

# Selected Topics regarding Automated Synthesis of NPCs

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# Chapter 1

## Introduction

This document covers a selection of the most relevant previous work related to my Master's thesis, with the working title "Automated Synthesis of NPC AI with ADATE", on the topic of creating AI for game Non-Playing Characters with inductive programming using the ADATE system created by Jan Roland Olsson.

There are three main areas that have to be covered, specifically Artificial Intelligence techniques in general, both as used by games and as covered by traditional research; more specific coverage of the field of Artificial Intelligence which ADATE is part of, Inductive Programming; and the topic of Making NPCs Entertaining, both methods for measurement of entertainment and AI techniques for increasing the entertainment level of NPCs.

The work existing on the topic of Artificial Intelligence in games can be roughly divided between work describing various implementation techniques, and work describing how to make game AI more entertaining within the constraints of existing implementation techniques.

The line between these two subtopics is very fuzzy and gradual, so some judgment has been employed when putting the work into one category or the other, in some cases the choice is purely symbolical, such as when the work describes a new implementation technique created for the express purpose of increasing entertainment value.

There is also some work on the more directly related topic of using Evolutionary Computing techniques such as Inductive Programming to improve game AI, either for skill or entertainment value.

## Chapter 2

# AI techniques

There is constant work on new AI techniques, both to make AI more efficient, and to make AI seem smarter or more human. Familiarizing oneself with some of these will allow better ability to choose an implementation technique that fits with the goal of using inductive programming.

There is some differences between the techniques employed in “traditional” AI and game AI, primarily based on the performance of the various methods and their focus on the AI’s ability to solve problems and be entertaining, respectively. The two areas seem to be converging, however, as traditional AI is starting to shift from the goal of being “really smart” and solving hard problems, to making computers that seem more human, have an ability to learn and adapt, and maybe act like assistants, as detailed in Ramos et al. (2008) and evidenced by the AI-controlled assistant feature, named Siri, in the Apple iPhone 4S (Apple Software, 2011).

When it comes to games (both video games and traditional games), the biggest deciding factor in how to implement the AI stems from whether or not the agents have perfect information and whether there is chance involved. A perfect-information game will require a completely different approach to AI than a hidden-information or stochastic game, and this will heavily influence what type of AI is utilized, as mentioned in Schaeffer and Van den Herik (2002, pg. 4-5).

## 2.1 Traditional AI techniques

As noted in Munakata (2008, chap. 1), AI techniques can be divided into six areas, although these divisions can be debated and should not be considered a rigorous division, namely:

1. Symbolic AI (also “traditional AI”)

2. Neural networks
3. Evolutionary Computing (under which Genetic Algorithms fall)
4. Fuzzy systems
5. Rough sets
6. Chaos

Symbolic AI is the umbrella term for the traditional methods dating from the field's inception, focusing on abstracting the world and applying logic and rules to reach decisions.

The following two methods (Neural Networks and Evolutionary Computing) are different reactions to the insufficiencies of Symbolic AI by attempting to more closely modelling biological processes, so they can be called “biology-inspired AI”.

The remaining three methods are more recent developments which attempt to attack the problem from a different angle, collectively called “soft AI” due to their focus on not giving “hard answers” and reliance on uncertainty. These methods are less relevant to the topic of my thesis, and as such will not be covered further.

### 2.1.1 History

Before going further it would be useful to go through the history of Artificial Intelligence, to see its roots, and how closely it has developed alongside the field of computer science itself. (Buchanan, 2002; Luger, 2005)

The field has traces back to antiquity with Greek myths about artificial beings such as the golems and homunculus, which were “programmed” to perform tasks through occultic writing, and to the writings of many ancient scholars (Aristotle and Euclid, among others) on the topic of reasoning, logic and the mind. Further there have been many people working on mechanical automaton through the ages, attempting to have them emulate human behaviour.

There was early work on intelligent machines from the very time computers existed, but computer AI as a field didn't really come into existence until 1950, through a pair of papers.

1. A very important paper was published by by Alan Turing named “Computing machinery and intelligence” (Turing, 1950), where the he defined the “Turing Test” as a way to test whether a machine was truly intelligent.
2. Claude Shannon publishes a paper on programming a computer to play chess, by representing it as a search problem. (Shannon, 1950)

The term “artificial intelligence” wasn’t coined until six years later when John McCarthy used the term for the first conference on the topic in 1956, where the first running AI program (the Logic Theorist) was demonstrated.

