

# Hash Tables: String Search

Michael Levin

Higher School of Economics

Data Structures  
Data Structures and Algorithms

# Outline

- 1 Search Pattern in Text
- 2 Rabin-Karp's Algorithm
- 3 Improving Running Time

## Searching for Patterns

Given a text  $T$  (book, website, facebook profile) and a pattern  $P$  (word, phrase, sentence), find all occurrences of  $P$  in  $T$ .

# Searching for Patterns

Given a text  $T$  (book, website, facebook profile) and a pattern  $P$  (word, phrase, sentence), find all occurrences of  $P$  in  $T$ .

## Examples

- Your name on a website

# Searching for Patterns

Given a text  $T$  (book, website, facebook profile) and a pattern  $P$  (word, phrase, sentence), find all occurrences of  $P$  in  $T$ .

## Examples

- Your name on a website
- Twitter messages about your company

# Searching for Patterns

Given a text  $T$  (book, website, facebook profile) and a pattern  $P$  (word, phrase, sentence), find all occurrences of  $P$  in  $T$ .

## Examples

- Your name on a website
- Twitter messages about your company
- Detect files infected by virus — code patterns

# Substring Notation

## Definition

Denote by  $S[i..j]$  the substring of string  $S$  starting in position  $i$  and ending in position  $j$ .

## Examples


If  $S = \text{"abcde"}$ , then


$S[0..4] = \text{"abcde"}$ ,

$S[1..3] = \text{"bcd"}$ ,

$S[2..2] = \text{"c"}$ .

## Find Pattern in Text

Input: Strings  $T$  and  $P$ . 

Output: All such positions  $i$  in  $T$ ,  
 $0 \leq i \leq |T| - |P|$  that  
 $T[i..i + |P| - 1] = P$ . 



# Naive Algorithm

For each position  $i$  from 0 to  $|T| - |P|$ ,  
check character-by-character whether  
 $T[i..i + |P| - 1] = P$  or not.  
If yes, append  $i$  to the result.

## AreEqual( $S_1, S_2$ )

```
if  $|S_1| \neq |S_2|$ :  
    return False  
for  $i$  from 0 to  $|S_1| - 1$ :  
    if  $S_1[i] \neq S_2[i]$ :  
        return False  
return True
```

## FindPatternNaive( $T, P$ )

```
result  $\leftarrow$  empty list
for  $i$  from 0 to  $|T| - |P|$ :
    if AreEqual( $T[i..i + |P| - 1], P$ ):
        result.Append( $i$ )
return result
```

# Running Time

## Lemma

Running time of `FindPatternNaive( $T, P$ )` is  $O(|T||P|)$ .

# Running Time

## Lemma

Running time of `FindPatternNaive( $T, P$ )` is  $O(|T||P|)$ .

## Proof

- Each `AreEqual` call is  $O(|P|)$

# Running Time

## Lemma


Running time of `FindPatternNaive( $T, P$ )` is  $O(|T||P|)$ .

## Proof

- Each `AreEqual` call is  $O(|P|)$
- $|T| - |P| + 1$  calls of `AreEqual` total to  $O((|T| - |P| + 1)|P|) = O(|T||P|)$   $\square$

## Bad Example

If  $T = \text{“aaa...aa”}$  and  $P = \text{“aaa...ab”}$ , and  $|T| \gg |P|$ , then for each position  $i$  in  $T$  from 0 to  $|T| - |P|$  the call to `AreEqual` has to make all  $|P|$  comparisons.

This is because  $T[i..i + |P| - 1]$  and  $P$  differ only in the last character. 

Thus, in this case the naive algorithm runs in time  $\Theta(|T||P|)$ .

