributes

This specification does not define any common XML Schema attribute definitions.

2.2.7 Groups

This specification does not define any common XML Schema group definitions.

2.2.8 Attribute Groups

This specification does not define any common XML Schema attribute group definitions.

2.3 Directory Service Schema Elements

This specification does not make use of any directory service schema elements.

3 Protocol Details

The WS-Enumeration: Directory Services Protocol Extensions [MS-WSDS] extend how the WS-Enumeration [WSENUM] protocol operates between an application and a directory server. The **requestor** that is the client for the protocol sends a SOAP message containing a request of an Enumerate, Pull, Renew, GetStatus or Release operation to the server, and the server responds with a SOAP message, containing the response, or a SOAP fault, if an error occurred during server processing.

The WS-Enumeration [WSENUM] specification of the simple SOAP-based protocol consists of multiple operations that are served by the **WSDL port types**: an Enumerate operation to begin the request, one or more Pull operations to retrieve the results, and a Release operation to terminate the query. In subsequent sections, extensions to some of these operations have been specified.

In the WS-Enumeration [WSENUM] specification, all the Enumerate operations are tied together by an enumeration context that represents a logical cursor through a sequence of data items. The enumeration context is just an identifier that the server returns in response to the WS-Enumeration [WSENUM] Enumerate operation and which the client is responsible for passing back while performing subsequent WS-Enumeration [WSENUM] operations. Thus, the requestor MUST initiate an Enumerate operation to create new enumeration contexts for subsequent traversal/retrieval of result items through Pull operation or performing other WS-Enumeration [WSENUM] operations. At the same time, WS-Enumeration [WSENUM] mandates that the state regarding the progress of the iteration MUST be maintained between requests by the server being enumerated or by the requestor.

Besides the requirements for WS-Enumeration [WSENUM], this protocol extension is simply a pass-through on the client side. That is, no additional timers or other state is required on the client side of this protocol. Calls made by the higher-layer protocol or application are passed directly to the transport, and the results returned by the transport are passed directly back to the higher-layer protocol or application.

3.1 Enumeration Server Details

This section describes the server behavior of the [MS-WSDS] protocol extensions as they apply to the WS-Enumeration [WSENUM] WSDL port types. In WS-Enumeration, this WSDL port types is used to process five WSDL operations:

- Enumeration
- Pull
- Renew
- GetStatus
- Release

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

[MS-WSDS] operates on a collection of directory objects. The Enumerate operation specifies the search filter requesting for selected directory objects matching the filter or only fragments of those selected directory objects, whichever is required. The Pull operation retrieves the objects or fragments of those objects in the context of the previous Enumeration operation. The resultant items out of the Pull operation are a sequence of enumerated directory objects or their fragments. A directory object is a collection of one or more directory attributes. Each directory attribute is a collection of one or more directory attribute values are represented as **XML** elements.

For example, a user might be represented as a directory object. That directory object could have a directory attribute representing the user's given name, containing a single directory attribute value (for example, "John"). It could also have a directory attribute for the user's surname (for example, "Smith"). It might also have a directory attribute for the user's telephone numbers, which could contain multiple directory attribute values, one for each telephone number possessed by that user.

The directory object collection is represented as an XML document. The collection of directory objects containing the directory attributes, the contents of those attributes, and the representation of those directory objects (including their directory attributes and directory attribute values) as an XML document is implementation-defined. <2>

3.1.2 Timers

There are no timers in this protocol extension.

3.1.3 Initialization

When this protocol initializes, it MUST begin listening on endpoints for the Enumeration interface. The URIs for the endpoints, as well as the transport and security mechanisms to use, are implementation-dependent.<a><a>

3.1.4 Message Processing Events and Sequencing Rules

The following operations are defined by the WS-Enumeration [WSENUM] protocol. This protocol specifies extensions to the request, response, and SOAP faults associated with these operations.

Operation	Description
wsen:Enumerate	Creates a context mapped to the query filter specified for search.
wsen:Pull	Pulls the resultant objects in the context of a specific enumeration.
wsen:Renew	Renews the expiration time of the specified enumeration context.
wsen:GetStatus	Gets the expiration time of the specified enumeration context.
wsen:Release	Releases the specified enumeration context.

Section 3.6 of WS-Enumeration [WSENUM] defines that if the server terminates an enumeration unexpectedly, it should send an EnumerationEnd SOAP message to the endpoint reference indicated when the enumeration was created.<4>

The subsequent sections also document the SOAP faults specified for use by servers that implement the [MS-WSDS] protocol extensions for specific operations. These faults SHOULD be used by servers while processing a WS-Enumeration [WSENUM] message to indicate to the client that a server-side error has occurred. Many of the faults are adopted from the WS-Enumeration [WSENUM] protocol,

and some are from WS-Addressing [WSAddressing]; WSDS assigns these faults a specific meaning within the context of a WS-Enumeration operation that uses the WSDS extensions.

Server implementations are permitted to return additional faults beyond those described as follows. Where applicable, make use of the documented faults in order to maximize interoperability.

Some of the SOAP faults documented as follows specify English-language text in their fault reason. Server implementations are permitted to localize this text to other languages. Such localizations SHOULD maintain, to the extent possible, the same meaning as the English text supplied in this document.

All SOAP faults defined in this document MUST be sent as described in section 6 of [WSASB].

In the tables describing faults in the later sections, the following apply.

- [Code] is the SOAP fault code.
- [Subcode] is the SOAP fault subcode.
- [Action] is the **SOAP action** URI for the fault.
- [Reason] is a human-readable explanation of the error.
- [Details] is an illustrative example of the fault detail. If not present, WSDS does not specify a
 fault detail for the fault.

3.1.4.1 wsen:Enumerate

This section defines the directory services extensions to the Enumerate request and response message defined in section 3.1 of WS-Enumeration [WSENUM].

The Instance header defined in section 2.5.1 of [MS-ADDM] MUST be attached by the requestor to a WS-Enumeration [WSENUM] Enumerate request message containing an <ad:instance> payload to inform the server about the directory instance targeted. See section 4.1 for an example of how the header is attached in an Enumerate request.

For the /soapenv:Envelope/ soapenv:Body/ wsen:Enumerate/ wsen:Filter/ element defined in section 3.1 of WS-Enumeration [WSENUM], the filter expression language supported by [MS-WSDS] is "LdapQuery" defined in section 3.1.4.1.1.1 and identified by the following URI:

http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery

The URI stated previously MUST be the value of the /soapenv:Envelope/ soapenv:Body/ wsen:Enumerate/ wsen:Filter/ @Dialect attribute specified in section 3.1 of WS-Enumeration [WSENUM].