It didn’t take long for AI programs to challenge humans at board games, with the first program to challenge a human world champion being made in 1962 by Arthur Samuel. The program was aimed at the game of checkers and utilizing machine learning to improve its performance.

Further works into computer-assisted problem solving led to SAINT, that solved calculus at a freshman level (Slagle, 1963); ANALOGY, that solved the kind of analogy questions found on IQ tests (Evans (1964); and ELIZA, which could simulate conversation (Weizenbaum, 1966).

Later, there was work into “knowledge-based programs” for artificial reasoning, which described programs able to interpret mass spectra of chemical compounds, solve integration problems in math, and play chess well enough to reach a class-C rating.

In 1969, the beginnings of Neural Networks were appearing with Minsky and Papert (1969) which defined Perceptrons, while several papers on natural language understanding were published during the next few years, along with the introduction of “expert systems” using rule-based programming, and the creation of the first computer to make a scientific discovery, the Meta-Dendral learning program (Buchanan et al., 1976).

Through the 70s and into the 80s, an explosion of well-known AI programs occurred. Many “expert systems” were made that were capable of reasoning within a limited knowledge space on the same level as a human expert, using the traditional symbolic methods, before Neural Networks became widely used using backpropagation (first introduced in WERBOS (1974)).

Around the 80s, the American Association for Artificial Intelligence (AAAI, now named the Association for the Advancement of Artificial Intelligence after a name change in 2007) was founded. The AAAI hosts many conferences and symposia each year, and supports several journals on AI.

Another boom in AI research happened in the 90s with major advances in all areas of AI, with significant demonstrations in machine learning, intelligent tutoring, case-based reasoning, multi-agent planning, scheduling, uncertain reasoning, data mining, natural language understanding and translation, vision, virtual reality, games, and other topics.

The 90s also had two significant accomplishments of AI programs for playing games: Deep Blue beat Garry Kasparov in 1997, and TD-Gammon, an AI that played backgammon at championship-level, was written. In addition, AI was starting to see use in cataloguing the internet.

This brings us to today, where AI is proliferating, seeing use in toys (such as robotic pets) and other forms of entertainment (most notably video games), but is still far away from the goal of creating a truly human machine.

### 2.1.2 Symbolic AI

This sub-field of AI is also known as “traditional” or “classic” AI, and was the approach that was used during the inception of AI and is still heavily used today. It is characterized by a top-down focus on logic and reasoning, and relies on a symbolic description of the world, such as a set of rules, and is thus often said to be “knowledge-based”.

Techniques included under Symbolic AI are knowledge-based systems, logical reasoning, symbolic machine learning, search techniques, and natural language processing.

### 2.1.3 Biology-inspired AI

This term describes two sub-fields of AI, “Neural Networks” and “Evolutionary Computing”, both of which attempt to mimic biological processes, although not in a way that attempts to emulate them faithfully. The two are also part of a branch of Artificial Intelligence called Machine Learning, alongside techniques such as “Decision Trees” and “Bayesian Learning”.

#### Neural Networks

Neural Networks, more correctly called Artificial Neural Networks (ANN) to separate them from the biological variety, are computer simulations that attempt to mimic biological neural networks through a variety of algorithms, but they all revolve around small units called “neurons” linked in networks of some sort, trained by a learning algorithm.

The simplest variety of ANN is called a Feedforward Neural Network (Wikipedia, 2011b), and consists of one or more layers of neurons connected in a network where information only moves in one direction. The kind of neuron most commonly used in such a network is the Perceptron (Minsky and Papert, 1969), and one usually uses the Backpropagation (Wikipedia, 2011a) algorithm to train these kinds of networks.

Other kinds of ANN are:

- Radial Basis Function Network.
- Kohonen Self-organizing Network.
- Learning Vector Quantization.
- Recurrent Neural Network.
- Modular Neural Networks.

ANNs are usually trained against a set of data containing both inputs and outputs, using a learning algorithm that dictates how the neurons in the network are to be updated based on the training data. The network is then tested against a separate “validation set” where the input data is sent through the network and compared with the output data to test the network’s error rate. This process is then repeated as long as necessary to generate an acceptable error rate.

ANN can be used for multiple purposes, such as function approximation, classification, and robotics, but require a large and diverse set of data to train on to be useful, as ANNs have a proclivity towards temporarily overfitting if presented with a long series of less diverse data.

