

Novel Single Screw Extrusion Methodology of PLCL Copolymer

Isaac Einstein Dr. Sandra Metzler* Adrian Bakhtar

Dr. Sandra Anstaett Metzler, D.Sc., P.E., Isaac Einstein, Adrian Bakhtar
 Department of Mechanical Engineering, The Ohio State University
 201 W 19th Avenue, Columbus, OH 43210
 Email Address: Metzler.136@osu.edu

Keywords: *Keyword 1, Keyword 2, Keyword 3*

Additional Notes:

1. Must request permission to use any cited figures
 - 1.1. Should we avoid figures in motivation because of the required permission?

Abstract Section.

1 Introduction

1.1 Motivation

PLCL (poly(l-lactide-co- ϵ -caprolactone)) is a copolymer of poly(lactide acid) (PLA) and poly(ϵ -caprolactone) (PCL). These polymers are commonly used implantable materials for their biodegradability, non-toxic degradation products, and biocompatibility. Polyester-based polymers have increasingly been researched for use in biomedical applications [1, 2, 3, 4].

This research focused on extruding using a tabletop extruder. This methodology makes PLCL synthesis more accessible for small-scale labs. Additionally, it allows for fine-tuning of material composition which can directly impact degradation timeline, material properties, and performance characteristics such as 3D printability.

1.1.1 Increasing Accessibility

Most existing methods for synthesizing PLCL require large, expensive equipment or specialized chemistry equipment and expertise (see Section 1.2).

This methodology uses a tabletop extruder, such as a 3Devo Filament Maker One, which requires relatively low overhead, raw material, and prior expertise to operate [5]. By decreasing these requirements, this methodology is accessible for laboratories with limited funding and space.

1.1.2 Fine-Tuning Material Composition

Adjusting the material composition of PLCL, the percent composition of PLA and PCL co-polymers, can affect the degradation timeline, material properties, and performance characteristics of the output material.

Degradation timeline:

Very little of my research revolved around degradation, but I can add more to this if you'd prefer.

The synthesis method as well as co-polymer material composition of PLCL affects the material's degradation timeline. By adjusting the breakdown of PLA and PCL in the blend, the time needed to fully biodegrade within the body can be altered [1, 6].

Material properties:

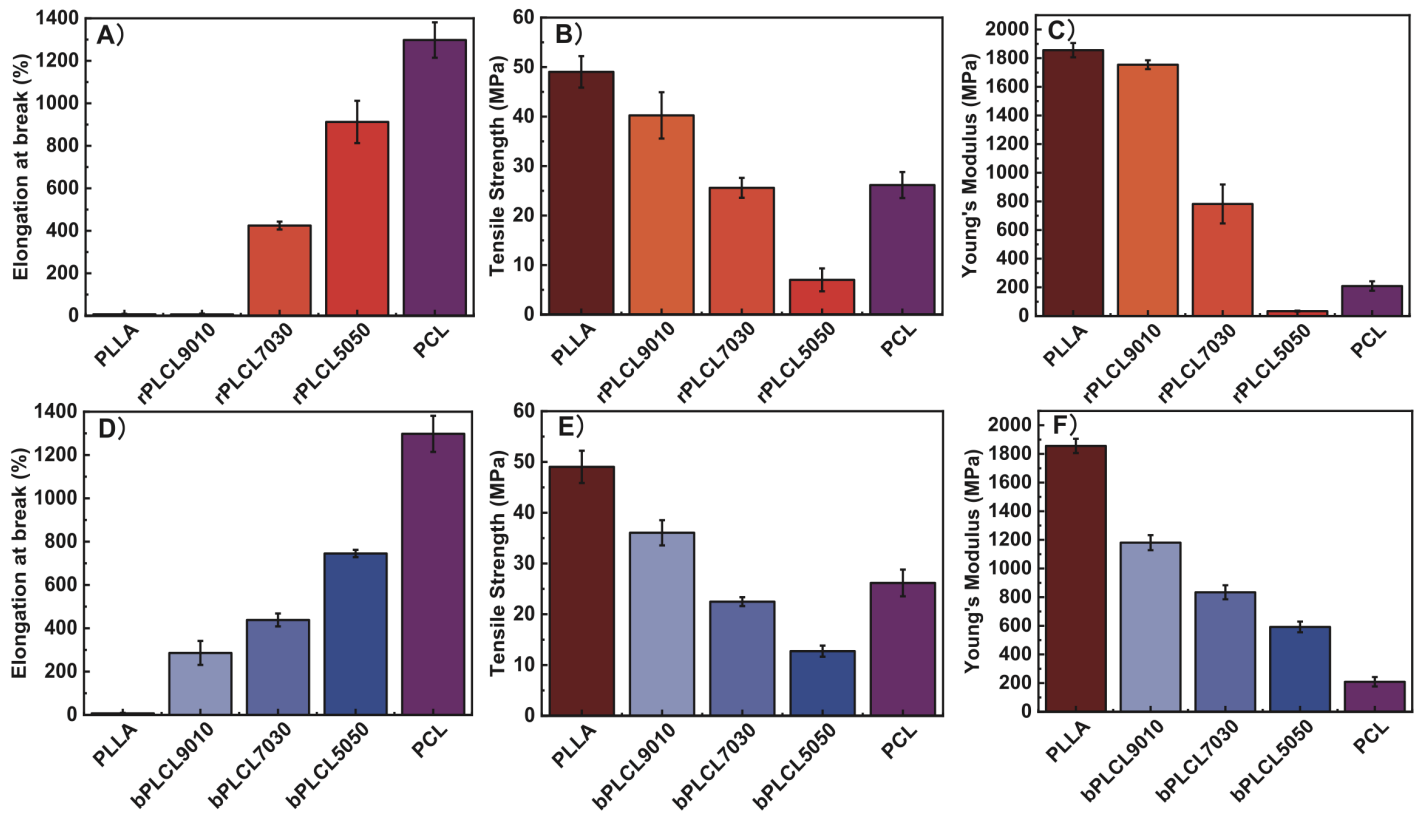


Figure 1: Changes in mechanical properties as PLA/PCL ratios are adjusted. (A,D) Elongation at break, (B,E) Tensile Strength, (C,F) Young's Modulus. Reproduced with permission.^[1] Copyright Year, Publisher.

The material composition of PLCL has been found to directly impact material properties such as tensile strength and elastic modulus [1, 3].

PLA exhibits high strength but uncontrollable biodegradability and excessive brittleness. PCL, alternatively, is a softer material that exhibits low strength but high toughness. When combined to create PLCL, PCL incorporation can counteract the brittleness of PLA but decreases overall strength [3, 1]. Research has been conducted to evaluate the changes in properties based on various PLA/PCL ratios. These studies conclude that elongation at break generally increases with a higher percentage of PCL, and tensile strength and Young's modulus decrease with an increase in PCL [2, 1, 7]. Some of these results are shown below in Figure 1.

Additionally, elastic behavior was only exhibited when PCL composition was high enough such as at least 30% as illustrated in Figure 2 [7].

Performance characteristics:

Were there other "performance characteristics" besides 3D printability?

Performance characteristics such as 3D printability of the PLCL output are also affected by material composition. One study compared the printability of 70/30 and 65/35 PLA/PCL. Through various calibration testing, it was found that 70/30 PLA/PCL was the most printable PLCL copolymer [7]. Figure 3 helps illustrate these differences in printability.

1.2 Existing Methods

Various methods currently exist for synthesizing PLCL including laboratory synthesis, thermal techniques, large-scale extrusion, and proprietary methods.

