

# **Tropical Geometry**

MATH 676

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These notes arose from Tropical Geometry with Dr. Renzo Cavaleri during the Fall of 2023 at CSU. They come from his lectures.

# 1 Student Presentations

## 1.1 Joel, Gfan

Gfan is a software package for computing Grobner fans and tropical varieties. Overview of installation. Gfan runs on the kernel. Gfan consists of various programs. Gfan can be run by creating scripts to pass to the Gfan library. Gfan natively supports  $\mathbb{Q}$  and finite fields. Integers can be used with some work. For example, we can begin with an ideal, such as  $\mathbb{Q}[x, y, z]$  and the ideal  $\{xxy - z, yyz - x, zzx - y\}$ . The library can compute a Grobner basis for any such ideal.

There is a relationship between Grobner basis and tropical varieties. A Grobner basis can give a Grobner cone. A collection of these Grobner cones construct a Grobner fan (distinct from tropical fan). A tropical variety is a union of Grobner cones, and is thus a subfan of a Grobner fan. Gfan does not have the most advanced visualization techniques. Gfan can visualize figure files (only with xfig). We can imagine the Grobner fan exists in  $\mathbb{R}^3$  in the positive quadrant. The Grobner cone is 2-D cones. The unit vectors in  $\mathbb{R}^3$  form a triangle, and the image is the intersection of these cones with that triangle. Given an ideal and a permutation in a permutation group, it can compute a cone in an orbit of the permutation group.

If we want to consider tropical varieties, we can give Gfan a principle ideal  $(x + y + z + w)$  within  $\mathbb{Q}[x, y, z, w]$ . If we want to ask more of the tropical varieties, we would run tropical intersection to compute the rays, the cones generated by the rays. This is a tropical hyperplane in 4-dim.

Generically, Gfan does trivially valued fields. It is possible to add nontrivial valuations, but that takes longer to compute.

## 1.2 Kristina, Tropical Geometry of Deep Neural Networks

There is an equivalence between feedforward neural networks with ReLU activation and tropical rational functions.

(Discussion of the cat vs dog pictures for neural networks) Orientation, identifiable features, replicate human brain processing. Neural networks have hidden layers. Each layer is a matrix product (weight assignment) and vector addition (bias). We then introduce cost functions to compare results, back propagation (gradient descent) to adjust weights.

We have activation functions. The first is the sigmoid  $\sigma(Ax + b) = \frac{1}{1 + e^{-(Ax + b)}}$  we also have the rectified linear unit ReLU  $\sigma(Ax + b) = \max(0, Ax + b)$ . ReLU makes data more sparse, and looks like tropical geometry. First we make assumptions about our  $L$ -layer network. We assume that the weight matrices  $A^{(1)}, \dots, A^{(l)}$  are integer values, the bias vectors  $b^{(1)}, \dots, b^{(l)}$  are real valued, and the activation functions take the form  $\sigma(Ax + b) = \max(0, Ax + b)$ .

To build our equivalence, we first consider the output from the first layer in the neural network  $\nu(x) = \max\{Ax + b, t\}$ , where  $t \in (\mathbb{R} \cup \infty)^l$ . So we can rewrite  $\max\{Ax + b, t\} = \max\{A_+x + b, A_-x + t\} - A_-x$ . So every coordinate of a one layer network is the difference of two tropical polynomials. For networks with multiple layers, apply this decomposition recursively.

**Theorem 1.1.** *A feedforward neural network under the assumptions is a function  $\nu : \mathbb{R}^d \rightarrow \mathbb{R}^p$  whose coordinates are tropical rational functions of the input, i.e.  $\nu(x)F(x) \odot G(x) = F(x) - G(x)$ , where  $F$  and  $G$  are tropical rational functions.*

We can use this equivalence to consider decision boundaries of a neural network. The input space of a neural network is partitioned into disjoint subsets, where each subset determines a final

decision (what is a dog, what is a cat). So our input space might be a tropical curve, and the 2-cells give decision boundaries. We can bound the number of linear regions of a NN by bounding vertices in the dual subdivision of the Newton polyon. This number of linear regions measures complexity of a neural network. These don't make better bounds, but it shows that tropical geometries can do the same work.