## Evolutionary Computing

In Evolutionary Computing the aim is to mimic the biological process of evolution to evolve solutions to problems. There are many approaches that fall under this name, among others Genetic Algorithms, Genetic Programming and Evolutionary Algorithms, but the common trait is that they use, to a certain extent, the four mechanics of biological evolution: reproduction, mutation, recombination and selection.

These methods present the problem by taking a starting point towards a solution, (which can be of any complexity, from nonexistent to a “best-known” program), then “reproduce” it by applying a random set of mutations to generate a certain amount of new solutions (called individuals). These individuals are then tested with a fitness function defined by the person attempting to solve the problem, that measures the individual’s performance at the problem, and the result of this is used to rank the individuals. We further choose one of the individuals in the list, and “reproduce” it to create new individuals either by way of mutations or by using an algorithm to combine it with another individual in a way that mimics the biological process of recombination. The newly generated individuals are then tested for fitness and put into the list of individuals, which is then culled to a specific length by removing the least fit individuals. This process reproduction and culling is repeated until the solution is deemed good enough or, on rare occasion, an optimal solution is found.

The system used in my thesis, ADATE (Olsson, 1995), is an Evolutionary Computing system that fits into a sub-field of Artificial Intelligence called Inductive Programming, which will be described in Chapter 4.

## 2.2 Game AI techniques

The approaches to AI used in video games (as opposed to more traditional board/card games) are often very different from the academic approaches, where AI programs can take a long time to reach a decision, might require massive



amounts of resources and usually have as a goal to perform as excellently as possible at a task.

In games the AI actors might only have a handful of microseconds of CPU time available to reach a decision without harming the performance of the game, and the AI must fulfill the twin goals of being challenging (but beatable by the majority of players) and entertaining (by employing novel methods and seeming human-like).

In addition, game AI is a comparatively recent field when compared to the volume of research on AI work using traditional board and card games, which evolved alongside computer science, as “solving” board games was one of the driving forces behind computer science, as mentioned in Schaeffer and Van den Herik (2002).

### 2.2.1 History

Before covering specific techniques, it is prudent to go through a history of AI as used in games, to show the field’s evolution in contrast to the field of traditional AI which has a long history of strong scientific focus. The majority of this information comes from Tozour (2002).

When it comes to video game AI, the methods employed have been, and still are, marked by the heavy performance requirements and the fact that very little emphasis has been put on AI sophistication until recently, as quipped about in the following quote:

Even today, game AI is haunted by the ghosts of Pac-Man’s Inky, Pinky, Blinky and Clyde. Until very recently, the video game industry itself has done all too little to change this perception.

Continuing on through the article, it is explained that many of the popular early games used very crude AI, basically just consisting of a handful of simple rules, with the exception of games that just digitized board games with well established AI research such as chess.

More sophisticated AI in video games was first embarked upon in the context of turn-based strategy games (such as *Civilization*), then in real time strategy games (such as *Age of Empires 2: The Age of Kings* and *WarCraft II*). Further, good AI started showing up in First Person Shooter games (*Half-Life* and *Unreal: Tournament*) that showed tactical ability and the ability to model several actors simultaneously, while *Thief: The Dark Project* had actors that emulated sense of sight and sound in a human-like fashion, and *SWAT 3: Close Quarters Battle* featured randomized AI parameters that allowed each actor to have a slightly different personality every time the game was played.

After that the variety of different AI exploded, with games focusing entirely on nurturing “AI life” in a manner the player decided, with *SimCity* and *The Sims*

being very well known staples. Another well-known AI life game was *Creatures* which is famous for being one of the few games that actually used a biological model for its “Norns”, both modelling biological processes with great detail and using neural networks for the AI (see Grand et al., 1997). Other games fit into the “God games” category, such as *Populous* and *Dungeon Keeper*, alongside *Black & White*, which has the distinction of being the first major game to focus the player’s attention entirely on the game’s AI capabilities, and including a learning AI, a topic which is considered to be the next “Big Thing” in gaming.

It should also be noted that for all the recent complexity, it is still the case that the game AI community favours simple “traditional” methods implemented through finite state machines, decision trees and rule systems, for their excellent performance and relative simplicity. These are then further augmented to add human-like behaviour, simulating planning and learning (see Isla and Blumberg, 2002; Khoo et al., 2002; Mateas and Stern, 2002; Orkin, 2003).

