#### Measuring the Effect of Culture on Usage of Encrypted Communication in India

Ashish Gokarnkar\* ashish.gokarnkar@iitkgp.ac.in Indian Institute of Technology Kharagpur, 18IM30027 Nandini Bajaj nandinibajaj@iitkgp.ac.in Indian Institute of Technology Kharagpur, 18CY20020



Figure 1: Usability in Encrypted Communication

#### **ABSTRACT**

After quantifying culture as per the Hoftstede's 5 dimensional framework[7], this paper measures the usage of encrypted communication by the Unified Theory of Acceptance and Use of Technology model proposed by Venkatesh et al.[18]. This paper is first of its kind to measure the impact of social influence on a very broad scale including the impact of friends, family, and gender. We used Cronbach's alpha to measure the reliability of responses and find that the responses perfectly fit the requirements and our study design has good satisfying performance. For measuring the overall impact, both quantitative and qualitative data has been gathered in terms of application preference and corresponding reasons for it. Based on our analysis, we analyze correlations between the variables of

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

CS60081, September 30, 2020, IIT Kharagpur © 2020 Association for Computing Machinery. ACM ISBN Term Project...\$15.00 https://doi.org/CS60081 these 2 models and cite limitations that we faced to help mitigate risks and provide directions for future research.

#### **KEYWORDS**

encrypted communication, culture, social influence, measurement

#### **ACM Reference Format:**

Ashish Gokarnkar and Nandini Bajaj. 2020. Measuring the Effect of Culture on Usage of Encrypted Communication in India. In *Usable Security and Privacy, September 30, 2020, Department of Computer Science and Engineering, IIT Kharagpur*. ACM, New York, NY, USA, 10 pages. https://doi.org/CS60081

#### 1 INTRODUCTION

Cryptography was once the realm of academics, intelligence services, and a few cybersecurity enthusiasts who sought to break the monopoly on that science of secrecy. Today, the enthusiasts have won: Encryption is everywhere. It's easier to use than ever before. In fact, secure communications are now not only attainable but perhaps even the new default. Still, effective encryption doesn't always just happen, especially once one moves beyond basic messaging. Our study focuses on the topics of usage of encrypted communication, social influence, collection and analysis of data for measuring culture and the reliability and validity of culture measures. Through our analysis, we try to find the impact of culture on the usage of encrypted communication in society.

 $<sup>^{\</sup>star}\mathrm{Both}$  authors contributed equally to this research.

The causality of data globalization and the need for the hour secure information systems have led encryption to become a part of every user connected to a network. The intention of this project is to measure the impact of cultural dimensions on the acceptance and usability of encryption in domains of communication. The study will be defined on the method of Instant Messaging in communication as it has been proposed to be the most prominent and equally susceptible in terms of privacy and security.[4] Unlike regular voice or video communication methods, instant messaging considers all aspects of the extended CIA model emphasizing accountability (non-repudiation factor).[13] This project considers existing work on different information technologies, which summarize that the effect of culture can be measured through the social influence variable on user acceptance.[2] It is the first of its kind to quantitatively measure the impact cultural variables on the usage of encrypted communication. It is also the first the attempt in the world to qualitatively correlate the influence of social factors on usage of encrypted communication.

#### 1.1 Research Questions

To account for all the factors in our research, Our approach will be guided by 2 research questions:

- (1) What is the relative impact of social influence compared to others on the usage of encrypted communication systems?
- (2) Is the impact of social influence on the usage of encrypted communication systems correlated to the different variables of culture?

#### 1.2 Hypotheses

As per the premonitions and general know of the authors over the usage of encrypted communication in India, the following hypotheses have been assumed.

- Hypothesis 1: Social influence has the highest impact on the usage of encrypted communication when compared to effort expectancy, performance expectancy, facilitating conditions' influence.
- Hypothesis 2: There exists a very high correlation between Social influence on the usage of encrypted communication and the cultural dimension of Individualism-Collectivism, where collectivistic people stipulate strong social influence.
- **Hypothesis 3:** Measure of Uncertainty avoidance is directly proportional to the usage of encrypted communication.

#### 1.3 Formulated theories by authors

- (1) In general, social pressure for an individual to perform a behavior is partly influenced by cultural differences. In collectivist cultures like India, where the group tends to be more important than the individual, the person is more likely to be concerned about the thoughts and opinions of others and, thus, more likely to conform to behaviors deemed important to the group.[2] The social influence construct in this study represents social pressure felt by the individual to perform a specific behavior by assessing the influence other people may have on the respondent's behavior.
- (2) India is a collectivist culture that may be affected by different factors than the typical individualistic culture, such as the

- United States, when it comes to IT acceptance.[7] Collectivism refers to societies in which the interests of the group prevail over the interest of the individual.[6] Ergo, encrypted communication usage shall be more accepted in people with higher ratings of this dimension.
- (3) Uncertainty avoidance includes the following measures: risk avoidance and ambiguity avoidance.[15] As risk is an integral part of threat modeling, usage of encryption to curb it can be considered to rise along with its scale.

#### 2 METHODOLOGY

#### 2.1 Models and Principles

To derive the impact of social influence on encryption, we focus on the

Unified Theory of Acceptance and Use of Technology (UTAUT) developed by Venkatesh et al. [2003][18]. UTAUT was chosen as the basic model as it is a parsimonious and robust model of technology acceptance. It shall enable us to measure the relative impact of the social influence construct with respect to effort expectancy, performance expectancy, facilitating conditions influence.

As per the demographics of our target region - India, we follow cross-cultural research principles. Based on cross-cultural research literature, we choose Hofstede's 5-dimensional framework [1980[[7]], 1991[[6]]] incorporating individualism-collectivism, masculinity-femininity, power acceptance, uncertainty avoidance, and time orientation to explain the cultural aspects in results of the model testing. Power distance is the degree to which a culture accepts the unequal distribution of power; uncertainty avoidance is the degree to which a culture tolerates ambiguity and uncertainty; individualism is a cultural orientation in which people belong to lose social frameworks, and their primary concern is for themselves and their families; masculinity is the cultural orientation in which assertiveness and materialism are valued; time orientation is whether a culture's values are long-term or shortterm oriented.[9] For authenticity in our research methodology, we assume the etic approach, which states that there is a set of universal cultural dimensions that are equally relevant to all cultures.[5] These cultural dimensions are considered to be the ones defined by Hofstede.

#### 2.2 Survey Instrument Review

The objective of our study was to quantitatively measure the anthropological concepts like usage and culture. To equally account for the technological acceptance and the behavioral intention to use by the causality of culture, the study is divided into 2 parts for all measurements henceforth. The first part deals with gathering data regarding usage and acceptance of encrypted communication in instant messaging. The second part enables collecting responses for measuring the cultural dimensions. The data for our study will be collected by conducting surveys.

The survey will be split into 4 parts: overview and consent, UTAUT based questions, cultural background, demographics. Consent shall be placed first to maintain ethical performance and gather data from only willing respondents. Culture based questions shall be asked later to not cause any biases while answering the

Table 1: UTAUT constructs to measure acceptance

UTAUT constructs	Root Constructs	Model
Performance Expectancy	Perceived Usefulness	TAM <sup>1</sup> [3]
Effort Expectancy	Perceived ease of use	$TAM^2[3]$
Social Influence	Subjective Norm	TRA <sup>3</sup> [1]
<b>Facilitating Conditions</b>	Behavioral Control	$TPB^4[1]$

<sup>&</sup>lt;sup>1</sup> Technology Acceptance Model <sup>2</sup> Technology Acceptance Model <sup>3</sup> Theory of Reasoned Action <sup>4</sup> Theory of Planned Behavior

Table 2: Various measures for individual cultural variable

Cultural Variable	Measure
	Self v/s Group Interest
	Teamwork and loyalty
	Self-perception
Individualism-Collectivism	Personal independence
	Family integration
	Conformity
	Social Responsibility
	Assertiveness
Masculinity-femininity	Confrontation avoidance
, ,	Competitiveness
Power Distance	Accepted inequality
	Hierarchical preference
Uncertainty avoidance	Risk avoidance
, <del>, , , , , , , , , , , , , , , , , , </del>	Ambiguity avoidance
Time orientation	Tradition
This orientation	Decision-making approach

UTAUT questions. Demographics have been placed last as previous studies have shown that it causes high skewness in data.[16]

#### 2.3 Questionnaire Overview

The project scenario was set as inclusive of two famous instant messaging apps. One of which was *Whatsapp* and the other being *Facebook Messenger*. Whatsapp is an app that is known to prioritize it's user's privacy based on the fact that all conversations on it are end-to-end encrypted. Facebook Messenger is a competitor of Whatsapp that focuses more on user satisfaction in terms of display appeal than user privacy.