### 1.3 Jacob, The Joswig Algorithm

We let  $\mathbb{T} := (\mathbb{R} \cup \{\infty\}, \min, +)$ . We begin by saying that networks can be modeled using graphs. We have Dijkstra's Algorithm which gives us shortest path (including weights). This is relevant to transversing cities with weights on roads. Sometimes, fixed edge weights are too limiting. We let  $x, y \in \mathbb{T}$  be parameters. We have separated graphs, only one copy of each variable associated to each edge (no two edges have the same variable). We then have a parametric shortest path. We let  $\odot$  be a concatenation of edges/paths, and  $\oplus$  a comparison. We interpret  $\infty$  as being an edge that doesn't exist. We can consider the two parametric equations to be  $4 + y \leq 5 + x$  to go down the left path, or  $4 + y \geq 5 + x$  to go down the right path, so we have  $\min\{4 + y, 5 + x\} = (4 \odot y) \oplus (5 \odot x)$ .

The key observation is that the regions of optimal solutions are separated by tropical varieties. Now, what if our graph has multiple tropical polynomials. Each tropical polynomial corresponds to a different destination node. We can instead consider  $(A \rightarrow B, 2)$ ,  $(A \rightarrow B, y)$ ,  $(B \rightarrow D, 1)$ ,  $(A \rightarrow D, 2)$ ,  $(A \rightarrow C, x)$ ,  $(A \rightarrow C, 2)$ , and  $(C \rightarrow D, 1)$ . We then have polynomials with residues when how we end at  $D$ . The Joswig Algorithm is reducing these polynomials. A selection of a solution is selecting a path for each destination node. In each region we select a path for that destination.

We decompose our parameter space into cells where within each cell we have an optimal solution. If we have a path through our x-y plane, we can segment that path into optimal segments.

This decomposition of parameter space came from three tropical polynomials. We can ask if we can describe the decomposition as the tropical varieties of some polynomials. We cannot! We have a proof by pictorial contradiction. We have vertices on the corners, which have coefficients  $\infty$ , but that would cause kinds and additional cells (new vertices).

You are guaranteed convex cells (for any two solutions in a cell, any solution in between is a solution). The computation are also doable and efficient. In summary, the Joswig algorithm produces a decomposition of parameter space into convex cells via tropical varieties. The algorithm works on the order of 10's parameters, as the parametric shortest path problem is (probably) NP-complete (or hard).

### 1.4 Natalie, Group Theory and Tropical Geometry

We let  $\xi$  be a nonzero real number, and denote  $G_\xi$  by the group generated by  $A = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  and  $X = \begin{bmatrix} 1 & \xi \\ 0 & 1 \end{bmatrix}$ . We then ask if  $G_\xi$  is finitely presented. If  $\xi$  is transcendental, the answer is no. However, let  $\xi$  be the root of some irreducible polynomial  $f(x) \in \mathbb{Z}[x]$ . Then  $G_\xi$  is finitely presented iff  $\xi$  or  $\frac{1}{\xi}$  is an algebraic integer over  $\mathbb{Q}$ .

The statement that  $\xi$  or  $\frac{1}{\xi}$  is an algebraic integer over  $\mathbb{Q}$  implies that highest order term or lowest order term of  $f(x)$  is  $\pm 1$ .

Consider the Laurent Polynomial ring  $S = \mathbb{Z}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ . Units are monomials  $\pm x_1^{a_1} \cdots x_n^{a_n}$ .

**Definition 1.1.** The *initial form* of  $f(\vec{x})$  wrt  $\vec{w}$  is a polynomial that records the terms that admit the minimum when tropicalized with  $\vec{w} = \text{val}(\vec{x})$ .

**Example 1.1.** Consider  $f(x) = 4x^3 + 3x + 2$ . Then  $\text{Trop}(f) = \min(3\text{val}(x), \text{val}(x), 0)$ . Now, let  $w = 1 = \text{val}(x)$ . Then  $\text{trop}(f) = \min\{3, 1, 0\}$ , the initial form  $\in_{w=1}(f) = 2$ , as 2 is where the valuation of zero comes from. Now, let  $w = 0$ . Then the minimum is the same, so  $\text{in}_{w=0}(f) = 4x^3 + 3x + 2$ , as everything hits the minimum.

**Definition 1.2.** Let  $I$  be a proper ideal of  $S$ . Then the *initial ideal*  $\text{in}_w(I)$  is the ideal generated by all initial forms  $\text{in}_w(f)$  where  $f$  runs through  $I$ .

**Definition 1.3.** The *Tropical variety* of  $I$  is  $V_{\text{trop}_\mathbb{Z}}(I) = \{w \in \mathbb{R}^n \mid \text{in}_w(I) \neq S\}$ , or  $V_{\text{trop}_\mathbb{Z}}(I) = \{w \in \mathbb{R}^n \mid \in_w(I) \text{ does not contain a unit of } S\}$ .

