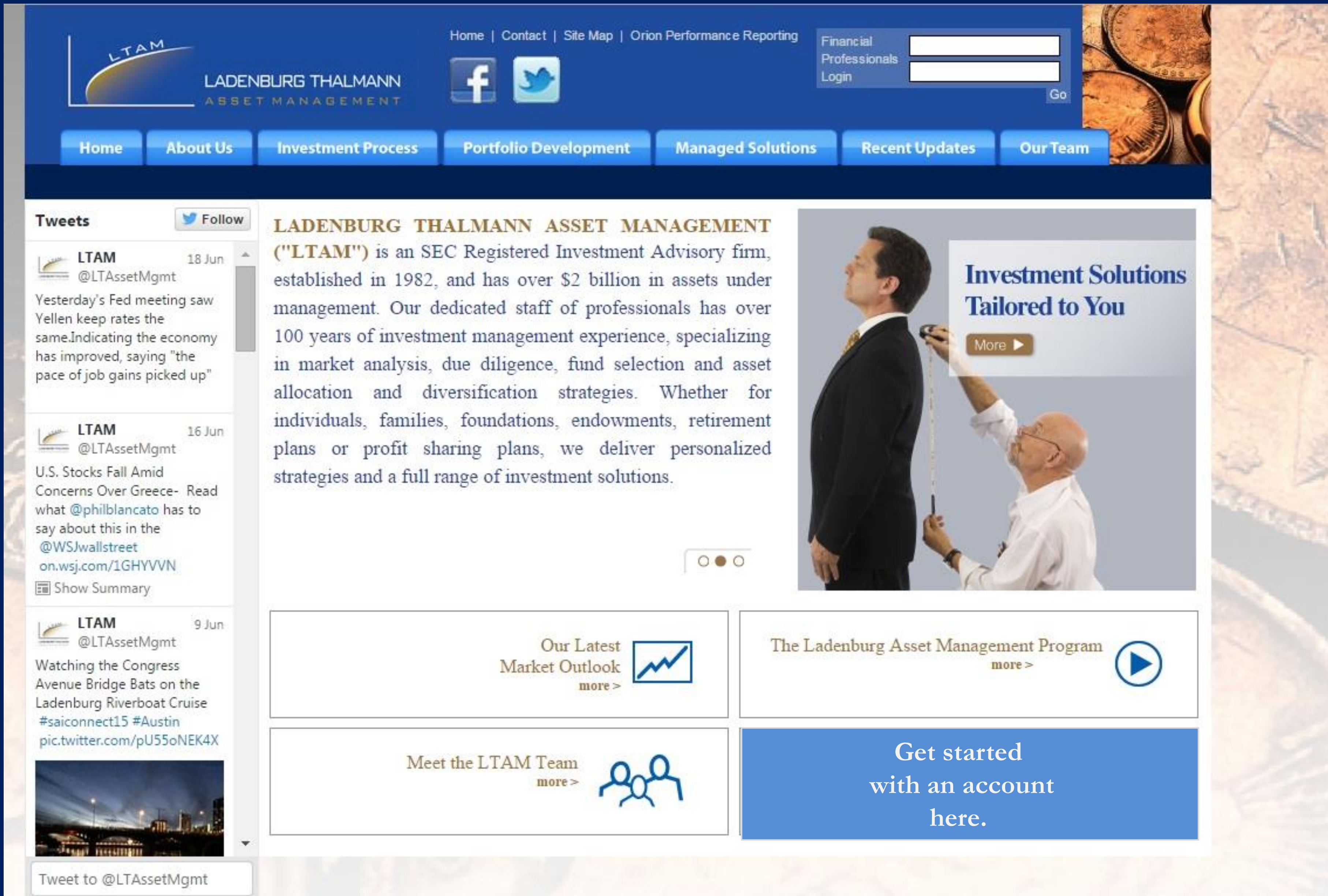
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Yesterday's Fed meeting saw Yellen keep rates the same.Indicating the economy has improved, saying "the pace of job gains picked up"
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U.S. Stocks Fall Amid Concerns Over Greece- Read what @philblancato has to say about this in the @WSJwallstreet on.wsj.com/1GHYVVN
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Watching the Congress Avenue Bridge Bats on the Ladenburg Riverboat Cruise #saiconnect15 #Austin pic.twitter.com/pU55oNEK4X
Tweet to @LTAssetMgmt
LADENBURG THALMANN ASSET MANAGEMENT ("LTAM") is an SEC Registered Investment Advisory firm, established in 1982, and has over $2 billion in assets under management. Our dedicated staff of professionals has over 100 years of investment management experience, specializing in market analysis, due diligence, fund selection and asset allocation and diversification strategies. Whether for individuals, families, foundations, endowments, retirement plans or profit sharing plans, we deliver personalized strategies and a full range of investment solutions.
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INVESTMENT PROCESS