Our survey was based on the 4 constructs of UTAUT as mentioned in Table 1, and 5 variables of Hofstede's model noted in Table 2, which helped us measure the impact of social influence and culture on the usage of encrypted systems. This data on analysis assisted us in drawing a correlation between different variables of culture and social impact.

2.3.1 Measurement Metric. For measuring dimensions of culture self-report questionnaires are perceived to be the best tool task.

Because alternative assessment methods, such as observation or experiment, are much more resource-demanding, the self-report questionnaire remains the most popular method of quantifying culture.[14] Hence, we deployed self-report questionnaires consisting of ratings for cultural measurement. Respondents rated the importance of each value on a Likert Scale consisting of 5 interval points[11] and for a few other questions, the respondent was asked to rate his consensus with a set of statements[17].

2.3.2 Risk Mitigation. Likert scales generally lead to two types of response biases[12]:

- Extreme response bias: Systematic tendency to over express agreement or disagreement by choosing anchors towards the ends
- Acquiescence bias: promptness to agreement[14]

We corrected acquiescence bias by combining positively and negatively worded items in a single instrument.[10], [8] For solving extreme response bias we applied within-subject standardization as suggested by Hofstede[7].

#### 3 STUDY DESIGN

Use of convenience samples has been been focused for vast majority of previous studies.[15][6][12] Moreover, over a half of the reviewed models were developed and validated using student samples.[15] However, every attempt has been made to focus on a very specific theoretically-justified target group.

Our study consisted of 3 variables namely Social Influence, Individualism-Collectivism, Uncertainty Avoidance. In addition to the detailed analysis metrics explained in the results section it will include the comparison of individuals from different cultural backgrounds (groups). By analysis of the data collected from the survey we aimed to find correlation between at least 2 of the above variables. We collected data by conducting surveys on student subjects at undergraduate universities in India that have a heterogeneous mix of different cultural backgrounds. Moreover, to solve age bias, we collected data from different generations by also gathering responses from parents of students of Indian universities. We floated the survey in college groups and institute notice-boards to target a larger audience.

#### 3.1 Recruitment Process

While recruiting individuals for answering our surveys we aimed to focus on having representatives from multiple demographic and social backgrounds to ensure sufficient variance in the dimensions of culture. In India, *Indian Institutes of Technology* (IITs) are known to have a heterogeneous mix of individuals in terms of significantly different social and cultural backgrounds. Keeping these social and cultural differences, and previous study results in mind we recruited students from IITs and their parents for the survey, shortlisting a sample space of around 50 individuals who are willing to participate in the survey with sincerity in agreement with consent. For the initial pilot run to check the integrity of the survey, the pilot survey was tested on a convenience sample.

#### 4 PILOT STUDY

Initial survey was piloted on 13 participants. These participants were chosen from the authors' network to form the convenience sample. The participants were chosen in such a way to maintain homogeneity in age distribution. Age distribution varied from 19 to 77 years; with 7 participants belonging to the age group of <24, 2 participants in the age group of 24 to 40, and 4 participants having ages >40.

#### 4.1 Observations

As inputting the name for a participant was considered optional, 2 participants wished to keep their identity private. This also shows that research involving these responses may receive scrutiny and stigma from the audience. Other major observations are summarized as:

- WhatsApp is majorly the preferred messaging app in India in all sorts of situations for users from a variety of demographics.
- Participants with lack of knowledge in fields of encryption were forgetful of its definition explained in the beginning. Hence, questions related to encryption in the later parts received indifferent responses.
- It's extremely tough to gauge privacy concerns of users as their choices are heavily influenced by social impact. However, when presented with a threat model, their concerns came to light.
- For a few questions, answers constructed didn't cover all categories of the audience that were supposed to be analysed. These questions were modified accordingly for the final survey instrument and listed in Appendix B.

#### 4.2 Improvements

As the survey included 39 questions, the main feedback received for participants was that the survey was too long. This created lot of fatigue for them while answering the ending questions and might have caused errors too. To counter this, some questions were sifted out from the pilot questions. The sifting procedure for each section involved selecting those questions with an unskewed distribution of responses. Finally, the selected questions were also cross-verified for measuring reliability. To cite an example, 4 questions in Masculinity - Femininity were culled to 2 questions by selecting the best 2 questions which showed good distribution in responses. The were also measured as per Cronbach's alpha to get an understanding of the reliability of the final selected questions. The logic behind selecting only those questions with good responses was that this eliminated those questions which might have led to acquiescence bias and extreme response bias that had been expected earlier. Few of the questions had overlapping responses too. The patterns for questions within the same section were similar. Hence, to remove the redundancy some questions were skipped in the culture section of the survey. The final survey instrument included only 29 questions out of the 39 questions as in the pilot survey. Other forms of improvements that were done to suit our needs are listed below:

 To solve the issue the issue of forgetfulness, definitions of encryption were incorporated in every question related to it

- and a short and audience-catchy video (length of video = 2 minutes) explaining it was also introduced at the very start of the survey.
- Due to a human error caused by principal investigators, in the pilot survey the question to understand the region of living was skipped. It was added in the final survey.
- To understand user's preference when it comes to privacy and security 2 modifications were made:
- (1) A qualitative question was added to our survey to analyse if the audience has had the same preferred app since the start or did they switch to a different app sometime in the course of their use. In case they switched to a different app, we wanted to gain insights on why this shift happened and what was the reason to chose the later app.
- (2) Arrangements for conduction of online interviews to get qualitative data to gauge the choice made by users between usability and privacy & security. The reason to conduct interviews for this aspect was because measuring privacy concerns over quantitative survey questions was realized to be tough and ambiguous.
- Out of the questions gathered from Hofstede's previous works, one of them was found to be double-barrelled. It would have caused a deviation from the actual answers that were required. It was corrected accordingly by maintaining the core reasoning of the question intact.

#### 5 DATA ANALYSIS

#### The final survey was conducted on a total of 82 participants.

These participants were recruited by circulating the survey among students of Indian Institute of Technology, Kharagpur and other universities. To gather participation from varied demographics we had contacted the parents of the student volunteers for participating in the survey. The improvements made in the survey based on our observations in the pilot survey proved to make a marked difference in the response from the participants and brought clarity to the study. We performed both quantitative and qualitative analyses.

#### 5.1 Qualitative Analysis

To analyze the qualitative responses, we followed a standard **simultaneous coding** process. First, a researcher created a codebook based on the text responses. This codebook included labels for each of the responses with the explanation. Then both the researchers read through the same responses of the survey and independently assigned a code to each using the codebook. With a codebook that contained around four themes per question, Cohen's Kappa between the two coders was determined to have a threshold of 0.82 for the question regarding the reason for choosing the given app as participant's most preferred one. The initial Cohen's Kappa achieved was 0.9. Hence, revision was not considered necessary.

Another part where coding was involved is the data gathered regarding city of residence. Cities were coded into Tiers I, II, and III for getting categorical data out of given set of cities. Here initial coding was done by both the coders singularly. Cohen's Kappa obtained for the initial round was 0.78. For the second round, coding was done jointly where agreement wasn't achieved for categorizing the cities. This led to a final Cohen's Kappa of 1. Because of complete

agreement, researchers chose this to be very well categorized for hypotheses testing.

