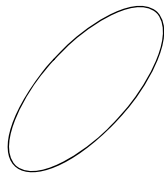


Curves and Surfaces



2D Curves: Implicit Form

Point (x,y) lies on the curve iff it satisfies

$$F(x,y) = 0$$

- **Line** through points $\mathbf{a} = (a_x, a_y)$ and $\mathbf{b} = (b_x, b_y)$

$$F(x,y) = (y - a_y)(b_x - a_x) - (x - a_x)(b_y - a_y) = 0$$

- **Circle** with radius r centered at $\mathbf{c} = (c_x, c_y)$

$$F(x,y) = (x - c_x)^2 + (y - c_y)^2 - r^2 = 0$$

2D Curves: Parametric Form

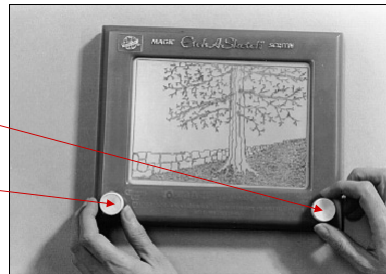
Parametric form produces points on the curve based on the value of a parameter

Movement of a point through time t

- Motion of pen drawing curve

- Coordinate functions:

$$\begin{cases} x(t) \\ y(t) \end{cases}$$



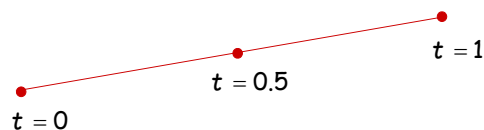
2D Curves: Parametric Form

A line through points $a = (a_x, a_y)$ and $b = (b_x, b_y)$

$$x(t) = a_x + (b_x - a_x)t$$

$$y(t) = a_y + (b_y - a_y)t$$

- Sweeps through points on line-segment as t varies from 0 to 1



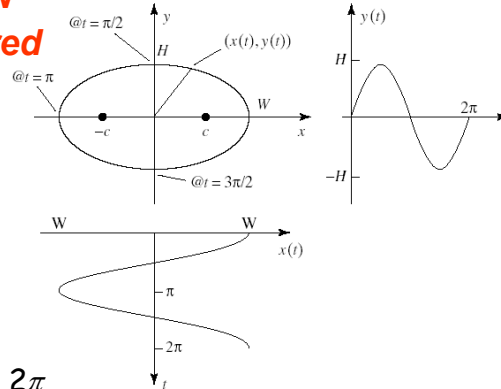
2D Curves: Parametric Form

An ellipse of half-width w and half-height h centered at 0

$$x(t) = w \cos(t)$$

$$y(t) = h \sin(t)$$

- Sweeps through points on ellipse as t varies from 0 to 2π



Conversion from Parametric to Implicit Form

Eliminate the parameter

- Not always easy to do so

For the ellipse

$$\left(\frac{x}{w}\right)^2 + \left(\frac{y}{h}\right)^2 = 1$$

since

$$\left(\frac{w \cos(t)}{w}\right)^2 + \left(\frac{h \sin(t)}{h}\right)^2 = 1$$

Other Conic Sections

Parabola

- Parametric:

$$x(t) = at^2$$

$$y(t) = 2at$$

- Implicit:

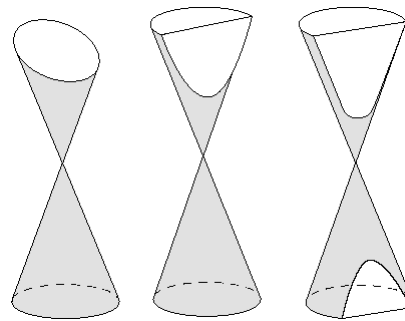
$$y^2 - 4ax = 0$$

Hyperbola

- Parametric: $x(t) = a \sec(t)$

$$y(t) = b \tan(t)$$

- Implicit: $(x/a)^2 - (y/b)^2 = 1$

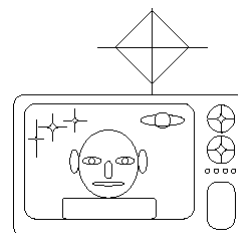


Superellipse

Produces nice geometric effects

- Implicit form:

$$\left(\frac{x}{a}\right)^n + \left(\frac{y}{b}\right)^n = 1$$



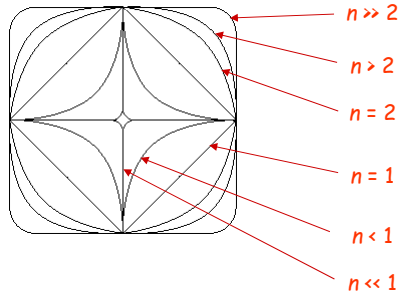
- Parametric form:

$$x(t) = a \cos(t) |\cos(t)|^{2/n-1}$$

$$y(t) = b \sin(t) |\sin(t)|^{2/n-1}$$

Supercircle Family

When $a = b$



Bulge outward for $n > 1$

Bulge inward for $n < 1$

Different Forms of Curve Functions in 3D

Explicit: $y = f(x)$, $z = g(x)$

- Cannot get multiple values for single x , infinite slopes

Implicit: $f(x,y,z) = 0$

- Cannot easily compare tangent vectors at joints
- Easy in/out test, normals from gradient

Parametric: $x = f_x(t)$, $y = f_y(t)$, $z = f_z(t)$

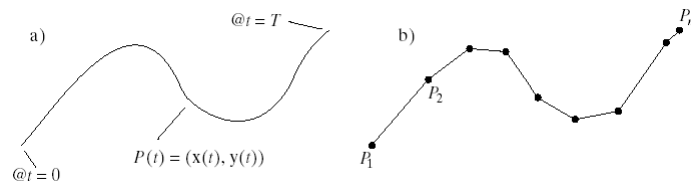
- Overcomes all problems

Drawing Parametric Curves

Compute samples of $\mathbf{p}(t) = (x(t), y(t))$

$$\mathbf{p}_i = \mathbf{p}(t_i) = (x(t_i), y(t_i))$$

Approximate the curve by a polyline defined through the samples



Describing Curves by Means of Polynomials

Reminder:

L^{th} degree polynomial

$$p(t) = a_0 + a_1 t + a_2 t^2 + \dots + a_L t^L$$

a_0, \dots, a_L are the coefficients

L : is the degree

$L + 1$ is the "order" of the polynomial

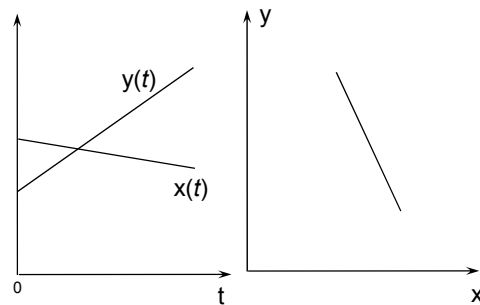
Polynomial Curves of Degree 1

Parametric and implicit forms are linear

$$x(t) = at + b$$

$$y(t) = ct + d$$

$$F(x,y) = kx + ly + m = 0$$



Polynomial Curves of Degree 2

Parametric

$$x(t) = at^2 + 2bt + c$$

$$y(t) = dt^2 + 2et + f$$

For any choice of constants
 $a, d, c, e, f \rightarrow$ parabola

Implicit

$$F(x,y) = Ax^2 + 2Bxy + Cy^2 + Dx + Ey + F$$

$$\text{Let } d = AC - B^2$$

$d > 0 \rightarrow F(x,y) = 0$ is an ellipse

$d = 0 \rightarrow F(x,y) = 0$ is a parabola

$d < 0 \rightarrow F(x,y) = 0$ is a hyperbola

So

We will use parametric polynomials and constrain them to create desired types of curves

How?

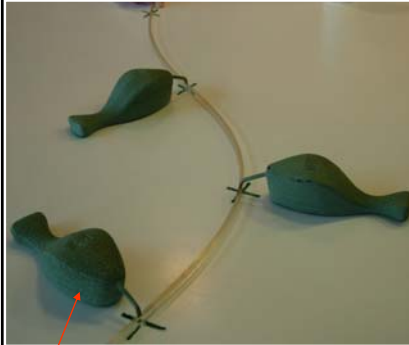
Splines

Piecewise polynomial curves

- Bezier curves
- Hermite curves
- Bernstein polynomials
- Matrix form for splines

Draftsman's Spline

Boeing Corp.