**Example 1.2.** Let  $I$  be the principal ideal  $I = \langle x_1 + x_2 + 3 \rangle = \{sx_1 + sx_2 + 3s \mid s \in S\}$ . We want to find  $V_{\text{trop}_\mathbb{Z}}(I)$ . First we find  $\text{in}_w(f)$  for possible values of  $w \in \mathbb{R}^2$ . Note that  $\text{trop}(f) = \min\{\text{val}(s) + \text{val}(x_1), \text{val}(s) + \text{val}(x_2), \text{val}(s)\}$ . Now we let  $w = (a, b)$ , and so  $\text{val}(x_1) = a$  and  $\text{val}(x_2) = b$ . Now, if  $a < 0, b$ , then  $\in_w(f) = sx_1$ . If we let  $x_1$  have valuation 1, we would get a unit, so it is not in the variety. If  $0 < a, b$ , then  $\in_w(f) = 3s$ , not a unit, so  $w$  is in the variety.

**Example 1.3.** Let  $\xi$  be a root of an irreducible polynomial  $p(X) = a_2x^2 + a_1x + a_0 \in \mathbb{Z}[x^{\pm 1}]$ . Then  $\text{trop}(p(x)) = \min\{2\text{val}(x), \text{val}(x), 0\}$ . We have  $\in_{w<0} = a_2x^2$ ,  $\text{in}_{w=0} = a_2x^2 + a_1x + a_0$ , and  $\in_{w>0} = a_0$ .

## 1.5 Kylie, Cryptography

Cryptography is a format for sending secret methods that can't be read by anyone besides the recipient. The two major schools are public and private key cryptography. Within Public key cryptography, there are two keys: one public and one private. The public key is used to encrypt information, while the private key is used to decrypt information. In Private Key cryptography, there is a single private key used for both encryption and decryption.

Public key is more secure, while private key is faster. In the discussed paper, a key exchange protocol is used. This is a secure way to exchange a key using public methods between parties.

We will take the min convention. So  $\begin{bmatrix} 1 & 2 \\ \infty & -1 \end{bmatrix} \oplus \begin{bmatrix} 0 & 3 \\ 4 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 2 \\ 4 & -1 \end{bmatrix}$ ,  $\begin{bmatrix} 1 & 2 \\ \infty & -1 \end{bmatrix} \otimes \begin{bmatrix} 0 & 3 \\ 4 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 3 \\ 3 & 0 \end{bmatrix}$   $\otimes$  is matrix multiplication (and then using plus and min) is not commutative, and the tropical identity matrix is the matrix with zero on the diagonal, *inf* elsewhere. Diagonal matrices have something on the diagonal.

We want our public key to be  $n \times n$  matrices  $A$  and  $B$  with tropical entries, with the requirement that  $A \otimes B \neq B \otimes A$ .

Alice has a secret: two tropical polynomials  $p_1$  and  $p_2$  with integer coefficients. Bob does the same with  $q_1$  and  $q_2$ . Alice computes  $p_1(A) \otimes p_2(B) = P_{\text{Alice}}$ , while Bob computes  $q_1(A) \otimes q_2(B) = Q_{\text{Bob}}$ . Then Alice sends Bob her  $P_{\text{Alice}}$ , Bob sends Alice  $Q_{\text{Bob}}$ . Alice knows  $P_1(A)$  and  $P_2(B)$ . Then Alice computes  $p_1(A) \otimes Q_{\text{Bob}} \otimes p_2(B) = K_{\text{Alice}}$ , while Bob computes  $q_1(A) \otimes P_{\text{Alice}} \otimes q_2(B) = K_{\text{Bob}}$ . It turns out that

**Lemma 1.2.**  $K_{\text{Alice}} = K_{\text{Bob}}$

*Proof.* Tropical matrices commute with themselves, so  $q_1(A) \otimes p_1(A) = p_1(A) \otimes q_1(A)$ .  $\square$

The key will then be the matrix  $K_a = K_b = K$ . We can then use these matrices to code and decode matrices via vector multiplication. PROBLEM! Tropical invertible matrices are rare.

**Lemma 1.3.** *The only tropical invertible matrices are permutations of a diagonal matrix.*

*Proof.* That permutations of a diagonal matrix is easy. To see that invertibles must be permutation of a diagonal, we note that  $A \otimes B = I$ , we have  $\oplus a_{ik} \otimes b_{kj}$  which is 0 if  $i = j$  and  $\infty$  if  $i \neq j$ . Keep going to see issues.  $\square$

## 1.6 Ian, A walk through the Tropics of eigenvalues

Max convention As an example, we have instructions for making mac and cheese s1) boil whatever s2) cook pasta s3) grate cheese s4) make bachelmel s4.5) lightly cook tomatoes s5) melt cheese s6) combine. But there are steps that can happen at the same time. step 2 depends on step 1, but grating cheese and making bachelmel can happen at the same time. But melting cheese requires rating cheese. Step six is a final step that everything else needs to happen first. This provides a flow of operations. We want to ask when is the soonest we can start a step.

