

# Chapter 12

## Later

**Definition 12.0.1.** A collection  $\mathcal{A}$  of subsets of a space  $X$  is called "a cover" or "a covering" if the union of the elements of  $\mathcal{A}$  is  $X$ ; it is called an open covering if all these sets are open.

**Definition 12.0.2.**  $X$  is called a compact space if every open covering  $\mathcal{A}$  contains a finite subcollection which also covers  $X$ .

**Remark** Begin compact depends on the topology: For example, consider  $X$  with the antidiscrete topology, i.e.,  $\mathcal{T} = \{\emptyset, X\}$ . Then  $X$  is compact.

**Example 12.0.1.**  $\mathbb{R}$  with the standard topology is not compact. Consider the open covering  $\mathcal{A} = \{(-n, n) | n \in \mathbb{N}\}$ .

**Example 12.0.2.**  $(0, 1]$  with the standard topology is not compact. Consider the open covering  $\mathcal{A} = \{(1/n, 1] | n \in \mathbb{N}\}$ .

**Example 12.0.3.** •  $\{0\} \cup \bigcup \{\frac{1}{n}\} \subseteq \mathbb{R}$  is compact because  $\{0\}$  is the limit point and any open covering must contain an open set containing 0, which covers all but finite points.

•  $\bigcup \{\frac{1}{n}\}$  is not compact. Consider the open covering  $\mathcal{A} = \{(1/n - \epsilon, 1/n + \epsilon) | n \in \mathbb{N}\}$ . (Similarly, for infinite  $X$  with discrete topology,  $X$  is not compact.)

**Definition 12.0.3.**  $Y \subseteq X$  is compact if every open covering of  $Y$  by sets open in  $X$  contains a finite subcollection covering  $Y$ .

**Lemma 12.0.1.**  $Y \subseteq X$  is compact if and only if every open covering of  $Y$  by sets open in  $Y$  contains a finite subcollection covering  $Y$ .

**Proof**

( $\Rightarrow$ ) To be done.

( $\Leftarrow$ )

**Theorem 12.0.1.** Every closed subspace of a compact space is compact.

**Proof** Let  $X$  be a compact space and let  $Y$  be a closed subspace of  $X$ . Let  $\mathcal{A}$  be an open covering of  $Y$  by sets open in  $X$ . Since  $Y$  is closed,  $X \setminus Y$  is open in  $X$ . Thus,  $\mathcal{A} \cup \{X \setminus Y\}$  is an open covering of  $X$ . By the compactness of  $X$ , there exists a finite subcollection  $\mathcal{A}' \subseteq \mathcal{A}$  such that  $\mathcal{A}' \cup \{X \setminus Y\}$  covers  $X$ . Therefore,  $\mathcal{A}'$  covers  $Y$ . Hence,  $Y$  is compact.

**Theorem 12.0.2.** Every compact subspace of a Hausdorff space is closed.

**Proof**

It suffices to show that for any  $x \in X \setminus Y$ , there exists an open set  $U$  containing  $x$  such that  $U \cap Y = \emptyset$ . For each  $y \in Y$ , since  $X$  is Hausdorff, there exist disjoint open sets  $U_y$  and  $V_y$  such that  $x \in U_y$  and  $y \in V_y$ . Then  $\{V_y | y \in Y\}$  is an open covering of  $Y$ . By the compactness of  $Y$ , there exists a finite subcollection  $\{V_{y_1}, V_{y_2}, \dots, V_{y_n}\}$  that covers  $Y$ . Let

$$U = \bigcap_{i=1}^n U_{y_i} \quad (12.1)$$

Then  $U$  is an open set containing  $x$ . Moreover

$$U \cap Y = \bigcap_{i=1}^n (U_{y_i}) \cap Y \subseteq U_{y_i} \cap V_{y_i} = \emptyset \quad (12.2)$$

