



RESEARCH ARTICLE

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Key Points:

- We couple a geodynamic seismic cycle model to a dynamic rupture model to resolve subduction and earthquake dynamics across time scales
- Both events are comparable in terms of nucleation and material-dependent stress drop, but not slip
- Complex lithology leads to various rupture styles and speeds, shallow slip accumulation, and fault reactivation

Supporting Information:

- Supporting Information S1
- Data Set S1
- Data Set S2
- Data Set S3
- Data Set S4

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Modeling Megathrust Earthquakes Across Scales: One-way Coupling From Geodynamics and Seismic Cycles to Dynamic Rupture

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Abstract Taking the full complexity of subduction zones into account is important for realistic modeling and hazard assessment of subduction zone seismicity and associated tsunamis. Studying seismicity requires numerical methods that span a large range of spatial and temporal scales. We present the first coupled framework that resolves subduction dynamics over millions of years and earthquake dynamics down to fractions of a second. Using a two-dimensional geodynamic seismic cycle (SC) model, we model 4 million years of subduction followed by cycles of spontaneous megathrust events. At the initiation of one such SC event, we export the self-consistent fault and surface geometry, fault stress and strength, and heterogeneous material properties to a dynamic rupture (DR) model. Coupling leads to spontaneous dynamic rupture nucleation, propagation, and arrest with the same spatial characteristics as in the SC model. It also results in a similar material-dependent stress drop, although dynamic slip is significantly larger. The DR event shows a high degree of complexity, featuring various rupture styles and speeds, precursory phases, and fault reactivation. Compared to a coupled model with homogeneous material properties, accounting for realistic lithological contrasts doubles the amount of maximum slip, introduces local pulse-like rupture episodes, and relocates the peak slip from near the downdip limit of the seismogenic zone to the updip limit. When an SC splay fault is included in the DR model, the rupture prefers the splay over the shallow megathrust, although wave reflections do activate the megathrust afterward.

1. Introduction

Throughout the past decades, enigmatic observations of subduction zone earthquakes have repeatedly given rise to new insights. For example, large slip occurring up to the trench during the 2011 M_w9.0 Tōhoku-Oki earthquake demonstrated how poorly the occurrence of slip in shallow, presumably velocity-strengthening regions is understood to date (Fujiwara et al., 2011; Lay et al., 2011).

Understanding the seismic characteristics along megathrusts from the trench to the downdip limit of the seismogenic zone is crucial for improving the assessment of seismic—and the associated tsunami—hazards. However, the physics governing subduction zone seismicity occurs on a wide range of temporal scales. Tectonic stresses build up over millions of years and are episodically released during earthquakes, which initiate, propagate, and stop on time scales smaller than seconds. Capturing the relevant physics across these time scales is computationally and numerically challenging and currently not yet feasible within a single modeling framework.

Geodynamic modeling usually tackles large-scale, long-term problems, such as subduction zone evolution on a lithospheric or global scale over millions of years (see Billen, 2008; Gerya, 2011, for an overview). Such models provide insight into the formation and geometry of megathrust faults and the corresponding state of stress (Billen et al., 2003; Goes et al., 2017). However, most geodynamic models do not include elastic rheologies (Patočka et al., 2017) and resolve the physical processes on time scales on the order of thousands of years at most. These restrictions render them unsuitable for studying seismicity or earthquake rupture dynamics.

In contrast, seismic cycle models of the megathrust focus on smaller time scales spanning thousands of years down to coseismic time scales smaller than seconds (e.g., Ben-Zion & Rice, 1997; Kaneko et al., 2011; Langer et al., 2010; Lapusta et al., 2000; Liu & Rice, 2007; Rice, 1993). By modeling both long-term loading of

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predefined faults and spontaneous rupture across these faults, seismic cycle models can provide insight into interseismic stress buildup, coseismic rupture processes, and postseismic relaxation. However, the majority of seismic cycle models use quasi-static or quasi-dynamic approximations, which do not account for the stresses mediated by the emitted seismic waves. Notable fully dynamic exceptions by, for example, Lapusta et al. (2000) and Kaneko et al. (2011) are algorithmically and computationally challenging.

Seismic cycle models are commonly limited to predefined faults, which are often simplified to planar geometries. These restrictions may result from the employed numerical scheme related to the spatial discretization or the available computational resources. Furthermore, widely applied seismic cycle methods may inherently only account for homogeneous elastic media (Lapusta et al., 2000). While providing fundamental insight into the mechanics of the earthquake cycle, observations indicate multifault geometries and complex lithologies (e.g., Kodaira et al., 2002), which cannot yet be accounted for in state-of-the-art seismic cycle models.

Dynamic rupture models are designed to study the dynamics of earthquakes at coseismic time scales. Dynamic rupture modeling has been pioneered by, for example, Andrews (1973), Das (1980), Day (1982), Madariaga et al. (1998), Oglesby et al. (1998), Ampuero et al. (2002), and Dalguer and Day (2007). Such models provide physically self-consistent earthquake source descriptions by modeling spontaneous frictional failure across a predefined fault coupled to seismic wave propagation. By using modern numerical methods and hardware specific software optimization, dynamic rupture simulations can reach high spatial and temporal resolution of increasingly complex geometrical and physical modeling components (Wollherr, Gabriel, & Mai, 2019; Ulrich, Gabriel, et al., 2019). In comparison to the aforementioned approaches, such models fully incorporate inertia effects as well as the nonlinear interaction of seismic waves and fault mechanics governed by friction.

However, the dynamic rupture community faces challenges in constraining the initial conditions governing fault stresses and strengths. These are integral ingredients of the dynamic rupture, as they govern the rupture propagation style (e.g., crack- vs. pulse-like dynamics and subshear vs. supershear rupture speeds), transfers (e.g., dynamic triggering potential), and earthquake arrest (e.g., Bai & Ampuero, 2017; Kame et al., 2003).

Another important open question is how to constrain the rupture nucleation process and hypocenter in a physically consistent manner. Dynamic rupture models typically use artificially enforced slip initiation by, for example, locally reducing the static friction coefficient (Harris, 2004; Harris et al., 2009, 2011, 2018). However, the ensuing rupture is highly sensitive to the chosen nucleation approach and its computational resolution in time and space (Bizzarri, 2010; Gabriel et al., 2012, 2013; Galis et al., 2014). In addition, the location of the hypocenter may be chosen ad hoc without a strong physical basis. Studying earthquake nucleation beyond ad hoc approaches will further our understanding of the interaction of megathrust earthquakes, foreshocks, and aseismic processes.

Ideally, the initial states of stress and fault strength are self-consistent and consistent with the geometry and rheology of the subsurface and fault networks. However, due to a lack of constraints, especially on the stress field, stresses or normal and shear tractions are commonly prescribed as constant or linearly increasing with depth in dynamic rupture models (Galvez et al., 2014, 2018; Kozdon & Dunham, 2013; Kozdon et al., 2013). Direct measurements of on-fault stresses are difficult to obtain, but inferences from nearby borehole measurements and observations of stress orientations and rotations do provide insight on the shear and normal tractions acting on megathrusts (Chang et al., 2010; Fulton et al., 2013; Hardebeck, 2012, 2015). Dynamic rupture models have incorporated such observations and also projected the inferred regional stress information onto spatially complex fault geometries (Aagaard et al., 2004; Aochi & Fukuyama, 2002; Bauer et al., 2017; Gabriel & Pelties, 2014; Heinecke et al., 2014; Ulrich et al., 2019; Ulrich, Gabriel, et al., 2019; Ulrich, Vater, et al., 2019; Wollherr et al., 2018). However, it is difficult to account for variable loading on different fault segments, local lithological heterogeneities, stress and fault roughness, stress interactions between faults and their surroundings, and the different stages of faults within their seismic cycle (Herrendörfer, 2018; Romanet et al., 2018).

The *in situ* fault strength is equally hard to constrain. Most studies focus on experimentally constraining the frictional behavior of rocks at coseismic slip velocities (den Hartog, Peach, et al., 2012; Di Toro et al., 2011; Dieterich, 1979; Ruina, 1983). Drilling experiments and heat flow measurements provide to-scale insight on the frictional strength of megathrusts (Fulton et al., 2013). Observational studies indirectly infer the

distribution of the pore fluid pressure ratio in subduction zones (Seno, 2009). Various modeling efforts are also aimed at understanding the role of fluids on the strength of the megathrust (Angiboust et al., 2012; Petrini et al., 2017). Despite these advances, a major challenge is the large scaling difference between natural subduction zones, small-scale laboratory experiments, and localized, isolated field measurements.

Due to their locations, the exact fault geometry of subduction zones is often unknown. Splay faults are seaward verging crustal faults that splay away from the main subduction megathrust interface at shallow depth. They may rupture in addition to or instead of parts of the megathrust. It has been suggested that these splay faults play an important role during tsunamigenesis, because they could potentially accommodate large vertical displacements (Fukao, 1979). Therefore, several dynamic rupture studies have investigated fault branching and splay fault activation, mostly using simplified geometries (DeDontney & Rice, 2012; Li et al., 2014; Madden et al., 2017; Tamura & Ide, 2011; Uphoff et al., 2017; Wendt et al., 2009). Choosing appropriate stress and strength for both the megathrust and the splay fault has been shown to crucially affect branching and dynamic triggering (DeDontney & Hubbard, 2012; DeDontney et al., 2012).

“Seismo-thermo-mechanical” models provide insight into complex subduction zone features, such as the role of rheology, temperature, and fault geometry and evolution, including spontaneously evolving splay faults (e.g., Corbi et al., 2017; Dal Zilio et al., 2018, 2019; Herrendörfer et al., 2015; Preuss et al., 2019; van Dinther et al., 2014). These models bridge the time scales of traditional geodynamic and seismic cycle models, as initiated by van Dinther, Gerya, Dalguer, Corbi, et al. (2013) and van Dinther, Gerya, Dalguer, Mai, et al. (2013). The therein developed two-dimensional model includes the long-term dynamics of subduction, as well as short-term frictional slip transients. However, these models cannot resolve the inertial dynamics of slip events due to numerical restrictions. The minimum resolution is 5 years in time and 500 m in space. The limitations in spatiotemporal resolution were recently overcome for a strike-slip setup with the seismo-thermo-mechanical rate-and-state friction methodology (Herrendörfer et al., 2018). However, applying this methodology to the more challenging setting of a subduction zone does not yet result in accurately crossing all time scales. In a thermo-mechanically evolving subduction zone, tectonic loading is limited to hundreds of thousands of years, instead of millions of years. Besides that, slow slip events have a maximum slip rate on the order of 10^{-7} m/s (Herrendörfer, 2018). Sobolev and Muldashev (2017) model time scales down to minutes to resolve postseismic processes in addition to subduction evolution. Nevertheless, the challenge of fully resolving the subduction evolution in combination with rupture dynamics on coseismic time scales remains.

To overcome the limitations of each of these approaches, the hereafter presented coupling approach fully resolves the tectonic, seismic cycle (excluding the postseismic phase) and dynamic rupture time scales for the first time by linking a transient slip event of a geodynamic seismic cycle (SC) model to a dynamic rupture (DR) model. By adapting the full outcome of the SC model into initial conditions for the DR model in a physically consistent manner, we provide geometries of the fault and its surroundings, material properties, and fault stresses and strength. This enables us to study the complex mechanics of subduction zones and megathrust earthquakes in a physically consistent manner.

The work presented here is structured as follows. First, we summarize the SC and DR modeling approaches and their respective assumptions in sections 2 and 3. We then describe how we couple the material properties, stresses, geometry, and strength conditions of a representative SC event to the DR model in section 4, specifically in light of the different set of equations and assumptions both approaches use. We discuss the resulting state of stress from the long-term subduction evolution in section 5.1 and compare the geodynamic (section 5.2) and dynamic rupture (section 5.3) events in section 5.4. To assess the effect of the heterogeneous, temperature-dependent material properties from the SC model on the dynamic rupture, we conduct a series of models with increasing material complexity in section 5.5. In addition to a single megathrust rupture, we investigate the coseismic rupture dynamics along an additional splay fault based on the fault structures visible in the SC model (section 5.6). To ensure that the coupling method is robust, we test the effect of the two main assumptions we made in section 6.1: an idealized Poisson’s ratio governing seismic wave propagation in the DR model (section 6.1.1) and a linear slip-weakening approximation in the DR model of the rate-weakening friction used in the SC model (section 6.1.2). In section 6.2, we discuss several possible future lines of work that could address the current limitations of our approach. We summarize our most important findings in section 7.

2. Geodynamic Seismic Cycle Model

We use the seismo-thermo-mechanical (STM) version of the two-dimensional, visco-elasto-plastic, continuum I2ELVIS code (Gerya & Yuen, 2007) to solve the long-term dynamics of subduction zone evolution and the subsequent seismic cycle (van Dinther, Gerya, Dalguer, Corbi, et al., 2013; van Dinther, Gerya, Dalguer, Mai, et al., 2013; van Dinther et al., 2014). First, we briefly describe the governing equations, rheology, failure criterion, and friction formulation. We then describe the model setup in section 2.4. A full description of the methods can be found in Gerya and Yuen (2007) and van Dinther, Gerya, Dalguer, Mai, et al. (2013).

2.1. Governing Equations

We solve the following set of conservation equations in a two-dimensional Cartesian coordinate system, derived from the principles of conservation of mass (1), momentum (2), and energy (3):

$$\nabla \cdot \mathbf{v} = 0, \quad (1)$$

$$\rho \frac{D\mathbf{v}}{Dt} = \nabla \cdot \boldsymbol{\sigma}' - \nabla P + \rho \mathbf{g}, \quad (2)$$

$$\rho C_p \left(\frac{DT}{Dt} \right) = -\nabla \cdot \mathbf{q} + H_a + H_s + H_r. \quad (3)$$

All symbols and terms used in these and the following equations are described in Table 1. The continuity equation (1) assumes an incompressible medium, that is, Poisson's ratio $\nu=0.5$. This is valid when pressure and temperature changes are small and therefore only minimally impact the volume of the material. The energy equation (3) describes conductive ($\nabla \cdot \mathbf{q}$) and advective heat transport (within the material derivative $\rho C_p \left(\frac{DT}{Dt} \right)$), and the internal heat generation due to adiabatic (de)compression H_a , shear heating during anelastic deformation H_s , and radioactive heat production H_r .

We use an implicit finite difference scheme on a fully staggered Eulerian grid to solve for the velocity \mathbf{v} , the solid rock pressure P , and the temperature T (Gerya & Yuen, 2007). We use second-order spatial discretization and first-order temporal discretization. Large deformation is numerically modeled by Lagrangian markers that are advected according to their velocity while keeping track of the rock composition, associated material properties, and stress history (see Gerya & Yuen, 2003, and references therein). For a complete description of all the components of the heat equation used in this model, we refer to van Dinther, Gerya, Dalguer, Mai, et al. (2013).

2.2. Rheology

To solve the governing equations, we need constitutive equations that relate the stress and strain rate. We use a visco-elastic Maxwell rheology in combination with a frictional plastic slider (Gerya, 2010). The total strain rate is the sum of its elastic, viscous, and plastic components:

$$\dot{\boldsymbol{\epsilon}} = \frac{1}{2} (\nabla \mathbf{v} + \nabla \mathbf{v}^T) = \dot{\boldsymbol{\epsilon}}_v + \dot{\boldsymbol{\epsilon}}_e + \dot{\boldsymbol{\epsilon}}_p. \quad (4)$$

The viscous strain rate component is

$$\dot{\boldsymbol{\epsilon}}_v' = \frac{1}{2\eta} \boldsymbol{\sigma}', \quad (5)$$

where η is the effective viscosity and $\boldsymbol{\sigma}'$ is the deviatoric stress tensor.