The wsen: Filter element is optional. If the wsen: Filter element is absent in the request (that is, no query filter has been provided in the request), the server SHOULD use the following Ldap query filter by default.

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The WS-Enumeration [WSENUM] Enumerate request may specify the expiration time of the request as a specific time or duration type. If the wsen: Expires element specifying expiration time is absent in the request, meaning indefinite lifetime for the enumeration, it is up to the server to grant such enumeration. <5>

WS-Enumeration [WSENUM] also specifies for the Enumerate response that the expiration time may be either an absolute time or a duration but should be of the same type as the requested expiration. $\leq 6 >$

3.1.4.1.1 Elements

The following XML Schema element definitions are specific to extensions of the enumerate operation defined in section 3.1 of WS-Enumeration [WSENUM].

Element	Description
<adlq:ldapquery></adlq:ldapquery>	Specifies the format for the LdapQuery query element.
<ad:selection></ad:selection>	Specifies the fragments for the Selection element.
<ad:sorting></ad:sorting>	Specifies the Sorting element.

3.1.4.1.1.1 adlq:LdapQuery

This section specify the format of optional LDAP query element which is used to define the optional /soapenv:Envelope/soapenv:Body/wsen:Enumerate/wsen:Filter element of the Enumerate request described in section 3.1 of WS-Enumeration [WSENUM].

Following is the XML Schema [XMLSCHEMA1] definition of the LdapQuery element.

For example, the following XML shows the contents of LdapQuery element.

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If the adlq:LdapQuery element is absent in the request (that is, no query filter has been provided in the request), the server SHOULD use the following Ldap query filter by default:

3.1.4.1.1.1.1 adlq:filter

The requestor MUST specify the LDAP search filter strings as defined in [RFC2254] as the query string for the required filter element wsen: Enumerate/wsen: Filter/adlq:LdapQuery/adlq:filter in the Enumerate request.

3.1.4.1.1.1.2 adlq:BaseObject

The requestor MUST specify the **object reference property** (GUID or distinguished name of the object) as defined in section <u>2.3.3.1</u> of the <u>[MS-ADDM]</u> protocol under the required BaseObject element wsen:Enumerate/wsen:Filter/adlq:LdapQuery/adlq:BaseObject in the Enumerate request.

```
<adlq:BaseObject>
     <object Reference Property, a.k.a. GUID, or object DN>
</adlq:BaseObject>
```

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3.1.4.1.1.1.3 adlq:Scope

The requestor MUST specify "base", "onelevel", or "subtree" under the required scope element wsen:Enumerate/wsen:Filter/adlq:LdapQuery/adlq:Scope in the Enumerate request. This element specifies the scope for the directory search that is to be performed.

```
<adlq:Scope>
    (base | onelevel | subtree)
</adlq:Scope>
```

Scope	Explanation
base	Limits the search scope to the base object. The result contains a maximum of one object.
onelevel	Limits the search scope to the immediate child objects of the base object, excluding the base object.
subtree	Limits the search scope to the whole subtree, including the base object and all its child objects.

3.1.4.1.1.2 ad:Selection

The optional element /soapenv:Envelope/soapenv:Body/wsen:Enumerate/ad:Selection specifies which fragments (SelectionProperties) (that is, what portions (directory attributes)) of the object to return for a certain enumeration. The selection element is specified using a selection language that is derived from XPath 1.0. If specified, the Selection element MUST contain at least one SelectionProperty (see section 3.1.4.1.1.2.1).

If the Selection element is absent in the request, all **default attributes** of the directory object SHOULD be returned.<7>

Following is the XML Schema [XMLSCHEMA1] definition of the Selection element.

For example, the following XML shows the contents of the Selection element.

```
<ad:Selection Dialect="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-
Level-1">
   <ad:SelectionProperty>
   <XPath Level I>
   </ad:SelectionProperty>
</ad:SelectionProperty>
```

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The value of /soapenv:Envelope/ soapenv:Body/wsen:Enumerate/ad:Selection/@Dialect is specified in section 3.1.4.1.2.1.

3.1.4.1.1.2.1 ad:SelectionProperty

The required element

/soapenv:Envelope/soapenv:Body/wsen:Enumerate/ad:Selection/ad:SelectionProperty specifies which directory attribute is to be returned for the resultant objects evaluating to true for that particular enumeration. The Requestor MUST use XPath 1.0-derived Selection Language, defined in [MS-ADDM] section 2.4, to specify this element. The language is indicated by the Dialect attribute, defined in section 3.1.4.1.2.1, on its parent node ad:Selection, defined in section 3.1.4.1.1.2.

For doing range retrieval of a multi-valued directory attribute, the extension to [WSENUM] specified in [MS-ADDM] section 2.7 SHOULD be used with this XML element.

Selection property <ad:all> SHOULD be specified to indicate that the Pull operation SHOULD return all the default attributes of the resultant directory objects. <8>

Following is the XML schema [XMLSCHEMA1] definition of the SelectionProperty element.

3.1.4.1.1.3 ad:Sorting

The optional element /soapenv:Envelope/soapenv:Body/wsen:Enumerate/ad:Sorting determines which attribute the sorting of resultant items in the response message for that specific enumeration would depend on. The Sorting element is optional and, if specified, MUST contain exactly one SortingProperty (see section 3.1.4.1.1.3.1). An optional Ascending attribute (see section 3.1.4.1.2.2) is used to specify ascending or descending sort order. If the Sorting element is not specified, the results are not sorted.

Following is the XML Schema [XMLSCHEMA1] definition of the Sorting element:

```
<xsd:element name ="Sorting">
  <xsd:complexType>
    <xsd:sequence>
      <xsd:element name="SortingProperty" minOccurs="1"</pre>
           maxOccurs ="1">
      <xsd:complexType>
        <xsd:simpleContent>
          <xsd:extension base="xsd:string">
            <xsd:attribute name="Ascending" use="optional" default="true"</pre>
type="xsd:boolean"/>
          </xsd:extension>
        </xsd:simpleContent>
     </xsd:complexType>
    </xsd:element>
  </xsd:sequence>
 <xsd:attribute name="Dialect"</pre>
fixed=http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1
use="required"/>
 </xsd:complexType>
</xsd:element>
```

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For example, the following XML shows the contents of the Sorting element:

The value of /s:Envelope/s:Body/wsen:Enumerate/ad:Sorting/@Dialect is specified in section 3.1.4.1.2.2.