### 2.2.2 Traditional game AI

Traditional game AI or “simple AI” is what game AI started out as, and still to this day mostly is. It’s based upon simple rules or simple logical systems, and has traditionally used a sampling of simple techniques, such as simply hard coding the AI in a single routine, utilizing Finite State Machines, or using rule-based systems. In addition, path finding has always been a topic with AIs, where simple, well known, algorithms such as A\* have been dominant for a long time.

The common factor in traditional game AI techniques is that they tend to be static, the NPCs are only capable of the things they were programmed to do beforehand, and have little capability for learning or planning.

#### Hard-coded AIs

The first game NPCs utilized simple hard-coded AIs, which were basically a short routine that ran every tick of the game with simple behaviours for the opponents, not following any formalized methods for AI. This method is still used to this day, as it’s easy to create, and usually many minor opponents in games don’t need more sophistication than this method creates.

A good example of this technique is Pac-Man’s ghosts, which used simple path finding, and a selection of “modes” for the ghosts globally, then four different targeting rules to give each ghost a “personality” as their entire AI (Birch, 2010; Pittman, 2011). Even this very simple AI created the interesting behaviours and engaging game play which propelled Pac-Man towards becoming one of the most recognized games in video game history, evidence that you don’t need fancy high-powered algorithms to create interesting and engaging games.

## Finite State Machines

Finite State Machine (FSM) systems are the most commonly used systems in game AI, although the FSM systems used by game developers do not necessarily work the same as the ones described by Computer scientists. They take certain shortcuts which violate the traditional definition of FSM, which make them more applicable to games (Rabin, 2002).

FSM are used to formalize an NPC's behaviour in a simple way, as states and transitions. States correspond to a specific behaviour or action, whereas a transition correspond to a change in behaviour due to an event in the game. Using this, one can easily map up simple behaviours that allow an NPC to act in a manner that can be deemed "intelligent," as long as the programmer thinks of all the transitions necessary and makes them seem natural.

This method of AI creation has the benefits of being simple to understand, create and debug, as well as being very versatile by virtue of being a general method that can lend itself to most any problem. Of course, there are downsides to FSM as well. They can easily grow out of hand in more complex AI systems, need to have every necessary transition programmed in, and they don't have the capability of combining states (so you can't have an NPC be in the *run away* and *attack* states at the same time, to have it do a tactical retreat, without explicitly programming the option in).

For a more thorough coverage of implementing an FSM system, one can consult Rabin (2002) or Kirby (2011, chap. 3).

## Rule-based systems

As with FSM, Rule-based systems stem from traditional AI research, but are used in a more loose form in video games (Christian, 2002). The basic idea of rule-based systems is that of a database (the data can be information, actions or other things), where each piece of data has a "matching rule" used by the system to infer which pieces of data apply to the current situation.

In the context of games, this boils down to series of rules coupled with actions or behaviours, many of which can apply at the same time, which together make up the AI for the given NPC. These are formally named *reaction rules*, and are just one type of rule, with the other major one being *consequent rules* that deal mostly with information.

Usually there is some method of discerning which rules are the most relevant at the current time if many of them match the current situation, to prevent the NPC from doing too many things at once (many simple AIs only allow the NPC to do a single action per tick). These can range from randomly choosing a rule, to weighting each rule based on its specificity and choosing the most applicable one (Freeman-Hargis, 2002).

The biggest benefit of rule-based systems is that you can create a good set of behaviour with a comparatively small amount of rules. This means you aren't suspect to the "state explosion" you might have in an FSM, where adding a single new state will result in a cascade of new transitions having to be written to handle every single case where the NPC can switch in and out of the new state. In a rule-based system you just add the new rule and write one matching function for it, and let your system handle the rest.

Of course, the downside in this case is that creating a good AI like this requires a bit more thinking to create a set of actions that acts well in the most common cases, and still handles uncommon and unexpected cases decently well, since one can't write a rule for every situation. This usually requires a human with some expertise to either formulate or actually code the rules.

More info on writing rule-based systems can be found in Kirby (2011, chap. 4).

### 2.2.3 Towards more human game AI

In recent times there has been efforts towards making game NPCs act more human-like, by introducing planning and learning behaviours (making the AI adaptable), making their decisions be more unpredictable (but rational), culling any obviously stupid behaviour, as well as engineering the NPCs to introduce more human elements such as adding the feeling of emotion and body-language (Spronck, 2005).