The elastic strain rate component is described as

$$\dot{\boldsymbol{\epsilon}}_e' = \frac{1}{2G} \frac{D\boldsymbol{\sigma}'}{Dt}. \quad (6)$$

It depends on the shear modulus G and the corotational stress rate $\frac{D\boldsymbol{\sigma}'}{Dt} = \frac{\boldsymbol{\sigma}'_{t+1} - \boldsymbol{\sigma}'_t}{\Delta t} + \boldsymbol{\omega} \boldsymbol{\sigma} - \boldsymbol{\sigma} \boldsymbol{\omega}$, where $\boldsymbol{\omega} = \frac{1}{2}(\nabla \mathbf{v} - \nabla \mathbf{v}^T)$ is the rotation tensor. The SC approach uses an explicit first-order finite difference scheme

Table 1
Nomenclature

| Symbol | Parameter | Unit |
|-------------------------------|---|--------------------------------------|
| Δx | Grid size | m |
| $\dot{\varepsilon}_{e,v,p}$ | (Elastic, viscous, plastic) Strain rate | s ⁻¹ |
| $\dot{\varepsilon}_{vp}^{ii}$ | Second invariant of the visco-plastic strain rate | s ⁻¹ |
| η, η_0 | Viscosity, reference viscosity equal to $1/A_D$ | Pa s |
| η_{vp} | Effective visco-plastic viscosity | Pa s |
| λ | Pore fluid pressure ratio P_f/P | — |
| λ_1 | First Lamé parameter | Pa |
| $\mu_{(eff)}^{sc,dr}$ | (Effective) Friction coefficient (SC,DR) | — |
| $\mu_d^{sc,dr}$ | Dynamic friction coefficient (SC,DR) | — |
| $\mu_s^{sc,dr}$ | Static friction coefficient (SC,DR) | — |
| ν | Poisson's ratio | — |
| ρ, ρ_0 | Density, reference density | kg m ⁻³ |
| σ'_{II} | Second invariant of the deviatoric stress tensor | Pa |
| σ, σ' | Stress tensor, deviatoric stress tensor | Pa |
| σ_n | Normal stress | Pa |
| $\sigma_{yield}^{sc,dr}$ | Yield stress (SC,DR) | Pa |
| $\sigma_{sliding}^{dr}$ | Dynamic rupture sliding stress | Pa |
| τ | Shear stress | Pa |
| χ | Plastic multiplier | s ⁻¹ |
| A_D | Preexponential factor | Pa ⁻ⁿ s ⁻¹ |
| c | On-fault cohesion | Pa |
| C | Bulk cohesion | Pa |
| C_p | Isobaric heat capacity | J kg ⁻¹ K ⁻¹ |
| d | Slip | m |
| D_c | Characteristic slip distance | m |
| E_a | Activation energy | J mol ⁻¹ |
| f_{max} | Maximum resolved frequency | s ⁻¹ |
| F | Visco-elasticity factor | — |
| \mathbf{g} | Gravity acceleration | m s ⁻² |
| G | Shear modulus | Pa |
| $G_{plastic}$ | Plastic flow potential | Pa |
| H_a, H_r, H_s | Adiabatic, radioactive, and shear heat production | W m ⁻³ |
| n | Stress exponent | — |
| P, P_{eff}, P_f | (Solid rock, effective, pore fluid) Pressure | Pa |
| \mathbf{q} | Heat flux | W m ⁻² |
| R | Gas constant | J mol ⁻¹ K ⁻¹ |
| S | S parameter | — |
| t | Time | s |
| T | Temperature | K |
| \mathbf{v} | Velocity | m s ⁻¹ |
| v_p, v_s | P and S wave velocity | m s ⁻¹ |
| V | Slip rate | m s ⁻¹ |
| V_a | Activation volume | J Pa ⁻¹ mol ⁻¹ |
| V_c | Characteristic velocity | m s ⁻¹ |
| Z | Seismic impedance | kg s ⁻¹ m ⁻² |

Table 2
Material Parameters Seismic Cycle Model

| Material | Rock | Flow law ^a | η_0 (Pa ⁿ s) | n (–) | E_a J/mol | V_a J/Pa | ρ_0 ^b kg/m ³ | G ^c GPa | μ_s (–) | μ_d ^d (–) | C (MPa) |
|-------------------------|------------|-----------------------|---------------------------------|------------|----------------------|----------------------|--|-------------------------|-------------------|-----------------------------|----------------|
| Sticky air | — | — | 1.0·10 ¹⁷ | 1 | 0 | 0 | 1 | 700 | 0 | 0 | 0 |
| Incoming sediments | Sediments | Wet quartzite | 1.97·10 ¹⁷ | 2.3 | 1.54·10 ⁵ | 0.8·10 ⁻⁵ | 2,600 | 9.7262 | 0.35 ^e | 0.105 | 2.5 |
| Sediments | Sediments | Wet quartzite | 1.97·10 ¹⁷ | 2.3 | 1.54·10 ⁵ | 0.8·10 ⁻⁵ | 2,600 | 17 | 0.35 ^e | 0.105 | 2.5 |
| Upper oceanic crust | Basalt | Wet quartzite | 1.97·10 ¹⁷ | 2.3 | 1.54·10 ⁵ | 0.8·10 ⁻⁵ | 3,000 | 38 | 0.50 ^f | 0.150 | 5 ^d |
| Lower oceanic crust | Gabbro | Plagioclase | 4.80·10 ²² | 3.2 | 2.38·10 ⁵ | 0.8·10 ⁻⁵ | 3,000 | 38 | 0.85 ^h | 0.255 | 15 |
| Upper continental crust | Sandstone | Wet quartzite | 1.97·10 ¹⁷ | 2.3 | 1.54·10 ⁵ | 1.2·10 ⁻⁵ | 2,700 | 34 | 0.72 ⁱ | 0.216 | 10 |
| Lower continental crust | Sandstone | Wet quartzite | 1.97·10 ¹⁷ | 2.3 | 1.54·10 ⁵ | 1.2·10 ⁻⁵ | 2,700 | 34 | 0.72 ⁱ | 0.216 | 10 |
| Lithospheric mantle | Peridotite | Dry olivine | 3.98·10 ¹⁶ | 3.5 | 5.32·10 ⁵ | 0.8·10 ⁻⁵ | 3,300 | 63 | 0.60 ^j | 0.180 | 20 |
| Asthenospheric mantle | Peridotite | Dry olivine | 3.98·10 ¹⁶ | 3.5 | 5.32·10 ⁵ | 0.8·10 ⁻⁵ | 3,300 | 72 | 0.60 ^j | 0.180 | 20 |
| Mantle weak zone | Peridotite | Wet olivine | 5.01·10 ²⁰ | 4.0 | 4.70·10 ⁵ | 0.8·10 ⁻⁵ | 3,300 | 63 | 0.10 | 0.03 | 20 |

Note. See van Dinther, Gerya, Dalguer, Mai, et al. (2013) for parameters related to the energy equation (3).

^aRanalli (1995) unless otherwise stated. ^bTurcotte and Schubert (2002). ^cBormann et al. (2012). ^dFriction coefficient decreases to 30% of its initial value μ_s according to Di Toro et al. (2011). ^eden Hartog, Niemeijer, and Spiers (2012). ^fDi Toro et al. (2011). ^gSchultz (1995). ^hTsutsumi and Shimamoto (1997).

ⁱFor example, Dieterich (1978), Chester and Higgs (1992), and Di Toro et al. (2011). ^jDel Gaudio et al. (2009).

to solve for the elastic history. We also rotate the elastic stresses to account for local stress orientation changes due to the rotation of material points. More details on the treatment and implementation of elasticity can be found in Moresi et al. (2003), Gerya (2010), van Dinther, Gerya, Dalguer, Corbi, et al. (2013), and Herrendörfer et al. (2018). The SC numerical method thus treats elasticity differently from the elastodynamic framework of the DR approach (section 3). Additionally, the elastic strain rate in the incompressible SC model (equation (6)) differs from the compressible formulation in the DR model (equation (14)).

The plastic strain rate component is described as

$$\dot{\epsilon}_p' = \begin{cases} 0 & \text{if } \sigma_{II}' < \sigma_{yield}^{sc} \\ \chi \frac{\partial G_{plastic}}{\partial \sigma_{II}'} & \text{if } \sigma_{II}' = \sigma_{yield}^{sc}. \end{cases} \quad (7)$$

In this plastic flow rule, $G_{plastic}$ is the plastic potential of yielding material, and χ is the plastic multiplier, which connects the components of the plastic strain rate with the local stress distribution σ_{II}' .

We consider dislocation creep with a nonlinear viscosity η that depends on the second invariant of the stress tensor σ_{II}' (e.g., Ranalli, 1995):

$$\eta = \left(\frac{1}{\sigma_{II}'} \right)^{n-1} \cdot \frac{1}{2A_D} \cdot \exp \left(\frac{E_a + PV_a}{RT} \right), \quad (8)$$

where R is the gas constant and n , A_D , E_a , and V_a are material-dependent viscous parameters (Table 1). Values for the material parameters for each rock type are constrained by experimental studies and can be found in Table 2.

2.3. Failure Criterion and Friction Formulation

Brittle behavior is characterized by Drucker-Prager plasticity (Drucker & Prager, 1952), which is commonly used in geodynamics (e.g., Buiter et al., 2016; Kaus, 2010). In this yield criterion, the second invariant of the deviatoric stress tensor $\sigma_{II}' = \sqrt{\sigma_{xx}'^2 + \sigma_{xz}'^2}$ at a point in the rock is compared to the yield stress (or strength) σ_{yield}^{sc} of the rock. Plastic failure in the form of spontaneous brittle instabilities occurs when the stress reaches the rock's yield stress. The yield stress of a rock depends on its cohesion C , its friction coefficient μ^{sc} , and the effective pressure P_{eff} , according to

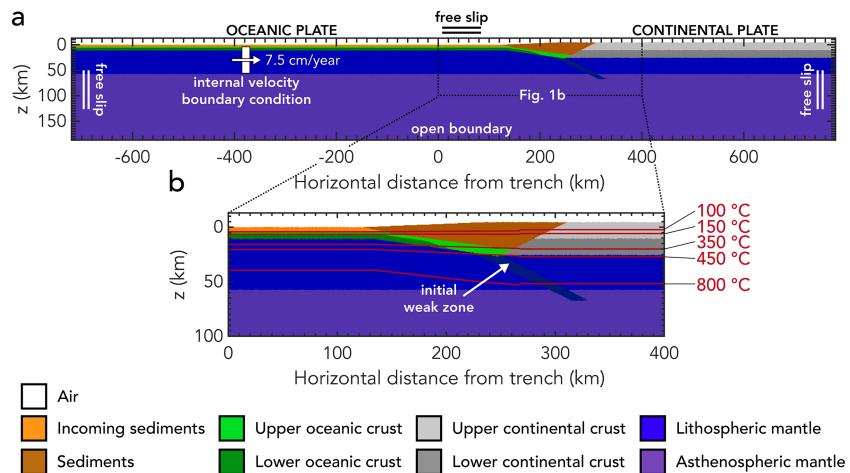


Figure 1. Complete (a) and zoomed (b) model setup of the geodynamic seismic cycle model with lithology (in color, see key), isotherms (red), and boundary conditions (white). Note that the future trench is located at (0,0) instead of (720,13) for easy comparison with the other figures in this work.

$$\sigma_{\text{yield}}^{\text{sc}} = C + \mu^{\text{sc}} P_{\text{eff}}, \quad (9)$$

with P_{eff} defined as

$$P_{\text{eff}} = P - P_f = (1 - \lambda)P, \quad (10)$$

where P_f is the pore fluid pressure, such that λ is the pore fluid pressure ratio P_f/P . The solid rock pressure P is defined as the negative mean stress $-\frac{\sigma_{xx} + \sigma_{zz}}{2}$. We solve a simplified formulation of fluid flow processes including metamorphic (de)hydration reactions and compaction (e.g., Gerya & Meilick, 2011). These processes are driven by pressure, depth, and temperature.

We use a strongly slip rate-dependent friction formulation (van Dinther, Gerya, Dalguer, Corbi, et al., 2013) in which the friction coefficient μ^{sc} drops nonlinearly from the static friction coefficient μ_s^{sc} to the dynamic friction coefficient μ_d^{sc} with increasing slip rate V , according to

$$\mu^{\text{sc}} = \frac{V_c \mu_s^{\text{sc}} + V \mu_d^{\text{sc}}}{V_c + V}, \quad (11)$$

where V_c is the characteristic velocity at which half of the friction drop occurs. The visco-plastic slip rate V is derived from the visco-plastic strain rate according to

$$V = 2\dot{\varepsilon}_{\text{vp,II}}' \Delta x, \quad (12)$$

where Δx is the minimum grid size.

2.4. Geodynamic Seismic Cycle Model Setup

We use a two-dimensional setup of a trench-normal section of the Southern Chilean subduction zone where the oceanic Nazca plate subducts beneath the continental South American plate. This setup is based on van Dinther, Gerya, Dalguer, Mai, et al. (2013) who validated this setup against GPS data before and during the 2010 M_w8.8 Maule earthquake. We consider a 1,500×200 km² box (Figure 1) with a minimum grid size of 500 m in a high-resolution area around the megathrust interface. The high-resolution area extends from 0–100 km in the vertical direction and from 650–1,225 km in the horizontal direction. In a 50 km region around the high-resolution area, we gradually increase the grid size to 2,000 m, which is the maximum grid size employed in the rest of the model. This results in a grid of 1,654×270 nodes. A total of ~54.3 million markers with 20 initial, randomly distributed markers per cell is used to advect the different materials and their physical properties.

The top of the Nazca plate includes a 4-km-thick incoming sediment layer to create a large accretionary prism in which splay geometries develop. In addition to the sediment layer, the oceanic Nazca plate consists of a 2-km-thick basaltic upper oceanic crust and a 5-km-thick gabbroic lower oceanic crust. The initial accretionary wedge consists of sediments, and the continental South American plate consists of a 15-km-thick sandstone upper continental crust and a 15-km-thick sandstone lower continental crust. We use a wet quartzite flow law (Ranalli, 1995) for the continental crust, the sediments, and the upper oceanic crust; and we use a plagioclase flow law (Ranalli, 1995) for the lower oceanic crust. The two plates overlie an anhydrous, peridotitic mantle that is approximated with a dry olivine flow law. We use laboratory-derived material parameters for the different lithologies as described in van Dinther, Gerya, Dalguer, Mai, et al. (2013) but update cohesion values constrained by, for example, Ranalli (1995) and Schultz (1995) and shear modulus values following Bormann et al. (2012; Table 2). While these experimental studies typically report a range of plausible values, here we choose either a listed reference value or the value typically used in previous geodynamic modeling studies.

We consider long-term fluid flow with a constant pore fluid pressure ratio. At the start of the model, the ocean floor sediments and oceanic crust contain water. Regions within 2 km of fluids have an increased pore fluid pressure ratio $\lambda=0.95$, whereas for dry rocks, the pore fluid pressure ratio $\lambda=0$. This value of the increased pore fluid pressure ratio is based on observations for Southern Chile (Seno, 2009). The highly overpressurized pore fluids are primarily required to sustain subduction along a shallow megathrust and obtain reasonable seismic cycle characteristics (van Dinther, Gerya, Dalguer, Mai, et al., 2013). The increased pore fluid pressure ratio results in decreased rock yield stress (equation (9)). The model does not account for plate (de)hydration reactions for mantle rocks, erosion processes, and serpentinization.