Thus,  $U \cap Y = \emptyset$ . Hence,  $Y$  is closed. ■

**Lemma 12.0.2.**  *$Y$  is a compact subspace of Hausdorff space  $X$ . And  $x \in X \setminus Y$ . Then there exist open sets  $U$  and  $V$  such that  $x \in U$ ,  $Y \subseteq V$  and  $U \cap V = \emptyset$ .*

**Proof** Proved inside the proof of the theorem.

**Theorem 12.0.3.** *The image of a compact set under a continuous map is compact.*

**Proof** Let  $f : X \rightarrow Y$  be a continuous map. Consider any open covering  $\mathcal{A}$  of  $f(X)$  by sets open in  $Y$ . Then  $\{f^{-1}(U) | U \in \mathcal{A}\}$  is an open covering of  $X$  by sets open in  $X$ . By the compactness of  $X$ , there exists a finite subcollection  $\{f^{-1}(U_1), f^{-1}(U_2), \dots, f^{-1}(U_n)\}$  that covers  $X$ . Thus,  $\{U_1, U_2, \dots, U_n\}$  is a finite subcollection of  $\mathcal{A}$  that covers  $f(X)$ . Hence,  $f(X)$  is compact. ■

**Theorem 12.0.4.** *Let  $f : X \rightarrow Y$  be a bijective continuous map. If  $X$  is compact and  $Y$  is Hausdorff, then  $f$  is a homeomorphism.*

**Proof**

We just combine the above theorems.

It suffices to show that images of closed sets under  $f$  are closed. Consider any closed set  $D$  in  $X$ . By the theorem,  $D$  is compact. Thus, by the previous theorem,  $f(D)$  is compact. Since  $Y$  is Hausdorff, by another theorem,  $f(D)$  is closed. Hence,  $f$  is a homeomorphism. ■

**Lemma 12.0.3** (tube lemma). *Let  $x_0 \in X$ , suppose  $\{x_0\} \times Y$  is covered by open sets  $W_i$  in  $X \times Y$ . Then one can choose a finite subcovering  $W_1, W_2, \dots, W_n$  of  $\{x_0\} \times Y$  and find an open nbhd  $U$  of  $x_0$  such that  $U \times Y \subseteq \bigcup_{i=1}^n W_i$ .*

**Proof** One may assume that  $W_i = U_i \times V_i$  where  $U_i$  is open in  $X$  and  $V_i$  is open in  $Y$ . Then  $\{V_i\}$  is an open covering of  $Y$ . By the compactness of  $Y$ , there exists a finite subcollection  $\{V_1, V_2, \dots, V_n\}$  that covers  $Y$ .

To be done.

**Theorem 12.0.5.** *The product of finitely many compact spaces is compact.*

**Proof** It is enough to prove for two sets. Let  $X$  and  $Y$  be compact spaces.

We can cover  $X \times Y$  by finitely many tubes by the tube lemma. And each tube can be covered by finitely many open sets. Thus  $X \times Y$  is compact.

To be done.

**Definition 12.0.4.** *A collection  $\mathcal{C}$  of sets has the finite intersection property if for any finite subcollection  $\{C_1, C_2, \dots, C_n\} \subseteq \mathcal{C}$ , we have*

$$C_1 \cap C_2 \cap \dots \cap C_n \neq \emptyset \quad (12.3)$$

**Theorem 12.0.6.**  *$X$  is a topological space. Then  $X$  is compact if and only if for every collection  $\mathcal{C}$  of closed sets in  $X$  with the finite intersection property, we have*

$$\bigcap_{C \in \mathcal{C}} C \neq \emptyset \quad (12.4)$$

**Proof**

Let  $\mathcal{A}$  be a collection of open sets in  $X$ . Then  $\mathcal{C} = \{X \setminus A | A \in \mathcal{A}\}$  is a collection of closed sets in  $X$ .

Then  $\mathcal{A}$  is an open covering of  $X$  if and only if  $\bigcap_{C \in \mathcal{C}} C = \emptyset$ .

Then a finite subcollection of  $\mathcal{A}$  covers  $X$  if and only if the corresponding finite subcollection of  $\mathcal{C}$  has empty intersection.

Now the theorem follows directly. ■