

# Generalized boundary conditions and inverse problems

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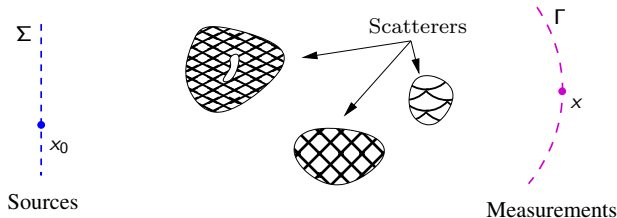
Joint work with

L. Bourgeois, M. Chamaillard, **N. Chaulet**

CMAP, January 2013

# General applicative context

Radar, Sonar, Medical Imaging, Non destructive testing, ...



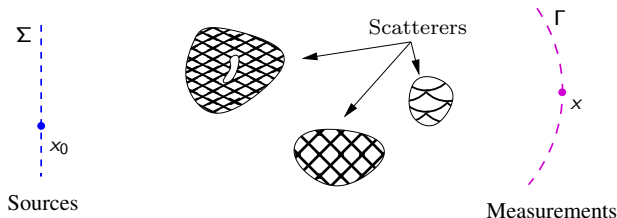
**Inverse problem:** Determine the geometry (**imaging**) and some physical properties (**identification**) of inclusions from the knowledge of diffracted fields (associated with several incident waves).

- nonlinear problem
- unstable with respect to measurement error (ill-posed problem)

None of the existing numerical methods can efficiently treat the problem in its general setting.

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**Main interests:** We consider problems for which the linearization is not possible (strongly non linear problems)

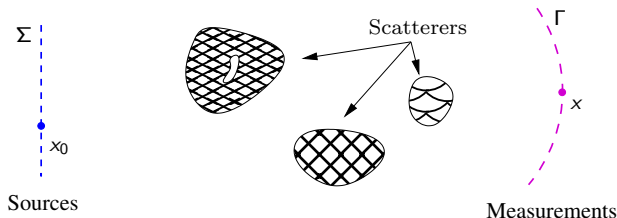
- ▶ inclusions with high contrasts, frequency in the resonant regime
- ▶ **multiple scales**, complex topology

⇒ We use **multistatic** data at fixed frequencies.

**Goal:** Get reliable **qualitative** information with a **few a priori** information (on physical properties).

# General applicative context

Radar, Sonar, Medical Imaging, Non destructive testing, ...



## Possible Inversion Methods:

- ▶ Qualitative methods: e.g. Sampling Methods (Colton-Kirsch 1996): model-free methods but require many measurements
- ▶ Non linear optimization methods: require relatively simple (but relevant!) models.

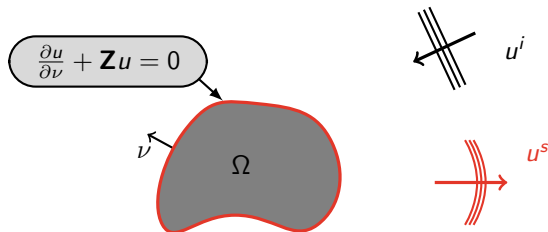
**This talk:** presents a mixture of both strategies in the case of Generalized Impedance Boundary Conditions (**GIBC**).

# Outline

1. GIBC models: motivation and general settings for the scalar case
2. On the analysis of the direct problem
3. The Factorization Method for GIBC
4. Quick overview of a steepest descent method
5. Few words on the Maxwell case
6. Open problems and perspectives

# General scattering model

Scalar scattering problems from obstacles can be formally written as



$$\Delta u^s + k^2 u^s = 0 \quad \text{in} \quad \mathbb{R}^d \setminus \overline{\Omega} =: \Omega_{\text{ext}}$$

$$\lim_{R \rightarrow \infty} \int_{|x|=R} |\partial_r u^s - i k u^s|^2 ds = 0$$

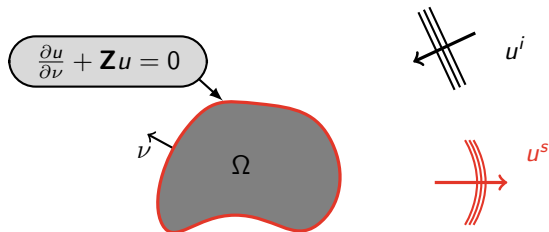
$$u = u^s + u^i \quad \text{in} \quad \Omega_{\text{ext}}$$

**Z**: is the **Dirichlet-to-Neumann** operator for the wave equation inside  $\Omega$ .

This operator is **non local** in general and exact evaluation of **Z** may be computationally expensive: typically if  $\Omega$  involves a **small scale**  $\delta \ll 2\pi/k$ .

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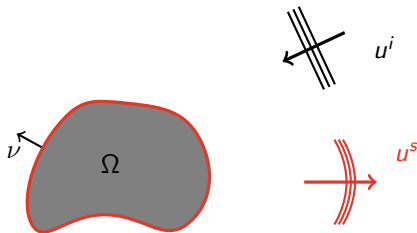
$$u = u^s + u^i \quad \text{in} \quad \Omega_{\text{ext}}$$

$\mathbf{Z}$ : is the **Dirichlet-to-Neumann** operator for the wave equation inside  $\Omega$ .

**GIBC**: is an approximation of  $\mathbf{Z}$  in terms of **local surface** operators.

# Examples of GIBC

## Imperfectly conducting obstacles



### Inside the scatterer:

$$\Delta u + k^2 u + ik\sigma u = 0$$

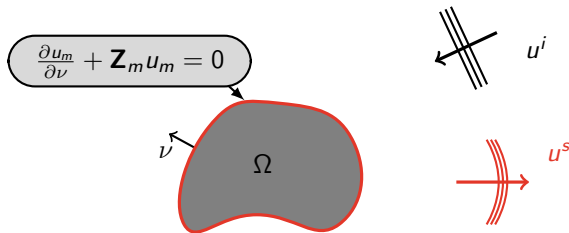
**Absorption:** the wave decays inside  $\Omega$  like  $\exp(-\frac{\sqrt{2}}{2}\sqrt{k\sigma}|x \cdot \nu|)$

**Small parameter for  $\sigma \gg 1$ :**  $\delta = 1/\sqrt{k\sigma}$  (skin-depth)



# Examples of GIBC

## Imperfectly conducting obstacles



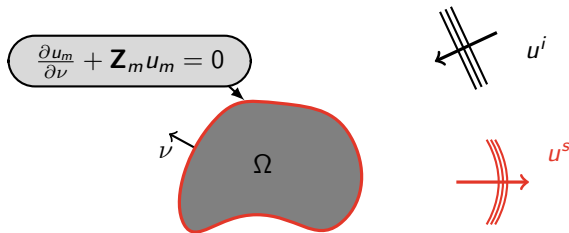
**GIBC:**  $\mathbf{Z} = \mathbf{Z}_m \Rightarrow \|u - u_m\| = O(\delta^{m+1})$

- ▶  $\mathbf{Z}_2 = (\frac{\delta}{\sqrt{i}} + iH\delta^2)^{-1}$  (classical impedance)
- ▶  $\mathbf{Z}_3 = (\frac{\delta}{\sqrt{i}} + iH\delta^2 - \frac{\sqrt{i}\delta^3}{2} (3H^2 - G + \omega^2 + \Delta_\Gamma))^{-1}$

H.-Joly-Nguyen (2005).