3.1.4.1.1.3.1 ad:SortingProperty

The required element

/soapenv:Envelope/soapenv:Body/wsen:Enumerate/ad:Sorting/ad:SortingProperty specifies the sorting directory attribute using XPath 1.0-derived Selection Language, defined in section $\underline{2.4}$ of $\underline{[MS-ADDM]}$, and the sort order using the Ascending attribute (see section $\underline{3.1.4.1.2.3}$). If the Ascending attribute is absent, the default sorting order is ascending.

The following XML shows the contents of the SortingProperty element:

3.1.4.1.2 Attributes

The following XML Schema attribute definitions are specific to these Enumerate request extensions.

Attribute	Description
<ad:selection @dialect=""></ad:selection>	Specifies the Selection property.
<ad:sorting @dialect=""></ad:sorting>	Specifies the Sorting property.
<ad:sorting @ascending="" ad:sortingproperty=""></ad:sorting>	Specifies the ascending Sorting property.

3.1.4.1.2.1 ad:Selection/@Dialect

The XPath 1.0-derived Selection Language, defined in section 2.4 of [MS-ADDM], is used to specify selection properties. This derived language is identified by the following URI:

http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1

The URI stated previously MUST be the value of the /soapenv:Envelope/ soapenv:Body/ wsen:Enumerate / * /ad:Selection/@Dialect required attribute.

3.1.4.1.2.2 ad:Sorting/@Dialect

The XPath 1.0-derived Selection Language, defined in section 2.4 of [MS-ADDM], is used to specify the sorting property. This derived language is identified by the following URI:

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http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1

The URI stated previously MUST be the value of the /soapenv:Envelope/soapenv:Body/wsen:Enumerate/*/ad:Sorting/@Dialect required attribute.

3.1.4.1.2.3 ad:Sorting/ad:SortingProperty/@Ascending

The value for the optional /soapenv:Envelope/ soapenv:Body/ wsen:Enumerate/ * / ad:Sorting/ ad:SortingProperty/@Ascending attribute MUST be of type Boolean. If true, the sorting order of the resultant items based on the Sorting Property is ascending. If false, it is descending.

3.1.4.1.3 SOAP Faults

This section defines the extensions to SOAP fault messages for the Enumerate operation defined in section 3.1 of WS-Enumeration [WSENUM].

3.1.4.1.3.1 ad:EnumerationContextLimitExceeded

Server implementations SHOULD reject the Enumerate request and return the following fault when there is more than a specific, server-imposed limit number <9> of Enumeration contexts open either for the user or in total.

Implementations MAY<10> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:EnumerationContextLimitExceeded
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	Too many enumeration contexts open.
[Details]	Implementation-defined and MAY be empty.

3.1.4.1.3.2 ad:UnsupportedSelectOrSortDialectFault

Server implementations SHOULD reject the Enumerate request and return the following fault when it does not support the dialect specified for Selection or sorting properties defined in sections 3.1.4.1.2.2 and 3.1.4.1.2.3. The Server SHOULD provide the dialect supported as part of the fault detail shown in the following table.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:UnsupportedSelectOrSortDialectFault
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	Specified dialect for Selection properties (or Sorting property) is not supported.
[Details]	<soapenv:detail> <ad:supportedselectorsortdialect></ad:supportedselectorsortdialect></soapenv:detail>

SOAP Element	Value
	http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1

3.1.4.1.3.3 ad:InvalidPropertyFault

Server implementations SHOULD reject the Enumerate request and return the following fault when any selection or sorting property specified in the Enumerate request is not a valid directory attribute. Fault detail SHOULD contain the invalid selection or sorting property present in the Enumerate request.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:InvalidPropertyFault
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	Sorting or selection property is invalid.
[Details]	<pre><soapenv:detail> <ad:enumeratefault> <ad:error>Selection or Sort property's value is not a valid directory attribute. (OR Selection or Sort property's syntax is not valid with respect to the dialect.)</ad:error> <ad:shorterror>InvalidPropertyValueDetail(OR InvalidPropertySyntaxDetail)</ad:shorterror> <ad:invalidproperty>Invalid property</ad:invalidproperty> </ad:enumeratefault> </soapenv:detail></pre>

3.1.4.1.3.4 ad:InvalidSortKey

If the sorting property defined in section 3.1.4.1.1.3.1 is one of the non-LDAP directory attributes including the synthetic (implementation-specific) directory attributes, <11> "<ad:all>", or if more than one sort key is specified, the server SHOULD reject the Enumerate request and return the following fault.

Implementations MAY<12> supply a fault detail of their own choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:InvalidSortKey
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	Invalid sorting property.
[Details]	Implementation-defined and MAY be empty.

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3.1.4.1.3.5 wsen:CannotProcessFilter

When the wsen:filter or adlq:LdapQuery element is absent in the enumerate request, the server uses a default Ldap query filter for search as defined in section 3.1.4.1 and 3.1.4.1.1.1. However, if the server encounters an error while using the default Ldap query filter, it SHOULD return the following fault.

Implementations MAY<13> supply a default detail of their own choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	wsen:CannotProcessFilter
[Action]	http://schemas.xmlsoap.org/ws/2004/09/enumeration/fault
[Reason]	Invalid query language expression.
[Details]	Implementation-defined and MAY be empty.

3.1.4.1.3.6 wsa2004:EndPointUnavailable

The server SHOULD notify a requestor of other application-level faults by generating a wsa2004:EndPointUnavailable SOAP fault defined in the WS-Addressing [WSAddressing] protocol.

wsa2004:EndPointUnavailable SOAP fault SHOULD be generated by the server when it encounters any other application, directory, XML serialization or deserialization, schema validation, or unknown error that is not specific to faults stated in the previous sections. <14>

Implementations MAY<15> supply a fault detail of their own choosing.

SOAP Element	Value
[Code]	soapenv:Receiver
[Subcode]	wsa2004:EndPointUnavailable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	Endpoint unavailable.
[Details]	Implementation-defined and MAY be empty.

3.1.4.2 wsen:Pull

This section defines the directory services extensions to the Pull response message defined in section 3.2 of WS-Enumeration [WSENUM].

The WS-Enumeration [WSENUM] Pull specification as defined in section 3.2 of WS-Enumeration [WSENUM] leaves the contents of the wsen:PullResponse/wsen:Items element open-ended for a Pull response. The data returned by the server via the wsen:Items element (defined in section 3.2 of WS-Enumeration [WSENUM]) is structured in accord with the XML data model specified in section 2.3.2 of [MS-ADDM], except that only those attributes that were requested in the wsen:Enumerate/ad:Selection (see section 3.1.4.1.1.2) element are returned. Also, in addition to requested attributes through the wsen:Selection element in the Enumerate request, the server

always returns <ad:objectReferenceProperty> synthetic attribute (as specified in section $\underline{2.3.3.1}$ of [MS-ADDM]) in the Pull response.