The underlying foundation of our investment philosophy is the construction of a globally diversified portfolio based on strategic asset allocation. Strategic asset allocation is a strategy that divides up a portfolio among major asset classes (equities, bonds, cash equivalents, and alternative investment vehicles) in proportions that are consistent with an investor's long-term financial goals and objectives, establishing a "base policy mix". This mix of assets is based on expected rates of return and risk for each asset class.

At Ladenburg Thalmann Asset Management, we construct and maintain our core asset allocations through the use of both fundamental and quantitative data. When determining an asset allocation, we review the last ten years of data history with the mindset that the last decade is more relevant to the anticipated decade as opposed to using the entire history of the market. We employ a stringent due diligence process in selecting what we believe are the best possible investment solutions and an unwavering objectivity enforces accurate positioning in portfolios, to achieve long-term investment goals.

Studies examining investment portfolios over time found that asset mix — the combination of money market, income and growth investments — accounts for more than 90% of a portfolio's return over the long term. In other words, the allocation of investments to each asset class is far more important than the selection or timing of individual investments. Although the mix between equities and fixed income, broadly defined, is typically the most important asset allocation decision, proper diversification requires that a portfolio be allocated among several distinct asset classes including alternative investments. Within the broad equity asset class, examples of "sub-asset classes" would include large capitalization equities, small capitalization equities, domestic equities

Invest with us today!

Get Started Quickly with Virtual Investment Experience

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You have entered

LTAM's

Virtual Investment Experience

Clients have trusted Ladenburg Thalmann Asset Management to manage over $2 billion of their assets.

See what LTAM has to offer by answering a few short questions to help us build you a risk managed portfolio customized to your financial needs.

Get Started

You are leaving the website of individual(s) who are registered representatives of an affiliate of LTAM and have entered the LTAM Robo Website. A referral fee will be paid by LTAM to the individual(s) of the affiliate for referring you to this site.

1

My name is _____ _____.

I am _____ years old.

I plan on investing $________

(Minimum of $500)

Next

2

Which of the following best reflects your investment goals?

- ❑ Maximizing current income

  Great! We'll create an income driven portfolio with low return and no risk to portfolio value.

- ❑ Emphasizing income with some potential for growth

  Nice! Ladenburg offers portfolios that are income focused with low return, but with some fluctuation in the portfolio value.

- ❑ Emphasizing growth with some potential for income

  Awesome! We have designed portfolios with growth objectives that offer moderate return, but with moderate swings in the portfolio value.

- ❑ Growth

  Okay! We can build you a portfolio with an emphasis on growth.

- ❑ Maximizing growth

  Super! Ladenburg strives to build portfolios that will maximize our clients growth of capital with high return, but with large swings in the portfolio value.

Back Next

Your Risk Tolerance

Growth

Low High

3

How long do you plan on keeping this account invested?