We create a vector  $\vec{x}$  where  $x_j$  says when we can start task  $j$ . For example, step 6 can start some time after starting step 2. We get a weighted graph where the weights say when can subsequent steps can occur. The max of all paths leading to step 6 gives us  $x_6$ . Thus  $x_i = \max\{A_{ij} + x_j\}$ , where  $A_{ij}$  is how long it takes to go from  $j$  to  $i$ . This corresponds to the matrix equation  $A \odot x = x$

**Definition 1.4.**  $\lambda \odot x = Ax$ , where  $\lambda$  is an eigenvalue with eigenvector  $x$

We let  $A$  be a square matrix, and we consider it as an adjacency matrix, where  $-\infty$  denotes no edge. existing, and zero and negative edges are allowed,  $A_{ij}$  is  $j \rightarrow i$ .

We can think of eigenvalues with respect to this graph.

**Lemma 1.4.** *If  $A \odot x = x \odot \lambda$ , there exists a normalized cycle of averaged weight  $\lambda$*

A normalized cycle is the sum of weights divided by the number of edges

**Example 1.4.** Let  $A = \begin{bmatrix} -\infty & 2 & -\infty \\ -\infty & 4 & -\infty \\ -\infty & -\infty & 5 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \\ -\infty \end{bmatrix} = \begin{bmatrix} 4 \\ 6 \\ -\infty \end{bmatrix}$ . Here  $\lambda = 4$ , and we have another eigenvector  $(-\infty, -\infty, 5)$  with eigenvalue 5.

**Lemma 1.5.** *Let  $\sigma$  be any cycle in our graph. Then the maximum normalized cycle  $\max_{\sigma} w(\sigma)/|\sigma|$  ( $\neq \pm\infty$ ) is an eigenvalue for the associated matrix  $A$ .*

**Theorem 1.6.** *If the graph associated with  $A$  is strongly connected then there is exactly one eigenvalue,  $\lambda = \max_{\sigma} w(\sigma)/|\sigma|$ .*

In terms of our computation, we have  $A \odot x = \lambda \odot x$ , which rewrites as  $\max_j (A_{ij} + x_j) = \lambda + x_i$ , so we get an inequality  $A_{ij} + x_j \leq \lambda + x_i$ , so we have  $A_{ij} + x_j - x_i \leq \lambda$ .

## 1.7 Seth, Tropical varieties of Higher codimension

We begin by discussing classic algebraic geometry and the varieties there. In this setting

**Definition 1.5.** A *hypersurface* is a vanishing set of a single polynomial  $f \in K[x_1, \dots, x_n]$ , denoted  $V(f) = \{(x_1, \dots, x_n) \mid f(x_1, \dots, x_n) = 0\}$

**Example 1.5.** For  $f(x + y + 1)$ , then  $V(f)$  is the line  $y = -x - 1$ .

In higher codimension varieties are vanishing sets of ideals  $V(I)$ , where  $I = (f, g)$ . Then we get  $V(I) = \bigcap_{f \in I} V(f)$ . This is nice because  $I$  is finitely generated (Hilbert Basis Theorem), and  $V(I) = \bigcap_{f \in \text{gen}(I)} V(f)$ . In the tropical setting,  $V(I) = \bigcap V(f)$  for  $f$  a generator does not work.

To translate into tropical geometry, we let  $f \in K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ , then  $\text{trop}(f) : \mathbb{R}^n \rightarrow \mathbb{R}$ , where  $w = (w_1, \dots, w_n) \mapsto \max$  of the valuation of terms. A tropical hypersurface is the set of ties,  $\text{trop}(V(f)) = \{w \in \mathbb{R}^n \mid \text{trop}(f) \text{ attains max at least twice}\}$ .

**Example 1.6.** Let  $f = x + y + 1 \in K[X^{\pm 1}]$ . Then  $\text{trop}(f) : \mathbb{R}^2 \rightarrow \mathbb{R}$ , where  $(w_1, w_2) \mapsto \max(w_1, w_2, 0)$ . Then  $\text{trop}(V(f)) = \{w_1 = w_2 \geq 0, w_1 = 0 \geq w_2, \text{ or } w_2 = 0 \geq w_1\}$ , so we get a tropical curve.

By Kapranov's Theorem,  $\text{trop}(V(f)) = \overline{\text{val}(V(f))}$ .