# Examples of GIBC

## Imperfectly conducting obstacles



**GIBC:** classical model (Leontovitch 1930)

$$\mathbf{Z} = \lambda \cdot \cdot$$

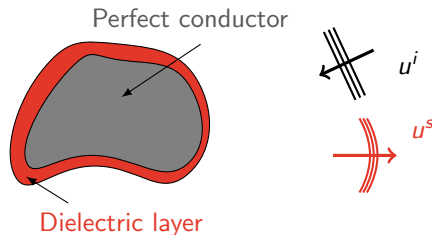
is satisfactory in general ...

A more accurate model would correspond with

$$\mathbf{Z} : L^2(\Gamma) \rightarrow L^2(\Gamma)$$

# Examples of GIBC

## Thin coatings



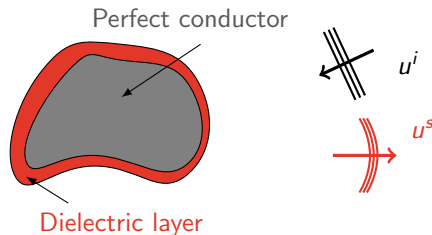
## Inside the thin coating (TE mode):

$$\operatorname{div} \mu^{-1} \nabla u + k^2 \epsilon u = 0$$

**Small parameter:**  $\delta =$  (variable) width of the coating

# Examples of GIBC

## Thin coatings



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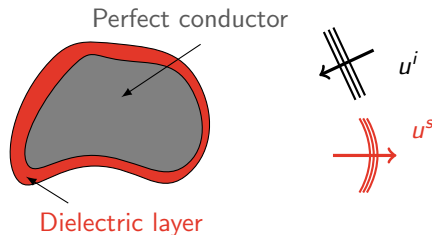
- ▶  $\mathbf{Z}_1 = \operatorname{div}_\Gamma(\delta\mu^{-1}\nabla_\Gamma\cdot) + \delta\epsilon k^2.$
- ▶  $\mathbf{Z}_2 = \operatorname{div}_\Gamma((\delta - \delta^2 H)\mu^{-1}\nabla_\Gamma\cdot) + (\delta + \delta^2 H)\epsilon k^2.$

Aslanyureck-H.-Sahinturk (2011).

Similar expressions for **periodic coatings**, or **periodic interfaces**, with periodicity of size  $\delta$ . PhD of M. Chamaillard (2011).

# Examples of GIBC

## Thin coatings



**GIBC:** A model of the form (Bouchitté (1990), Engquist-Nédélec (1993), Bendali-Lemrabet (1996), ...)

$$\mathbf{Z} = \operatorname{div}_{\Gamma}(\eta \nabla_{\Gamma} \cdot) + \lambda \cdot \cdot$$

is satisfactory in general ...

A more accurate model would correspond with

$$\mathbf{Z} : H^1(\Gamma) \rightarrow H^{-1}(\Gamma)$$

# A general framework for GIBC forward problem

- ▶  $V(\Gamma)$  an Hilbert space such that  $C^\infty(\Gamma) \subset V(\Gamma)$  with dense embedding.
- ▶  $\mathbf{Z} : V(\Gamma) \longrightarrow V(\Gamma)^*$  is linear and continuous.
- ▶  $\Im m \langle \mathbf{Z}u, u \rangle_{V^*, V} \geq 0$  (compatible with the radiation condition).

The GIBC problem ( $\mathcal{P}_{\text{vol}}$ ) can be written as :

Find  $u^s \in \{v \in \mathcal{D}'(\Omega_{\text{ext}}), \varphi v \in H^1(\Omega_{\text{ext}}) \forall \varphi \in \mathcal{D}(\mathbb{R}^d); v|_\Gamma \in V(\Gamma)\}$

$$\begin{cases} \Delta u^s + k^2 u^s = 0 \text{ in } \Omega_{\text{ext}}, \\ \frac{\partial u^s}{\partial \nu} + \mathbf{Z}u^s = f \text{ on } \Gamma, & \left( f = -\frac{\partial u^i}{\partial \nu} - \mathbf{Z}u^i \right) \\ \lim_{R \rightarrow \infty} \int_{|x|=R} |\partial_r u^s - iku^s|^2 = 0. \end{cases} ;$$

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- ▶ **Thm:** Assume that  $\Re \langle \mathbf{Z}u, u \rangle_{V^*, V} \leq 0$ , then ( $\mathcal{P}_{\text{vol}}$ ) is well posed for  $f \in (V(\Gamma) \cap H^{1/2}(\Gamma))^*$ . Moreover, the solution is uniformly stable with respect to  $\mathbf{Z}$ .

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**Thm:** Problem  $(\mathcal{P}_{\text{vol}})$  is well posed if we further assume one of the following:

1.  $\mathbf{Z} = -C_{\mathbf{Z}} + K_{\mathbf{Z}}$  with  $C_{\mathbf{Z}} : V(\Gamma) \rightarrow V(\Gamma)^*$  satisfying

$$\Re \langle C_{\mathbf{Z}}u, u \rangle - \Im \langle C_{\mathbf{Z}}u, u \rangle \geq c \|u\|_{V(\Gamma)}^2 \quad \text{for all } u \in V(\Gamma)$$

is coercive and  $K_{\mathbf{Z}} : V(\Gamma) \rightarrow V(\Gamma)^*$  is compact.

