

Applied Deep  
Learning

# 5350 Retrieval Augmented Generation (RAG)

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**<https://github.com/iportilla/RAG>**

# LLM Shortcomings

write a `get_embedding` function in python using the latest azure openai client


python

 Copy

```
def get_embedding(texts, model="text-embedding-ada-002"):
    """
    Retrieve embeddings for a list of texts using the specified model.

    Parameters:
    - texts (list of str): The texts to embed.
    - model (str): The model to use for embedding. Default is "text-embedding-ada-002".

    Returns:
    - embeddings (list of list of floats): The embeddings for each text.
    """
    response = openai.Embedding.create(
        input=texts,
        model=model
    )
    embeddings = [embedding['embedding'] for embedding in response['data']]
    return embeddings
```



# Incorporate Domain Knowledge with LLM

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## Prompt engineering

In-context learning



## Fine tuning

Learn new skills  
(permanently)



## Retrieval augmentation

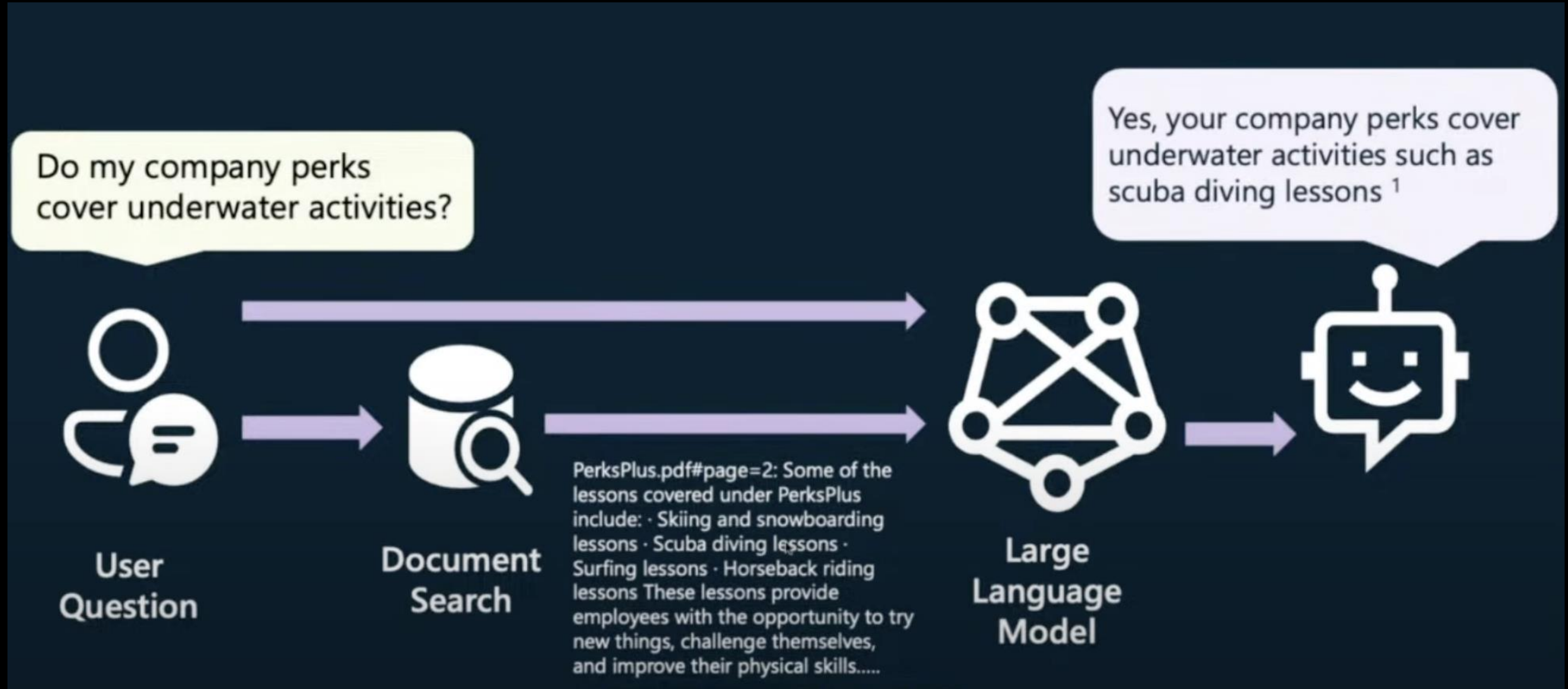
Learn new facts  
(temporarily)

# The Benefits of RAG

- Up-to-date public knowledge (AZ OpenAI documentation)
- Access to internal knowledge (Company HR docs)



# RAG – Retrieval Augmented Generation



# Robust retrieval for RAG

- Responses only as good as retrieved data
- Keyword search recall challenges
- Vector-based retrieval finds docs by **Semantic** similarity

## Example

### Question:

"Looking for lessons on underwater activities"



### Won't match:

"Scuba classes"

"Snorkeling group sessions"

# Vector embeddings

- An embedding encodes an input as a list of FP numbers
- “dog” -> [0.014, -0.05, ...]
- Different models output different embeddings (different lengths)