**Definition 1.6.** A *tropical variety* is  $\text{trop}(V(I)) = \bigcap_{f \in I} \text{trop}(V(f))$

**Theorem 1.7.** *Fundamental Theorem of tropical algebraic geometry*  $\text{trop}(V(I)) = \overline{\text{val}(V(f))}$ .

However,  $\bigcap_{f \in I} \text{trop}(V(f)) \neq \bigcap_{g \in \text{gens}(I)} \text{trop}(V(g))$ . Similarly to Grobner basis, we define a basis which works to work in

**Definition 1.7.** A *Tropical basis*  $T$  for an ideal  $I$  is any basis for which  $\bigcap_{f \in I} \text{trop}(V(f)) = \bigcap_{g \in T \in I} \text{trop}(V(g))$

So when we consider  $f = x + y + 1$  and  $g = x + 2y$  (the line is  $w_1 = w_2$ ), we consider  $\max(w_1, w_2)$ . When we intersect the two we get a ray. This is not balanced. So  $I = (x + y + 1, x + 2y)$  generates an ideal, but it is not a tropical basis. If we add in  $y - 1$  (coming from  $x + 2y - (x + y + 1)$ ), we then get a tropical basis. This adds  $h = y - 1$  which is a horizontal line, which the additional intersection gives us a point.

## 1.8 Sam Line Bundles over $\mathbb{CP}^1$ and $\mathbb{TP}^1$

Manifold Structure on  $\mathbb{CP}^1$

. We define  $\mathbb{CP}^1$  as  $(\mathbb{C}^2 - \{(0, 0)\}) / ((X, Y) \sim (\lambda X, \lambda Y))$  for all  $\lambda \in \mathbb{C}$ . We take open covers  $U_1 = \{[X : Y] \in \mathbb{CP}^1 \mid Y \neq 0\}$  and  $U_2 = \{[X : Y] \in \mathbb{CP}^1 \mid X \neq 0\}$ , and maps  $\varphi_1, \varphi_2 : \mathbb{CP}^1 \rightarrow \mathbb{C}$  defined by  $\varphi_1 : [X : Y] \mapsto \frac{X}{Y} =: x$  and  $\varphi_2 : [X : Y] \mapsto \frac{Y}{X} =: y$ .

We have an equivalent construction  $\mathbb{CP}^1 := ((\mathbb{C}, x) \sqcup (\mathbb{C}, y)) / (x = \frac{1}{y})$ .

line bundles

**Definition 1.8.** A *vector bundle* of rank  $n$  over a space  $X$  is itself a space  $L$  together with a projection  $\pi : L \rightarrow X$  such that

1. There exists an open cover of  $X$   $U := \bigcup_i U_i$  satisfying  $\pi^{-1}(U_i) \simeq u_i x \mathbb{C}^n$ , and if  $\phi_i$  is such a morphism,  $\pi : (\pi^{-1}(U_i)) \rightarrow U_i$  is equal to  $p_1 \circ \phi_i$ , where  $p_1$  is the projection onto the first coordinate.
2. The map  $\phi_j \circ \phi_i^{-1} : (U_i \cap U_j) \times \mathbb{C}^n \rightarrow (u_i \cap u_j) \times \mathbb{C}^n$  is a linear map on  $\mathbb{C}$  and the identity on the intersection.

**Definition 1.9.** the  $\phi_i$  are called *trivializations*.

**Definition 1.10.** A *line bundle* is a rank 1 vector bundle

**Definition 1.11.** Let  $\pi : L \rightarrow X$  be a vector bundle (line bundle). Let  $U \subset X$  be open. A *section* of the bundle over  $U$  is a morphism  $s : U \rightarrow L$  such that  $\pi \circ s = id_U$ .

Sections choose a vector space element for each point of  $X$ .

**Example 1.7.** We can take  $L = \mathbb{CP}^1 \times \mathbb{C}$  which is the trivial line bundle over complex projective space. Sections have the form  $s : x \mapsto (x, f(x))$ , where  $f(x)$  is a complex number associated to  $x$ . So sections of  $\pi : L \rightarrow \mathbb{P}^1$  define functions on complex projective space.

**Example 1.8.** The Tautological Line bundle Is

$$L = \{([X : Y], (x, y)) \in \mathbb{CP}^1 \times \mathbb{C}^2 \mid (x, y) = (\lambda X, \lambda Y)\}$$

So for each line in  $\mathbb{CP}^1$  we pick a representative point on that line.

### Tropical Line Bundles

We have a similar definition when defining line bundles over a tropical space  $X$ .

