

**Exercise 1** (4.1.A Vakil). Show that the natural map  $A_f \rightarrow \mathcal{O}_{\text{Spec}(A)}(D(f))$  is an isomorphism. [Hint: Exercise 3.5.E Vakil.]

First let us recall that Exercise 3.5.E is the following:

**Lemma 1.** *The next statements are equivalent:*

- i)  $D(f) \subseteq D(g)$ .
- ii)  $\exists n(n \geq 1 \Rightarrow f^n \in \text{gen}(g))$ .
- iii)  $g$  is an invertible element of  $A_f$ .

We have proven this in class so let us make a quick recapitulation.

The first two statements are equivalent because

$$\begin{aligned} D(f) \subseteq D(g) &\iff V(g) \subseteq V(f) \\ &\iff \{ \mathfrak{p} : \text{gen}(g) \subseteq \mathfrak{p} \} \subseteq \{ \mathfrak{p} : \text{gen}(f) \subseteq \mathfrak{p} \} \end{aligned}$$

The last statement can be rephrased as *if a prime contains  $g$ , then it also contains  $f$* . In particular this equivalent to saying

$$\begin{aligned} f \in \bigcap_{g \in \mathfrak{p}} \mathfrak{p} &= \sqrt{\text{gen}(g)} \\ &\iff \exists n(n \geq 1 \Rightarrow f^n \in \text{gen}(g)). \end{aligned}$$

For the last two statements, we first assume  $g$  is invertible in  $A_f$ . This means that there exists an  $n$  such that

$$\left( \frac{g}{1} \right) \left( \frac{a}{f^n} \right) = \frac{1}{1}.$$

Recall that the equality condition in the localization means that there exists an element  $f^m$  with  $m \geq 1$  which is invertible in  $A_f$  such that

$$f^m(ag - f^n) = 0 \Rightarrow agf^m = f^{m+n}.$$

This last equation is in  $A$  without localizing, and the term on the right,  $agf^m$ , is in  $\text{gen}(g)$ . Thus the power we were searching for is  $m+n$  and  $f^{m+n} \in \text{gen}(g)$ . On the other direction, if  $f^n \in \text{gen}(g)$  for some  $n \geq 1$ , then there is an  $a \in A$  such that

$$f^n = ag,$$

and localizing at  $f$  turns this equation into  $\frac{1}{g} = \frac{a}{f^n}$ .

**Answer**

We begin by recalling the definition of  $\mathcal{O}_{\text{Spec}(A)}(D(f))$ , we have

$$\mathcal{O}_{\text{Spec}(A)}(D(f)) = S^{-1}A, \quad \text{where } S = \{g \in A : D(f) \subseteq D(g)\}.$$

By the lemma we can rewrite  $S$  as

$$S = \{g \in A : \exists n(f^n \in \text{gen}(g))\}.$$

Now notice that when localizing at  $S$  we are able to invert  $f^n$  for some  $n$ . From this we have that  $f$  is also invertible in  $S^{-1}A$  because

$$f^n g = u \Rightarrow f(f^{n-1}g) = u \Rightarrow f \text{ is invertible.}$$

This means that localizing at  $S$  is a further localization of  $A$  at  $f$  because we have already inverted all powers of  $f$ .

Notice however that this isn't adding anything new to  $A_f$ , because of the last equivalence of the lemma. Every  $g$  such that  $D(f) \subseteq D(g)$  is already invertible in  $A_f$ . We conclude that the inclusion is actually an isomorphism.

**Exercise 2 (Restrictions).** Do the following:

- i) Explain, using Definition 4.1.1 (and not exercise 4.1.A) what the restriction map is.
- ii) Explain, using exercise 4.1.A what the restriction map is.

**Answer**

- i) Recall that

$$\mathcal{O}_{\text{Spec}(A)}(D(f)) = (S^f)^{-1}A, \quad \text{where } S^f = \{h \in A : D(f) \subseteq D(h)\}$$

and on the same vein the set associated to  $D(g)$  is the localization at  $S^g = \{h \in A : D(g) \subseteq D(h)\}$ . So if we take  $D(f) \subseteq D(g)$ , we can inject  $A$  into both localizations via  $a \mapsto \frac{a}{1}$  as follows:

$$\begin{array}{ccc} A & \xrightarrow{\varphi_f} & (S^f)^{-1}A \\ & \searrow \varphi_g & \\ & & (S^g)^{-1}A \end{array}$$

Now for elements  $h \in S^g$ ,  $\varphi^f(h)$  is an invertible element in  $(S^f)^{-1}A$  because  $D(f) \subseteq D(g)$ . So, by universality of the localization, we have that there exists a unique map

$$(S^g)^{-1}A \rightarrow (S^f)^{-1}A$$

and such map is the desired restriction map.