#### 5.2 Quantitative Analysis

We had 9 questions to measure 5 constructs of culture. To finally get a single valued output for every variable of culture withinsubject standardization was used. The entire procedure has been summarized in Table 9. A decimal value is obtained for each of the culture constructs due to the allocation of the above mentioned weights. Hence, for generalization the values have been rounded off to the nearest integer. This leads to the final values lying in the range from 1 to 5 which help in smooth hypothesis testing using Chi square tests. Later correlations have been found between UTAUT variables and Culture variables using Spearman's Ranked Coefficient and Chi square tests.

#### 6 RESULTS

### 6.1 Relation between Effort Expectancy and Culture Variables

Here relations between 5 variables of culture and the 3 questions of effort expectancy [A]. The results of *Chi Square tests* and *Spearman's Coefficients* are listed in Tables 7 and 8.

Surprisingly, all p-values have a value greater than 0.05. This means that culture variables have no correlation with effort expectancy of encrypted communication. This is validated via Spearman's correlation coefficients as well, because for all the possibilities the coefficient value is found to be close to 0 showing no relation between the two variables. Thus ease, security, privacy preferences of an application aren't correlated to the 5 variables of culture - power distance, uncertainty avoidance, individualism-collectivism, masculinity-femininity, and time orientation.

## 6.2 Relation between Privacy Consciousness and their reaction to Facebook's spying

Here we attempted to analyse if privacy consciousness among users has any relation with the choice of application or privacy based decision they make when made aware that Facebook Messenger tracks all their chat messages. As expected, the p value came out to be <0.00001 for the contingency table presented in Table 3. The Chi square result for the given contingency Table 3 has a  $\chi^2$  = 56.94191914 and p<0.00001. This means that their exists a relationship between conscious users and the choices they make with regard to their messaging app. However, on independent observation of the choice made by users when informed that Facebook is constantly spying on their personal messages we got a response of 50 percent users as they will stop using messenger and another 37 percent users as they may change and 13 percent as they will not change. This came to our surprise as we had expected people to feel unsafe when informed that their data was being spyed on, but we realised on analysis via interviews that they did believed that their identity and data was not of public interest and hence it's leakage did not matter.

Table 3: Chi square test contingency table between Privacy consciousness and Facebook Spying

Question Construct	PS	F	Fam	A	N
Yes	0	0.64	0.16	1.52	0.68
No	0	4.05	1.013	9.63	4.307
Maybe	0	3.306	0.827	7.85	3.51

PS: I can share chats with my partner/ spouse. F: I may freely share chats with my friends. Fam: I may freely share chats with my family. A: I feel awkward to share chats generally but may share as per the scenario. N: I never share chats in any scenario.

Table 4: Chi square test contingency table between Awareness and Facebook Spying

Question Construct	Heard	News	Follow	Tech	Prof
Yes	0.107	0.714	1	0.678	0
	0.107	0.714	1	0.076	U
No	0.707	4.71	6.6	4.478	0
Maybe	0.686	2.057	6.4	4.343	0
,					

*Heard*: I have not heard of the term.

News: I have heard about it in the news. Follow: I follow tech and know how encryption works. Tech: I have technical understanding of encryption. Prof: I have undergone professional/academic courses in IT and CS.

# 6.3 Relation between users that are aware of encryption and their reaction to Facebook's spying

As expected, the p value came out to be <0.05. The Chi square result for the given contingency Table 4 has a  $\chi^2$ = 64.21436796 and p<0.00001. This means that their exists a relationship between the awareness of encryption among users and the choices they make with regard to their messaging app. It was observed that a large majority of the participants were aware of encrypted communication to an extent that they understood it's use and basic technicalities and that had a strong relationship with the choice of app made by them when made aware of Facebook spying on their personal chats.

### 6.4 Relation between users that are aware of encryption and their privacy consciousness

As expected, the p value came out to be equal to <0.05. The Chi square result for the given contingency Table 5 has a  $\chi^2$  = 38.92467918 and p=0.001115. This means that their exists a relation between the extent of awareness about encryption and the extent of consciousness with regard to privacy.

Table 5: Chi square test contingency table between Awareness and Privacy consciousness

PS	F	Fam	A	N
0	0	0	0	0
0.2	1.7	2.8	1.8	0
0.0307	0.2615	0.4307	0.277	0
0.523	4.446	7.323	4.708	0
0.246	2.092	3.446	2.215	0
	0 0.2 0.0307 0.523	0 0 0.2 1.7 0.0307 0.2615 0.523 4.446	0         0         0           0.2         1.7         2.8           0.0307         0.2615         0.4307           0.523         4.446         7.323	0         0         0         0           0.2         1.7         2.8         1.8           0.0307         0.2615         0.4307         0.277           0.523         4.446         7.323         4.708

PS: I can share chats with my partner/ spouse. F: I may freely share chats with my friends. Fam: I may freely share chats with my family. A: I feel awkward to share chats generally but may share as per the scenario. N: I never share chats in any scenario. Heard: I have not heard of the term.

News: I have heard about it in the news. Follow: I follow tech and know how encryption works. Tech: I have technical understanding of encryption. Prof: I have undergone professional/academic courses in IT and CS.

Table 6: Contigency table of City of Residence and Notional choices on usage of Facebook Messenger

$\frac{City - of - Residence}{Notion}$	Tier 1	Tier 2	Tier 3
Yes	4	2	0
No	22	11	9
Maybe	14	11	9

# 6.5 Relation between City of Residence and the Notion to not use Facebook Messenger if the participants realize that Facebook Messenger eavesdrops conversations

All the variables are categorical in this segment. City of residence has been categorized into 3 tiers as per their infrastructure development and population density. And participant's options for their notion to use Facebook Messenger if the participants realize that Facebook Messenger eavesdrops conversations is taken in forms of *Yes, No,* and *Maybe.* The contingency table for this particular data is shown in Table 6. The Chi square result for the given contingency table has a  $\chi^2 = 2.827$  and p = 0.587.

Thus region of residence and native place/ demographic doesn't have any correlation with privacy consciousness of participants as they are not bound to choose

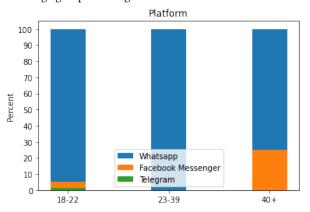
#### 7 DISCUSSION

Cronbach's alpha is a measure of internal consistency, that is, how closely related a set of items are as a group. It is considered to be a measure of scale reliability. A Cronbach's alpha of 0.501 is achieved for cultural questions without doing within-subject standardization. After doing within-subject standardization the value of Cronbach's alpha comes out to be 0.457. Test- retest reliability was reported only in twelve papers presenting the 121 reviewed instruments representing roughly 10% of the reviewed measures, averaging

0.58 and ranging from 0.35 to 0.76.[15]. Hence as our values for Cronbach's alpha lie within this region, we satisfy ourselves that the scores achieved are reliable and actually measure accurately the cultural variables as they are supposed to.

When Table 10 is analyzed, it is observed that 37.5% of responses are bound on the popularity of the app itself. 32.2% of responses are based on peer pressure from friends and academic circle. This pronounces the fact that the **social influence of the app has the highest leverage for making the app the first preference of any user**. Later the most important factor to consider is that of usability of the app which reverberates in 31.25% of the responses. Privacy comes last on this list with a presence of just 25% responses towards it. Shocking factor to consider is that, none of the participants implied substantial security reasons to the reason for preference of an app. Even when they saying end-to-end encryption, they were meaning protection from visual spying or third party eavesdropping. Hence, these security factors had to be clubbed in privacy itself.