12 ½ Years

Back

Next

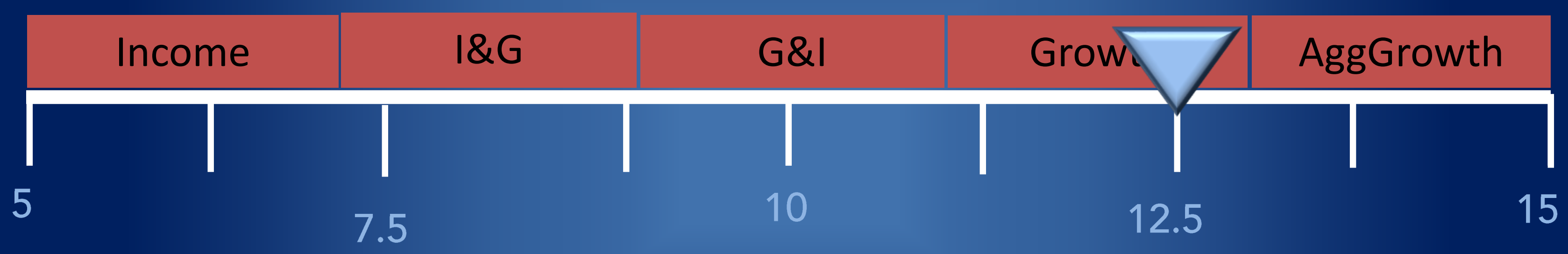
Your Risk Tolerance

Low

Growth

High

Not a Question. Visual of how we would show where the answer for question 3 would fall within the algorithm.



4

Based on your initial investment of $5,000. What is the largest loss you could comfortably tolerate over a 1-year timeframe?

- ❑ $0 — 0%
- ❑ -$250 — -5%
- ❑ -$500 — -10%
- ❑ -$750 — -15%
- ❑ -$1,000 — -20%

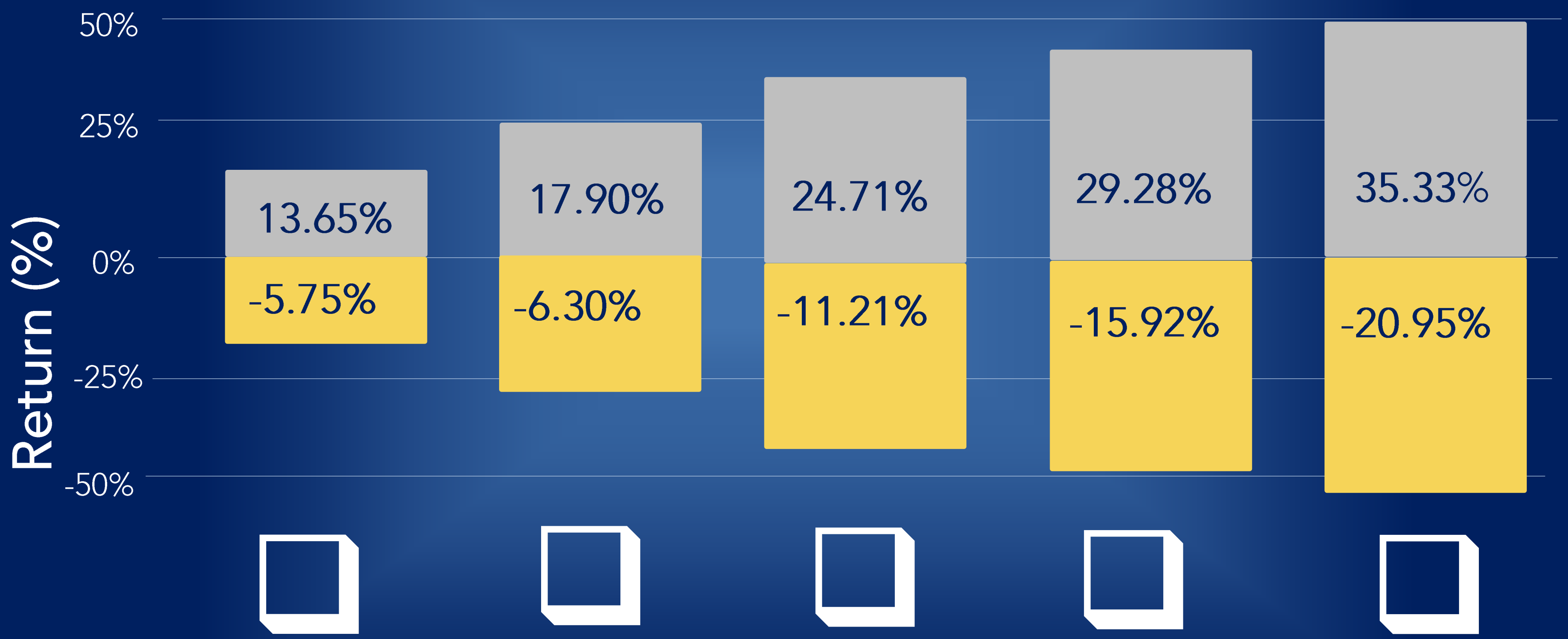
Back Next

Your Risk Tolerance

Low — Growth — High

5

Assuming you invest for 10 years, which of the following range of returns are you most comfortable with?