In the last decade or so, game AI has started incorporating planning and learning behaviour to make NPCs seem smarter and more human-like. Games such as F.E.A.R (using Goal-Oriented Action Planning as described in Orkin (2006)) utilize planning to make their opponents behave in a more realistic manner, while other projects have used planning to make AI for previously released games, such as WarCraft II (using Hierarchic Task Networks as described in Brickman and Nishant (Unknown year)).

Planning allows the AI programmer to specify "action sets" and "goals" which together make up the bulk of the AI for the NPCs. Action sets contain actions which satisfy goals, and each action may have preconditions which are also classified as goals. Using this information, the NPCs can plan its actions by what it knows of the world (which it can learn over time) and which actions have been defined as being accessible to it, instead of having to have the AI programmers code every possible such path.

An example of the benefit of using a planning system is described in Orkin (2006), where they describe an NPC being shot while sitting at a computer desk. In the traditional FSM-based system, the NPC had to fully exit its current state before being able to transition to the next, so it would exit its "work" state by turning off the computer, pushing out the chair, and standing up, before letting out a death groan and falling over dead. Not really what one would consider human-like, or even believable, behaviour. In a planning system, that transition

would be dynamically created, and the NPC would just slump over dead from its chair, as one would expect.

Introducing emotion into games can be done in various ways, not just through having the NPC itself show emotion, but also by having various “AIs” change the mood of the game by altering lighting, music, and texture (Kirby, 2011, chap. 9).

NPC AI itself can also introduce emotions in the players by virtue of their difficulty or other behaviours that rise to player experiences such as “hard fun”, “soft fun” and “serious fun” (Lazzaro, 2004). NPC difficulty can alter itself according to player skill to fulfill any of these goals, something which many modern games utilize in what’s called “Difficulty scaling”, which can refer to anything from simply giving you enemies with higher levels (in RPG-style games such as *Fallout 3*), spawning more or less enemies and changing where they appear (such as the AI Director in *Left 4 Dead*), to evolving NPC AI that uses the player’s performance as a parameter in a fitness function to create better AI (one component of the thesis topic, and will be described in more detail later)

## 2.2.4 Advanced game AI

More advanced game AI utilizes more heavy-duty AI techniques such as Genetic programming or Neural Networks that learn and train as the game goes by, in an effort to create an ever-changing experience for the gamer. The most well known games which utilize these kinds of techniques are, as described earlier, *Creatures* (Grand et al., 1997) and *Black & White*.

One technique used to allow these advanced agents is called Reinforcement Learning (Merrick and Maher, 2006; Sutton and Barto, 1998), which differs from the normal learning/training method of machine learning (termed Supervised Learning) in that it isn’t given any examples to learn from to learn how to behave. In Reinforcement Learning the agents start out with a defined set of actions and goals, and have to learn through trial and error which ones are the best series of actions to fulfill a certain goal, which can easily change during play as the human player changes its tactics.

Beyond this, the usage of more advanced AI techniques in games has been mostly within the realm of research and done after the release of the games, either through altering the source code of released games, or by creation of bots that use a certain AI technique for research purposes and pitting it against humans and/or other bots in a multiplayer setting.

## Chapter 3

# Making NPCs Entertaining

When AI is used for NPCs in games, the main goal is not to perfectly emulate a human, nor to create the most skilled opponent possible, but rather to create an opponent that *seems* human, and possesses behaviour that creates an entertaining experience, without being unduly challenging.

There are many methods of doing this, some of which have been described in previous subsections under section 2.2. These range from simple difficulty-scaling methods to match the player’s skill level, through having NPCs that perform “human” tasks such as planning and cooperating smartly, to having NPCs and AI that show emotion and alter their behaviour and how they are perceived based on their moods.

### 3.1 Evaluating entertainment

Before you can make AI more entertaining, you need a way to measure if you’re actually reaching your goal. This can be done in one of two ways, you can measure the response from real human beings playing your game, or you can try to quantify entertainment value through various scientific means in an objective manner.

#### 3.1.1 Measuring people’s entertainment

Measuring a real human being’s response to a game will give you the most accurate measurement of entertainment, but it comes at a cost. The methods of doing so can be very expensive, in equipment, time, or both. There is also ample room for bias either by the one doing the measurements or the measured person, conscious or subconscious.

Techniques employed here can range from very primitive to very advanced, and include everything from surveys, to hooking a player up to gear to measure brain waves.

