

# Lab3 同步机制实 习报告

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## 目录

内容一：总体概述 .....	3
内容二：任务完成情况 .....	3
任务完成列表 (Y/N) .....	3
具体 <b>Exercise</b> 的完成情况 .....	3
内容三：遇到的困难以及解决方法 .....	22
内容四：收获及感想 .....	22
内容五：对课程的意见和建议 .....	22
内容六：参考文献 .....	22

## 内容一：总体概述

本次实验室操作系统高级课程的第三次实验。在 **Lab2** 阅读线程调度相关代码并完成实现基于优先级的调度算法，完成了基于时间片的调度算法的基础上，主要完成了线程间同步机制相关代码阅读。线程间同步主要是通过信号量，锁，条件变量等方式实现的。并在代码阅读的基础上，完成了基于信号量、条件变量解决了“生产者-消费者问题”。之后还通过条件变量及 **Lock**，实现了 **barrier** 强化版-两阶段锁协议，完成了读者、写者问题的解决。通过 **lab3** 的实践，强化了对同步类，**List** 类，**Thread** 类，调度类等代码的理解。

## 内容二：任务完成情况

### 任务完成列表 ( Y/N )

EXER1	EXER2	EXER3	EXER4	CHANELLAGE1	CHANELLAGE2	CHANELLAGE3
Y	Y	Y	Y	Y	Y	N

## 具体 Exercise 的完成情况

### Exercise1

这里的同步机制一般指的是线程同步机制，进程同步机制会更复杂一些。

**Linux** 的线程同步机制和 **Nachos** 中使用的机制(信号量，锁，条件变量)基本一致。采用了互斥量 **mutex**，条件变量，信号量，读写锁。

- **Mutex**

**Linux kerenal** 中关于 **Mutex struct** 的代码在<include/linux/mutex.h>中

```
struct mutex {
    atomic_long_t          owner;      // mutex 获得的 owner ID
                                         // 若==0，则 mutex 未被占用;
                                         // 若> 0，则 mutex 被此 ownerId 占用,
                                         // 只能由当前 owner 解 mutex
    spinlock_t              wait_lock;   // 自旋锁类型
#ifndef CONFIG_MUTEX_SPIN_ON_OWNER
    struct optimistic_spin_queue osq; /* Spinner MCS lock */
#endif
    struct list_head         wait_list;   // 等待队列
#ifndef CONFIG_DEBUG_MUTEXES
    void                    *magic;
#endif
#ifndef CONFIG_DEBUG_LOCK_ALLOC
```

```

        struct lockdep_map      dep_map;
#endif
};

上面的 struct 定义了一个原子变量用于维护 mutex 的互斥效果。另外定义了一个 wait_list 用于存储 sleep 的 thread。这部分代码和 nachos 中 Semaphore 的设计基本一致。
而具体实现 mutex 的代码位于<kernel/locking/mutex.c>中。_mutex_init 函数主要做一些变量声明和初始化的工作。
void
_mutex_init(struct mutex *lock, const char *name, struct lock_class_key *key)
{
    atomic_long_set(&lock->owner, 0); // init atomic 变量 owner
    spin_lock_init(&lock->wait_lock); // init 自旋锁类型变量
    INIT_LIST_HEAD(&lock->wait_list); // init 等待队列变量
#ifndef CONFIG_MUTEX_SPIN_ON_OWNER
    osq_lock_init(&lock->osq);
#endif
    debug_mutex_init(lock, name, key);
}

```

以加锁为例，调用的是 mutex\_lock 函数。

```

void __sched mutex_lock(struct mutex *lock)
{
    might_sleep(); // 打印堆栈 debug sleep

    if (!__mutex_trylock_fast(lock)) // atomic 获得 owner, 如果能
        __mutex_lock_slowpath(lock); //
}

EXPORT_SYMBOL(mutex_lock);
#endif

```

其中，might\_sleep()是一个全局 Linux API，主要用于在中断时候，debug 打印 context 堆栈。  
\_\_mutex\_trylock\_fast(lock) 是一个去获取 lock 的 owner 的函数，如果能获取则返回 true

```

static __always_inline bool __mutex_trylock_fast(struct mutex *lock)
{ww_acquire_ctx
    unsigned long curr = (unsigned long)current;
    unsigned long zero = 0UL;
    if (atomic_long_try_cpxchg_acquire(&lock->owner, &zero, curr)) // 获取 owner
        return true;
    return false;
}

如果有权限获取 owner 则
static noinline void __sched
__mutex_lock_slowpath(struct mutex *lock)
{

```

```

    __mutex_lock(lock, TASK_UNINTERRUPTIBLE, 0, NULL, _RET_IP_); // 调用__mutex_lock
}

然后再嵌套调用，不知道是为了什么，写了那么多层
static int __sched
__mutex_lock(struct mutex *lock, long state, unsigned int subclass,
            struct lockdep_map *nest_lock, unsigned long ip)
{
    // 调用__mutex_lock_common
    return __mutex_lock_common(lock, state, subclass, nest_lock, ip, NULL, false);
}

然后就到了 Linux 真正处理 mock_lock 的地方
static __always_inline int __scheddw
__mutex_lock_common(struct mutex *lock, long state, unsigned int subclass,
                    // lock TASK_UNINTERRUPTIBLE 0
                    struct lockdep_map *nest_lock, unsigned long ip,           // NULL _RET_IP_
                    struct ww_acquire_ctx *ww_ctx, const bool use_ww_ctx) // NULL false
{
    struct mutex_waiter waiter;
    bool first = false;
    struct ww_mutex *ww;      // ww = wound/wait mutex 用于死锁检验
    int ret;

    might_sleep(); // 一样的去打印 context 的堆栈

    ww = container_of(lock, struct ww_mutex, base); // 获得 ww_mutex
    if (use_ww_ctx && ww_ctx) {                      // mutex_lock 进不到这
        // ww_mutex_lock 有可能进
        if (unlikely(ww_ctx == READ_ONCE(ww->ctx))) // ww_mutex 获得的 ctx 和需要的
            ctx 对比
            return -EALREADY;

        /*
         * Reset the wounded flag after a kill. No other process can
         * race and wound us here since they can't have a valid owner
         * pointer if we don't have any locks held.
         */
        if (ww_ctx->acquired == 0) // 如果 ww_ctx 没有被获得 则重设 wounded 位
            ww_ctx->wounded = 0;
    }

    preempt_disable(); // 设置不可抢占
    mutex_acquire_nest(&lock->dep_map, subclass, 0, nest_lock, ip); // 检查 mutex 需要的
    条件
}

