[MS-MWBE]: Microsoft Web Browser Federated Sign-On Protocol Extensions

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Revision Summary

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1 Introduction

This specification extends the <u>Microsoft Web Browser Federated Sign-On Protocol Specification</u>. It is assumed that the reader is familiar with the terms, concepts, and protocol specified in [MS-MWBF].

The extensions defined in this specification enable **web browser requestors** that do not support scripting (to create POST messages) and enable passing **security identifiers (SIDs)** in Security Assertion Markup Language (SAML) 1.1 assertions. These extensions are referred to, respectively, as the Query String Response Transfer Protocol and the SAML 1.1 Assertion Extension.

The Microsoft Web Browser Federated Sign-On Protocol specifies the use of HTTP POST to transmit the **wsignin1.0** result. The use of HTTP POST requires web browser requestors to support scripting for automated form submittal, but web browser requestors do not always have scripting support. The Query String Response Transfer Protocol provides a method for using a series of HTTP GET messages instead of a single HTTP POST to transmit the result of a wsignin1.0 action. This eliminates the scripting requirement for the web browser requestor. That is, the extension increases the number of messages needed to perform a wsignin1.0 action to avoid the POST message.

The SAML 1.1 Assertion Extension is an extension of the Microsoft Web Browser Federated Sign-On Protocol that specifies a method for transmitting SIDs as elements in **SAML advice**.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in [MS-GLOS]:

account
Active Directory
base64
domain
forest
global group
Lightweight Directory Access Protocol (LDAP)
little-endian
NetBIOS
relative identifier (RID)
security identifier (SID)
trusted forest
universal group
user agent

The following terms are defined in [MS-MWBF]:

claim
identity provider
identity provider/security token service
relying party
requestor IP/STS
resource IP/STS
security realm

security token security token service (STS) user web browser requestor web service (WS) resource

The following terms are specific to this document:

- **aggregated result:** The assembly of received parts transferred using the Query String Response Transfer Protocol. The **aggregated result** is assembled at a **relying party** and may not represent the complete result if all parts have not been received. Once complete, the **relying party** extracts a **RequestSecurityTokenResponse (RSTR)** from the **aggregated result**. For more information, see section 3.2.1.1.1.
- pending result: The transformed RequestSecurityTokenResponse (RSTR) that an identity provider/security token service (IP/STS) maintains for the duration of a Query String Response Transfer Protocol message series. Each message in the Query String Response Transfer Protocol transfers a portion of the pending result to the relying party, where the portions are assembled into the aggregated result. For more information, see section 3.1.1.1.1.
- **RequestSecurityTokenResponse (RSTR):** An XML element used to return an issued **security token** and associated metadata. An **RSTR** element is the result of the **wsignin1.0** action in the Web Browser Federated Sign-On Protocol. For more information, see [MS-MWBF] section 2.2.4.1.
- **SAML advice:** The advice element of a **SAML assertion**. The data in the advice element is advisory and can be ignored without affecting the validity of the assertion. See [SAMLCore] section 2.3.2.2. The SAML 1.1 Assertion Extension includes **security identifiers (SIDs)** and related data in the **SAML advice** element.
- **SAML assertion:** The Security Assertion Markup Language (SAML) 1.1 assertion is a standard XML format for representing a **security token**. For more information, see [SAMLCore] section 2
- **subject:** The entity to which the **claims** and other data in a **SAML assertion** apply. For more information, see [SAMLCore] section 1.3.1.
- wsignin1.0: A protocol message exchange defined in [WSFedPRP] sections 2.1 and 3.1. The wsignin1.0 request and response are the HTTP binding for the WS-Trust Issue action and response; as such, the WS-Trust RSTR element is used to return the issued security token in the wsignin1.0 response ([WSTrust] section 3.2). For more information, see [MS-MWBF] section 2.2.
- MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[MS-ADA1] Microsoft Corporation, "Active Directory Schema Attributes A-L".

[MS-ADA3] Microsoft Corporation, "Active Directory Schema Attributes N-Z".

[MS-DTYP] Microsoft Corporation, "Windows Data Types".

[MS-MWBF] Microsoft Corporation, "Microsoft Web Browser Federated Sign-On Protocol".

[RFC1950] Deutsch, P., and Gailly, J-L., "ZLIB Compressed Data Format Specification version 3.3", RFC 1950, May 1996, http://www.ietf.org/rfc1950.txt

[RFC1951] Deutsch, P., "DEFLATE Compressed Data Format Specification version 1.3", RFC 1951, May 1996, http://www.ietf.org/rfc/rfc1951.txt

[RFC2045] Freed, N., and Borenstein, N., "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", RFC 2045, November 1996, http://ietf.org/rfc/rfc2045.txt

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.rfc-editor.org/rfc/rfc2119.txt

[RFC2279] Yergeau, F., "UTF-8, A Transformation Format of ISO10646", RFC 2279, January 1998, http://www.ietf.org/rfc/rfc2279.txt

[RFC2396] Berners-Lee, T., Fielding, R., and Masinter, L., "Uniform Resource Identifiers (URI): Generic Syntax", RFC 2396, August 1998, http://www.ietf.org/rfc/rfc2396.txt

[RFC2616] Fielding, R., Gettys, J., Mogul, J., et al., "Hypertext Transfer Protocol -- HTTP/1.1", RFC 2616, June 1999, http://www.ietf.org/rfc/rfc2616.txt

[RFC2965] Kristol, D., and Montulli, L., "HTTP State Management Mechanism", RFC 2965, October 2000, http://www.ietf.org/rfc/rfc2965.txt

[RFC4395] Hansen, T., Hardie, T., and Masinter, L., "Guidelines and Registration Procedures for New URI Schemes", BCP 35, RFC 4395, February 2006, http://www.ietf.org/rfc/rfc4395.txt

[SAMLCore] Maler, E., Mishra, P., Philpott, R., et al., "Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML) V1.1", September 2003, http://www.oasis-open.org/committees/download.php/3406/oasis-sstc-saml-core-1.1.pdf

[WSFederation] Kaler, C., Nadalin, A., Bajaj, S., et al., "Web Services Federation Language (WS-Federation)", Version 1.1, December 2006, http://specs.xmlsoap.org/ws/2006/12/federation/ws-federation.pdf

[WSFedPRP] IBM, BEA Systems, Microsoft, VeriSign, RSA Security, "WS-Federation: Passive Requestor Profile", version 1.0, July 2003, http://msdn.microsoft.com/en-us/library/bb608217.aspx

[WSTrust] IBM, Microsoft, Nortel, VeriSign, "WS-Trust V1.0", February 2005, http://specs.xmlsoap.org/ws/2005/02/trust/WS-Trust.pdf

[XML] World Wide Web Consortium, "Extensible Markup Language (XML) 1.0 (Fourth Edition)", W3C Recommendation, August 2006, http://www.w3.org/TR/2006/REC-xml-20060816/

[XMLSCHEMA2] Biron, P.V., and Malhotra, A., Eds., "XML Schema Part 2: Datatypes", W3C Recommendation, May 2001, http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/

1.2.2 Informative References

[FIPS180] FIPS PUBS, "Secure Hash Standard", FIPS PUB 180-1, April 1995, http://www.itl.nist.gov/fipspubs/fip180-1.htm

[IANASCHEME] IANA, "Uniform Resource Identifier (URI) Schemes per RFC4395", November 2006, http://www.iana.org/assignments/uri-schemes/uri-schemes.xhtml

[MAXURL] Microsoft Corporation, "Maximum URL Length Is 2,083 Characters in Internet Explorer", December 2006, http://support.microsoft.com/default.aspx?scid=KB;en-us;q208427

[MS-ADTS] Microsoft Corporation, "Active Directory Technical Specification".

[MS-GLOS] Microsoft Corporation, "Windows Protocols Master Glossary".

[MS-PAC] Microsoft Corporation, "Privilege Attribute Certificate Data Structure".

[MS-WPO] Microsoft Corporation, "Windows Protocols Overview".

[SIDS] Microsoft Corporation, "How Security Identifiers Work", March 2003, http://technet2.microsoft.com/WindowsServer/en/Library/5dbc99be-7404-41a6-9be7-171d40c398db1033.mspx

1.3 Overview

This document specifies the Query String Response Transfer Protocol and the SAML 1.1 Assertion Extension. These extensions are based on the <u>Microsoft Web Browser Federated Sign-On Protocol</u>. The extensions specified in this document broaden the applicability of the protocol to simpler web browser requestors and a wider range of protected applications. The extensions in this specification do not change the services of authentication, identity federation, or single sign-on provided by [MS-MWBF].

1.3.1 Query String Response Transfer Protocol

The scripting capability for forms submittal is not specified as part of HTTP [RFC2616]; consequently, not all web browser requestor implementations support forms submittal as recommended for wsignin1.0 responses in [MS-MWBF] section 2.1. In addition, the **RequestSecurityTokenResponse (RSTR)** may be too large to be transferred in a single HTTP GET message. The Query String Response Transfer Protocol addresses these issues by eliminating the web browser requestor requirement for scripting support.

When using the Query String Response Transfer Protocol, the wsignin1.0 message exchange in [MS-MWBF] is replaced by a series of wsignin1.0 message exchanges. In [MS-MWBF], the RSTR is transmitted using a single HTTP POST message. The Query String Response Transfer Protocol transmits the RSTR in pieces in query string parameters using multiple HTTP GET messages. The **relying party** accumulates the pieces from the responses in the series to produce an **aggregated result**. When the relying party has accumulated all the pieces, it extracts the RSTR from the aggregated result.

1.3.2 SAML 1.1 Assertion Extension

The <u>Microsoft Web Browser Federated Sign-On Protocol</u> does not specify a method for including SIDs in a **security token**. For applications requiring SIDs, **claims** are not sufficient for authorization.

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The SAML 1.1 Assertion Extension provides a method for including SIDs in a **SAML assertion**. How an **identity provider/security token service (IP/STS)** obtains the security identifiers and how a relying party interprets them is implementation-specific.

1.4 Relationship to Other Protocols

The <u>Web Browser Federated Sign-On Protocol</u> and the extensions specified in this document use standard web protocols, XML (as specified in <u>[XML]</u>), WS-Federation Passive Requestor Profile (as specified in <u>[WSFedPRP]</u>), and SAML 1.1 (as specified in <u>[SAMLCore]</u>). The reader should be familiar with the specifications listed in <u>[MS-MWBF]</u> section 1.4.

A relying party uses the Query String Response Transfer Protocol instead of the wsignin1.0 messages from Web Browser Federated Sign-On Protocol to avoid the use of HTTP POST messages.