7.0.1 Preference of app usage and Age Distribution. 3 categories of age has been considered for evaluation. They are 18 - 23, 24 - 39 and 40+. We found that for the age group 24 - 39, entire preference of app usage was WhatsApp. For the age group 18 - 23, WhatsApp dominated the list with very less percentage of usage allocated to Facebook Messenger and Telegram. Age group 40+ had very few participants (5 in total), hence, it seems that Facebook Messenger has a very huge domininance. But the authors feel that the similar trend shall follow in this age group too when the research is done in this age group on a large scale.



7.0.2 Preference of app usage and Age Distribution and Used from Start/ Shifted to it later data. It is found that nobody used Facebook Messenger from the start. Participants generally shifted to this platform considering various personal preferences. WhatsApp is the one with which people generally begin their journey of instant communication, but this factor needs to be comprehended well that participants' reason to choose WhatsApp wasn't that of security and end to end communication but was because of peer pressure, popularity of the app and social influence.

Table 7: Chi square test p-values between variables of culture and Effort Expectancy

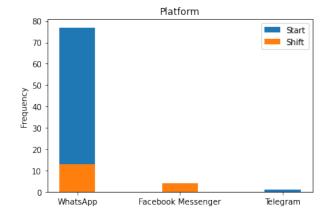
Question construct	PD	UA	IC	MF	ТО
Ease	0.2076	0.9984	1.0000	0.9997	0.9651
Security	0.4180	0.8915	0.9998	0.9586	0.7274
Privacy	0.3744	0.9924	0.9988	0.9805	0.9437

Table 8: Spearman's Correlation tests between variables of culture and Effort Expectancy

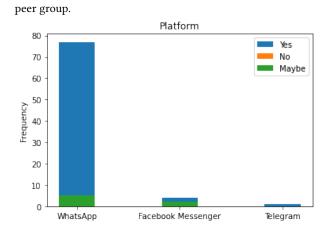
Question construct	PD	UA	IC	MF	ТО
Ease	-0.0118	-0.0730	-0.0340	-0.1149	0.1261
Security	-0.1435	-0.0446	0.0454	-0.0275	-0.1417
Privacy	-0.0573	-0.1211	0.0976	0.0123	-0.0577

Culture Variable	Question	Construct	Value	Weight attached[14]	Final Score
Power Distance	PD1	Relationship Depth	х	3.26	3.26x + 3.99y
	PD2	Power Distance	y	3.99	3.26 + 3.99
Uncertainty Avoidance	UA1	Conservatism	x	3.49	$\frac{3.49x + 3.73y}{3.49x + 3.73y}$
•	UA2	Ambiguity avoidance	у	3.73	3.49 + 3.73
Individualism - Collectivism	IC1	Family Integration	x	4.17	$\frac{4.17x + 3.45y}{4.17 + 3.45}$
	IC2	Conformity	y	3.45	4.17 + 3.45
Masculinity - Femininity	MF1	Independent-interdependent self-perception	X	3.68	$\frac{3.68x + 2.7y}{3.68 + 2.7}$
	MF2	Risk avoidance	y	2.7	
Time Orientation	TO1	Short- vs. long-term orientation	x	3.13	$\frac{3.13x}{3.13}$

Table 9: Within Subject Standardisation for culture related questions



7.0.3 Preference of app usage and perception of the app used by majority of a participant's friends. A peculiar fact perceived here is that nobody chooses a messaging app which is not being used by their majority of friends. The percentage of people using an app which is not accepted socially is 0%. Almost entirely people are confirming that their friends use the same as them. Also the major reason behind starting to use any messaging app at all was their

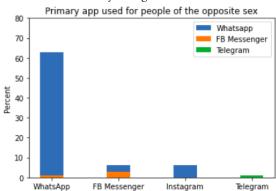


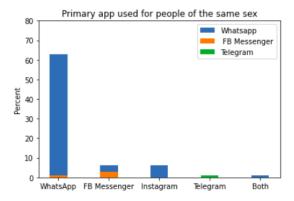
7.0.4 Usage based on communication with gender. From the bar graphs shown below the researchers understand the preference of messaging app for chatting with people of the same sex and opposite sex. Researchers observed that there was negligible difference in the app used for the purpose which means users did not choose different apps to communicate with people of different genders. WhatsApp was observed to be the most preferred app in

Table 10: The percentage of responses that fell into each category, and representative quotes. Percentages do not add up to totals because some responses received multiple codes.

Categories	Responses	Representative Quotes
Popularity	37.5	Popularity of the app
		Almost 90 percent of people use WhatsApp
Peer Pressure	32.2	All my friends used WhatsApp for instant messaging
		Actually I followed it because all are using it only
		It was in hype at the time I started using social media
		Most of the institute related communication was through FB
Passed Experiences	6.25	My FB was hacked in childhood and misused severely
		WhatsApp is way less distracting
		Little less random people texting us
Usability	31.25	User interface is very good
		Simplicity
		WhatsApp has a much better UI and feels more comfortable.
		WhatsApp feels like home.
		Multimedia sharing,
		Reference to previous messages and searching in previous chats are good features
		Faster and used lesser data on phone
Privacy and Security	25	With Whatsapp at least I can regulate who can access my account
		Privacy, ease of removing messages and limited audience
		Messages in WhatsApp are end to end encrypted
		It feels safe taking there due to end to end encryption

either case followed by Instagram and then Facebook Messenger.





#### 8 LIMITATIONS

The user affiliation towards WhatsApp is found to be so strong that understanding user's preference towards encrypted communication based on privacy needs is very cumbersome. Our survey instrument was circulated only among the students of Indian Institute of Technology, Kharagpur. And university students from such top tier institute are known to have a higher awareness towards encryption. Although we tried to mitigate the bias by also including their parents into the research the total impact of this measure is invalidated. Another limitation which needs to be considered is the self-report questionnaires for measuring culture. These questionnaires actually may not be the most accurate way of quantifying culture. Infact as culture extends to psychological, anthropological, abstract concepts; it is very tough to quantify culture and procedure of doing it and its implications are highly debated. Even the 5 constructs used here after modelling after Hofstede's work may not be enough to get a good grasp of the human's consciousness and its actions. There are more than 30 constructs mentioned in Taras et al. [14] itself for measuring culture. Final thing that needs to be considered is that the study has been conducted solely on Indian participants, hence, its results are advised to be not generalised to fit the entire population of the world extending to western cultures like that of U.S.A. and U.K. and extreme eastern philosophies of Japan and South Korea.

#### 9 CONCLUSION

In line with the Hypothesis 1 we observed from our study that Social Influence (peer pressure, popularity, and family) and Usability have the highest impact on the usage of encrypted communication when compared to the other factors like effort expectancy, performance expectancy, facilitating conditions' influence. To our surprise, and contrary to our second Hypothesis, we observed no or negligible correlation between Social Influence on the usage of encrypted communication and the cultural dimension of Individualism-Collectivism (where collectivist people stipulate strong social influence). Even uncertainty avoidance wasn't observed to be correlated to the usage of encrypted communication. Therefore, neither is the usage of encrypted communication correlated to culture nor to location demographics. It only depends on the social background and peer group. This comes out to be a very interesting observation as it clearly throws light on the fact that technology has become widespread and socially accepted. Technology has seeped so deep in society that the effect of culture and gender on preference and usage of people towards encrypted communication has mitigated and the impact of Social Influence, Popularity and Peer pressure has taken over.

#### **ACKNOWLEDGMENTS**

We thank *Prof. Mainack Mondal* for providing us the guidance and all the educational support required for commencement of the project. We hope to implement all his teachings successfully.

We also thank our friends and course-mates who were kind enough to volunteer for our pilot studies and help us gather valuable data and survey responses. It would not have been possible for us to complete the study and make observations without their time investment in helping us improve the study design.