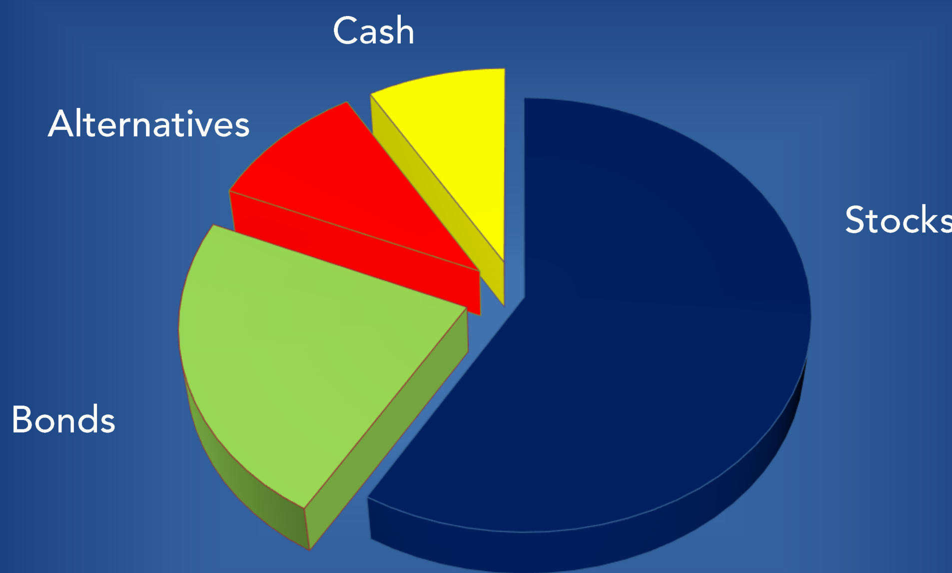


Back | Next

Your Risk Tolerance: Low — Growth — High

6

Your risk tolerance is measured by the ability to accept fluctuations in returns. Your risk tolerance is:



More Bonds

More Stocks

Low | Moderate | Moderately High | High | Very High

Back

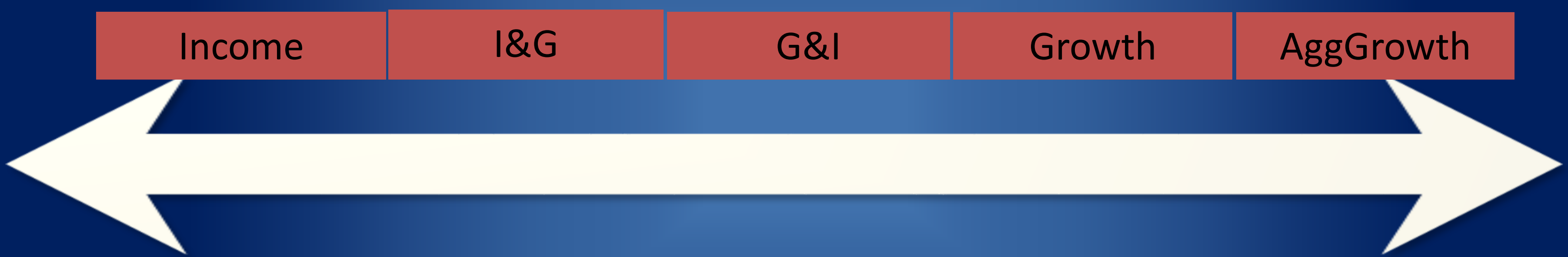
Next

Your Risk Tolerance

Growth

Low High

Not a Question. Visual of how we would show where the answer for question 6 would fall within the algorithm.



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Thank you Valued Client!

Please wait a moment while we compile your results and find the optimal portfolio for your financial needs.