The seismogenic zone in the SC model develops with the temperature profile of the slab. We impose a velocity-weakening regime when the temperature is higher than 150 °C (see Table 2 for lithology-dependent velocity-weakening friction parameters; Blanpied et al., 1995; van Dinther, Gerya, Dalguer, Mai, et al., 2013). Between 100 and 150 °C, there is a transition from velocity-strengthening to velocity-weakening behavior. The exact switch from velocity-weakening to velocity-strengthening behavior occurs between the 104 and 134 °C isotherm, depending on rock type and slip rate. We impose a velocity-strengthening regime in the shallow part of the domain when the temperature of the slab is lower than 100 °C with the same friction parameters for all rock types with a static friction coefficient $\mu_s^{sc} = 0.35$ based on sedimentary rocks, a maximum dynamic friction coefficient $\mu_d^{sc} = 0.875$, and a characteristic slip velocity $V_c = 2 \cdot 10^{-9}$ m/s (see van Dinther, Gerya, Dalguer, Mai, et al., 2013, and references therein for a full derivation of the friction parameters). The downdip limit of the seismogenic zone forms self-consistently due to a brittle-ductile transition that is governed by a decrease in viscosity caused by an increase in temperature.

During the first stage of the model, the time step is 1,000 years and a suitable subduction geometry is obtained. After 3.6 million years, the time step is gradually reduced to 5 years, which results in the start of the seismic cycle phase of the model after 4.0 million years. We run the seismic cycle phase of the model for ~30 thousand years, during which the stresses are initially adapted to seismic cycles. Then, our long run time ensures that we have a long enough observation time to produce robust seismic cycle statistics (van Dinther, Gerya, Dalguer, Mai, et al., 2013).

We use a sticky air approach to approximate a free surface (Crameri et al., 2012). Free-slip boundary conditions are used at the top and sides of the model, and we have an open boundary condition at the bottom. An internal velocity boundary condition applied to the subducting slab ensures that subduction is initiated and sustained. The initial and boundary conditions we use are the same as in van Dinther, Gerya, Dalguer, Mai, et al. (2013) and are explained in detail in Appendices 1 and 2 and Figure 1.

3. Dynamic Rupture Model

We use the two-dimensional version of the software package SeisSol (<http://www.seissol.org>) to solve for earthquake source dynamics coupled to seismic wave propagation (de la Puente et al., 2009; Dumbser & Käser, 2006; Pelties et al., 2014). SeisSol is specifically suited for handling complex geometries due to the use of unstructured triangular computational meshes.

In the following, we shortly summarize the governing equations and frictional failure criterion. The reader is referred to Dumbser and Käser (2006) for a full description of the numerical method and to de la Puente et al. (2009) for details on the implementation of rupture dynamics as an internal boundary condition in two-dimensional models.

3.1. Governing Equations

SeisSol solves the elastic wave equation in a two-dimensional Cartesian coordinate system without external body forces in an isotropic, compressible medium:

$$\rho \frac{\partial \mathbf{v}}{\partial t} = \nabla \cdot \boldsymbol{\sigma}, \quad (13)$$

$$\dot{\boldsymbol{\epsilon}}_e = \frac{1}{2G} \frac{\partial \boldsymbol{\sigma}}{\partial t} - \frac{\lambda_1}{2G} \nabla \cdot \mathbf{v}. \quad (14)$$

Equation (13) is the equation of motion. The main difference in the conservation of momentum between the SC and DR models (equations (2) and (13)) is that the DR model neglects gravity. While gravity is negligible on the short time scales of elastodynamics, gravity may play a role in the SC model by potentially favoring continued slab subduction. Equation (14) is the constitutive relation derived from Hooke's law that relates the strain rate to stresses for an elastic, isotropic material (cf. equation (14) to equation (6); look at equation (1)). Since we only consider an elastic medium in the DR model, the elastic strain rate $\dot{\boldsymbol{\epsilon}}_e$ equals the total strain rate $\dot{\boldsymbol{\epsilon}} = \frac{1}{2} (\nabla \mathbf{v} + \nabla \mathbf{v}^T)$ (cf. to equation (4)). λ_1 and G are the Lamé constants, which determine the Poisson's ratio of the model (sections 4.2 and 6.1.1).

To discretize this set of equations in space, SeisSol uses a Discontinuous Galerkin (DG) method with a Godunov upwind flux, which represents the solution as an exact Riemann problem at the discontinuity between element interfaces (Dumbser & Käser, 2006; de la Puente et al., 2009). Due to the use of triangular mesh elements, this approach is particularly suited for the discretization of complex geometries like shallow-dipping subduction zones, topography, or bathymetry. For the discretization in time, SeisSol uses an Arbitrary high-order DERivative (ADER) method (Dumbser & Käser, 2006).

Due to the dissipative behavior of the numerical upwind flux used in SeisSol, spurious high-frequency oscillations are subdued in the vicinity of the fault (de la Puente et al., 2009; Pelties et al., 2014; Wollherr et al., 2018). SeisSol is verified with a wide range of two-dimensional and three-dimensional community benchmarks, including strike-slip, dipping, and branching fault geometries and laboratory-derived friction laws, as well as heterogeneous on-fault initial stresses and material properties (de la Puente et al., 2009; Pelties et al., 2012, 2014; Wollherr et al., 2018) in line with the Southern California Earthquake Center/U.S. Geological Survey Dynamic Rupture Code Verification exercises (Harris et al., 2011, 2018).

3.2. Failure Criterion and Friction Formulation

We incorporate frictional failure as an internal boundary condition of the element edges associated with the fault, which is meshed explicitly. This on-fault frictional failure criterion refers to failure along a pre-existing fault typically constrained by laboratory experiments. Fault slip in the DR model is therefore restricted to this fault line in contrast to the SC model where the entire domain is theoretically allowed to slip.

To check the failure criterion, the stress tensor, which consists of the initial stress and any subsequent stress change, is rotated into the fault coordinate system defined by the normal and tangential vectors of each fault point. The DR model compares the absolute shear stress $|\tau|$ on the fault to the fault yield stress σ_{yield}^{dr} :

$$\sigma_{yield}^{dr} = c + \mu_s^{dr} \sigma_n. \quad (15)$$

It consists of the fault cohesion c , the static friction coefficient μ_s^{dr} , and the normal stress σ_n (cf. to equation (9)). If the shear stress overcomes the fault's yield stress, the fault fails, and its strength becomes $\sigma_{sliding}^{dr}$:

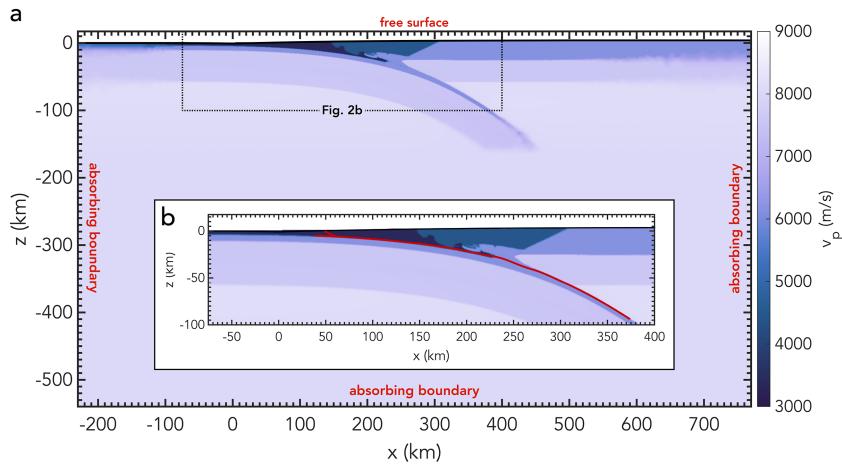


Figure 2. Complete (a) and zoomed (b) model setup of the dynamic rupture model with P wave velocity v_p (in color; Table 3), boundary conditions (red), and megathrust and splay fault geometry (red lines). The splay fault is always explicitly meshed in the dynamic rupture model, but the frictional boundary condition on the splay fault is only activated for the model in section 5.6.

$$\sigma_{\text{sliding}}^{\text{dr}} = \mu^{\text{dr}} \sigma_n. \quad (16)$$

During sliding, the friction coefficient μ^{dr} is governed by a linear slip-weakening friction law (Ida, 1973). For this constitutive law, μ^{dr} decreases linearly from its static value μ_s^{dr} to its dynamic value μ_d^{dr} with slip distance Δd over a specified critical slip distance D_c , that is,

$$\mu^{\text{dr}} = \begin{cases} \mu_s^{\text{dr}} - \frac{\mu_s^{\text{dr}} - \mu_d^{\text{dr}}}{D_c} \Delta d & \text{if } \Delta d < D_c \\ \mu_d^{\text{dr}} & \text{if } \Delta d \geq D_c. \end{cases} \quad (17)$$

Slip produces seismic waves. When failure occurs on the fault, the rupture front and the emitted seismic waves can influence the tractions on the fault. These can bring the fault closer to failure when the normal traction decreases and/or the shear traction increases. It can move the fault further away from failure if the normal traction increases and/or the shear traction decreases.

3.3. Dynamic Rupture Model Setup

The DR modeling domain is a 575-km-wide and 169-km-deep subsection of the SC domain (Figure 2). We copy the SC material properties at the boundaries of this domain to extend the DR simulation domain to 1,000 km width and 544 km depth to avoid artificial wave reflections from the boundaries. Copying the values is necessary, because of the limited depth of the SC model and the interference of boundary conditions with the material parameters and physical variables close to the domain edges. The fault geometry is extracted from the SC model according to the region of highest visco-plastic strain rate during the SC coupling event (see section 4.4).

For the DR simulations, we use a sixth-order accurate spatial and temporal discretization. We use the open source software Gmsh (Geuzaine & Remacle, 2009) to generate the mesh. The nodal grid size at the fault is 200 m and is gradually coarsened to 2.5 km at the edges of a high-resolution domain with the same dimensions as the SC subsection domain. Outside this area, we apply rapid coarsening to 50 km at the edges of the larger domain to disseminate the nonperfect absorbing boundary conditions. Note that the fault is additionally subsampled by six Gaussian integration points, which increases the resolution on the fault to 33.3 m. The corresponding mesh consists of 543,048 elements.

To ensure stability of the numerical scheme, the time step is calculated in dependence of the Courant-Friedrichs-Lowy criterion using $C_{\text{CFL}}=0.5$ (de la Puente et al., 2009), the minimum insphere over all mesh elements, and the fastest wave speed v_p . This leads to a time step of $7.5 \cdot 10^{-5}$ s.

Table 3
Seismic Velocities Dynamic Rupture Model

| Material | v_p (m/s) | v_s (m/s) |
|-------------------------|-------------|-------------|
| Incoming sediments | 3,350 | 1,934 |
| Sediments | 4,429 | 2,557 |
| Upper oceanic crust | 6,164 | 3,559 |
| Lower oceanic crust | 6,164 | 3,559 |
| Upper continental crust | 6,146 | 3,549 |
| Lower continental crust | 6,146 | 3,549 |
| Lithospheric mantle | 7,568 | 4,369 |
| Asthenospheric mantle | 8,090 | 4,671 |

Element-wise values for friction parameters, initial stress and yield stress, and rock properties with seismic velocities listed in Table 3 are obtained from the SC model as described in section 4.

We approximate the maximum resolved frequency in our model f_{\max} according to de la Puente et al. (2009):

$$f_{\max} = \frac{v_{\min}}{1.45\Delta x} \quad (18)$$

which is valid for a fourth-order discretization scheme. Here v_{\min} is the minimum velocity in the model (i.e., the shear velocity of the incoming sediments), and Δx is the grid size. Based on this approximation, the maximum resolved frequency varies from 6.67 Hz on

the fault to 0.53 Hz at the edges of the high-resolution domain. As we use a sixth-order discretization, we are able to resolve even higher frequencies. These frequencies are well within the range of typical dynamic rupture models (e.g., Wollherr, Gabriel, & Mai, 2019), so our analysis is well resolved.

We use a free-surface boundary condition, which sets shear and normal stresses to zero in the absence of external forces. Additionally, the model uses absorbing boundary conditions that reduce the reflections of outgoing waves at the domain boundaries (Dumbser & Käser, 2006).

4. Coupling Method

In this section, we discuss the resulting long-term seismicity characteristics of the SC model and how we choose an event from the SC model to couple to the DR model. We then show how we couple the material properties of the domain, the stresses, the fault geometry, and yield criteria in the two modeling approaches. The full SC results used for coupling to the dynamic rupture model are included as supplementary material and can be used as input for other models.

4.1. Long-Term Seismic Cycle Characteristics and Selection of Coupling Time Step

In the seismic cycle phase, we observe 70 spontaneous quasiperiodic megathrust events (Figure 3). To quantify their characteristics, we apply a minimum slip rate threshold of $2.5 \cdot 10^{-9}$ m/s and a minimum stress drop threshold of 0.4 MPa on all markers (Dal Zilio et al., 2018). Most events rupture almost the entire megathrust apart from the shallow, velocity-strengthening part. The exact rupture path is different for each event, because of the different stress and strain distributions for each event in the broad subduction channel and

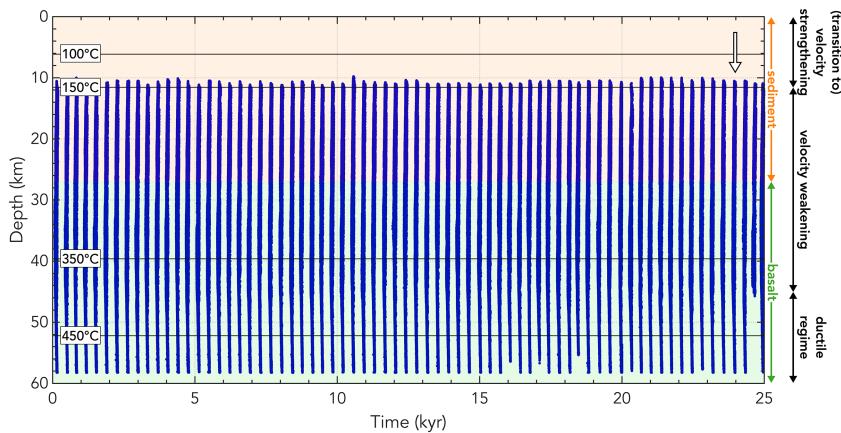


Figure 3. Space-time evolution of the geodynamic seismic cycle (SC) model of subduction zone seismicity. Each dot (closely clustered together to form lines) represents a marker that satisfies our Rupture Detector Algorithm thresholds (see text; Dal Zilio et al., 2018). The event that we use as the SC coupling event for our SC to dynamic rupture coupling is indicated by the arrow. Frictional regimes dependent on temperature are indicated with corresponding isotherms (solid black lines). Background colors represent the rock type through which the fault is going.

