# Stability and instability in inverse problems

#### Mikhail I. Isaev

supervisor: Roman G. Novikov

Moscow Institute of Physics and Technology (the state university)

Centre de Mathématiques Appliquées, École Polytechnique

August 28, 2013.

# Plan of the presentation

- The Gel'fand inverse problem with boundary measurements represented as a Dirichlet-to-Neumann map
- The Gel'fand inverse problem with boundary measurements represented as an impedance boundary map (Robin-to-Robin map)
- Inverse scattering problems

## Basic assumptions

Consider the Schrödinger equation

$$-\Delta \psi + v(x)\psi = E\psi \text{ for } x \in D, \tag{1}$$

where

- D is an open bounded domain in  $\mathbb{R}^d$ ,
- $d \ge 2$ ,
- $\partial D \in C^2$ ,
- $v \in L^{\infty}(D)$ .

# Statement of the problem

Let

$$\mathcal{C}_v(E) = \left\{ \left( \psi|_{\partial D}, \frac{\partial \psi}{\partial \nu}|_{\partial D} \right) : \begin{array}{c} \text{for all sufficiently regular solutions $\psi$ of} \\ \text{equation (1) in $\bar{D} = D \cup \partial D$} \end{array} \right\}.$$

# Statement of the problem

Let

$$\mathcal{C}_v(E) = \left\{ \left( \psi|_{\partial D}, \frac{\partial \psi}{\partial \nu}|_{\partial D} \right) : \begin{array}{c} \text{for all sufficiently regular solutions $\psi$ of} \\ \text{equation (1) in $\bar{D} = D \cup \partial D$} \end{array} \right\}.$$

### Problem 1.

- Given  $C_v(E)$ .
- Find  $\boldsymbol{v}$ .

# Standart representation of the Cauchy data

The Dirichlet-to-Neumann map  $\hat{\Phi}_{v}(E)$  is defined by

$$\hat{\Phi}_v(E)(\psi|_{\partial D}) = rac{\partial \psi}{\partial 
u}|_{\partial D}.$$

Here we assume also that

**E** is not a Dirichlet eigenvalue for operator  $-\Delta + v$  in **D**.

# Standart representation of the Cauchy data

The Dirichlet-to-Neumann map  $\hat{\Phi}_{v}(E)$  is defined by

$$\hat{\Phi}_v(E)(\psi|_{\partial D}) = rac{\partial \psi}{\partial 
u}|_{\partial D}.$$

Here we assume also that

E is not a Dirichlet eigenvalue for operator  $-\Delta + v$  in D.

#### Problem 1a.

- Given  $\hat{\Phi}_v(E)$ .
- Find  $\boldsymbol{v}$ .



• Uniqueness.

6 / 37

- Uniqueness.
- Reconstruction.

- Uniqueness.
- Reconstruction.
- Stability: there is some function  $\phi$  such that

$$||v_2 - v_1||_{L^{\infty}(D)} \le \phi(||\hat{\Phi}_{v_2}(E) - \hat{\Phi}_{v_1}(E)||),$$
  
 $\phi(t) \to 0 \text{ as } t \to +0.$ 

## Historical remarks

Problem 1 was formulated for the first time by Gel'fand (1954).

## Historical remarks

Problem 1 was formulated for the first time by Gel'fand (1954).

## First global results:

	$d \geq 3$	d=2
Uniqueness:	Novikov (1988)	Bukhgeim (2008)
Reconstruction:	Novikov (1988)	Bukhgeim (2008)
Stability:	Alessandrini (1988)	Novikov-Santacesaria (2010)

## Historical remarks

Problem 1 was formulated for the first time by Gel'fand (1954).

### First global results:

	$d \geq 3$	d=2
Uniqueness:	Novikov (1988)	Bukhgeim (2008)
Reconstruction:	Novikov (1988)	Bukhgeim (2008)
Stability:	Alessandrini (1988)	Novikov-Santacesaria (2010)

The Calderón inverse problem (of the electrical impedance tomography): Calderón (1980), Slichter (1933), Tikhonov (1949), Druskin (1982),

Sylvester-Uhlmann (1987), Nachman (1996), Liu (1997).

#### • Instability results:

- Mandache (2001), optimality of logarithmic stability results in the case of zero energy (the Gel'fand-Calderón inverse problem) up to the value of some exponent.
- Cristo-Rondi (2003), some general schema for investigating questions of this type of instability.

#### • Instability results:

- Mandache (2001), optimality of logarithmic stability results in the case of zero energy (the Gel'fand-Calderón inverse problem) up to the value of some exponent.
- Cristo-Rondi (2003), some general schema for investigating questions of this type of instability.

#### • Lipschitz stability in the case of piecewise constant potentials:

- Alessandrini-Vessella (2005), the Calderón inverse problem.
- Rondi (2006), exponential growth of the Lipschitz constant.
- Beretta-Hoop-Qiu (2012), the Gel'fand inverse problem.
- Bourgeois (2013), some general scheme for investigating similar stability questions.