**Definition 1.12.** A *line bundle* over a tropical space  $X$  is a space  $L$  and a projection  $\pi : L \rightarrow X$  so that

1. There exists an open cover  $U = \bigcup_i U_i$ , and
2. there exist  $\phi_i : \pi^{-1}(U_i) \rightarrow U_i \times \mathbb{T}$ , which are tropical isomorphisms, and such that  $p_1 \circ \phi_i = \pi$  on  $\pi^{-1}(U_i)$ .

These maps induce automorphisms on the tropical semiring  $\mathbb{T}$ . We define  $\tilde{\phi}_{ij} := \phi_j \circ \phi_i^{-1} : (U_i \cap U_j) \times \mathbb{T} \rightarrow (u_i \cap u_j) \times \mathbb{T}$ . In particular,  $\tilde{\phi}_{ij}$  is an automorphism on each  $\{x\} \times \mathbb{T}$ .

**Note 1.**  $Aut(\mathbb{T}) \simeq \mathbb{R}$ .

This lets us define the map  $\phi_{ij} : U_i \cap U_j \rightarrow \mathbb{R}$  via  $\tilde{\phi}_{ij}(x, t) = (x, \phi_{ij}(x) \odot t)$ .

**Example 1.9.** Take  $\mathbb{TP}^1 := (\mathbb{T}, x) \sqcup (\mathbb{T}, y) / (x = -y) = [-\infty, \infty]$ . We take an open cover  $U_1 := [-\infty, \infty)$  and  $U_2 := (-\infty, \infty]$ . We can take the trivial line bundle  $L := \mathbb{TP}^1 \times \mathbb{T}$ . Then the morphisms are  $\phi_1 = \phi_2 = 0$ , and so the induced map we get is  $\phi_{12} : (\infty, \infty) \rightarrow \mathbb{R}$ , which is the constant 0 function.

## 1.9 Ross, Counting Curves Like I Count Stacks

**Example 1.10.** How many circles are tangent to three circles in a plane? The answer is three

**Example 1.11.** How many lines go through two points? It's one.

**Example 1.12.** How many conics go through five points? It's one.

We want to tropicalize these questions so we can more efficiently answer these questions.

We typically moduli and consider  $f : \mathbb{P}^1 \rightarrow \mathbb{P}^2$ . Each condition brings down the dimension of the solution set, until we get to zero dimension, which is a finite number of points. The general

dimensionality of our solution is  $3d+g-1$

We have a related notion in tropical geometry. Given two points, we can find a single tropical line passing through the two, and given five points we have a single tropical conic going through the five points (the conic is degree two).

To be careful, we say that a tropical curve has degree  $d$  if there are exactly  $d$  rays going in each direction (for which rays go).

We have lengths of a graph, and we define  $\gamma : \Gamma \rightarrow \mathbb{R}^2$  via  $\{w_l, P_l\}$ , where we have weights of each edge  $l$ , and some  $P$ .

We can tropicalize a moduli space to get the tropical moduli space.

There are many determinants, and multiplicities.  $N(d, g)$  is the number of curves satisfying  $n$  point conditions,  $g$  is genus

Take some complex polygon, project down some vector, to make sure the points in the polygon are separated nicely. We call the vector we project along  $\lambda$  we call a path  $\lambda$  increasing if a path along vertices goes along an increasing set of points when considering the projections.

Now only do we have  $N(d, g) = N^{trop}(d, g)$ , we have  $N(d, g) = N^{path}(d, g)$ , the number of  $\lambda$  increasing paths counting multiplicity.

We now give a recursive definition.  $\mu(\gamma) = \mu_+(\gamma)\mu_-(\gamma)$ , where

$\mu_{\pm}(\gamma) = 2 * \text{Area}(T)\mu_{\pm}(\gamma'_{\pm}) \pm \mu(\gamma''_{\pm})$ . There are two kinds of paths.  $\gamma_+$  looks for when the path turns left, the  $\gamma'$  is forming triangle,  $\gamma''$  is making a parallelogram,  $\gamma_-$  is when you turn right. This makes subdivisions, base case definitions are the side lengths, where  $\mu(\delta_{\pm}) = 1$ .

## 1.10 Sandra, Intro to Berkovich spaces

What if instead of algebraically closed char 0 field, we had a non archimedean field. We want to build an analog of complex geometry over a non archimedean field

**Definition 1.13.** A *valued field* is a pair  $(K, |\cdot| : K \rightarrow \mathbb{R}_{\geq 0})$ , where

1.  $|a| = 0 \iff a = 0$ ,
2.  $|a - b| \leq |a| + |b|$ , and
3.  $|ab| = |a| * |b|$

**Example 1.13.**  $\mathbb{C}$  with the infinity norm.

A valued field might be complete. For notation  $(\hat{K}, |\cdot|)$  denotes the completion of  $K$  with respect to the norm  $|\cdot|$ . If we take  $K = \mathbb{Q}$ , and the normal Euclidean norm,  $\hat{\mathbb{Q}} = \mathbb{R}$ . If instead we had the  $p$ -adic norm,  $\hat{\mathbb{Q}} = \mathbb{Q}_p$ .