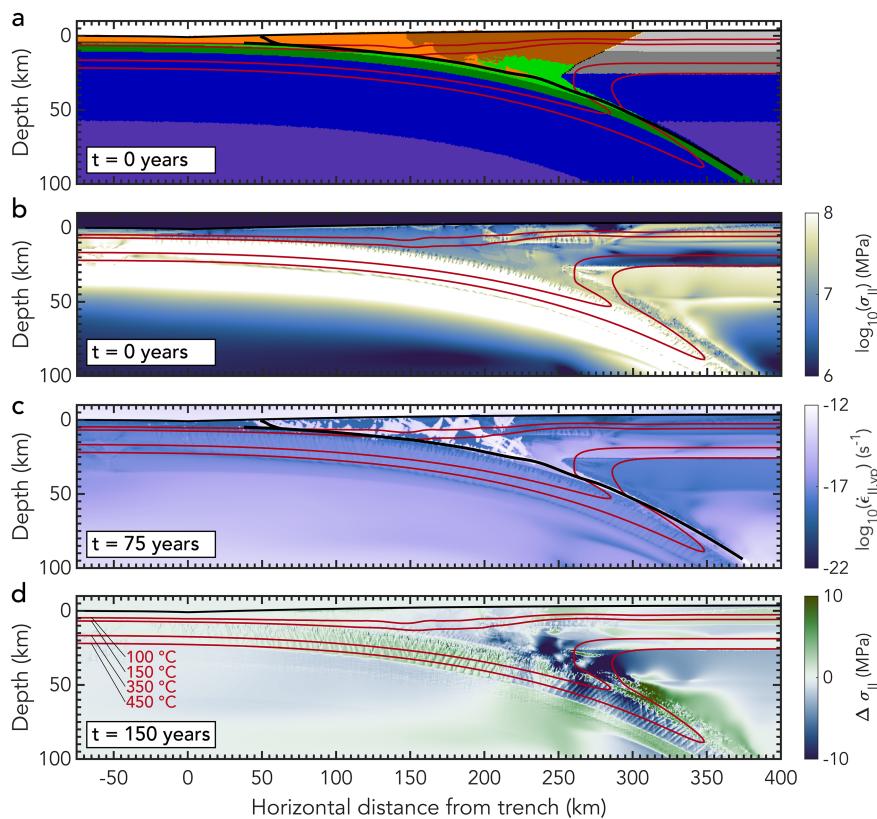


Figure 4. Representative coupling event of the geodynamic seismic cycle model. (a) Lithological structure after 4 Myr (cf. to Figure 1) at the start of the event ($t=0$ years) with the fault indicated in black. (b) Initial stress used as input for the dynamic rupture model. (c) Strain rate during the event at 75 years from the start of the event with the fault indicated in black. (d) Stress change with respect to the initial stress in (b) toward the end of the event 150 years from the start. Isotherms that define the frictional regimes and hence seismogenic zone are indicated in red. The boundary between rocks and sticky air is highlighted with a thick solid black line.

accretionary wedge. This is particularly true in the downdip region of the seismogenic zone where the rupture paths sometimes deviate from the rock interfaces. In the shallow part of the subduction zone, the sediments are favored over the basalt for rupture propagation, due to their lower yield stress (Table 2). The average recurrence interval of the megathrust events is approximately 270 years, which is in line with estimates of the recurrence interval in Southern Chile (e.g., Cisternas et al., 2005).

We choose the rupture indicated by the arrow in Figure 3 as the SC coupling event that we import to the dynamic rupture model. The chosen event is representative for other events in terms of its duration and stress drop, and it has a smooth rupture path. The geometry resulting from ~ 4 Myr subduction consists of a large accretionary wedge created by the incoming sediments and a slab with an average dip of 14° (Figure 4a). At the initiation of the rupture, stress has built up during the interseismic stage in the lower part of the seismogenic zone (Figure 4b). Like all other events in the SC model, this event also results in a lot of yielding in the shallow part of the accretionary wedge as shown by the strain rate localization in Figure 4c. This large yielding region represents the large-scale failure of the unconsolidated accretionary wedge, which contains multiple possible splay fault geometries. Although the localization of strain on the splay faults and the megathrust is simultaneous, the splay faults are not detected as part of an event, because their lower slip velocity is below the threshold and on the order of $0.1 \cdot 10^{-9}$ to $1 \cdot 10^{-9}$ m/s. The resulting stress change of the SC event in Figure 4d shows a stress drop in the subduction channel, particularly near the downdip limit of the seismogenic zone.

We need to choose the coupling time step of the SC coupling event for which we import the conditions from the SC model to the DR model as initial conditions. For this coupling time step, we export the rock properties, friction coefficient, and stresses to the DR model, as discussed in the following sections. We also use this

time step as the start of the SC event so that we can use it and the subsequent time steps that comprise the entire SC event to determine the fault geometry and dynamic friction coefficient.

We select the first time step of the coupling event in the SC model for which nucleation and subsequent propagation of the rupture occur spontaneously in the DR model in order to stay as close to the SC model as possible. This time step corresponds to the time step at which failure occurs in the SC model on two adjacent fault points.

4.2. Lithological Structure

Density, shear modulus, and cohesion are directly transported into the DR model. The sticky air material, which is used for the free-surface approximation in the SC model, does not enter the DR model, which has a true free-surface boundary condition. To provide the DR model with a smooth surface and purely rock-related properties (i.e., no sticky air), we first approximate the air-rock boundary of the SC model with a third-order polynomial that is used as the free-surface geometry of the DR model. All parameters, including material properties, stresses, and friction values associated with small sticky air patches residual from the free-surface interpolation, are then replaced by the corresponding parameters of the underlying rock to prevent any of the sticky air properties to enter the DR model.

The SC model assumes incompressible materials, that is, Poisson's ratio $\nu=0.5$. In the DR model, the material is compressible, so $\nu\neq0.5$. We choose $\nu=0.25$ to calculate the first Lamé parameter λ_1 from the shear modulus G in the SC model. This value of Poisson's ratio is based on the simplifying assumption that rocks can be treated as Poisson solids with $\lambda_1=G$ (Stein & Wysession, 2009). We discuss possible variations of Poisson's ratio and its influence on the rupture dynamics in section 6.1.

4.3. Stress State

As the stress in the SC model consists of elastic, viscous, and plastic components, it is important to establish the main deformation mechanism at the coupling time step before transporting the stresses to the fully elastic DR model. We analyze the visco-elasticity factor F at the coupling time step to determine the dominant deformation mechanism (Appendix C). We find that the deformation mechanism in the seismogenic zone (i.e., between temperatures of 150 and 350 °C) of the SC model is elastic behavior, which results in stresses with an almost purely elastic component (i.e., $F<0.05$; Appendix C and Figure C1). At temperatures higher than 350 °C, the deformation mechanism in the subduction channel slowly starts to include a viscous component as a result of dislocation creep. This change in deformation mechanism effectively defines the down-dip limit of the seismogenic zone.

Hence, we mainly transport elastic stresses from the visco-elasto-plastic SC model to the elastic DR model in the seismogenic zone. Exporting the stresses from the SC model to the DR model ensures that the stress history from the SC model is preserved in the DR model on the fault. The stresses then continue to evolve during the dynamic rupture in the DR model.

The SC model uses deviatoric stresses σ' , like many other geodynamic models, whereas the DR model uses nondeviatoric stresses. The two models also use different sign and coordinate conventions (more details in Supporting Information S1), so the stresses from the SC model need to be converted to the conventions of the DR model.

First, the deviatoric stresses σ'^{sc} of the SC model are converted to nondeviatoric stresses σ^{sc} according to

$$\sigma^{sc} = \begin{pmatrix} \sigma_{xx}^{sc} & \sigma_{xz}^{sc} \\ \sigma_{xz}^{sc} & \sigma_{zz}^{sc} \end{pmatrix} = \begin{pmatrix} \sigma'^{sc}_{xx} - P & \sigma_{xz}^{sc} \\ \sigma_{xz}^{sc} & -\sigma'^{sc}_{xx} - P \end{pmatrix}, \quad (19)$$

where P is the solid rock pressure.

Besides that, we need to take into account the different coordinate systems with the z axis pointing downward for the SC model and upward for the DR model. The two models also have opposite stress conventions for both the diagonal and shear components of the stress tensor (see Supporting Information S1 for details). To account for this, we use the following stress tensor as input for the DR model:

$$\sigma^{\text{dr}} = \begin{pmatrix} -\sigma_{xx}^{\text{sc}} & \sigma_{xz}^{\text{sc}} \\ \sigma_{xz}^{\text{sc}} & -\sigma_{zz}^{\text{sc}} \end{pmatrix}. \quad (20)$$

We use bilinear interpolation to map the SC stress field from the regular SC grid onto the subelemental Gaussian integration points along the edges of all triangular elements edges holding a dynamic rupture boundary condition. Based on the fault orientation, the shear and normal tractions on the fault are then determined to evaluate the yield criterion in the DR model (equation (15)).

4.4. Fault Geometry

In the SC model, we use Drucker-Prager plasticity to approximate the brittle failure in a continuous medium (equation (9)). Plastic yielding of the SC model manifests itself in the localization of strain rate in shear bands, which we interpret as faults. Therefore, the SC model has no predefined, discontinuous fault surfaces to which fault slip is explicitly restricted. Instead, fault orientations are determined by the local stress field (Preuss et al., 2019), and fault slip rate and slip are calculated from local, visco-plastic strain rates assuming one grid cell wide faults (e.g., van Dinther, Gerya, Dalguer, Mai, et al., 2013). In contrast, the DR model uses the elastic Coulomb criterion (equation (14)) to describe failure on preexisting, infinitely thin, discontinuous fault interfaces.

As the fault geometry in the DR model needs to be predefined, we have to define a localized, infinitely thin fault line from the SC model. Therefore, we look at the coupling time step of section 4.1 and the 43 subsequent time steps that make up the SC event. We pick the z coordinate with the highest visco-plastic strain rate during the entire SC slip event for each nodal x coordinate (Figure 4c). We smooth the fault with a moving average low-pass filter scheme with a span of 25 points to avoid staircasing effects due to the rectangular discretization and low resolution of the SC model. This ensures that the nucleation region is correctly represented in the fault geometry.

The SC fault geometry reveals that a shallow splay fault is preferred over the megathrust in the velocity-strengthening region (Figures 2 and 4). For simplicity, our models initially only contain the megathrust, which is manually extended by adding ~ 25 km updip of the fault with the constant dip from the shallowest part of the megathrust. The total length of the megathrust is then 351.3 km with an average dip of 14.3° and a minimum and maximum dip of 2.3° and 34.4° , respectively. The splay fault is connected to the megathrust at $x=24.5$ km along the megathrust. It has a length of 14.6 km with an average dip of 21.1° and a minimum and maximum dip of 8.1° and 36.8° , respectively. This splay fault is included in the mesh for all DR models to ensure that the results of adding a splay fault in section 5.6 are not influenced by any changes in the mesh. In section 5.6, the frictional boundary condition on the splay fault is activated so that slip on the splay fault is theoretically possible. In all other models, the frictional boundary condition on the splay fault is turned off.

4.5. Yield Criteria

Yielding and slip in the SC and DR models are governed by different physical mechanisms. The static friction in the SC model is an internal friction coefficient that is a material property inherent to the host rock, whereas the static friction coefficient in the DR model is a frictional property assigned only to the fault. However, internal and on-fault friction coefficients have the same range of possible values (e.g., Tables 9.5 and 9.7 in Pollard & Fletcher, 2005) and may be assumed to be equal (e.g., Gabriel et al., 2013). We also assume that the bulk cohesion C in the SC model is equal to the on-fault cohesion c in the DR model.

We translate the SC yield criterion to the DR model by equating equations (9) and (15). We observe an average difference of 7 MPa between SC pressure and DR normal tractions, which is negligible compared to their absolute magnitudes in the range of gigapascals. Assuming that the magnitude of the pressure or mean stress P , is equal to the magnitude of the effective normal traction σ_n , leads to the following relationship between the friction coefficients

$$\mu^{\text{dr}} = \mu_{\text{eff}}^{\text{sc}} = (1-\lambda)\mu^{\text{sc}}. \quad (21)$$

Hence, the presence of pore fluids, with a pore fluid pressure ratio $\lambda=0.95$, reduces the effective friction coefficient in the SC model (section 2.4). An advantage of this coupling is that the on-fault friction coefficients vary in dependence of rock type throughout the DR model. The effective friction coefficients range from

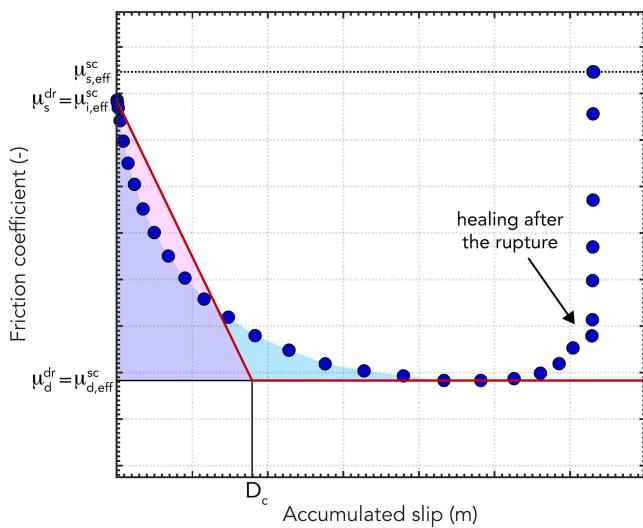


Figure 5. Illustration of the linear slip-weakening approximation of rate-dependent friction for one fault point. Each blue dot represents the effective friction coefficient and corresponding accumulated slip for one time step of the geodynamic seismic cycle (SC) model during the entire rupture. The final picked μ_s^{dr} , μ_d^{dr} , and D_c are indicated by solid black lines. The final linear slip-weakening approximation is indicated in red. D_c is calculated by ensuring that the friction drop during slip of the linear slip-weakening law (pink area underneath red line) equals the friction drop during slip of the rate-dependent friction law (blue area underneath blue dots). The area is purple where these two areas overlap. Note that the static friction coefficient of the dynamic rupture model is not necessarily equal to that of the SC model but instead equals the SC friction coefficient at the start of the event $\mu_{i,eff}^{sc}$.

5. Results and Analysis

In this section, we first describe the on-fault stress state that results from the SC model in section 5.1. We then describe the results from the SC event (section 5.2) and the corresponding DR rupture (section 5.3) in detail and compare them (section 5.4). In section 5.5, we study the effect of complex lithological structures on the resulting rupture through a series of increasingly complex models. Lastly, we analyze how a splay fault affects the dynamic rupture in section 5.6.

5.1. Long-Term Constrained Stress State of the Megathrust

Figure 6 shows the variability of the on-fault stress σ'_II , which is used in the SC failure criterion (equations (7) and (9)) for the 14 events during the last 5,000 years of simulation time of the SC model. It is calculated by obtaining the minimum and maximum stress for each fault point from 10 time steps around the nucleation time. For simplicity, we use the fault geometry of the coupled SC event (section 4.4), although the actual fault geometries of other events might deviate from that of the coupled event (van Dinther, Gerya, Dalguer, Mai, et al., 2013). We visualize variables of the SC model on the discrete DR fault (section 4.4) by using the values of the neighboring grid cell with the highest strain rate for each fault point, which approximates the fault of the SC event optimally. As the rupture path changes for each event, this leads to slight deviations in individual stress profiles, but it does not change the overall stress variability, that is, the minimum and maximum possible initial stress at a fault point.

The stress profiles in Figure 6 all show a similar trend in terms of stress distribution along the fault with depth and the amount of stress heterogeneity. There is no stress variability in the upper part of the sediments where the velocity-strengthening regime dominates. This is due to the fact that the events do not propagate on this part of the fault but instead choose a splay fault over the megathrust in the velocity-strengthening region (section 4.4). There is little variation in the velocity-weakening regime of the sediments. There is no sharp transition between sediments and basalt, but instead the two materials are intermixed. This results in a high stress variability in the shallow part of the basaltic region indicated in Figure 6. The stress

0.028 to 0.005 and are in line with theoretical estimates (e.g., Wang & Hu, 2006) and experiments (e.g., Kopf & Brown, 2003; Ujiie et al., 2013).