The fragments or portions of the resultant objects evaluating to be true for the specific enumeration query are returned as children nodes with the most specific structural object class ([MS-ADTS] section 3.1.1.1.4) being the root element. The server SHOULD specify the root element as "addata:top" in the Pull response if the LDAP display name of the most specific structural object class for the result fragment is not available as specified in [MS-ADDM] section 2.3.2. This sequence of result fragments forms children nodes to the <wsen:Items> element.

The following XML shows the contents of the Items element.

```
<wsen:Items>
 <addata:user>
   <ad:objectReferenceProperty>
     <ad:value xsi:type= "xsd:string">
       13195F20-8F96-46ed-BFF2-7891817FFEB8
     </ad:value>
   </ad:objectReferenceProperty>
     <addata:givenName>
       <ad:value xsi:type= "xsd:string">John</ad:value>
     </addata:givenName>
 </addata:user>
  <addata:user>
   <ad:objectReferenceProperty>
     <ad:value xsi:type= "xsd:string">
       ED18488A-3042-4e12-B7D1-69A059F80BC1
     </ad:value>
   </ad:objectReferenceProperty>
     <addata:givenName>
       <ad:value xsi:type= "xsd:string">Robert</ad:value>
     </addata:givenName>
 </addata:user>
</wsen:Items>
```

The enumeration context is provided in the Pull request in order to fetch the resultant objects mapped to the query associated with it. Servers SHOULD reject the Pull request and return the ad:MaxCharsNotSupported fault defined in section 3.1.4.2.1.1 if the optional element wsen:MaxCharacters (as defined in section 3.2 of [WSENUM]) is present.<16>

The optional element wsen:MaxTime indicates the maximum amount of time the initiator is willing to allow the server to assemble the Pull response as specified in Section 3.2 of [WSENUM]. When wsen:MaxTime is absent in a Pull request message, the server SHOULD use a timeout to limit the time spent processing the Pull request. The server SHOULD also restrict the maximum value of wsen:MaxTime to less than this timeout value, and any attempt to set wsen:MaxTime to a value greater than this timeout value SHOULD return the ad:MaxTimeExceedsLimit fault defined in section 3.1.4.2.1.5.

3.1.4.2.1 SOAP Faults

This section defines the extensions to SOAP fault messages for the Pull operation defined in section 3.2 of WS-Enumeration [WSENUM].

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3.1.4.2.1.1 ad:MaxCharsNotSupported

Server implementations SHOULD reject the Pull request and return the following fault when it does not support the wsen: MaxCharacters element specified in the Pull request.

Implementations MAY<17> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:MaxCharsNotSupported
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	MaxChars specified in the request.
[Details]	Implementation-defined and MAY be empty.

3.1.4.2.1.2 wsen:InvalidEnumerationContext

The server SHOULD return the wsen:InvalidEnumerationContext fault defined by WS-Enumeration [WSENUM] when the enumeration context specified in the respective Pull request belongs to a different **security principal** or **session**.

Implementations MAY<18> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	wsen:InvalidEnumerationContext
[Action]	http://schemas.xmlsoap.org/ws/2004/09/enumeration/fault
[Reason]	Invalid enumeration context specified in the request.
[Details]	Implementation-defined and MAY be empty.

3.1.4.2.1.3 wsa2004:DestinationUnreachable

The server SHOULD return the wsa2004:DestinationUnreachable fault defined in the WS-Addressing [WSAddressing] protocol when the object requested through the corresponding Enumerate request of this Pull operation does not exist in the directory.

Implementations MAY<19> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender<20>
[Subcode]	wsa2004:DestinationUnreachable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	The failed operation was attempted on a nonexistent directory object.

SOAP Element	Value
[Details]	Implementation-defined and MAY be empty.

3.1.4.2.1.4 wsa2004:EndpointUnavailable

The server SHOULD notify a requestor of other application-level faults by generating a wsa2004:EndPointUnavailable SOAP fault defined in the WS-Addressing [WSAddressing] protocol.

wsa2004:EndPointUnavailable SOAP fault SHOULD be generated by the server when it encounters any other application, directory, XML serialization or deserialization, schema validation, or unknown error that is not specific to faults stated in the previous sections.

Implementations MAY<21> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Receiver
[Subcode]	wsa2004:EndpointUnavailable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	Endpoint unavailable.
[Details]	Implementation-defined and MAY be empty.

3.1.4.2.1.5 ad:MaxTimeExceedsLimit

Server implementations SHOULD reject the Pull request and return the following fault when the value of wsen:MaxTime is greater than the default value mentioned in section 3.1.4.2.

Implementations MAY<22> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	ad:MaxTimeExceedsLimit
[Action]	http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
[Reason]	MaxTime exceeds the limit.
[Details]	Implementation-defined and MAY be empty.

3.1.4.3 wsen:Renew

This section defines only the extensions to SOAP fault messages for the Renew operation defined in section 3.3 of WS-Enumeration [WSENUM].

3.1.4.3.1 SOAP faults

The server SHOULD reject the Renew request on application-level errors, client-side request errors, or directory errors; and return an appropriate fault from below.

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3.1.4.3.1.1 wsen:InvalidEnumerationContext

The server SHOULD return the wsen:InvalidEnumerationContext fault defined by WS-Enumeration [WSENUM] when the enumeration context specified in the respective Renew request belongs to a different security principal or session.

Implementations MAY<23> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	wsen:InvalidEnumerationContext
[Action]	http://schemas.xmlsoap.org/ws/2004/09/enumeration/fault
[Reason]	Invalid enumeration context specified in the request.
[Details]	Implementation-defined and MAY be empty.

3.1.4.3.1.2 wsa2004:EndpointUnavailable

The server SHOULD notify a requestor of other application-level faults by generating a wsa2004:EndPointUnavailable SOAP fault defined in the WS-Addressing [WSAddressing] protocol.

wsa2004:EndPointUnavailable SOAP fault SHOULD be generated by the server when it encounters any other application, directory, XML serialization or deserialization, schema validation, or unknown error that is not specific to faults stated in the previous sections.

Implementations MAY<24> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Receiver
[Subcode]	wsa2004:EndpointUnavailable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	Endpoint unavailable.
[Details]	Implementation-defined and MAY be empty.

3.1.4.4 wsen:GetStatus

This section defines only the extensions to SOAP fault messages for the GetStatus operation defined in section 3.4 of WS-Enumeration [WSENUM].

3.1.4.4.1 SOAP Faults

The server SHOULD reject the GetStatus request on application-level errors, client-side request errors or directory errors, and return an appropriate fault from below.