#### • Instability results:

- Mandache (2001), optimality of logarithmic stability results in the case of zero energy (the Gel'fand-Calderón inverse problem) up to the value of some exponent.
- Cristo-Rondi (2003), some general schema for investigating questions of this type of instability.

#### • Lipschitz stability in the case of piecewise constant potentials:

- Alessandrini-Vessella (2005), the Calderón inverse problem.
- Rondi (2006), exponential growth of the Lipschitz constant.
- Beretta-Hoop-Qiu (2012), the Gel'fand inverse problem.
- Bourgeois (2013), some general scheme for investigating similar stability questions.

#### Regularity and/or energy dependent stability estimates:

- Novikov (2011), effectivization of the result of Alessandrini (1988).
- Novikov (1998, 2005, 2008), Isakov (2011), Santacesaria (2013), the phenomena of increasing stability for the high-energy case.

## Logarithmic and Hölder-logarithmic stability estimates

## Theorem 1 (Isaev, Novikov [IN1]).

Let basic assumptions of Problem 1a hold and

- $d \geq 3$ , m > d, N > 0 and supp  $v_j \subset D$ ,
- $v_j \in W^{m,1}(\mathbb{R}^d)$  and  $||v_j||_{m,1} \leq N, j = 1, 2,$

Then, for any  $s \geq 0$ ,  $s \leq (m-d)/d$ ,

$$||v_1 - v_2||_{\mathbb{L}^{\infty}(D)} \le C \left( \ln \left( 3 + \delta^{-1} \right) \right)^{-s}, \tag{2}$$

where constant C depends only on N, D, m, s, E,

$$\delta = ||\hat{\Phi}_{v_1}(E) - \hat{\Phi}_{v_2}(E)||_{\mathbb{L}^{\infty}(\partial D) \to \mathbb{L}^{\infty}(\partial D)}.$$

In addition, for  $E \geq 0$ ,  $\tau \in (0,1)$  and any  $\alpha, \beta \geq 0$ ,  $\alpha + \beta \leq (m-d)/d$ ,

$$||v_1 - v_2||_{L^{\infty}(D)} \le A(1 + \sqrt{E})\delta^{\tau} + B(1 + \sqrt{E})^{-\alpha} \left(\ln\left(3 + \delta^{-1}\right)\right)^{-\beta}, \quad (3)$$

where constants A, B > 0 depend only on  $N, D, m, \alpha, \beta, \tau$ .

Let

$$s_0 = \frac{m-d}{m}, \quad s_1 = \frac{m-d}{d}, \quad s_2 = m-d.$$

Let

$$s_0 = \frac{m-d}{m}, \quad s_1 = \frac{m-d}{d}, \quad s_2 = m-d.$$

• Estimate (2) with  $s = s_0$  is a variation of the result of Alessandrini (1988). This stability result was improved by Novikov (2011) for E = 0 and d = 3: estimate (2) holds for  $s = s_2$  also. A principal advantage is that

$$s_1 \to +\infty$$
 and  $s_2 \to +\infty$  as  $m \to +\infty$ .

Let

$$s_0 = \frac{m-d}{m}, \quad s_1 = \frac{m-d}{d}, \quad s_2 = m-d.$$

• Estimate (2) with  $s = s_0$  is a variation of the result of Alessandrini (1988). This stability result was improved by Novikov (2011) for E = 0 and d = 3: estimate (2) holds for  $s = s_2$  also. A principal advantage is that

$$s_1 \to +\infty$$
 and  $s_2 \to +\infty$  as  $m \to +\infty$ .

- Mandache (2001), estimate (2) can not hold for E=0 and dimension  $d\geq 2$ 
  - when  $s>2m-\frac{m}{d}$  for real-valued potentials,
  - when s > m for complex-valued potentials.

Let

$$s_0 = \frac{m-d}{m}, \quad s_1 = \frac{m-d}{d}, \quad s_2 = m-d.$$

• Estimate (2) with  $s = s_0$  is a variation of the result of Alessandrini (1988). This stability result was improved by Novikov (2011) for E = 0 and d = 3: estimate (2) holds for  $s = s_2$  also. A principal advantage is that

$$s_1 \to +\infty$$
 and  $s_2 \to +\infty$  as  $m \to +\infty$ .

- Mandache (2001), estimate (2) can not hold for E=0 and dimension  $d\geq 2$ 
  - when  $s>2m-\frac{m}{d}$  for real-valued potentials,
  - when s > m for complex-valued potentials.
- If we put  $\alpha = s_1$ ,  $\beta = 0$  in estimate (3), we get that

$$||v_1 - v_2||_{L^{\infty}(D)} \le A(1 + \sqrt{E})\delta^{\tau} + B(1 + \sqrt{E})^{-\frac{m-d}{d}}.$$

Similar estimates (but with modified exponent) follows from the approximate reconstruction algorithms of Novikov (1999, 2005).