We import the current friction coefficient μ_i^{sc} of our coupling time step as the initial, static friction coefficient for the DR model. We use the minimum friction coefficient μ_d^{sc} that is reached during the event in the SC model as the DR dynamic friction coefficient. The corresponding characteristic slip distance D_c is then calculated such that the area of the strength drop during slip of the linear slip-weakening law equals the area of the strength drop during slip of the rate-dependent friction law:

$$D_c = \frac{2}{\mu_s^{dr} - \mu_d^{dr}} \sum_{t=1}^{t_{max}} (d_t - d_{t-1}) \cdot \left(\mu_{eff,t}^{sc} + \frac{1}{2} \mu_{eff,t-1}^{sc} - \mu_d^{dr} \right). \quad (22)$$

Here $t=0$ is the coupling time step (section 4.1), t_{max} is the time step in the SC model at which the lowest friction coefficient is obtained, d is the accumulated slip for a given point in time, and the SC friction coefficients are the effective friction coefficients. Also note that $\mu_d^{dr} = \mu_{d,eff}^{sc}$. Figure 5 illustrates this friction law approximation for one fault point, with the data from the SC model plotted as blue dots and the corresponding linear slip-weakening approximation for the DR model in red.

Using this approach, we get a self-consistent approximation in the DR model of the velocity-strengthening behavior in the shallow part of the SC model by having $\mu_d^{dr} > \mu_s^{dr}$.

We use the same bilinear interpolation scheme used for the SC stress field to map the friction coefficients and the cohesion onto the DR fault.

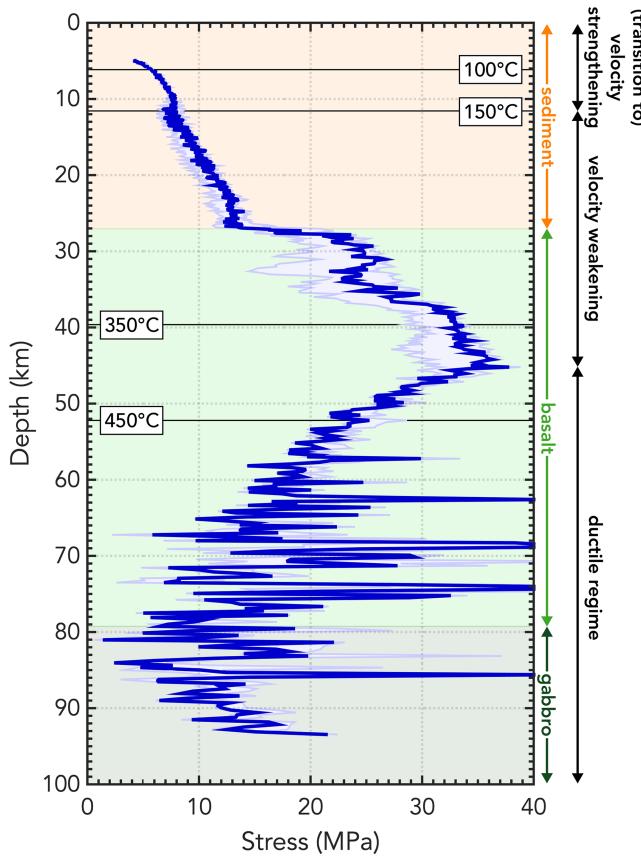


Figure 6. Variability of the stress σ'_{II} at the time of nucleation indicated by the light blue shaded area with the initial stress of the reference model indicated by the blue line. Frictional regimes dependent on temperature are indicated with corresponding isotherms (solid black lines). Background colors represent the rock type through which the fault is going.

variability becomes larger in the basalt with the maximum difference in nucleation stress at a given fault point being 11.5 MPa. There is a peak in the stresses at the downdip end of the seismogenic zone below the 350 °C isotherm. This is the nucleation region of most of the SC events. Here the stress buildup is the largest, because the differential displacement between the locked seismogenic zone and the creeping viscous domain is the largest. In the ductile regime starting at 45 km depth, the stresses decrease by viscous relaxation related to the dislocation creep (Figure 6). The spontaneous brittle-ductile transition occurs, because the viscosity of the materials gradually decreases by several orders of magnitude due to an increase in temperature with depth (equation (8)). The exact location of the transition is governed by the laboratory-derived viscous parameters in the wet quartzite flow law (Table 2). In the ductile regime, the stress variability between events is small, but all stress fields show the same highly heterogeneous behavior. These stress heterogeneities are mainly caused by the close proximity and intermittent presence of mixed pockets of basalt, gabbro, and mantle. These lithologies have different viscous flow law parameters and thus have a different viscosity for the same temperature and pressure conditions. This leads to distinct differences in stress buildup and relaxation, which causes a highly heterogeneous stress state.

Figure 7 focuses on the stress and strength conditions for the coupled event to analyze where failure is occurring in each of the models. According to their failure criterion, the SC model compares the initial second invariant of the deviatoric stress tensor σ'_{II} with the yield stress σ_{yield}^{sc} of the rock, whereas the DR model compares the initial shear stress τ to the fault yield stress σ_{yield}^{dr} . In the following sections, the term “stress” is generally used to refer to both σ'_{II} and τ , and “yield stress” is used to refer to σ_{yield}^{sc} and σ_{yield}^{dr} .

The values for the second invariant of the deviatoric stress

$$\sigma'_{II} = \sqrt{\sigma'_{xx}^2 + \sigma'_{xz}^2}$$
 in the SC model range from 1.4 to 37.8 MPa. In the shallow part of the fault, where the fault

is embedded in the sediments of the accretionary wedge, the stress and yield stress are close, which reflects the constant closeness to failure of creeping patches during the interseismic period. The proximity of sediments and basalt in the subduction channel results in a material change on the fault with a corresponding stress and yield stress change, as these two materials have different elastic moduli, friction, and cohesion values (Figure 4 and Table 2). The stress and yield stress variability between 192 and 223 km along the fault is large, because there are isolated patches of subducted sediments in the basalt close to the fault that locally affect the stress and yield stress on the fault. The nucleation region is located in the basaltic region near the downdip limit of the seismogenic zone. For the chosen coupling time step from the SC model, stress reaches the yield stress of the basalt at the nucleation region ~225–245 km along the fault. The peak stress in the basalt reaches 37.8 MPa. The stresses drop when the viscous behavior becomes dominant at 248 km along the fault. The material change from basalt to gabbro is not accompanied by a distinct change in stress or yield stress. This is because the frictional properties no longer dictate the stress and yield stress of the rock in the ductile regime. The oscillations of the stress and yield stress in the ductile regime are caused by material heterogeneity. Smaller oscillations, as observed in the sediment and basalt, are due to mapping the SC properties on to the discrete DR fault with the nearest neighbor interpolation.

5.2. Geodynamic Seismic Cycle Slip Event

Figure 8 shows the on-fault evolution of slip rate during both the SC and DR events through space and time. Important features are indicated by numbers, which are discussed in this and the following section.

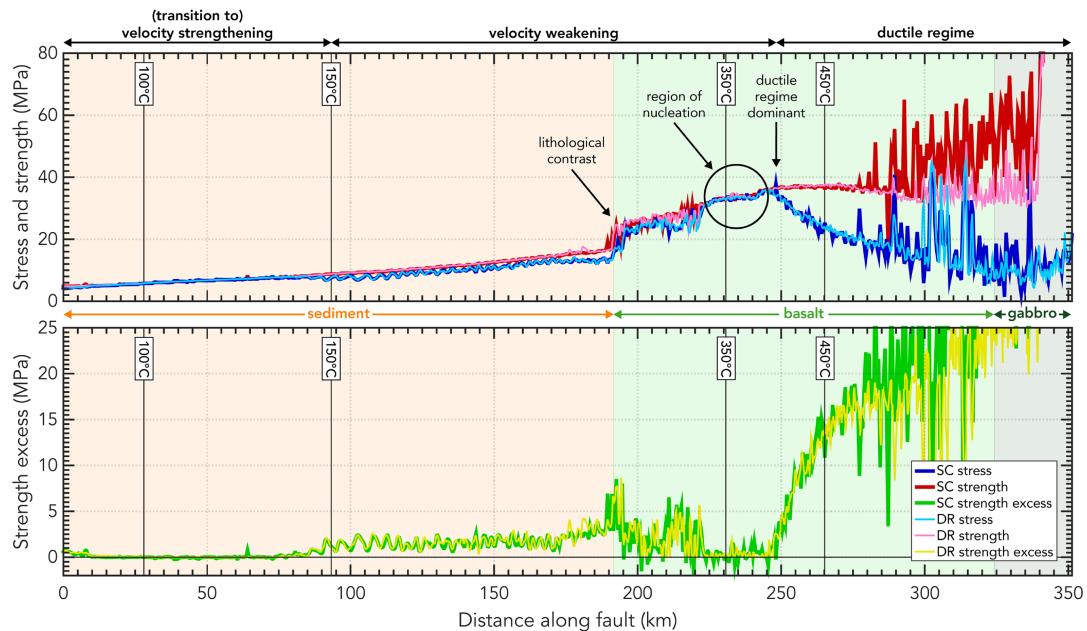


Figure 7. Failure analysis of the geodynamic seismic cycle (SC) model at the coupling time step and thus the initial conditions of the dynamic rupture (DR) model along the fault. Second invariant of the deviatoric stress tensor σ'_{II} , yield stress σ_{yield}^{sc} , and strength excess $\sigma_{yield}^{sc} - \sigma'_{II}$ for the SC model (bold lines) and initial shear stress τ , fault yield stress σ_{yield}^{dr} , and strength excess $\sigma_{yield}^{dr} - \tau$ for the DR model (thin lines) in the fault coordinate system. Frictional regimes dependent on temperature are indicated with corresponding isotherms (solid black lines). Background colors represent the material through which the fault is going.

The slip rate of the SC model in Figure 8a shows the initial nucleation phase indicated by (1) during which slip rates are still low $V < 1.0 \cdot 10^{-9}$ m/s. After ~ 50 years, the rupture starts propagating mainly up-dip until it is stalled when entering the velocity-strengthening region (2) and the ductile regime (3). The highest slip rates of $5.7 \cdot 10^{-9}$ m/s are reached in the sediments. There is continuous creep on the fault in the ductile regime with slip rates of $\sim 3 \cdot 10^{-10}$ m/s. The SC event lasts for 180 years due to the 5-year time step and the low characteristic velocity in the slip rate-dependent friction formulation. The low slip rate during the rupture on the order of 10^{-9} m/s is a direct result of this. Note that due to the evaluation of this event with the nearest neighbor interpolation at the fault geometry approximation adopted for the DR model, we see visual artifacts in the form of stripes (4) in Figures 8a and 8b. Similar artifacts are introduced in the DR coupling by the interpolation of the coarse SC model resolution variables onto the high-resolution DR fault.

The corresponding stress change along the fault with respect to the initial stress of the event over time always shows a stress increase (1) ahead of the rupture front due to the conservation of momentum (Figure 8b). We observe a maximum stress drop over time of 15 MPa in the nucleation region. The stress drop is material dependent, as the stress drop in the basalt is 9.4 MPa on average, whereas the average stress drop of the sediments is 2.8 MPa. We find an average stress drop of 5.6 MPa between the 150 and 450 °C isotherms. When the frictional regime transitions from velocity-weakening to velocity-strengthening at the up-dip limit of the seismogenic zone, the stress drop becomes very small.

The final slip distribution in Figure 8c shows high slip with a maximum of 8.3 m in the deeper part of the seismogenic zone, which decreases toward the trench and the ductile regime. Note that slip below the 450 °C isotherm is largely the result of continuous, ductile creep.

5.3. Coupled Dynamic Rupture Event

The initial conditions imported from the SC model result in the spontaneous nucleation of an earthquake within the DR model (Figure 8d, (1)) without using any artificial nucleation procedures. The nucleation phase before the spontaneous rupture propagation lasts for ~ 6.5 s and results in a large nucleation patch of ~ 27 km between $x = 222$ km and $x = 249$ km along the fault. In the DR model, failure also occurs

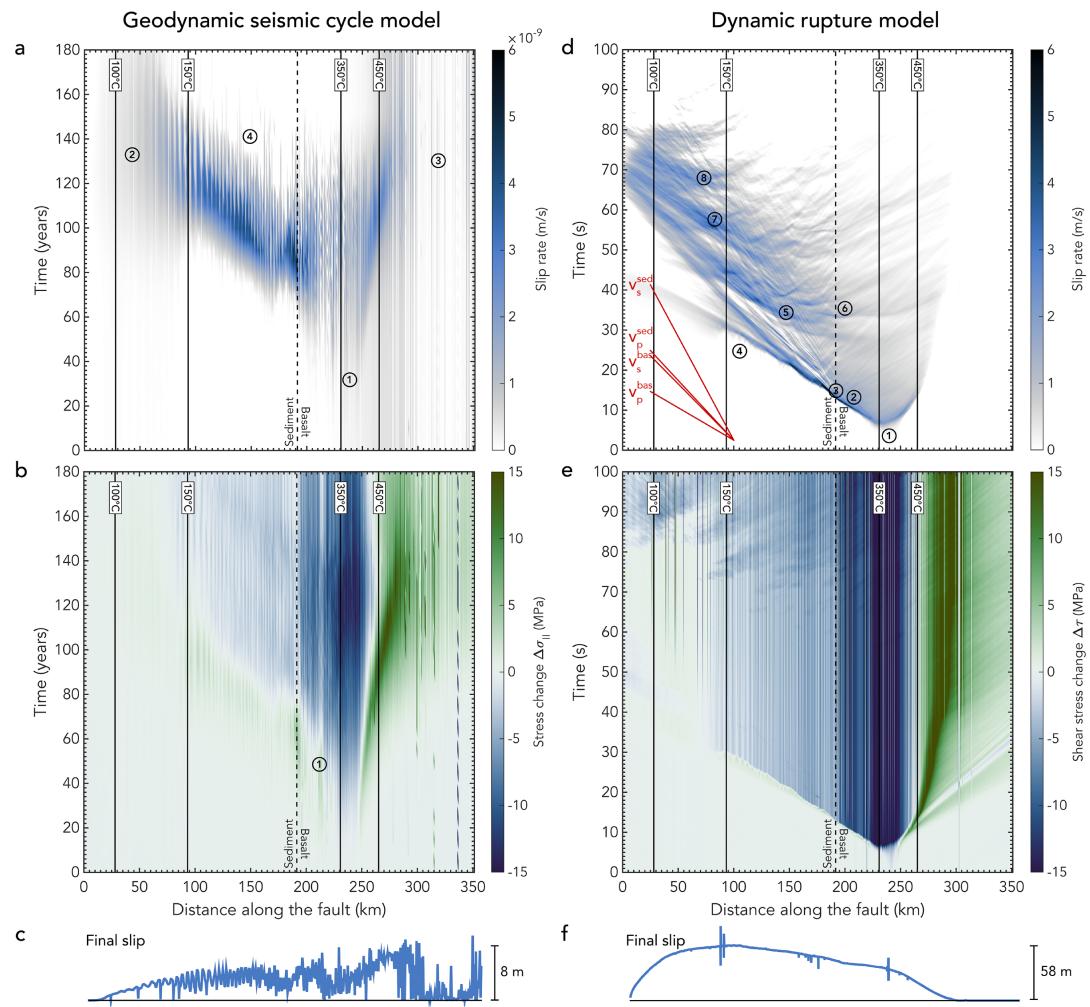


Figure 8. Slip rate evolution with time (a,d), temporal stress change evolution (b,e), and final accumulated slip (c,f) along the fault for the same rupture in the geodynamic seismic cycle (SC) model (left column) and the dynamic rupture model (right column). Solid lines indicate the isotherms that define the frictional regimes; dotted line indicates material change. The P and S wave velocities v_p and v_s for both the basalt (^{bas}) and sediment (^{sed}) are indicated in red. Numbers are discussed in the text. We take $t=0$ years in the SC model for the time step at which we transfer the stresses. The oscillating behavior visible in the SC final slip distribution stems from the visualization of the interpolation of the continuous SC model on the discrete dynamic rupture fault. Low slip rates and high stress drop near the nucleation region likely show the approximated fault does not capture the main slip patch there. Peak slip is indicated.

immediately between $x=10$ km and $x=75$ km, which are the regions where shallow interseismic creep is seen in the SC model (Figure 7). This instantaneous failure does not lead to the nucleation of a large earthquake but does emit seismic waves. The associated stress drops are on the order of ~ 0.1 MPa and thus low compared to the stress drop of the main rupture. The friction increases slightly in the velocity-strengthening sediments from its static value of 0.0176 to a dynamic value of 0.0177. Slip rates of 0.08 m/s are reached locally and accumulate 0.04 m of slip. We do not observe pronounced interaction of the instantaneously emitted waves with the downdip nucleating spontaneous rupture event. Importantly, the DR instantaneous failure of the SC creeping sections leaves behind a heterogeneous initial stress configuration close to, but not at, failure (S parameter ~ 0.01 after the initial stress drops, see Appendix D). These fault sections are readily reactivated by the main rupture later on. Another considerable instantaneous stress drop of ~ 4.0 MPa occurs between $x=219$ km and $x=222$ km along the fault. Although this stress drop is also low compared to the stress drop of the main rupture, the downward traveling emitted seismic waves do interact with the upward traveling main rupture front. However, the associated mean slip rate of 0.0022 m/s and slip of 0.05 m are low compared to the main rupture.