```

```

if (_mutex_trylock(lock) || // 尝试上 lock
    mutex_optimistic_spin(lock, ww_ctx, use_ww_ctx, NULL)) { // 尝试上乐观锁
    /* got the lock, yay! */
    lock_acquired(&lock->dep_map, ip); // 上 lock
    if (use_ww_ctx && ww_ctx) // ww_mutex_lock 时
        ww_mutex_set_context_fastpath(ww, ww_ctx); // 设置上下文 path
    preempt_enable(); // 解除不可抢占
    return 0;
}
spin_lock(&lock->wait_lock); // 对等待队列上自旋锁
/*
 * After waiting to acquire the wait_lock, try again.
 */
if (_mutex_trylock(lock)) { // 那再试试呗 hhh
    if (use_ww_ctx && ww_ctx)
        __ww_mutex_check_waiters(lock, ww_ctx);

    goto skip_wait;
}

debug_mutex_lock_common(lock, &waiter); // 掉一下 debug 模式下
mutet_lock_common

lock_contended(&lock->dep_map, ip); // 去等锁

if (!use_ww_ctx) { // mutex_lock 时候
    /* add waiting tasks to the end of the waitqueue (FIFO): */
    __mutex_add_waiter(lock, &waiter, &lock->wait_list); // 加到 wait_queue

#ifndef CONFIG_DEBUG_MUTEXES
    waiter.ww_ctx = MUTEX_POISON_WW_CTX;
#endif
} else {
    /*
     * Add in stamp order, waking up waiters that must kill
     * themselves.
     */
    ret = __ww_mutex_add_waiter(&waiter, lock, ww_ctx); // 加到 ww_mutex 的
    wait_queue
    if (ret)
        goto err_early_kill;

    waiter.ww_ctx = ww_ctx;
}

```

```

}

waiter.task = current;

set_current_state(state); // 设置 state
for (;;) { // 做了一个自旋操作 retry lock
    /*
     * Once we hold wait_lock, we're serialized against
     * mutex_unlock() handing the lock off to us, do a trylock
     * before testing the error conditions to make sure we pick up
     * the handoff.
     */
    if (__mutex_trylock(lock)) // 等到了
        goto acquired;

    /*
     * Check for signals and kill conditions while holding
     * wait_lock. This ensures the lock cancellation is ordered
     * against mutex_unlock() and wake-ups do not go missing.
     */
    if (unlikely(signal_pending_state(state, current))) { // if state 不对
        ret = -EINTR;
        goto err;
    }

    if (use_ww_ctx && ww_ctx) { // 如果是 ww_mutex 且 wait_queue 有需要被 kill
        drop的
        ret = __ww_mutex_check_kill(lock, &waiter, ww_ctx);
        if (ret)
            goto err;
    }

    spin_unlock(&lock->wait_lock); // 解自旋锁
    schedule_preempt_disabled(); // 解除不可抢占

    /*
     * ww_mutex needs to always recheck its position since its waiter
     * list is not FIFO ordered.
     */
    if ((use_ww_ctx && ww_ctx) || !first) {
        first = __mutex_waiter_is_first(lock, &waiter);
        if (first)
            __mutex_set_flag(lock, MUXTEX_FLAG_HANDOFF);
    }
}

```

```

        set_current_state(state); // update state
        /*
         * Here we order against unlock; we must either see it change
         * state back to RUNNING and fall through the next schedule(),
         * or we must see its unlock and acquire.
         */
        if (__mutex_trylock(lock) || // 再试一次
            (first && mutex_optimistic_spin(lock, ww_ctx, use_ww_ctx, &waiter)))
            break;

        spin_lock(&lock->wait_lock);
    }
    spin_lock(&lock->wait_lock);

acquired:
    __set_current_state(TASK_RUNNING);

    if (use_ww_ctx && ww_ctx) {
        /*
         * Wound-Wait; we stole the lock (!first_waiter), check the
         * waiters as anyone might want to wound us.
         */
        if (!ww_ctx->is_wait_die &&
            !__mutex_waiter_is_first(lock, &waiter))
            __ww_mutex_check_waiters(lock, ww_ctx);
    }

    mutex_remove_waiter(lock, &waiter, current); // 从等待队列中 remove
    if (likely(list_empty(&lock->wait_list)))
        __mutex_clear_flag(lock, MUTEX_FLAGS); // 清除 flag

    debug_mutex_free_waiter(&waiter);

skip_wait:
    /* got the lock - cleanup and rejoice! */
    lock_acquired(&lock->dep_map, ip);

    if (use_ww_ctx && ww_ctx)
        ww_mutex_lock_acquired(ww, ww_ctx);

    spin_unlock(&lock->wait_lock); // cleanup
    preempt_enable();
    return 0;

```

```

err:
    __set_current_state(TASK_RUNNING);
    mutex_remove_waiter(lock, &waiter, current);

err_early_kill:
    spin_unlock(&lock->wait_lock);
    debug_mutex_free_waiter(&waiter);
    mutex_release(&lock->dep_map, 1, ip);
    preempt_enable();
    return ret;
}

```

上面的\_\_mutex\_common 被 mutex\_lock , ww\_mutex\_lock 两个函数复用 , 其中加了多次自旋锁尝试加锁。

- 读写锁 rwlock
- 条件变量 Condition
- 自旋锁 spinlock

Spinlock 相关代码在<include/linux/spinlock\_api\_smp.h>中

```

static inline int __raw_spin_trylock(raw_spinlock_t *lock)
{
    preempt_disable(); // 设置不可抢占
    if (do_raw_spin_trylock(lock)) { // 尝试获得自旋锁
        spin_acquire(&lock->dep_map, 0, 1, _RET_IP_); // 获得自旋锁
        return 1;
    }
    preempt_enable(); // 接触不可抢占
    return 0;
}

```

其中 spin\_acquire 定义在<include/linux/lockdep.h>

```

#define spin_acquire(l, s, t, i) lock_acquire_exclusive(l, s, t, NULL, i)
#define lock_acquire_exclusive(l, s, t, n, i) lock_acquire(l, s, t, 0, 1, n, i)

