

## Chapter 3

# Tutorial For the first two classes

### 3.0.1 Horner's method

Notice that the notation in the tutorial differs from that in the lecture. For example, the index of the coefficients of  $q$  starts from 1 in the tutorial, but from 0 in the lecture.

Another use of the Horner's method is for division of polynomials of degree  $n \geq 1$  by first order polynomials in the form  $(x - x_0)$ . This application is based on the next result.

**Theorem 3.0.1** (Polynomial remainder theorem). *Let  $p(x)$  be polynomial of degree  $n \geq 1$  and let  $x_0 \in \mathbb{R}$ . Then the remainder of the division of  $p(x)$  by  $(x - x_0)$  is  $p(x_0)$ .*

The combination of this theorem with the Horner's method theorem gives that  $q(x)$  is the quotient of the division of  $p(x)$  by  $(x - x_0)$ .

**Exercise** Divide  $x^3 - 6x^2 + 11x - 6$  by  $(x - 2)$ .

**Solution** We need to compute the quotient  $q(x)$  and the remainder  $p(2)$ .

$$\begin{array}{r|rrrr} & 1 & -6 & 11 & -6 \\ 2 & & 2 & -8 & 6 \\ \hline & 1 & -4 & 3 & 0 \end{array}$$

Therefore,  $q(x) = x^2 - 4x + 3$  and  $p(2) = 0$ .

### 3.0.2 Decimal machine numbers Floating-point numbers

A  $k$ -digit decimal machine number is a number of the form

$$\pm(0.d_1d_2d_3 \cdots d_k) \times 10^n \quad (3.1)$$

where  $d_i$  are decimal digits ( $1 \leq d_1 \leq 9$  and  $0 \leq d_i \leq 9$  for  $i \geq 2$ ) and  $n$  is an integer. Any positive number admits the so-called normalized representation in this form

$$(0.d_1d_2d_3 \cdots d_kd_{k+1}d_{k+2} \cdots) \times 10^n, \quad 1 \leq d_1 \leq 9, \quad 0 \leq d_i \leq 9, i \geq 2, \quad n \in \mathbb{Z} \quad (3.2)$$

The  $k$ -digit floating-point representation of the number  $y$  is denoted by  $fl(y)$  and it is obtained by terminating the representation of  $y$  at  $k$  digits. There are two common ways of doing this.

- (a) By chopping: we chop off the digits  $d_{k+1}, d_{k+2}, \dots$ . Then  $fl(y) = (0.d_1d_2 \cdots d_k) \times 10^n$ .
- (b) By rounding: we add  $5 \times 10^{n-k-1}$  to  $y$  and then chop off the digits  $d_{k+1}, d_{k+2}, \dots$  to obtain the form  $fl(y) = (0.\delta_1\delta_2 \cdots \delta_k) \times 10^n$ .

Notice that for rounding when  $d_{k+1} \geq 5$ , we add 1 to  $d_k$  and obtain  $fl(y)$  and when  $d_{k+1} < 5$ , we have  $\delta_i = d_i$  for  $i = 1, 2, \dots, k$ .

**Exercise** Determine the five-digits (a) chopping and (b) rounding values of the number  $\pi$ .

**Solution** First, we write  $\pi$  in a normalized decimal form as  $\pi = (0.314159265 \cdots) \times 10^1$ . Here,  $n = 1$  and  $k = 5$ .

- (a) By chopping, we have  $fl(\pi) = (0.31415) \times 10^1 = 3.1415$ .
- (b) By rounding: First, we compute  $\pi + 5 \times 10^{1-5-1} = \pi + 0.00005 = 3.14159265 \cdots + 0.00005 = 3.14164 \cdots = (0.314164 \cdots) \times 10^1$ . Then, by chopping at  $d_6$ , we have  $fl(\pi) = (0.31416) \times 10^1 = 3.1416$ .

### 3.0.3 Operations with floating point numbers

One common error-producing calculations involves the cancellation of significant digits due to the subtraction of nearly equal number. Let  $x$  and  $y$  be two nearly equal numbers given by

$$x = 0.d_1d_2d_3 \cdots d_p\alpha_{p+1}\alpha_{p+2} \cdots \times 10^n \quad (3.3)$$

$$y = 0.d_1d_2d_3 \cdots d_p\beta_{p+1}\beta_{p+2} \cdots \times 10^n \quad (3.4)$$

Let  $k > p$ . Then the  $k$ -digits representation for  $x$  and  $y$ , for chopping for example, are

$$fl(x) = 0.d_1d_2d_3 \cdots d_p\alpha_{p+1}\alpha_{p+2} \cdots \alpha_k \times 10^n \quad (3.5)$$

$$fl(y) = 0.d_1d_2d_3 \cdots d_p\beta_{p+1}\beta_{p+2} \cdots \beta_k \times 10^n \quad (3.6)$$

then  $fl(x) - fl(y) = 0.\delta_{p+1}\delta_{p+2} \cdots \delta_k \times 10^{n-p}$  where  $\delta_{p+1}\delta_{p+2} \cdots \delta_k = \alpha_{p+1}\alpha_{p+2} \cdots \alpha_k - \beta_{p+1}\beta_{p+2} \cdots \beta_k$ .

Notice that  $fl(x) - fl(y)$  has at most  $k - p$  significant digits. So maybe we are losing information in the subtraction operation.

**Exercise** Compute the solutions to  $x^2 + 62.10x + 1 = 0$ .

**Solution** We solve the floating point solution by the quadratic formula:

$$fl(x_1) = \frac{-62.10 + \sqrt{(62.10)^2 - 4.000 \times 1.000 \times 1.000}}{2.000 \times 1.000} = \frac{-62.10 + \sqrt{3852}}{2.000} = \frac{-62.10 + 62.06}{2.000} = -0.0200 \quad (3.7)$$

By using exact arithmetic, we get  $x_1 = -0.01610723$ . Similarly, we have that  $f(x_2) = -62.10$  and  $x_2 = -62.08390$ . Notice that the relative errors are

$$e_1 = \frac{|-0.0200 + 0.01610723|}{|-0.01610723|} \approx 0.241678 \approx 2 \times 10^{-1} \quad (3.8)$$

$$e_2 = \frac{|x_2 - fl(x_2)|}{|x_2|} \approx 0.000259 \approx 2 \times 10^{-4} \quad (3.9)$$

We can improve the approximation of  $x_1$  by simply doing this:

$$x_1 = \frac{-b + \sqrt{b^2 - 4ac}}{2a} \cdot \frac{-b - \sqrt{b^2 - 4ac}}{-b - \sqrt{b^2 - 4ac}} = \frac{-2c}{b + \sqrt{b^2 - 4ac}} \quad (3.10)$$

Please, compute  $x_1$  from the above formula and examine the relative error.