"Duck"



Interactive Curve Design

Geometric approach

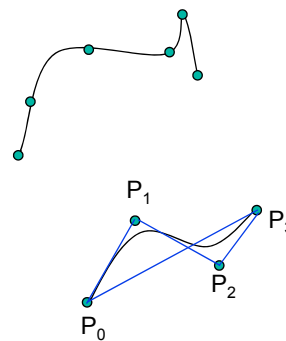
Constraints \rightarrow Polynomial \rightarrow Curve

$P_0, \dots, P_L \rightarrow$ Any t
Curve
generation $\rightarrow P(t)$

P_i is a control point

$P_0 \dots P_L$ is the control polygon

*Interpolation vs
Approximation*

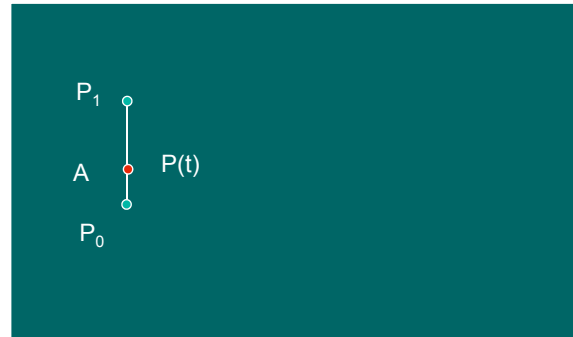


Bezier Curves

The De Casteljau Algorithm

Tweening

Two points = line



$$A(t) = (1-t)P_0 + tP_1$$

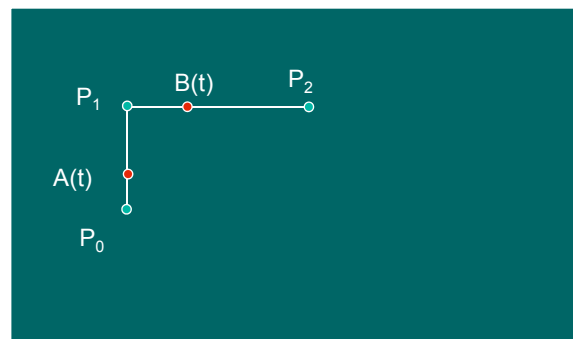
$$P(t) = A(t)$$

Bezier Curves

The De Casteljau Algorithm

Tweening

Three points



$$A(t) = (1-t)P_0 + tP_1$$

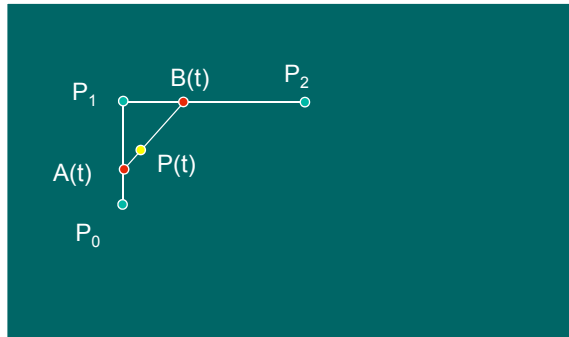
$$B(t) = (1-t)P_1 + tP_2$$

Bezier Curves

The De Casteljau Algorithm

Tweening

Three points
(parabola)



$$A(t) = (1-t)P_0 + tP_1$$

$$B(t) = (1-t)P_1 + tP_2$$

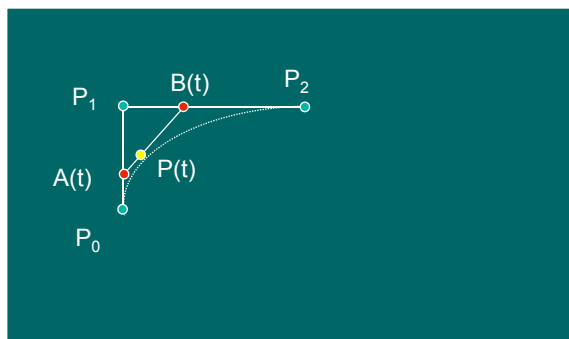
$$P(t) = (1-t)A(t) + tB(t) = (1-t)^2P_0 + 2t(1-t)P_1 + t^2P_2$$

Bezier Curves

The De Casteljau Algorithm

Tweening

Three points
(parabola)



