

Part 2B of Form ADV: Brochure Supplement

Tradition Capital Management LLC

129 Summit Avenue

Summit, NJ 07901

908-598-0909

This Part 2B of Form ADV ("Brochure Supplement") provides information about each of Tradition Capital Management's employees who formulate investment advice and/or has discretionary authority over Client assets or direct Client contact ("Supervised Persons").

Supervised Persons:

Marc Davis, CFA ¹
Stephen Ely
Frani Feit, CFP®²
Benjamin C. Halliburton, CFA
Adam Levy
Michael C. Provine, J.D., LL.M.³
Alan J. Reef
Christopher J. Trompeter, CFA
Denis M. Turko
Jeffrey Welch
Casey (Chip) Wieczorek, Jr, CFP®

Date of this Brochure Supplement: October 15, 2016

This Brochure Supplement provides information about Tradition Capital's Supervised Persons that supplements Tradition Capital Management's Firm Brochure. You should have received a copy of that Brochure. Please contact Michael C. Provine, J.D. LL.M, Managing Director if you did not receive Tradition Capital's Brochure or if you have any questions about the contents of this supplement. Additional information about Tradition Capital Management is available on the SEC's website at www.adviserinfo.sec.gov.

¹ See the last page of this Brochure Supplement for Information about the qualifications required to obtain and maintain the Chartered Financial Analyst ("CFA") designation.

² See the last page of this Brochure Supplement for Information about the qualifications required to obtain and maintain the Certified Financial Planner™ ("CFP®") designation

³ See the last page of this Brochure Supplement for Information about the qualifications required to obtain a J.D. and LL.M.

Educational Background and Business Experience

Marc Davis, CFA **Equity Research Analyst**

Columbia College, BA, 1985

New York University School of Law, JD, 1989

Chartered Financial Analyst Designation, 2000

Year of Birth: 1963

- Tradition Capital Management, LLC, Since 2002
 - Senior Vice President
 - Vice President
- Brundage, Story, & Rose, LLC, 1998-2000
 - Research Associate
- Precision Acoustics Industries, 1985-1986, 1989-1998, 2000-2002
 - Vice President

Stephen Ely **Portfolio Manager**

Princeton University, BA, 1961

Attended New York University, Graduate School of Business Administration

Year of Birth: 1939

- Tradition Capital Management, LLC, Since 2009
 - Managing Director
- Haven Capital Management, LLC, 1983-2009
 - Managing Director

Frani Feit, CFP® **Managing Director, Investment and Planning**

George Washington University, BBA 1984

Certified Financial Planner™ Designation, 2011

Year of Birth: 1962

- Tradition Capital Management, LLC, Since 2015
 - Senior Vice President, Private Client Group
- Candor Wealth Advisors, LLC, 2013 - 2015
 - Senior Vice President
- Graf Financial Advisors, LLC, 2006 – 2013
 - Financial Planner

Benjamin C. Halliburton, CFA
Chief Investment Officer and Portfolio Manager

Vanderbilt University, magna cum laude, BS, 1985

Duke University, Fuqua Scholar, Fuqua School of Business, MBA, 1990

Chartered Financial Analyst Designation, 1994

Year of Birth: 1963

- Tradition Capital Management, LLC, Since 2000
 - Member/Chief Investment Officer
- Candor Wealth Advisors, LLC, 2008 - 2015
 - Member/Chief Investment Officer
- Pantheon Investments, LLC, 1999-2000
 - Principal/Portfolio Manager, 1999-2000
- Brundage, Story, & Rose, LLC , 1990-1999
 - Principal
 - Research Director
 - Portfolio Manager

Adam Levy
Managing Director of Fixed Income

University of Pennsylvania, Wharton School, BS, 1994

MIT, Sloan School of Management, MBA, 2000

Year of Birth: 1971

- Tradition Capital Management, LLC, Since 2015
 - Managing Director
 - Vice President
- Overbrook Management Company, 2003-2012
 - Vice President

Michael C. Provine, J.D., LL.M.
Chief Compliance Officer and Private Client Group Head

St. Joseph's University, BS, 1973

Temple University, School of Law, JD, 1976

Temple University, School of Law, LL.M. (Taxation), 1986

Year of Birth: 1952

- Tradition Capital Management, LLC, Since 2000
 - Member/Chief Compliance Officer/Private Client Group Head
- Candor Wealth Advisors, LLC, 2008 - 2015
 - Member/Chief Executive Officer/Chief Compliance Officer
- Bessemer Trust Company, 2000
 - Client Account Manager
- Brundage, Story, & Rose, LLC , 1991-2000
 - Senior Vice President

Alan J. Reef
Portfolio Manager

University of Pennsylvania, Wharton School, BS, 1978

New York University, with Distinction, The Stern School of Business, MBA, 1980

Year of Birth: 1956

- Tradition Capital Management, LLC, Since 2012
 - Managing Director
- Overbrook Management Company , 2001-2012
 - President, CEO, and Chief Investment Officer

Christopher J. Trompeter, CFA
Chief Operating Officer and Senior Portfolio Manager

St. Lawrence University, BA, 1978

Chartered Financial Analyst Designation, 1989

Year of Birth: 1956

- Tradition Capital Management, LLC, Since 2000
 - Member/Chief Operating Officer
- Candor Wealth Advisors, LLC, 2008 - 2015
 - Member
- Brundage, Story, & Rose, LLC, 1994-2000
 - Senior Portfolio Manager