The SAML 1.1 Assertion Extension provides a facility for including security identifiers in SAML assertions. In order to understand the Windows behavior relating to this extension, the reader should be familiar with the Active Directory Technical Specification [MS-ADTS], security concepts in [MS-WPO] section 9, and security identifiers [SIDS].

The Web Browser Federated Sign-On Protocol and the extensions specified in this document may be applicable where other web-based authentication protocols are used. For more information, see [MS-MWBF] section 1.4.

1.5 Prerequisites/Preconditions

The SAML 1.1 Assertion Extension requires that an IP/STS have a source of security identifiers and that the relying party have an authorization framework in which to interpret them. The exact methods by which the IP/STS obtains the SIDs, and the methods by which the relying party interprets them, are implementation-specific. <1>

1.6 Applicability Statement

The Query String Response Transfer Protocol is applicable where the <u>Microsoft Web Browser</u> <u>Federated Sign-On Protocol</u> is applicable. The Query String Response Transfer Protocol widens the applicability of the Microsoft Web Browser Federated Sign-On Protocol to include web browser requestors that do not implement scripting or form submittal via scripting.

The SAML 1.1 Assertion Extension is applicable when the protected HTTP web application requires SIDs to perform authorization. <3>

1.7 Versioning and Capability Negotiation

<u>Web Browser Federated Sign-On Protocol</u> section 1.7 defers all versioning and capability negotiation to [WSFederation], [WSFedPRP], and [RFC2616].

When using the Query String Response Transfer Protocol, an IP/STS uses the presence of the *ttpindex* parameter (as specified in section <u>2.2.2.1.1</u>) in the request to determine whether the Query String Response Transfer Protocol is used for the response.

The SAML 1.1 Assertion Extension uses SIDs. SIDs have a revision mechanism. For more information, see [MS-DTYP], as specified in section 2.1.

1.8 Vendor-Extensible Fields

The extensions specified in this document make use of vendor-extensible fields that are specified as part of [MS-MWBF] section 1.8. Specifically, the Query String Response Transfer Protocol specifies

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new message parameters as allowed by [WSFedPRP] section 3.1, and the SAML 1.1 Assertion Extension specifies new elements in the SAML advice as allowed by [SAMLCore] section 2.3.2.2.

The Query String Response Transfer Protocol does not introduce any vendor-extensible fields that are not present in [MS-MWBF] section 1.8.

The SAML 1.1 Assertion Extension introduces the <u>ClaimSource (section 3.1.5.2.1.1)</u> element whose value is a URI that can be extended by vendors. Uniqueness of URIs is scheme-dependent. For more information, see <u>[IANASCHEME]</u> and <u>[RFC4395]</u>.

1.9 Standards Assignments

There are no standards assignments beyond those for XML namespaces and standard ports specified in [MS-MWBF] section 1.9.<4>

2 Messages

The Query String Response Transfer Protocol and SAML 1.1 Assertion Extension extend the messages specified in [MS-MWBF] section 2 as described in this section.

2.1 Transport

No additional transport is required other than that provided for in [MS-MWBF] section 2.1.

2.1.1 Query String Response Transfer Protocol

In the Query String Response Transfer Protocol, all wsignin1.0 messages MUST use HTTP GET.

2.2 Message Syntax

The Query String Response Transfer Protocol extends the wsignin1.0 message specified in [MS-MWBF] section 2.2. The SAML 1.1 Assertion Extension extends the SAML assertion specified in [MS-MWBF] section 2.2.4.2.

2.2.1 XML Namespace References

Prefixes and XML namespaces used in this specification include the following:

| Prefix | Namespace URI | Reference | | | |
|--------|---|------------|--|--|--|
| saml | "urn:oasis:names:tc:SAML:1.0:assertion" | [SAMLCore] | | | |
| adfs | "urn:Microsoft:federation" | [MS-MWBE] | | | |

2.2.2 Query String Response Transfer Protocol

The Query String Response Transfer Protocol extends the wsignin1.0 message from [MS-MWBF] section 2.2 to enable passing results in pieces rather than using POST. The protocol does not extend any other message types.

2.2.2.1 wsignin1.0 Message

[MS-MWBF] section 2.2 specifies how parameters are encoded in messages, including new parameters added by extensions. Specifically, it describes that in HTTP GET messages, the parameters are encoded as query string parameters for transmission in the URL. It also specifies how invalid values are handled.

Section <u>2.2.2.1.1</u> specifies parameters included in both wsignin1.0 requests and wsignin1.0 responses that use this protocol.

Section 2.2.2.1.2 specifies parameters included only in wsignin1.0 responses that use this protocol.

2.2.2.1.1 Common Parameters

ttpindex: The length, in characters, of the aggregated result as a 32-bit unsigned integer in decimal notation.

2.2.2.1.2 wsignin1.0 Response

ttpsize: The length in characters of the **pending result** as a 32-bit unsigned integer in decimal notation.

wresult: The current part of the result being transferred, as a string.

2.2.3 SAML 1.1 Assertion Extension

The SAML 1.1 Assertion Extension extends the SAML assertion subset as specified in [MS-MWBF] section 2.2.4.2. This extension uses the SAML advice element specified in [SAMLCore] section 2.3.2.2 as an extensibility point.

The sections that follow define new elements to convey the source of claims, hash data, **user** name, and SIDs. These new elements are included as child elements of the advice element in a SAML assertion. These elements use the XML namespace "urn:microsoft:federation". The element content is described using XML schema data types, as specified in [XMLSCHEMA2] section 3.

2.2.3.1 SAML Advice Elements

<u>ClaimSource</u> (optional): A Uniform Resource Identifier (URI) identifying a **requestor IP/STS** or other authentication service (such as a local **account** store) that is the source of the claims in the security token. The content is of type any URI (as specified in [XMLSCHEMA2] section 3.2.17).

<u>CookieInfoHash</u> (optional): A **base64**-encoded implementation-specific hash value. The content is of type base64Binary (as specified in [XMLSCHEMA2] section 3.2.16).<5>

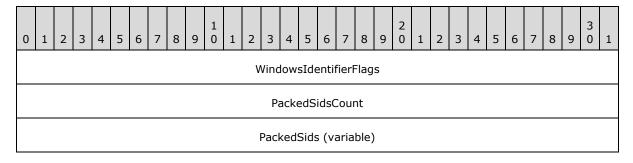
<u>WindowsUserIdentifier</u> (optional): A SID identifying the **subject** of the SAML assertion. The content is of type string (as specified in [XMLSCHEMA2] section 3.2.1) and MUST follow the restrictions for the string representation of a SID, as specified in [MS-DTYP] section 2.4.2).

<u>WindowsUserName</u> (optional): A user name associated with the subject of the SAML assertion. The content is of type string (as specified in [XMLSCHEMA2] section 3.2.1) and MUST be of the form "DOMAIN\user name".<6>

<u>WindowsIdentifiers</u> (optional): A base64-encoded binary structure that defines a set of SIDs that identify the subject of the SAML assertion and a set of flags that specify the use of the SIDs. The content is of type base64Binary (as specified in [XMLSCHEMA2] section 3.2.16), and the binary data MUST be structured as specified in WindowsIdentifiers Binary Structure (section 2.2.3.2).

2.2.3.2 WindowsIdentifiers Structure

The WindowsIdentifiers structure has variable length. It defines a set of SIDs and flags. To reduce the overall data size, the SIDs are not included in full binary expansion. Rather, PACKED_SIDS structures are created for each group of SIDs that are identical except for the last subauthority.



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...

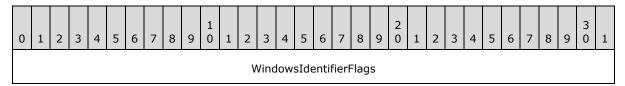
WindowsIdentifierFlags (4 bytes): A 32-bit **WindowsIdentifierFlags** structure (see 2.2.3.2.1).

PackedSidsCount (4 bytes): A 4-byte, **little-endian**, unsigned integer that defines the number of **PackedSids** fields in this structure. This field MUST NOT be 0.

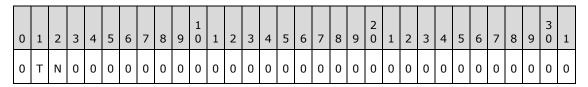
PackedSids (variable): A sequence of PACKED_SIDS structures of variable size, each of which defines a set of SIDs. The sequence defines a set of SIDs, which is the union of the sets of SIDs defined by all the elements.

2.2.3.2.1 WindowsIdentifierFlags Structure

The WindowsIdentifierFlags structure is a field of 32 bits.



WindowsIdentifierFlags (4 bytes): Bits marked 0 MUST be 0. The T and N bits operate independently.



| Value | Description |
|----------------------|--|
| T TryLocalAccount | A value of 1 indicates that the SAML NameIdentifier (as specified in [SAMLCore] section 2.4.2.2) takes precedence over the WindowsIdentifiers structure (section 2.2.3.2) element. A value of 0 indicates that the WindowsIdentifiers structure (section 2.2.3.2) element takes precedence over the SAML NameIdentifier (as specified in [SAMLCore] section 2.4.2.2). |
| N NoUserSid | A value of 1 indicates that a user SID is not encoded in the WindowsIdentifiers structure. A value of 0 indicates that a user SID is encoded in the WindowsIdentifiers structure. |

2.2.3.2.2 PACKED_SIDs Structure

The PACKED_SIDs structure encapsulates a set of SIDs that are identical except for the value of the final subauthority, which is called the **relative identifier (RID)**. The identical portion of the SIDs is included in the **DomainSid** field, and each RID is included separately. The PACKED_SIDS (section 2.2.3.2.2) structure has a variable length.

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 1 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 2 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 3 | 1 |
|---|----------------------|---|---|---|---|---|---|---|---|-----|---|---|---|---|---|---|---|---|---|-----|---|---|---|---|---|---|---|---|---|---|---|
| | DomainSid (variable) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | RidCount | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | RID (variable) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

DomainSid (variable): A SID structure of variable size that defines the identical portion of the SIDs encoded in this structure. For details on the SIDs structure, see [MS-DTYP] section 2.4.2.

RidCount (4 bytes): A 4-byte, little-endian, unsigned integer that defines the number of RID fields in this structure. This field MUST NOT be zero.

RID (variable): A sequence of 4-byte, little-endian, unsigned integers that define the RIDs for the SIDs encoded in this structure.

2.3 Directory Service Schema Elements

This protocol accesses the following Directory Service schema classes and attributes listed in the following table.