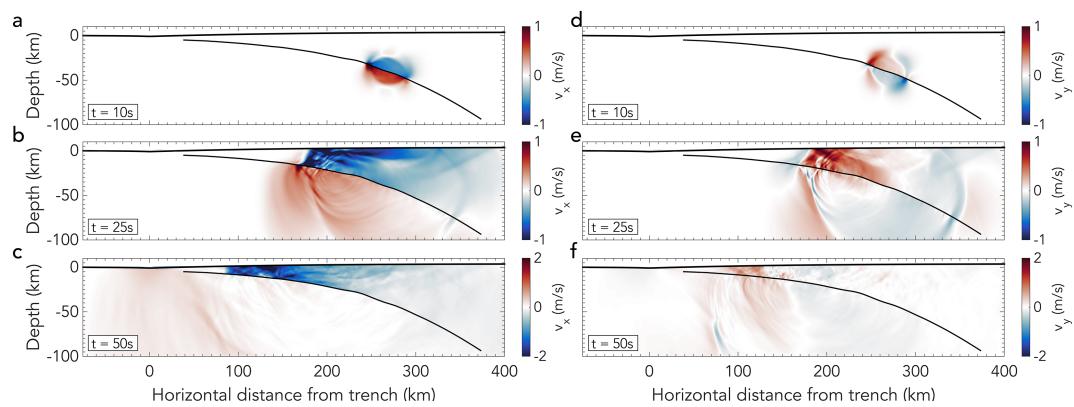


Figure 9. Horizontal (a–c) and vertical (d–f) velocity in the dynamic rupture coupling model of section 5.3 at $t=10$ s, $t=25$ s, and $t=50$ s. Fault is indicated in black.

After the nucleation phase, the rupture mainly propagates updip. There is spontaneous rupture arrest below the downdip limit of the seismogenic zone 290–300 km along the fault. In the basalt, supershear rupture speeds of $\sim 6,100$ m/s ($v_p=6,164$ m/s; $v_s=3,559$ m/s) are reached at the onset of rupture. These speeds are promoted by a low S parameter of 0–0.5 (e.g., Gabriel et al., 2012), which is defined as the ratio between initial strength excess and nominal stress drop (Das & Aki, 1977b; Appendix D). Closely spaced secondary nonsupershear rupture fronts (2) follow this main supershear rupture front. The rupture velocities change when the rupture enters the lower seismic velocity sediments (3). The main rupture front propagates updip at supershear velocities of $\sim 3,340$ m/s ($v_p=3,350$ m/s; $v_s=1,934$ m/s), and the secondary rupture fronts travel at speeds of $\sim 1,750$ m/s in the sediment close to its Rayleigh speed. The change in material, and hence seismic velocities, also results in an impedance contrast, which causes the reactivation of fault slip due to reflected seismic waves from the sediment–basalt transition (3). Rupture propagation in the sediments in the shallow part of the megathrust features small-scale failure preceding the main rupture front arrival (4). These phases have slip rates of ~ 0.5 m/s, and their rupture speeds are low with 1,700 m/s. Their occurrence is promoted by (i) a very low strength excess of 1.0 MPa and (ii) on-fault, dynamic stress accumulation preceding the main rupture front. These localized precursory phases do not merge into a combined rupture front but are overtaken by the faster main rupture.

The rupture is predominantly crack-like, although pulse-like behavior is observed in the sediments. Crack-like rupture behavior is characterized by continuous slip on the fault after arrival of the rupture front (Kostrov, 1964). During a pulse-like rupture, slip on the fault only occurs for a relatively small amount of time after the arrival of the rupture front compared to the entire duration of the rupture (Brune, 1970).

Surface reflections at (5) provide additional energy to the rupture, which results in the breaking of the shallow megathrust. This is in line with similar behavior found by Kozdon and Dunham (2013) for dynamic rupture models of the 2011 Tōhoku-Oki earthquake. Waves are also reflected at the material contrast between sediments and basalt at (6). Later surface reflections at (7) and (8) reactivate the downdip part of the megathrust. The highest slip rate values of 10.9 m/s are reached as the rupture tip reaches the sediment–basalt transition.

The stress drop in Figure 8e, calculated as the stress change with respect to the initial stress, is material dependent, with large stress drops of 14 MPa in the basalt and 5.3 MPa in the sediments. The average stress drop between the 150 and 450 °C isotherms is 9.3 MPa. Initially, there is little stress drop in the velocity-strengthening region at the updip limit of the seismogenic zone. However, after ~ 70 s, the stresses drop in the sediments, even though fault slip has stopped. This could be due to (i) dynamic on-fault stress transfers caused by healing fronts of the rupture pulses (e.g., Gabriel et al., 2012; Nielsen & Madariaga, 2003), or (ii) dynamically triggered reactivation of the fault by the seismic waves (e.g., Belardinelli et al., 2003).

The corresponding final slip distribution in Figure 8f shows that the maximum slip of 57.9 m (disregarding the unphysical isolated peaks) occurs in the sediments, at the frictional updip limit of the seismogenic zone. Slip tapers off toward the trench and the downdip limit of the seismogenic zone.

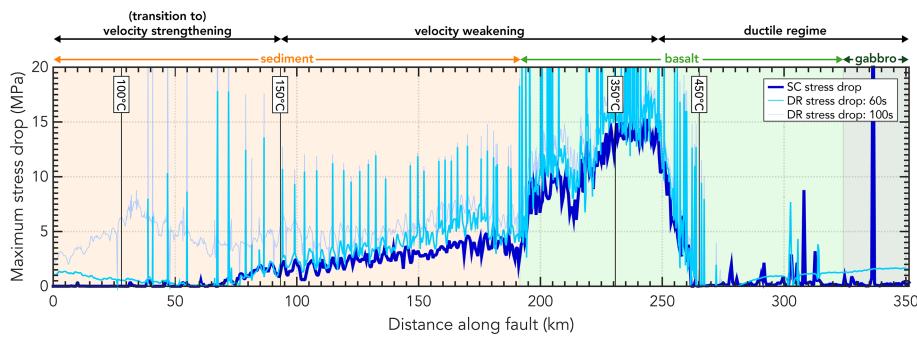


Figure 10. Maximum stress drop in the geodynamic seismic cycle (SC) and dynamic rupture (DR) models (after the first 60 s and at the end of the event at 100 s) along the fault. The peaks of high stress drop in the DR model responsible for the stripes in Figure 8e are directly related to the input from the SC model. Since the resolution in the DR model is higher, isolated fault points get affected by the interpolation of the coarser model input from the SC model. Frictional regimes dependent on temperature are indicated with corresponding isotherms (solid black lines). Background colors represent the material through which the fault is going.

Figure 9 visualizes the wave field at several time steps. At 10 s, the rupture has nucleated completely (also see Figure 8d), and the wave field looks relatively simple. After 25 s, complex interactions between the free surface and the emitted waves are visible. Most notably, a large reflected wave is traveling toward the fault. After 50 s, most of the waves are trapped in the accretionary wedge. This results in continuous reactivation of the fault slip, which highly increases the slip in the shallow part of the fault.

5.4. Comparison of Events in the Seismic Cycle and Dynamic Rupture Models

Both events nucleate in the same location, which demonstrates the successful coupling of fault stress and strength conditions (Figures 7 and 8). These coupled initial conditions then affect the full dynamic rupture behavior. Most notably, they cause spontaneous rupture arrest at depth ($z=65$ km) in the DR model due to the increase of strength excess when the deformation mechanism changes from brittle to ductile in the SC model (section 5.1).

Using the stress and yield stress of the SC model as input for the DR model results in material-dependent stress drop in the DR model. Prior to slip reactivation due to wave reflections, the stress drop values and distribution of the DR event are similar to those of the SC event (Figure 10). In the nucleation region, the stress drop is on the order of ~ 14 MPa. After 60 s of rupture, the stress drop in the DR model increases due to reactivation of rupture due to the reflected seismic waves that are not present in the SC model. Therefore, the DR model shows higher final stress drops in the sediments than in the SC model. The similarity of the stress drops between the models before the reactivation of fault slip in the DR model demonstrates the successful coupling of the two codes even though their friction behavior is described by different laws (sections 2.3 and 3.2).

The slip distribution and absolute values of the SC and DR model are different, since the DR model additionally resolves the emitted seismic waves that reactivate fault slip and it uses a lower Poisson's ratio. The contributions of the reflected waves and Poisson's ratio on fault slip are explored in sections 5.5 and 6.1.1.

In summary, the SC and DR ruptures are qualitatively comparable in terms of rupture nucleation, propagation, and arrest. They are also quantitatively comparable in terms of stress drop. However, the amount of slip is significantly larger in the DR model.

5.5. The Role of Complex Lithological Structures

A common simplification in many dynamic rupture studies is the use of homogeneous material and friction parameters (e.g., Huang et al., 2013; Ma, 2012). However, in models that include material contrasts, particularly close to the fault, it has been shown that lithological structures affect the rupture (e.g., Huang et al., 2014; Lotto et al., 2017; Pelties et al., 2015). Lithological structures refer to large-scale rock or material variations with different properties. Waves reflecting off lithological contrasts are governed by the impedance contrast between rock types. Seismic impedance Z is defined as seismic wave velocity times density ($Z=v\cdot\rho$, see Tables 2 and 3 for values). Large impedance contrasts favor wave reflection, whereas no or

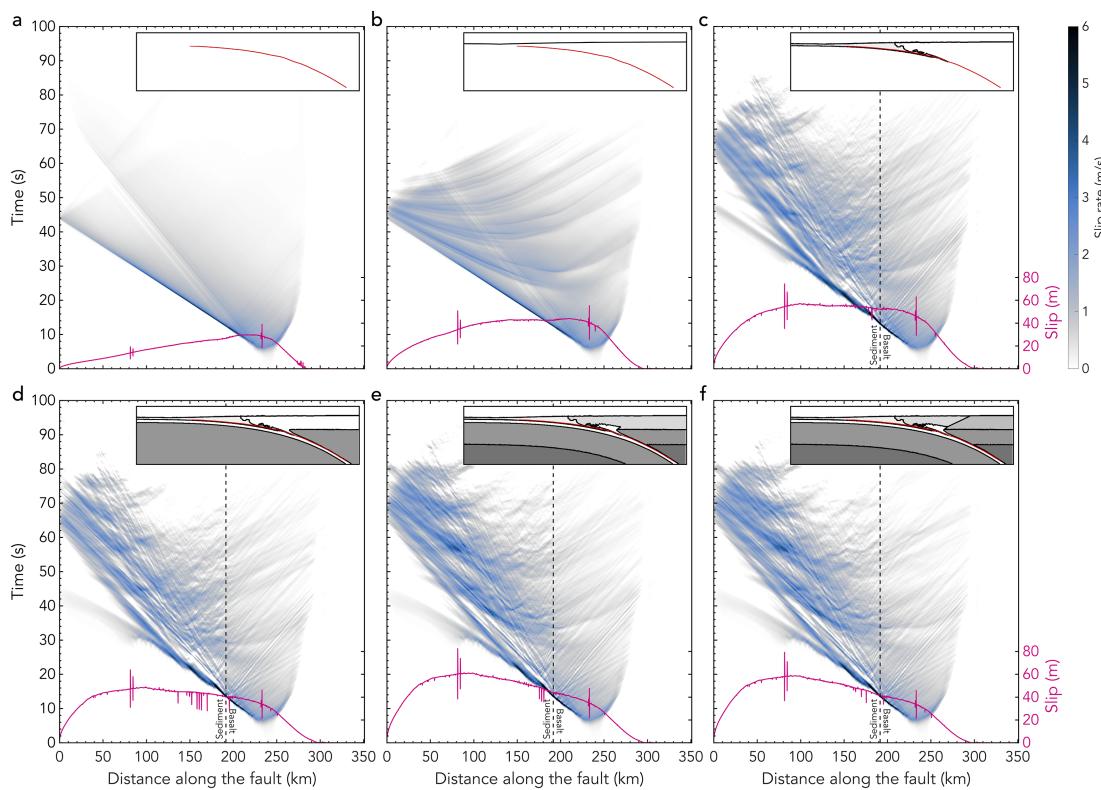


Figure 11. Slip rate evolution of a megathrust rupture for (a) a homogeneous model with basaltic composition and an extended top boundary to exclude any interactions of the seismic waves with the free surface; (b) a homogeneous model with basaltic composition including the free surface as the top boundary condition; (c) the model of (b) with the addition of incoming sediments; (d) the model of (c) with the addition of lithospheric mantle; (e) the model of (d) with the addition of asthenospheric mantle and accretionary wedge sediments; and (f) the model of (e) with the addition of continental crust. Insets show the lithological structure (gray scale colors) and impedance contrasts (black; Figure 4a). Dotted line indicates material change between basalt and sediments. Pink lines show the final slip distribution on the fault.

small impedance contrasts favor wave transmission. The reflected waves can impact the fault again, which affects the on-fault stress field and thereby the rupture dynamics. For example, the resulting on-fault stress changes can lead to the (re)activation of fault slip and alter the rupture speed (section 5.3; Huang et al., 2014; Kozdon & Dunham, 2013; Pelties et al., 2015).