2.  $H^{1/2}(\Gamma) \subset V(\Gamma)$  with compact embedding.
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# The inverse problem for farfield settings

For  $u^i(x, \hat{\theta}) = e^{ik\hat{\theta} \cdot x}$  define

$$(\mathbf{Z}, D) \longrightarrow u^\infty(\hat{x}, \hat{\theta})$$

where  $u^\infty$  associated with  $u^s(\mathbf{Z}, D)$  is defined in dimension  $d$  by

$$u^s(x) = \frac{e^{ikr}}{r^{(d-1)/2}} \left( u^\infty(\hat{x}) + \mathcal{O}\left(\frac{1}{r}\right) \right) \quad r \longrightarrow +\infty.$$

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**Factorization method:** Reconstruct  $D$  without knowing  $\mathbf{Z}$ ... using measurements of  $u^\infty(\hat{x}, \hat{\theta})$  for all  $\hat{x}$  and  $\hat{\theta}$ .

- ▶ State of the art:
  - ▶ Dirichlet and Neumann boundary conditions: Kirsch 1997,
  - ▶ Impedance boundary conditions ( $\mathbf{Z} = \lambda$ ): Grinberg & Kirsch 2002,
- ▶ The questions we try to answer (Chamaillard-Chaulet-H. preprint 2012)
  - ▶ For which class of operators  $\mathbf{Z}$  the Factorization method can be justified?
  - ▶ How accurate are the reconstructions in terms of  $\mathbf{Z}$ ?

# Principle of the factorization method

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$$F : L^2(S^{d-1}) \longrightarrow L^2(S^{d-1})$$

$$g \longmapsto \int_{S^{d-1}} u^\infty(\hat{x}, \hat{\theta}) g(\hat{\theta}) d\hat{\theta}$$

Define the self-adjoint positive operator

$$F_\# := |\Re e(F)| + |\Im m(F)|$$

$$z \in D \iff e^{-ik\hat{\theta} \cdot z} \in \mathcal{R}(F_\#^{1/2})$$

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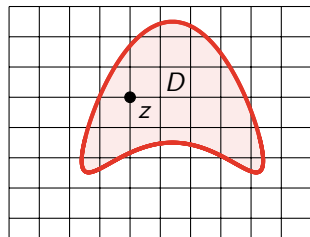
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$$\exists g \text{ s. t. } F_\#^{1/2} g = e^{-ik\hat{\theta} \cdot z}$$

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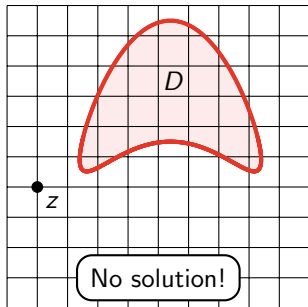
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# Steps of the factorization method theory

## 1. First step: formal factorization

Find  $\Lambda(\Gamma) \subset L^2(\Gamma)$  and **two bounded operators**  $G : \Lambda(\Gamma)^* \rightarrow L^2(S^{d-1})$  and  $T : \Lambda(\Gamma) \rightarrow \Lambda(\Gamma)^*$  such that

$$F = GT^*G^*$$

and such that the **range of  $G$  is dense and characterizes  $D$** . For instance:

$$z \in D \iff \phi_z^\infty \in \mathcal{R}(G), \quad (\text{ where } \phi_z^\infty(\hat{x}) := e^{-ikz \cdot \hat{x}}).$$



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## 2. Second step: range identities

Prove that

$$\mathcal{R}(G) = \mathcal{R}(F_\#^{1/2})$$

We shall rely on “classical” Grinberg-Kirsch version. The range identity holds if  $\Re(T) = C + K$  with  $C$  coercive and  $T$  compact and if  $\Im(T^*)$  is positive (or negative) on the closure of the range of  $G^*$ .

## Formal factorization of the farfield operator

Following the case  $\mathbf{Z} = \lambda$ . in Grinberg-Kirsch (2002) we define

$$\begin{aligned} G : (V(\Gamma) \cap H^{1/2}(\Gamma))^* &\longrightarrow L^2(S^{d-1}) \\ f &\longmapsto u_f^\infty \end{aligned}$$

where  $u_f^\infty$  is the farfield associated with the solution to  $(\mathcal{P}_{\text{vol}})$  with boundary data  $f$  on  $\Gamma$ .

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**Proof:**  $\phi_z^\infty$  is the farfield of the Green function

$$\Phi_z(x) := \frac{1}{4\pi} \frac{e^{ik|x-z|}}{|x-z|}.$$

- ▶ If  $z \in D$ , take  $f = -(\partial_\nu \Phi_z + \mathbf{Z}\Phi_z)$  then  $Gf = \phi_z^\infty$ .
- ▶ If  $z \notin D$ , then  $\phi_z^\infty \in \mathcal{R}(G) \Rightarrow \Phi_z$  is  $H^1$  in the neighborhood of  $z \Rightarrow$  contradiction.

## Formal factorization of the farfield operator

$$F = -GT^*G^*$$

with a boundary operator  $T$  that can be formally expressed as

$$T := \mathbf{ZS}\mathbf{Z}^* + \mathcal{D} + \mathbf{Z}\mathcal{K} + \mathcal{K}'\mathbf{Z}^*$$

with:

$$\mathcal{S} := \text{SL}|_{\Gamma}, \quad \mathcal{K} := \text{DL}|_{\Gamma}, \quad \mathcal{K}' := \partial_{\nu}\text{SL}|_{\Gamma}, \quad \mathcal{D} := \partial_{\nu}\text{DL}|_{\Gamma}.$$

and SL and DL are respectively the single and double layer potentials on  $\Gamma$

$$\text{SL}(q)(x) = \int_{\Gamma} \Phi_x(y) q(y) ds(y), \quad \text{DL}(q)(x) = \int_{\Gamma} \frac{\partial \Phi_x(y)}{\partial \nu(y)} q(y) ds(y), \quad x \in \mathbb{R}^d \setminus \Gamma.$$

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- ▶ In the case  $V(\Gamma) \subset H^{1/2}(\Gamma)$  with compact embedding, the principal part is given by  $\mathbf{Z}\mathcal{S}\mathbf{Z}^* \Rightarrow$  requires a careful definition of  $\Lambda(\Gamma)$

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and SL and DL are respectively the single and double layer potentials on  $\Gamma$

$$\text{SL}(q)(x) = \int_{\Gamma} \Phi_x(y) q(y) ds(y), \quad \text{DL}(q)(x) = \int_{\Gamma} \frac{\partial \Phi_x(y)}{\partial \nu(y)} q(y) ds(y), \quad x \in \mathbb{R}^d \setminus \Gamma.$$

- ▶ In the case  $H^{1/2}(\Gamma) \subset V(\Gamma)$  with compact embedding, the principal part of  $T$  is given by  $\mathcal{D} \Rightarrow$  same as Neumann b.c.
- ▶ In the case  $V(\Gamma) \subset H^{1/2}(\Gamma)$  with compact embedding, the principal part is given by  $\mathbf{Z}\mathcal{S}\mathbf{Z}^* \Rightarrow$  requires a careful definition of  $\Lambda(\Gamma)$
- ▶ In the other cases one cannot conclude on the sign of the principal part of  $\Re T \dots$  Unfortunately the sign of  $\mathbf{Z}$  cannot be of any help.