For the syntactic specifications of the following **<Class>** or **<Class><Attribute>** pairs, refer [MS-ADTS], [MS-ADA1], [MS-ADA3].

| Class | Attribute |
|-------|-----------|
| User | All |

3 Protocol Details

The following sections specify the IP/STS and relying party protocol details. Each section details role-specific behavior for the extensions specified in this document. There is not a section for the web browser requestor role because additional protocol details for the web browser requestor other than those specified in [MS-MWBF] section 3.4 do not exist.

The IP/STS details (see section 3.1) apply to both the requestor IP/STS and **resource IP/STS** roles. The relying party details (see section 3.2) apply to both the resource IP/STS and **Web service (WS) resource** roles.

Because the behavior for issuance and consumption of the SIDs is implementation-specific, an abstract data model is not introduced for the SAML 1.1 Assertion Extension. Hence, sections 3.1.1 and 3.2.1 do not have subsections for that extension.

3.1 IP/STS Details

The following sections specify the protocol details of the IP/STS, including details for the Query String Response Transfer Protocol and SAML 1.1 Assertion Extension. These details apply to both the resource IP/STS and the requestor IP/STS roles.

3.1.1 Abstract Data Model

This section describes a conceptual organization of data that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

3.1.1.1 Query String Response Transfer Protocol

The following sections specify the abstract data model for transmitting the pending result as a series of parts in separate messages that are assembled by a relying party.

3.1.1.1.1 Pending Result

When the Query String Response Transfer Protocol is used, the result of a wsignin1.0 action is transmitted by a series of HTTP 302 responses.

The first message in the exchange establishes the pending result. Each message exchange in the series transmits a portion of the pending result to the relying party until all parts have been delivered. Consequently, the pending result must be available to the IP/STS when processing each message exchange until the series is complete.

The message exchange also includes parameters for the current position and the total length of the result (see section 2.2.2.1) to ensure proper construction and error/completion detection. The method used to maintain the availability of the pending result during the series of exchanges is implementation-specific. The IP/STS MUST discard the pending result when cleaning up local state. See [MS-MWBF] section 3.2.5.3.3 for requestor IP/STS. See [MS-MWBF] section 3.3.5.4.2 for resource IP/STS.<a href="mailto:section-section

3.1.1.1.2 Maximum Query String Response Message Length

Although the HTTP protocol (as specified in [RFC2616]) does not place a limit on the length of a URL, some web browser requestor implementations have such a limit. IP/STS implementations

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SHOULD use a maximum query string response message length that will allow a broad range of web browser implementations to act as the web browser requestor. This is a limit on the length of the URL after escaping, including the scheme, authority, path, and query components (as specified in [RFC2396] section 3). The recommended value is 2,083 octets for all messages. For more information, see <a href="[MAXURL].<8>

3.1.2 Timers

There are no timers required other than any specified in [MS-MWBF] section 3.1.2; however, a timer MAY<9> be used to manage state associated with the pending result.

3.1.3 Initialization

There are no new initializations beyond any described in [MS-MWBF] section 3.1.3; however, the pending result is initialized after the protocol has been initiated (see section 3.1.5.1.2).

3.1.4 Higher-Layer Triggered Events

There are no higher-layer triggered events other than any described in [MS-MWBF] section 3.1.4.

3.1.5 Processing Events and Sequencing Rules

3.1.5.1 Query String Response Transfer Protocol

The following sections specify protocol details for the Query String Response Transfer Protocol when receiving wsignin1.0 requests.

Sections 3.1.5.1.1, 3.1.5.1.2, and 3.1.5.1.3 specify how wsignin1.0 requests are processed given the value of the *ttpindex* parameter. Section 3.1.5.1.4 specifies common behavior for responding to such requests when the Query String Response Protocol is used.

3.1.5.1.1 Receiving a wsignin1.0 Request That Does Not Specify a ttpindex

When the IP/STS receives a wsignin1.0 request that does not specify the *ttpindex* parameter, the response MUST NOT use the Query String Response Transfer Protocol. The IP/STS MUST discard any pending result, and the IP/STS MUST process the message as specified in [MS-MWBF] section 3.1.5.4.

3.1.5.1.2 Receiving a wsignin1.0 Request That Specifies a ttpindex of 0

When the IP/STS receives a wsignin1.0 request that specifies a *ttpindex* parameter value of 0, the IP/STS MUST process the wsignin1.0 request (as specified in [MS-MWBF] section 3.1.5.4) up to the point where the IP/STS has constructed the RSTR element (as specified in [MS-MWBF] section 3.1.5.4.6). The IP/STS MUST apply the following transforms to the RSTR element to produce the pending result:

- 1. Convert the XML string to binary data by applying UTF-8 encoding, as specified in [RFC2279].
- 2. Compress the result from step 1 to the zlib format (as specified in [RFC1950]) by using the deflate algorithm (as specified in [RFC1951]).
- 3. Base64-encode the result from step 2, as specified in <a>[RFC2045] section 6.8.

The IP/STS MUST respond as specified in section 3.1.5.1.4, by using the result from step 3 as the pending result.

3.1.5.1.3 Receiving a wsignin1.0 Request That Specifies a ttpindex Other Than 0

When the IP/STS receives a wsignin1.0 request that specifies a *ttpindex* parameter value other than 0, the following conditions MUST be evaluated in order:

- 1. The *ttpindex* value does not conform to message syntax rules (for example, if it is not a number, as specified in section 2.2.2.1.1).
- 2. The corresponding pending result is not available.
- 3. The value of *ttpindex* is greater than or equal to the length in characters of the pending result.

If the message meets any of the conditions, the IP/STS MUST reject the message and return an HTTP 500 response. Otherwise, the IP/STS MUST respond as specified in section 3.1.5.1.4.

3.1.5.1.4 Responding to a wsignin1.0 Request That Specifies a ttpindex

The IP/STS MUST construct a wsignin1.0 response for the relying party by using the following values as properly escaped query string parameters in the returned status URL, as specified in [WSFedPRP] section 3.

- ttpindex MUST be the same value of ttpindex as requested.
- ttpsize MUST be the same length in characters as the pending result.
- wctx MUST be the same value of wctx as requested.
- wresult MUST contain a portion of the pending result, beginning at the character of index ttpindex (zero-based), and including as many characters as possible without exceeding the maximum query string response message length. Note that the maximum query string response message length applies after the escaping rules for URL query string parameters.

The IP/STS MUST transmit the message to the web browser requestor using HTTP 302 as specified in [WSFedPRP] section 3.

3.1.5.2 SAML 1.1 Assertion Extension

The IP/STS uses the SAML 1.1 Assertion Extension when responding to wsignin1.0 requests. Although the protocol details are largely similar, it is necessary in this section to distinguish between the requestor IP/STS and resource IP/STS roles.

The IP/STS is a requestor IP/STS when issuing a token to a relying party that is in a different **security realm** than the IP/STS. Conversely, the IP/STS is a resource IP/STS when issuing a token to a relying party that is in the same security realm as the IP/STS.

3.1.5.2.1 Responding to a wsignin1.0 Request

When responding to a wsignin1.0 request, the IP/STS MAY include any of the ClaimSource (section 3.1.5.2.1.1), CookieInfoHash (section 3.1.5.2.1.2), WindowsUserIdentifier (section 3.1.5.2.1.3), WindowsUserName (section 3.1.5.2.1.4), and WindowsIdentifiers (section 3.1.5.2.1.5) elements in the issued SAML assertion. For syntax details, see section 2.2.3.

The following sections describe the processing semantics for each of these optional SAML assertion elements.

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3.1.5.2.1.1 ClaimSource Element

The IP/STS MAY<10> include the ClaimSource (section 3.1.5.2.1.1) element in the issued SAML assertion.

3.1.5.2.1.2 CookieInfoHash Element

The IP/STS MAY $\leq 11>$ include the CookieInfoHash (section 3.1.5.2.1.2) element in the issued SAML assertion.

3.1.5.2.1.3 WindowsUserIdentifier Element

The IP/STS MAY<12> include the WindowsUserIdentifier element in the issued SAML assertion.

3.1.5.2.1.4 WindowsUserName Element

The IP/STS MAY<13> include the WindowsUserName element in the issued SAML assertion.

3.1.5.2.1.5 WindowsIdentifiers Element

The IP/STS MAY<14> include the WindowsIdentifiers (section 3.1.5.2.1.5) element in the issued SAML assertion.

3.1.6 Timer Events

Timer events are not required other than any specified in [MS-MWBF] section 3.1.6; however, a timer event MAY<15> be used to manage the state associated with the pending result.

3.1.7 Other Local Events

There are no other local events that impact the operation of this protocol.

3.2 Relying Party Details

These details apply to both the resource IP/STS and WS resource roles (when acting as a relying party for a received security token).

3.2.1 Abstract Data Model

This section describes a conceptual model of a possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

3.2.1.1 Query String Response Transfer Protocol

The following sections specify the abstract data model for receiving and concatenating the aggregated result as a series of messages.

3.2.1.1.1 Aggregated Result

When the Query String Response Transfer Protocol is used, the result of a wsignin1.0 action is transmitted by a series of message exchanges. When an aggregated result is not available, it is

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considered to be empty (with size 0). The relying party concatenates each portion of the result to the aggregated result when it is received, so the aggregated result must be available to the relying party when processing each message exchange. The method used to maintain the availability of the aggregated result is implementation-specific. The relying party MUST discard the aggregated result when it receives a wsignoutcleanup1.0 message (as specified in [MS-MWBF] section 3.3.5.4.2).<16>

3.2.2 Timers

There are no timers required other than any specified in [MS-MWBF] section 3.3.2; however, a timer MAY<17> be used to manage a state associated with the aggregated result.

3.2.3 Initialization

There are no new initializations other than any described in [MS-MWBF] section 3.3.3; however, the aggregated result is initialized after the protocol has been initiated (as specified in section 3.2.5.1.3).

3.2.4 Higher-Layer Triggered Events

There are no new higher-layer triggered events other than any described in [MS-MWBF] section 3.3.4.

3.2.5 Processing Events and Sequencing Rules

The Query String Response Transfer Protocol and the SAML 1.1 Assertion Extension introduce new message processing rules.

3.2.5.1 Query String Response Transfer Protocol

The following sections specify protocol details for the Query String Response Transfer Protocol when processing wsignin1.0 messages.

Section 3.2.5.1.1 specifies how to send a wsignin1.0 request by using this protocol. Sections 3.2.5.1.2 and 3.2.5.1.3 specify how to process a wsignin1.0 response given the presence or absence of the *ttpindex* parameter. Section 3.2.5.1.4 specifies how the completed aggregated result is processed.