# Outline

- 1 Search Pattern in Text
- 2 Rabin-Karp's Algorithm
- 3 Improving Running Time



# Rabin-Karp's Algorithm

- Need to compare  $P$  with all substrings  $S$  of  $T$  of length  $|P|$

# Rabin-Karp's Algorithm

- Need to compare  $P$  with all substrings  $S$  of  $T$  of length  $|P|$
- Idea: use hashing to quickly compare  $P$  with substrings of  $T$

# Rabin-Karp's Algorithm

- If  $h(P) \neq h(S)$ , then definitely  $P \neq S$

# Rabin-Karp's Algorithm

- If  $h(P) \neq h(S)$ , then definitely  $P \neq S$
- If  $h(P) = h(S)$ , call `AreEqual(P, S)`

# Rabin-Karp's Algorithm

- If  $h(P) \neq h(S)$ , then definitely  $P \neq S$
- If  $h(P) = h(S)$ , call `AreEqual(P, S)`
- Use polynomial hash family  $\mathcal{P}_p$  with prime  $p$

# Rabin-Karp's Algorithm

- If  $h(P) \neq h(S)$ , then definitely  $P \neq S$
- If  $h(P) = h(S)$ , call `AreEqual(P, S)`
- Use polynomial hash family  $\mathcal{P}_p$  with prime  $p$
- If  $P \neq S$ , the probability  $Pr[h(P) = h(S)]$  is at most  $\frac{|P|}{p}$  for polynomial hashing



## RabinKarp( $T, P$ )

```
 $p \leftarrow$  big prime,  $x \leftarrow \text{random}(1, p - 1)$   
result  $\leftarrow$  empty list  
pHash  $\leftarrow$  PolyHash( $P, p, x$ )  
for  $i$  from 0 to  $|T| - |P|$ :  
    tHash  $\leftarrow$  PolyHash( $T[i..i + |P| - 1], p, x$ )  
    if pHash  $\neq$  tHash:  
        continue  
    if AreEqual( $T[i..i + |P| - 1], P$ ):  
        result.Append( $i$ )  
return result
```

# False Alarms

“False alarm” is the event when  $P$  is compared with  $T[i..i + |P| - 1]$ , but  $P \neq T[i..i + |P| - 1]$ .

The probability of “false alarm” is at most  $\frac{|P|}{p}$

On average, the total number of “false alarms” will be  $(|T| - |P| + 1)\frac{|P|}{p}$ , which can be made small by selecting  $p \gg |T||P|$ .



# Running Time without AreEqual

- $h(P)$  is computed in  $O(|P|)$

# Running Time without AreEqual

- $h(P)$  is computed in  $O(|P|)$
- $h(T[i..i + |P| - 1])$  is computed in  $O(|P|)$ ,  $|T| - |P| + 1$  times

# Running Time without AreEqual



- $h(P)$  is computed in  $O(|P|)$
- $h(T[i..i + |P| - 1])$  is computed in  $O(|P|)$ ,  $|T| - |P| + 1$  times
- $O(|P|) + O((|T| - |P| + 1)|P|) = O(|T||P|)$

# AreEqual Running Time

- AreEqual is computed in  $O(|P|)$

# AreEqual Running Time

- AreEqual is computed in  $O(|P|)$
- AreEqual is called only when  $h(P) = h(T[i..i + |P| - 1])$ , meaning that either an occurrence of  $P$  is found or a “false alarm” happened

# AreEqual Running Time

- AreEqual is computed in  $O(|P|)$
- AreEqual is called only when  $h(P) = h(T[i..i + |P| - 1])$ , meaning that either an occurrence of  $P$  is found or a “false alarm” happened
- By selecting  $p \gg |T||P|$  we make the number of “false alarms” negligible

# Total Running Time


- If  $P$  is found  $q$  times in  $T$ , then total time spent in `AreEqual` is



$$O\left(\left(q + \frac{(|T| - |P| + 1)|P|}{p}\right)|P|\right) = O(q|P|) \text{ for } p \gg |T||P|$$




# Total Running Time

- If  $P$  is found  $q$  times in  $T$ , then total time spent in `AreEqual` is  
$$O\left(\left(q + \frac{(|T| - |P| + 1)|P|}{p}\right)|P|\right) = O(q|P|) \text{ for } p \gg |T||P|$$
- Total running time is  
$$O(|T||P|) + O(q|P|) = O(|T||P|) \text{ as } q \leq |T|$$