```

而 lock\_acquire()实现的代码在<kernel/locking/lockdep.c>

```

void lock_acquire(struct lockdep_map *lock, unsigned int subclass,
                  int trylock, int read, int check,
                  struct lockdep_map *nest_lock, unsigned long ip)
{
    unsigned long flags;

    if (unlikely(current->lockdep_recursion)) // 如果锁的递归深度标志位!=0
        return;

    raw_local_irq_save(flags); // 刷一下 flags 到 disk
    check_flags(flags); // 检查 flag

    current->lockdep_recursion = 1; // 互斥
}

```

```

trace_lock_acquire(lock, subclass, trylock, read, check, nest_lock, ip); // 追踪锁获得 打印
日志
    _lock_acquire(lock, subclass, trylock, read, check,
                  irqs_disabled_flags(flags), nest_lock, ip, 0, 0); // lock acquire
    current->lockdep_recursion = 0; // 解除互斥
    raw_local_irq_restore(flags); // 再刷一下 flags
}

EXPORT_SYMBOL_GPL(lock_acquire);

static int __lock_acquire(struct lockdep_map *lock, unsigned int subclass,
                         int trylock, int read, int check, int hardirqs_off,
                         struct lockdep_map *nest_lock, unsigned long ip,
                         int references, int pin_count)
{
    struct task_struct *curr = current;
    struct lock_class *class = NULL;
    struct held_lock *hlock;
    unsigned int depth;
    int chain_head = 0;
    int class_idx;
    u64 chain_key;

    if (subclass < NR_LOCKDEP_CACHING_CLASSES)
        class = lock->class_cache[subclass]; // 找到 cache
    /*
     * Not cached?
     */
    if (unlikely(!class)) {
        class = register_lock_class(lock, subclass, 0); // 注册 lock
        if (!class)
            return 0;
    }
    atomic_inc((atomic_t *)&class->ops); // 原子操作获得 class 操作符
    if (very_verbose(class)) {
        printk("\nacquire class [%px] %s", class->key, class->name);
        if (class->name_version > 1)
            printk(KERN_CONT "#%d", class->name_version);
        printk(KERN_CONT "\n");
        dump_stack();
    }
    depth = curr->lockdep_depth; // init depth

    if (DEBUG_LOCKS_WARN_ON(depth >= MAX_LOCK_DEPTH)) // stack 深度溢出
        return 0;
}

```

```

class_idx = class - lock_classes + 1;

if (depth) {
    hlock = curr->held_locks + depth - 1;
    if (hlock->class_idx == class_idx && nest_lock) {
        if (hlock->references) {
            /*
             * Check: unsigned int references:12, overflow.
             */
            if (DEBUG_LOCKS_WARN_ON(hlock->references == (1 << 12)-
1)) // 2^12 - 1
                return 0;
            hlock->references++;
        } else {
            hlock->references = 2;
        }
        return 1;
    }
}

hlock = curr->held_locks + depth;
if (DEBUG_LOCKS_WARN_ON(!class))
    return 0;
hlock->class_idx = class_idx; // 记录 hlock 信息
hlock->acquire_ip = ip;
hlock->instance = lock;
hlock->nest_lock = nest_lock;
hlock->irq_context = task_irq_context(curr);
hlock->trylock = trylock;
hlock->read = read;
hlock->check = check;
hlock->hardirqs_off = !!hardirqs_off;
hlock->references = references;
#endif CONFIG_LOCK_STAT
hlock->waittime_stamp = 0;
hlock->holdtime_stamp = lockstat_clock();
#endif
hlock->pin_count = pin_count;

if (check && !mark_irqflags(curr, hlock))
    return 0;

```

```

/* mark it as used: */
if (!mark_lock(curr, hlock, LOCK_USED))
    return 0;

if (DEBUG_LOCKS_WARN_ON(class_idx > MAX_LOCKDEP_KEYS)) // 又溢出了
    return 0;

chain_key = curr->curr_chain_key;
if (!depth) {
    /*
     * How can we have a chain hash when we ain't got no keys?!
     */
    if (DEBUG_LOCKS_WARN_ON(chain_key != 0))
        return 0;
    chain_head = 1;
}

hlock->prev_chain_key = chain_key;
if (separate_irq_context(curr, hlock)) {
    chain_key = 0;
    chain_head = 1;
}
chain_key = iterate_chain_key(chain_key, class_idx);
curr->curr_chain_key = chain_key;
curr->lockdep_depth++;
check_chain_key(curr);
return 1;
}

_lock_acquire()函数完成对锁类的加锁，在linux里这个函数运用在mutex和spinlock的加锁中，主要的逻辑用来打印错误日志。
• 屏障 barrier

```

## Exercise2

### threads/synch.h

在 **synch.h** 中声明了 nachos 关于线程间调度的三种方式 **Semaphore**, **Lock**, **Condition**。定义了主要 **private** 变量和 **public** 方法。

### threads/synch.cc

**synch.cc** 主要实现 **synch.h** 中一些较为复杂的 **public** 方法。

其中 `class Semaphore` 中的函数已经被实现了，`Lock`, `Condition` 的实现是 `Exercise3` 的任务。

`Semaphore` 的实现相当于一个例子，

```
void Semaphore::P() {
    IntStatus oldLevel = interrupt->SetLevel(IntOff); // 设置中断

    while (value == 0) { // 等 Value 值
        queue->Append((void*)currentThread); // 如果有新的线程进来了
        currentThread->Sleep(); // 做 sleep 操作
    }
    value--; // 如果可用了减去一个 value,
}

(void)interrupt->SetLevel(oldLevel); // 恢复中断
}
```

从上述代码中，可以看出关于线程间控制的代码通过控制中断实现，依次类似的，信号量的释放，`Lock` 的获取、释放，条件变量的 `wait`, `wakeup` 都是通过控制中断来实现的。

具体而言，需要实现以下方法：

```
void Semaphore::P() {} // 信号量的获取
void Semaphore::V() {} // 信号量的释放
void Lock::Acquire() {} // 锁的获取
void Lock::Release () {} // 锁的释放
void Condition::Wait(Lock* conditionLock) {} // 条件变量的获取
void Condition::Signal(Lock* conditionLock) {} // 条件变量单线程唤醒
void Condition::Broadcast(Lock* conditionLock) {} // 条件变量广播唤醒线程
```