# Function space setting for the factorization method

In the case  $V(\Gamma) \subset H^{1/2}(\Gamma)$  with compact embedding...

Why  $\Lambda(\Gamma) = V(\Gamma) \cap H^{1/2}(\Gamma) = V(\Gamma)$  does not fit ?

$$T := \mathbf{Z}S\mathbf{Z}^* + \mathcal{D} + \mathbf{Z}\mathcal{K} + \mathcal{K}'\mathbf{Z}^*$$

$$\mathcal{S} : H^s(\Gamma) \rightarrow H^{s+1}(\Gamma)$$

We want  $T : \Lambda(\Gamma) \rightarrow \Lambda(\Gamma)^*$ .

*Consider*

$$\mathbf{Z} = \Delta_\Gamma,$$

$$V(\Gamma) = H^1(\Gamma),$$

*then by taking  $\Lambda(\Gamma) = V(\Gamma)$  we have:*

$$T : H^1(\Gamma) \rightarrow H^{-2}(\Gamma).$$

*Correct choice :  $\Lambda(\Gamma) = H^{3/2}(\Gamma) = \Delta_\Gamma^{-1}(H^{-1/2}(\Gamma))$*



# Careful definition of $T$ and rigorous factorization

We further assume that

- ▶  $V(\Gamma)$  is compactly embedded into  $H^{1/2}(\Gamma)$ .
- ▶  $\mathbf{Z} : V(\Gamma) \rightarrow V(\Gamma)^*$  (or its principal part) is symmetric.

$$\Lambda(\Gamma) := \{u \in V(\Gamma), \mathbf{Z}^* u \in H^{-1/2}(\Gamma)\}$$

$$(u, v)_{\Lambda(\Gamma)} := (u, v)_{V(\Gamma)} + (\mathbf{Z}^* u, \mathbf{Z}^* v)_{H^{-1/2}(\Gamma)}.$$

**Thm:**  $\Lambda(\Gamma) \subset L^2(\Gamma) \subset \Lambda(\Gamma)^*$  with dense embedding.

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**Thm:**  $\Lambda(\Gamma) \subset L^2(\Gamma) \subset \Lambda(\Gamma)^*$  with dense embedding. Moreover:  $F = -GT^*G^*$  with

- ▶  $G : \Lambda(\Gamma)^* \rightarrow L^2(S^{d-1})$  is compact with dense range.
- ▶  $T : \Lambda(\Gamma) \rightarrow \Lambda(\Gamma)^*$  is continuous.  $\Re e(T) = C + K$  with  $C$  coercive and  $K$  compact.
- ▶  $-\Im m(T^*)$  compact and positive on  $\overline{\mathcal{R}(G^*)}$  if  $k^2$  is not an eigenvalue for the interior GIBC problem (the eigenvalues form a discrete set if  $\mathbf{Z}$  analytically depends on  $k$ ).

## Quick summary

- OK if the embedding  $V \subset H^{1/2}(\Gamma)$  is compact,

$$\mathbf{Z} = \operatorname{div}_{\Gamma}(\mu \nabla_{\Gamma} \cdot) + \lambda \cdot$$

$$V(\Gamma) = H^1(\Gamma)$$

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$$V(\Gamma) = L^2(\Gamma)$$

- ▶ Open problem : none of the above compact embeddings hold.

$$\mathbf{Z} = \operatorname{div}_{\Gamma}(\mu \chi_{\Gamma_0} \nabla_{\Gamma} \cdot) + \lambda \cdot \quad \Gamma_0 \subsetneq \Gamma$$

$$V(\Gamma) = \{u \in L^2(\Gamma); \int_{\Gamma_0} \mu |\nabla_{\Gamma} u|^2 ds < \infty\}$$

## Some numerical experiments

- ▶  $\mathbf{Z} = \operatorname{div}_{\Gamma}(\eta \nabla_{\Gamma} \cdot)$  with  $\eta = 1$ ,
- ▶ For  $N=50$ , the synthetic data are

$$\left\{ u^{\infty} \left( \frac{2i\pi}{N}, \frac{2j\pi}{N} \right) \right\}_{i,j=1,\dots,N}$$

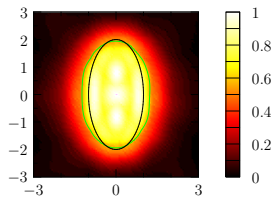
- ▶ For each  $z$  in a given sampling grid we solve a discrete version of

$$F_{\#}^{1/2} g_z = \phi_z^{\infty}$$

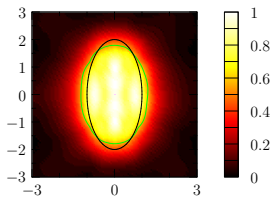
with **Tikhonov-Morozov** regularization and plot

$$z \longmapsto \frac{1}{\|g_z\|}.$$

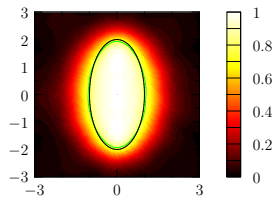
# Numerical reconstructions



(a) ellipse,  $\eta = 0.01$



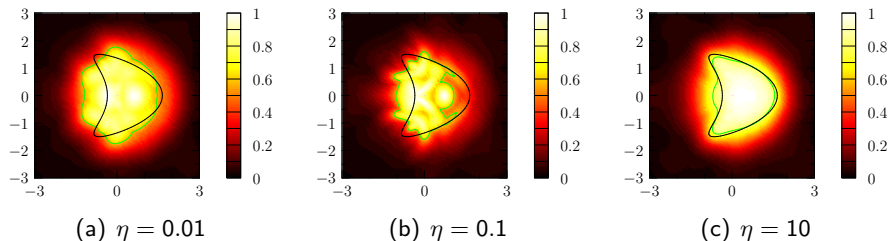
(b) ellipse,  $\eta = 0.1$



(c) ellipse,  $\eta = 10$

**Figure:** Reconstruction of a convex geometry for several values of  $\eta$ . Wave number:  $k = 2$ . Added random noise: 1%.