# Total Running Time

- If  $P$  is found  $q$  times in  $T$ , then total time spent in `AreEqual` is  
$$O\left(\left(q + \frac{(|T| - |P| + 1)|P|}{p}\right)|P|\right) = O(q|P|) \text{ for } p \gg |T||P|$$
- Total running time is   
$$O(|T||P|) + O(q|P|) = O(|T||P|) \text{ as } q \leq |T|$$
- Same as naive algorithm, but can be improved!

# Outline

- 1 Search Pattern in Text
- 2 Rabin-Karp's Algorithm
- 3 Improving Running Time

# Improving Running Time

$$h(S) = \sum_{i=0}^{|S|-1} S[i]x^i \bmod p$$




# Improving Running Time


$$h(S) = \sum_{i=0}^{|S|-1} S[i]x^i \bmod p$$

$$h(T[i..i + |P| - 1]) = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p$$

# Improving Running Time

$$h(S) = \sum_{i=0}^{|S|-1} S[i]x^i \bmod p$$

$$h(T[i..i + |P| - 1]) = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p$$

Idea: polynomial hashes of two consecutive substrings of  $T$  are very similar 

# Improving Running Time

$$h(S) = \sum_{i=0}^{|S|-1} S[i]x^i \bmod p$$

$$h(T[i..i + |P| - 1]) = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p$$

Idea: polynomial hashes of two consecutive substrings of  $T$  are very similar

For each  $i$  denote  $h(T[i..i + |P| - 1])$  by  $H[i]$

## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \quad \boxed{0} \quad \boxed{1} \quad \boxed{2} \quad \boxed{1} \quad \boxed{3} \end{array} \quad |P| = 3$$

## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3 \\ h(\text{"cbd"}) = \end{array}$$



## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3 \\ h(\text{"cbd"}) = 1 \quad x \quad x^2 \end{array}$$

## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3 \\ h(\text{"cbd"}) = 2 \quad x \quad 3x^2 \end{array}$$

## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3 \\ h(\text{"cbd"}) = 2 + x + 3x^2 \end{array}$$

## Consecutive substrings

$$\begin{array}{l} T = \quad a \quad b \quad c \quad b \quad d \\ T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3 \\ h(\text{"cbd"}) = 2 + x + 3x^2 \end{array}$$

$$h(\text{"bcb"}) =$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$$h(\text{"bcb"}) = 1 + x + x^2$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$\downarrow \times x \quad \downarrow \times x$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$



## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$\downarrow_{xx} \quad \downarrow_{xx}$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

$$H[2] = h(\text{"cbd"}) = 2 + x + 3x^2$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$\downarrow \times x \quad \downarrow \times x$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

$$H[2] = h(\text{"cbd"}) = 2 + x + 3x^2$$

$$H[1] = h(\text{"bcb"}) = 1 + 2x + x^2 =$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$$\begin{array}{cc} \downarrow \times x & \downarrow \times x \end{array}$$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

$$H[2] = h(\text{"cbd"}) = 2 + x + 3x^2$$

$$\begin{aligned} H[1] &= h(\text{"bcb"}) = 1 + 2x + x^2 = \\ &= 1 + x(2 + x) = \end{aligned}$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$$\begin{array}{cc} \downarrow \times x & \downarrow \times x \end{array}$$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

$$H[2] = h(\text{"cbd"}) = 2 + x + 3x^2$$

$$H[1] = h(\text{"bcb"}) = 1 + 2x + x^2 =$$

$$= 1 + x(2 + x) =$$

$$= 1 + x(2 + x + 3x^2) - 3x^3 =$$

## Consecutive substrings

$$T = \begin{array}{ccccc} a & b & c & b & d \end{array}$$
$$T' = \begin{array}{|c|c|c|c|c|} \hline 0 & 1 & 2 & 1 & 3 \\ \hline \end{array} \quad |P| = 3$$