## threads/synchlist.h

在 `synchlist.h` 中构造了一个线程安全的 `List` 叫做 `sysnchList`。其中通过 `synch.h` 声明的 `Lock`, `Condition` 实现线程安全。

## threads/synchlist.cc

实现 `synchlist.cc` 声明的复杂方法。具体实现与常见的同步互斥问题相同。

具体而言需要实现：

```
void SynchList::Append(void *item) {} // 线程安全的 append
void *SynchList::Remove() {}
void SynchList::Mapcar(VoidFunctionPtr func) {}
```

## Exercise3

`Exercise3` 要求实现锁和条件变量，仿照 `Semaphore` 中的操作。`Lock` 的实现相对而言简单一点，只需要完成 `Semaphore` 的调用就行了，通过设置一个互斥信号量来完成。

`Condition` 的实现稍微复杂一点，设置一个互斥资源锁 `Lock`，通过把当前 `currentThread` 塞到一个 `Queue` 中，实现 `wait`。如果要唤醒就从 `Queue` 中拿出来，就行遍历。

```

1. vim synch.cc (docker)

// Lab 3 Condition
// -----
Condition::Condition(char* debugName) {
    name = debugName;
    waitQueue = new List();
    phase = new Lock("Two Phase Lock"); // lab 3 Challenge 1
}

Condition::~Condition() {
    delete waitQueue;
    delete phase;
}

void Condition::Wait(Lock* conditionLock) {
    // ASSERT(FALSE);
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    conditionLock->Release();
    waitQueue->Append((Thread*)currentThread);
    currentThread->Sleep();
    conditionLock->Acquire();
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::Signal(Lock* conditionLock) {
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    Thread* thread;
    if (conditionLock->isHeldByCurrentThread()) {
        thread = (Thread*)waitQueue->Remove();
        if (thread != NULL) {
            scheduler->ReadyToRun(thread);
        }
    }
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::Broadcast(Lock* conditionLock) {
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    Thread* thread;
    if (conditionLock->isHeldByCurrentThread()) {
        thread = (Thread*)waitQueue->Remove();
        while (thread != NULL) {
            scheduler->ReadyToRun(thread);
            thread = (Thread*)waitQueue->Remove();
        }
    }
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::BroadcastPhase(Lock* conditionLock) { // lab 3 Challenge 1
}

```

```

1. vim synch.cc (docker)

// Lab 3 Condition
// -----
Condition::Condition(char* debugName) {
    name = debugName;
    waitQueue = new List();
    phase = new Lock("Two Phase Lock"); // lab 3 Challenge 1
}

Condition::~Condition() {
    delete waitQueue;
    delete phase;
}

void Condition::Wait(Lock* conditionLock) {
    // ASSERT(FALSE);
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    conditionLock->Release();
    waitQueue->Append((Thread*)currentThread);
    currentThread->Sleep();
    conditionLock->Acquire();
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::Signal(Lock* conditionLock) {
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    Thread* thread;
    if (conditionLock->isHeldByCurrentThread()) {
        thread = (Thread*)waitQueue->Remove();
        if (thread != NULL) {
            scheduler->ReadyToRun(thread);
        }
    }
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::Broadcast(Lock* conditionLock) {
    IntStatus oldLevel = interrupt->SetLevel(IntOff);
    Thread* thread;
    if (conditionLock->isHeldByCurrentThread()) {
        thread = (Thread*)waitQueue->Remove();
        while (thread != NULL) {
            scheduler->ReadyToRun(thread);
            thread = (Thread*)waitQueue->Remove();
        }
    }
    (void)interrupt->SetLevel(oldLevel);
}

void Condition::BroadcastPhase(Lock* conditionLock) { // lab 3 Challenge 1
}

```

## Exercise 4

通过上面的 Exercise 3 中实现的信号量，Lock，Condition 来完成同步互斥机制。同  
步互斥机制问题选择“生产者-消费者”来进行分析。

### ① 信号量

通过定义三个信号量，来实现生产者和消费者。每次消费之前先去 Full，Mutex 中看看有没有生产好的商品。生产之前去 Empty 有没有空闲的位置。

```
#define Buffersize 4

Semaphore *Mutex = new Semaphore("Mutex", 1);
Semaphore *Empty = new Semaphore("Empty", Buffersize);
Semaphore *Full = new Semaphore("Full", 0);

int itemnum = 0;
```

```
1. vim threadtest.cc (darker)
```

```
-----lrb3 Test-Begin-----
#define Buffersize 4
Semaphore *Mutex = new Semaphore("Mutex", 1);
Semaphore *Empty = new Semaphore("Empty", Buffersize);
Semaphore *Full = new Semaphore("Full", 0);
int itemnum = 0;

Lock *Clock = new Lock("Condition Lock");
Condition *ConditionFull = new Condition("Condition Full");
Condition *ConditionEmpty = new Condition("Condition Empty");

Barrier *barrier = new Barrier("barrier", 5);

void Producer(int n) {
    for (int i = 0; i < n; ++i) {
        Empty->P();
        Mutex->P();
        ++itemnum;
        printf("Thread %s tid: %d uid: %d priority: %d, PPPProduce the "
               "item, there are %d items in buffer\n",
               currentThread->getName(), currentThread->getTid(),
               currentThread->getId(), currentThread->getPriority(), itemnum);
        Mutex->V();
        Full->V();
        currentThread->Yield();
    }
}

void Consumer(int n) {
    for (int i = 0; i < n; ++i) {
        Full->P();
        Mutex->P();
        --itemnum;
        printf("Thread %s tid: %d uid: %d priority: %d, CCCConsumer the "
               "item, there are %d items in buffer\n",
               currentThread->getName(), currentThread->getTid(),
               currentThread->getId(), currentThread->getPriority(), itemnum);
        Mutex->V();
        Empty->V();
        currentThread->Yield();
    }
}

void ProducerCondition(int n) {
    for (int i = 0; i < n; ++i) {
        Clock->Acquire();
        if (itemnum == Buffersize) {
            printf("-----Thread %s Waiting-----\n",
                   currentThread->getName(), ConditionFull->getName());
            ConditionFull->Wait(Clock);
        }
        ConditionFull->Wait(Clock);
    }
}
```