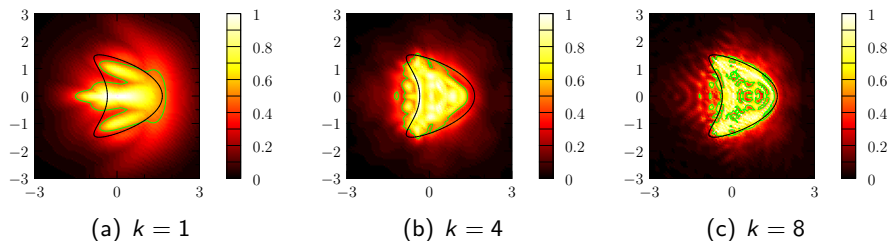
# Numerical reconstructions



**Figure:** Reconstruction of a non-convex geometry for several values of  $\eta$ . Wave number:  $k = 2$ . Added random noise: 1%.



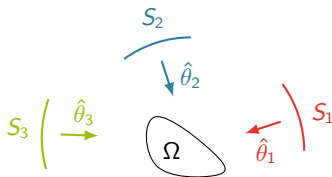
# Numerical reconstructions



**Figure:** Reconstruction of a non-convex geometry for several of  $k$ . GIBC parameter:  $\eta = 0.1$ . Added random noise: 1%.

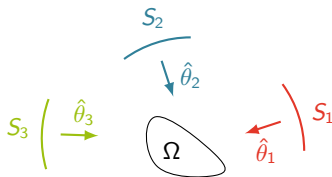
# The inverse problem for finite number of measurements

**Goal:** Reconstruct  $D$  and/or  $\mathbf{Z}$  using measurements of  $u^\infty(\hat{x}, \hat{\theta})$  for small number of incidents  $\hat{\theta}$  directions and reduced aperture of measurement  $\hat{\theta}$ .



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**Adapted class of methods:** Non linear optimization methods  $\Rightarrow$  need a model for  $\mathbf{Z}$ :

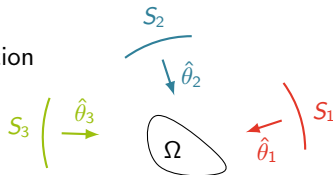
$$\mathbf{Z} = \operatorname{div}_\Gamma(\eta \nabla_\Gamma \cdot) + \lambda \cdot$$

$\Rightarrow$  relevance of GIBC is the most obvious for this class of methods

- ▶ reduced number of unknowns
- ▶ quicker solver for the direct problem
- ▶  $\mathbf{Z}$  contains “less unstable” information on the physical parameters and/or the geometry

# The chosen method

$$\begin{cases} \Delta u^s + k^2 u^s = 0 \text{ in } \Omega_{\text{ext}} + \text{radiation condition} \\ u = u^s + u^i(\cdot, \hat{\theta}) \\ \frac{\partial u}{\partial \nu} + \text{div}_{\Gamma}(\eta \nabla_{\Gamma} u) + \lambda u = 0 \text{ on } \Gamma \end{cases}$$



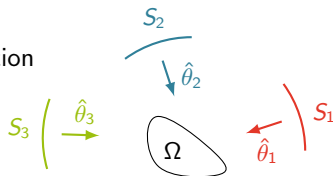
Minimize the least square cost functional

$$F(\lambda, \eta, \Gamma) := \frac{1}{2} \sum_{j=1}^I \|u_{\lambda, \eta, \Gamma}^{\infty}(\cdot, \hat{\theta}_j) - u_{\text{obs}}^{\infty}(\cdot, \hat{\theta}_j)\|_{L^2(S_j)}^2$$

using a **steepest descent method**.

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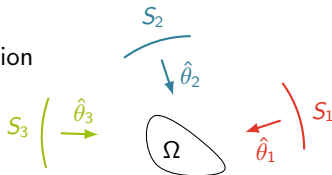
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using a **steepest descent method**.

We found it: simple to implement, adapted to parametrization free problems, quick iteration cost by using adjoint technique... but convergence rate may be slow.

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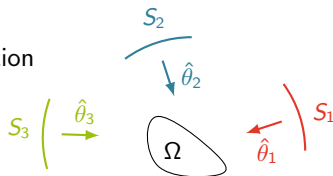
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using a **steepest descent method**.

- ▶ we need partial derivatives with respect to  $\lambda$  and  $\eta$  (quite standard),
- ▶ we need an appropriate derivative w.r.t. the obstacle: **is not uniquely defined** if  $\lambda$  and  $\eta$  depends on  $\Gamma$ .
- ▶ we need careful **regularization of the gradient**

# The chosen method

$$\begin{cases} \Delta u^s + k^2 u^s = 0 \text{ in } \Omega_{\text{ext}} + \text{radiation condition} \\ u = u^s + u^i(\cdot, \hat{\theta}) \\ \frac{\partial u}{\partial \nu} + \text{div}_{\Gamma}(\eta \nabla_{\Gamma} u) + \lambda u = 0 \text{ on } \Gamma \end{cases}$$



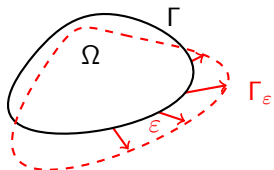
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using a **steepest descent method**.

- ▶ Bourgeois-Chaulet-H. (2011, 2012): scalar case + stability and uniqueness issues for the boundary coefficients, Chaulet-H. (2013) the Maxwell-case.
- ▶ Shape optimization techniques: book of Allaire, Shape Optimization methods.. (2002)
- ▶ Nonlinear Integral equation method for the Laplace problem with constant coefficients Cakoni-Kress (2013)

# Shape derivative



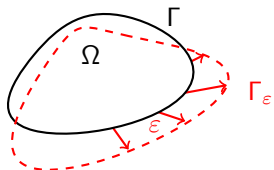
- ▶  $\Gamma$  is given
- ▶  $\varepsilon \in C^{1,\infty}(\mathbb{R}^d, \mathbb{R}^d)$  such that  $\|\varepsilon\|_{C^1} < 1$
- ▶  $f_\varepsilon := \text{Id} + \varepsilon$
- ▶  $\Gamma_\varepsilon := f_\varepsilon(\Gamma)$

**Definition (constant coefficients):** The shape derivative of the scattered field is given by the Fréchet derivative at 0 of

$$R : \varepsilon \longrightarrow u^s(\lambda, \eta, \Gamma_\varepsilon).$$



# Shape derivative



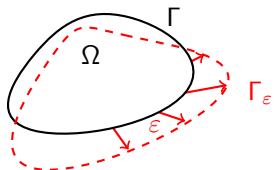
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**Definition (non constant coefficients):** The shape derivative of the scattered field is given by the Fréchet derivative at 0 of

$$\tilde{R} : \varepsilon \longrightarrow u^s(\lambda_\varepsilon, \eta_\varepsilon, \Gamma_\varepsilon).$$