$$A(t) = (1-t)P_0 + tP_1$$

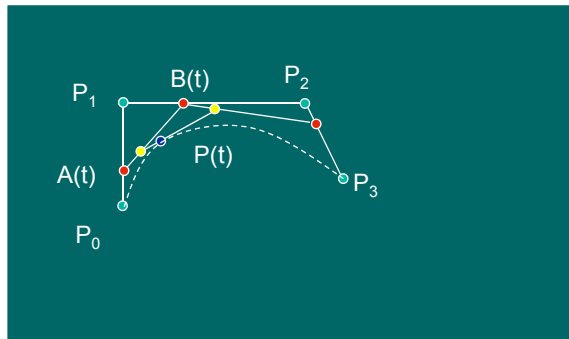
$$B(t) = (1-t)P_1 + tP_2$$

$$P(t) = (1-t)A(t) + tB(t) = (1-t)^2P_0 + 2t(1-t)P_1 + t^2P_2$$

Bezier Curves

The De Casteljau Algorithm

Tweening with four points



$$P(t) = (1-t)^3P_0 + 3(1-t)^2tP_1 + 3(1-t)t^2P_2 + t^3P_3$$

Cubic Bernstein Polynomials

$$P(t) = (1-t)^3P_0 + 3(1-t)^2tP_1 + 3(1-t)t^2P_2 + t^3P_3$$

$$B^3_0(t) = (1-t)^3$$

$$B^3_1(t) = 3(1-t)^2t$$

$$B^3_2(t) = 3(1-t)t^2$$

$$B^3_3(t) = t^3$$

Expansion of $[(1-t) + t]^3 = (1-t)^3 + 3(1-t)^2t + 3(1-t)t^2 + t^3 \rightarrow$

$$\sum_k B^3_k(t) = 1, \quad k = 0, 1, 2, 3$$

An affine combination of points

Bernstein Polynomials of Degree L

L + 1 control points

$$P(t) = \sum_{k=0}^L B_k^L(t) P_k \quad \text{where}$$

$$B_k^L(t) = \binom{L}{k} (1-t)^{L-k} t^k$$

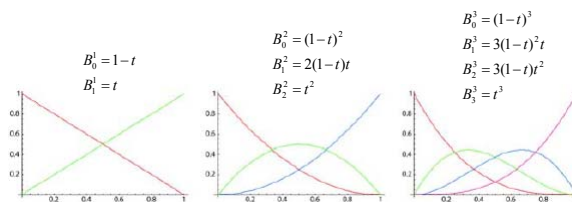
$$\binom{L}{k} = \frac{L!}{k!(L-k)!}, \quad \text{for } L \geq k$$

$$\sum_{k=0}^L B_k^L(t) = 1, \quad \text{for all } t$$

Expansion of $[(1-t) + t]^L$

Bernstein Polynomials

Common Bernstein Polynomials

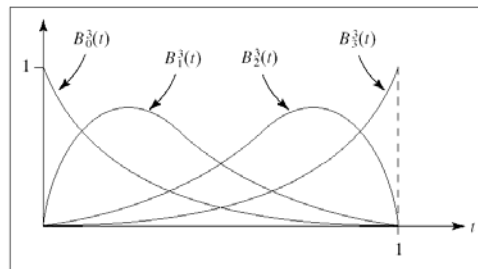


Bernstein Polynomials

Always positive

Zero only at $t=0$ or 1

Degree 3



Bernstein Polynomials

Bernstein polynomials can also be defined recursively

$$B_i^n(t) = (1-t)B_i^{n-1}(t) + t B_{i-1}^{n-1}(t)$$

$$B_0^0(t) = 1$$

Properties of Bezier Curves

- End point interpolation
- Affine Invariance: $T(P(t)) = \sum_{k=0}^L B_k^L(t) T(P)_k$
- Invariance under affine transformation of the parameter
- Convex Hull property for t in $[0, 1]$ $P = \sum_{k=0}^L a_k P_k$, where $\sum_{k=0}^L a_k = 1$ and $a_k > 0$
- Linear precision by collapsing convex hull
- **Variation diminishing** property: No straight line cuts the curve more times than it cuts the control polygon

Derivatives of Bezier Curves

It can be shown that:

Velocity is also a Bezier curve of lower degree

$$P'(t) = L \sum_{k=0}^{L-1} B_k^{L-1}(t) \Delta P_k \text{ where } \Delta P_k = P_{k+1} - P_k$$

Acceleration:

$$P''(t) = L(L-1) \sum_{k=0}^{L-2} B_k^{L-2}(t) \Delta^2 P_k \text{ where } \Delta^2 P_k = \Delta P_{k+1} - \Delta P_k$$

Which Degree is Best?