3.1.4.4.1.1 wsen:InvalidEnumerationContext

The server SHOULD return the wsen:InvalidEnumerationContext fault defined by WS-Enumeration [WSENUM] when the enumeration context specified in the respective Pull request belongs to a different security principal or session.

Implementations MAY<25> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Sender
[Subcode]	wsen:InvalidEnumerationContext
[Action]	http://schemas.xmlsoap.org/ws/2004/09/enumeration/fault
[Reason]	Invalid enumeration context specified in the request.
[Details]	Implementation-defined and MAY be empty.

3.1.4.4.1.2 wsa2004:EndpointUnavailable

The server SHOULD notify a requestor of other application-level faults by generating a wsa2004:EndPointUnavailable SOAP fault defined in the WS-Addressing [WSAddressing] protocol.

wsa2004:EndPointUnavailable SOAP fault SHOULD be generated by the server when it encounters any other application, directory, XML serialization or deserialization, schema validation, or unknown error that is not specific to faults stated in the previous sections.

Implementations MAY<26> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Receiver
[Subcode]	wsa2004:EndpointUnavailable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	Endpoint unavailable.
[Details]	Implementation-defined and MAY be empty.

3.1.4.5 wsen:Release

This section defines only the extensions to SOAP fault messages for the Release operation defined in section 3.5 of WS-Enumeration [WSENUM].

3.1.4.5.1 SOAP Faults

The server SHOULD reject the Release request and return the following fault on application-level errors, client-side request errors, or directory errors encountered during the Release operation.

3.1.4.5.1.1 wsa2004:EndpointUnavailable

The server SHOULD notify a requestor of other application-level faults by generating a wsa2004:EndPointUnavailable SOAP fault defined in the WS-Addressing [WSAddressing] protocol.

wsa2004:EndPointUnavailable SOAP fault SHOULD be generated by the server when it encounters any other application, directory, XML serialization or deserialization, schema validation, or unknown error that is not specific to faults stated in the previous sections.

Implementations MAY<27> supply a fault detail of their choosing.

SOAP Element	Value
[Code]	soapenv:Receiver
[Subcode]	wsa2004:EndpointUnavailable
[Action]	http://schemas.xmlsoap.org/ws/2004/08/addressing/fault
[Reason]	Endpoint unavailable.
[Details]	Implementation-defined and MAY be empty.

3.1.5 Timer Events

None.

3.1.6 Other Local Events

None.

4 Protocol Examples

This section contains examples of the WS-Enumeration extensions defined in the [MS-WSDS] protocol. For illustrative purposes, these examples have been shown in the context of Active Directory Web Services behavior.

4.1 WS-Enumerate Directory Services Extension "Enumerate" Request Example

This example demonstrates a [MS-WSDS] Enumerate operation. In this SOAP request message, the requestor is specifying the LDAP query filter for search and directory attributes it requests out of the resultant objects under the selection element. The requestor is also asking that the sorting of the resultant fragments in the response should be based on the LDAP givenName attribute. The directory instance on which this operation is being performed is identified by its string-valued instance header ldap:389.

```
<soapenv:Envelope
      xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
      xmlns:wsa="http://www.w3.org/2005/08/addressing">
      <soapenv:Header>
          <wsa:Action soapenv:mustUnderstand="1">
             http://schemas.xmlsoap.org/ws/2004/09/enumeration/Enumerate
          <instance xmlns="http://schemas.microsoft.com/2008/1/ActiveDirectory">
              1dap:389
          </instance>
          <wsa:MessageID>
              urn:uuid:e36457ff-d0f1-4c85-abe6-6cdf4bd511e9
          </wsa:MessageID>
          <wsa:ReplvTo>
              <wsa:Address>
                  http://www.w3.org/2005/08/addressing/anonymous
              </wsa:Address>
          </wsa:ReplyTo>
          < wsa • To
soapenv:mustUnderstand="1">net.tcp://server01.fabrikam.com:9389/ActiveDirectoryWebServices/Wi
ndows/Enumeration</wsa:To>
      </soapenv:Header>
      <soapenv:Body>
         <wsen:Enumerate</pre>
               xmlns:wsen="http://schemas.xmlsoap.org/ws/2004/09/enumeration"
xmlns:adlq="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"
               xmlns:addata="http://schemas.microsoft.com/2008/1/ActiveDirectory/Data"
                xmlns:ad="http://schemas.microsoft.com/2008/1/ActiveDirectory"
                xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                xmlns:xsd="http://www.w3.org/2001/XMLSchema">
            <wsen:Filter</pre>
Dialect="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery">
                <adlq:LdapQuery>
                         <adlq:Filter>(objectclass=user)</adlq:Filter>
                         <adlg:BaseObject>cc36a2a7-79a2-4d96-b1c2-
31c30493b801</adlq:BaseObject>
                         <adlq:Scope>subtree</adlq:Scope>
                 </adlq:LdapQuery>
             </wsen:Filter>
             <ad:Selection
Dialect="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1">
```

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```
<ad:SelectionProperty>
                        ad:container-hierarchy-parent
                      </ad:SelectionProperty>
                      <ad:SelectionProperty>
                        addata:relativeDistinguishedName
                      </ad:SelectionProperty>
                      <ad:SelectionProperty>
                        addata:givenName
                      </ad:SelectionProperty>
              </ad:Selection>
              <ad:Sorting
Dialect="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1">
                      <ad:SortingProperty Ascending="true">
                        addata:givenName
                      </ad:SortingProperty>
             </ad:Sorting>
         </wsen:Enumerate>
      </soapenv:Body>
</soapenv:Envelope>
```

4.2 WS-Enumerate Directory Services Extension "Enumerate" Response Example

This example demonstrates an [MS-WSDS] Enumerate response to the Enumerate request in the previous example. In this SOAP response message, the expiration time and enumeration context associated with the operation are provided. Since the expiration time was absent in the request, the server assigned the maximum expiration time supported.