223,1 57%

```
1. root@1801210840: ~/nachos/nachos-3.4/code/threads(docker)
..../threads/threadtest.cc:176:44: warning: deprecated conversion from string constant to 'char*' [-Wwrite-strings]
Semaphore *Mutex = new Semaphore("Mutex", 1);
g++ main.o list.o scheduler.o synchro/synchlist.o system.o thread.o threadtest.o interrupt.o stats.o sysdep.o timer.o elevator.o elevatortest.o switch.o -o nachos
1801210840 threads git:(develop) x /nachos
Written by James Hartung 1801210840 in 2019-03-16
Thread Thread tid: 5 uid: 4 priority: 0, PPPProduce the item, there are 1 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Threads tid: 6 uid: 5 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Thread tid: 5 uid: 4 priority: 0, PPPProduce the item, there are 1 items in buffer
Interval Time is: 90 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Threads tid: 6 uid: 5 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Thread tid: 5 uid: 4 priority: 0, PPPProduce the item, there are 1 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Threads tid: 6 uid: 5 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Thread tid: 5 uid: 4 priority: 0, PPPProduce the item, there are 1 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Threads tid: 6 uid: 5 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Thread tid: 5 uid: 4 priority: 0, PPPProduce the item, there are 1 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Threads tid: 6 uid: 5 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 50 ms
NextPrio: 0; CurrentPrio: 0
Switch 2
Thread Thread tid: 1 uid: 0 priority: 4, PPPProduce the item, there are 1 items in buffer
Interval Time is: 70 ms
NextPrio: 0; CurrentPrio: 4
Switch 2
Thread Threads tid: 7 uid: 6 priority: 0, CCCConsumer the item, there are 0 items in buffer
Interval Time is: 40 ms
NextPrio: 4; CurrentPrio: 0
Switch ... .#1 x ... #2 x ... rs... #3 x ... #4 x ... #5 x ... ps... #6 x ... kt... #7 x ... l... #8 x ... yr... #9 x ... op... #10 x ... os... #11 x ... w... #12 x ... op... #13 x ... o... #14
```

## ② 条件变量

实现思路基本上和信号量的方案一致。当生产的商品达到最大限额的时候，通过 `Condition` 进行 `Wait` 操作，直到被有资源线程被唤醒。

```
Lock *Clock = new Lock("Condition Lock");
Condition *ConditionFull = new Condition("Condition Full");
Condition *ConditionEmpty = new Condition ("Condition Empty");
```

```

1. vim threadtest.cc (docker)
}

void ProducerCondition(int n) {
    for (int i = 0; i < n; ++i) {
        Clock->Acquire();
        if (itemnum == Buffersize) {
            printf("-----Thread %s Waiting-----\n",
                   currentThread->getName(), ConditionFull->getName());
            ConditionFull->Wait(Clock);
        }
        ++itemnum;
        printf("Thread %s tid: %d uid: %d priority: %d, PPPPProduceCondition the "
               "item, there are %d items in buffer\n",
               currentThread->getName(), currentThread->getTid(),
               currentThread->getUId(), currentThread->getPriority(), itemnum);
        if (itemnum == Buffersize) {
            printf("-----Thread %s Wakeup-----\n",
                   currentThread->getName(), ConditionEmpty->getName());
            ConditionEmpty->Signal(Clock);
        }
        Clock->Release();
        currentThread->Yield();
    }
}

void ConsumerCondition(int n) {
    for (int i = 0; i < n; ++i) {
        Clock->Acquire();
        if (itemnum) {
            printf("-----Thread %s Waiting-----\n",
                   currentThread->getName(), ConditionEmpty->getName());
            ConditionEmpty->Wait(Clock);
        }
        --itemnum;
        printf("Thread %s tid: %d uid: %d priority: %d, CCCConsumerCondition the "
               "item, there are %d items in buffer\n",
               currentThread->getName(), currentThread->getTid(),
               currentThread->getUId(), currentThread->getPriority(), itemnum);

        if (itemnum == Buffersize) {
            printf("-----Thread %s Wakeup-----\n",
                   currentThread->getName(), ConditionFull->getName());
            ConditionFull->Signal(Clock);
        }
        Clock->Release();
        currentThread->Yield();
    }
}

void BarrierTestThread() { barrier->setBarrier(); }

267,1 71%

```

```

1. root@1801210840:~/nachos/nachos-3.4/code/threads (docker)
A
./threads/threadtest.cc:181:60: warning: deprecated conversion from string constant to 'char*' [-Wwrite-strings]
    Condition *ConditionEmpty = new Condition("Condition Empty");
    ^
g++ main.o list.o scheduler.o synch.o synclist.o system.o thread.o utility.o threadtest.o interrupt.o stats.o sysdep.o timer.o elevator.o elevatortest.o switch.o -o nachos
→ 1801210840 threads git:(develop) ✘ ./nachos
Write by Jiang Huiqiang 1801210840 in 2019-03-17
Thread Thread0 tid: 0 uid: 0 priority: 4, PPPPProduceCondition the item, there are 1 items in buffer
Interval Time is: 240 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread1 tid: 2 uid: 1 priority: 4, PPPPProduceCondition the item, there are 2 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
Thread Thread2 tid: 2 uid: 1 priority: 4, PPPPProduceCondition the item, there are 3 items in buffer
Interval Time is: 60 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread3 tid: 4 uid: 3 priority: 4, PPPPProduceCondition the item, there are 4 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
NextPrio: 4; CurrentPrio: 4
-----Thread Threads Waiting-----Condition Full
Thread Thread4 tid: 6 uid: 5 priority: 4, CCCConsumerCondition the item, there are 3 items in buffer
Interval Time is: 80 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread5 tid: 7 uid: 6 priority: 4, CCCConsumerCondition the item, there are 2 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
Thread Thread6 tid: 7 uid: 6 priority: 4, CCCConsumerCondition the item, there are 1 items in buffer
Interval Time is: 60 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread7 tid: 9 uid: 8 priority: 4, CCCConsumerCondition the item, there are 0 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
NextPrio: 4; CurrentPrio: 4
-----Thread Thread8 Waiting-----Condition Empty
Thread Thread8 tid: 1 uid: 0 priority: 4, PPPPProduceCondition the item, there are 1 items in buffer
Interval Time is: 80 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread9 tid: 3 uid: 2 priority: 4, PPPPProduceCondition the item, there are 2 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
Thread Thread10 tid: 3 uid: 2 priority: 4, PPPPProduceCondition the item, there are 3 items in buffer
Interval Time is: 60 ms
NextPrio: 4; CurrentPrio: 4
Switch 2
Thread Thread11 tid: 5 uid: 4 priority: 4, PPPPProduceCondition the item, there are 4 items in buffer
Interval Time is: 30 ms
NextPrio: 4; CurrentPrio: 4
NextPrio: 4; CurrentPrio: 4
-----Thread Thread12 Waiting-----Condition Full
Thread Thread12 tid: 8 uid: 7 priority: 4, CCCConsumerCondition the item, there are 3 items in buffer
Interval Time is: 80 ms