Consider the union of the energy intervals  $S = \bigcup_{j=1}^{K} I_j$  such that DtN maps  $\hat{\Phi}_{v_1}(E)$ ,  $\hat{\Phi}_{v_2}(E)$  are correctly defined for any  $E \in S$ .

It was shown in [Isaev1] that estimate

$$||v_1 - v_2||_{\mathbb{L}^{\infty}(D)} \le C \sup_{E \in S} (\ln(3 + \delta(E)^{-1}))^{-s},$$

where C = C(N, D, m, s, S), can not hold with s > 2m for real-valued potentials and with s > m for complex potentials.

Let  $A, B, \alpha, \beta, \kappa, \tau \geq 0$ . We consider class of estimates of the type

$$\|v_1-v_2\|_{\mathbb{L}^\infty(D)} \leq A(1+\sqrt{E})^\kappa \delta^ au + B(1+\sqrt{E})^{-lpha} \left(\ln\left(3+\delta^{-1}
ight)
ight)^{-eta}.$$

Let  $A, B, \alpha, \beta, \kappa, \tau \geq 0$ . We consider class of estimates of the type

$$\|v_1-v_2\|_{\mathbb{L}^\infty(D)} \leq A(1+\sqrt{E})^\kappa \delta^ au + B(1+\sqrt{E})^{-lpha} \left(\ln\left(3+\delta^{-1}
ight)
ight)^{-eta}.$$

Due to Theorem 1 we have that

• for 
$$\alpha + \beta \leq \frac{m-d}{d}$$

hold

Let  $A, B, \alpha, \beta, \kappa, \tau \geq 0$ . We consider class of estimates of the type

$$\|v_1-v_2\|_{\mathbb{L}^\infty(D)} \leq A(1+\sqrt{E})^\kappa \delta^ au + B(1+\sqrt{E})^{-lpha} \left(\ln\left(3+\delta^{-1}
ight)
ight)^{-eta}.$$

Due to Theorem 1 we have that

• for 
$$\alpha + \beta \leq \frac{m-d}{d}$$

hold

According to results of [Isaev2]

• for 
$$\alpha + 2\beta > 2m$$

can not hold

Let  $A, B, \alpha, \beta, \kappa, \tau \geq 0$ . We consider class of estimates of the type

$$\|v_1-v_2\|_{\mathbb{L}^\infty(D)} \leq A(1+\sqrt{E})^\kappa \delta^ au + B(1+\sqrt{E})^{-lpha} \left(\ln\left(3+\delta^{-1}
ight)
ight)^{-eta}.$$

Due to Theorem 1 we have that

• for 
$$\alpha + \beta \le \frac{m-d}{d}$$

hold

According to results of [Isaev2]

• for 
$$\alpha + 2\beta > 2m$$

can not hold

In particular, results of [Isaev2] show optimality of the estimate

$$||v_1-v_2||_{L^{\infty}(D)} \leq A(1+\sqrt{E})\delta^{ au} + B(1+\sqrt{E})^{-rac{m-d}{d}}.$$

## The weakness

Bad news: stability estimates given earlier make no sense if

E is a Dirichlet eigenvalue  $-\Delta + v$  in D,

or too weak if energy  $\boldsymbol{E}$  is close to Dirichlet spectrum.

## The weakness

Bad news: stability estimates given earlier make no sense if

E is a Dirichlet eigenvalue  $-\Delta + v$  in D,

or too weak if energy E is close to Dirichlet spectrum.

**Idea:** let us consider another operator representation of the Cauchy data set

$$\mathcal{C}_v(E) = \left\{ \left( \psi|_{\partial D}, \frac{\partial \psi}{\partial \nu}|_{\partial D} \right) : \begin{array}{c} \text{for all sufficiently regular solutions $\psi$ of} \\ \text{equation (1) in $\bar{D} = D \cup \partial D$} \end{array} \right\} :$$

$$\hat{M}_{c_1,c_2,c_3,c_4}\left(c_1\psi|_{\partial D}+c_2\frac{\partial\psi}{\partial\nu}|_{\partial D}\right)=\left(c_3\psi|_{\partial D}+c_4\frac{\partial\psi}{\partial\nu}|_{\partial D}\right).$$

← 4 回 ト 4 回 ト 4 重 ト ■ 一 夕 Q ○

# Impedance boundary map (Robin-to-Robin map)

Let consider the map  $\hat{M}_{\alpha,v}(E)$  defined by

$$\hat{M}_{\alpha,v}[\psi]_{\alpha} = [\psi]_{\alpha-\pi/2}$$

for all suffuciently regular solutions  $\psi$  of equation (1) in  $\bar{D} = D \cup \partial D$ , where

$$[\psi]_{lpha} = [\psi(x)]_{lpha} = \coslpha\,\psi(x) - \sinlpha\,rac{\partial\psi}{\partial
u}|_{\partial D}(x), \;\; x\in\partial D.$$

# Impedance boundary map (Robin-to-Robin map)

Let consider the map  $\hat{M}_{\alpha,\nu}(E)$  defined by

$$\hat{M}_{lpha,v}[\psi]_lpha = [\psi]_{lpha-\pi/2}$$

for all suffuciently regular solutions  $\psi$  of equation (1) in  $\bar{D} = D \cup \partial D$ , where

$$[\psi]_{lpha} = [\psi(x)]_{lpha} = \cos lpha \, \psi(x) - \sin lpha \, rac{\partial \psi}{\partial \mu}|_{\partial D}(x), \;\; x \in \partial D.$$

### Problem 1b.

- Given  $M_{\alpha,\nu}(E)$  for some fixed E and  $\alpha$ .
- Find  $\boldsymbol{v}$ .