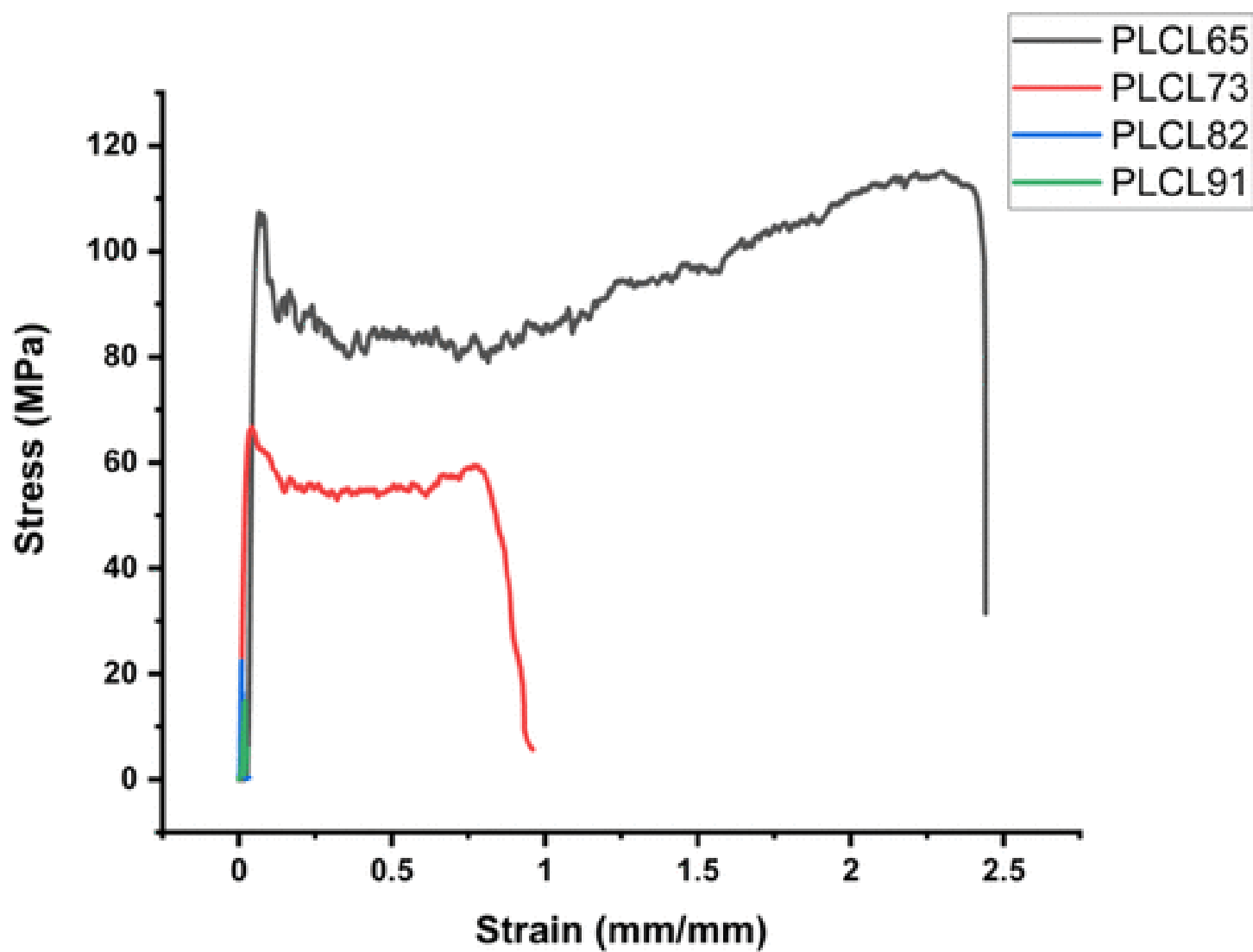


Figure 2: Changes in elastic behavior with increased PCL percentage. Reproduced with permission.^[7] Copyright Year, Publisher.