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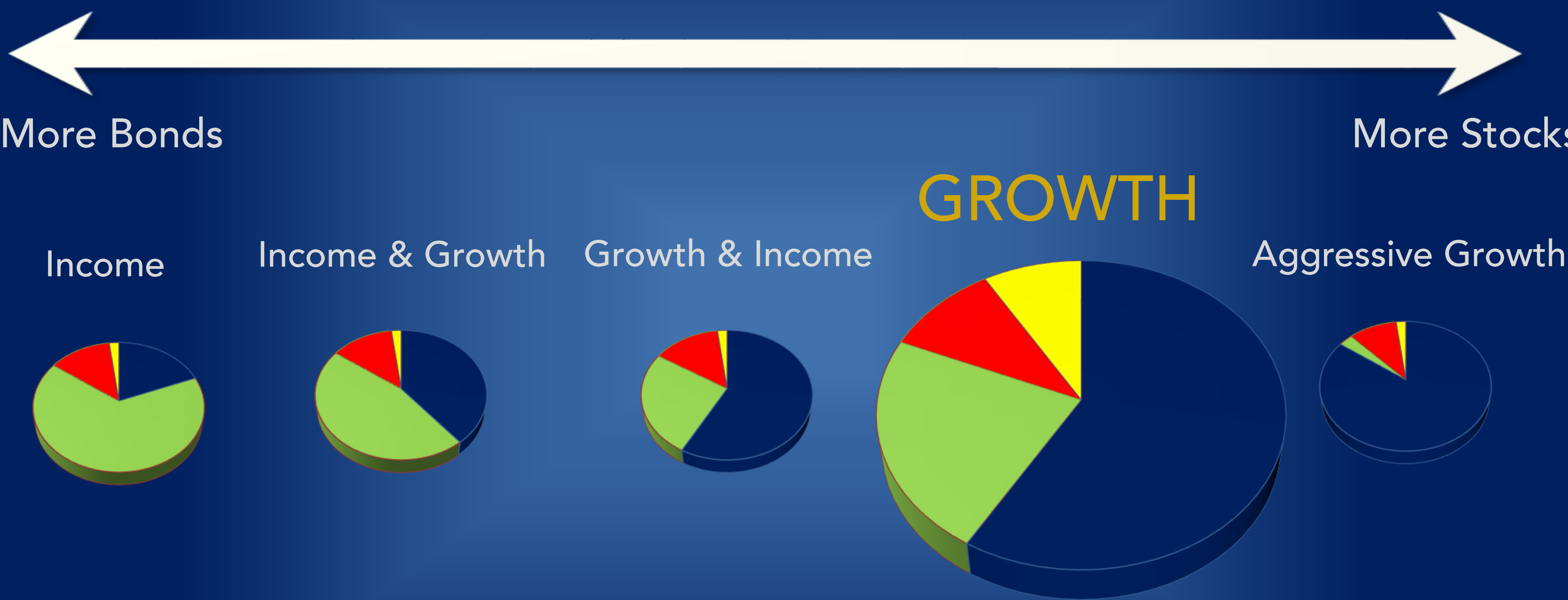
Based on your answers, your recommended objective is:

GROWTH

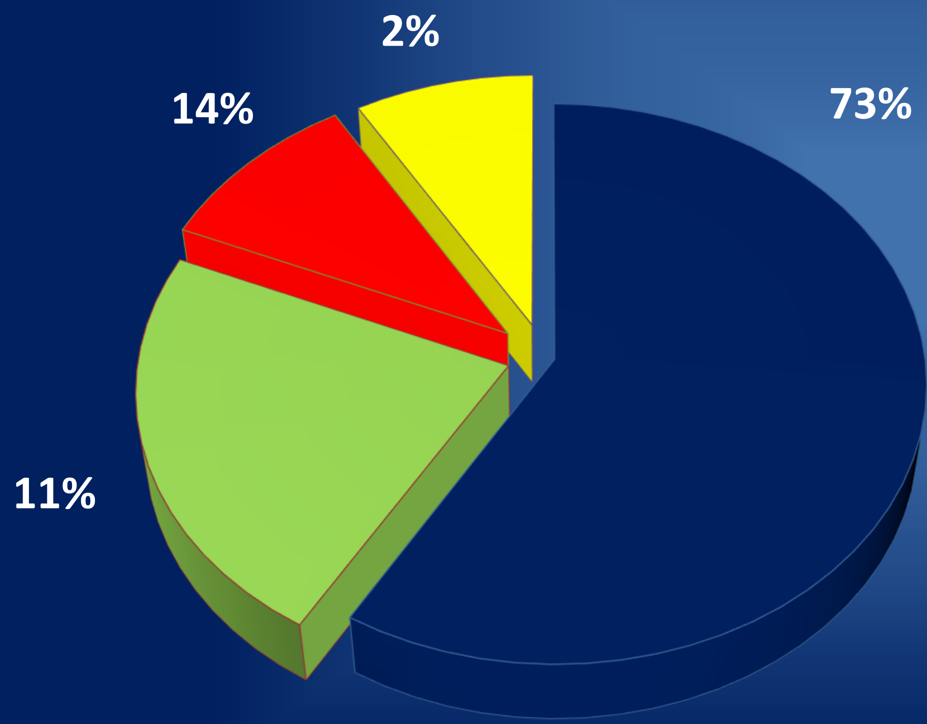


Go Back
This is not the right portfolio for me

Next
For Information about current holdings and previous performance

Proposed Allocation:
GROWTH

Objective: The primary objective of this portfolio is long-term growth of capital with volatility below that of the stock market.



Asset Class	**Allocation**
Equity	73%
Fixed Income	11%
Alternatives	14%
Cash	2%

Proposed Allocation:
GROWTH

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Equity		Fixed Income		Alternatives		Cash	
Large Value	14%	Intermediate Debt	3%	Commodities	4%	Money Market	2%
Large Growth	13%	Short Term Debt	4%	Market Neutral	4%		
Mid Value	11%	Floating Rate	4%	Long/Short	6%		
Mid Growth	10%	Intermediate Debt	3%	Commodities	4%		
Small Value	4%	Short Term Debt	4%				
Small Growth	5%	Floating Rate	4%				
International	10%						
Small Cap	3%						
Emerging Markets	3%						

Performance:
GROWTH

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Calendar Year Returns

	2015 YTD	2014	2013	2012	2011
Gross of Fees	4.02	7.09	15.03	13.29	-1.72
S&P 500 TR USD	3.23	13.69	32.39	16.00	2.11
Barclays US Govt/Credit Interm TR USD	1.42	3.13	-0.86	3.89	5.80

	2010	2009	2008	2007	2006	Since Inception (3/2005)
Gross of Fees	12.91	23.97	-26.88	8.01	13.91	6.90
S&P 500 TR USD	15.06	26.46	-37.00	5.49	15.79	7.85
Barclays US Govt/Credit Interm TR USD	5.89	5.24	5.08	7.39	4.08	4.17

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Now that you have determined your investment profile,

OPEN

your account

Account Type

Your Profile

Fund Your Account

Read Disclosure

Review and Purchase

START

Select Your Account

1 2 3 4 5

Choose Account Type

Regular Account:

- [x] Individual
- [ ] Joint Tenant
- [ ] Uniform Gift To Minor (UGMA)/Uniform Transfer To Minor(UTMA)

Retirement Account:

- [ ] Traditional IRA
- [ ] Roth IRA

The following are some account types that are not available to open online.

- IRA Transfers or Rollovers
- Trust, Partnership, or Corporation
- Any account which cannot be funded electronically during the online application process

Please click here for available forms to print, fill out and mail to the fund. Please contact the fund toll-free at 1.866.271.9244 with any questions in setting up any of these other account types.

Back Next

Enter Your Personal Information

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1 2 3 4 5

Personal Information

FIRST NAME: John
MI: R
LAST NAME: Smith

ADDRESS (LINE1) P.O. Box NOT allowed: 1234 Main Street

ADDRESS (LINE2):

CITY: Omaha
STATE: NE
ZIP CODE: 68137

EMAIL name@domain.com: here@there.com

PHONE NUMBER Include Area Code: 1234567890

SSN xxx-xx-xxxx: 123-45-6789

DATE OF BIRTH mm/dd/yyyy: 1/24/1977

☐ Check here if your mailing address is different then your legal address.

Back | Next

Fund Your Account

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1 2 3 4 5

Please Insert Your Investment Amount Per Fund

INITIAL AMOUNT	MIN AMOUNT	MIN AMOUNT WITH AIP	FUND NAME
$1,000.00	$1,000.00	$1,000.00	

Choose An Accounting Method For The Fund

The default cost basis calculation method for your new account will be Average Cost.

☐ Average Basis Methods

If you wish to elect a different cost basis method, please contact the fund to obtain a Cost Basis Election Form.

Capital Gains Treatment

Would you like your capital gains reinvested into the fund?