<https://aka.ms/aitour/vectors>

<https://pamelafox.github.io/vectors-comparison/>

<https://pamelafox.github.io/vectors-comparison/movies.html>

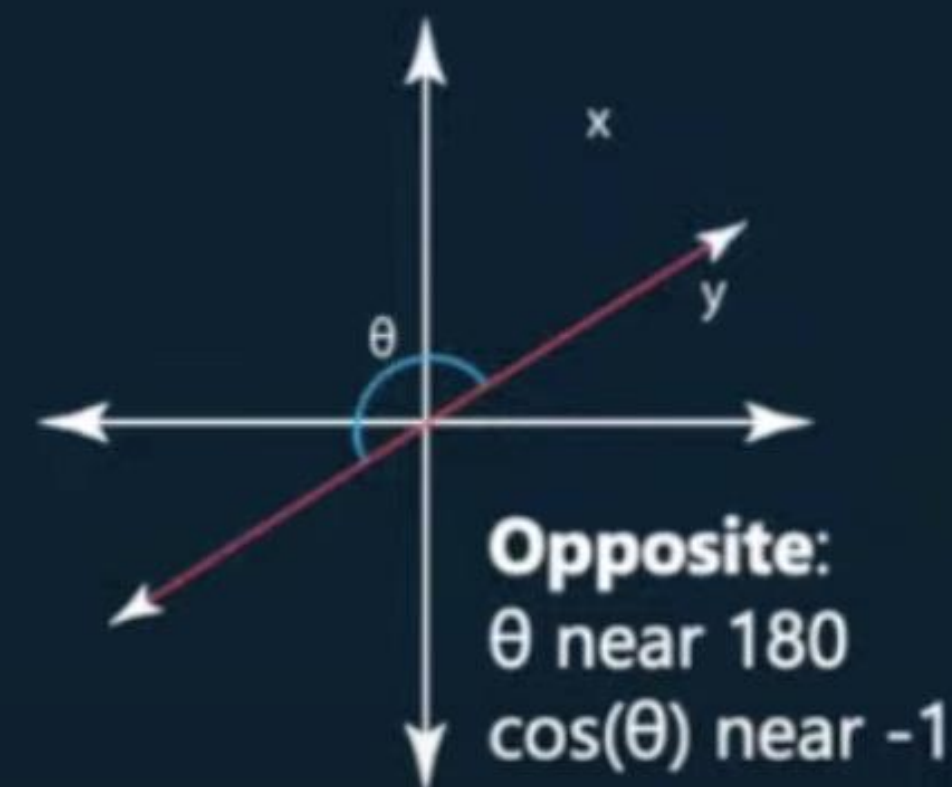
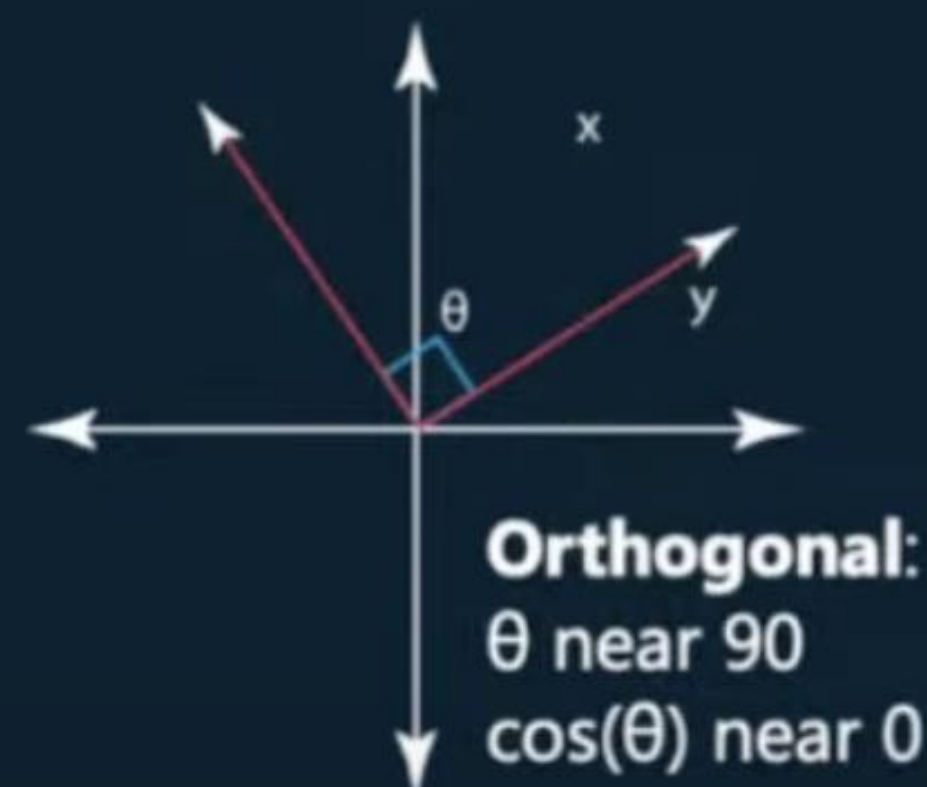
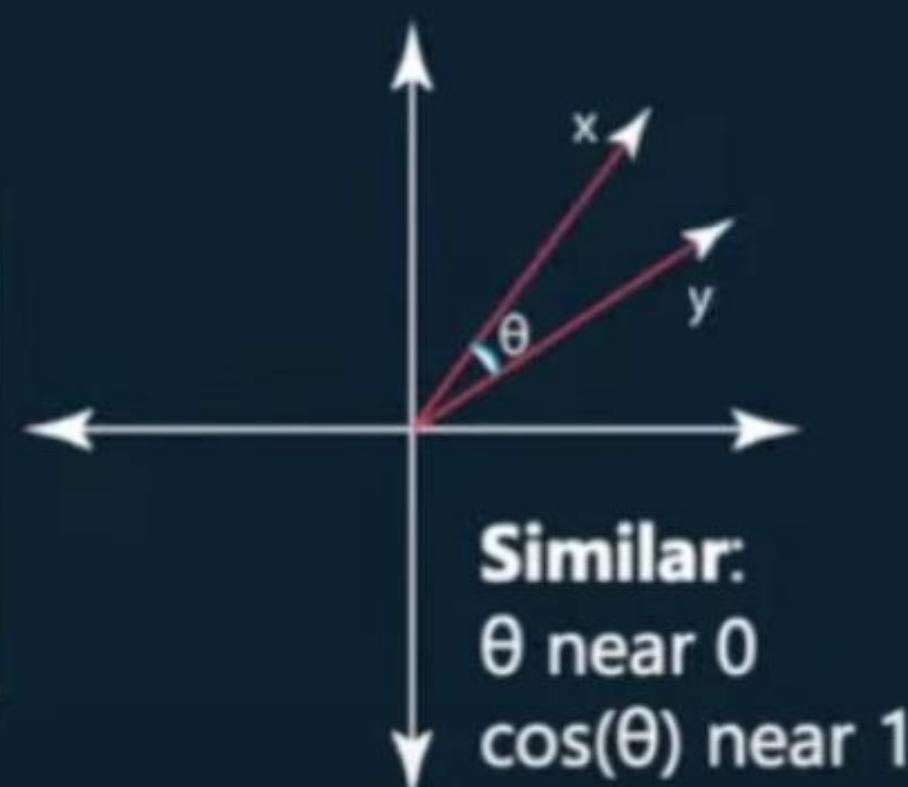
[https://github.com/Azure-Samples/rag-with-azure-ai-search-notebooks/blob/main/vector\\_embeddings.ipynb](https://github.com/Azure-Samples/rag-with-azure-ai-search-notebooks/blob/main/vector_embeddings.ipynb)