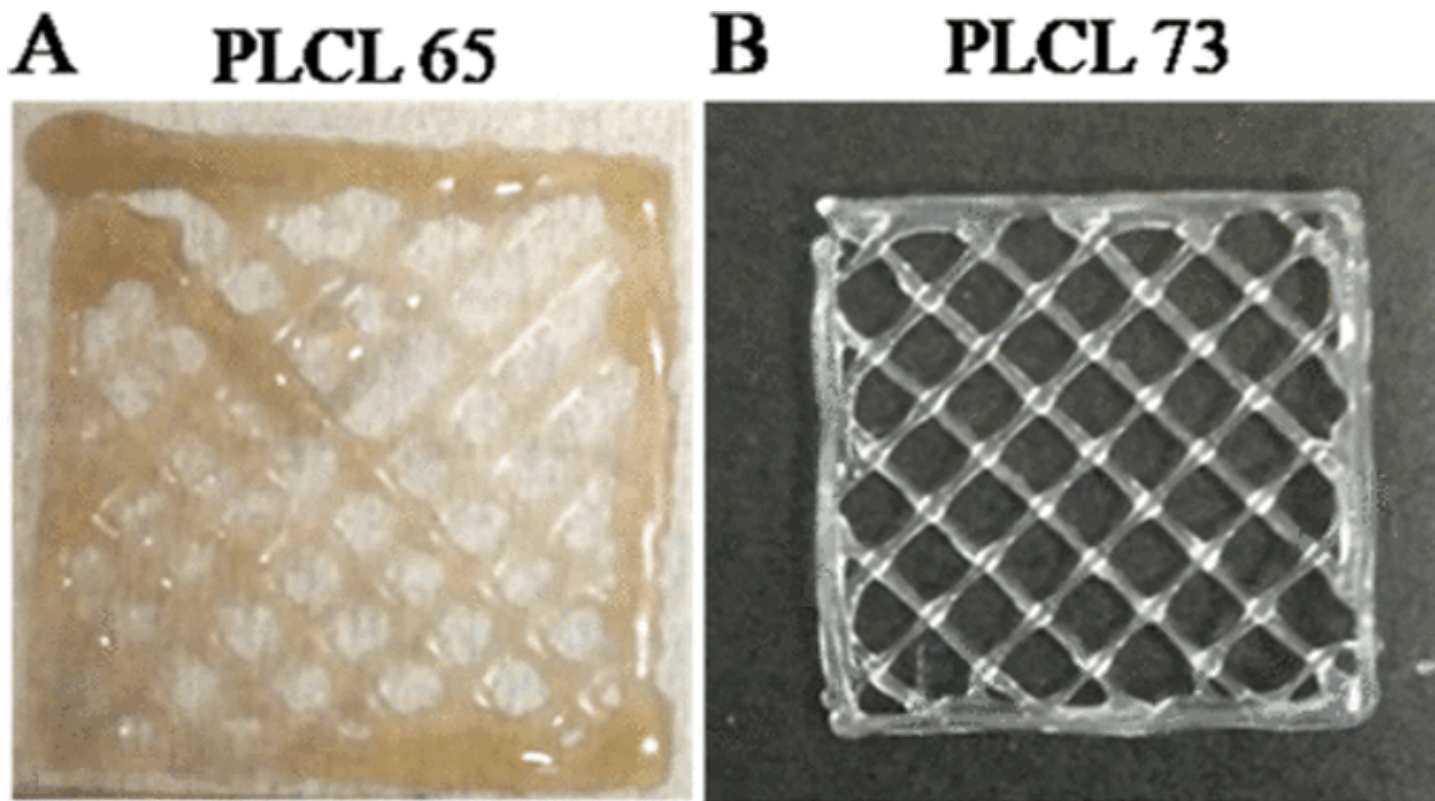


Figure 3: Evaluating printability of 65/35 (left) and 70/30 (right) PLCL through a grid test. Reproduced with permission.^[7] Copyright Year, Publisher.

1.2.1 Lab Synthesis

In a laboratory setting, PLCL can be formed using ring-opening polymerization (ROP) or combining PLA and PCL with a dissolving agent [1, 4, 8].

Ring-opening polymerization:

In a laboratory setting, PLCL can be combined through ring-opening polymerization (ROP) to create random or block structure PLCL. Both of these synthesis methods require a dedicated laboratory setup and specific expertise [1].

Dissolving co-polymers:

Another option for combining PLA and PCL into a PLCL copolymer is by chemically dissolving the materials together. Common solvents used for this are chloroform or dichloromethane (DCM).

To perform this, PLA and PCL are dissolved in DCM or chloroform under agitation for three to four hours [4, 8].

1.2.2 Thermal-Based Synthesis

PLCL can be synthesized by using heat to melt PLA and PCL together. This has been done via specialized equipment for melting or injection molding [9, 10].

Thermal blending:

PLA and PCL can be melt-compounded through equipment such as an RM-200C torque internal mixer. This combines the materials into a PLCL "cake" that can be pelletized [9].

Injection molding:

Injection molding is also a viable method to combine PLA and PCL into PLCL. Some literature employing injection molding have used this technology to fabricate tensile testing specimen [9, 10].

Injection molding alone has been found to poorly mix materials, however, causing some studies to combine PLA and PCL into a mixture before injection molding [9].

1.2.3 Large-Scale Extrusion

PLA and PCL can be combined thermally via an extruder. Either single or twin screw extruders can be used for this operation, although literature gravitates towards using a twin screw extruder for more accurate mixing [11, 12, 10].

Single screw extruder:

While limited research exists regarding creating PLCL through a single screw extruder, one study did use a single screw extruder to combine a base material (ABS) with a powder filler (carbon fiber) [11]. This study re-extruded the material to ensure adequate mixing and increase the bulk density, which in turn led to more consistent flow rate when 3D printing [11]. Other research concluded that single screw extruders could carry and combine a binder and filler material, but inhomogeneously [13].