#### REFERENCES

- [1] Icek Ajzen. 1991. The theory of planned behavior. Organ. Behav. Hum. Decis. Process. 50, 2 (Dec 1991), 179–211. https://doi.org/10.1016/0749-5978(91)90020-T
- [2] Kakoli Bandyopadhyay and Katherine A. Fraccastoro. 2007. The Effect of Culture on User Acceptance of Information Technology. AIS Electronic Library (AISeL) 19, 1 (2007), 23. https://doi.org/10.17705/1CAIS.01923
- [3] Fred D. Davis. 1989. Perceived Usefulness, Perceived Ease of Use, and User Acceptance of Information Technology. MIS Quarterly 13, 3 (Sep 1989), 319–340. http://www.jstor.org/stable/249008
- [4] Ivan Del Pozo and Mauricio Iturralde. 2015. CI: A New Encryption Mechanism for Instant Messaging in Mobile Devices. *Procedia Comput. Sci.* 63 (Jan 2015), 533–538. https://doi.org/10.1016/j.procs.2015.08.381
- [5] M. J. Gannon and R. K. Pillai. 2010. Understanding global cultures: Metaphorical journeys through 29 nations, clusters of nations, continents, and diversity. ResearchGate (Jan 2010), 1–634. https://doi.org/10.4135/9781452224886
- [6] Geert Hofstede, Gert Jan Hofstede, and Michael Minkov. [n.d.]. Cultures and Organizations: Software of the Mind, Third Edition. McGraw-Hill Education, New York, NY, USA. https://books.google.co.in/books/about/Cultures\_and\_ Organizations\_Software\_of\_t.html?id=o4OqTgV3V00C
- [7] Geert H. Hofstede. 2001. Culture's Consequences: Comparing Values, Behaviors, Institutions and Organizations Across Nations. Behaviour Research and Therapy -BEHAV RES THER 41, 7 (Jan 2001). https://doi.org/10.1016/S0005-7967(02)00184-5
- [8] Gerardo Marin, Raymond J. Gamba, and Barbara V. Marin. 1992. Extreme Response Style and Acquiescence among Hispanics: The Role of Acculturation and Education. *Journal of Cross-Cultural Psychology* 23, 4 (Dec 1992), 498–509. https://doi.org/10.1177/0022022192234006
- [9] Debra L. Nelson and J. Quick. 1994. Organizational Behavior: Foundations, Realities and Challenges. undefined (1994). https://www.semanticscholar.org/ paper/Organizational-Behavior%3A-Foundations%2C-Realities-and-Nelson-Quick/c369494e5f362f9487b3345c13b94a69932811c3

- [10] Ulrich Schimmack, Shigehiro Oishi, and Ed Diener. 2005. Individualism: A Valid and Important Dimension of Cultural Differences Between Nations. Pers. Soc. Psychol. Rev. 9, 1 (Feb 2005), 17–31. https://doi.org/10.1207/s15327957pspr0901\_2
- [11] S. H. Schwartz. 1994. Beyond Individualism/Collectivism: New Cultural Dimensions of Values. Cross-cultural research and methodology 18 (Jan 1994), 85–119. https://www.researchgate.net/publication/234021883\_Beyond\_ IndividualismCollectivism\_New\_Cultural\_Dimensions\_of\_Values
- [12] Mark Srite. 2006. Culture as an Explanation of Technology Acceptance Differences: An Empirical Investigation of Chinese and US Users. 1. 14, 1 (Nov 2006). https://doi.org/10.3127/ajis.v14i1.4
- [13] John Stone and Sarah Merrion. 2004. Instant Messaging or Instant Headache? IM has found a home within the enterprise, but it's far from secure. Queue 2, 2 (Apr 2004), 72–80. https://doi.org/10.1145/988392.988410
- [14] V Taras. 2008. Culture Survey Catalogue: Original items, scoring keys and psychometric properties of 134 instruments for measuring cultural values and behaviors. ucalgary. ca/~taras/\_private/Culture\_Survey\_Catalogue. pdfU (2008).
- [15] Vas Taras, Julie Rowney, and Piers Steel. 2009. Half a century of measuring culture: Review of approaches, challenges, and limitations based on the analysis of 121 instruments for quantifying culture. *Journal of International Management* 15, 4 (Dec 2009), 357–373. https://doi.org/10.1016/j.intman.2008.08.005
- [16] Robert Teclaw, Mark C. Price, and Katerine Osatuke. 2012. Demographic Question Placement: Effect on Item Response Rates and Means of a Veterans Health Administration Survey. J. Bus. Psychol. 27, 3 (Sep 2012), 281–290. https://doi.org/10.1007/s10869-011-9249-y
- [17] Harry C. Triandis. 1982. Review of Culture's Consequences: International Differences in Work-Related Values. Hum. Organ. 41, 1 (1982), 86–90. http://www.jstor.org/stable/44125611
- [18] Viswanath Venkatesh, Michael G. Morris, Gordon B. Davis, and Fred D. Davis. 2003. User Acceptance of Information Technology: Toward a Unified View. MIS Quarterly 27, 3 (Sep 2003), 425–478. http://www.jstor.org/stable/30036540

#### A FINAL SURVEY INSTRUMENT

#### A.1 Facilitating Conditions

- (1) What do you use as your primary messaging app? (WhatsApp, Facebook Messenger, Other)
- (2) Have you been using the above chosen app as the primary messaging platform since the start or did you switch to it later?(Yes, No)
- (3) Please state a reason for the above answer.(Qualitative)
- (4) At what age did you start using the messaging app? (<18, 18 to 24, >24)
- (5) Do most of your close friends use the same messaging app as you? (Yes, No, Maybe)
- (6) Do most of your family members use the same messaging app as you? (Yes, No, Maybe)

#### A.2 Social Influence

- Which app do you prefer to use for chatting with your family and other relatives? (WhatsApp, Facebook Messenger, Other)
- (2) Which app do you prefer to use for chatting with friends? (WhatsApp, Facebook Messenger, Other)
- (3) Which app would you prefer to use for chatting with a person of the same sex? (WhatsApp, Facebook Messenger, Other)
- (4) Which app would you prefer to use for chatting with a person of the opposite sex? (WhatsApp, Facebook Messenger, Other)

#### A.3 Effort Expectancy

- (1) How would you rate Facebook Messenger compared to WhatsApp on the basis of EASE?
- (2) How would you rate Facebook Messenger compared to WhatsApp on the basis of SECURITY?

#### A.4 Performance Expectancy

- (1) How scared are you about the privacy of your chat messages?
- (2) How privacy-conscious are you about your chat messages?
  - I can share chats with my partner/ spouse
  - I may freely share chats with my friends
  - I may freely share chats with my family
  - I feel awkward to share chats generally but may share as per the scenario
  - I never share chats in any scenario
- (3) How much awareness do you have about encryption in communication?
  - I have not heard of the term
  - I have heard about it in the news
  - I follow tech and know how encryption works
  - I have technical understanding of encryption
  - I have undergone professional/ academic courses in IT and CS
- (4) If we tell you that Facebook Messenger is constantly reading your personal chats on messenger, would you still use it as much? (Yes, No, Maybe)

#### A.5 Power Distance (PD)

- (1) Employees should not talk to their bosses about personal matters.
- (2) Power and wealth are evil.

#### A.6 Uncertainty Avoidance (UA)

(1) Change in my life is important to me.

(2) A manager must be an expert in the field in which he or she manages.

#### A.7 Individualism - Collectivism (IC)

- (1) When a girl/ boy becomes 21 years of age, they should be encouraged to move away from home.
- (2) It is important that people conform to the group's norms to reach their goals.

#### A.8 Masculinity - Femininity (MF)

- (1) The most important thing in my life is a career with a good salary and satisfaction.
- (2) It is important to finish one interaction before rushing off to another.

#### A.9 Time Orientation (TO)

(1) Short Term goals collectively align your interests and careers more effectively than long term goals.