- ii) Using the previous exercise we have the isomorphism between localizing at  $S^f$  and localizing at powers of  $f$ . So once again let us assume that  $D(f) \subseteq D(g)$ , then the restriction map is a function

$$\text{res}_{D(g), D(f)} A_g \rightarrow A_f.$$

In this case we have an element  $\frac{a}{h^n}$  with  $h \in S^g$ . Recall that this means that  $h$  is invertible in  $A_g$  so we may write

$$\frac{1}{h} = \frac{b}{g^m}, \quad \text{where } b \in A, m \in \mathbb{N}.$$

But now, as  $D(f) \subseteq D(g)$ ,  $g$  is invertible in  $A_f$  so once again we have

$$\frac{1}{g} = \frac{c}{f^r}, \quad \text{where } c \in A, r \in \mathbb{N}.$$

Combining these facts we have

$$\frac{a}{h^n} = a \frac{b^n}{g^{mn}} = ab^n \frac{c^{mn}}{f^{mnr}},$$

and so this element inside  $A_f$  is where we map our original element to.

**Exercise 3** (4.1.D Vakil). Suppose  $M$  is an  $A$ -module. Show that the following construction describes a sheaf  $\widetilde{M}$  on the distinguished base. Define  $\widetilde{M}(D(f))$  to be the localization of  $M$  at the multiplicative set of all functions that do not vanish outside of  $V(f)$ .

Define restriction maps  $\text{res}_{D(f), D(g)}$  in the analogous way to  $\mathcal{O}_{\text{Spec}(A)}$ .

Show that this defines a sheaf on the distinguished base, and hence a sheaf on  $\text{Spec}(A)$ . Then show that this is an  $\mathcal{O}_{\text{Spec}(A)}$ -module.

## Answer

We are now considering the following space  $(\text{Spec}(A), \widetilde{M})$ , in other words we are endowing  $\text{Spec}(A)$  with sheaf of  $A$ -modules.

We first verify that  $\widetilde{M}$  is a presheaf. In the same way we defined the sheaf on the basis elements we have

$$\widetilde{M}(D(f)) = (S^f)^{-1}M, \quad \text{where } S^f = \{h \in A : D(f) \subseteq D(h)\}.$$

The restriction maps are defined in the same fashion by universality, so if we have the diagram

$$\begin{array}{ccc} M & \xrightarrow{\varphi_f} & (S^f)^{-1}M \\ & \searrow \varphi_f & \\ & & (S^f)^{-1}M \end{array}$$

then there's a restriction map from each localization to another. By universality they must be the same arrow, and as the only arrow which goes from  $(S^f)^{-1}M$  to itself is the identity we have

$$\text{res}_{D(f), D(f)} = \text{id}_{(S^f)^{-1}M}.$$

Now, we have the composition of restriction maps is the longer restriction map. Consider the following diagram:

$$\begin{array}{ccccc} & & (S^f)^{-1}M & & \\ & \nearrow \varphi_f & \uparrow & \nwarrow & \\ M & \xrightarrow{\varphi_g} & (S^g)^{-1}M & & \\ & \searrow \varphi_h & \uparrow & \nearrow & \\ & & (S^h)^{-1}M & & \end{array}$$

(A dashed curved arrow connects  $(S^h)^{-1}M$  to  $(S^f)^{-1}M$ .)

We have that the composition exists by universality as do the smaller arrow. Universality guarantees that they are the same arrow and thus

$$\text{res}_{D(h), D(f)} = \text{res}_{D(g), D(f)} \circ \text{res}_{D(h), D(g)}.$$

Let us now verify the sheaf axioms. We begin by considering an open cover of  $\text{Spec}(A)$  which we can reduce to a finite sub-cover by quasi-compactness, this is

$$\text{Spec}(A) = \bigcup_{i \in I} D(f_i) = \bigcup_{i=1}^n D(f_i).$$

- ◇ Recall that our sheaf sets are modules, so injectivity is equivalent to kernels being trivial. Thus to verify the identity axiom, we consider  $s \in \widetilde{M}(\text{Spec}(A))$  with the assumption that  $\text{res}_{D(f_i)}(s) = 0$ . We wish to show  $s = 0$ .