Twin screw extruder:

Multiple studies utilize a twin screw extruder to combine PLA and PCL in synthesizing PLCL [12, 10]. Twin screw extruders, specifically co-rotating extruders, are ideal for mixing multiple materials compared to single screw extruders [14].

1.2.4 Proprietary Methods

Some companies have created 3D printable PLCL filament through disclosed proprietary methods. Lattice Medical is one example in which extrusion was noted as the general synthesis method, but exact parameters cannot be shared [15, 16]. Collaborations with Lattice Medical were attempted prior to developing the synthesis method outlined in this paper.

1.3 Knowledge Gap

2 First Section

2.1 First Subsection

2.1.1 First Sub Subsection

First lowest-level subsection:

3 Conclusion

4 Experimental Section

First part of experimental section:

Second part of experimental section:

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

Acknowledgements

Please insert your acknowledgements here

Table 1: Table 1 caption

Description 1	Description 2	Description 3
Row 1, Col 1	Row 1, Col 2	Row 1, Col 3
Row 2, Col 1	Row 2, Col 2	Row 2, Col 3

References

- [1] C. Luo, S. Liu, W. Luo, J. Wang, H. He, C. Chen, L. Xiao, C. Liu, Y. Li, Fabrication of PLCL block polymer with tunable structure and properties for biomedical application, **2023**.
- [2] J. Fernández, A. Etxeberria, J.-R. Sarasua, *Journal of the Mechanical Behavior of Biomedical Materials* **2012**, 9 100.
- [3] M. Zhang, Z. Chang, X. Wang, Q. Li, *Polymers* **2021**, 13, 8 1270.
- [4] H. Jeong, J. Rho, J.-Y. Shin, Deuk, Y. Lee, T. Hwang, Kwang, J. Kim **2018**.
- [5] 3devo filament makers, URL <https://www.3devo.com/filament-makers>.
- [6] N. K. Kalita, S. M. Bhasney, C. Mudenur, A. Kalamdhad, V. Katiyar, *Chemosphere (Oxford)* **2020**, 247 125875.
- [7] R. V. G, J. Wilson, L. V. Thomas, P. D. Nair, *ACS omega* **2022**, 7, 8 7002, -70/30 PLCL is the best mixture level for printability.
- [8] E. Åkerlund, A. Diez-Escudero, A. Grzeszczak, C. Persson, *Polymers* **2022**, 14, 16.
- [9] M. Chen, Y. Chen, H. He, X. Zhou, N. Chen, *Polymers* **2025**, 17, 7.
- [10] A. Fernández-tena, I. Otaegi, L. Irusta, V. Sebastián, G. Guerrica-echevarria, A. J. Müller, N. Aranburu, High-impact PLA in compatibilized PLA/PCL blends: Optimization of blend composition and type and content of compatibilizer, **2023**, URL <https://www.proquest.com/docview/2901250674?pq-origsite=primo&searchKeywords=10.1002%2Fm>
- [11] F. Ning, W. Cong, J. Qiu, J. Wei, S. Wang, *Composites Part B: Engineering* **2015**, 80, key Point: To combine materials before extruding filament, using a blender may be required.
- [12] I. Navarro-Baena, V. Sessini, F. Dominici, L. Torre, J. M. Kenny, L. Peponi, *Polymer Degradation and Stability* **2016**, 132.
- [13] M. Savi, . Andrade, . Villani, . Rodrigues, M. P. A. Potiens, Development of FFF filaments for bone and teeth representation in 3D printed radiological objects.
- [14] What is a twin screw extruder? types, applications, and benefits explained, URL <https://www.nanoscience.com/blogs/what-is-a-twin-screw-extruder-types-applications-and-ben>
- [15] Lattice medical's success story pioneering medical advances, URL <https://www.3devo.com/lattice-medical-case-study>.
- [16] L. Services, Medical grade PLCL filament, URL <https://lattice-services.com/en/absorbable-implantable-filaments/caprolactisse-70-30/>.