3.2.5.1.1 Sending a wsignin1.0 Request

When using the Query String Response Transfer Protocol, the relying party sends a wsignin1.0 request to the IP/STS as specified in [MS-MWBF] section 3.3.5.1 and MUST add the ttpindex parameter. When included, the value of the ttpindex parameter MUST be the length in characters of the aggregated result.

Note If the aggregated result is not available, it is considered to have length 0 (see section 3.2.1.1.1). Therefore, in the first request, the value of *ttpindex* MUST be 0.<18>

3.2.5.1.2 Receiving a wsignin1.0 Response That Does Not Specify a ttpindex

When the relying party receives a wsignin1.0 response that does not specify the *ttpindex* parameter, the message does not use the Query String Response Transfer Protocol, and the relying party MUST process the message as specified in [MS-MWBF] section 3.3.5.2.

3.2.5.1.3 Receiving a wsignin1.0 Response That Specifies a ttpindex

When the relying party receives a wsignin1.0 response that specifies the *ttpindex* parameter, it MUST evaluate the following conditions in order:

- 1. The *ttpindex* value does not conform to message syntax rules (for example, it is not a number; as specified in section 2.2.2.1.1).
- 2. The *ttpindex* parameter is not equal to the length, in characters, of the aggregated result. Note that if the aggregated result is not available, it is considered to have length 0 (as specified in section 3.2.1.1.1).

If the message meets one of these conditions, the relying party MUST reject the message and return an HTTP 500 response.

The relying party MUST construct a new aggregated result. If *ttpindex* is 0, the aggregated result MUST be the value of the *wresult* parameter; otherwise, the aggregated result MUST be constructed by appending the *wresult* parameter to the current aggregated result.

The relying party MUST evaluate the *ttpsize* parameter as follows:

- If the length in characters of the new aggregated result is greater than the value of the *ttpsize* parameter, the relying party MUST reject the message and return an HTTP 500 response.
- If the length, in characters, of the new aggregated result is equal to the value of the *ttpsize* parameter, the relying party MUST process the completed result as specified in section 3.2.5.1.4.
- If the length, in characters, of the new aggregated result is less than the value of the *ttpsize* parameter, the relying party MUST request the next portion of the result as specified in section 3.2.5.1.1.

3.2.5.1.4 Processing the Complete Aggregated Result

When the aggregated result is complete, the relying party MUST apply the following transforms to the aggregated result to produce an XML string:

- 1. Base64-decode the aggregated result to binary data (as specified in [RFC2045] section 6.8).
- 2. Decompress the result from step 1 from the zlib format (as specified in [RFC1950]) by using the inflate algorithm (as specified in [RFC1951]).
- 3. Interpret the binary data from step 2 as a UTF-8-encoded string (as specified in [RFC2279]).

If any error occurs when applying the transforms, the relying party MUST reject the message and return an HTTP 500 response.

The relying party MUST process the wsignin1.0 response (as specified in [MS-MWBF] section 3.3.5.2), substituting the string output from step 3 for the *wresult* parameter.

3.2.5.2 SAML 1.1 Assertion Extension

The method of evaluating SIDs transmitted by using the SAML 1.1 Assertion Extension is implementation-specific. For specifications about SIDs and their semantics in Windows, see [MS-DTYP].<19>

3.2.6 Timer Events

There are no timer events required other than any events specified in [MS-MWBF] section 3.3.6; however, a timer event MAY<20> be used to manage a state associated with the aggregated result.

3.2.7 Other Local Events

There are no other local events that impact the operation of this protocol.

3.3 Web Browser Requestor Details

This section specifies the web browser requestor role in transporting protocol messages.

3.3.1 Abstract Data Model

A web browser requestor does not need to understand any protocol-specific data for the correct operation of the protocol. It MUST be able to support HTTP query string and POST body parameterization. To provide the best end-user experience, it SHOULD be able to support HTTP cookies (for more information, see [RFC2965]).<21>

3.3.2 Timers

A web browser requestor does not depend on timers beyond those that are used by the underlying transport to transmit and receive messages over HTTP and SSL/TLS, as specified in section 3.1.2.

A web browser requestor does not need to be aware of an implementation's use of timers to determine when the validity intervals of security tokens and authentication contexts expire.

3.3.3 Initialization

There is no protocol-specific initialization for a web browser requestor. It only needs to be ready to perform the standard HTTP 1.1 methods required for accessing WS resources. Specifically, it MUST support HTTP GET and POST methods and properly respond to HTTP 1.1 redirection and error responses.

3.3.4 Higher Layer Triggered Events

Protocol messages are exchanged between a requestor IP/STS and a relying party. The only function of the web browser requestor with respect to the protocol is to transport these messages. The web browser requestor may be triggered to begin protocol message exchange by receipt of an HTTP/1.1 302 found that includes a location directive, or an HTTP/1.1 200 OK that includes a form with method set to POST.

3.3.5 Processing Events and Sequencing Rules

A web browser requestor plays a passive role in the operation of the protocol. Its only function is to transport protocol message requests and responses between a requestor IP/STS and one or more relying parties. It is not required to understand the types or content of these protocol messages. The web browser requestor SHOULD transport all protocol message requests and responses between a requestor IP/STS and a relying party without changing the messages at all.<22>

3.3.6 Timer Events

A web browser requestor does not need to interact with any timers, or service any timer events, beyond those that may be used by the underlying transport to transmit and receive messages over HTTP and SSL/TLS, or those specified in section 3.1.6.

3.3.7 Other Local Events

A web browser requestor does not have dependencies on local events beyond those specified in section 3.1.7.

4 Protocol Examples

4.1 Query String Response Transfer Protocol

4.1.1 Annotated Example

The following is a protocol example for the Query String Response Transfer Protocol.

The Query String Response Transfer Protocol is best understood as occurring abstractly between an IP/STS and a relying party, because the changes to the Web Browser Federated Sign-On Protocol [MS-MWBF] are applied consistently whether between requestor IP/STS and resource IP/STS or between resource IP/STS and WS resource.

This annotated example shows a Query String Response Transfer Protocol exchange between a requestor IP/STS and a resource IP/STS. It is part of a larger network trace (see section <u>4.2</u>) that also uses the Query String Response Transfer Protocol between the resource IP/STS and the WS resource.

The following table specifies the protocol roles of the hosts.

| Protocol role | Host name |
|---------------|-------------|
| IP/STS | adatumsts-7 |
| Relying party | treysts-7 |

Each HTTP message is prefaced by an annotation that describes its recipient and purpose. This annotated example omits many elements of the HTTP messages. For example, implementation-specific cookies and superfluous HTTP headers are not included. The full messages are specified in section <u>4.1.2</u>. The following parameters are specified in this document and appear in the HTTP messages that follow:

- ttpindex
- ttpsize
- wresult
- 1. Just prior to the example, the web browser requestor made a GET request to the relying party (treysts-7).
- 2. The relying party returns an HTTP 302 message that specifies a wsignin1.0 request for the IP/STS. This wsignin1.0 request includes the *ttpindex=0* parameter, which initiates the Query String Response Transfer Protocol (see section 3.2.5.1). At this time, the relying party's aggregated result is empty, as specified in section 3.2.5.1.1.

```
HTTP/1.1 302 Found
Location:
https://adatumsts-7/adfs/ls/?wa=wsignin1.0&
wtrealm=urn%3afederation%3atrey+research&
wct=2006-07-13T07%3a32%3a21Z&
wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%
2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0
```

3. The web browser requestor relays the wsignin1.0 request to the IP/STS (adatumsts-7) in an HTTP GET message.

```
GET /adfs/ls/?wa=wsignin1.0&wtrealm=urn%3afederation %3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https% 3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test% 2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1
```

- 4. The IP/STS engages in a series of messages outside the scope of the protocol whereby it ascertains the user identity. These messages are omitted, as they have no bearing on the Query String Response Transfer Protocol. For more details, see [MS-MWBF] section 3.1.5.4.3.
- 5. Once the user's identity has been determined, the IP/STS creates an RSTR, as specified in [MS-MWBF] section 3.1.5.4.6. The RSTR is transformed into the pending result, and the first portion is returned in a wsignin1.0 response (as specified in section 3.1.5.1.4). In this message, the wresult parameter is the first 1,727 characters of the pending result. The ttpsize parameter indicates the length of the full pending result, 2,652.

```
HTTP/1.1 302 Found
Location: https://treysts-7/adfs/ls/?wa=wsignin1.0&
ttpsize=2652&ttpindex=0&wctx=https%3a%2f%
2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%
2fDefault.aspx&wresult=eNrNWFtzqsoS%
2fiuW6zGVBYg3rCR1hquAaAA1yssph0EShVEGRPz1e9BoTNYt59R62D4NPW3311%
2f3dDM8lDqfWHBXQJzb0CuyOK%2bmaA1TC%2bItSjFsHJJNiqdE7bEZ5f12QFHYi2Di
4u9kByN3%2bx1lIVViqkXTHYpuUX1W4Lz59HBjGfofbD89YDfZDADGMMtjlDauK1V8
bP436NAMGzD%2bvddps%2fftFuzd91ewc79iu5y7Yv1%2b4HeaDRXjAqopzt2UQCPOu
%2fd0755hp3RvwLYGrZ7zppM9NossHQTQh5lbOxm4vpsXSbNhuK8om8MME
%2bFjkyGCOP0oOAdfoz0bQS6O8SB1E4gHuTewgTEaMN
%2fpqXsJoPkWm4BSP64FuDFGOQ8DlMFfoSQKk3SSqSCvsd7q9C86F8YKP4apB0ly8i
z2avtXR59Unj7FnGewamQQQzfzogfqo%2b6n55%2bbpz4FdnHo7
%2bPahOsHmOyidUyyEqChi6M3%2buqdM31J7GUIoyC%2fQdZ8UqK5vj2Kulay0tQy
KoWL1HhnM8%2fS%2bvGB%2bondK94312%2fg8wimeeydrNq5m80EPDc%2byv9QMB%
2bVDZhHyP%2bxfD4oDco49VGJLzmyi9Ur9PK3pzEpFdWvtYMYZg0ZZYn7
%2b5Pkbdw4wdTsedx8An4SpzFJhpuj7D%2fnsv3uoeQt%2fo%2fGL6xcAVC
%2f4%2bXCWk4yvSpy%2bHnj3xPGR5yN66r%2b22NTStx4A3yf1DZuftzEW9eDXwDZ
%2fOxj7m4K%2bOT%2bHvUn7c%2fSP%2bEWUJKgtF7%2fXdQGQUwydKL87yBVMlRs
%2fy5Iod4kCU7inID9d8ME222G919HSf3yXN1xmJIiyt5m6hVXWZbfS
%2fYEh3QlmqI5iij4OA6%2fNc%2f%2fgn7d%2bp4eBDdFKTnJm
%2fh406AaYBMiMlyj5BcmGYqha5P38ODde0w7%2fdZsUDd4vmjmA7IMu
%2fc4cpmTJQsGMKsnR2NmqY%2fNb18b4U8P08xNMRmNCb5Z%2f284YLqHG7SF
%2fj2%2bhHOC9HVzv2CHugUnxiGZif8PT1eOzibOVTPhN2GVHqWsG5S6BHgV4f3E
OeycpcnEu9V8oK7ckvVtLVyzd1YUYTg1c1Gn%2fJ5011Fmx1mmaTOBae%2bMEojVHb
%2bd96NDIjO9jLvrlopNJXwsPNN3kWwtuE2Vj4t90Zram4XtYg1Y2jTyLGrUFmYHmd
V4WW87i6VIm9VO3UNaBPOiR5XrV0emvSA5kpm9SXZK7rXmHZ
%2fGWXdBd5mpuJX07ZIqA4ru01X%2fmI6qf4De4zmQG
%2fAPOqzOUS06NCe6uXteCfVLVVCPLtLYVFVkREEAWRWCUuVBqOoKGyayCeqfdJiCMR
%2bud9E6VriS5oE5k4EIHMPyStlcinPTFKWyM3OVTeXIfOSlVmeWcHtfBFAu6XL8C
lhjqlbGFJSGOHZPsuNFJp1kBr88yCKw%2bXA854ExFVryermwmNViTHsVzRqqEhiA
VgR7p9jqihVNqcYBQFsZA1HgY1PnQ10017uE4uB4smhLvZZth3SxY7QX1thxeQF0p
%2b9W%2bNmJNkfjZb%2fTGa7DDMWjyOeTmeZO7vb8EogLjTrK%2bZRq6weJ1WNn02
k9F6RVHa3%2bWqcZXRkto8qHGZR5oZfYq4q72IsKhFxVavFycmf0Eas4dKvq9xCEc
SIOh1rSDYWDpzG7tcUxxz7Q5W0pisAEPGqrPDoS7p1%2bKYaES4t%2bBuaQIsGJIJ
yWhmTexqyJpcka4qzWVXX
```