The SC model provides a complex geometry with temperature-dependent elastic properties for the DR model, which results from millions of years of thermo-mechanically coupled subduction. We systematically increase the complexity of our models from homogeneous material parameters up to the complex temperature-dependent coupling model presented in section 5.3 to analyze the effect of each lithological entity on the rupture dynamics. As initial stresses, we keep the stresses that the SC model provides. This means that the stress difference between accretionary sediments and basalt is included in the initial stresses of all these models, even though the accretionary sediments themselves might not be included as an explicit material contrast. Here we focus on the added effect of reflected and refracted waves from the free surface and material contrasts impacting the fault and reactivating fault slip. Compared to these effects, the stress inconsistency in the models with homogeneous material properties is of secondary importance as they are not observed to significantly alter the slip rate evolution. Hence, it does not affect any of our findings presented here. Figure 11 shows the slip rate evolution for six models with an increasingly complex lithological structure as depicted by the insets. The corresponding final slip distribution is also indicated in each panel.

In the simplest model, we consider a homogeneous medium with basaltic material properties. We remove the free surface by extending the top boundary and placing absorbing boundary conditions on it (Figure 11a). This effectively removes any reflections of the seismic waves from impedance contrasts or the free surface. The ensuing rupture is a supershear crack followed by a subshear crack. The crack-like nature of the

rupture leads to a maximum slip accumulation in the nucleation region, which tapers toward the surface and brittle-ductile transition. The maximum slip that is reached in this homogeneous model is 29.5 m, which is twice as low as the maximum slip in the fully complex model of section 5.3. The slip distribution is similar to the one from the SC model (Figure 8c), which does not account for seismic waves. In the shallowest 100 km of the fault, the maximum slip is 16.7 m. This is more than 3 times less than in the model from section 5.3, where the peak slip of 57.9 m is reached in the shallowest 100 km of the fault.

When a free surface is added to the model in Figure 11a, the seismic waves reflect off of it. When they reach the fault, these reflections lower the normal stress on the fault. This results in an increase in fault slip rate and associated reactivation of fault slip (Figure 11b). Because of the prolonged slip reactivation, the rupture duration and the total amount of slip on the fault increase. The slip is particularly increased in the shallow part of the fault where the reactivation of fault slip due to reflected waves is most pronounced.

When the incoming sediments of the accretionary wedge are added to the model in Figure 11c, they introduce a low-velocity region, as the seismic velocities of the sediments are lower than that of the surrounding basalt. The impedance contrast between the sediments ($Z=8.7 \cdot 10^6 \text{ kg s}^{-1} \text{ m}^{-2}$) and basalt ($Z=18.5 \cdot 10^6 \text{ kg s}^{-1} \text{ m}^{-2}$) is large. This addition to the model results in a change of the rupture behavior from predominantly crack-like to pulse-like. Pulse-like behavior of the rupture is promoted by reflections that induce a stress change favorable for fault slip. Whether a reflection induces a positive or negative stress change depends on their polarity. When a stress change occurs that is unfavorable for slip, the slip on the fault stops, which results in pulse-like behavior (Huang et al., 2014).

The large impedance contrast also causes a large portion of the seismic waves to get trapped in the incoming sediments (also see Figure 9). This results in a complex slip reactivation pattern on the fault that increases the accumulated slip on the fault in the sediments. The isolated patches of subducted sediment in the basalt in the vicinity of the sediment-basalt transition also cause a lot of wave reflections, refractions, and interactions. This leads to pronounced rupture fronts in the basalt. Small nucleations in the sediments are facilitated by the low strength excess in the sediments.

The addition of lithospheric mantle changes the shape of the slip distribution (Figure 11d). Waves reflecting from the free surface impact the deeper part of the fault less heavily than before, because the impedance contrast between the basaltic top layer and the lithospheric mantle is smaller and leads to less reflections. The lower wave amplitudes result in less fault slip reactivation in the basalt than in Figure 11c. Therefore, the accumulated slip in the basaltic part of the fault is lower. The addition of lithospheric mantle also effectively transforms the deeper part of the fault that is going through the basalt into a low-velocity region. However, the impedance contrast between the lithospheric mantle and the basalt is more than twice as low as the impedance contrast between the basalt and sediments. The effect of this lower-velocity region is therefore not as pronounced as in Figure 11c, and we do not see pulse-like rupture behavior in the basalt. The pulse-like behavior of the rupture in the sediments is enhanced, even though the lithospheric mantle and the incoming sediments are not directly adjacent.

Adding asthenospheric mantle to the model does not change any of the on-fault properties or the rupture. This is due to the low impedance contrast between lithospheric and asthenospheric mantle. Combined with the large distance between this impedance contrast and the fault, the on-fault effect of this material contrast is negligible on the rupture dynamics.

The addition of the accretionary wedge sediments adds a larger impedance contrast at the base of the wedge with the basalt (Figure 11e). There is also an impedance contrast between the accretionary and incoming sediments, which causes additional reflections. This results in more reactivation of slip within the sediments.

The continental crust of the overriding plate is the last component of the SC subduction zone setup that we add to the model (Figure 11f). Its addition results in less slip reactivation on the fault. Hence, the accumulated slip in Figure 11f (maximum slip disregarding the unphysical slip peaks at isolated fault points is 59.2 m) is less than in Figure 11e (maximum slip disregarding the unphysical slip peaks at isolated fault points is 61.4 m).

The models in Figure 11 all assume constant material properties per rock type. However, one of the advantages of the SC model is that it provides temperature- and pressure-dependent densities. Comparing the model of Figures 11f to 8d shows that the slip pulses on the fault are less pronounced when a

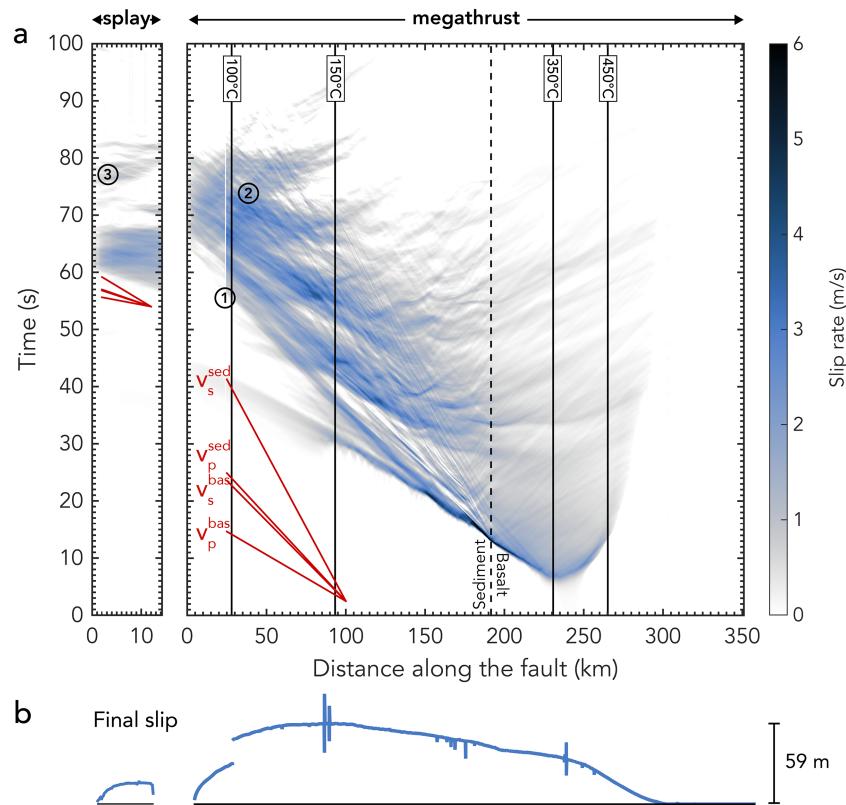


Figure 12. Slip rate evolution with time (a) and final accumulated slip (b) along the fault for both the splay fault (left column, note the horizontal exaggeration with respect to the megathrust fault x axis) and the megathrust (right column). The splay fault connects to the megathrust at $x=24.5$ km along the megathrust fault. Solid lines indicate the isotherms that define the frictional regimes; dashed line indicates material change. The P and S wave velocities v_p and v_s for both the basalt (${}^{\text{bas}}$) and sediment (${}^{\text{sed}}$) are indicated in red in both the splay and megathrust panels. Numbers are discussed in the text. The branching point on the megathrust and the two adjacent points to the left of the branching point are not plotted, as they show an unphysical numerical instability. Peak slip is indicated.

temperature-dependent density is considered. This is due to less energetic reflections from decreased impedance contrasts related to the gradual increase of density and their related seismic velocities. Hence, the use of temperature-dependent properties leads to $\sim 1\text{--}2$ m less slip on the fault.

In summary, these results show that material contrasts influence the rupture dynamics by causing slip reactivation on the fault and influencing the final slip distribution. The model with purely homogeneous material properties significantly underestimates the shallow fault slip by a factor 3 and results in a vastly different slip distribution. Using the temperature-dependent material contrasts of the SC model consistent with the fault geometry, stress, and yield stress, is crucial to resolve the complex wave interactions during rupture in a subduction zone which in turn affects the dynamics of the megathrust earthquake.

5.6. The Impact of Physically Consistent Stresses on Splay Fault Activation

For simplicity, we only considered a rupture along the megathrust in the previous sections. However, the SC model shows high strain rate localization along a splay fault instead of the shallow megathrust. However, the slip rates are not high enough to reach the threshold that defines a seismic event (sections 4.1 and 4.4). Here we introduce the splay fault to the dynamic rupture model by activating its internal frictional boundary condition so that slip on the splay fault is theoretically possible. This allows us to analyze if the splay fault is activated in the DR model when seismic waves are taken into account.

The resulting rupture evolution in terms of its slip rate and the final slip distribution of both the megathrust and splay fault are shown in Figure 12. The splay fault in the DR model is activated at 56 s (Figure 12a). Comparison with the reference model in Figure 8 shows that both ruptures have a similar evolution.

When the splay fault is activated at (1), the rupture chooses the splay fault over the megathrust, and it continues at much lower slip rates on the megathrust than in the reference model ($\sim 56\text{--}68$ s). This is also clearly illustrated in the final slip profile (Figure 12b), as the final slip on the shallow megathrust is sharply reduced at the location of the splay fault compared to the reference model (Figure 8f). Instead, we see 20 m of slip on the splay fault. When the splay fault is abandoned at approximately 68 s, the rupture in the shallow part of the megathrust looks very similar to the reference model results with the exception that small reflections from the splay fault on the megathrust are visible in the splay model (2). The last surface reflection at ~ 74 s reactivates the splay fault (3). Combining the slip on the splay fault with that of the shallowest megathrust fault, we see that the same amount of slip is accumulated in total as on the megathrust in the DR model of section 5.3.

In summary, our model shows that the splay fault is indeed activated in the DR model, depicting maximum slip rates of 2.4 m/s and a maximum slip of 20 m, which is much higher than what is observed in the corresponding SC model. Therefore, we need to account for additional fault complexities such as faults splaying off from the megathrust interface to fully assess the seismic and tsunami hazard of subduction zone earthquakes.

6. Discussion

By coupling a geodynamic seismic cycle model to a dynamic rupture model, we successfully model the geodynamic evolution of a subduction zone down to a single dynamic earthquake rupture of the megathrust. Broad rupture characteristics, such as the rupture nucleation, propagation, and arrest, of the SC event and its corresponding DR counterpart are qualitatively comparable. The seismic waves and a complicated subsurface structure affect the slip distribution on the fault, and the rupture style and duration. A homogeneous model significantly underestimates shallow fault slip, which has implications for tsunami hazard assessment. With our coupling method, we can also take into account complex fault geometries including splay faults. The complex resulting dynamic rupture highlights the need for taking all scales into account when assessing the seismic and tsunamigenic hazard of megathrust earthquakes.

In the following, we discuss our two most important coupling assumptions necessary to reconcile the SC and DR method, namely, our choice of the Poisson's ratio and the approximation of the SC model's rate-dependent friction by linear slip-weakening in the DR model. Lastly, we discuss limitations and future developments.

6.1. Effect of Coupling Choices

6.1.1. Poisson's Ratio

To calculate the first Lamé parameter in the DR model from the incompressible SC model rock properties, we need to assume a Poisson's ratio. Computational seismology often uses Poisson solids as a simplification, where $\nu=0.25$ and therefore $\lambda_1=G$ (e.g., Kozdon & Dunham, 2013; Stein & Wysession, 2009). In line with this, we calculated λ_1 with $\nu=0.25$ for our coupled event in section 5. However, laboratory experiments indicate that there is a large variation in the Poisson's ratio of intact rocks, for example, the Poisson's ratio of basalt ranges from 0.1–0.35 (Gercek, 2007).

An increase in Poisson's ratio results in an increase of the P wave velocity v_p , and therefore increases the difference between the P and S wave velocities according to

$$v_p = v_s \sqrt{\frac{2\nu}{1-2\nu} + 2}. \quad (23)$$

To assess this effect on our results, we run several models with different Poisson's ratios. Models with Poisson's ratio $\nu>0.40$ did not result in sustained nucleation and propagation of the rupture, due to the unrealistically large seismic velocities. For $\nu=0.40$, several patches in the nucleation region are also already prohibited from rupturing. Figure 13 shows the accumulated slip contours for several time steps for models with Poisson's ratio 0.15–0.35. Larger Poisson's ratios result in less final slip with a maximum slip of 65.7 m for $\nu=0.15$ and 49.0 m for $\nu=0.35$, disregarding the unphysically high peaks in slip. This is due to a reduction in maximum slip rate and rupture duration. The latter is caused by both an increase in rupture speed and in nucleation time. The stress drop is not majorly affected by the Poisson's ratio.

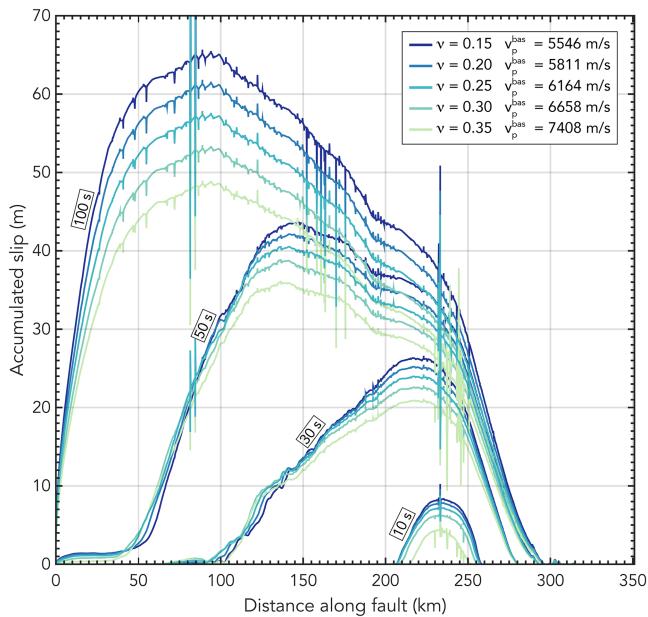


Figure 13. Accumulated slip along the fault plotted after 10, 30, 50, and 100 s for five models where the first Lamé parameter was calculated using different Poisson's ratios. The corresponding change in P wave velocity is indicated for the basalt. Note that the model with $v=0.25$ is the model described in section 5.3.

Interestingly, as the slip decreases with increasing Poisson's ratio, the slip values of the DR model move toward those of the SC model, which has the highest possible Poisson's ratio of 0.5. Using a high Poisson's ratio for the model of Figure 11a, where seismic wave effects are nonexistent, would likely result in slip values similar to those of the SC model. This means that part of the slip difference between the SC and DR model can be accounted for by the difference in Poisson's ratio, while a factor of 2 to 3 of slip difference can be accounted for by fault reactivation due to wave reflections (section 5.5).