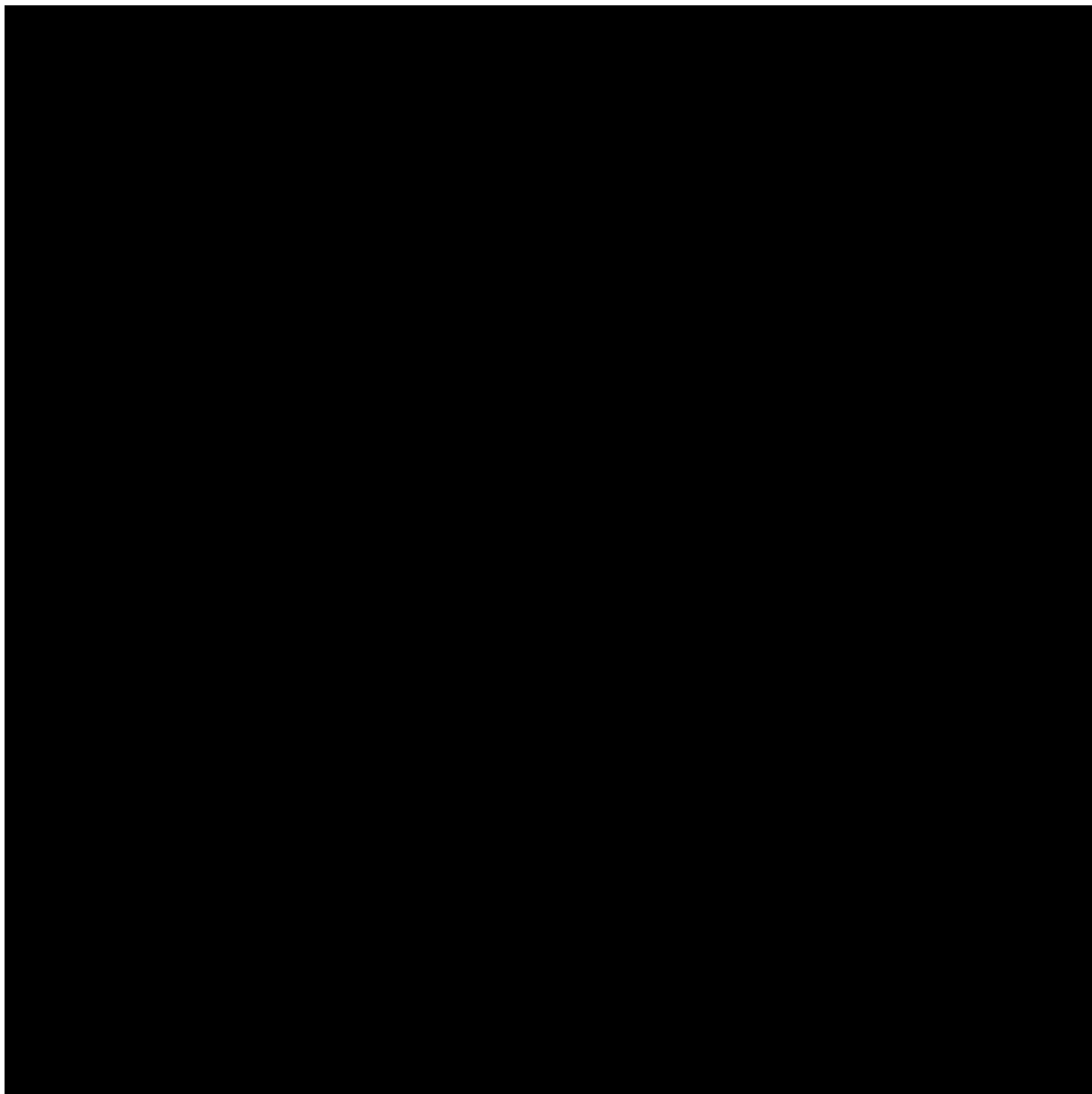


Figure 4: Figure 1 caption goes here. Reproduced with permission.^[Ref.] Copyright Year, Publisher.