# Impedance boundary map (Robin-to-Robin map)

#### We have that

• there is not more than a countable number of  $\alpha$  such that E is an eigenvalue for the operator  $-\Delta + v$  in D with the boundary condition

$$\cos \alpha \, \psi|_{\partial D} - \sin \alpha \, \frac{\partial \psi}{\partial \nu}|_{\partial D} = 0,$$

• the map  $\hat{M}_{\alpha}$  is reduced to the Dirichlet-to-Neumann map if  $\alpha = 0$  is reduced to the Neumann-to-Dirichlet map if  $\alpha = \pi/2$ .

◆□▶ ◆圖▶ ◆園▶ ◆園▶ ■ めの@

# Stability estimates for $d \geq 3$

### Theorem 2 (Isaev, Novikov [IN2]).

Let basic assumptions of Problem 1b hold and

• 
$$d \geq 3$$
,  $m > d$ ,  $N > 0$  and supp  $v_j \subset D$ ,

• 
$$v_j \in W^{m,1}(\mathbb{R}^d)$$
 and  $||v_i||_{m,1} \leq N, j = 1, 2$ ,

Then, for any  $s \geq 0$ ,  $s \leq (m-d)/m$ ,

$$||v_1 - v_2||_{\mathbb{L}^{\infty}(D)} \le C_{\alpha} \left( \ln \left( 3 + \delta_{\alpha}^{-1} \right) \right)^{-s}, \tag{4}$$

where constant  $C_{\alpha} = C_{\alpha}(N, D, m, s, E)$ ,

$$\delta_{\alpha} = ||\hat{M}_{\alpha,v_1}(E) - \hat{M}_{\alpha,v_2}(E)||_{\mathbb{L}^{\infty}(\partial D) \to \mathbb{L}^{\infty}(\partial D)}.$$

Estimate (4) with  $\alpha = 0$  is a variation of the result of Alessandrini (1988).

→□▶ →□▶ → □▶ → □ ♥ 990

# Stability estimates for d=2

## Theorem 3 (Isaev, Novikov [IN2]).

Let basic assumptions of Problem 1b hold and

- d = 2, N > 0 and supp  $v_j \subset D$ ,
- $v_j \in C^2(\bar{D})$  and  $||v_j||_{C^2(\bar{D})} \leq N, j = 1, 2,$

Then, for any  $0 < s \le 3/4$ ,

$$||v_1-v_2||_{\mathbb{L}^\infty(D)} \leq C_lpha \left(\ln\left(3+\delta_lpha^{-1}
ight)
ight)^{-s} \left(\ln\left(3\ln\left(3+\delta_lpha^{-1}
ight)
ight)
ight)^2,$$

where constant  $C_{\alpha} = C_{\alpha}(N, D, s, E)$ ,

$$\delta_{\alpha} = ||\hat{M}_{\alpha,v_1}(E) - \hat{M}_{\alpha,v_2}(E)||_{\mathbb{L}^{\infty}(\partial D) \to \mathbb{L}^{\infty}(\partial D)}.$$

Theorem 3 for  $\alpha = 0$  was given by Novikov-Santacesaria (2010) with s = 1/2 and by Santacesaria (2012) with s = 3/4.

# Stability of determining a potential from its Cauchy data

Theorems 2 and 3 imply, in particular, that

• For 
$$d \geq 3$$
 and  $0 < s \leq (m-d)/m$  
$$||v_1 - v_2||_{\mathbb{L}^{\infty}(D)} \leq \min_{\alpha \in \mathbb{R}} C_{\alpha} \left(\ln\left(3 + \delta_{\alpha}^{-1}\right)\right)^{-s}.$$

• For d = 2 and  $0 < s \le 3/4$ ,

$$||v_1-v_2||_{\mathbb{L}^{\infty}(D)} \leq \min_{lpha\in\mathbb{R}} C_{lpha} \left(\ln\left(3+\delta_{lpha}^{-1}
ight)
ight)^{-s} \left(\ln\left(3\ln\left(3+\delta_{lpha}^{-1}
ight)
ight)^{2}.$$

#### Idea of the proofs

For any suffuciently regular solutions  $\psi_1$  and  $\psi_2$  of equation (1) in  $\bar{D} = D \cup \partial D$  with  $v = v_1$  and  $v = v_2$ , respectively, the following identity holds (see [IN2]):

$$\int_{D} (v_1 - v_2) \, \psi_1 \psi_2 \, dx = \int_{\partial D} [\psi_1]_{\alpha} \left( \hat{M}_{\alpha, v_1}(E) - \hat{M}_{\alpha, v_2}(E) \right) [\psi_2]_{\alpha} dx.$$
 (5)

Identity (5) for  $\alpha = 0$  is reduced to Alessandrini's identity.