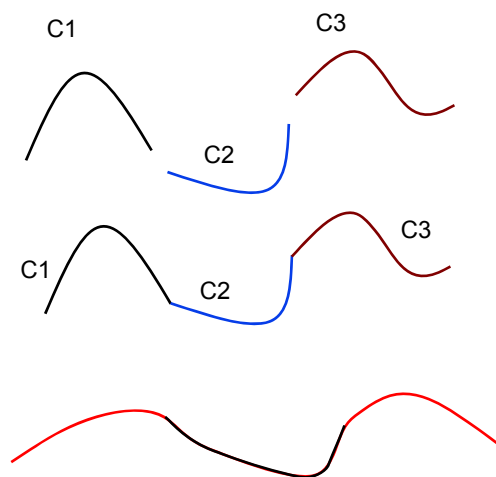
Cubic curves

- Lower order not enough flexibility
- Higher order too many wiggles and computationally expensive
- Cubic curves are lowest degree polynomial curves that are not necessarily planar in 3D

More complex curves

- Piecewise cubics

Piecewise Cubic Curves



Classifying the Continuity of Curves

Parametric continuity – C^k

- Each coordinate function is differentiable k times
- And each is continuous through the k^{th} derivative

Geometric continuity – G^k

- The curve itself is continuous up to order k independent of the parameterization
 - G^0 – two segments meet at the same point
 - G^1 – with the same tangent
 - G^2 – and the same curvature

These two kinds of continuity are not always equivalent

Cubic Space Curves

Consider coordinate functions that are cubic polynomials

$$\begin{aligned} x(u) &= a_3u^3 + a_2u^2 + a_1u + a_0 \\ y(u) &= b_3u^3 + b_2u^2 + b_1u + b_0 \quad \text{where } 0 \leq u \leq 1 \\ z(u) &= c_3u^3 + c_2u^2 + c_1u + c_0 \end{aligned}$$

Each is a linear combination of monomial terms

$$x(u) = \sum_{i=0}^3 a_i u^i \quad y(u) = \sum_{i=0}^3 b_i u^i \quad z(u) = \sum_{i=0}^3 c_i u^i$$

For convenience, we can rewrite this in vector form

$$\mathbf{p}(u) = \begin{bmatrix} x(u) \\ y(u) \\ z(u) \end{bmatrix} = \sum_{i=0}^3 \begin{bmatrix} a_i \\ b_i \\ c_i \end{bmatrix} u^i = \sum_{i=0}^3 \mathbf{a}_i u^i \quad \text{where } \mathbf{a}_i = \begin{bmatrix} a_i \\ b_i \\ c_i \end{bmatrix}$$

and in an even more condensed matrix form

$$\mathbf{p}(u) = \mathbf{A}\mathbf{u} \quad \text{where } \mathbf{A} = [\mathbf{a}_0 \quad \mathbf{a}_1 \quad \mathbf{a}_2 \quad \mathbf{a}_3] \quad \text{and } \mathbf{u} = \begin{bmatrix} u^0 \\ u^1 \\ u^2 \\ u^3 \end{bmatrix}$$

Rewriting with Geometric Constraints

A cubic is defined by 4 constraints

- We want to rewrite spline formulas in terms of these constraints, **not** the coefficients of the monomial terms

$$\mathbf{p}(u) = \mathbf{A}\mathbf{u} = \mathbf{G}\mathbf{M}\mathbf{u}$$

where

$$\mathbf{M} = \underbrace{\begin{bmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ m_{41} & m_{42} & m_{43} & m_{44} \end{bmatrix}}_{\text{Basis Matrix}} \quad \text{and} \quad \mathbf{G} = \underbrace{\begin{bmatrix} \mathbf{g}_1 & \mathbf{g}_2 & \mathbf{g}_3 & \mathbf{g}_4 \end{bmatrix}}_{\text{Geometry Matrix}}$$

Hermite Curves

Specify 4 geometric constraints

- Endpoints of the curve segment
- Tangent vectors at the endpoints



$$\mathbf{G} = [\mathbf{p}_0 \quad \mathbf{p}_3 \quad \mathbf{r}_0 \quad \mathbf{r}_3]$$

where

$$\mathbf{p}_0 = \mathbf{p}(0)$$

$$\mathbf{p}_3 = \mathbf{p}(1)$$

$$\mathbf{r}_0 = \mathbf{p}'(0)$$

$$\mathbf{r}_3 = \mathbf{p}'(1)$$

It's easy to paste Hermite segments together

- Specify coincident endpoints and identical tangents
- Guarantees tangents are continuous — C¹ continuity