Simple surveys or questionnaires are easy and cheap to implement, but suffer from not being able to capture complex data. In addition, and suffer from bias on the part of the person filling out the data, where they subconsciously fill out data closer to what they think you might be looking for than what they actually think (Mandryk et al., 2006).

Another technique that can be used is recording a person playing the game, and analyzing the recording to pull out data about how the person playing the game feels about it. This can provide a very rich source of data, but suffers from drawbacks, the biggest of which is that time spent analyzing is very high compared to the amount of video recorded, ranging from 5 times to 100 times longer. Another problem, as always, is bias, this time on part of the researcher (Mandryk et al., 2006).

The previous techniques are mostly indirect measurements, but there are ways to directly measure a person's enjoyment of a game, by utilizing physical measures such as Galvanic Skin Response, Electrocardiography and respiration. This involves hooking the players up to a lot of equipment, and is thus a lot more expensive, time consuming and intrusive. One way of doing this is described in Mandryk et al. (2006), another in Yannakakis et al. (2008).

### 3.1.2 Quantifying entertainment

There is also work going into methods of quantifying entertainment in games, with several articles proposing theories on what makes a game fun (e.g. Federoff, 2002; Koster, 2004; Lazzaro, 2004; Malone, 1981; Read et al., 2002), and others proposing methods for augmenting or optimizing entertainment value in games (e.g. Yannakakis, 2008; Yannakakis and Hallam, 2004b, 2007b, 2009).

The presentation "Why we play games" (Lazzaro, 2004) states that there are four keys to making a fun game: "Hard Fun", in which the joy of overcoming difficult challenges drives the player; "Easy Fun", where immersion into the game world is more important than challenge; "Altered States", in which the game elicits some change of the player's internal state; and "The People Factor", where the social aspect of the game adds to, or creates, the entertainment. These could be used as loose measures of how fun a game is, and to whom it appeals, by quantifying the amount each of these keys are exhibited in the game. These views are echoed and expanded heavily upon by Federoff (2002), which mostly comments on the first two keys, as "challenge" and "immersion".

A related view, as argued by Yannakakis and Hallam (2004a,b), is that interactive or interesting opponents is the key to making fun games, and the key to having interesting opponents is for them to adapt to the player, so that the

player doesn't get to a point where the AI is too simple for them. The key to doing this, it's argued, is having a way of directly quantifying "fun".

As demonstrated in the reports, being able to do this quantification is important for being able to evolve "fun" AI offline. It is prohibitively difficult to make a fitness function for entertaining NPCs otherwise, as it is unreasonable to expect to be able to have a player play against every new individual and rate the AI's fitness.

The method utilized in the reports is to pit the evolved NPCs against a sampling of pre-programmed AIs standing in for the player, and then using a quantification formula of the NPC's interestingness and difficulty as the fitness function or "measure of fun".

## 3.2 Making entertaining NPC

As noted in the section 3.1, one of the primary factors in a game NPC's entertainment level is realized by having them be "interactive" opponents. In that vein there is some work on increasing how human-like game AI is behaving, as a way of increasing entertainment value, which involves planning behaviours, anticipation, learning and adapting (Orkin, 2003, 2004; Spronck, 2005; Yannakakis and Hallam, 2009), as described in previous sections.

There is an approach called character-based AI collecting many of these techniques (Isla and Blumberg, 2002), which is gaining grounds in the game industry as a way to give the game's AI agents a reasonable facsimile of human behaviour and personality, or a "character", to make game opponents and allies more entertaining. The term is applied to AI which collect many techniques and behaviours that make the AI seem more human, like the aforementioned planning, learning and adapting, but also the ability to sense patterns, the ability to anticipate, and a certain model of the AI actor's perception that limits its available information to a more "realistic" amount.

Another way of making NPCs more entertaining is by having them present a constant challenge to the player, without them being too challenging. One way of doing this is having the NPCs utilize Reinforcement Learning (Merrick and Maher, 2006; Sutton and Barto, 1998) to present a continuously learning opponent. Another is to use one of the methods of difficulty scaling to present different NPC opponents that correspond to the player's skill level, a technique already utilized in many games at various levels of sophistication.

Another possible way of increasing an NPC's entertainment level is by increasing the NPC's interestingness by making them act in interesting ways by way of automatic programming. This method would utilize offline learning, and possibly online learning, to generate NPC behaviours that would create a more interesting experience. This method has been described by Yannakakis (2005), and is going to be described in more detail in Chapter 5.