# Vector similarity

Embeddings are used to calculate similarity between inputs:  
The most common distance measurement is cosine similarity

```
def cosine_sim(a, b):  
    return dot(a, b) /  
        (mag(a) * mag(b))
```



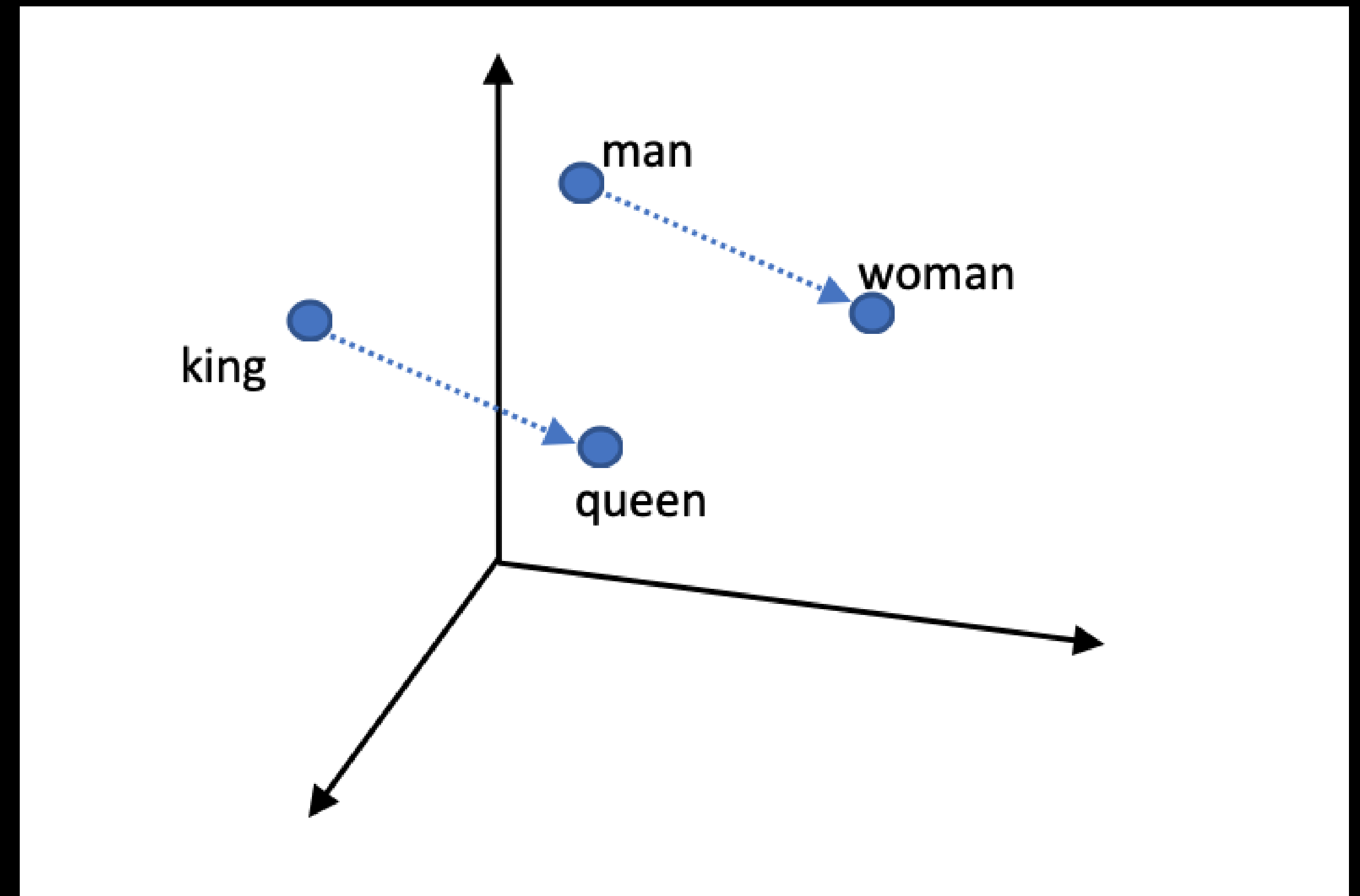
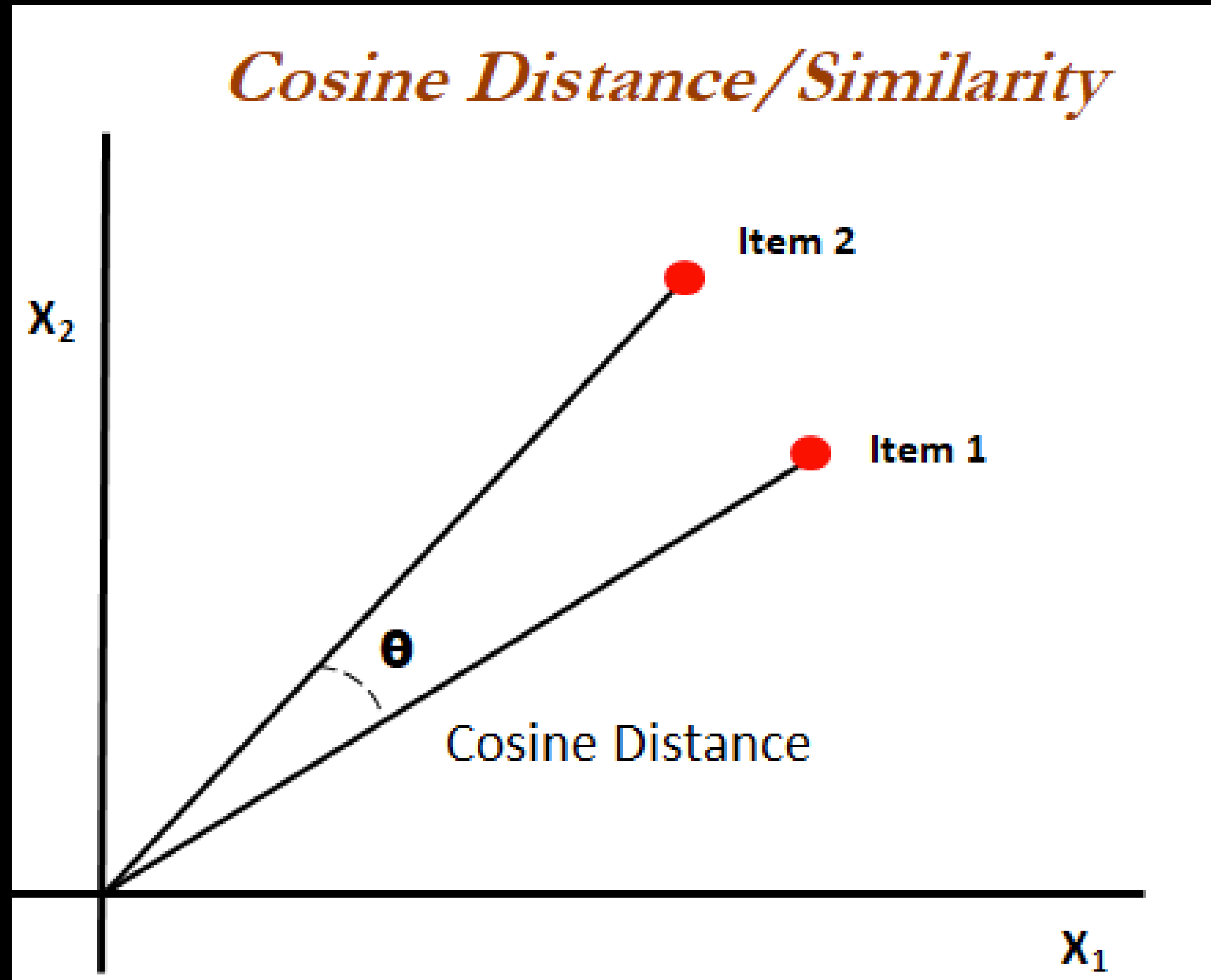
\*For ada-002,  $\cos(\theta)$  values range from 0.7-1