$$h(\text{"cbd"}) = 2 + x + 3x^2$$

$\downarrow_{xx} \quad \downarrow_{xx}$

$$h(\text{"bcb"}) = 1 + 2x + x^2$$

$$H[2] = h(\text{"cbd"}) = 2 + x + 3x^2$$

$$H[1] = h(\text{"bcb"}) = 1 + 2x + x^2 =$$

$$= 1 + x(2 + x) =$$

$$= 1 + x(2 + x + 3x^2) - 3x^3 =$$

$$= xH[2] + 1 - 3x^3$$

# Recurrence of Hashes

$$H[i + 1] = \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} \bmod p$$

# Recurrence of Hashes

$$H[i + 1] = \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} \bmod p$$

$$H[i] = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p =$$

# Recurrence of Hashes

$$H[i+1] = \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} \bmod p$$

$$H[i] = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p =$$

$$= \sum_{j=i+1}^{i+|P|} T[j]x^{j-i} + T[i] - T[i+|P|]x^{|P|} \bmod p =$$



# Recurrence of Hashes

$$H[i+1] = \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} \bmod p$$

$$H[i] = \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p =$$

$$= \sum_{j=i+1}^{i+|P|} T[j]x^{j-i} + T[i] - T[i+|P|]x^{|P|} \bmod p =$$

$$= x \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} + (T[i] - T[i+|P|]x^{|P|}) \bmod p$$

# Recurrence of Hashes


$$H[i+1] = \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} \bmod p$$

$$\begin{aligned} H[i] &= \sum_{j=i}^{i+|P|-1} T[j]x^{j-i} \bmod p = \\ &= \sum_{j=i+1}^{i+|P|} T[j]x^{j-i} + T[i] - T[i+|P|]x^{|P|} \bmod p = \\ &= x \sum_{j=i+1}^{i+|P|} T[j]x^{j-i-1} + (T[i] - T[i+|P|]x^{|P|}) \bmod p \end{aligned}$$

$$H[i] = xH[i+1] + (T[i] - T[i+|P|]x^{|P|}) \bmod p$$

## PrecomputeHashes( $T, |P|, p, x$ )

```
 $H \leftarrow$  array of length  $|T| - |P| + 1$ 
 $S \leftarrow T[|T| - |P| .. |T| - 1]$ 
 $H[|T| - |P|] \leftarrow \text{PolyHash}(S, p, x)$ 
 $y \leftarrow 1$ 
for  $i$  from 1 to  $|P|$ :
     $y \leftarrow (y \times x) \bmod p$ 
for  $i$  from  $|T| - |P| - 1$  down to 0:
     $H[i] \leftarrow (xH[i + 1] + T[i] - yT[i + |P|]) \bmod p$ 
return  $H$ 
```



## PrecomputeHashes( $T, |P|, p, x$ )

```
 $H \leftarrow$  array of length  $|T| - |P| + 1$   
 $S \leftarrow T[|T| - |P| .. |T| - 1]$   
 $H[|T| - |P|] \leftarrow \text{PolyHash}(S, p, x)$   
 $y \leftarrow 1$   
for  $i$  from 1 to  $|P|$ :  
     $y \leftarrow (y \times x) \bmod p$   
for  $i$  from  $|T| - |P| - 1$  down to 0:  
     $H[i] \leftarrow (xH[i + 1] + T[i] - yT[i + |P|]) \bmod p$   
return  $H$ 
```