## Chapter 4

# Inductive Programming

As noted in section 2.1.3, ADATE (Olsson, 1995) fits into a sub-field of Artificial Intelligence called Inductive Programming, which is actually a field recently emerging from being fragmented across Inductive Logic Programming, Genetic Programming, and Machine Learning (Kitzelmann, 2010).

### 4.1 Introduction to Inductive Programming

As described by Approaches and Applications of Inductive Programming (AAIP, 2010), the aim of Inductive Programming is to create programs based on incomplete specifications. These specifications are given in the form of a set of inputs with matching outputs or an output evaluation function that are used to evaluate a generated program's fitness. This is opposed to Deductive approaches which start with a high-level description of the wanted system, then have the system generate code that matches the description.

The biggest difference between the two approaches is that the Deductive approach guarantees that a solution is correct, although it requires the problem to be well-understood and a specification to be written, whereas the Inductive approach does not guarantee a fully correct solution, but only requires input and output data to create its solution.

To help guide it towards an acceptable solution the Inductive Programming system can also take other inputs in their specifications, in the form of constraints on efficiency and complexity; background information about data types, functions available for use in the generated code and information about the flow of the intended program; heuristics or bias to guide the system towards a certain solution. These are, however, not required.

As my thesis will be using the ADATE system, I will be explaining the details of Inductive Programming in context of the ADATE system, as described in

Olsson (1994) and Vattekar (2006).

## 4.2 The ADATE system

ADATE’s approach to Inductive Programming, formally named Evolutionary Inductive Programming (Crossley et al., 2009), applies evolutionary computing to the problem of making computer programs and the units which are manipulated (the “genes” if you will) are computer code operations. Apart from that, the process which is followed is very much like the one described in the previous section about evolutionary computing (section 2.1.3).

All Inductive Programming system generate code in a specific programming language, usually simplified or streamlined for the purpose, and ADATE is no exception. It uses a subset of Standard ML, called ADATE-ML, for its generated code, as well as for parts of its specification.

As mentioned before, all Inductive Programming systems make use of a specification with the input and output necessary to frame the problem. ADATE’s specifications only make use of an output evaluation function, it does not support, out of the box, the simpler variety of matching input data with output data, although it is trivial to write an output evaluation function that works in such a manner. ADATE also requires an initial program to be part of its specification, although this program may be as simple as an empty function, as the method it uses to generate programs is a form of local optimization, in which a program is continuously improved to find better and better solutions.

ADATE’s specification is written in two parts, one in ADATE-ML and the other in Standard ML, separated by a “%%” token.

The ADATE-ML part contains three different things:

1. Definitions of data types and functions that can be used by the code that is generated by ADATE.
2. The initial program, called  $f$ , that the ADATE system will start from and improve, which takes the inputs and returns an output.
3. A function *main* which is called by the ADATE’s evaluation cycle, and can provide a scaffolding or a context around  $f$ . This comes in handy if  $f$  is supposed to be a small part of a larger program, but the performance of the program is dependant on the performance of *main* itself, which may call  $f$  several times (perhaps not a constant or determinable amount) during its execution.

The Standard ML part of the specification can contain any user supplied code that might be used as part of the evaluation, but must define a certain set of callbacks that are called by the ADATE system. These callbacks define

things such as the input data, any validation inputs, the set of functions that should be available for generated code, the evaluation function, the parameters governing amount and complexity of generated individuals, and other minor things (consult Vattekar (2006, sect. 4.2) for details).

As ADATE is an evolutionary system, it uses the same general process as other genetic systems, being that of a cycle of procreation, evaluation and culling in the search for ever improving performance. These steps will now be described as they apply to ADATE.

The process of creating new individuals in ADATE functions like most other Evolutionary Computing solutions, in that it utilizes both mutation and genetic crossover (albeit only in a limited fashion). When ADATE creates a new individual it starts out with an individual from the “kingdom” existing individuals (which at the beginning is only one program), then applies a series of transformations called “compound transformations” to create the new individual.

The six basic transformations available for use by ADATE are as follows:

**R** is the only transformation that can actually change the semantics of a program, and it does this by replacing a part of the program with a new synthesized expression.

**REQ** is an *R* transformation that is guaranteed to not make the program worse.

**ABSTR** abstracts a piece of the program out into a separate function which can then later be reused by the program in several places.

**EMB** updates an existing function by adding a new parameter to it, and updates all calls to the function to take into account the new parameter.