**Definition 1.14.**  $K$  is *complete* if  $k = \hat{k}$

We now consider Laurent series. Take  
 $K = \tilde{k}((T)) = \{f = \sum_{i=-\infty}^{\infty} a_i T^i \mid a_i \in k, (i < 0, a_i = 0)\}$ . Then the order of the zero of  $f$  is defined to be  $\min\{i \mid a_i \neq 0\}$ . Then  $|f|_* := e^{\text{ord}_0(f)}$ . We then claim that  $(K, |\cdot|_*)$ . The Archimedean principle is  $|a + b| > \max\{|a|, |b|\}$ .  $(k, |\cdot|)$  is non-Archimedean if  $|a + b| \leq \max\{|a|, |b|\}$  for all  $a, b \in k$ .

**Example 1.14.**  $(\mathbb{Q}, |\cdot|_p)$  for all primes  $p$ .

**Note 2.** If  $(K, |\cdot|)$  is NA, then the following are true:

1. If  $|a| > |b|$ , then  $|a + b| = |a|$ .
2. All open balls over  $k$  are clopen.
3.  $k$  is totally disconnected (each connected component is a singleton)

These properties make building a manifold theory complicated.  
 When we build a manifold, we have certain desired properties of a space.  
 Our first step is to define a space. Some essential ingredients:

1. We need a set  $X$
2. We need a topology on  $X$
3. We need a structure sheaf  $\mathcal{O}_X$  such that if we pick a section  $f \in \mathcal{O}_X(U)$  ( $U$  open) is analytic.

### 1.11 Jake, Tropical Intersection Theory

Consider a space  $X$ . We have the Chow ring  $A^*(X)$ , which is a graded ring (via the codimension). Elements are subvarieties of  $X$ . We have the translation that  $+$  is the union, and  $\times$  is the intersection. If we consider  $X = \mathbb{P}^2$ , then  $A^0(\mathbb{P}^2) = \mathbb{Z}$ , and so on. The collection of them is  $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \dots$ .

If we consider two polynomials  $F : ax + by = 0$  and  $G : cx + dy = 0$ , we can consider  $\lambda F + \mu G = 0$ . We get that the equations come from  $[0 : 1]$  and  $[1 : 0]$ . This gives polynomials

$$x^2 + y^2 = -, \text{ which comes from } (ax + by)(cx + dy) = 0.$$

Now, if  $X = \mathbb{A}^2$ , then  $F : V(f(x, y))$ . Then  $\lambda F + \mu(1) = 0$  gives  $A^*(\mathbb{A}^2) = \mathbb{Z}$ .

### 1.12 Conegor, Minkowski Weights

This describes classes (pts, lines, conics, etc.) by giving their intersection number with all toric invariant subvarieties (boundaries). We define  $X = B|_p \mathbb{P}^2$ . Looking at the fans of  $X$ , we can ask what happens when  $L$ , line, intersects, a vertex of  $X$ .)

### 1.13 Daniel, Tropical Covers & Tropical Riemann Hurwitz formula

We are trying to emulate Riemann surfaces.

**Definition 1.15.** A *Riemann surface* is a complex analytic manifold of dimension 1.

**Note 3.** A space is a compact Riemann surface if and only if it is a smooth projective curve over  $\mathbb{C}$

Compact Riemann surfaces are classified by their genus. We say  $X$  has genus  $n$  if and only if  $x \mapsto \mathbb{R}^3$  is an  $n$ -holed torus. Furthermore, holomorphic maps between compact Riemann surfaces  $f : X \rightarrow Y$  are branch coverings, i.e. almost everywhere  $d$ -to-one, where cutting out finitely many problematic points and their pre-images, we get  $d$ -to-one. Where the map is not  $d$ -to-one we call that ramification. i.e. we say  $z^2$  is ramified over 0 and  $\infty$

We have global information, which is the genus of  $X$  and  $Y$ , and local information, which is the ramification profile. This information is more combinatorial. The Riemann-Hurwitz formula is how we relate the two. If we have  $f : X \rightarrow Y$  is nonconstant, holomorphic, and degree  $d$ , we get the equality  $2g(X) - 2 = d(2g(Y) - 2) + \sum_{x \in X} (e_x - 1)$ , where  $d$  is the degree of the map,  $2g(X) - 2$

is the Euler characteristic of the space, and  $e_x$  is the ramification index of the point i.e. looking at the pre-images and how many branches intersect. This is a realizability condition.