This derivative depends on the way one defines  $\lambda_\varepsilon, \eta_\varepsilon$

# Shape derivative



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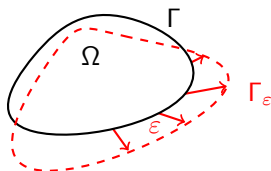
## A first choice

$$\lambda_\varepsilon(x) := \lambda(x_\varepsilon), \quad \eta_\varepsilon(x) := \eta(x_\varepsilon)$$

where  $x_\varepsilon$  is the projection on  $\Gamma$  of  $x \in \Gamma_\varepsilon$  along the normal  $\nu$

$\Rightarrow$  provide the same expression of the derivative as for constant  $\lambda$  and  $\eta$ .

# Shape derivative



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This derivative depends on the way one defines  $\lambda_\varepsilon, \eta_\varepsilon$

## Our choice

$$\lambda_\varepsilon := \lambda \circ f_\varepsilon^{-1}, \quad \eta_\varepsilon := \eta \circ f_\varepsilon^{-1}$$

$\Rightarrow$  One may find  $f_\varepsilon$  such that  $\Gamma = f_\varepsilon(\Gamma)$  and  $F'_{\lambda,\eta}(\Gamma) \cdot \varepsilon \neq 0$ .

$\Rightarrow F'_{\lambda,\eta}(\Gamma)$  **does not satisfy** the classical shape derivative's properties!

## Expression of the shape derivative

Adapting the technique in H.-Kress (2004) for constant impedance... and after tedious technical calculations...

$$u^s(\lambda_\varepsilon, \eta_\varepsilon, \Gamma_\varepsilon) - u^s(\lambda, \eta, \Gamma) = v_\varepsilon + o(\|\varepsilon\|),$$

where  $v_\varepsilon (= \tilde{R}'(0) \cdot \varepsilon)$  is the outgoing solution of the scattering problem with

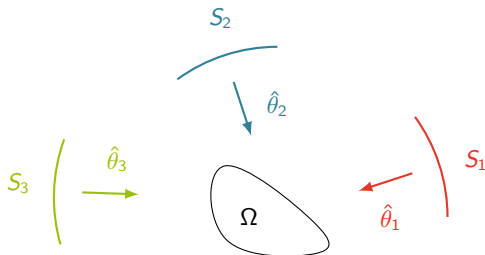
$$\frac{\partial v_\varepsilon}{\partial \nu} + \mathbf{Z} v_\varepsilon = B_\varepsilon u \quad \text{on } \Gamma$$

$$\begin{aligned} B_\varepsilon u = & (\varepsilon \cdot \nu)(k^2 - 2H\lambda)u + \operatorname{div}_\Gamma((1 + 2\eta(R - H))(\varepsilon \cdot \nu)\nabla_\Gamma u) \\ & + (\nabla_\Gamma \lambda \cdot \varepsilon)u + \operatorname{div}_\Gamma((\nabla_\Gamma \eta \cdot \varepsilon)\nabla_\Gamma u) \\ & + \mathbf{Z}((\varepsilon \cdot \nu)\mathbf{Z}u), \end{aligned}$$

with  $2H := \operatorname{div}_\Gamma \nu$ ,  $R := \nabla_\Gamma \nu$  and  $\mathbf{Z} \cdot = \operatorname{div}_\Gamma(\eta \nabla_\Gamma \cdot) + \lambda \cdot$ .

;) Indeed it is compatible with the cases  $\eta = 0$  and  $\lambda$  constant (Hettlich, Kress-Päivärinta, H.-Kress, Potthast, Kirsch, ...).

# A steepest descent algorithm to solve the inverse problem



$$F(\lambda, \eta, \Gamma) := \frac{1}{2} \sum_{j=1}^I \|u_{\lambda, \eta, \Gamma}^{\infty}(\cdot, \hat{\theta}_j) - u_{\text{obs}}^{\infty}(\cdot, \hat{\theta}_j)\|_{L^2(S_j)}^2$$

## Numerical procedure:

- ▶ update **alternatively**  $\lambda$ ,  $\eta$  and  $\Gamma$  with a direction given by the partial derivative of the cost function
- ▶ use the adjoint state technique  $\Rightarrow 2I$  forward problems to solve at each iteration.

# The regularization procedure

$$F(\lambda, \eta, \Gamma) = \frac{1}{2} \sum_{j=1}^I \|u_{\lambda, \eta, \Gamma}^{\infty}(\cdot, \hat{\theta}_j) - u_{\text{obs}}^{\infty}(\cdot, \hat{\theta}_j)\|_{L^2(S_j)}^2$$

We regularize the gradient by choosing  $H^1(\Gamma)$  representation of partial derivatives.

- Descent direction for  $\lambda$ :  $\delta\lambda \in H^1(\Gamma)$  that solves for every  $\phi \in H^1(\Gamma)$  in

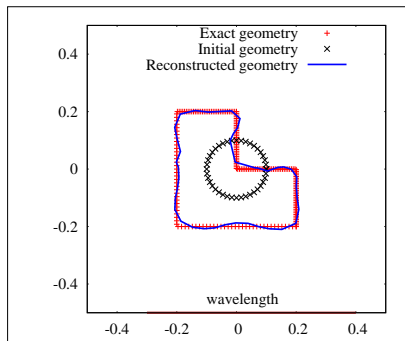
$$\beta_{\lambda} \int_{\Gamma} \nabla_{\Gamma}(\delta\lambda) \cdot \nabla_{\Gamma}\phi \, ds + \int_{\Gamma} \delta\lambda \phi \, ds = -\alpha_{\lambda} F'_{\eta, \Gamma}(\lambda) \cdot \phi$$

where  $\beta_{\lambda}$  is the **regularization coefficient** and  $\alpha_{\lambda}$  is the descent coefficient.

- Do the same for  $\delta\eta$  and  $\delta(\Gamma)$ .