6. The web browser requestor relays the wsignin1.0 response to the relying party (treysts-7) in an HTTP GET message.

```
GET /adfs/ls/?wa=wsignin1.0&
ttpsize=2652&ttpindex=0&wctx=https%3a%2f%2ft
reyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims
%2fDefault.aspx&wresult=eNrNWFtzqsoS%2fiuW6zGVBYg3rCR1h
quAaAA1yssphOEShVEGRPz1e9BoTNYt59R62D4NPW3311%2f3dDM81DqfWHBXQJzb0
CuyOK%2bmaA1TC%2bItSjFsHJJNigdE7bEZ5fl2QFHYi2Di4u9kByN3%2bx1lIVViq
kXTHYpuUX1W4Lz59HBjGfofbD89YDfZDADGMMtjlDauK1V8bP436NAMGzD%2bvddps
%2fftFuzd91ewc79iu5y7Yv1%2b4HeaDRXjAqopzt2UQCPOu%2fd0755hp3RvwLYGr
Z7zppM9NossHQTQh51bOxm4vpsXSbNhuK8om8MME%2bFjkyGCOP0oOAdfoz0bQS6O8
SB1E4gHuTewgTEaMN%2fpgXsJoPkWm4BSP64FuDFGOQ8D1MFfoSQKk3SSgSCvsd7q9
C86F8YKP4apB0ly8iz2avtXR59Unj7FnGewamQQQzfzogfqo%2b6n55%2bbpz4FdnH
o7%2bPahOsHmOyidUyyEqChi6M3%2buqdM31J7GUIoyC%2fQdZ8UqK5vj2Kulay0tQ
vKoWL1HhnM8%2fS%2bvGB%2bondK94312%2fq8wimeeydrNq5m8OEPDc%2bvv9OMB%
2bVDZhHyP%2bxfD4oDco49VGJLzmyi9Ur9PK3pzEpFdWvtYMYZg0ZZYn7%2b5Pkbdw
4wdTsedx8An4SpzFJhpuj7D%2fnsv3uoeQt%2fo%2fGL6xcAVC%2f4
%2bXCWk4yvSpy%2bHnj3xPGR5yN66r%2b22NTStx4A3yflDZuftzEW9eDXwDZ
%2fOxj7m4K%2bOT%2bHvUn7c%2fSP%2bEWUJKgtF7%2fXdQGQUwydKL87yBVMlRs
%2fy5Iod4kCU7inID9d8ME222G9l9HSf3yXNlxmJIiyt5m6hVXWZbfS
%2fYEh3QlmqI5iij4OA6%2fNc%2f%2fqn7d%2bp4eBDdFKTnJm%2fh406AaYBMiMl
yj5BcmGYqha5P38ODde0w7%2fdZsUDd4vmjmA7IMu%2fc4cpmTJQsGMKsnR2NmqY
%2fNb18b4U8P08xNMRmNCb5Z%2f284YLqHG7SF%2fj2%2bhHOC9HVzv2CHugUnxi
GZif8PT1eOzibOVTPhN2GVHqWsG5S6BHgV4f3E0eycpcnEu9V8oK7ckvVtLVyzd1
YUYTq1c1Gn%2fJ5011Fmx1mmaTOBae%2bMEojVHb%2bd96NDIjO9jLvrlopNJXws
PNN3kWwtuE2Vj4t90Zram4XtYq1Y2jTyLGrUFmYHmdV4WW87i6VIm9VO3UNaBPOi
R5XrV0emvSA5kpm9SXZK7rXmHZ%2fGWXdBd5mpuJX07ZIqA4ru01X%2fmI6qf4De
4zmQG%2fAPOqzOUS06NCe6uXteCfVLVVCPLtLYVFVkREEAWRWCUuVBqOoKGyayCe
qfdJiCMR%2bud9E6VriS5oE5k4EIHMPyStlcinPTFKWyM3OVTeXIfOSlVmeWcHtf
{\tt BFAu6XL8ClhjqlbGFJSGOHZPsuNFJp1kBr88yCKw\$2bXA854ExFVryermwmNViTH}
sVzRqqEhiAVqR7p9jqihVNqcYBQFsZA1HqY1PnQ10017uE4uB4smhLvZZth3SxY7
QX1thxeQF0p%2b9W%2bNmJNkfjZb%2fTGa7DDMWjyOeTmeZO7vb8EogLjTrK%2bZ
Rq6weJ1WNn02k9F6RVHa3%2bWqcZXRkto8qHGZR5oZfYq4q72IsKhFxVavFycmf0E
as4dKvg9xCEcSIOh1rSDYWDpzG7tcUxxz7Q5WOpisAEPGqrPDoS7p1%2bKYaES4t%
2bBuaQIsGJIJyWhmTexqyJpcka4qzWVXX HTTP/1.1
```

7. Because the relying party's aggregated result is empty, the *wresult* parameter becomes the aggregated result. Because the length of the *wresult* parameter was 1,727 characters, which is less than the length of the *ttpsize* parameter of 2,652 characters, the relying party needs to request more data from the IP/STS. It does this by sending an HTTP 302 with a wsignin1.0 request that includes the *ttpindex=1727* parameter. For further specifications, see section 3.2.5.1.3.

```
HTTP/1.1 302 Found Location: https://adatumsts-7/adfs/ls/?wa=wsignin1.0&wtrealm=urn %3afederation%3atrey+research&wct=2006-07-13T07%3a32%3a27Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=1727
```

8. The web browser requestor relays the wsignin1.0 request to the IP/STS (adatumsts-7) in an HTTP GET message.

```
GET /adfs/ls/?wa=wsignin1.0&wtrealm=urn%3afederation%3atrey+ research&wct=2006-07-13T07%3a32%3a27Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.
```

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9. The IP/STS returns the remaining 925 characters of the pending result, beginning with character 1,727. For further specifications, see section 3.1.5.1.3.

```
HTTP/1.1 302 Found
Location: https://treysts-7/adfs/ls/?wa=wsignin1.0&
ttpsize=2652&ttpindex=1727&wctx=https%3a%2f
%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims
%2fDefault.aspx&wresult=kgNHeG5OcCSAUgEHIEISLri
GRPIJQBEM%2bnGViaAj8ERCSwkseRfLs13wPzbYkh%2bZs6Vn0YZP76zacMJF
GrzbrVe%2fYXvUjtZTKE7YND8pSqH2XS543Z0Piuxye9155PixlBGZyO6WHThaJc9X
t2NPJctVWbL2TsZNZOTdPugEvtcupyOtIikMLOZI7tGhPRPtRa7wh9RP5CwuNEqvwq
s7ritXKUcJsl6y291pc4gtE1qL3Zmte%2bdK88BIOrwTux%2fojel5SlqGDCC9Yqeu
WLyWeV5UxqSfdkNR3%2bREIOauD%2fKFVTuL%2bflnbVmTGV8LPfl6dhUYvF3PalX
%2fhMzVLC7xzZABdmSjOdqXMuqOK8xbKe4wL6bSWV6m2XykH791vFMyVaOsllrkk
%2fuCc7LNmQTiqP58D%2fnQOyLk1eXYX3x3GU8rrUsn84LO%2bcGRAtt4eNHY1VD
NEDcGhl%2fYOCujud%2fup3%2bZefDPvB3s9lIYB7%2b3VXuK%2bwLyUR23Le07Y
WTLP1Dl1p62FeOiHAQuF53jLdrGTc%2b3jPFPkwJFdrk%2b9HlC7ZNDdiyLPcivf
SV2bCmN6ZEmxMRJ0z3KS6G426mlDqL0MYcV1WJ3zzJHR8pWVozlral0wbkuYqT01
eD60fT1hBCVdIcNL1mwcRIKRIAu9QjsGoiff4T63Xq3zIFiq6rSQ%2fRVr29y6LF
oyBn2NHQ6T1oTaG%2f1lJe46h3nqJM8dbElFMpNTRKW7SYduLSjlaHXZKO2mO
%2fTykrVn3ny93nGygPpVKClJt3qFQfFAfe6YZ8m5m1LXDvvee6%2fvKpfLKxH8
7tJe4u2AtJhNDPEUXb8LbL%2fwXaBdT8Qt2sRedfow4A6k1N%2biOM3f3xwu9ty
v2euTi%2bHppT9Owzebb7eAP9x8bzXPTz9gOclvYv3Iy08%2fkzz9A6cx%2fkw
```