Deriving the Hermite Basis Matrix

These are the constraints we want

$$\mathbf{p}_0 = \mathbf{p}(0) = \mathbf{a}_0$$

$$\mathbf{p}_3 = \mathbf{p}(1) = \mathbf{a}_0 + \mathbf{a}_1 + \mathbf{a}_2 + \mathbf{a}_3$$

$$\mathbf{r}_0 = \mathbf{p}'(0) = \mathbf{a}_1$$

$$\mathbf{r}_3 = \mathbf{p}'(1) = \mathbf{a}_1 + 2\mathbf{a}_2 + 3\mathbf{a}_3$$

$$\begin{aligned}\mathbf{p}(u) &= \sum_{i=0}^3 \mathbf{a}_i u^i \\ &= \mathbf{a}_0 + \mathbf{a}_1 u + \mathbf{a}_2 u^2 + \mathbf{a}_3 u^3 \\ \mathbf{p}'(u) &= \mathbf{a}_1 + 2\mathbf{a}_2 u + 3\mathbf{a}_3 u^2\end{aligned}$$

We can rewrite these constraints as

$$\mathbf{G} = \mathbf{A} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 2 \\ 0 & 1 & 0 & 3 \end{bmatrix}$$

Hence:

$$\mathbf{A} = \mathbf{G} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 2 \\ 0 & 1 & 0 & 3 \end{bmatrix}^{-1} = \mathbf{G} \begin{bmatrix} 1 & 0 & -3 & 2 \\ 0 & 0 & 3 & -2 \\ 0 & 1 & -2 & 1 \\ 0 & 0 & -1 & 1 \end{bmatrix} = \mathbf{G}\mathbf{M}$$

Equation for the Hermite Curve

The curve is:

$$\mathbf{p}(u) = \mathbf{G}\mathbf{M}\mathbf{u} = \begin{bmatrix} \mathbf{p}_0 & \mathbf{p}_3 & \mathbf{r}_0 & \mathbf{r}_3 \end{bmatrix} \begin{bmatrix} 1 & 0 & -3 & 2 \\ 0 & 0 & 3 & -2 \\ 0 & 1 & -2 & 1 \\ 0 & 0 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}$$

We can also regard it as a weighted sum of the constraints:

$$\begin{aligned}\mathbf{p}(u) &= (1 - 3u^2 + 2u^3)\mathbf{p}_0 + (3u^2 - 2u^3)\mathbf{p}_3 + (u - 2u^2 + u^3)\mathbf{r}_0 + (-u^2 + u^3)\mathbf{r}_3 \\ &= h_1(u)\mathbf{p}_0 + h_2(u)\mathbf{p}_3 + h_3(u)\mathbf{r}_0 + h_4(u)\mathbf{r}_3\end{aligned}$$

- Each constraint is weighted by a **blending function** $h_i(u)$
- The coefficients of these blending functions are the rows of the basis matrix \mathbf{M}

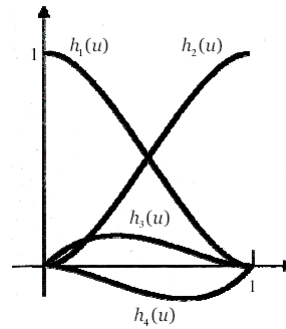
Hermite Blending Polynomials

$$h_1(u) = 1 - 3u^2 + 2u^3$$

$$h_2(u) = 3u^2 - 2u^3$$

$$h_3(u) = u - 2u^2 + u^3$$

$$h_4(u) = -u^2 + u^3$$



Matrix Form for Cubic Bézier Curve

$$\begin{aligned} \mathbf{p}(u) &= (1-u)^3 \mathbf{p}_0 + 3(1-u)^2 u \mathbf{p}_1 + 3(1-u) u^2 \mathbf{p}_2 + u^3 \mathbf{p}_3 \\ &= (1-3u+3u^2-u^3) \mathbf{p}_0 + (3u-6u^2+3u^3) \mathbf{p}_1 + (3u^2-3u^3) \mathbf{p}_2 + u^3 \mathbf{p}_3 \\ &= h_1(u) \mathbf{p}_0 + h_2(u) \mathbf{p}_1 + h_3(u) \mathbf{p}_2 + h_4(u) \mathbf{p}_3 \end{aligned}$$

Therefore:

$$\mathbf{p}(u) = \mathbf{G} \mathbf{M} \mathbf{u} = \begin{bmatrix} \mathbf{p}_0 & \mathbf{p}_1 & \mathbf{p}_2 & \mathbf{p}_3 \end{bmatrix} \begin{bmatrix} 1 & -3 & 3 & -1 \\ 0 & 3 & -6 & 3 \\ 0 & 0 & 3 & -3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}$$

Bézier Continuity

Suppose that we are given two cubic Bézier control polygons

$$\begin{array}{cccc} p_0 & p_1 & p_2 & p_3 \\ q_0 & q_1 & q_2 & q_3 \end{array}$$

where the two curves $p(u)$ and $q(u)$ should join consecutively

What constraints on these points are necessary to guarantee C^1 continuity between them?