#### A.10 Demographics Part

- (1) Your Name
- (2) Your Gender (Male, Female, Other, Prefer not to say)
- (3) Your Age
- (4) City of residence
- (5) Have you lived out of your home for >1 year? (Yes, No)
- (6) Guardian's email id

#### **Institute Review Board Application**

#### **STUDY TITLE:**

Measuring the Effect of Culture on Usage of Encrypted Communication in India

#### **PRINCIPAL INVESTIGATORS:**

Name: Ashish Gokarnkar Roll Number: 18IM30027

Department: Industrial and Systems Engineering Institute: Indian Institute of Technology, Kharagpur

Name: Nandini Bajaj Roll Number: 18CY20020 Department: Chemistry

Institute: Indian Institute of Technology, Kharagpur

#### **VERSION DATE:**

18<sup>th</sup> October 2020

#### **RELATED STUDIES:**

The research shall be first of its kind. Data shall not be extracted or extrapolated from any preexisting source or research. All data shall be methodically collected indigenously based on principles of Hofstede's 5-dimensional framework for measuring culture given by Hofstede [1980] and Unified Theory of Acceptance of Usage of Technology proposed by Venkatesh et al. [2003]. The study design is a collaboration of authors of research under guidance of Prof. Mainack Mondal, Indian Institute of Technology, Kharagpur. The study design methods and data statistical methods have been considered from Mark [2006].

#### Check any **applicable** boxes in the table below:

Indicate Vulnerable Population(s) to be Enrolled	☐ Children (you must <b>complete Appendix A</b> in addition to this protocol document if you plan to enroll children) ☐ Cognitively Impaired Adults ☐ Pregnant Women (IF the research activities will affect the pregnancy or the fetus) ☐ Prisoners (or other detained/paroled individuals)
International Research	
(check this box if you will	
collect data from	П
individuals located outside	
the India)	
Research involving external	
collaborators (some	
research activities will be	
carried out by individuals	
not employed by Indian	
Institute of Technology,	
Kharagpur or any of its	
affiliates)	
Research has Indian	
government funding via	
direct award or a sub-	
award	

#### 1.0 Purpose and rationale of the study:

The causality of data globalization and the need for the hour secure information systems have led encryption to become a part of every user connected to a network. The intention of this project is to measure the impact of cultural dimensions on the acceptance and usability of encryption in domains of communication. The study will be defined on the method of Instant Messaging in communication as it has been proposed to be the most prominent and equally susceptible in terms of privacy and security. Unlike regular voice or video communication methods, instant messaging considers all aspects of the extended CIA model emphasizing accountability (non-repudiation factor). This project shall consider existing work on different information technologies, which summarize that the effect of culture can be measured through the social influence variable on user acceptance.

Our approach will be guided by 2 research questions:

- (1) What is the relative impact of social influence compared to others on the usage of encrypted communication systems?
- (2) Is the impact of social influence on the usage of encrypted communication systems correlated to the different variables of culture?

As the objective of our study is to quantitatively measure the anthropological concepts like usage and culture, to equally account for the technological acceptance and the behavioral intention to use by the causality of culture, the study is divided into 2 parts for all measurements henceforth. The first part deals with gathering data regarding usage and acceptance of encrypted communication in instant messaging. The second part enables collecting responses for measuring the cultural dimensions. The data for our study will be collected by conducting surveys. IRB approval is requested for both these phases.

Partial Least Squares method (PLS) to assess the discriminant validity and internal consistency (reliability) of the constructs in the UTAUT model. For culture measurement instruments, Cronbach's alpha shall be used as the coefficient of reliability. Confirmatory factor analysis will result for the final scales. To assess the reliability of the scales, internal composite reliabilities (ICRs) will be calculated. Descriptive statistics shall also be gathered for considering gender biases and demographic correlation. T-test will be conducted for calculating significance of individual constructs of UTAUT on the overall usage and acceptance of usage of encrypted communication in the account of instant messaging.

# 2.0 Enrollment Criteria (who can be in your study and who would not be eligible to participate in your study):

Participants of the research shall be focused on 2 categories.

The 1<sup>st</sup> category includes undergraduate students who shall have an age range of 17 to 25 years. Predominantly they shall require proficiency in English to contribute to the research. They would be belonging to India and shall be recruited from universities and institutions of all 29 states across India. Another factor to consider is the initial diversity inside the population of the universities itself. Thus, heterogeneity shall be maximized.

The 2<sup>nd</sup> category includes parents of these undergraduate students who shall fall in the age range of 40 to 55 years. They too shall require proficiency in English to

contribute to the research. Being directly related to the 1<sup>st</sup> category participants, their variation too shall be diversified from all over Indian states.

The research does not include any kind of vulnerability to children, pregnant women, prisoners, and cognitive impaired adults. The research is solely directed towards national population of India and will not consider international residents. Even non-residential Indians shall be excluded from the study.

Only those participants shall be enrolled into the research who agree to the following notions which shall be explicitly stated to them in the consent form before the execution of the research data collection from the participants:

- Agree to contribute their responses as a relation to culture
- Allow their data to be saved for this particular academic research purposes for the pre-defined period of time
- Agree to disclose information regarding their usage of text-message communication
- Agree to not circulate the research resources provided to external members in the network of the participant.

#### 3.0 Sample Size:

For the final research study, a sample space of around 150 individuals who are willing to participate in the survey with sincerity in agreement with consent form shall be adopted. The sample size has been determined via references of previous studies in South East Asia conducted by Nitya et al. [2018], Mark [2006], and Hofstede [1980]. The authors have also considered the vast diversity in entirety of India and thus have determined the number to generalize the sample size with the total external population thereby following external validity.

For conducting the pilot study for the research, a sample size of 20 participants has been fixed by the authors. These participants shall be recruited from authors' network to form a convenience sample. This sample size shall enable to cross-verify extreme response bias and acquiescence bias.

#### 4.0 Recruitment and Screening Methods:

The sample size for the research includes undergraduate students from Indian universities across all 29 states of India which predominantly have huge diversity inside them. Examples of these universities are IITs, BITS, VIT, SRM, Manipal, etc.

Participants shall be contacted via email circulated via Public Relations chairpersons to the entire student population of respective Indian Universities. Only the authors shall contact the Public Relations chairpersons of these universities and share with the

them the mailers to be circulated after discussion with them or the colloquial governing authority who has the privilege to circulate mails in the entire campuses. The secondary approach is to contact professors in the field of Human Computer Interaction, if initial approach leads to an unsatisfactory response, and ask their help for circulation of emails for recruiting students from all over their respective campuses. The email shall include the specifications of the experiment and all the details required from end for completion of their contribution. This will include consent form links and survey links.

For recruiting their parents, two methods shall be opted:

- 1. If university allows circulation of email specifically to parents, then they shall be contacted via the similar email template.
- 2. Else, provision for collection of phone numbers/ email ids of students' parents will be done in the demographics section of the survey section and an emailer (in case email id is provided)/ calling script (in case telephone number is provided) will be used for confirming their recruitment.

The recruitment procedure for parents via email is completely like the one defined for students. In case of telephonic calling for confirmation, it must be noted that the authorities contacting the parents via telephonic call shall be no other than the mentioned authors. The calling script has been defined in such a way that the participant is duly defined of the experiment and the consent form along with the survey link is shared via an email. They shall also be made aware of their voluntary contract so that their rights are not violated.

#### **Eligibility screening activities:**

- The emailer shall include eligibility criteria so that the participants are informed about the eligibility criteria and choose for themselves about their participation in the survey.
- For telephonic calling, eligibility criteria shall be checked for participant verbally via asking the participants if they would like to adhere to the criteria or not. The further responses shall only be recorded if they agree to all the notions required.

#### **5.0** Research Locations:

For conducting the surveys, we have considered 2 important segments:

- a) Likert Scales
- b) Scenario based questions

To measure up for both these we have scouted form clients such as Google Form, Typeform and SurveyPlanet. We have decided to choose among these 3 considering their flexibility in data handling and convenient user interface for respondents of the survey. For conducting statistical analysis, regression tools shall be utilized. Microsoft Excel will be used to handle the data and maintain records. Correlations shall be found using statistical softwares like R Studio and Minitab.