## Idea of the proofs

For any suffuciently regular solutions  $\psi_1$  and  $\psi_2$  of equation (1) in  $\bar{D} = D \cup \partial D$  with  $v = v_1$  and  $v = v_2$ , respectively, the following identity holds (see [IN2]):

$$\int_{D} (v_1 - v_2) \, \psi_1 \psi_2 \, dx = \int_{\partial D} [\psi_1]_{\alpha} \left( \hat{M}_{\alpha, v_1}(E) - \hat{M}_{\alpha, v_2}(E) \right) [\psi_2]_{\alpha} dx.$$
 (5)

Identity (5) for  $\alpha = 0$  is reduced to Alessandrini's identity.

#### Corollary (Isaev, Novikov [IN2]).

Under basic assumptions real-valued potential v is uniquely determined by its Cauchy data  $C_v(E)$  at fixed real energy E in dimension  $d \geq 2$ .

To our knowledge the result of this corollary for  $d \geq 3$  was not yet completely proved in the literature.

# Schema of reconstruction of a potential v from $M_{v,\alpha}(E)$

Let  $S_E$  and  $S_E^0$  denote (generalized) scattering data for the unknown potential v and some known base potential  $v^0$ , respectively.

# Schema of reconstruction of a potential v from $M_{v,\alpha}(E)$

Let  $S_E$  and  $S_E^0$  denote (generalized) scattering data for the unknown potential v and some known base potential  $v^0$ , respectively.

 $0 v^0 \to S_E^0, \hat{M}_{\alpha,v^0}(E)$  via direct problem methods,

# Schema of reconstruction of a potential v from $M_{v,\alpha}(E)$

Let  $S_E$  and  $S_E^0$  denote (generalized) scattering data for the unknown potential v and some known base potential  $v^0$ , respectively.

- $lackbox{0}\ v^0 
  ightarrow S_E^0, \hat{M}_{lpha,v^0}(E)$  via direct problem methods,
- $\hat{M}_{\alpha,v^0}(E), \hat{M}_{\alpha,v}(E), S_E^0 \to S_E$  as described in [IN3],



# Schema of reconstruction of a potential v from $\hat{M}_{v,\alpha}(E)$

Let  $S_E$  and  $S_E^0$  denote (generalized) scattering data for the unknown potential v and some known base potential  $v^0$ , respectively.

- $lackbox{0}\ v^0 
  ightarrow S_E^0, \hat{M}_{lpha,v^0}(E)$  via direct problem methods,
- $\hat{M}_{\alpha,v^0}(E), \hat{M}_{\alpha,v}(E), S_E^0 \to S_E$  as described in [IN3],
- ③  $S_E \rightarrow v$  as described by Grinevich (1988, 2000), Henkin-Novikov (1987), Novikov (1992 2009), Novikov-Santacesaria (2013).

# Schema of reconstruction of a potential v from $\hat{M}_{v,\alpha}(E)$

Let  $S_E$  and  $S_E^0$  denote (generalized) scattering data for the unknown potential v and some known base potential  $v^0$ , respectively.

- $lackbox{0}\ v^0 o S_E^0, \hat{M}_{lpha,v^0}(E)$  via direct problem methods,
- $\hat{M}_{\alpha,v^0}(E), \hat{M}_{\alpha,v}(E), S_E^0 \to S_E$  as described in [IN3],
- ③  $S_E \rightarrow v$  as described by Grinevich (1988, 2000), Henkin-Novikov (1987), Novikov (1992 2009), Novikov-Santacesaria (2013).

In addition, numerical efficiency of related inverse scattering techniques was shown by the research group headed by V.A. Burov (2000, 2008, 2009, 2012), see also Bikowski-Knudsen-Mueller (2011).



#### Basic assumptions

Consider the three-dimensional stationary acoustic equation at frequency  $\omega$  in an inhomogeneous medium with refractive index n

$$\Delta \psi + \omega^2 n(x)\psi = 0, \quad x \in \mathbb{R}^3, \ \omega > 0, \tag{6}$$

where

- $(1-n) \in W^{m,1}(\mathbb{R}^3)$  for some m > 3,
- $\bullet \ \operatorname{Im} n(x) \geq 0, \quad x \in \mathbb{R}^3,$
- supp  $(1-n) \subset B_{r_1}$  for some  $r_1 > 0$ ,

where  $W^{m,1}(\mathbb{R}^3)$  denotes the Sobolev space of m-times smooth functions in  $\mathbb{L}^1$  and  $B_r$  is the open ball of radius r centered at 0.