```

## Challenge 1

**Challenge1** 要求通过信号量等线程同步机制，完成一个 **barrier** 的功能。即线程在一定数量前，等待，直到达到某一特定数量，再一起执行。

如果只是实现一个 **barrier** 还是比较简单的。设置一个 **resourceNum**，一个 **Lock**，一个条件变量 **Condition**。每次有 **Thread** 进来的时候，先去抢锁，如果有资源，则进到条件变量 **Condition** 的 **WaitQueue** 中。如果资源被消耗完了，说明已经达到一定数量了。这时候原本积累在条件变量 **Condition** 的 **WaitQueue** 中的 **Threads** 就需要一起被唤醒。

但上面的代码有很大的 **bug**，就线程数必须和 **Barrier** 要求的线程数完全一致。这个在实际使用上是不能接受的。故想对代码进行优化，使其能实现两阶段锁协议的功能。

实现两阶段锁协议，代码就比刚才要复杂多了。通过维护一个 **Lock** 锁来实现阶段的拦截。如果进入到 **consumer** 阶段，则进程进入的时候需要先去获取这个锁。当 **Barrier** 拦截的线程 **Queue** 被访问完了的时候，这个锁就被释放，那么新的 **Thread** 就可以进入，从而到下一个 **Queue** 阶段。

那么这个时候就出现一个问题，我 **Barrier** 之前实现的 **Queue** 释放，实际上调用的是 **Condition** 的 **Broadcast** 方法，至于什么时候广播结束，**Barrier** 实际上是不知道的。两种思路，一个是加一个回调函数，另外一个就是改 **Condition Class**。这里通过修改 **Condition Class** 来实现这个功能。通过在 **Condition** 中加一个 **Phase Lock**，然后暴露出去 **AcquirePahse()**, **ReleasePhase()** 两个 **public** 方法，来实现两阶段锁协议。当 **Broadcast** 释放完 **Queue** 之后，下面的进程才能进入。

这样设计完之后，又会出现一个问题，**Condition** 的广播唤醒实际上做的是把 **Queue** 中的 **Thread** 依次放入 **Schedule** 的 **ReadyToRunList** 中，至于什么时候被调用，**Condition** 也不知道。

于是想能不能去查一下 **Schedule** 的 **ReadyToRun List** 里面还有多少变量，如果变量数字==之前的那个数字，说明 **Queue** 里面的 **Thread** 已经被处理完了。但想法是好的，仿照这 **Semaphore** 的 **PV** 进行设计，弄一个 **While** 循环来监听 **ReadyToRun**，但这样做就会把 **currentThread** 堵死。尝试了几种策略包括 **sleep()**，等等。

实践出来 **bug** 不断，包括虽然加 **Phase** 锁，但第一轮的 **Thread** 比第二轮的线程还晚结束。

最后，给出了设置高权限的策略。在 **Queue** 中的 **Thread** 应该是高优先级的，应该是优先完成的。高优先级别的好处，还有放在 **ReadyToRun** 的前面，当 **Broadcast** 线程结束之后，下一个轮到的应该是原来 **WaitQueue** 中的进程，而不是还没进入 **Queue** 阶段的进程，这样就避免了第一轮 **Thread** 比第二轮进程还晚结束的情况。也算是完成了原来设计的两阶段锁结构的设计。

```

1. vim synch.cc (docker)

thread = (Thread*)waitFor->Remove();
while (thread != NULL) {
    thread->setPriority(1);
    scheduler->ReadyToRun(thread);
    thread = (Thread*)waitFor->Remove();
}
(void)interrupt->SetLevel(oldLevel);
phase->Release();
}

void Condition::AcquirePhase() { phase->Acquire(); }

void Condition::ReleasePhase() { phase->Release(); }

// -----
// Lab 3 Challenge 1 Barrier Two Phase Protocol
// -----

Barrier::Barrier(char* debugName, int num) {
    name = debugName;
    waitNum = num;
    totalNum = num;
    ASSERT(waitNum > 0); // waitNum must > 0
    bl = new Lock("Barrier Lock");
    bc = new Condition("Barrier Condition");
}

Barrier::~Barrier() {
    delete bl;
    delete bc;
}

void Barrier::setBarrier() {
    bl->Acquire();
    if (!waitNum) {
        bc->AcquirePhase();
        if (!waitNum) {
            waitNum = totalNum;
            printf("-----**----- Consumer Phase End -----**-----\n");
            printf("-----**----- Queue Phase Begin -----**-----\n");
        }
        bc->ReleasePhase();
    }
    printf("## Thread %s enter Barrier! ##\n", currentThread->getName());
    --waitNum;

    if (!waitNum) {
        bc->AcquirePhase();
        printf("-----**----- Queue Phase End -----**-----\n");
        printf("-----**----- Consumer Phase Begin -----**-----\n");
        bc->BroadcastPhase(bl);
    } else {
        bc->Wait(bl);
    }
    printf("## Thread %s quit Barrier! ##\n", currentThread->getName());
    bl->Release();
}

248,1 99%
× ...na... #1 | × ...19... #2 | × ... #3 | × ...A... #4 | × vim... #5 | × ...kt... #6 | × ...A... #7 | × ...kt... #8 | × ..... | × ..... | × ..op... | × ..op... | × ..op... | × / (zsh) | × ...kt... #9