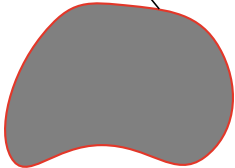
# Numerical reconstruction

Finite elements method and remeshing procedure  
using FreeFem++



*Reconstruction of the geometry with 2 incident waves and 1% noise on the farfield,  $\lambda = ik/2$  and  $\eta = 2/k$  being known*

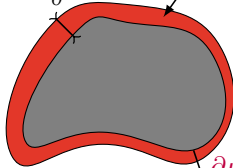
# Application to the reconstruction of a coated obstacle

$$\frac{\partial u_1}{\partial \nu} + \operatorname{div}_\Gamma(\epsilon^{-1} \nabla_\Gamma \delta u_1) + k^2 \mu \delta u_1 = 0$$


A diagram showing a gray, irregularly shaped obstacle. A red line traces the boundary of this obstacle. An arrow points from the boundary equation above to this red boundary line.

$$\Delta u_1 + k^2 u_1 = 0$$

(TE mode)

$$\operatorname{div}(\epsilon^{-1} \nabla u_\delta) + k^2 \mu u_\delta = 0$$


A diagram showing a gray obstacle with a red coating of thickness  $\delta$ . The red coating is shown as a uniform layer around the gray obstacle. An arrow points from the TE mode equation above to the red coating. Another arrow points from the boundary condition equation below to the outer boundary of the red coating.

$$\Delta u_\delta + k^2 u_\delta = 0$$

$$\frac{\partial u_\delta}{\partial \nu} = 0$$

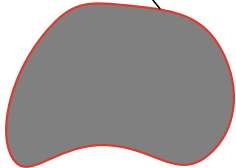
Reconstruction of an obstacle using the generalized impedance boundary condition model of order 1 minimizing

$$F(\epsilon, \delta, \Gamma) := \frac{1}{2} \sum_{j=1}^I \|u_1^\infty(\epsilon, \delta, \Gamma, \hat{\theta}_j) - u_{\delta, \text{obs}}^\infty(\cdot, \hat{\theta}_j)\|_{L^2(S_j)}^2$$

with  $\mu = 0.1$  known.

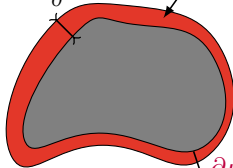


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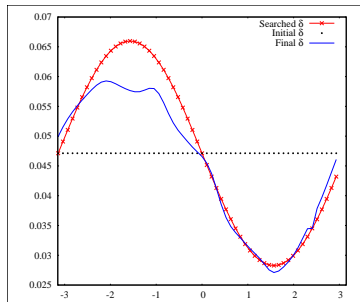
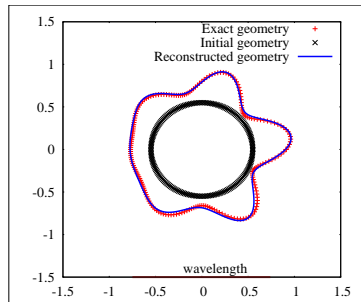
# Application to the reconstruction of a coated obstacle

## Numerical results

### Synthetic data created with

- ▶  $\mu = 0.1$  is known,
- ▶  $\delta = 0.04/(1 - 0.4 \sin(\theta))$  is unknown;  $l$  being the wavelength,
- ▶  $\epsilon = 2.5$  is unknown.

Reconstructed  $\epsilon$ : 2.3.



Fails with a classical impedance boundary condition model!

# Extension to Maxwell equations

## Prototype GIBC model:

Find  $(\mathbf{E}^s, \mathbf{H}^s) \in H_{\text{loc}}(\mathbf{rot}, \Omega_{\text{ext}}) \times H_{\text{loc}}(\mathbf{rot}, \Omega_{\text{ext}})$  such that

$$(\mathcal{P}_{Max}) \quad \begin{cases} \mathbf{rot} \mathbf{H}^s + ik \mathbf{E}^s = 0 & \text{in } \Omega_{\text{ext}}, \\ \mathbf{rot} \mathbf{E}^s - ik \mathbf{H}^s = 0 & \text{in } \Omega_{\text{ext}}, \\ \nu \times \mathbf{E}^s + \mathbf{Z} \mathbf{H}_T^s = -(\nu \times \mathbf{E}^i + \mathbf{Z} \mathbf{H}_T^i) & \text{on } \Gamma, \\ \lim_{R \rightarrow \infty} \int_{\partial B_R} |\mathbf{H}^s \times \hat{x} - (\hat{x} \times \mathbf{E}^s) \times \hat{x}|^2 ds = 0 \end{cases} ;$$

$$\mathbf{Z} \mathbf{H}_T := \mathbf{rot}_\Gamma(\eta \mathbf{rot}_\Gamma \mathbf{H}_T) + \lambda \mathbf{H}_T$$

$$\mathbf{H}_T := (\nu \times \mathbf{H}) \times \nu$$

$$\mathbf{rot}_\Gamma = \nu \cdot \mathbf{rot}$$

$$\mathbf{rot}_\Gamma = -\nu \times \nabla_\Gamma$$

# Extension to Maxwell equations

## Prototype GIBC model:

Find  $(\mathbf{E}^s, \mathbf{H}^s) \in H_{\text{loc}}(\mathbf{rot}, \Omega_{\text{ext}}) \times H_{\text{loc}}(\mathbf{rot}, \Omega_{\text{ext}})$  such that

$$(\mathcal{P}_{Max}) \quad \begin{cases} \mathbf{rot} \mathbf{H}^s + ik \mathbf{E}^s = 0 & \text{in } \Omega_{\text{ext}}, \\ \mathbf{rot} \mathbf{E}^s - ik \mathbf{H}^s = 0 & \text{in } \Omega_{\text{ext}}, \\ \nu \times \mathbf{E}^s + \mathbf{Z} \mathbf{H}_T^s = -(\nu \times \mathbf{E}^i + \mathbf{Z} \mathbf{H}_T^i) & \text{on } \Gamma, \\ \lim_{R \rightarrow \infty} \int_{\partial B_R} |\mathbf{H}^s \times \hat{x} - (\hat{x} \times \mathbf{E}^s) \times \hat{x}|^2 ds = 0 \end{cases} ;$$

$$\mathbf{Z} \mathbf{H}_T := \mathbf{rot}_\Gamma(\eta \mathbf{rot}_\Gamma \mathbf{H}_T) + \lambda \mathbf{H}_T$$

**Thm:** Assume that

$$\Re(\lambda) \geq 0, \quad \Re(\eta) \geq 0,$$

$$\Im(\lambda) < 0, \quad \Im(\eta) < 0.$$

Then  $(\mathcal{P}_{Max})$  has a unique solution.