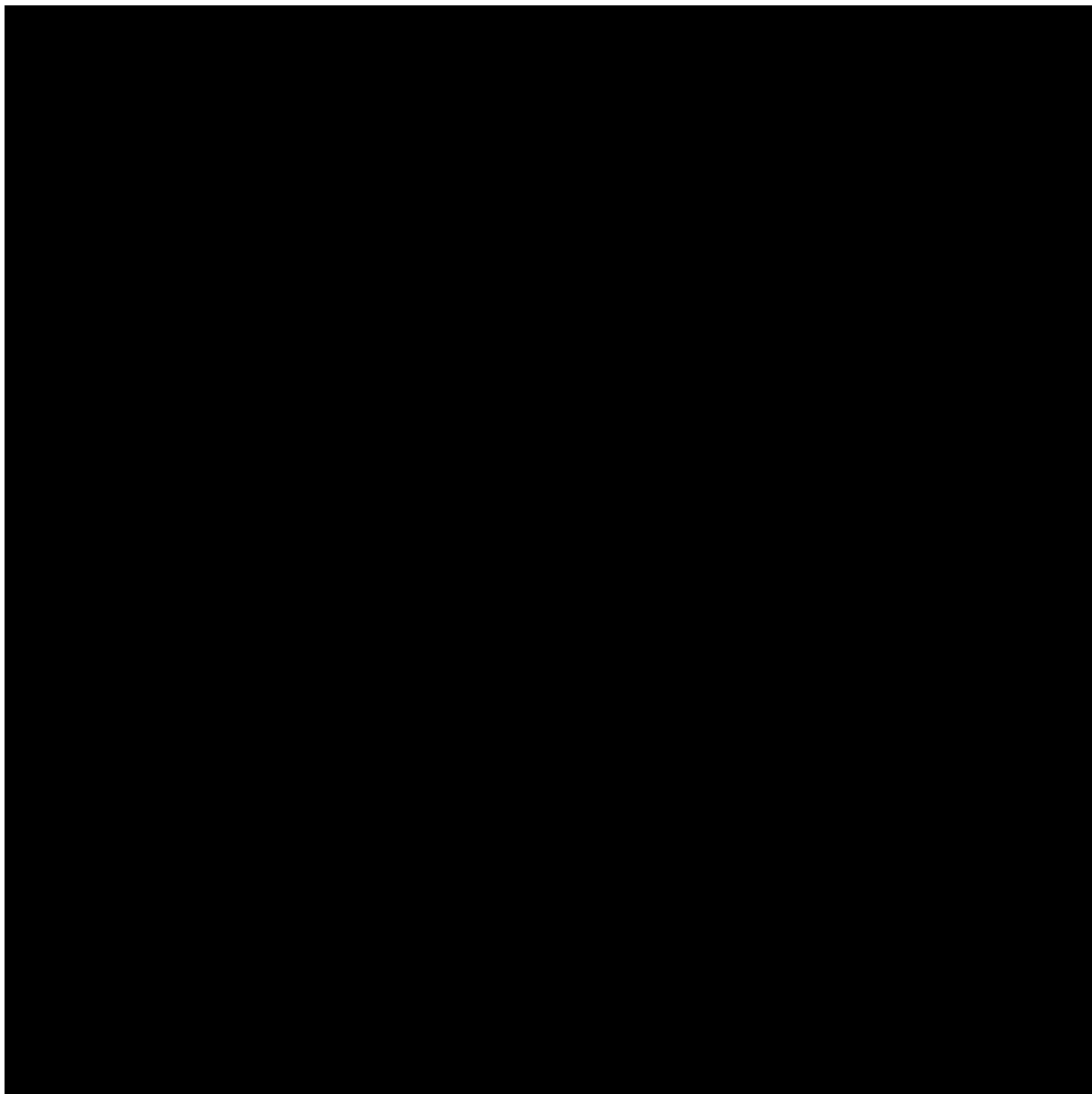


Figure 5: Figure 2 caption goes here. Reproduced with permission.^[Ref.] Copyright Year, Publisher.