$O(|P|)$

## PrecomputeHashes( $T, |P|, p, x$ )

```
 $H \leftarrow$  array of length  $|T| - |P| + 1$   
 $S \leftarrow T[|T| - |P| .. |T| - 1]$   
 $H[|T| - |P|] \leftarrow \text{PolyHash}(S, p, x)$   
 $y \leftarrow 1$   
for  $i$  from 1 to  $|P|$ :  
     $y \leftarrow (y \times x) \bmod p$   
for  $i$  from  $|T| - |P| - 1$  down to 0:  
     $H[i] \leftarrow (xH[i + 1] + T[i] - yT[i + |P|]) \bmod p$   
return  $H$ 
```

$$O(|P| + |P|)$$

## PrecomputeHashes( $T, |P|, p, x$ )

```
 $H \leftarrow$  array of length  $|T| - |P| + 1$   
 $S \leftarrow T[|T| - |P| .. |T| - 1]$   
 $H[|T| - |P|] \leftarrow \text{PolyHash}(S, p, x)$   
 $y \leftarrow 1$   
for  $i$  from 1 to  $|P|$ :  
     $y \leftarrow (y \times x) \bmod p$   
for  $i$  from  $|T| - |P| - 1$  down to 0:  
     $H[i] \leftarrow (xH[i + 1] + T[i] - yT[i + |P|]) \bmod p$   
return  $H$ 
```

$$O(|P| + |P| + |T| - |P|) = O(|T| + |P|)$$

# Precomputing $H$

- PolyHash is called once —  $O(|P|)$
- First for loop runs in  $O(|P|)$
- Second for loop runs in  $O(|T| - |P|)$
- Total precomputation time  $O(|T| + |P|)$

## RabinKarp( $T, P$ )

```
 $p \leftarrow$  big prime,  $x \leftarrow \text{random}(1, p - 1)$   
result  $\leftarrow$  empty list  
pHash  $\leftarrow$  PolyHash( $P, p, x$ )  
 $H \leftarrow$  PrecomputeHashes( $T, |P|, p, x$ )  
for  $i$  from 0 to  $|T| - |P|$ :  
    if pHash  $\neq H[i]$ :  
        continue  
    if AreEqual( $T[i..i + |P| - 1], P$ ):  
        result.Append( $i$ )  
return result
```



# Improved Running Time

- $h(P)$  is computed in  $O(|P|)$

# Improved Running Time

- $h(P)$  is computed in  $O(|P|)$
- `PrecomputeHashes` runs in  $O(|T| + |P|)$

# Improved Running Time

- $h(P)$  is computed in  $O(|P|)$
- `PrecomputeHashes` runs in  $O(|T| + |P|)$
- Total time spent in `AreEqual` is  $O(q|P|)$  on average where  $q$  is the number of occurrences of  $P$  in  $T$

# Improved Running Time

- $h(P)$  is computed in  $O(|P|)$
- `PrecomputeHashes` runs in  $O(|T| + |P|)$
- Total time spent in `AreEqual` is  $O(q|P|)$  on average where  $q$  is the number of occurrences of  $P$  in  $T$
- Average running time  $O(|T| + (q + 1)|P|)$

# Improved Running Time

- $h(P)$  is computed in  $O(|P|)$
- `PrecomputeHashes` runs in  $O(|T| + |P|)$
- Total time spent in `AreEqual` is  $O(q|P|)$  on average where  $q$  is the number of occurrences of  $P$  in  $T$
- Average running time  $O(|T| + (q + 1)|P|)$
- Usually  $q$  is small, so this is much less than  $O(|T||P|)$

# Conclusion

- Hash tables are useful for storing Sets and Maps

# Conclusion

- Hash tables are useful for storing Sets and Maps
- Possible to search and modify hash tables in  $O(1)$  on average!

# Conclusion

- Hash tables are useful for storing Sets and Maps
- Possible to search and modify hash tables in  $O(1)$  on average!
- Must use good hash families and randomization



# Conclusion

- Hash tables are useful for storing Sets and Maps
- Possible to search and modify hash tables in  $O(1)$  on average!
- Must use good hash families and randomization
- Hashes are also useful while working with strings and texts

# Conclusion

- Hash tables are useful for storing Sets and Maps
- Possible to search and modify hash tables in  $O(1)$  on average!
- Must use good hash families and randomization
- Hashes are also useful while working with strings and texts
- There are many more applications in distributed systems and data science