**CASE-DIST** rearranges code within *case* and *let* expressions in a way such that semantics are preserved.

**Crossover** takes a series of REQ transformation and treats them as alleles in a genetic recombination.

The individuals are then evaluated by running the evaluation function specified in the specification file on *main*, using the current individual as *f*, over all the examples given. The evaluation value from this function is then used to compute a few values regarding the individual’s performance (among others the time it takes to run and the complexity of the program).

If the individual evaluates better than any of the solutions currently in the kingdom, the evaluation value is then used to group the individual with others of similar performance in the kingdom. The kingdom is then culled by removing the lowest ranked individual. The process then continues by creating a new individual from the same base individual as before, until a given time limit is elapsed, at which point a new base individual is chosen.

This loop continues forever until the program is halted and output is written to disk while the program runs. This output shows the current state of the system and the current best individuals, along with their code. By evaluating this output, the user of the system can decide if a good enough solution has been created, or if the system needs to be stopped for other reasons.

## Chapter 5

# Evolving Game AI

Using evolutionary techniques for game AI is not an entirely new topic, although doing it with the goal of creating entertaining or fun AI is a more recent development. The major body of research on this topic focuses on evolving an agent that can successfully play a game, or execute certain maneuvers, in a human-seeming manner.

Much of the research on evolution in game follows the research on learning in games, by focusing on First Person Shooter games, in particular well-known ones with good facilities for creating “bots”. Examples of such research include using evolution to tune the parameters given to a bot for the game *Counter Strike* (Cole et al., 2004), using evolution to teach a bot to do rocket jumps and dodge fire in an unspecified game (Champandard, 2003).

Other research on using evolution to create game AI, using other kinds of games, include the use of evolution to create an AI to play the RTS game *WARGUS* (a *WarCraft II* clone implemented in the *Stratagus* engine) (Spronck et al., 2004). Another example is the use of an ice hockey environment to explore evolutionary learning (Blair and Sklar, 1999). There are a couple pieces of research on using online co-evolutionary methods against human players over the Internet, using the game Tron (Funes and Pollack, 2000; Funes et al., 1998). In addition, there has been research into using offline and online co-evolution with action games (Demasi and Cruz, 2003).

There does not exist much research on evolving game AI with the express purpose of creating entertaining NPC behaviour, as most of the body of work on evolving game AI focuses on creating human-like behaviour. Of course, as Bauckhage et al. (2003) states, one component of human-like behaviour is to be unpredictable, which is a component of interesting or entertaining NPCs, as noted in section 3.1.2. It all comes down to how you define “human-like”, a definition that could be either as simple as “seems to exhibit intelligence” or one that requires having an agent that simulates most human traits and capabilities

to learn and adapt well enough to pass a Turing test. No matter how you define it, however, the majority of existing research use fitness functions that do not specifically test for entertainment value or interestingness.

One body of work that does explore this specific topic is the work of Georgios Yannakakis, in collaboration with John Hallam, who wrote his PhD dissertation (Yannakakis, 2005) on this topic. He has also authored a few articles regarding this and related topics, such as on how to measure and quantify entertainment (Yannakakis, 2008; Yannakakis and Hallam, 2007a; Yannakakis et al., 2008), how to use these models to increase a player's satisfaction with a game (Yannakakis, 2008; Yannakakis and Hallam, 2009) and of course further articles regarding the use of evolution in creating games (Yannakakis and Hallam, 2004a,b).

## Chapter 6

# Conclusion

What can be taken away from all of this is that there is a large and voluminous body of research on the underpinnings of my thesis, Especially on the topic of Artificial Intelligence and their techniques, including the particular sub-field under which ADATE falls, as well as their use in games.

There is also a decent amount of research on the topic of what makes games fun, techniques to measure a game's entertainment value, and how to increase it by the use of intelligent and human-like NPC AI.

However, there is not much work specifically on the topic of evolving NPC AI, especially not with direct focus on creating interesting AI, although there is enough research to base my own work on.

During my thesis project I will lean on the work of Yannakakis (Yannakakis, 2005) when it comes to the framing of my problem and my fitness functions, but I will also incorporate information from other sources on NPC entertainment and AI techniques. I will probably utilize a similar game as Yannakakis did (predator-and-prey games), but I will have to frame my problem differently due to my use of ADATE. The use of ADATE means it will be much tougher (if not impossible) to do online learning with my agents than the Neural Network-based system used by Yannakakis, so this will be one of the most important problems to resolve.

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