<https://aka.ms/aitour/vectors>

[https://github.com/Azure-Samples/rag-with-azure-ai-search-notebooks/blob/main/vector\\_embeddings.ipynb](https://github.com/Azure-Samples/rag-with-azure-ai-search-notebooks/blob/main/vector_embeddings.ipynb)



# Vector embeddings



# Vector Comparison

## What is a vector?

Expore words from a dataset of 1000 words across two embedding models.

Target word:

Embedding model: 

Both (Comparison) ▾

Find word

Model: word2vec	Model: openai																								
<div>Vector: 300 dimensions</div> <div><div>0.044865, -0.010391, -0.017868, 0.027773, 0.055935, 0.01209, -0.017383, 0.097498, 0.034765, -0.020102, 0.09206, -0.029716, 0.08701, 0.01379, -0.057878, 0.022918, 0.002671, -0.002792, 0.052439, -0.100994, 0.057101, -0.055935, -0.014178, -0.08468, -0.098664, 0.01981, -0.036125, 0.057489, 0.022724, -0.041369, -0.078076, -0.081572, -0.10954, 0.012187, 0.080019, 0.069142, 0.036319, -0.040204, 0.090895, -0.016217, 0.010779, -0.000422, 0.010779, 0.135954, -0.052439</div><div>Most similar:</div><table><tr><td><a href="#">read</a></td><td>0.3893648604097623</td></tr><tr><td><a href="#">paper</a></td><td>0.3634623893904801</td></tr><tr><td><a href="#">write</a></td><td>0.35940013889130784</td></tr><tr><td></td><td></td></tr></table></div>	<a href="#">read</a>	0.3893648604097623	<a href="#">paper</a>	0.3634623893904801	<a href="#">write</a>	0.35940013889130784			<div>Vector: 1536 dimensions</div> <div><div>-0.006843345705419779, -0.019184302538633347, -0.004917495418339968, -0.022664999589323997,</div><div>Most similar:</div><table><tr><td><a href="#">paper</a></td><td>0.8874017308879492</td></tr><tr><td><a href="#">movie</a></td><td>0.8805337935966647</td></tr><tr><td><a href="#">film</a></td><td>0.8711653176455576</td></tr><tr><td><a href="#">letter</a></td><td>0.8632871648170634</td></tr><tr><td><a href="#">record</a></td><td>0.8630170946356468</td></tr><tr><td><a href="#">course</a></td><td>0.8629488396382509</td></tr><tr><td><a href="#">bank</a></td><td>0.8628000814561154</td></tr><tr><td></td><td></td></tr></table></div>	<a href="#">paper</a>	0.8874017308879492	<a href="#">movie</a>	0.8805337935966647	<a href="#">film</a>	0.8711653176455576	<a href="#">letter</a>	0.8632871648170634	<a href="#">record</a>	0.8630170946356468	<a href="#">course</a>	0.8629488396382509	<a href="#">bank</a>	0.8628000814561154		
<a href="#">read</a>	0.3893648604097623																								
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<a href="#">course</a>	0.8629488396382509																								
<a href="#">bank</a>	0.8628000814561154																								

# Movie title embeddings in OpenAI

## Movie title embeddings in OpenAI

Expore embeddings for Disney movie titles from OpenAI ada-002 model.

Select a movie title:

Movie title: The Jungle Book

Vector: 1536 dimensions

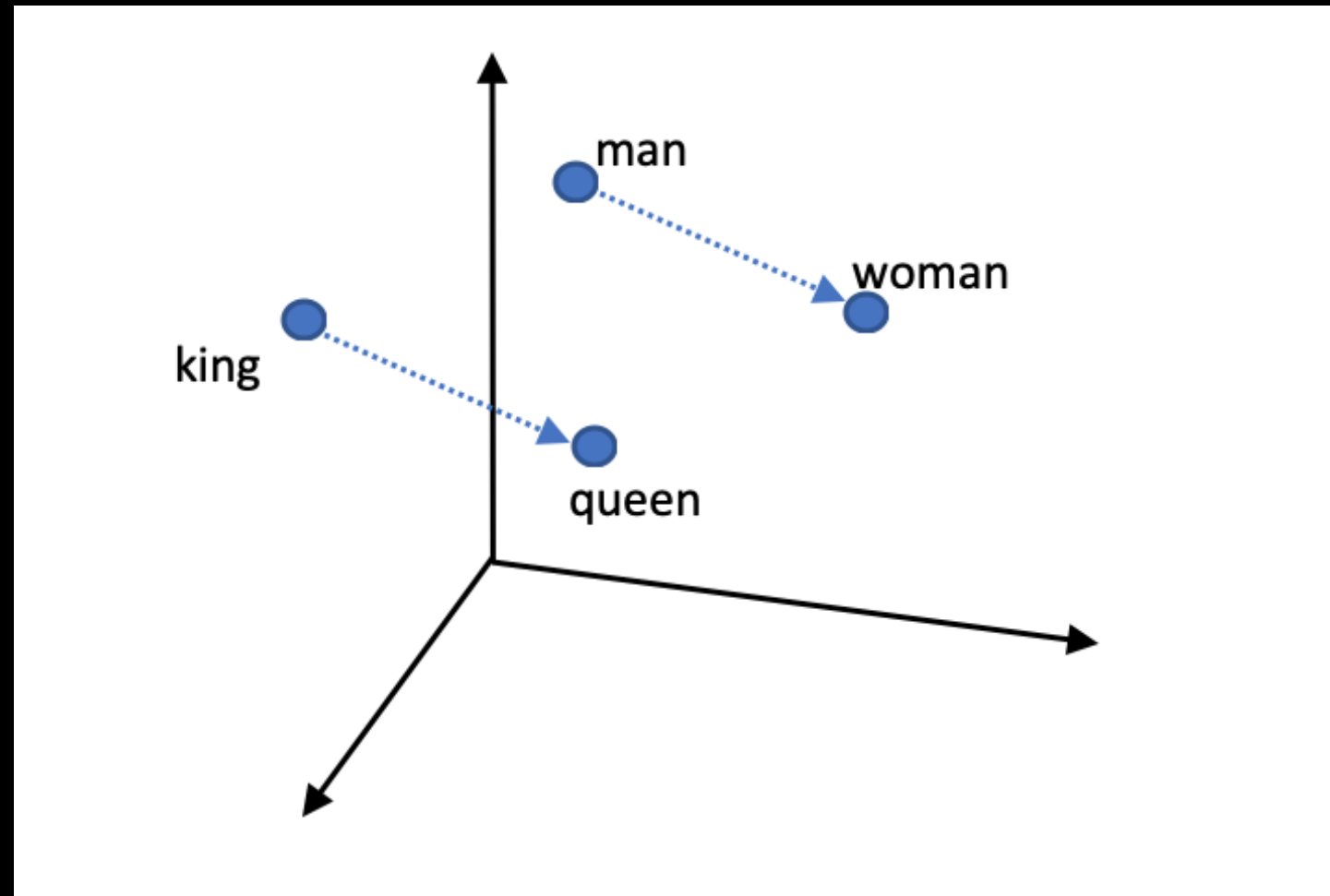
-0.009433940052986145, -0.0026398864574730396, 0.002852880861610174, -0.0006918430444784462, -0.01920369639992714, 0.017636556178331375, -0.013955017551779747, -0.024390187114477158,

Most similar:

<a href="#">The Jungle Book 2</a>	0.9486278980316131
<a href="#">Jungle 2 Jungle</a>	0.9236481731450379
<a href="#">The Lion King</a>	0.9001141316128429
<a href="#">George Of The Jungle</a>	0.8967382582947568
<a href="#">Tarzan</a>	0.8928694263214043
<a href="#">The Fox and the Hound</a>	0.8667384685848213
<a href="#">The Tigger Movie</a>	0.8659348715821917



# Vector embeddings Lab



<https://aka.ms/aitour/vectors>

# Azure OpenAI

The screenshot displays the Azure OpenAI Studio web interface. The top navigation bar includes the logo and the text "Welcome to Azure OpenAI Service". On the left, a sidebar contains a "Current resource" dropdown set to "STAT5350", a "Home" button, and sections for "Get started" (Model catalog), "Playgrounds" (Chat, Assistants, Real-time audio, Images, Completions), "Tools" (Fine-tuning, Batch jobs), and "Shared resources" (Deployments, Quota, Content filters, Data files, Vector stores). The main content area features a welcome message, a "Resource configuration" section with fields for Name (STAT5350), Subscription (Azure subscription-Pay-as-you-go), API key (masked), and Resource Group (STAT-5350), and a "Get started" section with four interactive cards: Assistants playground, Chat playground, Bring your own data, and Images playground. Each card includes a brief description and a "Try it now" link.

**Welcome to Azure OpenAI Studio**

Take the [tour](#) to see what's changed. If you prefer, for a few weeks you can also [keep using the previous version](#).

## Welcome to Azure OpenAI Service

Explore the generative AI models and craft unique prompts for your use cases.

### Resource configuration [View JSON](#)

<b>Name</b> STAT5350 <a href="#">View access control (IAM)</a>	<b>Subscription</b> <a href="#">Azure subscription-Pay-as-you-go</a>
<b>API key</b> ..... <a href="#">View</a>	<b>Resource Group</b> <a href="#">STAT-5350</a>

### Get started

#### Assistants playground

Speed up development of GPT-powered AI Assistants with prebuilt conversation state management and customization tools.