The parameters affected by the Poisson's ratio (i.e., the maximum slip, rupture duration, slip rate, nucleation time, and rupture velocity) do not change the first-order rupture characteristics, that is, material-dependent stress drop and predominantly updip rupture propagation, which are comparable to its SC rupture equivalent, or the rupture style.

6.1.2. Rate-Dependent Friction Law Approximation

In this study, we approximate the rate-dependent friction law of the SC model by a linear slip-weakening friction law in the DR model. It is one of the simplest friction laws, and it is widely used in the dynamic rupture community (e.g., Ma, 2012; Murphy et al., 2016). However, several other friction laws could have been used. For example, Olsen-Kettle et al. (2008) discuss the cubic, quintic, and septic slip-weakening friction laws that are found to reduce the amount of slip.

Translating the rate-dependent friction formulation of the SC model to the linear slip-weakening formulation of the DR model requires determining D_c . By ensuring that both friction laws have the same strength drop with slip (sections 4.5 and Figure 5), we have a physical basis for picking a certain D_c value. The resultant D_c varies between 0.7 and 1.1 m in the sediments, which is in line with values used in the dynamic rupture community for similar problems (e.g., Goto et al., 2012; Murphy et al., 2016). The values for D_c in the basalt are slightly higher and range from 1.0–3.5 m with values from 0.7–3.0 m in the nucleation region.

An alternative way to couple the two friction laws would be to use the characteristic slip distance corresponding to the accumulated slip at which the lowest friction value is reached in the SC model (i.e., D_c would be larger in Figure 5). To test the effect of D_c on our model results, we run models with a constant D_c along the fault varying from 0.25–8 m. We find that the nucleation phase takes longer for increasing D_c . This is consistent with work by Bizzarri et al. (2001). With constant $D_c \geq 4$ m, we do not get nucleation at all. Besides this effect on the nucleation phase of the model, increasing D_c results in a longer rupture

duration accompanied by a smaller maximum slip velocity. Stress drop, maximum slip, and rupture speed are not significantly affected. As the choice of D_c does not affect the first-order rupture characteristics, we argue that using the D_c values obtained from equating the strength drop with slip between the two models results in robust rupture dynamics.

6.2. Limitations and Future Work

We observe large slip in the DR model, which is inconsistent with the recurrence time reported in section 4.1 for the SC model. This is due to the fact that the recurrence interval is in line with the slip in the SC model, which is lower than that of the DR model. The reasons for the differences in slip between the SC and DR model are (*i*) the effect of seismic waves, as discussed in section 5.5 and (*ii*) the difference in Poisson's ratio as discussed in section 6.1.1. A future endeavor may be two-way coupling, that is, transferring the final stress and strain conditions from the DR model back into the SC model and analyzing the effects on recurrence time.

At present, we couple the frictional parameters of the SC model to the discrete fault in the DR model. However, the SC model provides information on the stress field and material strength in the entire domain. This information can be used to extend the current DR model to account for plastic processes around the fault. Plasticity is found to influence the overall rupture dynamics, as well as the seafloor displacements (Ma, 2012), which will crucially affect the tsunamigenic potential of the faults. The DR model provides the ability to account for off-fault plastic deformation during coseismic rupture (Wollherr et al., 2018), and ongoing research is concentrated on coupling the off-fault plastic yielding of the SC model to that of the DR model (Wollherr, van Zelst, et al. 2019).

Another way to incorporate the large-scale yielding in the accretionary wedge of the SC model relies on explicitly meshing the spontaneous splay faults of the SC model in the DR model. Besides coupling the on- and off-fault deformation between the SC and DR model in this manner, explicitly meshing the splay faults gives additional insight into the activation of splays in subduction zones and over several seismic cycles. Realistically modeling splay fault activation using the constraints from the SC model can also contribute to our understanding of tsunami generation.

Currently, the here presented coupling approach is restricted to two dimensions since the SC model is inherently two-dimensional. The extension of this coupling approach to three dimensions is ongoing work within the Advanced Simulation of Coupled Earthquake and Tsunami Events framework (Madden et al., 2019), where the two-dimensional initial conditions from the SC model are used in the three-dimensional version of SeisSol.

By extending our approach to three dimensions (e.g., Dunham & Bhat, 2008), accounting for off-fault plasticity (e.g., Gabriel et al., 2013), and reducing the friction drop between static and dynamic friction, we expect that the SC initial conditions are less favorable for supershear rupture. Changing the static friction to reduce the supershear rupture might also be a possibility, but we refrain from doing that in this work, because using a different friction coefficient while keeping the same stresses would lead to an inconsistency in the coupling of the yield criterion. This would negatively impact our achieved coupling in terms of stress drop. The high slip rate values observed in the DR models, which are typical for purely elastic dynamic rupture models (Andrews, 2005), may be limited by including off-fault plastic deformation.

Both the SC and DR models have advantages when it comes to hazard assessment. The SC model can provide insight into the recurrence interval and timing of earthquakes, whereas the DR model can provide accurate ground motions. With our coupled approach, we combine these advantages and open new research avenues for further methodological advances that could ultimately lead to a three-dimensional coupled framework that includes physically consistent stress and slip for hazard assessment.

7. Conclusions

We couple geodynamic, seismic cycle, and dynamic rupture modeling to resolve a wide range of time scales governing megathrust earthquake ruptures. We use a two-dimensional, visco-elasto-plastic, continuum, seismo-thermo-mechanical model to simulate 4 Myr of subduction dynamics and the subsequent seismic cycle. The long-term SC model geometry features a megathrust dipping at 14° on average and a large

accretionary wedge due to sediment accretion. We model 70 quasiperiodic slip events in the seismic cycle phase, which mostly nucleate near the spontaneous downdip limit of the seismogenic zone. The long-term constrained on-fault state of stress varies with lithology and reaches a maximum of 37.8 MPa just above the brittle-ductile transition. For the coupling, we use a representative SC slip event with maximum slip at the nucleation region near the downdip limit of the seismogenic zone. The ductile regime is characterized by low stresses due to viscous stress relaxation and is accompanied by distributed ductile creep.

We then couple the full complexity of spatially heterogeneous, self-consistent fault stress and strength, material properties, and megathrust geometry at the onset of the SC slip event to a DR model. The use of an unstructured triangular mesh allows for a complex megathrust geometry that results from the SC model. The DR model resolves spontaneous earthquake rupture jointly with seismic waves in a two-dimensional elastic model of the megathrust interface.

The SC and DR events both nucleate and arrest spontaneously at the same locations. The stress drop in both models compares well and is material dependent, with sediments exhibiting a stress drop of ~ 3 MPa in contrast to values of up to 10 MPa in basaltic regions.

The dynamic rupture propagates primarily updip in a crack-like fashion within the basalt and in a more pulse-like manner within the sediments. Both sections exhibit sustained supershear rupture speeds due to a small relative strength throughout the megathrust.

We systematically demonstrate the pronounced effects of complex lithological structures on rupture complexity, slip accumulation, and dynamic fault reactivation. Removing all impedance contrasts that reflect waves decreases peak slip by a factor of 2. The homogeneous model shows a similar slip distribution to the SC model, which also does not account for reflecting seismic waves. The inclusion of an effective low-velocity zone in the form of sediments changes the rupture style from predominantly crack-like to pulse-like. In addition, seismic waves get trapped in the sediment layer, which results in continuous reactivation of fault slip, particularly in the shallow part of the fault.

Within the presented coupling framework, we are able to include additional fault structures based on strain localization in the SC model. Adding a splay fault to the DR simulation results in preferred splay activation. Reflected waves also activate the megathrust.

Subduction zone geometry, lithology, and fault stresses and strength, as constrained by subduction evolution and seismic cycles, crucially affect the first-order features of earthquake rupture dynamics. Our study also reveals important dynamic effects not captured in seismic cycle approaches, such as the effect of seismic wave reflections from the free surface on shallow slip accumulation in subduction zones. The SC results in terms of stress magnitude and variability constrained by 4 Myr of subduction can be used as a guideline for setting up dynamic rupture models of subduction zone megathrusts and splay faults. This study highlights the key relationships between subduction zone processes and earthquake dynamics across temporal and spatial scales.

Appendix A: Initial Conditions Governing the SC Model

To initiate and sustain subduction, we apply a constant velocity of 7.5 cm/year to the subducting slab (Figure 1), which is in line with observations for Southern Chile (Lallemand et al., 2005). Subduction initiation is further accommodated by a weak zone (Figure 1), which follows a wet olivine flow law and has very low plastic strength (Table 2; Gerya & Meilick, 2011). After 3.2 million years, the initial weak zone is artificially removed and replaced with lithospheric mantle so that the weaker material does not influence the model any more when a suitable subduction geometry has been obtained.

The initial temperature field is calculated by considering (i) the age of the subducting slab (40 Ma; Lallemand et al., 2005) according to the half-space cooling model (Turcotte & Schubert, 2002), (ii) a linear temperature increase for the first 100 km of the continental crust from 0 to 1,300 °C, and (iii) a 0.5 °C/km temperature gradient in the asthenospheric mantle.

Appendix B: Boundary Conditions of the SC Model

We adopt the same boundary conditions as van Dinther, Gerya, Dalguer, Mai, et al. (2013) with free-slip boundary conditions at the sides, which allow material to freely move tangential to the boundaries, and

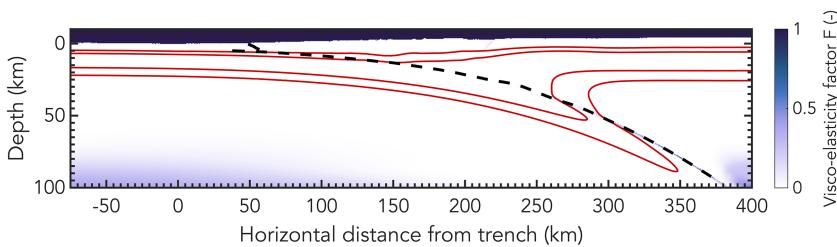


Figure C1. Visco-elasticity factor F in the seismic cycle model for the coupling time step. Faults are indicated by the dashed lines. The temperature contours, which define the frictional regimes and hence seismogenic zone, are indicated in red.

an open boundary condition at the bottom (Figure 1). To enhance the decoupling of the lithosphere from the boundaries, we use prescribed low viscosity regions at the side and bottom boundaries of the model [van Dinther, Gerya, Dalguer, Mai, et al. (2013)]. We apply viscosity limits of minimum $1 \cdot 10^{17}$ Pa s and maximum $1 \cdot 10^{25}$ Pa s throughout the model.

Due to the nature of the finite difference method, we do not have a true free surface in the SC model. Therefore, we use the sticky air method (Crameri et al., 2012), which is a widely used proxy for a free surface in finite difference geodynamics. The sticky air method consists of a layer of so-called “sticky air” with low viscosity and density at the top of the model where the top boundary condition is free slip (Table 2). It allows the air-crust interface to behave as a free surface that can accommodate topography evolution.

The temperature is set to 0 °C at the top of the domain, and we impose zero heat flux at the sides. At the bottom boundary, we have a constant temperature boundary condition.

Appendix C: Dominant Deformation Mechanism SC Model at Coupling Time Step

We evaluate the dominant deformation mechanism in the SC model at the coupling time step by looking at the visco-elasticity factor F , which is defined as

$$F = \frac{G\Delta t}{G\Delta t + \eta_{vp}}, \quad (C1)$$

where G is the shear modulus, Δt is the time step, and η_{vp} is the effective visco-plastic viscosity. When there is no plastic deformation, η_{vp} equals η (equation (8)). Otherwise, when there is plastic deformation, η_{vp} equals $\eta \cdot \frac{\sigma'_{II}}{\eta\chi + \sigma'_{II}}$, where σ'_{II} is the second invariant of the deviatoric stress tensor and χ is the plastic multiplier. For purely elastic behavior, F approaches 0, while F approaches 1 for purely viscous behavior.

Figure C1 shows the visco-elasticity factor of the SC model at the coupling time step (section 4.1). It shows that stresses in the seismogenic zone (i.e., between 150 and 350 °C) are essentially completely elastic (i.e., $F < 0.05$). At higher temperatures, the viscous component starts to increase slowly, which results from dislocation creep in the ductile regime. In the sticky air layer at the top of the model, the deformation mechanism is completely viscous such that the free surface does not interfere with the lithosphere (Crameri et al., 2012).

Appendix D: Relative Strength in the DR Model

To estimate the initial closeness to failure of the fault, we can use several different measurements. In the geodynamics community, the strength excess is commonly used, which is the difference between the yield stress of the rock and the initial stresses (Figure 7). In the dynamic rupture community, it is more common to calculate the relative strength or so-called S parameter. We calculate the relative strength S for the DR model according to the following formula (Das & Aki, 1977a):

$$S = \frac{\tau_s - \tau_0}{\tau_0 - \tau_d}, \quad (D1)$$

where $\tau_s = \sigma_{yield}^{dr} = c + \mu_s \sigma_n$ is the fault yield stress or initial static strength of the material (section 3.2). $\tau_d = \sigma_{sliding}^{dr} = \mu_d \sigma_n$ is the sliding strength of the material, which can also be called the dynamic strength

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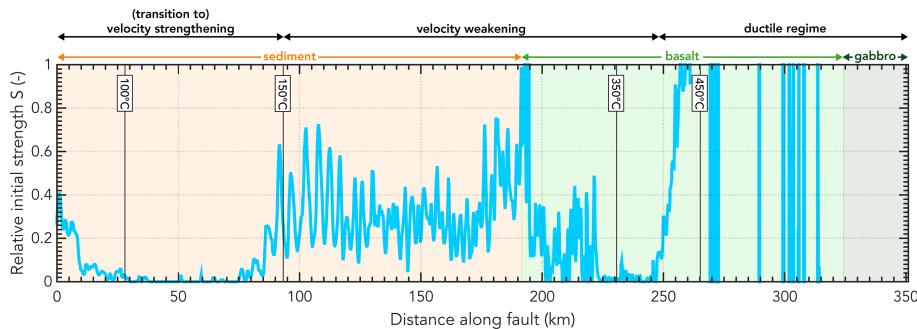


Figure D1. Relative strength S in the dynamic rupture model along the fault. Frictional regimes dependent on temperature are indicated with corresponding isotherms (solid black lines). Background colors represent the material through which the fault is going.

of the material. τ_0 is the initial shear stress. Note that the cohesion c does not enter the sliding strength of the fault. This is different to the SC model, where the bulk cohesion is always present in the yield criterion and strength of the material.

Figure D1 shows that large parts of the fault are initially at failure with $S=0$. However, these regions do not all result in sustained rupture, as discussed in section 5.3. After ~ 15 s, the shallow part of the fault is no longer at failure, that is, $S>0$, although the relative strength is still very low, on the order of 0.05. When the main rupture arrives in the shallow part of the fault, it breaks again, and S decreases to 0. The relative strength in the ductile regime is large ($S\gg 1$, up to 396), which prohibits rupture on that part of the fault.

A low relative strength promotes supershear pulses and cracks (e.g., Gabriel et al., 2012), which is indeed what occurs for the sustained main rupture in the DR model (section 5.3). We note that the difference between initial loading stress and effective peak strength of the geodynamically constrained fault is on average well comparable to previous dynamic rupture models (e.g., Kozdon & Dunham, 2013). However, the large strength drop to low levels of dynamic sliding resistance causes the relative overall weakness in the DR model. The large strength drop in the DR model results from the 70% drop in friction used in the SC model (instead of, e.g., 10% in Kozdon & Dunham, 2013) that features enhanced dynamic weakening as observed in laboratory experiments at seismic slip rates (e.g., Di Toro et al., 2011).

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