#### The Green function

Let  $G^+(x, y, \omega)$  denote the Green function for the operator  $\Delta + \omega^2 n(x)$  with the Sommerfeld radiation condition:

$$egin{aligned} \left(\Delta + \omega^2 n(x)
ight) G^+(x,y,\omega) &= \delta(x-y), \ \lim_{|x| o \infty} |x| \left(rac{\partial G^+}{\partial |x|}(x,y,\omega) - i\omega G^+(x,y,\omega)
ight) &= 0, \ & ext{uniformly for all directions } \hat{x} &= x/|x|, \ x,y \in \mathbb{R}^3, \; \omega > 0. \end{aligned}$$

It is know that, under basic assumptions, the function  $G^+$  is uniquely specified, see, for example, Colton-Kress (1998), Hähner-Hohage (2001).

## Near-field inverse scattering problem

We consider, in particular, the following near-field inverse scattering problem for equation (6):

#### Problem 2.

- Given  $G^+$  on  $\partial B_r \times \partial B_r$  for fixed  $\omega > 0$  and  $r > r_1$ .
- Find n on  $B_{r_1}$ .

## Scattering amplitude

Consider also the solutions  $\psi^+(x, k)$ ,  $x \in \mathbb{R}^3$ ,  $k \in \mathbb{R}^3$ ,  $k^2 = \omega^2$ , of equation (6) specified by the following asymptotic condition:

$$\psi^{+}(x,k) = e^{ikx} - 2\pi^{2} \frac{e^{i|k||x|}}{|x|} f\left(k, |k| \frac{x}{|x|}\right) + o\left(\frac{1}{|x|}\right)$$
as  $|x| \to \infty$  (uniformly in  $\frac{x}{|x|}$ ),

with some a priory unknown f.

The function f on  $\mathcal{M}_{\omega} = \{k \in \mathbb{R}^3, l \in \mathbb{R}^3 : k^2 = l^2 = \omega^2\}$  arising in (7) is the classical scattering amplitude for equation (6).

→ロト ←回ト ← 三ト ← 三 ・ りへ○

## Far-field inverse scattering problem

In addition to Problem 2, we consider also the following far-field inverse scattering problem for equation (6):

#### Problem 3.

- Given f on  $\mathcal{M}_{\omega}$  for some fixed  $\omega > 0$ .
- Find n on  $B_{r_1}$ .

## Far-field inverse scattering problem

In addition to Problem 2, we consider also the following far-field inverse scattering problem for equation (6):

#### Problem 3.

- Given f on  $\mathcal{M}_{\omega}$  for some fixed  $\omega > 0$ .
- Find n on  $B_{r_1}$ .
- It was shown by Berezanskii (1958) that the near-field scattering data of Problem 2 are uniquely determined by the far-field scattering data of Problem 3 and vice versa.
- Global uniqueness for Problems 2 and 3 was proved for the first time in Novikov (1988); in addition, this proof is constructive.
- Stability estimates were given for the first time by Stefanov(1990).

#### Stability estimate

#### Theorem 4 (Isaev, Novikov [IN4]).

Let N > 0 and  $r > r_1$  be fixed constants. Then there exists a positive constant C (depending only on m,  $\omega$ ,  $r_1$ , r and N) such that for all refractive indices  $n_1$ ,  $n_2$  satisfying

- supp  $(1 n_1)$ , supp  $(1 n_2) \subset B_{r_1}$ ,

the following estimate holds:

$$||n_1 - n_2||_{\mathbb{L}^{\infty}(\mathbb{R}^3)} \le C \left( \ln \left( 3 + \delta^{-1} \right) \right)^{-s}, \quad s = \frac{m-3}{3},$$
 (8)

where  $\delta = ||G_1^+ - G_2^+||_{\mathbb{L}^2(\partial B_r \times \partial B_r)}$  and  $G_1^+, G_2^+$  are the near-field scattering data for the refractive indices  $n_1, n_2$ , respectively, at fixed frequency  $\omega$ .

For some regularity dependent s but always smaller than 1 the stability estimate of Theorems 4 was proved by Hähner-Hohage (2001).

## Stability estimate

#### Theorem 5 (Isaev, Novikov [IN4]).

Let N>0 and  $0<\epsilon<\frac{m-3}{3}$  be fixed constants. Then there exists a positive constant C (depending only on  $m, \epsilon, \omega, r_1$  and N) such that for all refractive indices  $n_1$ ,  $n_2$  satisfying

- $||1-n_1||_{m,1}, ||1-n_2||_{m,1} < N,$
- supp  $(1-n_1)$ , supp  $(1-n_2) \subset B_{r_1}$ .

the following estimate holds:

$$||n_1 - n_2||_{\mathbb{L}^{\infty}(\mathbb{R}^3)} \le C \left( \ln \left( 3 + \delta^{-1} \right) \right)^{-s + \epsilon}, \quad s = \frac{m - 3}{3},$$
 (9)

where  $\delta = ||f_1 - f_2||_{\mathbb{L}^2(\mathcal{M}_{(1)})}$  and  $f_1, f_2$  denote the scattering amplitudes for the refractive indices  $n_1$ ,  $n_2$ , respectively, at fixed frequency  $\omega$ .