10. The web browser requestor relays the wsignin 1.0 response to the relying party (treysts-7) in an HTTP GET message.

```
GET /adfs/ls/?wa=wsignin1.0&
ttpsize=2652&ttpindex=1727
&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a
%2f%2ftreyws-test%2fclaims%2fDefault.aspx&
wresult=kqNHeG5OcCSAUgEHIEISLriGRPIJQBEM
%2bnGViaAj8ERCSwkseRfLs13wPzbYkh%2bZs6Vn0YZP76zacMJFGrZbrVe
%2fYXvUjtZTKE7YND8pSqH2XS543Z0Piuxye9155PixlBGZyO6WHThaJc9Xt2NP
JctVWbL2TsZNZOTdPuqEvtcupyOtIikMLOZI7tGhPRPtRa7wh9RP5CwuNEqvwqs
7ritXKUcJsl6y291pc4gtE1qL3Zmte%2bdK88BIOrwTux%2fojel5SlqGDCC9Y
qeuWLyWeV5UxqSfdkNR3%2bREI0auD%2fKFVTuL%2bflnbVmTGV8LPf16dhUYvF
3PalX%2fhMzVLC7xzZABdmSjOdqXMuqOK8xbKe4wL6bSWV6m2XykH791vFMyVaO
sllrkk%2fuCc7LNmQTigP58D%2fnQOyLk1eXYX3x3GU8rrUsn84LO%2bcGRAtt4
eNHY1VDNEDcGh1%2fYOCujud%2fup3%2bZefDPvB3s91IYB7%2b3VXuK%2bwLyU
R23Le07YWTLP1D11p62FeOiHAQuF53jLdrGTc%2b3jPFPkwJFdrk%2b9H1C7ZND
diyLPcivfSV2bCmN6ZEmxMRJ0z3KS6G426mlDqL0MYcV1WJ3zzJHR8pWVozlral
OwbkuYqT0leD60fT1hBCVdIcNL1mwcRIKRIAu9QjsGoiff4T63Xg3zIFiq6rSQ
%2fRVr29y6LFoyBn2NHQ6T1oTaG%2f11Je46h3nqJM8dbE1FMpNTRKW7SYduLS
jlaHXZKO2mO%2fTykrVn3ny93nGygPpVKClJt3qFQfFAfe6YZ8m5m1LXDvvee6
%2fvKpfLKxH87tJe4u2AtJhNDPEUXb8LbL%2fwXaBdT8Qt2sRedfow4A6k1N
%2biOM3f3xwu9tyv2euTi%2bHppT9Owzebb7eAP9x8bzXPTz9gOclvYv3Iy08
%2fkzz9A6cx%2fkw%3d HTTP/1.1
```

11. The relying party appends the *wresult* parameter to the aggregated result. The new aggregated result is 2,652 characters long, which indicates the completion of the Query String Response Transfer Protocol (see section 4.1). The relying party extracts the RSTR from the aggregated

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result (as specified in section <u>3.2.5.1.4</u>) and processes it as specified in <u>[MS-MWBF]</u> section 3.3.5.2. The relying party's next action is outside the scope of the protocol, though in this case the relying party, which was a resource IP/STS, issued a new SAML assertion and used the Query String Response Transfer Protocol to transmit it to a WS resource.

4.1.2 Full Network Trace

The following is the full network trace for the protocol example in <u>Annotated Example (section 4.1.1)</u>.

The following table specifies the protocol roles of the hosts.

| Protocol role | Host name |
|------------------|-------------|
| Requestor IP/STS | adatumsts-7 |
| Resource IP/STS | treysts-7 |
| WS resource | treyws-test |

HTTP requests are prefaced by a line with three right-angle brackets (">>>") and the name of the host to which the request was sent. Requests are followed by the HTTP response, which is prefaced by a line with three left-angle brackets ("<<<"). The final three HTTP messages (HTTP 302, HTTP GET, and HTTP 200 OK) are an internal implementation detail and shown for completeness only. These messages are not necessary for interoperability.

```
>>> treyws-test (WS Resource)
GET /claims/ HTTP/1.1
HTTP/1.1 302 Found
Location: https://treysts-7/adfs/ls/?wa=wsignin1.0&wreply=https
%3a%2f%2ftreyws-test%2fclaims%2f&wct=2006-07-13T07%3a32%3a21Z&wctx
=https%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0
>>> treysts-7 (Resource IP/STS)
GET /adfs/ls/?wa=wsignin1.0&wreply=https%3a%2f%2ftreyws-test
%2fclaims%2f&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%
2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1
HTTP/1.1 302 Found
Location: https://adatumsts-7/adfs/ls/?wa=wsignin1.0&wtrealm=
urn%3afederation%3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=
https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%
2fclaims%2fDefault.aspx&ttpindex=0
Set-Cookie: _TTPRealm=urn:federation:adatum; path=/adfs/ls/;
secure; HttpOnly
>>> adatumsts-7 (Requestor IP/STS)
GET /adfs/ls/?wa=wsignin1.0&wtrealm=urn%3afederation%3atrey+
research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftreyws-
test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx
&ttpindex=0 HTTP/1.1
<<<
HTTP/1.1 302 Found
```

Location: /adfs/ls/auth/integrated/?wa=wsignin1.0&wtrealm=urn %3afederation%3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0

>>> adatumsts-7 (Requestor IP/STS)

GET /adfs/ls/auth/integrated/?wa=wsignin1.0&wtrealm=urn% 3afederation%3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1

<<<

HTTP/1.1 401 Unauthorized WWW-Authenticate: Negotiate WWW-Authenticate: NTLM

>>> adatumsts-7 (Requestor IP/STS)

GET /adfs/ls/auth/integrated/?wa=wsignin1.0&wtrealm=urn%3afederation %3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1

<<<

HTTP/1.1 401 Unauthorized

WWW-Authenticate: Negotiate T1RMTVNTUAACAAAAEAAQADgAAAAFgomi120tC7za4 J0AAAAAAAAAAAQABBAFIAAAABQLODgAAAA9BAEQARgBTAFYATQAtAEEAAGAQAEEARABGAF MAVGBNAC0AQQABABYAQQBEAEEAVABVAE0AUwBUAFMALQA3AAQAOGBhAGQAZgBzAHYAbQA tAGEALgBuAHQAdAB1AHMAdAAuAG0AaQBjAHIAbwBzAG8AZgB0AC4AYwBvAG0AAwBSAGEA ZABhAHQAdQBtAHMAdABzAC0ANwAuAGEAZABmAHMAdgBtAC0AYQAuAG4AdAB0AGUAcwB0A C4AbQBpAGMAcgBvAHMAbwBmAHQALgBjAG8AbQAFADOAYQBkAGYAcwB2AG0ALQBhAC4Abg B0AHQAZQBzAHQALgBtAGkAYwByAG8AcwBvAGYAdAAuAGMAbwBtAAAAAAA

>>> adatumsts-7 (Requestor IP/STS)

GET /adfs/ls/auth/integrated/?wa=wsignin1.0&wtrealm=urn%3afederation %3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftre yws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1

<<<

HTTP/1.1 401 Unauthorized WWW-Authenticate: Negotiate WWW-Authenticate: NTLM

>>> adatumsts-7 (Requestor IP/STS)

GET /adfs/ls/auth/integrated/?wa=wsignin1.0&wtrealm=urn%3afederation %3atrey+research&wct=2006-07-13T07%3a32%3a21Z&wctx=https%3a%2f%2ftreyws-test%2fclaims%2f%5chttps%3a%2f%2ftreyws-test%2fclaims%2fDefault.aspx&ttpindex=0 HTTP/1.1

Authorization: Negotiate YIIFRwYGKwYBBQUCoIIFOzCCBTegJDAiBgkqhkiC9xIBAgIGCSqGSIb3EgECAgYKKwYBBAGCNwICCqKCBQ0EggUJYIIFBQYJKoZIhvcSAQICAQBuggT0MIIE8KADAgEFoQMCAQ6iBwMFACAAAACjggQDYYID/zCCA/ugAwIBBaEfGx1BREZTVk0tQS50VFRFU1QuTU1DUk9TT0ZULkNPTaIeMBygAwIBAqEVMBMbBEhUVFAbC2FkYXR1bXN0cy03o4IDsTCCA62gAwIBF6EDAgECooIDnwSCA5syDvJHfsRntPFOoUy00/THHOA

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[MS-MWBE] — v20140502 Microsoft Web Browser Federated Sign-On Protocol Extensions

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vNljLVg2ucIR2Cpd6sWnqpzU2Sls6ZvU97TNpg9XTRs5PCzk4OJFprOh+tKC2JsU11URT
ZEhliXl/IPGGQ/tmxm8tgQ+3; path=/claims; secure; HttpOnly

Set-Cookie: _WebSsoAuth0=vPDOngOyasChyToiOY0bV9+Ba5vkc5xVY1G4slR35cD7 RUxWGxg/NLoAIdgGEVye950wd7K1848cpbNYrs1lu9g0zokt/4hr100CbXU316XCHe5nm wbHjiO9oN/Uz98ioH1EW8ZOxw4O2vuh2rXn+lzamRyvw2nBHEFM9hrZerZq2q6cEUmIsB

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kuGGu1kC4zSViwIicEU2y1Nqw2vdjqGAoA7e1xoKThMu35ess3+Po0kLHKdfeqGc11/zy mA0bXGq3jUG1uTIN3R4rtQMDjMasIXrcjDOz5irf9bmSFxqUbu5P5KGREL0cCWhIkud2s lqrbWLLZZcu7uCGYLbkiMg697aKmToEuFLuJOSmWZ0E4+XLEj0y1BheMy11yBAmp93izX p93LVH3Eg44JKxZaLs/S3ide7VWbNmH887GirrEGnAGnZ2THI6roWip05XTPLTNQBBQkX DOvgh2xgXrnYbbNXA29K0dZ+Zwujls6/4LHaqfJ+bNcpumzGPC/pi9j7vK/b/x69+bsI8T; path=/claims; secure; HttpOnly