Bézier Tangents

For a Bézier curve

$$p(u) = \sum_{i=0}^n p_i B_i^n(u) \quad 0 \leq u \leq 1$$

The derivatives at the endpoints are

$$p'(0) = n(p_1 - p_0)$$

$$p'(1) = n(p_n - p_{n-1})$$

So in the cubic case we have

$$p'(0) = 3(p_1 - p_0)$$

$$p'(1) = 3(p_3 - p_2)$$

Bézier to Hermite Conversion

This gives us a direct connection to Hermite splines

$$\left. \begin{array}{l} \mathbf{p}_0 = \mathbf{p}_0 \\ \mathbf{p}_3 = \mathbf{p}_3 \\ \mathbf{r}_0 = 3(\mathbf{p}_1 - \mathbf{p}_0) \\ \mathbf{r}_3 = 3(\mathbf{p}_3 - \mathbf{p}_2) \end{array} \right\} \begin{array}{l} \text{Hermite} \\ \text{Bézier} \end{array}$$

Which we can write in matrix form

$$\begin{bmatrix} \mathbf{p}_0 & \mathbf{p}_3 & \mathbf{r}_0 & \mathbf{r}_3 \end{bmatrix} = \begin{bmatrix} \mathbf{p}_0 & \mathbf{p}_1 & \mathbf{p}_2 & \mathbf{p}_3 \end{bmatrix} \begin{bmatrix} 1 & 0 & -3 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & -3 \\ 0 & 1 & 0 & 3 \end{bmatrix}$$

Converting Between Cubic Spline Types

We saw the specific example of Bézier-Hermite conversion

Suppose we want to convert between two arbitrary splines

$$\mathbf{G}_a \mathbf{M}_a \mathbf{u} = \mathbf{G}_b \mathbf{M}_b \mathbf{u}$$

Given geometry matrix \mathbf{G}_a , find the equivalent \mathbf{G}_b for the other spline

$$\mathbf{G}_b = \mathbf{G}_a \mathbf{M}_a \mathbf{M}_b^{-1}$$

Catmull-Rom Splines

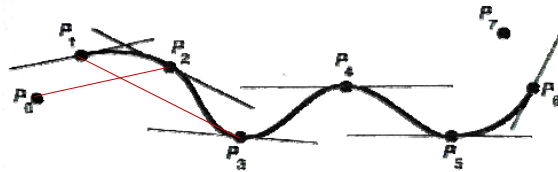
Given a set of points in space, suppose we want a spline that

- Interpolates the points (rules out Bézier)
- With C^1 continuity (Hermite: Lots of tweaking)

This is a common situation in animation

We start with the given set of points p_0, \dots, p_n

Define tangents $r_i = s(p_{i+1} - p_{i-1})$



Catmull-Rom Splines

Typically we choose $s = \frac{1}{2}$ and we can derive a spline equation

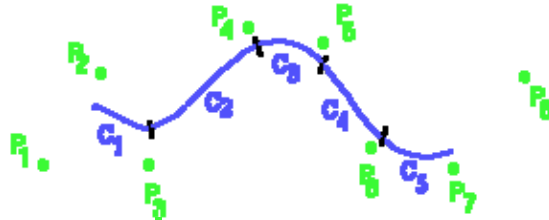
$$p(u) = \frac{1}{2} \begin{bmatrix} p_{i-3} & p_{i-2} & p_{i-1} & p_i \end{bmatrix} \begin{bmatrix} 0 & -1 & 2 & -1 \\ 2 & 0 & -5 & 3 \\ 0 & 1 & 4 & -3 \\ 0 & 0 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}$$

More generally we can use a tension parameter s

$$p(u) = \begin{bmatrix} p_{i-3} & p_{i-2} & p_{i-1} & p_i \end{bmatrix} \begin{bmatrix} 0 & -s & 2s & -s \\ 1 & 0 & s-3 & 2-s \\ 0 & s & 3-2s & s-2 \\ 0 & 0 & -s & s \end{bmatrix} \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}$$