```
<soapenv:Envelope xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"</pre>
xmlns:wsa="http://www.w3.org/2005/08/addressing">
 <soapenv:Header>
    <wsa:Action</pre>
soapenv:mustUnderstand="1">http://schemas.xmlsoap.org/ws/2004/09/enumeration/EnumerateRespons
    </wsa:Action>
    <wsa:RelatesTo>
        urn:uuid:e36457ff-d0f1-4c85-abe6-6cdf4bd511e9
    </wsa:RelatesTo>
    <wsa:To soapenv:mustUnderstand="1">http://www.w3.org/2005/08/addressing/anonymous
    </wsa:To>
  </soapenv:Header>
  <soapenv:Body>
    <wsen:EnumerateResponse</pre>
     xmlns:wsen="http://schemas.xmlsoap.org/ws/2004/09/enumeration"
     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
      xmlns:xsd="http://www.w3.org/2001/XMLSchema">
      <wsen:Expires>2008-08-18T22:07:41.0109506Z</wsen:Expires>
      <wsen:EnumerationContext>cda3e08b-cec1-42bb-8245-7cb6235a24b8
      </wsen:EnumerationContext>
    </wsen:EnumerateResponse>
  </soapenv:Body>
</soapenv:Envelope>
```

4.3 WS-Enumerate Directory Services Extension "Pull" Request Example

This example demonstrates a [MS-WSDS] Pull request. In this SOAP request message, the requestor is specifying the enumeration context in the form of GUID and the number of elements (wsen:maxElements) it is requesting out of the resultant objects at a time in the Pull response.

```
<soapenv:Envelope
    xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
     xmlns:wsa="http://www.w3.org/2005/08/addressing">
 <soapenv:Header>
    <wsa:Action
soapenv:mustUnderstand="1">http://schemas.xmlsoap.org/ws/2004/09/enumeration/Pull</wsa:Action
   <wsa:To soapenv:mustUnderstand="1">
     net.tcp://server01.fabrikam.com:9389/ActiveDirectoryWebServices/Windows/Enumeration
    </wsa:To>
     <wsa:MessageID>
           urn:uuid:b22747a9-ca15-41de-8c91-5a51bd88669c
      </wsa:MessageID>
      <wsa:ReplvTo>
         <wsa:Address>
          http://www.w3.org/2005/08/addressing/anonymous
     </wsa:ReplyTo>
  </soapenv:Header>
  <soapenv:Bodv>
   <wsen:Pull xmlns:wsen="http://schemas.xmlsoap.org/ws/2004/09/enumeration">
      <wsen:EnumerationContext>cda3e08b-cec1-42bb-8245-7cb6235a24b8</wsen:EnumerationContext>
      <wsen:MaxTime>PT10S</wsen:MaxTime>
      <wsen:MaxElements>2</wsen:MaxElements>
   </wsen:Pull>
 </soapenv:Body>
</soapenv:Envelope>
```

4.4 WS-Enumerate Directory Services Extension "Pull" Response Example

This example demonstrates a [MS-WSDS] Pull response to the request specified in section 4.2 in context of the Enumeration defined in section 4.1.

```
<soapenv:Envelope
    xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
    xmlns:wsa="http://www.w3.org/2005/08/addressing">
  <soapenv:Header>
    <wsa:Action soapenv:mustUnderstand="1">
     http://schemas.xmlsoap.org/ws/2004/09/enumeration/PullResponse
    </wsa:Action>
    <wsa:RelatesTo>urn:uuid:b22747a9-ca15-41de-8c91-5a51bd88669c</wsa:RelatesTo>
    <wsa:To soapenv:mustUnderstand="1">
     http://www.w3.org/2005/08/addressing/anonymous
    </wsa:To>
  </soapenv:Header>
  <soapenv:Body>
    <wsen:PullResponse</pre>
        xmlns:wsen="http://schemas.xmlsoap.org/ws/2004/09/enumeration"
        xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
        xmlns:xsd="http://www.w3.org/2001/XMLSchema"
```

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```
xmlns:ad="http://schemas.microsoft.com/2008/1/ActiveDirectory"
      xmlns:addata="http://schemas.microsoft.com/2008/1/ActiveDirectory/Data">
      <wsen:EnumerationContext>
        cda3e08b-cec1-42bb-8245-7cb6235a24b8
      </wsen:EnumerationContext>
      <wsen:Items>
        <addata:user>
          <ad:objectReferenceProperty>
            <ad:value xsi:type="xsd:string">
              373e1409-cf88-41dc-b8ea-bdd27d54e073
            </ad:value>
          </ad:objectReferenceProperty>
          <ad:container-hierarchy-parent>
            <ad:value xsi:type="xsd:string">
              41816238-95ca-48d9-9a99-3bd9ae9e0e42
            </ad:value>
          </ad:container-hierarchy-parent>
          <ad:relativeDistinguishedName>
           <ad:value xsi:type="xsd:string">CN=TestUser1</ad:value>
          </ad:relativeDistinguishedName>
          <addata:givenName LdapSyntax="UnicodeString">
            <ad:value xsi:type="xsd:string">John</ad:value>
          </addata:givenName>
        </addata:user>
        <addata:user>
          <ad:objectReferenceProperty>
            <ad:value xsi:type="xsd:string">
              51d67624-d52d-421d-a0d6-1dc350abd009
            </ad:value>
          </ad:objectReferenceProperty>
          <ad:container-hierarchy-parent>
            <ad:value xsi:type="xsd:string">
              41816238-95ca-48d9-9a99-3bd9ae9e0e42
            </ad:value>
          </ad:container-hierarchy-parent>
          <ad:relativeDistinguishedName>
            <ad:value xsi:type="xsd:string">CN=TestUser2</ad:value>
          </ad:relativeDistinguishedName>
          <addata:givenName LdapSyntax="UnicodeString">
            <ad:value xsi:type="xsd:string">Robert</ad:value>
          </addata:givenName>
        </addata:user>
      </wsen:Items>
   </wsen:PullResponse>
  </soapenv:Body>
</soapenv:Envelope>
```

4.5 WS-Enumerate Directory Services Extension "FaultDetail" Example

The following example shows a WS-Enumeration specific fault response message returned by Active Directory Web Services with detail element (specified in section <u>2.6</u> of [MS-ADDM]) to an Enumerate request containing an invalid attribute as a sorting property.

```
<soapenv:Envelope
xmlns:soapenv="http://www.w3.org/2003/05/soap-envelope"
xmlns:wsa="http://www.w3.org/2005/08/addressing">
```