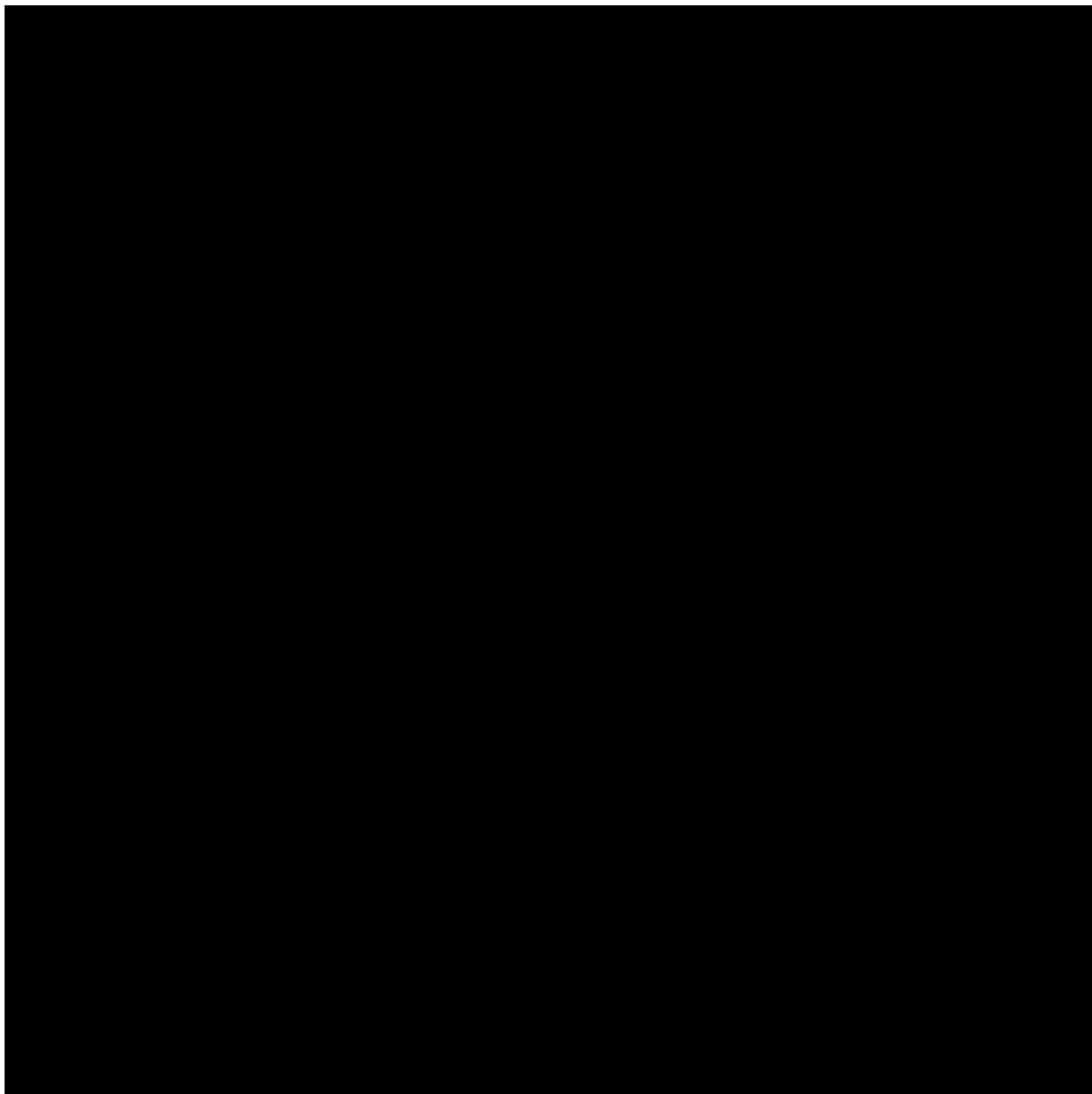
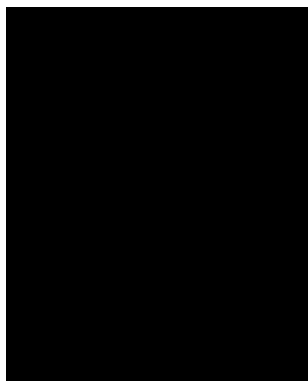


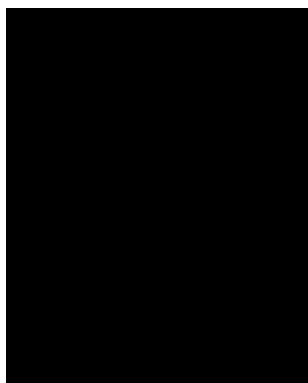
Figure 6: Figure 3 caption goes here. Reproduced with permission.^[Ref.] Copyright Year, Publisher.



Biography



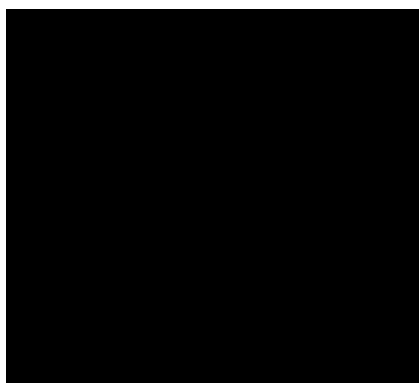
Biography



Biography



Biography

Table of Contents

ToC Entry