B-Splines

Like Catmull-Rom splines, start with sequence of points p_0, \dots, p_n



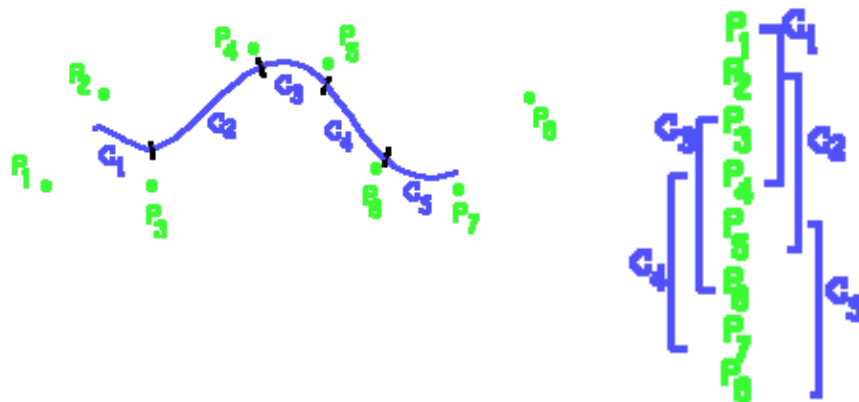
Curves no longer interpolate control points

- Points where segments actually meet are called **knots**
- For Hermite and others, the knots were always at control points

Lack of interpolation isn't a big problem for interactive design

- But it's hard to predict the position of the curve for any parameter value u just based on the coordinates of the control points

B-Splines



B-Spline Basis Functions

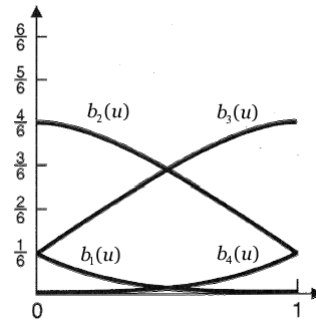
$$\mathbf{p}(u) = b_1(u)\mathbf{p}_{i-3} + b_2(u)\mathbf{p}_{i-2} + b_3(u)\mathbf{p}_{i-1} + b_4(u)\mathbf{p}_i$$

$$b_1(u) = \frac{1}{6}(1-u)^3$$

$$b_2(u) = \frac{1}{6}(3u^3 - 6u^2 + 4)$$

$$b_3(u) = \frac{1}{6}(-3u^3 + 3u^2 + 3u + 1)$$

$$b_4(u) = \frac{1}{6}u^3$$



Non-negative functions

- Implies convex hull property

Matrix Form for B-Splines

$$\mathbf{p}(u) = \frac{1}{6} \begin{bmatrix} \mathbf{p}_{i-3} & \mathbf{p}_{i-2} & \mathbf{p}_{i-1} & \mathbf{p}_i \end{bmatrix} \begin{bmatrix} 1 & -3 & 3 & -1 \\ 4 & 0 & -6 & 3 \\ 1 & 3 & 3 & -3 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ u \\ u^2 \\ u^3 \end{bmatrix}$$

B-Spline Properties

C² continuous!

Convex hull property

NO invariance under perspective projection

NURBS: Nonuniform Rational B-splines

Invariance under perspective projection

Can create exact conic sections

$$x(u) = X(u) / W(u)$$

$$y(u) = Y(u) / W(u)$$

$$z(u) = Z(u) / W(u)$$

In General

$P_0, \dots, P_L \rightarrow$ Curve generation $\rightarrow P(u)$

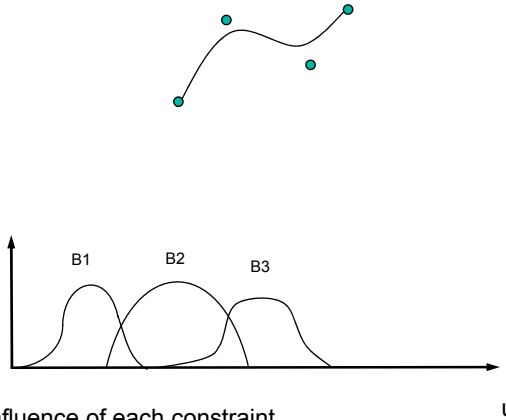
$$P(u) = \sum_{k=0}^L B_k(u) P_k$$

where

$P_k, k = 1, \dots, L$: Constraints

$B_k(u)$: Blending functions

$u \in [a, b]$



The Blending functions weight the influence of each constraint (e.g., control point) on the curve created

Wish List for Blending Functions

- They should have sufficient smoothness
- They should be easy to compute and stable
- They should sum to unity for every u in $[a, b]$
- They should “have support” over a portion of $[a, b]$
- They could interpolate certain control points