Denis Turko
Portfolio Manager

Yale University, BS, 1960

Columbia University, Columbia Business School, MBA, 1961

Year of Birth: 1938

- Tradition Capital Management, LLC, Since 2009
 - Managing Director
- Haven Capital Management, LLC, 1983-2009
 - Managing Director

Jeffrey Welch
Head Trader

Rutgers University, BS, 2007

Year of Birth: 1983

- Tradition Capital Management, LLC, Since 2010
 - Vice President
 - Portfolio Assistant
- Candor Wealth Advisors, LLC, 2011-2013
 - Wealth Administrator
- AXA Equitable, 2007-2009
 - Financial Planner

Casey (Chip) Wieczorek, Jr, CFP® Senior Vice President

Rutgers University, BA 1996

Certified Financial Planner™ Designation, 2011

Year of Birth: 1973

- Tradition Capital Management, LLC, Since 2015
 - Senior Vice President
- Beacon Trust Company, 2009-2014
 - VP – Wealth Advisor
- Smith Barney , 2006-2009
 - Financial Advisor

Disciplinary Information

There are no legal or disciplinary events relating to any of Tradition Capital Management's Supervised Persons.

Additional Compensation

None of Tradition Capital Management's Supervised Persons receive economic benefits from any third parties as compensation for providing investment advisory services.

Supervision

Messrs. Benjamin Halliburton, Christopher Trompeter and Michael Provine ("Members"), are responsible for supervising each of the Supervised Persons' advisory activities on behalf of the Firm.

Tradition Capital Management consists of professional investors seeking the best risk-adjusted investments for our clients' capital. Investment management assets are primarily allocated among individual debt and equity securities in accordance with the investment objectives of the client.

Tradition Capital Management employs a system of controls to ensure that the investment advice provided to clients is consistent with the firm's philosophy and investment policy while meeting the investment objectives of the client, or the objectives of the client's GIPS (for private client group clients).

For those clients to whom Tradition provides investment management services, account reviews are conducted on an ongoing basis by Tradition's Members and appropriate Supervised Persons. Factors involved in reviews of accounts may include, but are not limited to: available cash to invest, equity allocation (percentage) objectives, tax constraints, cash usage constraints and objectives imposed by, or existing with, clients, individual holding purchase or sale decisions, client-imposed purchase restrictions, client-directed purchases or

sales, and research directed toward investments to be broadly selected for clients depending upon other factors above.

Global investment strategy and client review generally occur at least monthly with more frequent meetings depending on the pace or frequency of new asset class or new equity selections, economic or market conditions, or depending on other investment issues which are appropriately discussed at in-office meetings. Client account reviews occur on a frequency dependent on the formulation of the client's GIPS (for private client group clients) and Tradition's personnel's knowledge of the client account factors noted above. Tradition also endeavors to meet with clients at times and places of mutual convenience in order to more comprehensively review investments, performance, and client directions related to respective accounts, on an as-needed basis. Accounts are reviewed (individually, per client, or in a macro sense regarding security selection, involving a broad base of clients), individually or collectively, by some or all of the Tradition personnel.

CFA - Chartered Financial Analyst

Issued by: [CFA Institute](#)

The Chartered Financial Analyst (CFA) charter is a globally-respected, graduate level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals.

Prerequisites/Experience Required:

Candidate must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)

Educational Requirements: Self-study program (250 hours of study for each of the 3 levels)

Examination Type: 3 course exams

Continuing Education/Experience Requirements: None

CFA Institute Code of Ethics and Standards of Professional Conduct: The designee must adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct: a) placing their clients' interests ahead of their own, b) acting with integrity, and c) maintaining independence and objectivity.

J.D. – Juris Doctor

The J.D. (Juris Doctor) is a professional degree which prepares practitioners through a scientific approach of analyzing and teaching the law through logic and adversarial analysis.

Educational Requirements/Examination Type:

- To obtain a J.D. the candidate must complete:
 - a four year undergraduate degree.
 - at least three full time academic years of intensive study of the substantive law and its professional applications. The program provides sufficient training for entry into practice (to sit for the bar exam).

LL.M. – Master of Laws

The LL.M. (Master of Laws) is an internationally recognized postgraduate law degree usually obtained by completing a one-year full-time program. Law students and professionals frequently pursue the LL.M. to gain expertise in a specialized field of law.

[Educational Requirements/Examination Type:](#)

- The LL.M. program requires:
 - the Juris Doctor (J.D.) degree
 - Programs are varied in their requirements for degree completion; some require students to write a thesis, others do not. Some programs are research oriented with little classroom time, while others require students to take a set number of classes.

CFP – Certified Financial Planner™

Issued by: Certified Financial Planner Board of Standards, Inc.

The CFP® certification process, administered by CFP Board, identifies to the public that those individuals who have been authorized to use the CFP® certification marks in the U.S. have met rigorous professional standards and have agreed to adhere to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism and diligence when dealing with clients.

Prerequisites/Experience Required:

Candidate must meet the following requirements:

- a bachelor's degree (or higher), or its equivalent, in any discipline, from an accredited college or university,
- Three years of full-time relevant personal financial planning experience, or (effective September 1, 2012) two years of personal delivery experience that meets additional requirements, is required.

Educational Requirements: A course of study in financial planning covering major planning areas such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Examination: CFP® Certification Examination which assesses the ability to apply financial planning knowledge (based on the areas cited above), in an integrated format, to financial planning situations.

Continuing Education: 30 hours of CE every two years

Certified Financial Planner Board Code of Ethics and Standards of Professional Conduct: CFP Board has established specific character and fitness standards for candidates for CFP® certification to ensure that an individual's prior conduct would not reflect adversely upon the profession or the CFP® certification marks.