The participants are only anticipated from India. After entire data collection, the survey responses which have mentioned their State shall only be used for verification of our data. Authors have planned to insert the "Other" in the dropdown list for state for completeness of question and to align with participants privacy will; but the participants who select this option shall be discarded from future data analytics as they can't be defined for external validity.

For the other aspects of research such as internal discussions and analysis, online conferencing platforms like Google Meet and Microsoft Teams shall be used among the authors. This shall completely be in online medium.

#### **6.0** Procedures Involved:

Please check the boxes for all applicable data collection procedures you plan to use:
□One-on-one interviews
□ Focus Groups
☑ Questionnaires/surveys
☐ Analysis of secondary data (medical record data, educational records, government
or private sector datasets, etc.)
☐ Ethnographic observation
☐ Physiological measurements (e.g., EEG, EKG, MRI)
$\square$ Biospecimen collection (saliva samples, blood draws, hair samples, etc.)
☐ Mobile applications/data collection devices (e.g., Fitbits, actigraphs, etc.)
$\square$ Behavioral decision-making tasks (e.g., puzzles, interactive games, etc.)
$\square$ Physical activities such as walking and other forms of exercise
□Other procedures (briefly list types of procedures here if not covered by the check-
boxes above):

The survey will be split into 4 parts: overview and consent, UTAUT based questions, cultural background, demographics. Consent shall be placed first to maintain ethical performance and gather data from only willing respondents. Culture based questions shall be asked later to not cause any biases while answering the UTAUT questions. Demographics have been placed last as previous studies have shown that it causes high skewness in data.

For UTAUT measurements a scenario analysis shall be conducted where 2 applications (one supporting encrypted instant messaging communication and the other not) shall be described to participants. Their response regarding their preferences for using the applications shall be recorded.

Self-report questionnaires consisting of ratings shall be deployed for cultural measurement. Respondents will have to rate the importance of each value on a Likert Scale consisting of 7 interval points or the respondent may be asked to rate his consensus with a set of statements.

The overall survey should take 20 min. With 7 minutes for UTAUT questions and 7 minutes for Culture questions and 3 minutes for demographics and 3 minutes for consent and summary.

#### 7.0 Consent Process:

The mode of consent will be online. We will be circulating the consent form among the potential research participants via email. The consent form has been prepared in a way that will provide the potential participants a complete understanding of the purpose of the project, research procedures, confidentiality, data concerns, and study benefits for them to make an informed decision.

Since the consent form will be circulated online, we expect the form to be signed digitally and returned as a reply to the same email. Find the **Consent form** in **APPENDIX 2**.

#### **SPECIAL CONSIDERATIONS FOR THE CONSENT PROCESS:**

**NON-ENGLISH-SPEAKING PARTICIPANTS:** 

We have been careful to recruit participants from colleges where the primary medium of communication is English hence, we require all participants to be proficient in English language. In case the participant faces an issue understanding any of the questions due to the language barrier we or otherwise, we encourage them to approach us with their queries. We will personally assist the participants in case they feel incompetent to answer the survey due to the language barrier. We will not be translating the recruitment letter, consent form, and the survey into any other local language. In case of minor incompetence due to the language barrier, we would encourage participants to ask for assistance.

#### 8.0 Waiver of Participant Signature on Consent Form:

We will require participants to digitally sign on the consent form. In case that is not possible, we will accept the consent form filled by them with their name and other details if we receive it as a reply to the email sent to them for information.

#### 9.0 Financial Compensation:

Every research participant will be offered INR 200/- as appreciation for participation and effort. If all sections of the survey are not complete or a failure is spotted in performing at the attention checks, participants will not receive any incentive for participating in this study. There is minimal risk to participants and no cost besides regular (<500kbps) internet connection charges to attempt the survey materials.

#### 10.0 Potential Benefits of this Research:

Taking part in this research study may not benefit participants personally, but it may allow authors to learn new things about human behavior towards usage of encrypted communication that could help others. The final outcome of this research may lead developers get new insights for adding suitable features in encrypted communication apps. Thereby, making the entire domain of text-messaging secure and more trustworthy along with usability.

#### 11.0 Potential Risks to Participants:

Participation in this study does not involve any risk (physical, social, economic, psychological) beyond that of everyday life. There lies a risk of data insurgence at the fundamental level. Although authors will take full responsibility in case of mishandling of data and shall take full responsibility for thwarting it in every possible way.

#### 12.0 Provisions to Protect Participant Privacy and Data Confidentiality:

The individual responses noted in the survey will be stored in encrypted format for a year after it is coded for evaluation.

Results of this study will be used in publications and presentations. The study data will be handled as confidentially as possible. If the results of this study are published or presented, then individual names and any other personally identifiable information will not be disclosed.

If participants decide to withdraw from this study at any point of time, we will only use their pre-collected data with your consent. If they agree to cooperate, we may include the data collected until that point for analysis, otherwise we will destroy every trace of already collected data.

De-identified information from this study may be used for future research studies or shared with other researchers for future research without your additional informed consent.

#### 13.0 Long-term Data and Specimen Storage and Sharing:

Survey response data shall be only stored for a period of 1 year after the forms have been filled by the participants.

- This data will be stored in Excel or CSV files with restricted-password access.
- The codes for analysis in Minitab or R shall be kept in a password protected zip file
- The entire folder for the research study data will be kept only on the password protected laptops of the authors (ONLY 2 devices)
- They shall not be emailed, forwarded manually, nor shall any other personnel other than the authors be allowed to access these files

Excel and CSV are used as they can be encrypted, and password protected by the inbuilt function of Microsoft. Data analysis shall be done using R and Minitab, as data compression is possible for their output files inside zip folders. Zip folders are used as they have a feature for password protection.

#### 14.0 Qualifications of Research Team to Conduct the Research:

The authors are third-year undergraduate students of Indian Institute of Technology, Kharagpur. They have also received formal professional training in fields of Human Computer Interaction and Usable Security and Privacy.

They have technical expertise to handle data securely and derive inferences from it using exploratory data analysis, machine learning and relevant regression techniques.



### INDIAN INSTITUTE OF TECHNOLOGY KHARAGPUR

#### **Recruitment Form for Research Study**

Study Title: Measuring the Effect of Culture on Usage of Encrypted
Communication in India

<u>Institution</u>: Indian Institute of Technology, Kharagpur

**Investigators**:

Ashish Gokarnkar Nandini Bajaj

Third-year Undergraduate, Third-year Undergraduate,

Industrial Engineering, Department of Chemistry,

IIT Kharagpur. IIT Kharagpur.

<u>ashish.gokarnkar@iitkgp.ac.in</u> <u>nandinibajaj@iitkgp.ac.in</u>

<u>Recruitment Procedure</u>: Participants shall be contacted via email circulated via Public Relations chairpersons to the entire student population of respective Indian Universities. For recruiting their parents, two methods shall be opted:

- 1. If university allows circulation of email specifically to parents, then they shall be contacted via the similar email template.
- 2. Else, provision for collection of phone numbers/ email ids of students' parents will be done in the demographics section of the survey section and a calling script will be used for confirming their recruitment.

#### **Template – Recruitment Email for Student/ Parent**

15<sup>th</sup> October 2020

Re: Measuring the Effect of Culture on Usage of Encrypted Communication in India

Dear Student/ Parent, Hoping that you are doing well.

I am writing to let you know about an opportunity to participate in a voluntary research study about "Measuring the Effect of Culture on Usage of Encrypted Communication in India". I, Ashish Gokarnkar, along with my colleague, Nandini Bajaj, from Indian Institute of Technology, Kharagpur have been investigating on this topic and wish your contribution for its conclusion.

Note: This research is completely voluntary and compensation arrangements are unallocated. You are eligible for this research if you have received this email from the Public Relations Chairperson of the University you are currently studying in or if you are the Guardian of a son/daughter/junior studying in the respective University.