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```
<soapenv:Header>
   <wsa:Action soapenv:mustUnderstand="1">
     http://schemas.microsoft.com/2008/1/ActiveDirectory/Data/fault
   </wsa:Action>
   <wsa:RelatesTo>
     urn:uuid:50cfcace-0035-403b-88bf-1355f19eec65
   </wsa:RelatesTo>
   <wsa:To soapenv:mustUnderstand="1">
     http://www.w3.org/2005/08/addressing/anonymous
   </wsa:To>
  </soapenv:Header>
  <soapenv:Body>
    <soapenv:Fault>
      <soapenv:Code>
        <soapenv:Value>soapenv:Sender</soapenv:Value>
        <soapenv:Subcode>
          <soapenv:Value xmlns:a="http://schemas.microsoft.com/2008/1/ActiveDirectory">
            a:InvalidPropertyFault
          </soapenv:Value>
        </soapenv:Subcode>
      </soapenv:Code>
      <soapenv:Reason>
        <soapenv:Text xml:lang="en-US">Sorting or Selection Property is
invalid.</soapenv:Text>
      </soapenv:Reason>
      <soapenv:Detail>
        <EnumerateFault
          xmlns="http://schemas.microsoft.com/2008/1/ActiveDirectory"
          xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
          xmlns:xsd="http://www.w3.org/2001/XMLSchema">
          <Error>Selection or Sort property's value is not a valid Active Directory
attribute.</Error>
          <ShortError>InvalidPropertyValueDetail/ShortError>
          <InvalidProperty>
           addata: Invalid Entry
          </InvalidProperty>
        </EnumerateFault>
      </soapenv:Detail>
   </soapenv:Fault>
  </soapenv:Body>
</soapenv:Envelope>
```

5 Security

5.1 Security Considerations for Implementers

There are no known additional security considerations for these protocol extensions, but implementers should consider the security implications of the data that they expose by way of these extensions. When exposing access to directory objects that can contain sensitive information, server implementers are encouraged to use transport mechanisms that support encryption and integrity-verification of the messages. Server implementers are also encouraged to enforce access controls prior to performing any operation against a directory object.

5.2 Index of Security Parameters

This protocol extension has no security parameters.

6 Appendix A: WSDL

The [MS-WSDS] extensions to the WS-Enumeration [WSENUM] protocol do not define a WSDL of their own, nor do they extend the [WSENUM] WSDL. The schema of extension elements defined by the [MS-WSDS] protocol is specified in Appendix B: Schema. For a server to implement this protocol, it should use the full WSDL definition of the [WSENUM] protocol, while substituting the schema definitions in Appendix B: Schema for similarly named XML elements, attributes, complex types, and so on, shown in the [WSENUM] WSDL.

7 Appendix B: Schema

This section provides the additional schema elements for the extensions defined by the [MS-WSDS] protocol. For clarity, elements of the WS-Enumeration [WSENUM] schema that are not extended by this protocol do not appear in the extended schema. To obtain the fully-extended [WSENUM] schema, nonextended XML elements, attributes, complex types, and so on, from the [WSENUM] schema, MUST be included.

```
<!--[MS-WSDS] filter extension elements schema]-->
<xsd:schema</pre>
xmlns:adlq="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"
             attributeFormDefault="unqualified"
             elementFormDefault="qualified"
targetNamespace="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"
             xmlns:xsd="http://www.w3.org/2001/XMLSchema">
 <xsd:element name = "LdapQuery">
    <xsd:complexType>
     <xsd:sequence>
        <xsd:element name="Filter" type="xsd:string"</pre>
                    minOccurs="1" maxOccurs ="1" />
        <xsd:element name="BaseObject" type="xsd:string"</pre>
                minOccurs="1" maxOccurs="1" />
        <xsd:element name="Scope" type="xsd:string"</pre>
                     minOccurs="1" maxOccurs="1"
      </xsd:sequence>
    </xsd:complexType>
  </xsd:element>
</xsd:schema>
<!--[MS-WSDS] selection and sorting extension elements schema]-->
<xsd:schema xmlns:ad="http://schemas.microsoft.com/2008/1/ActiveDirectory"</pre>
            attributeFormDefault="unqualified"
            elementFormDefault="qualified"
            targetNamespace="http://schemas.microsoft.com/2008/1/ActiveDirectory"
            xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <xsd:element name ="Selection">
    <xsd:complexType>
      <xsd:sequence>
        <xsd:element name="SelectionProperty" type="xsd:string" minOccurs="1"</pre>
                     maxOccurs ="unbounded" />
      </xsd:sequence>
      <xsd:attribute name="Dialect"</pre>
fixed="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1"
use="required"/>
    </xsd:complexType>
 </xsd:element>
  <xsd:element name ="Sorting">
    <xsd:complexType>
     <xsd:sequence>
        <xsd:element name="SortingProperty" minOccurs="1"</pre>
```

```
maxOccurs ="1">
          <xsd:complexType>
            <xsd:simpleContent>
              <xsd:extension base="xsd:string">
                <xsd:attribute name="Ascending" use="optional"</pre>
                               type="xsd:boolean" default="true"/>
              </xsd:extension>
            </xsd:simpleContent>
          </xsd:complexType>
        </xsd:element>
      </xsd:sequence>
      <xsd:attribute name="Dialect"</pre>
fixed="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/XPath-Level-1"
use="required"/>
    </xsd:complexType>
  </xsd:element>
</xsd:schema>
<!--Extended [WSENUM] Schema-->
<xsd:schema
   targetNamespace="http://schemas.xmlsoap.org/ws/2004/09/enumeration"
   xmlns:wsen="http://schemas.xmlsoap.org/ws/2004/09/enumeration"
   xmlns:wsa="http://schemas.xmlsoap.org/ws/2004/08/addressing"
   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
     xmlns:adlq="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"
    xmlns:ad="http://schemas.microsoft.com/2008/1/ActiveDirectory"
   elementFormDefault="qualified"
  blockDefault="#all">
  <xsd:import namespace="http://schemas.xmlsoap.org/ws/2004/08/addressing"</pre>
                   schemaLocation="http://schemas.xmlsoap.org/ws/2004/08/addressing"/>
  <xsd:import</pre>
namespace="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"/>
 <xsd:import namespace="http://schemas.microsoft.com/2008/1/ActiveDirectory"/>
 <!--Extended filter type for Enumerate [WSENUM] request-->
 <xs:complexType name="FilterType">
    <xs:sequence>
     <xs:element minOccurs="0" maxOccurs="1"</pre>
                     ref="adlq:LdapQuery" />
    </xs:sequence>
    <xs:attribute name="Dialect"</pre>
fixed="http://schemas.microsoft.com/2008/1/ActiveDirectory/Dialect/LdapQuery"/>
  </xs:complexType>
  <!--.
  .Other elements from [WSENUM] schema in section <!-- Types and global elements -->:
  ..PositiveDurationType (simpleType)
  .. NonNegativeDurationType (simpleType)
  .. ExpirationType (simpleType)
  ..EnumerationContextType (complexType)
  ..ItemListType (complexType)
  ..LanguageSpecificStringType (complexType)
```

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```
.-->
 <!--Extended Enumerate [WSENUM] request-->
 <xsd:element name="Enumerate">
    <xsd:complexType>
     <xsd:sequence>
        <xsd:element name="EndTo" type="wsa:EndpointReferenceType" minOccurs="0" />
        <xsd:element name="Expires" type="wsen:ExpirationType" minOccurs="0" />
        <xs:element name="Filter" type="wsen:FilterType" minOccurs="0" maxOccurs="1" />
        <xsd:element ref="ad:Selection"</pre>
                    minOccurs="0" maxOccurs="1" />
        <xsd:element ref="ad:Sorting"</pre>
                    minOccurs="0" maxOccurs="1" />
      </xsd:sequence>
    </xsd:complexType>
  </xsd:element>
<!--.
  .Other elements from [WSENUM] schema i.e. the following sections in [WSENUM]
  .Section <!-- Used for a fault response -->
  ..SupportedDialect (element)
  .Section <!-- Enumerate response -->
  .. EnumerateResponse (element)
  .Section <!-- Pull request -->
  ..Pull (element)
 .Section <!-- Pull response -->
 ..PullResponse (element)
  .Section <!-- Renew request -->
  .. Renew (element)
  .Section <!-- Renew response -->
  .. RenewResponse (element)
  .Section <!-- GetStatus request -->
  ..GetStatus (element)
  .Section <!-- GetStatus response -->
  ..GetStatusResponse (element)
  .Section <!-- Release request -->
  ..Release (element)
  .Section <!--- EnumerationEnd message -->
  ..EnumerationEnd (elemnet)
  ..EnumerationEndCodeType (simpleType)
  ..OpenEnumarationEndCodeType (simpleType)
  . -->
</xsd:schema>
```