[Try it now](#)

#### Chat playground

Design a customized AI assistant using ChatGPT. Experiment with GPT-3.5-Turbo and GPT-4 models.

[Try it now](#)

#### Bring your own data

Ground your own data on advanced AI models to create conversational copilots that aid user comprehension, task completion and decision-making.

[Try it now](#)

#### Images playground

Generate unique images by writing descriptions in natural language.

[Try it now](#)

[Try out common examples](#)

<https://learn.microsoft.com/en-us/azure/ai-services/openai/>

# Azure ML

Azure AI | Machine Learning Studio

All workspaces

Home

Model catalog

Authoring

Notebooks

Automated ML

Designer

Prompt flow

Assets

Data

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Data Labeling

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azureml-getting-started-studio.ipynb

azureml-in-a-day

e2e-distributed-pytorch-image

e2e-ds-experience

get-started-notebooks

prefix.md

README.md

readme.py

suffix.md

azureml-getting-start

Want to start editing? Clone this notebook

## Getting Started: training an image classification model

**Learning Objectives** - By the end of this quickstart tutorial, you'll know how to train and deploy an image classification model on Azure Machine Learning studio.

This tutorial covers:

- Connect to workspace & set up a compute resource on the Azure Machine Learning Studio Notebook UI
- Bring data in and prepare it to be used for training
- Train a model for image classification
- Metrics for optimizing your model
- Deploy the model online & test

### 1. Connect to Azure Machine Learning workspace

Before we dive in the code, you'll need to connect to your workspace. The workspace is the top-level resource for Azure Machine Learning, providing a centralized place to work with all the artifacts you create when you use Azure Machine Learning.

We are using `DefaultAzureCredential` to get access to workspace. `DefaultAzureCredential` should be capable of handling most scenarios. If you want to learn more about other available credentials, go to [set up authentication doc](#), [azure-identity reference doc](#).

**Make sure to enter your workspace credentials before you run the script below.**

```
1 # Handle to the workspace
2 from azure.ai.ml import MLClient
3
4 # Authentication package
5 from azure.identity import DefaultAzureCredential
6
```

<https://learn.microsoft.com/en-us/azure/machine-learning/tutorial-explore-data?view=azureml-api-2>



- Azure OpenAI
- RAG
- Exercise

# Prompt Tips

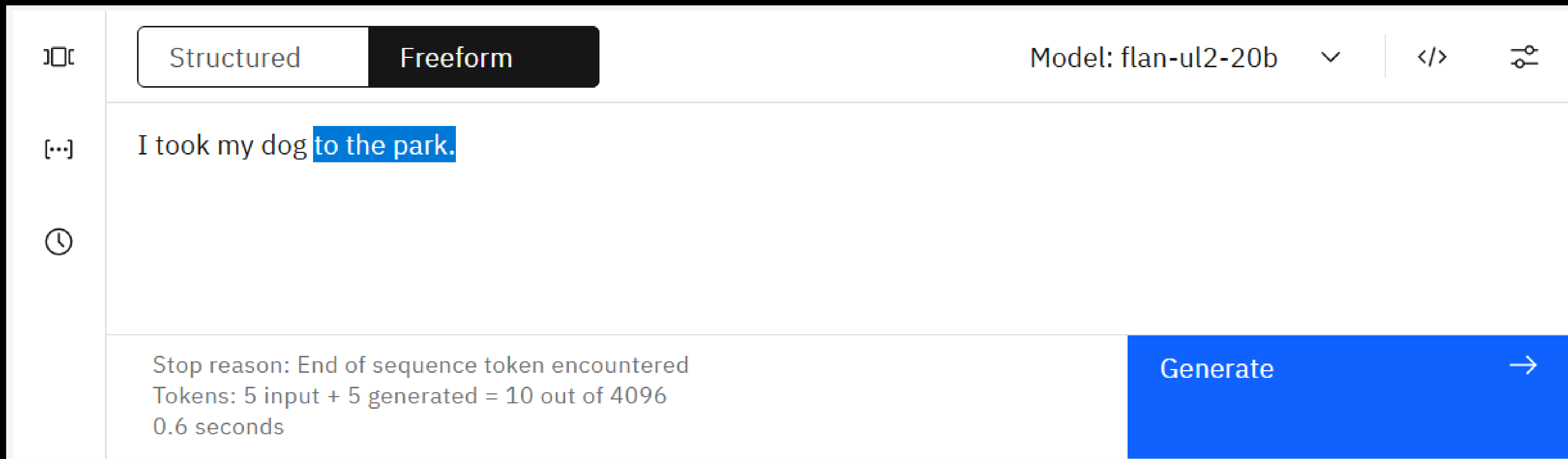
<https://dataplatform.cloud.ibm.com/docs/content/wsj/analyze-data/fm-prompt-tips.html?context=wx>

# Tip 1: Remember that everything is text completion

Your *prompt* is the text you submit for processing by a foundation model. For most models, simply asking a question or typing an instruction won't yield the best results. That's because the model isn't *answering* your prompt, the model is *appending text to it*.