>>> treyws-test (WS Resource)
GET /claims/Default.aspx HTTP/1.1

Cookie: WebSsoAuth=eNrNV9uSosoS/RXDeTR6wLsY3R2nAEFQUBAVfdlRQnFRqVIuIn 79LrR1unvOzOmzYx62T0WyKnPlyiSrfE5gtO+DJEFxGhJceawU8aX6V7fTQM32pv1U73bZ p5bndJ64Taf+xPVYB0EP0e6mVa0oSZIhBScpxOlLtcGynSe2+1RvWmy332z0G731GyZ+qW pJ86/RnQxv36d7YP731UX5+vKQoEu2FpSCo6SXnkkRj9iiwFTPAkB15aUn6P6d0xb15B5o YIO8hESRqHTun/EegT5DVI00PSZ5gy5zx5SukWxtnDMEqYZ+Yj9NPzf/f0fMrrHs89haUL 6HpJXyj9z0gWO+hNutJ8ky4KnZgkxEvfFaT6+qlA0IVpFj0zn73d/ROyC2ntPTKESfDFEA 3DiTkoSt5JNzIrnRfnYCcIC5f02Jd7pA9+H3q8pfYmThognIbO1esshSmK6HPlo/03bdml lf4I1lAaEPenJoUfQP08xC7Jk3sLzLLNFjnp25NOO1FxS7QXorgikTiCNHpZe1r6xAlQBJ PvVKeEwMN3Evv3FphP9eorcKMQh7TYMCXxf27af3dI9Jb/R+d3VR4EmN/pclctpZ20yVL0 +cW/J42PPCuPVbntpTqIYLgHrkuHRlL9+DI5QAd9gWT1c4wF3GfoFf6e9Sf0Z+v/4i2QKC K4XP9Z1hplTCt0lfzPMJVjkh3+LMmyfyrqcIjJCcX/bpa0DaMwTb9Ok/nldzULfUybKH4b vQ9ieZ5/z5tXPnQqsQzLMRTgJqH/rXrbhdxy9L0+CxATTL/kfXh5N6AqY0+T0EyD6Bcu60 ydLV0+obPz5NRb+Fu1wrzj80U3H5jFCXxKAli/ejKRh+LyZKrMTeWl+u1rF4XXZyuGOKEn $\verb|b5S8W/9/PBA+oT05IPcpuadzpfR1d79Qh31PTgx9eub+E50eGt1c3LrGxS37XJsQ1FOHY3| \\$ CcYXGs13G6z6YOPfHeI5+Zh7Z0/b4XHtW7AbEoL4iJrQsO19G8FWPjEOXucHh2zoXm6b12 Fy4necauw8UiWlmtq7NkkLbbLpVeMrLV4Q4m7cxVetM4thbpOVoyu1Yuadla4HusGhSzAd 2FKfZCLMUsAu6wPU7X22jbHKlmzjrzXXTW4GEqNm1pxm2M5BwYG7VptxL2NLnwh5odKbY6 VSdb7eWWyDvyzyNU3LKy2ywnwhTeVkJ5Z/PKo4sONkUR2a0ggPjog1zhga+M5JakNn1Q/g $\verb|bnC9B5f3cMdqHM5SwPjLkERLDWTCeXjJW4MAxxkLfnUN4Xa4kPHGy25xF3ckWApJzN9S1o|\\$ apZSaNYg10QVXm2Xu0252jTeOA+2wOB9fcEDzRJss1jb+4s71FL9IvD4Jw4DCYAJvzVA7q 92qr8aqCxWOnquqNAfBkatmOb8dCeqlSXNQTJhpJpS4HRyYepDcLRqeqbl3qlFNNyLC21s KWtuNEensSYtJj14KfY1enUNJyPNWY4XE9UeTlIG50tna7enEnbcoYGXaq0xDLRY2XhmNs 8u3obNxpYxOp6QvXK9sXoYAVjUzsZx0Nm0PXJ2FnbW0Xn1REnmAwDqRABQy31f9jTQkkF9 7or5gGdyY0DrMOiJ/OB9zoLRG1Dd6iVWEI7yTNk0RUMTaL2AL1IxfP+OFXmgCEABOZ+vpF HeEoHv5FQkZUTWSnBydJA7+bCsnclOeH41kPShmbrpZl3zOpIz8bRDgYxevZsWTUfjtSs3 1TA0DRAaO5HLHuBzTdD43vWdkhsrjYdA4pHK8Q3YMuozOZvvLpFc43dhBrnLXpPmV+wwNy faIM9nR14hAyibcsC6Q9AZF9x2bavsyl6wUGjvNljLVg2ucIR2Cpd6sWnqpzU2Sls6ZvU9 7TNpg9XTRs5PCzk4OJFpr0h+tKC2JsU11URTZEh1iX1/IPGGQ/tmxm8tgQ+3; WebSsoA uth0=vPDOngOyasChyToiOY0bV9+Ba5vkc5xVY1G4slR35cD7RUxWGxg/NLoAIdgGEVye9 50wd7Kl848cpbNYrs1lu9g0zokt/4hr100CbXU316XCHe5nmwbHji09oN/Uz98ioH1EW8Z ${\tt Oxw4O2vuh2rXn+1zamRyvw2nBHEFM9hrZerZq2q6cEUmIsBkuGGu1kC4zSViwIicEU2y1N}$ qw2vdjqGAoA7e1xoKThMu35ess3+Po0kLHKdfeqGc11/zymA0bXGq3jUG1uTIN3R4rtQMD jMasIXrcjDOz5irf9bmSFxqUbu5P5KGREL0cCWhIkud2slqrbWLLZZcu7uCGYLbkiMg697 $\verb|aKmToEuFLuJOSmWZ0E4+XLEj0y1BheMyl1yBAmp93izXp93LVH3Eg44JKxZaLs/S3ide7V| \\$ WbNmH887GirrEGnAGnZ2THI6roWip05XTPLTNQBBQkXDOvgh2xgXrnYbbNXA29K0dZ+Zwu jls6/4LHaqfJ+bNcpumzGPC/pi9j7vK/b/x69+bsI8T

<>< HTTP/1.1 200 OK

[Application specific content]

4.2 SAML 1.1 Assertion Extension

Following is a SAML assertion fragment that illustrates the message syntax of the SAML 1.1 Assertion Extension elements in the advice element, as specified in section 2.2.3.

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The raw octets of the <u>WindowsIdentifiers (section 3.1.5.2.1.5)</u> binary structure, after base64 decoding are as follows.

```
00 00 00 00 01 00 00 01 04 00 00 00 00 00 05 15 00 00 00 15 53 ED 31 BD 62 71 95 CF 42 0E 6E 06 00 00 00 F4 01 00 00 06 02 00 00 07 02 00 00 08 02 00 00 01 02 00 00 00 02 00 00
```

The octet stream is structured as follows (see section 2.2.3.2).

```
00 00 00 00 WindowsIdentifierFlags = 0
              TryLocalAccount = 0
              NoUserSid = 0
01 00 00 00 PackedSidsCount = 1 (0x00000001)
                PackedSids1
                 DomainSid
01
                   Revision = 1 (0x01)
   04
                     SubAuthorityCount = 4 (0x04)
      00 00
                      IdentifierAuthority[0..1] = \{0, 0, ...
00 00 00 05
                       IdentifierAuthority[2..5] = 0, 0, 0, 5
                       (0x05)
15 00 00 00
                      SubAuthority1 = 21 (0x00000015)
                    SubAuthority2 = 837636885 (0x31ED5315)
SubAuthority3 = 2507236029 (0x957162BD)
15 53 ED 31
BD 62 71 95
CF 42 0E 6E
                      SubAuthority4 = 1846428367 (0x6E0E42CF)
                 SubAuthority4 = 1846428
RidCount = 6 (0x00000006)
Rid1 = 500 (0x000001F4)
06 00 00 00
F4 01 00 00
                   Rid2 = 518 (0x00000206)
06 02 00 00
                   Rid3 = 519 (0x00000207)
07 02 00 00
                   Rid4 = 520 (0x00000208)
08 02 00 00
                   Rid5 = 513 (0x00000201)
Rid6 = 512 (0x00000200)
01 02 00 00
00 02 00 00
```

The SIDs encoded in the structure are as follows:

- S-1-5-21-837636885-2507236029-1846428367-500
- S-1-5-21-837636885-2507236029-1846428367-518

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- S-1-5-21-837636885-2507236029-1846428367-519
- S-1-5-21-837636885-2507236029-1846428367-520
- S-1-5-21-837636885-2507236029-1846428367-513
- S-1-5-21-837636885-2507236029-1846428367-512

5 Security

5.1 Security Considerations for Implementers

Security considerations for the Microsoft Web Browser Federated Sign-On Protocol Extensions are specified in the following subsections. Additionally, the security considerations outlined in [MS-mwbF] section 5 apply to the Microsoft Web Browser Federated Sign-On Protocol Extensions.

5.1.1 Data Integrity

Data integrity concerns, as described in [MS-MWBF] section 5.1.1, apply to the extensions specified in this document. Of particular concern are the SAML advice elements specified by the SAML 1.1 Assertion Extension. These elements are included in the SAML assertion, which is signed to prevent tampering (see [MS-MWBF] section 2.2.4.2.2).

5.1.2 Privacy

The privacy considerations in [MS-MWBF] section 5.1.5 apply to the extensions in this document. The extensions also introduce new privacy concerns.

The Query String Response Transfer Protocol is used to communicate security tokens from one party to another by using the query string of the HTTP request URL. The use of Secure Sockets Layer/Transport Layer Security (SSL/TLS) prevents the exposure of user information outside the services participating in the protocol (see [MS-MWBF] section 5.1.3); however, a GET message might provide a lesser degree of confidentiality than a POST message due to URL tracking concerns. For example, web browser requestor implementations might track URL history, or web proxy servers might log URLs.

The SAML 1.1 Assertion Extension provides a method for including SIDs in a SAML assertion. These SIDs MAY identify user identity, capabilities, or affiliations. For this reason, SIDs SHOULD NOT be included indiscriminately; rather, their distribution SHOULD be limited to specific relying parties.<a><23>

5.1.3 Authorization Validation and Filtering

When processing SIDs from an IP/STS, relying parties must ensure that the IP/STS is authorized to issue SIDs that fall under a particular set of subauthorities. This is similar to namespace collision concerns with UPN and EmailAddress claims (as specified in [MS-MWBF] section 5.1.6).<24>

5.2 Index of Security Parameters

Because the Microsoft Web Browser Federated Sign-On Protocol Extensions is an authentication protocol, the security details are in the message processing rules section.

| Security parameter | Section |
|-----------------------|----------------|
| WindowsUserIdentifier | 2.2.3.1 |
| WindowsUserName | <u>2.2.3.1</u> |
| WindowsIdentifiers | <u>2.2.3.1</u> |

6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Windows 2000 operating system
- Windows XP operating system
- Windows Server 2003 operating system
- Windows Vista operating system
- Windows Server 2008 operating system
- Windows 7 operating system
- Windows Server 2008 R2 operating system
- Windows 8 operating system
- Windows Server 2012 operating system
- Windows 8.1 operating system
- Windows Server 2012 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

<1> Section 1.5: The Windows implementation of the SAML 1.1 Assertion Extension is integrated with Windows Active Directory. All SIDs that are transmitted in the SAML assertion correspond to Active Directory accounts. In order to transmit SIDs between a requestor IP/STS and a resource IP/STS that reside in different forests, the requestor IP/STS forest must be a trusted forest of the resource IP/STS forest.