**Definition 1.16.** An abstract Tropical curve is a connected metric graph  $\Gamma$  with unbounded rays called ends and a genus function  $g : V \rightarrow \mathbb{N}$  which assigns a genus to every vertex of our graph

We have the genus function so that we can think of the curves as being dual to deformations of Riemann surfaces. We can think of the single vertex of degree two as being dual to the genus 2 torus. The deformation splits the genus two surface into two surfaces connected at a single point, so we get two vertices of degree 1 with an edge connecting them. Vertices of degree 1 correspond to the “irreducible” surfaces of genus one (the torus), and the vertex connecting them corresponds to the surfaces intersecting at a point.

**Definition 1.17.** The local degree at a vertex is the sum of the surrounding weights

**Definition 1.18.** A tropical cover  $\Pi : \Gamma_1 \rightarrow \Gamma_2$  is a surjective map satisfying

1. locally integer affine linear ( $\Pi$  scales length by an integer factor). This factor is called the weight of the edge  $w(e)$ .
2. Harmonic/Balancing condition

The first condition allows us to label edges by weights. For the second condition, returning to earlier in these notes. If we have a tropical curve, we label with weights so that we get a “balancing” of the weights on either sides of vertices.

**Definition 1.19.** The local Riemann-Hurwitz condition states that for  $v \in \Gamma_1$ ,  $v \mapsto v'$  with local degree  $d_v$ , we have  $2g(v) - 2 \geq d_v(2g(v') - 2) + \sum_{e \in E, v \in e} (w(e) - 1)$ .

When we consider a cover of a tropical curve  $\Pi$ , we get a duality too a cover of Riemann surfaces  $\hat{\Pi}$ . So satisfying local condition at vertices allows us to satisfy global condition of covers on surfaces.

### 1.14 Eve, (Tropical) Hurwitz numbers

If we have two maps  $f : X \rightarrow Y$  and  $g : \tilde{X} \rightarrow Y$  between Riemann surfaces we say  $f$  and  $g$  are isomorphic if there exists  $\varphi : X \rightarrow \tilde{X}$  such that  $f = \varphi \circ g$ . We can allow  $X = \tilde{X}$ . Let  $Y$  be a connected compact Riemann surface with genus  $g$ . Fix  $b_1, \dots, b_h \in Y$ , and  $\lambda_1, \dots, \lambda_h$  be a partition of  $d \geq 1$ . Then  $f : X \rightarrow Y$  is a Hurwitz cover if

1.  $f$  is holomorphic,
2.  $X$  is a connected compact genus  $h$ ,
3. Branch locus of  $f$  is  $\{b_1, \dots, b_h\}$ ,
4.  $\lambda_i$  is the ramification product of  $b_i$ .

From here, we can say the Hurwitz Number is  $H_{d:h \rightarrow g}(\lambda_1, \dots, \lambda_n) := \sum -[f] \frac{1}{|Aut(f)|}$ . Now, a tropical cover of  $\mathbb{R} \cup \{\pm\infty\}$ . We need to send one valent vertices (ends) to  $\pm\infty$ . We can count these tropical covers via more discrete data. We fix  $\mu$  and  $\nu$  as partitions of  $d \in \mathbb{Z}_{\geq 1}$ . If our degree of the tropical curve is 4, we can get the left end has partition 4, our right end has partition  $(2, 2)$ , the genus of our graph is 1. We let  $r := 2g - 2 + l(\mu) + l(\nu) > 0$  (length of partition  $\mu$  and  $\nu$ ), and we then fix  $p_1, \dots, p_r \in \mathbb{R}$ . Then the tropical Hurwitz number is  $H_{d:g \rightarrow 0}^{trop}(\mu, \nu) := \sum_f m(f)$ ,

where  $M(f)$  is the multiplicity of  $f$ , defined as  $\frac{1}{|Aut(f)|} \prod_{e \text{ a bounded edge}} w(e)$ . The automorphisms of our graph are either swap two vertices or swap two edges, so the automorphism group has size 4.

**Example 1.15.**

$$H_{4:1 \rightarrow 0}^{trop}((4), (2, 2)) = \left( \frac{1}{4} 2 * 2 * 4 \right) + 6 + 3 + 1 = 14$$

We get four total graph coverings.  
We have the following correspondence:  $H_{d:g \rightarrow 0}^{trop}(\mu, \nu) = H_{d:g \rightarrow 0}(\mu, \nu)$