```

```

1. root@1801210840:~/nachos/nachos-3.4/code/threads (docker)
temp->Fork(BarrierTestThread, (void *)loop);
In file included from .../threads/synch.h:22:0,
                 from .../threads/threadtest.cc:14:
./threads/thread.h:97:8: note: initializing argument 1 of 'void Thread::Fork(VoidFunctionPtr, void*)'
void Fork(VoidFunctionPtr func, void *arg); // Make thread run (*func)(arg)
      /
g++ main.o list.o scheduler.o synch.o synclist.o system.o thread.o utility.o threadtest.o interrupt.o stats.o timer.o elevator.o elevatortest.o switch.o -o nachos
→ 1801210840 threads git:(develop) x ./nachos
Write by Jiang Huiguo 1801210840 in 2019-03-17
..... Two Phase Protocol begin .....
-----**----- Consumer Phase End -----**-----
## Thread Thread0 enter Barrier! ##
## Thread Thread1 enter Barrier! ##
## Thread Thread2 enter Barrier! ##
## Thread Thread3 enter Barrier! ##
## Thread Thread4 enter Barrier! ##
-----**----- Queue Phase End -----**-----
-----**----- Consumer Phase Begin -----**-----
## Thread Thread5 enter Barrier! ##
## Thread Thread6 enter Barrier! ##
## Thread Thread7 enter Barrier! ##
## Thread Thread8 enter Barrier! ##
## Thread Thread9 enter Barrier! ##
-----**----- Queue Phase End -----**-----
-----**----- Consumer Phase Begin -----**-----
Now ReadyList len is 5
wait Queue len is4
## Thread Thread4 quit Barrier! ##
## Thread Thread0 quit Barrier! ##
## Thread Thread1 quit Barrier! ##
## Thread Thread2 quit Barrier! ##
## Thread Thread3 quit Barrier! ##
-----**----- Consumer Phase End -----**-----
-----**----- Queue Phase Begin -----**-----
## Thread Thread5 enter Barrier! ##
## Thread Thread6 enter Barrier! ##
## Thread Thread7 enter Barrier! ##
## Thread Thread8 enter Barrier! ##
## Thread Thread9 enter Barrier! ##
-----**----- Queue Phase End -----**-----
-----**----- Consumer Phase Begin -----**-----
Now ReadyList len is 0
wait Queue len is4
## Thread Thread9 quit Barrier! ##
## Thread Thread5 quit Barrier! ##
## Thread Thread6 quit Barrier! ##
## Thread Thread7 quit Barrier! ##
## Thread Thread8 quit Barrier! ##
No threads ready or runnable, and no pending interrupts.
Assuming the program completed.
Machine halting!

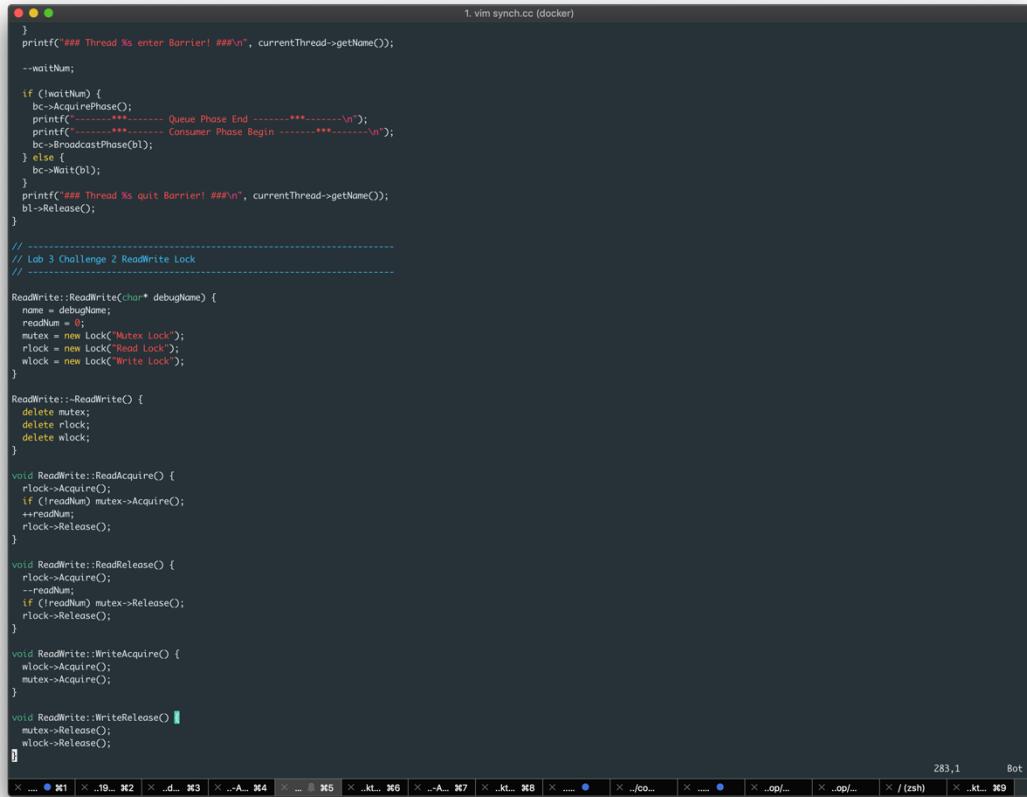
Ticks: total 570, idle 0, system 570, user 0
Disk I/O: reads 0, writes 0
Console I/O: reads 0, writes 0
Paging: faults 0
Network I/O: packets received 0, sent 0

Cleaning up...
→ 1801210840 threads git:(develop) x
× ...na... #1 | × ...19... #2 | × ... #3 | × ... #4 | × vim... #5 | × ...p... #6 | × IPy... #7 | × ...kt... #8 | × ...y... | × ... | × vim... | × vim... | × ..op... | × ..op... | × ..op... | × ... #9

```

## Challenge 2

利用前面的 **Lock** 实现读者-写者锁。我选用了读者优先的策略。维护了一个全局锁 **mutex**, 一个读锁 **rlock**, 一个写锁 **wlock**。支持读者并发读，只有没有读者的时候，写者才能进行写操作。