☑ Yes

☐ No, please pay all dividends and capital gains in cash. All Dividends and capital gains paid in cash will go to the address of Record on the account."

Automatic Investment Plan (AIP)

○ Yes, I want to sign up for automatic investment plan ◉ No

Back Next

Fund Your Account

LADENBURG THALMANN
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1 2 3 4 5

NAME(S) ON BANK ACCOUNT

JOHN SMITH

ABA/ROUTING NUMBER xxxxxxxxx

111111111

ACCOUNT NUMBER

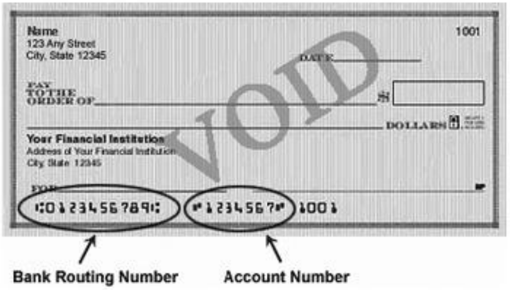
123456789

ACCOUNT TYPE

- [x] Checking
- [ ] Savings

NAME OF DEPOSITORY INSTITUTION

TEST BANK



All purchases/redemption transactions will be debited/credited from/to the bank account you list here. Please note that any redemption proceeds will be sent electronically or via bank account on record.

Back Next

Read Important Disclosures & Information

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1 2 3 4 5

1. That you consent to, and have read in electronic format the following documents:

- Prospectus
- Consumer Disclosure Statement
- Online Application Agreement
- Additional Application Agreement and Disclosure Statement
- IRA Custodial Account Agreement and Disclosure Statement
- Roth IRA Custodial Account Agreement and Disclosure Statement
- Online IRA Account Application Agreement

2. You have demonstrated and verified that you are able to view the above Agreements and Disclosures by clicking on the hyperlinks.

By checking on "I Consent" below, you signify:

☑ I Consent

- ❑ Solicitor Disclosure
- ❑ LTAM Program Brochure
- ❑ Fund Prospectus

Back Next

Read Important Disclosures & Information

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1 2 3 4 5

Additional Application Information

1. You may not revoke or change your order after you have submitted it.
2. All Online Account Applications received by the Fund are subject to review and verification. Please keep in mind that the Fund may reject your Online Account Application for any reason in its sole discretion. The online acknowledgments or other messages that appear on your screen do not mean that your Application has been accepted or rejected by the Fund. These acknowledgments are only an indication that the information entered by you has either been transmitted to the Fund, or that it cannot be transmitted.
3. The Fund prices its shares at the close of business of the New York Stock Exchange (typically, 4:00 PM Eastern Time) on each day the Exchange is open for trading. You will receive the net asset value next determined after your order is received and accepted by the Fund. Because information travels at unreliable speeds on the Internet, the Fund cannot provide a cut-off time by which you can authorize a purchase and ensure that it will be processed on that day. The confirmation you receive from the Fund will display the time and date your order was received.
4. The Internet is not infallible. The Fund and its agents are not liable for any computer failures, technical difficulties with servers or other problems that would affect the transmission or receipt of your order and disclaim all liability for orders not received. If you are experiencing any difficulty with this Online Account Application, please call

Once you have submitted your online account application and it has been reviewed and accepted and the transfer agent has made the initial purchase into your account you will receive an email containing information about your account, as well as information regarding online account access. You will also receive a confirmation statement by mail of your initial investment.

Additional Application Information

Your order is not complete until you click the Submit Button:

By clicking "Submit" you understand and agree that this application is a binding contract and that by submitting this application via the internet to Gemini Fund Services, LLC is the legal equivalent of submitting a document signed by hand, and you are giving you consent to open this account and giving your consent to have Gemini Fund Services, LLC deduct a total of $1,000.00 from your ACH Bank Information Listed Above.

Back Next

Review & Purchase

LADENBURG THALMANN
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1 2 3 4 5

APPLICATION COMPLETE

Congratulations! You have completed the online new account sign up process. Your reference number is 8563303. If you have any questions regarding this transaction or if you do not receive confirmation of this transaction, please contact the fund toll-free at

Please select the View Application button below to open a printable PDF version of the online account application that you have submitted. Please print or save this documents for your records.

View Application