This image demonstrates prompt text and generated output:

- Prompt text: "I took my dog "
- Generated output: "to the park."



The screenshot shows a web interface for a text completion model. At the top, there are two tabs: "Structured" and "Freeform", with "Freeform" selected. To the right of the tabs, it says "Model: flan-ul2-20b" with a dropdown arrow. Further right are icons for code syntax highlighting and a settings gear. Below the tabs is a large text input area containing the prompt "I took my dog" followed by the generated output "to the park." which is highlighted in blue. To the left of the input area is a sidebar with three icons: a code editor icon, an ellipsis menu icon, and a clock icon. At the bottom of the interface, there is a status bar showing "Stop reason: End of sequence token encountered", "Tokens: 5 input + 5 generated = 10 out of 4096", and "0.6 seconds". To the right of the status bar is a large blue "Generate" button with a right-pointing arrow.

	Structured	Freeform	Model: flan-ul2-20b	</>	⚙️
[...]	I took my dog to the park.				
🕒					
	Stop reason: End of sequence token encountered Tokens: 5 input + 5 generated = 10 out of 4096 0.6 seconds			Generate →	



# Tip 2: Include all the needed prompt components

Effective prompts usually have one or more of the following components: instruction, context, examples, and cue.

## Instruction

An instruction is an imperative statement that tells the model what to do. For example, if you want the model to list ideas for a dog-walking business, your instruction could be: "List ideas for starting a dog-walking business:"

## Context

Including background or contextual information in your prompt can nudge the model output in the right direction. Specifically, words that appear in your prompt text are more likely to be included in the generated output.

## Examples

To indicate the format or shape that you want the model response to be, include one or more pairs of example input and corresponding output that illustrate the pattern you want the generated text to follow.

Including one example in your prompt is called *one-shot prompting*, including two or more examples in your prompt is called *few-shot* prompting, and when your prompt has no examples, that's called *zero-shot* prompting.

When you prompt models that have been tuned, you might not need to include examples.

## Cue

A cue is text at the end of the prompt that is likely to start the generated output on the right path. Remember, as much as it seems like the model is *responding to your prompt*, the model is really *appending text to your prompt* or *continuing your prompt*.

# Tip 3: Include descriptive details

The more guidance, the better. Experiment with including descriptive phrases related to aspects of your ideal result: content, style, and length. Including these details in your prompt can cause a more creative or more complete result to be generated.

For example, you can improve the sample instruction by adding descriptive information as follows:

- Original: "List ideas for starting a dog-walking business"
- Improved: "List ideas for starting a large, wildly successful dog-walking business"

## Example:

### Before

A prompt with a simple instruction:

*List ideas for starting a dog-walking business.*

The resulting foundation model output isn't great:

*Take care of the dog and walk it in your neighborhood. You can create flyers and put them around the neighborhood, you can also put up ads on websites where dog lovers hang out.*

### After

A prompt with all of the recommended prompt components:

# Tip 3: Include descriptive details

## Instruction:

Based on the following industry research, suggest ideas for starting a large, wildly successful dog-walking business.

## Context:

Industry research:

\*\*\*

The most successful dog-walking businesses cater to owners' needs and desires while also providing great care to the dogs. For example, owners want flexible hours, a shuttle to pick up and drop off dogs at home, and personalized services, such as custom meal and exercise plans. Consider too how social media has permeated our lives. Web-enabled interaction provide images and video that owners will love to share online, which is great advertising for the business.

\*\*\*



# Tip 3: Include descriptive details

## Example

Ideas for starting a lemonade business:

- Set up a lemonade stand
- Partner with a restaurant
- Get a celebrity to endorse the lemonade

## Cue:

Ideas for starting a large, wildly successful dog-walking business:

## RESPONSE:

- *Start by doing some basic market research. Interview dog owners in your area and ask them what they would want from their dog walker.*
- *Offer a free sample or trial period - like several weeks - so you can see if they are really interested.*
- *Give personalized service. Offer to train the owner's dog to get in a proper sit or down for the dog walker. Train the human too!*
- *Be friendly and outgoing. Social media is huge and people love to post photos of themselves with their pets.*
- *Use technology to help keep costs low, such as using web-enabled interactions. For example, you could offer a coupon on a popular pet food store website.*

# Prompting Principles

## Principle 1

Write clear and specific instructions

### Tactics:

- *Tactic 1 – Use Delimiters to clearly indicate distinct parts of the input*
- *Tactic 2 – Ask for structured output*
- *Tactic 3 – Ask the model to check whether conditions are satisfied*
- *Tactic 4 – Try ‘few—shot’ prompting*

# Prompting Principles

## Principle 2

Give the model time to think

### Tactics:

- *Tactic 1 – Specify the steps require to complete a task*
- *Tactic 2 – Instruct the model to work out its own solution before rushing to conclusion*
- *Tactic 3 – Watch out for hallucinations*

RAG Lab