8 Appendix C: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Active Directory Management Gateway Service
- Remote Server Administration Tools for Windows 7
- Windows Server 2008 R2 operating system
- Remote Server Administration Tools for Windows 8 operating system
- Windows Server 2012 operating system
- Remote Server Administration Tools for Windows 8.1 operating system
- Windows Server 2012 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

- <1> Section 2.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use SOAP 1.2 [SOAP1.2-1/2003] over a NET.TCP [MC-NMF] transport binding. The transports used, as well as the authentication mechanisms supported and the endpoints exposed, are specified in section 2.1 of [MS-ADDM].
- <2> Section 3.1.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the mapping defined in section 2.3 of [MS-ADDM].
- <a><s> Section 3.1.3: All the endpoints of Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions including the Enumeration Interface specified in section 2.1 of the [MS-ADDM] protocol listen on fixed TCP port 9389.
- <4> Section 3.1.4: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions do not support sending EnumerationEnd SOAP message (defined in section 3.6 of the WS-Enumeration [WSENUM] protocol) to the endpoint reference, when the enumeration terminates unexpectedly.
- <5> Section 3.1.4.1: If the expiration time is not provided in the enumerate request, Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions assign the default value of 5 minutes duration to the expiration time.
- <6> Section 3.1.4.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions always return the expiration time in the enumerate response as an absolute time in Coordinated Universal Time (UTC) mode.

- <7> Section 3.1.4.1.1.2: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions return all of the default attributes and all of the synthetic attributes (defined in section 2.3.3 of [MS-ADDM]) when there is no selection element present in the Enumerate request.
- <8> Section 3.1.4.1.1.2.1: If selection property <ad:all> is specified in the Enumerate request, Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions return all of the default attributes and the synthetic attribute <ad:objectReferenceProperty> (defined in section 2.3.3 of [MS-ADDM]) in the SOAP response message for the Pull operation. In addition to <ad:all>, if certain constructed attributes are also specified as part of selection properties in the Enumerate request, then Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions also return the requested constructed attributes in the SOAP response message for the Pull operation.
- <9> Section 3.1.4.1.3.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions allow no more than 5 enumeration contexts to be created per client-to-server session by default (defined as MaxEnumCtxsPerSession in the configuration settings).

They allow no more than 100 enumeration contexts in total for the entire set of client-to-server sessions open at a time by default (defined as MaxEnumCtxsTotal in the configuration settings).

- <10> Section 3.1.4.1.3.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the fault detail defined in section 2.6 of [MS-ADDM] for ad:EnumerationContextLimitExceeded fault.
- <11> Section 3.1.4.1.3.4: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions have the following four synthetic attributes: <ad:container-hierarchy-parent>, <ad:distinguishedName>, <ad:relativeDistinguishedName>, <ad:objectReferenceProperty>. See [MS-ADDM] section 2.3.3 for their definitions.
- <12> Section 3.1.4.1.3.4: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the fault detail defined in section 2.6 of [MS-ADDM] for an ad:InvalidSortKey fault.
- <13> Section 3.1.4.1.3.5: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use an element named ad:PullFault of the complex type ad: defined in section 2.6 of [MS-ADDM] for the wsen:CannotProcessFilter fault.
- <14> Section 3.1.4.1.3.6: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions perform validations on the adlq:LdapQuery element of a wsen:Enumerate request during the corresponding wsen:pull request processing. If an invalid adlq:LdapQuery element is received on a wsen:Enumerate request, a wsa2004:EndPointUnavailable fault is returned while processing the corresponding wsen:pull request.
- <15> Section 3.1.4.1.3.6: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:EndPointUnavailable faults.
- <16> Section 3.1.4.2: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions do not limit the number of characters in the Pull response by not supporting the wsen:MaxCharacters element in the client request.
- <17> Section 3.1.4.2.1.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use an element named ad:PullFault of the complex type ad:FaultDetailType, which is defined in section 2.6 of [MS-ADDM] for an ad:MaxCharsNotSupported fault.

- <18> Section 3.1.4.2.1.2: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail, defined in section 2.6 of [MS-ADDM], for wsen:InvalidEnumerationContext faults.
- <19> Section 3.1.4.2.1.3: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:DestinationUnreachable faults.
- <20> Section 3.1.4.2.1.3: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions generate a receiver fault rather than a sender fault as specified in the section.
- <21> Section 3.1.4.2.1.4: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:EndpointUnavailable faults.
- <22> Section 3.1.4.2.1.5: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for ad:MaxTimeExceedsLimit faults.
- <23> Section 3.1.4.3.1.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsen:InvalidEnumerationContext faults.
- <a href="mailto: Section 3.1.4.3.1.2: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:EndpointUnavailable faults.
- <25> Section 3.1.4.4.1.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsen:InvalidEnumerationContext faults.
- <26> Section 3.1.4.4.1.2: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:EndpointUnavailable faults.
- <27> Section 3.1.4.5.1.1: Microsoft implementations of WS-Enumeration: Directory Services Protocol Extensions use the element ad:FaultDetail defined in section 2.6 of [MS-ADDM] for wsa2004:EndpointUnavailable faults.

9 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

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