For some regularity dependent s but always smaller than 1 the stability estimate of Theorems 4 was proved by Hähner-Hohage (2001).

## Solution of the open problem

Possibility of estimates (8), (9) with s > 1 was formulated by Hähner-Hohage (2001) as an open problem.

## Solution of the open problem

Possibility of estimates (8), (9) with s > 1 was formulated by Hähner-Hohage (2001) as an open problem.

Our estimates (8), (9) with  $s = \frac{m-3}{3}$  give a solution of this problem. Indeed,

$$s = \frac{m-3}{3} \to +\infty$$
 as  $m \to +\infty$ .

#### Instability result

Result of Stefanov (1990): for some s always smaller than 1

$$||n_1-n_2||_{\mathbb{L}^\infty(\mathbb{R}^3)} \leq C \left(\ln\left(3+\|f_1-f_2\|_S^{-1}
ight)
ight)^{-s},$$

where some special norm  $||f_1 - f_2||_S$  is used and

$$||f_1 - f_2||_{\mathbb{L}^2(\mathcal{M}_{\omega})} \le c ||f_1 - f_2||_S.$$

#### Instability result

Result of Stefanov (1990): for some s always smaller than 1

$$||n_1-n_2||_{\mathbb{L}^\infty(\mathbb{R}^3)} \leq C \left(\ln\left(3+\|f_1-f_2\|_S^{-1}
ight)
ight)^{-s},$$

where some special norm  $\|f_1 - f_2\|_S$  is used and

$$||f_1 - f_2||_{\mathbb{L}^2(\mathcal{M}_{\omega})} \le c ||f_1 - f_2||_S.$$

It was shown in [Isaev3] that for any interval  $I=[\omega_1,\omega_2],\,\omega_1>0$ , estimate

$$||n_1 - n_2||_{\mathbb{L}^{\infty}(D)} \leq C \sup_{\omega \in I} \left( \ln(3 + \|f_1 - f_2\|_S^{-1}) \right)^{-s}$$

where C = C(N, D, m, I), can not hold with s > 2m in the case of the scattering amplitude given on the interval of frequencies and with s > 5m/3 in the case of fixed frequency.

#### Basic assumptions

Now we focus on inverse scattering for the Schrödinger equation

$$L\psi = E\psi, \quad L = -\Delta + v(x), \quad x \in \mathbb{R}^d, \quad d \ge 2,$$
 (10)

where

- v is real-valued,  $v \in \mathbb{L}^{\infty}(\mathbb{R}^d)$
- $v(x) = O(|x|^{-d-\varepsilon}), |x| \to \infty$ , for some  $\varepsilon > 0$ .

#### The Green function

Consider the resolvent R(E) of the Schrödinger operator L in  $\mathbb{L}^{2}(\mathbb{R}^{d})$ :

$$R(E) = (L - E)^{-1}, \quad E \in \mathbb{C} \setminus \sigma(L).$$

Let R(x, y, E) denote the Schwartz kernel of R(E) as an integral operator. Consider also

$$R^+(x,y,E)=R(x,y,E+i0), \quad x,y\in\mathbb{R}^d, \ \ E\in\mathbb{R}_+.$$

We recall that in the framework of equation (10) the function  $R^+(x, y, E)$ describes scattering of the spherical waves

$$R_0^+(x,y,E) = -rac{i}{4} \left(rac{\sqrt{E}}{2\pi|x-y|}
ight)^{rac{d-2}{2}} H_{rac{d-2}{2}}^{(1)}(\sqrt{E}|x-y|),$$

generated by a source at y (where  $H_{\mu}^{(1)}$  is the Hankel function of the first kind of order  $\mu$ ). We recall also that  $R^+(x,y,E)$  is the Green function for L-E,  $E \in \mathbb{R}_+$ , with the Sommerfeld radiation condition at infinity.

August 28, 2013.

## Near-field inverse scattering problem

In addition, the function

$$S^{+}(x, y, E) = R^{+}(x, y, E) - R_{0}^{+}(x, y, E),$$
  
 $x, y \in \partial B_{r}, E \in \mathbb{R}_{+}, r \in \mathbb{R}_{+},$ 

is considered as near-field scattering data for equation (10).

## Near-field inverse scattering problem

In addition, the function

$$S^{+}(x, y, E) = R^{+}(x, y, E) - R_{0}^{+}(x, y, E),$$
  
 $x, y \in \partial B_{r}, E \in \mathbb{R}_{+}, r \in \mathbb{R}_{+},$ 

is considered as near-field scattering data for equation (10).

We consider, in particular, the following near-field inverse scattering problem for equation (10):

#### Problem 4.

- Given  $S^+$  on  $\partial B_r \times \partial B_r$  for some fixed  $r, E \in \mathbb{R}_+$ .
- Find v on  $B_r$ .

This problem can be considered under the assumption that v is a priori known on  $\mathbb{R}^d \setminus B_r$ . We consider Problem 4 under the assumption that  $v \equiv 0$  on  $\mathbb{R}^d \setminus B_r$  for some fixed  $r \in \mathbb{R}_+$ .