Please check the following guidelines and only choose to participate if you agree with them. The entire participation procedure is voluntary.

- 1. Your responses will contribute to relate to culture
- 2. Your provided data will be saved for academic research purposes
- 3. You are willing to disclose information regarding your usage of text-message communication
- 4. You accept to not send the resources we provide to other people in your network

If you decide to participate in this study, you will

- 1. Check coherence with the objectives mentioned in the consent form link to consent form>. Time commitment for consent prospectus is 3 minutes.
- If agreement is provided, you are requested to attempt the following survey <link
  to survey> as sincerely as possible. The time required for the survey shall be 20
  minutes.
- 3. Receive INR 200/- as compensation for your efforts and time

The data we collect from you, shall not be used for any secondary purposes, and shall be deleted from existence after a period of 1 year. Within the timeframe, it shall only be utilized for research purposes authorized by the rules and regulations of Indian Institute of Technology, Kharagpur, and Constitution of India.

Thank you for your consideration, and once again, please do not hesitate to contact if you are interested in learning more about this Institutional Review Board approved project. You can reach us at: Ashish Gokarnkar (<a href="mailto:ashish.gokarnkar@iitkgp.ac.in">ashish.gokarnkar@iitkgp.ac.in</a>)
Nandini Bajaj (<a href="mailto:nandinibajaj@iitkgp.ac.in">nandinibajaj@iitkgp.ac.in</a>)

Ashish Gokarnkar, Investigator. Third-year Undergraduate Student, Indian Institute of Technology.

#### <u>Template – Telephone Script for Parents only</u>

Hello, my name is Ashish Gokarnkar. I am calling from Indian Institution of Technology, Kharagpur about a research study. Am I speaking to Mr./ Ms./ Mrs./ (other suitable title) <parent name>?

If "no," wait for the person to pick up or ask for a time to call back. Research topic will only be shared with the potential participant or when permissible the person's representative.

If "yes": Is this a good time to talk? I expect this phone call will take about 6 minutes of your time.

#### Arrange to call at another time, if appropriate.

I am calling about a research study of "Privacy in communication" called "Measuring the Effect of Culture on Usage of Encrypted Communication in India". The purpose of this research study is to learn more about the relation between social influence on a person and its consequences in encrypted messaging communication.

I wished to see if you are interested and would be willing to participate in our research study. If you agree, I will ask you some questions to see if you can be in the study. If it looks like you might be eligible, I shall share with you a link via an SMS:

1. First SMS would contain link to a consent form with shall enlist all that we would require in terms of agreement for the research. Time commitment for consent prospectus is 3 minutes

2. If agreement is provided, another link for a survey shall be sent to you via an SMS. We request you to attempt the following survey as sincerely as possible. The time required for the survey shall be 20 minutes.

Before we go on to the questions, let me tell you a little bit about your rights as a research subject.

You do not have to answer any questions that you do not want to, and you can choose to stop at any time without penalty. The research study is entirely voluntary. The main risk of answering my questions today, is that you might share some information regarding your relationships or loss of confidentiality. However, we will do our best to keep your information confidential by keeping no recordings of this call and maintaining no notes whatsoever regarding the contents of the call.

If you have questions about the study, you can call us at this same number. If you have questions about your rights as a research subject or research-related inquiry, you reach out to <a href="mailto:ashish.gokarnkar@iitkgp.ac.in">ashish.gokarnkar@iitkgp.ac.in</a>. I shall also share these details via the SMS for your reference.

May I go ahead with the eligibility questions?

If no, thank the individual and end the call.

#### If yes start the questions:

 Are you a parent of a child who attends an University for undergraduate degree?

If no, thank the individual for his time and end the call.

- I am going to give a list of things that would PROHIBIT you from being in the study. Please do not indicate if these things apply to you until the end of the list.
  - o You do not wish your responses to contribute as a relation to culture
  - You do not wish your data to be saved for academic research purposes
  - You do not wish to disclose information regarding your usage of textmessage communication

- You would like to send the resources we provide to other people in your network
- End the call if someone answers in the affirmative.

If eligible, thank the individual for his time and inform him about the incentive of INR 200/- for participation in the research study and send the SMS for consent form along with investigators' contact details, after consent agreement send the participant the SMS containing survey link; if not thank them for their time.

# Consent Form for Research Participation Indian Institute of Technology, Kharagpur

Study Number: e.g., IRB20-7854

Study Title: Measuring the Effect of Culture on Usage of Encrypted Communication in India

Researcher(s): Nandini Bajaj, Ashish Gokarnakar

Collaborating Institutions: Indian Institute of Technology, Kharagpur

**Description:** We are students at Indian Institute of Technology, Kharagpur doing a research study about Measuring the Effect of Culture on Usage of Encrypted Communication in India.

The study will be defined on the method of Instant Messaging in communication as it has been proposed to be the most prominent and equally susceptible in terms of privacy and security.

The project scenario is set as inclusive of two famous instant messaging apps. One of which is Whatsapp and the other being Facebook Messenger. Whatsapp is an app that is known to prioritize it's user's privacy based on the fact that all conversations on it are end-to-end encrypted. Facebook Messenger is a competitor of Whatsapp that focuses more on user satisfaction in terms of display appeal than user privacy, however it does have a hidden feature called secret messaging that employs end to end encryption which has low accessibility. We deploy our scenario by naming these applications in such a way so as to not cause any perception bias.

While recruiting individuals for answering our surveys we aim to focus on having representatives from multiple demographic and social backgrounds to ensure sufficient variance in the dimensions of culture.

In our survey we do not intend to ask any sensitive questions that might upset the participant. The survey aims at finding a link between social influence, culture and their impact on the usage of encrypted communication. Participation should take about 12 minutes to complete the survey with competence. Your participation is voluntary.

#### **Incentives:**

Every research participant will be offered Rupees 200 as appreciation for participation and effort. If all sections of the survey are not complete or we spot failure in performing at the attention checks you will not receive any incentive for participating in this study.

#### **Risks and Benefits:**

Your participation in this study does not involve any risk to you beyond that of everyday life. Taking part in this research study may not benefit you personally, but we may learn new things about human behaviour towards usage of encrypted communication, that could help others.

#### **Confidentiality:**

The individual responses noted in the survey will be stored in encrypted format for a year after it is coded for evaluation.

Results of this study may be used in publications and presentations. The study data will be handled as confidentially as possible. If the results of this study are published or presented then individual names and any other personal identifiable information will not be disclosed.

If you decide to withdraw from this study at any point of time, we will only use your pre-collected with your consent. If you agree to cooperate we may include the data collected until that point for analysis, otherwise we will destroy every trace of already collected data.

De-identified information from this study may be used for future research studies or shared with other researchers for future research without your additional informed consent.

#### Rights as a research participant:

Participation in the study is voluntary. You do not have to answer questions that you think would harm you personally. If at any point of time you prefer to withdraw your participation for any reason feel free to notify us, and it will cause no penalty.

We would encourage you to complete the survey in one go so that we can gain the best results from it.

If you have questions or concerns about the study, you can	n contact the researchers at
Nandini Bajaj	Ashish Gokarnakar
nandinibajaj@iitkgp.ac.in	ashish.gokarnkar@iitkgp.ac.in
If you have any questions about your rights as a participant in this research, feel you have been harmed, or wish to discuss other study-related concerns with someone who is not part of the research team, you can contact the researchers.	
Consent:	
By clicking "Agree" below, you confirm that you have read the consent form, are at least 18 years old, and agree to participate in the research. Please print or save a copy of this page for your records. Please fill the details below:	
FULL NAME AND SIGNATURE:	
EMAIL-ID:	
AGE:	
CITY OF RESIDENCE:	
HOME STATE:	
I AGREE to participate in the research	
I do NOT AGREE to participate in the research	

**Contacts & Questions:**