The screenshot shows a terminal window with the title "1. vim synch.cc (docker)". The code is a C program named synch.cc, which implements a reader-writer lock using three locks: mutex, rlock, and wlock. The code includes comments explaining the logic for entering and exiting barriers, managing the read count (readNum), and handling read and write operations. The terminal window has a dark background and light-colored text. At the bottom, there is a status bar with the number "283,1" and the word "Bot". The bottom of the screen shows a series of terminal escape codes.

```
1. vim synch.cc (docker)

}

printf("## Thread %s enter Barrier! ##\n", currentThread->getName());

--waitNum;

if (!waitNum) {
    bc->AcquirePhase();
    printf("----- Queue Phase End -----*\n");
    printf("----- Consumer Phase Begin -----*\n");
    bc->BroadcastPhase(bl);
} else {
    bc->Wait(bl);
}
printf("## Thread %s quit Barrier! ##\n", currentThread->getName());
bl->Release();

// -----
// Lab 3 Challenge 2 ReadWrite Lock
// -----



ReadWrite::ReadWrite(char* debugName) {
    name = debugName;
    readNum = 0;
    mutex = new Lock("Mutex Lock");
    rlock = new Lock("Read Lock");
    wlock = new Lock("Write Lock");
}

ReadWrite::~ReadWrite() {
    delete mutex;
    delete rlock;
    delete wlock;
}

void ReadWrite::ReadAcquire() {
    rlock->Acquire();
    if (!readNum) mutex->Acquire();
    ++readNum;
    rlock->Release();
}

void ReadWrite::ReadRelease() {
    rlock->Acquire();
    --readNum;
    if (!readNum) mutex->Release();
    rlock->Release();
}

void ReadWrite::WriteAcquire() {
    wlock->Acquire();
    mutex->Acquire();
}

void ReadWrite::WriteRelease() {
    mutex->Release();
    wlock->Release();
}


```

```

ConditionEmpty->Wait(Clock);
}
--itemnum;

printf(
    "Thread %s tid: %d uid: %d priority: %d, CCCConsumerCondition the "
    "item, there are %d items in buffer\n",
    currentThread->getName(), currentThread->getTid(),
    currentThread->getUId(), currentThread->getPriority(), itemnum);

if (itemnum == Buffersize) {
    printf("-----Thread %s Wakeup-----\n",
        currentThread->getName(), ConditionFull->getName());
    ConditionFull->Signal(Clock);
}
Clock->Release();
currentThread->Yield();
}

void BarrierTestThread() { barrier->setBarrier(); }

void Reader(int n) {
    rwLock->ReadAcquire();
    for (int i = 0; i < n; ++i)
        printf("**** Thread %s **** Read \n", currentThread->getName());
    rwLock->ReadRelease();
}

void Writer(int n) {
    rwLock->WriteAcquire();
    for (int i = 0; i < n; ++i)
        printf("**** Thread %s **** Write \n", currentThread->getName());
    rwLock->WriteRelease();
}

Thread *createThreadLab3Test(int num, int priority, char *threadNameList,
                            int loop, int type, int method) {
    Thread *temp = new Thread(threadNameList);
    temp->setId(idnum);
    temp->setPriority(priority);

    if (method && type) {
        temp->Fork(Producer, (void *)loop);
    } else if (!method) {
        temp->Fork(Consumer, (void *)loop);
    } else if (method == 1 && type) {
        temp->Fork(ProducerCondition, (void *)loop);
    } else if (method == 1) {
        temp->Fork(ConsumerCondition, (void *)loop);
    } else if (method == 2) {
        temp->Fork(BarrierTestThread, (void *)loop);
    } else if (method == 3 && type) {
        temp->Fork(Reader, (void *)loop);
    } else {
        temp->Fork(Writer, (void *)loop);
    }
}

249,1      84%

```

```

rwLock->WriteAcquire();
for (int i = 0; i < n; ++i)
    printf("**** Thread %s **** Write \n", currentThread->getName());
rwLock->WriteRelease();

Thread *createThreadLab3Test(int num, int priority, char *threadNameList,
                            int loop, int type, int method) {
    Thread *temp = new Thread(threadNameList);
    temp->setId(idnum);
    temp->setPriority(priority);

    if (method && type) {
        temp->Fork(Producer, (void *)loop);
    } else if (!method) {
        temp->Fork(Consumer, (void *)loop);
    } else if (method == 1 && type) {
        temp->Fork(ProducerCondition, (void *)loop);
    } else if (method == 1) {
        temp->Fork(ConsumerCondition, (void *)loop);
    } else if (method == 2) {
        temp->Fork(BarrierTestThread, (void *)loop);
    } else if (method == 3 && type) {
        temp->Fork(Reader, (void *)loop);
    } else {
        temp->Fork(Writer, (void *)loop);
    }

    return temp;
}

void lab3Test() {
    printf("By Long HuiLang 180210840 in 2019-03-17\n");
    clearThreadNameList[MaxThreadNum][20] = {};
    int priorityList[MaxThreadNum] = {4, 4, 4, 4, 4, 4, 4, 4, 4, 4};
    int readWritelst[MaxThreadNum] = {1, 1, 0, 1, 0, 1, 1, 1, 0, 0};
    int model = 3;

    if (model == 2)
        printf("..... Two Phase Protocol begin ..... \n");

    for (int i = 0; i < 10; ++i) {
        char str[20];
        sprintf(str, "%d", i);
        strncat(threadNameList[i], "Thread");
        strncat(threadNameList[i], str);
        if (model == 3)
            createThreadLab3Test(i, priorityList[i], threadNameList[i], 5,
                                readWritelst[i], model);
        else
            createThreadLab3Test(i, priorityList[i], threadNameList[i], 5,
                                i < 5 ? 1 : 0, model);
    }
    if (model == 2)
        printf("..... Consumer Phase End ..... \n");
}
//-----lab3-Test-End-----
```

336,0-1 94%

## 内容三：遇到的困难以及解决方法

遇到的困难主要是 **Challenge 1** 中实践时遇到的问题。一开始的方案只适合 **BarrierNum == ThreadNum**。一旦有线程数比较多的时候就不适用。于是改成两阶段锁协议，但遇到的问题更多。**Phase Lock** 应该放在哪个类。加了 **Phase Lock** 之后为啥第一轮的 **Thread** 比第二轮的还迟退出。最后给出了基于优先级的方案，完成了两阶段锁结构的实现。

## 内容四：收获及感想

这次的作业比 **lab2** 难度有所增加，做起来还是比较吃力的，尤其是 **Challenge 1** 的实现，还是很有意思的。通过这次实践，强化了自己对两阶段锁协议的理解，强化了自己对线程同步机制的理解。

## 内容五：对课程的意见和建议

感谢老师给我们这个机会动手体会 **os** 中线程间同步机制的具体逻辑。

## 内容六：参考文献

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