The restriction lives in one our localization, this means that

$$\text{res}_{D(f_i)}(s) \in \widetilde{M}(D(f_i)) = (S^{f_i})^{-1}M \Rightarrow \text{res}_{D(f_i)}(s) = \frac{m}{g}, \quad \text{where } g \in S^{f_i}.$$

Now this fraction is zero, which means that there's an invertible element  $u \in S^{f_i}$ , which is  $\frac{1}{u} = \frac{a}{f_i^s}$ , that satisfies

$$u(1 \cdot m - 0 \cdot g) = 0 \Rightarrow aum = a \cdot 0 = 0 \Rightarrow f_i^s m = 0.$$

This last proposition holds for all  $i$ , and as we have  $\langle f_1^s, \dots, f_n^s \rangle = A$  we have that  $1 = \sum c_i f_i^s$ . As  $M$  is an  $A$ -module, the next equation holds in  $M$ :

$$m = \left( \sum_{i=1}^n c_i f_i^s \right) m = \sum_{i=1}^n c_i (f_i^s m) = \sum 0 = 0.$$

Thus  $m = 0$  and we have the identity axiom<sup>a</sup>.

- ◇ We proceed as in the proof of the gluing axiom for the structure sheaf. First by taking a finite set of indices and then generalizing to an infinite set of indices.

We take sections  $(s_i)$  such that

$$\text{res}_{D(f_i), D(f_i) \cap D(f_j)}(s_i) = \text{res}_{D(f_j), D(f_i) \cap D(f_j)}(s_j) \quad \text{for all } i, j.$$

The set  $\widetilde{M}(D(f_i) \cap D(f_j))$  is the localization of  $M$  at  $(S^{f_i} \cap S^{f_j})$ . (Couldn't finish this one, wrapping my head around this localization was a bit jarring. Would it be possible to discuss later?)

<sup>a</sup>As 4.1.B mentions that it is possible to replace  $D(f_i)$  by  $D(f)$ , thus generalizing the argument, I don't see how it is possible in the proof of the theorem nor in this exercise. This is because if don't have the finite number of  $f_i$ 's then we can't say that 1 is the linear combination that we have recovered.

**Exercise 4.** Let  $A = \mathbb{C}[x, y]$  and let  $\mathfrak{p} = \text{gen}(y)$ , viewed as a point of  $X = \text{Spec}(A)$ . What is  $\mathcal{O}_{X, \mathfrak{p}}$ ?

Recall that  $\mathcal{O}_{X,p}$  is a local ring, that is, it has a unique maximal ideal,  $\mathfrak{m}_p$ .  
What is the residue field  $\kappa_p = \mathcal{O}_{X,p}/\mathfrak{m}_p$ ?

### Answer

The set  $\mathcal{O}_{X,p}$  is the stalk of the structure sheaf at the point  $p \in \text{Spec } A$ . Germs inside  $\mathcal{O}_{X,p}$  are equivalence classes of pairs  $(f, D(g))$  where  $p \in D(g)$  and  $f \in \mathcal{O}_X(D(g))$ . Recall that in our case

$$\mathcal{O}_X(D(g)) \simeq A_g = \mathbb{C}[x, y]_g$$

so the germs are equivalence classes of rational functions with denominators  $g^r$  about  $\text{gen}(y)$  which don't vanish.

The maximal ideal of the stalk is the germs which vanish at  $\text{gen}(y)$ . Recall that  $f$  vanishes at  $\text{gen}(y)$  when  $f \bmod \text{gen}(y) = 0$ . So  $f$  mustn't have any multiples of  $y$ . The only thing we are left with is rational functions on  $x$  so it must hold that  $\kappa_p \simeq \mathbb{C}(x)$ .

**Exercise 5** (4.4.A Vakil). Show that you can glue an arbitrary collection of schemes together. Suppose we are given:

- ◇ schemes  $X_i$  (as  $i$  runs over some index set  $I$ , not necessarily finite),
- ◇ open subschemes  $X_{ij} \subseteq X_i$  with  $X_{ii} = X_i$ ,
- ◇ isomorphisms  $f_{ij} : X_{ij} \rightarrow X_{ji}$  with  $f_{ii}$  the identity

such that

*the isomorphisms “agree on triple intersections”, i.e.,*

$$f_{ik} \mid_{X_{ij} \cap X_{ik}} = f_{jk} \mid_{X_{ji} \cap X_{jk}} \circ f_{ij} \mid_{X_{ij} \cap X_{ik}}$$

*(so implicitly, to make sense of the right side,  $f_{ij}(X_{ik} \cap X_{ij}) \subseteq X_{jk}$ ).*

This *cocycle condition* ensures that  $f_{ij}$  and  $f_{ji}$  are inverses. In fact, the hypothesis that  $f_{ii}$  is the identity also follows from the cocycle condition.

Show that there is a unique scheme  $X$  (up to unique isomorphism) along with open subsets isomorphic to the  $X_i$  respecting this gluing data in the obvious sense. [Hint: what is  $X$  as a set? What is the topology on this set? In terms of your description of the open sets of  $X$ , what are the sections of this sheaf over each open set?]