# The inverse problem

For incident plane waves

$$\begin{aligned}\mathbf{E}^i(\cdot, \hat{\theta}, \mathbf{p}) &= ik[(\hat{\theta} \times \mathbf{p}) \times \hat{\theta}]e^{ik\hat{\theta} \cdot \mathbf{z}} \\ \mathbf{H}^i(\cdot, \hat{\theta}, \mathbf{p}) &= ik(\hat{\theta} \times \mathbf{p})e^{ik\hat{\theta} \cdot \mathbf{z}}\end{aligned}$$

we define the corresponding far-field

$$\mathbf{E}^\infty(\hat{x}, \hat{\theta}, \mathbf{p}) \in L^2(S^2, S^2, S^2).$$

and we minimize

$$F(\Gamma) := \frac{1}{2} \sum_{i=1}^I \left\| \mathbf{E}_{\lambda, \eta, \Gamma}^\infty(\cdot, \hat{\theta}_i, \mathbf{p}_i) - \mathbf{E}_\delta^\infty(\cdot, \hat{\theta}_i, \mathbf{p}_i) \right\|_{\mathbf{L}_t^2(S^2)}^2$$

for noisy data  $\mathbf{E}_\delta^\infty(\cdot, \hat{\theta}_i, \mathbf{p}_i)$ .

## Expression of the shape derivative for Maxwell

$$\tilde{R} : \varepsilon \longrightarrow \mathbf{E}^s(\lambda_\varepsilon, \eta_\varepsilon, \Gamma_\varepsilon).$$

$$\tilde{R}'(0) \cdot \varepsilon = \mathbf{v}_\varepsilon^s$$

Where  $(\mathbf{v}_\varepsilon^s, \mathbf{w}_\varepsilon^s)$  is an outgoing solution to the Maxwell equations outside  $\Omega$  and

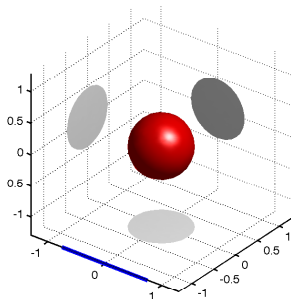
$$\nu \times \mathbf{v}_\varepsilon^s + \mathbf{Z} \mathbf{w}_{T,\varepsilon}^s = B_\varepsilon(\mathbf{E}, \mathbf{H}) \quad \text{on } \Gamma$$

$$\begin{aligned} B_\varepsilon(\mathbf{E}, \mathbf{H}) := & -ik(\nu \cdot \varepsilon) \mathbf{H}_T + \mathbf{rot}_\Gamma[(\nu \cdot \varepsilon)(\nu \cdot \mathbf{E})] + \lambda(\nu \cdot \varepsilon)(2R - 2H) \mathbf{H}_T \\ & - \lambda \nabla_\Gamma[(\nu \cdot \varepsilon)(\nu \cdot \mathbf{H})] + 2\mathbf{rot}_\Gamma[H(\nu \cdot \varepsilon)\eta \mathbf{rot}_\Gamma(\mathbf{H}_T)] \\ & + (\nabla_\Gamma \lambda \cdot \varepsilon) \mathbf{H}_T + \mathbf{rot}_\Gamma[(\nabla_\Gamma \eta \cdot \varepsilon) \mathbf{rot}_\Gamma(\mathbf{H}_T)] \\ & + ik\mathbf{Z}[(\nu \cdot \varepsilon)\mathbf{Z}\mathbf{H}_T]. \end{aligned}$$

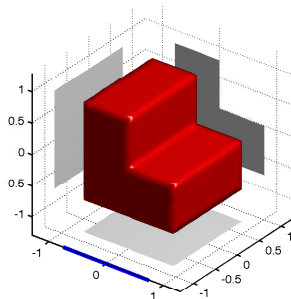
compatible with the scalar case and the Maxwell case  $\eta = 0$  and  $\lambda$  constant  
H.-Kress (2004).

# Numerical results

- ▶  $\lambda = 0$ ,  $\eta = -0.25i$ ,  $k = 4$ ,  $\delta = 2\%$
- ▶ 4 incident plane waves



(a) Initial shape



(b) Target

## Numerical results

- ▶  $\lambda = 0$ ,  $\eta = -0.25i$ ,  $k = 4$ ,  $\delta = 2\%$
- ▶ 4 incident plane waves



# Conclusions and perspectives

- ▶ Broader application of the Factorization method for GIBC
  - ▶ Steepest descent methods are capable of providing accurate reconstructions.
  - ▶ Possibility of identifying coated obstacles.
- 
- ▶ Enlarge the applicability of the Factorization method... by using different Factorizations (inspired by the dielectric cases)
  - ▶ Factorization method for Maxwell's equations.
  - ▶ Propose a (steepest) decent method valid for a general symmetric operator on the boundary.
  - ▶ Extension to other models (elasticity for example).

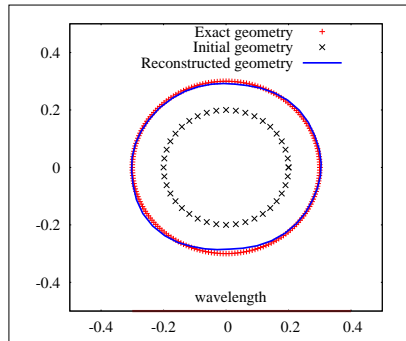
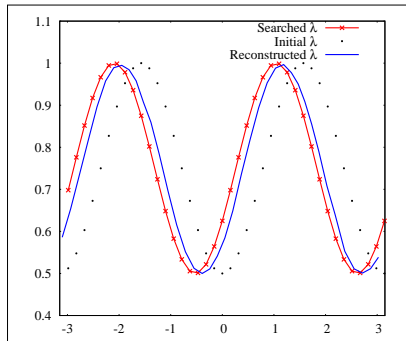
# Conclusions and perspectives

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Thank you, and special thanks to Nicolas who helped me in preparing these slides.

# Numerical reconstruction

Simultaneous reconstruction of  $\lambda$ ,  $\Gamma$  with  $\eta = 0$



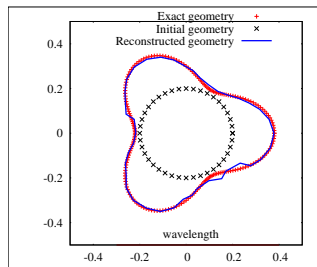
8 incident waves, 5% of noise on far-field data.

We iterate *only on the geometry*.

$$B_\varepsilon u = (\nabla_\Gamma \lambda \cdot \varepsilon) u + \dots$$

# Numerical reconstruction

Simultaneous reconstruction of  $\lambda$ ,  $\eta$  and  $\Gamma$



*8 incident waves, 5% of noise on far-field data.*