## Approaches to the problem

August 28, 2013.

## Approaches to the problem

• It is well-known that the near-field scattering data of Problem 4 uniquely and efficiently determine the scattering amplitude f for equation (10) at fixed energy E, see Berezanskii (1958).

#### Approaches to the problem

• It is well-known that the near-field scattering data of Problem 4 uniquely and efficiently determine the scattering amplitude f for equation (10) at fixed energy E, see Berezanskii (1958).

• It is also known that the near-field data of Problem 4 uniquely determine the Dirichlet-to-Neumann map in the case when E is not a Dirichlet eigenvalue for operator L in  $B_r$ , see Nachman (1988), Novikov (1988).

## Hölder-logarithmic stability estimate for $d \geq 3$

#### Theorem 6 ([Isaev4]).

Let E>0 and  $r>r_1>0$  be given constants. Let dimension  $d\geq 3$  and potentials  $v_1,v_2$  be real-valued such that

- $v_j \in W^{m,1}(\mathbb{R}^d), m > d, \operatorname{supp} v_j \subset B_{r_1},$
- $||v_j||_{m,1} \leq N$  for some N > 0, j = 1, 2.

Let  $S_1^+(E)$  and  $S_2^+(E)$  denote the near-field scattering data for  $v_1$  and  $v_2$ , respectively. Then for  $\tau \in (0,1)$  and any  $s \in [0,s_1]$  the following estimate holds:

$$||v_2-v_1||_{\mathbb{L}^{\infty}(B_r)} \leq A(1+E)^{\frac{5}{2}}\delta^{\tau} + B(1+E)^{\frac{s-s_1}{2}} \left(\ln\left(3+\delta^{-1}\right)\right)^{-s},$$

where  $s_1 = \frac{m-d}{d}$ ,  $\delta = ||S_1^+(E) - S_2^+(E)||_{\mathbb{L}^2(\partial B_r \times \partial B_r)}$ , and constants A, B > 0 depend only on  $N, m, d, r, \tau$ .

- 4 ロ ト 4 団 ト 4 星 ト 4 星 ト 9 Q CP

#### Logarithmic stability estimate for d=2

#### Theorem 7 ([Isaev4]).

Let E > 0 and  $r > r_1 > 0$  be given constants. Let dimension d = 2 and and potentials  $v_1, v_2$  be real-valued such that

- $v_j \in C^2(\mathbb{R}^d)$ , supp  $v_j \subset B_{r_1}$ ,
- $||v_j||_{m,1} \leq N$  for some N > 0, j = 1, 2.

Let  $S_1^+(E)$  and  $S_2^+(E)$  denote the near-field scattering data for  $v_1$  and  $v_2$ , respectively. Then

$$||v_1-v_2||_{\mathbb{L}^{\infty}(B_r)} \leq C \left(\ln\left(3+\delta^{-1}
ight)
ight)^{-3/4} \left(\ln\left(3\ln\left(3+\delta^{-1}
ight)
ight)
ight)^2,$$

where  $\delta = ||S_1^+(E) - S_2^+(E)||_{\mathbb{L}^2(\partial B_r \times \partial B_r)}$  and C > 0 depends only on N, m, r.

#### **Publications**

- [IN1] M.I. Isaev, R.G. Novikov, Energy and regularity dependent stability estimates for the Gel'fand inverse problem in multidimensions, J. of Inverse and Ill-posed Probl., Vol. 20(3), 2012, 313–325.
- [Isaev1] M.I. Isaev, Exponential instability in the Gel'fand inverse problem on the energy intervals, J. Inverse Ill-Posed Probl., Vol. 19(3), 2011, 453-473.
- [Isaev2] M.I. Isaev, Instability in the Gel'fand inverse problem at high energies, Applicable Analysis, 2012, DOI:10.1080/00036811.2012.731501.
  - [IN2] M.I. Isaev, R.G. Novikov, Stability estimates for determination of potential from the impedance boundary map, Algebra and Analysis, Vol. 25(1), 2013, 37-63.
  - [IN3] M.I. Isaev, R.G. Novikov, Reconstruction of a potential from the impedance boundary map, Eurasian Journal of Mathematical and Computer Applications, Vol. 1(1), 2013, 5-28.
  - [IN4] M.I. Isaev, R.G. Novikov, New global stability estimates for monochromatic inverse acoustic scattering, SIAM Journal on Mathematical Analysis, Vol. 45(3), 2013, 1495-1504.
- [Isaev3] M.I. Isaev, Exponential instability in the inverse scattering problem on the energy interval, Func. Anal. i ego Pril., Vol. 47(3), 2013, 28-36.
- [Isaev4] M.I. Isaev, Energy and regularity dependent stability estimates for near-field inverse scattering in multidimensions, Journal of Mathematics, Hindawi Publishing Corp., 2013, DOI:10.1155/2013/318154.

#### The end

Thank you for your attention!