<2> Section 1.5: Local configuration is used to modify several of the Windows behaviors described in this document. In all cases, the local configuration must exist before the protocol is initiated. Specific instances where local configuration is used are listed below.

By default, an IP/STS does not issue security tokens with SIDs (see section 3.1.5.2). The issuance of SIDs (that is, including the <u>WindowsUserIdentifier (section 3.1.5.2.1.3)</u>, <u>WindowsUserName (section 3.1.5.2.1.4)</u>, and <u>WindowsIdentifiers (section 3.1.5.2.1.5)</u> elements in issued SAML assertions) can be enabled for specific relying parties by using local configuration.

By default, when a user authenticates to a resource IP/STS by using a security token from a requestor IP/STS (see section 3.1.5.2), any SIDs in the SAML assertion are ignored (that is, the WindowsUserIdentifier (section 3.1.5.2.1.3), WindowsUserName (section 3.1.5.2.1.4), and WindowsIdentifiers (section 3.1.5.2.1.5) elements). Processing these SIDs (as described in section

3.1.5.2) can be enabled for specified requestor IP/STSs using local configuration. In this case, the local configuration also specifies a Windows **domain** associated with the IP/STS, so that SID filtering may be performed (as specified in section 5.1.3).

When a user authenticates to a resource IP/STS by using a security token from a requestor IP/STS (as specified in section 3.1.5.2), claims can be mapped to SIDs based on local configuration. In this case, the local configuration also specifies the desired value of the TryLocalAccount flag (as specified in WindowsIdentifierFlags Structure (section 2.2.3.2.1)).

A special algorithm is used to determine when the Query String Response Protocol is used (as specified in section 3.2.5.1.1). This algorithm uses local configuration to force the use of the protocol and for the FileExtensionBypassList flag.

<3> Section 1.6: All Windows behavior documented in this specification applies to Windows Server 2003 R2, Windows Server 2008, Windows Server 2008 R2, Windows Server 2012, and Windows Server 2012 R2. The Windows behavior does not apply to Windows Vista, Windows 7, Windows 8, or Windows 8.1 because the software that implements the protocol extensions described in this document is included only as part of Windows Server.

<4> Section 1.9: The following URIs are used as local assignments in fields specified by the SAML 1.1 Assertion Extension: "urn:federation:activedirectory" and "urn:microsoft:federation".

<5> Section 2.2.3.1: Support for this field is conditional, as specified in CookieInfoHash Element (section 3.1.5.2.1.2).

<6> Section 2.2.3.1: WindowsUserName Element (section 3.1.5.2.1.4) specifies how Windows constructs the WindowsUserName value.

<7> Section 3.1.1.1.1: The pending result is maintained in secure session cookies written using Set-Cookie headers (as specified in [RFC2965]), which are added to the HTTP 302 response to the web browser requestor.

<8> Section 3.1.1.1.2: The recommended value is used.

 \leq 9> Section 3.1.2: There are no new timers. The pending result is stored by using secure session cookies (see section 3.1.1.1.1).

<10> Section 3.1.5.2.1.1: The IP/STS only includes this element when it is a resource IP/STS. The value identifies Active Directory, a **Lightweight Directory Access Protocol (LDAP)** service, or a security realm. If the user authenticated by using Active Directory, the <u>ClaimSource (section 3.1.5.2.1.1)</u> value is "urn:federation:activedirectory". If the user authenticated by using an LDAP service, the <u>ClaimSource (section 3.1.5.2.1.1)</u> value is a URI associated with the LDAP service, for example "LDAP://ldap.example.com". If the user authenticated by using a SAML assertion from a Requestor IP/STS, the <u>ClaimSource (section 3.1.5.2.1.1)</u> value is the value of the Security Assertion Markup Language (SAML) issuer. For more information about user authentication, see <u>[MS-MWBF]</u> section 3.1.5.4.3.

<11> Section 3.1.5.2.1.2: The IP/STS includes the CookieInfoHash (section 3.1.5.2.1.2) element when an authentication cookie is issued at the same time as a SAML assertion. The authentication cookie is a base64-encoded binary structure. Windows includes the base64-encoded SHA-1 hash value (as described in [FIPS180]) of the raw octets.

<12> Section 3.1.5.2.1.3: By default, the IP/STS does not include the WindowsUserIdentifier (section 3.1.5.2.1.3) element. It can be enabled for specific relying parties (see section 1.5). A requestor IP/STS populates this field only if the user authenticated by using Active Directory. A resource IP/STS populates this field only if the user authenticated by using a security token from a requestor IP/STS, in which case the value, if present, is copied from that security token.

<13> Section 3.1.5.2.1.4: By default, the IP/STS does not include the WindowsUserName (section 3.1.5.2.1.4) element. It can be enabled for specific relying parties (see section 1.5). Windows constructs the user name by including the NetBIOS domain name (as it is always available in this context) and Active Directory account name, separated by a backslash (for example, "DOMAIN\user name"). A requestor IP/STS populates this field only if the user authenticated by using Active Directory. A resource IP/STS populates this field only if the user authenticated by using a security token from a requestor IP/STS, in which case the value, if present, is copied from that security token.

<14> Section 3.1.5.2.1.5: By default, the IP/STS does not include the WindowsIdentifiers (section 3.1.5.2.1.5) element. It can be enabled for specific relying parties (see section 1.5).

A requestor IP/STS populates this field only if the user authenticated by using Active Directory. In this case, a <u>WindowsIdentifiers (section 3.1.5.2.1.5)</u> binary structure is constructed that contains a subset of the security identifiers (SIDs) associated with the Active Directory account. The subset consists of the user SIDs, the **global group** SIDs, and the **universal group** SIDs. That structure is base64-encoded and included in this field.

A resource IP/STS populates this field only if the user authenticated by using a security token from a requestor IP/STS. If the SAML assertion issued by the requestor IP/STS contains a **WindowsIdentifiers** field, its value is copied to this field. Otherwise, the resource IP/STS maps claims from the SAML assertion of the requestor IP/STS to SIDs based on local configuration (see section 1.5). If any claims are mapped to SIDs, those SIDs are encoded in a <u>WindowsIdentifiers</u> (section 3.1.5.2.1.5) binary structure with the NoUserSid flag set to 1 and the TryLocalAccount flag set based on local configuration (see section 1.5). The structure is base64-encoded and included in the **WindowsIdentifiers** field.

<15> Section 3.1.6: There are not any new timer events. The pending result is stored by using secure session cookies (see section 3.1.1.1.1).

<16> Section 3.2.1.1.1: The aggregated result is maintained in secure session cookies written using Set-Cookie headers (as specified in [RFC2965]), which are added to the HTTP 302 response to the web browser requestor.

 \leq 17> Section 3.2.2: There are no new timers. The aggregated result is stored using secure session cookies (see section 3.2.1.1.1).

<18> Section 3.2.5.1.1: A special algorithm (described later) determines whether to use the Query String Response Transfer Protocol or not.

The algorithm is presented in pseudocode.

Let KnownClients be a constant set composed of the following strings, which specify **user agent** string fragments that are known to identify user agents that do not support scripting:

- "Microsoft FrontPage"
- "Microsoft Office"
- "Test for Web Form Existence"
- "Microsoft Data Access Internet Publishing Provider"
- "Microsoft-WebDAV"

Let FileExtensionBypassList be a set of strings retrieved from local configuration (see section $\underline{1.5}$) that identify file name extensions.

The algorithm is as follows.

```
IF local configuration forces Query String Response Transfer
Protocol

OR User-Agent is empty
OR User-Agent is in KnownClients
OR NOT User-Agent contains "Mozilla"
OR NOT Http-Verb is in (GET,POST) THEN
Use Query String Response Transfer Protocol

ELSE
IF local machine state indicates Windows Sharepoint Services
AND NOT Request-URL's file extension is in
FileExtensionBypassList THEN
Use Query String Response Transfer Protocol
ELSE
Use the base protocol specified in [MS-MWBF]
END IF
```

- <19> Section 3.2.5.2: By default, the resource IP/STS ignores these fields and processes the security token as though the extension elements are absent. Their processing can be enabled for specific requestor IP/STSs (as specified in section $\underline{1.5}$). Section $\underline{3.1.5.2.1}$ specifies how the resource IP/STS includes the fields. The web service resource uses the fields to provide authorization services to a web-based application on the same machine by using methods that are outside the scope of this protocol.
- \leq 20> Section 3.2.6: There are no new timer events. The aggregated result is stored by using secure session cookies (as specified in section 3.1.1.1.1).
- <21> Section 3.3.1: Internet Explorer supports the use of session and persistent HTTP cookies (for more information, see [RFC2965]). The Windows implementation of this protocol requires that web browser requestor support at least session cookies. It uses persistent cookies to preserve security realm identifiers if they are supported by the web browser requestor.
- <22> Section 3.3.5: The Internet Explorer in Windows XP, Windows Server 2003 R2, Windows Vista, Windows Server 2008, Windows 7, Windows Server 2008 R2, Windows 8, Windows Server 2012, Windows 8.1, and Windows Server 2012 R2 always passes protocol messages through unaltered. The RMS 2.0 client in Windows Vista SP1, Windows Server 2008, Windows 7, Windows Server 2008 R2, Windows 8, Windows Server 2012, Windows 8.1, and Windows Server 2012 R2 adds a whr parameter to the wsignin 1.0 Request Message (section 2.2.3) if the wsignin 1.0 Request Message does not already contain a whr parameter.
- <23> Section 5.1.2: The inclusion of SIDs can be enabled for specific relying parties (as specified in section 1.5).
- <a href="<><24> Section 5.1.3: A relying party that accepts security identifiers from an IP/STS in another security realm performs SID filtering based on local configuration that associates the IP/STS with a Windows domain (as specified in section $\underline{1.5}$). For more information about SID filtering, see [MS-PAC] section 4.1.2.2.

7 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

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